# Thermal Field Theory and Cosmology



### Jacopo Ghiglieri, SUBATECH, Nantes

#### Phase transitions in particle physics, GGI Firenze, March 29 2022





### Rates in the early universe

- Over the long thermal history, many phenomena enter and / or leave equilibrium
  - DM candidates
  - Mechanisms for baryogenesis
  - Thermal relics

governed by rates (production,



equilibration, interaction, nucleation...) competing with the Hubble rate



### In this talk

- Thermodynamics: phase transitions, the Hubble rate itself,...
- Defining and computing (some of) these rates using modern Thermal Field Theory (TFT) techniques
  - Slowly-varying modes over a fast background
  - Massless states: the example of gravitational waves
  - Massive states: the example of right-handed neutrinos and NLO corrections





# Thermodynamics and phase transitions



## Thermodynamics

- The Hubble rate is proportional to the energy density  $H = \sqrt{\frac{8\pi e}{3m_{\rm Pl}^2}} \sim \frac{T^2}{m_{\rm Pl}}$
- Many "transitions" in the SM
- How to compute them? And why are they so interesting?
- A short tale of phase transitions and gravitational waves





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- Need to satisfy Sakharov's conditions
  - B violation
  - C and CP violation
  - Deviations from thermal equilibrium



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- Need to satisfy Sakharov's conditions
  - B violation
  - C and CP violation
  - Deviations from thermal equilibrium
- Feynman rules always conserve B, but sphaleron processes violate B (and conserve B-L) Non-perturbative solutions, in equilibrium at *T*>*T*<sub>EW</sub>, exponentially suppressed below. Decouple at *T*~130 GeV D'Onofrio Rummukainen Tranberg PRL113 (2014)







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- The CKM phase violates **CP**



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  - Deviations from thermal equilibrium
- The CKM phase violates **CP**
- of the broken phase nucleating within the symmetric phase
- observable by LISA



A strong first order phase transition is needed. Sphaleron rate suppressed in bubbles

Bubble dynamics would also create a gravitational wave signature, potentially



- Need to satisfy Sakharov's conditions
  - B violation
  - C and CP violation
  - Deviations from thermal equilibrium
- Not enough **CP** violation in the SM
- No phase transition in the SM for  $M_H > 72$  GeV, but crossover Gurtler Hilgenfritz Schiller, Laine Rummukainen, Csidor Fodor Heitger (1997-99)
- Both issues can be addressed in many BSM models



- Need to satisfy Sakharov's conditions
  - B violation
  - C and CP violation
  - Deviations from thermal equilibrium

Review on phase transitions and GWs: Hindmarsh Lüben Lumma Pauly 2008.09136





### A weakly coupled plasma



Figure by D. Teaney

largest contribution to thermodynamics

• Hard (quasi)-particles carry most of the stress-energy tensor. (Parametrically)



### A weakly coupled plasma



Figure by D. Teaney

classically

• The bosonic soft fields have large occupation numbers  $\Rightarrow$  they can be treated

$$\frac{1}{e^{\omega/T}-1} \stackrel{\omega \ll T}{\approx} \frac{T}{\omega} \gg 1$$



### A weakly coupled plasma



- Figure by D. Teaney Their loop expansion is  $g^2T/\omega$  (g the gauge couplings, top Yukawa and  $\sqrt{\lambda}$ )
  - and the Higgs when  $gv \leq g^2T$ .
- Need non-perturbative input for the phase transition!

• It breaks down for bosons with  $m \leq g^2 T$ . These are the magnetic modes of the gauge bosons



- In the Matsubara formalism, frequencies are discrete.  $2\pi nT$  for bosons,  $\pi(2n+1)T$  for fermions.
- Non-zero Matsubara modes are *hard modes*,  $q \sim T$ , can be integrated out perturbatively. **Dimensional reduction** to 3D theory (EQCD for QCD)
- Electric modes of gauge bosons are *soft modes*,  $q \sim gT$ , can also be integrated out (perturbatively or not)
- Remains: zero modes of scalars and spatial gauge bosons (MQCD for QCD) No problems on the lattice (no chiral fermions)  $L = \frac{1}{4} F^a_{ij} F^a_{ij} + \frac{1}{4} B_{ij} B_{ij}$ 
  - $+ (D_i\phi)^{\dagger}D_i\phi + m_3^2\phi^{\dagger}\phi + \lambda_3(\phi^{\dagger}\phi)^2$

Laine Kajantie Rummukainen Shaposhnikov (1995-97) Braaten Nieto (1995-97)

### An EFT approach





• State of the art for the SM at  $M_H$ =125 GeV. Lattice D'Onofrio Rummukainen (2015), pert thy Laine Meyer (2015)



### An EFT approach



Narrow non-perturbative window for the SM. Thermodynamics at the 1% level. Below the ideal gas result *e*=106.75  $\pi^2/30 T^4 \approx 35.1 T^4$ 





*Review*: JG Kurkela Strickland Vuorinen Phys. Rep. 880 (2020) Lattice: Budapest-Wuppertal, Borsanyi et al JHEP1011 (2010)

Very different from QCD transition: here all but a handful of dofs are weakly-coupled

### An EFT approach





• State of the art for the SM at  $M_H$ =125 GeV. Lattice D'Onofrio Rummukainen (2015), pert thy Laine Meyer (2015)



### An EFT approach



• Very active research in adapting existing lattice measurements or performing new ones for BSM scenarios who promise phase transitions and GW signatures



# Production and interaction rates



#### • Factor the system into "fast" and "slow" modes, and integrate out the former to obtain evolution eqs. for the latter



# General approach





• A particle  $\phi$  is weakly coupled (coupling h) to an equilibrated bath with its internal couplings g  $\mathcal{L} = \mathcal{L}_{\phi} + h\phi J + \mathcal{L}_{bath}$ J built of bath fields, one can prove to first order in h and all orders in g

$$\dot{f}_{\phi}(t,\mathbf{k}) = \Gamma(k) \left[ f_{eq}(k^{0}) - f_{\phi}(t,\mathbf{k}) \right] + \mathcal{O}(h^{4})$$
$$\Gamma(k) = \frac{h^{2}}{2k^{0}} \int d^{4}X e^{iK \cdot X} \langle [J(X), J(0)] \rangle$$

- Single-particle phase-space distribution: *f*(*t*,**k**), sensible only for sufficiently weakly interacting particles
- For conserved charges, equations for the density *n* can similarly be defined with no quasiparticle assumptions **Bödeker Laine** (2014)

- Bödeker Sangel Wörmann **PRD93** (2016)



- $\dot{f}_{\phi}(t,\mathbf{k}) = \Gamma(k) \left[ f_{\rm eq}(k^0) f_{\phi}(t,\mathbf{k}) \right] + \mathcal{O}(k)$
- production/equilibration and the plasma dynamics
- in a leading-order Boltzmann approach.
- Schicho's talk on Wednesday for QCD and heavy ions

$$(h^4) \qquad \Gamma(k) = \frac{h^2}{2k^0} \int d^4 X e^{iK \cdot X} \langle [J(X), J(0)] \rangle$$

• The derivation is based (and relies) on a **separation of timescales** between

• All-order proof of the equivalence of production and equilibration rates,  $\Gamma_{\text{prod}} = \Gamma(k) f_{\text{eq}}(k^0)$ . Goes beyond previous statements based on detailed balance

• When doing perturbative expansions, Boltzmann expressions are recovered where applicable (LO). Higher orders are possible and natural in this form

• Easier to include non-perturbative input in this framework if needed. See P.





 $\dot{f}_{\phi}(t,\mathbf{k}) = \Gamma(k) \left[ f_{\rm eq}(k^0) - f_{\phi}(t,\mathbf{k}) \right] + \mathcal{O}(k)$ 

- Applications of this TFT result to heavy ions (e.g. photon production, thermalisation) and cosmology. Not in this talk:
  - Non-equilibrium Kadanoff-Baym equations yield similar results Drewes (2010) Drewes Mendizabal Weniger (2013) Garny Hohenegger Kartavtsev (2010-13)
  - Cases where  $f(t,\mathbf{k}) \gg 1$  (e.g. bosonic fields during reheating) and classical non-perturbative methods are used COSMOLATTICE Figueroa Florio Torrenti Valkenburg (2020)

$$(h^4) \qquad \Gamma(k) = \frac{h^2}{2k^0} \int d^4 X e^{iK \cdot X} \langle [J(X), J(0)] \rangle$$





$$\dot{f}_{\phi}(t,\mathbf{k}) = \Gamma(k) \left[ f_{\text{eq}}(k^0) - f_{\phi}(t,\mathbf{k}) \right] + \mathcal{O}(h^4) \qquad \Gamma(k) = \frac{h^2}{2k^0} \int d^4 X e^{iK \cdot X} \langle [J(X), J(0)] \rangle$$

- Hubble expansion  $\dot{f}_{\phi}(t,\mathbf{k}) \rightarrow (\dot{c}$

$$\dot{n}_{\phi} + 3Hn_{\phi} = \int_{\mathbf{k}} \Gamma(k) [f_{\rm eq}(k^0) - f_{\phi}(t,k)]$$

• When using these equations in cosmology, the l.h.s is modified to include

$$\partial_t - H\mathbf{k} \cdot \nabla_{\mathbf{k}}) f_{\phi}(t, \mathbf{k})$$

and often (number, energy) densities are the quantity of interest, e.g.  $n_{\phi} = \int_{Y} f_{\phi}$ 

• If scale separation is present and  $g \ll 1$ , perturbative expansion of  $\Gamma(k \ge T)$  can reproduce standard Boltzmann. But quasiparticle picture is not necessary!





# Massless particles: gravitational waves

JG Laine **JCAP1507** (2015) JG Jackson Laine Zhu **JHEP2007** (2020) Ringwald Schütte-Engel Tamarit **JCAP2103** (2021)



# Gravitational waves in the early universe

Many potential sources of GWs

- Inflation
- Reheating
- Phase transitions

All model-dependent and / or speculative to a degree Review: Caprini Figueroa Class. Quant. Grav. 35 (2018)





# Gravitational waves from equilibrium

- GWs can be produced from eq. too. Weinberg
- Now  $\int \propto T^{\mu\nu}/m_{\rm Pl}$ , so as long as  $T_{\rm max} < m_{\rm Pl}$  the GW-plasma coupling is indeed weak: freeze-in production over the history of the early universe?
- By the previous arguments:  $\dot{f}_{GW}(t)$

$$\Gamma(k) = \frac{8\pi}{k m_{\rm Pl}^2} \int d^4 Z$$

•  $\Gamma(k)$  also determines the absorption rate of previously emitted GWs from other sources Baym Patil Pethick **PRD96** (2017) Flauger Weinberg **PRD99** (2019)

$$t, \mathbf{k}$$
) =  $\Gamma(k) \left[ n_{\rm B}(k) - f_{\rm GW}(t, \mathbf{k}) \right] + \mathcal{O}\left( \frac{1}{m_{\rm Pl}^4} \right)$ 

 $Xe^{ik(t-z)}\langle [T_{12}(X), T_{12}(0)] \rangle$ 

JG Laine **JCAP1507** (2015)



$$\Gamma(k) = \frac{8\pi}{k m_{\rm Pl}^2} \int d^4 Z$$

• What it means: cut two-point function with thermal propagators. A naive example: at LO  $T_{12}$  is bilinear in the fields of the QFT, so



thermal distribution functions x matrix element x on-shell kinematics

- Kinematically forbidden: need extra scatterings
- A complete LO calculation for  $k \sim T$  requires all  $2 \leftrightarrow 2$  scatterings between SM particles yielding a graviton

 $Xe^{ik(t-z)}\langle [T_{12}(X), T_{12}(0)] \rangle$ 







$$\Gamma(k) = \frac{8\pi}{k \, m_{\rm Pl}^2} \int d^4 \Sigma$$

- Work from this def, compute all two-loop graphs in the SM for the TT correlator and take the cuts  $\Phi_{s(s)}: \quad \text{ for all } \quad \text{ fore$
- Powerful method to get thermal spectral functions at thermal frequencies and nonzero virtualities too Laine Zhu Jackson *et al* (2010-20)

 $\Phi_{g(f)}$ 

 $Xe^{ik(t-z)}\langle [T_{12}(X), T_{12}(0)] \rangle$ 





$$\Gamma(k) = \frac{8\pi}{k m_{\rm Pl}^2} \int d^4 \mathcal{I}$$

- Work from this def, compute all two-loop graphs in the SM for the TT correlator and take the cut
- Cutting the two-loop diagrams gives rise to the squares of these diagrammatic structures (crossings not shown)

 $Xe^{ik(t-z)}\langle [T_{12}(X), T_{12}(0)] \rangle$ 







Hence, at LO for *k*~*T*, equivalence with kinetic theory

$$\dot{f}_{\rm GW}(t,\mathbf{k}) = \Gamma(k) \, n_{\rm B}(k) = \frac{1}{8k} \int d\Omega_{2\to 2} \sum_{abc} \left| \mathcal{M}_{cG}^{ab}(\mathbf{p}_1,\mathbf{p}_2;\mathbf{k}_1,\mathbf{k}) \right|^2 f_a(p_1) \, f_b(p_2) \left[ 1 \pm f_c(k_1) \right]$$



we implement a well-behaved subtraction and replacement with the HTL resummed evaluation JG Laine (2015-16)

#### The phase space integration runs over log-IR divergent soft gauge boson exchanges

Sensitivity to collectivity: screening, plasma oscillations and Landau damping. Treated by Hard Thermal Loop resummation: based on recent developments in TFT







JG Jackson Laine Zhu JHEP2007 (2020)





production (e.g. Pradler Steffen PRD75 (2007), Rychkov Strumia PRD75 (2007))

The rate is valid for  $k \ge T$ . At smaller k our rate is not LO correct, but extrapolates to *k*=0 better than what was happening in similar calculations for gravitino and axion









# Going to the IR

Solution Well-defined vacuum-like particle external states, at most HTL internally













# Going to the IR

- Solution Well-defined vacuum-like particle external states, at most HTL internally
  - Longer-lived intermediate states, collinear and soft kinematics. Changes to simple particle picture







# Going to the IR

- Well-defined vacuum-like particle external states, at most HTL internally
- Longer-lived intermediate states, collinear and soft kinematics. Changes to simple particle picture
- Duration of order mean free time: scattering picture completely breaks down, GW does not resolve the microscopic scale







Nothing here specific to GW

# Going to the IR

- Well-defined vacuum-like particle external states, at most HTL internally
- Longer-lived intermediate states, collinear and soft kinematics. Changes to simple particle picture
- Duration of order mean free time: scattering picture completely breaks down, GW does not resolve the microscopic scale





plasma

$$\Gamma(k) = \frac{8\pi}{k \, m_{\rm Pl}^2} \int d^4 X e^{ik(t-z)} \langle [T_{12}(X), T_{12}(0)] \rangle \qquad n_{\rm B}(k) \Gamma(k) = \frac{16\pi \, T \, \eta}{k \, m_{\rm Pl}^2}$$

JG Laine **JCAP1507** (2015)

## Going to the IR

Production from hydrodynamic fluctuations

• TFT formalism shows that the IR rate is proportional to the *shear viscosity* of the



plasma

$$\Gamma(k) = \frac{8\pi}{k \, m_{\rm Pl}^2} \int d^4 X e^{ik(t-z)} \langle [T_{12}(X), T_{12}(0)] \rangle \qquad n_{\rm B}(k) \Gamma(k) = \frac{k \lesssim g^4 T}{k \, m_{\rm Pl}^2}$$

involving right-handed leptons only

$$\eta \simeq \frac{16T^3}{g_1^4 \ln(5T/m_{\rm D1})}$$

 $g_1$  hypercharge coupling with screening mass  $m_{D1} = \sqrt{11/6} g_1 T$ Only a leading-log estimate, no complete LO for *T*>160 GeV Arnold Moore Yaffe (2000-2003)

## Going to the IR

• TFT formalism shows that the IR rate is proportional to the *shear viscosity* of the

For the SM at T>160 GeV  $\eta$  is dominated by the slowest processes in eq., those

$$\rightarrow$$
  $\eta \simeq 400 T^3$ 

#### JG Laine **JCAP1507** (2015)



# Cosmological implications



Today f≈100 GHz. Amplitude determined by  $T_{max}$ .

Peak: frequency at  $k \approx 4T$ . Redshifts at decoupling to  $k_{dec} \approx 4T_{dec}(3.9/106.75)^{1/3} \sim T_{dec}$ .

JG Laine JCAP1507 (2015) Ringwald Schütte-Engel Tamarit JCAP2103 (2021)



# Cosmological implications

- Direct detection challenging in the medium term
- Thermal production stores energy in GWs. BBN and CMB observations constrain the energy density stored in radiation at those epochs: GW contribution to N<sub>eff</sub> Smith Pierpaoli Kamionkowski PRL97 (2006) Henrot-Versille et al Class. Quant. Grav. 32 (2015) Caprini Figueroa Class. Quant. Grav. 35 (2018)
- The SM predictions have 10<sup>-3</sup> uncertainty, the experimental accuracy 10<sup>-1</sup>, expected to increase with next-generation detectors CMB-S4
- Requiring  $\Delta N_{\text{eff}} = 10^{-3}$  yields  $T_{\text{max}} < 2 \ 10^{17}$  GeV for a SM universe, 2x more than that for a MSSM scenario (the extra GW production from the larger number of thermal d.o.f.s is more than compensated by the extra dilution)

JG Jackson Laine Zhu JCHEP2007 (2020) Ringwald Schütte-Engel Tamarit JCAP2107 (2021)







## Massive particles



# Massive particles: right-handed neutrinos

flavours and the (conjugate) Higgs field

$$\mathcal{L} = \mathcal{L}_{\rm SM} + \frac{1}{2} \sum_{I} \bar{N}_{I} \left( i \gamma^{\mu} \partial_{\mu} - M_{I} \right) N_{I} - \sum_{I,a} \left( \bar{N}_{I} h_{Ia} \tilde{\phi}^{\dagger} a_{L} l_{a} + \bar{l}_{a} a_{R} \tilde{\phi} h_{Ia}^{*} N_{I} \right)$$

- Can address active neutrino masses (seesaw) and baryon asymmetry (leptogenesis) over a wide range of parameters Fukugita Yanagida PLB174 (1986)
- A specific realisation (vMSM) can also provide a keV-scale DM right-handed neutrino Asaka Blanchet Shaposhnikov PLB620, PLB631 (2005)
- Asymmetry generation and RHN production require rates from  $T \gg M_I$  to  $T \ll M_I$

*n* sterile (SM gauge singlet), Majorana neutrinos coupling to the three active lepton







# Massive particles: right-handed neutrinos

Production, equilibration, freeze-out and decay rates from the formalism over many decades







# Massive particles: right-handed neutrinos

Symmetric phase 

- $\Gamma(k) = \sum_{k=1}^{n}$
- $T \sim M_I$  Laine (2013), Laine Jackson (2021)
- $T \gg M_I$  Anisimov Besak Bödeker (2010-12), Ghisoiu Laine (2014), JG Laine (2021)
- Broken phase
  - *M<sub>I</sub>~*GeV JG Laine (2016-20), Jackson Laine (2019)
  - *M<sub>I</sub>~keV* Asaka Laine Shaposhnikov (2006), JG Laine (2015-20) Bödeker Klaus (2020)
- Review Laine 2203.05772

$$\sum \frac{|h_{Ia}|^2}{2k^0} \int d^4 X e^{iK \cdot X} \langle [\tilde{\phi}^{\dagger} a_L l(X), \bar{l} a_R \tilde{\phi}(0)] \rangle$$

 $T \ll M_I$  Salvio Lodone Strumia (2011), Laine Schröder (2012), Biondini Brambilla Escobedo Vairo (2012)

These calculations provide a pattern for models with many regimes to be followed.



### $T \gg M_I$

- In a first approximation mass seems negligible
- Just  $2 \leftrightarrow 2$  processes (with fermion HTL included)?



Anisimov Besak Bödeker (2010-12) Ghisoiu Laine (2014)

$$\Gamma(k) = \sum_{a} \frac{|h_{Ia}|^2}{2k^0} \int d^4 X e^{iK \cdot X} \langle [\tilde{\phi}^{\dagger} a_L l(X), \bar{l} a_R \tilde{\phi}(0) \rangle \langle \bar{h} h_{Ia} \bar{\phi}(0) \rangle \langle \bar{h} h_{Ia} \bar{\phi}(0) \rangle \langle \bar{h} h_{Ia} \bar{\phi}(0) \rangle \langle \bar{h} h_{Ia} \bar{h} h_{Ia} \bar{\phi}(0) \rangle$$



#### $]\rangle$

#### $T \gg M_I$

Effective 1↔2 processes 



- Landau-Pomeranchuk-Migdal (LPM) interference of multiple soft scatterings, requires ladder resummation
- Borrow techniques from hot QCD to deal with LPM resummation

Anisimov Besak Bödeker (2010-12) Ghisoiu Laine (2014) JG Laine (2021)

$$\Gamma(k) = \sum_{a} \frac{|h_{Ia}|^2}{2k^0} \int d^4 X e^{iK \cdot X} \langle [\tilde{\phi}^{\dagger} a_L l(X), \bar{l} a_R \tilde{\phi}(0)$$



Baier Dokshitzer Mueller Peigné Schiff (1995-97) Zakharov (1996-97) Arnold Moore Yaffe (2001-2003)







#### $T \gg M_I$

Effective 1↔2 processes 



and similar production calculations (gravitino, axion, etc...) Salvio Strumia Xue (2013)

Anisimov Besak Bödeker (2010-12) Ghisoiu Laine (2014) JG Laine (2021)



Absent from GW production calculation at LO (suppression in derivative coupling)







#### $T \gg M_I$

Effective 1↔2 processes 



- Very important beyond sterile neutrinos
  - Heller Mazeliauskas Venugopalan (2020)



Thermalisation during reheating (number-nonconserving and efficient energy equilibration) Davidson Sarkar (2001) Harigaya Mukaida (2014) Mukaida Yamada (2015) Drees Najjari (2021) Large body of literature on QCD thermalisation, review in Berges

Anisimov Besak Bödeker (2010-12) Ghisoiu Laine (2014) JG Laine (2021)







#### $T \gg M_I$

Effective 1↔2 processes 



- Very important beyond sterile neutrinos
  - Equilibration of the Yukawa interactions of right-handed electrons Bödeker Schröder (2019)

Anisimov Besak Bödeker (2010-12) Ghisoiu Laine (2014) JG Laine (2021)





#### $T \gg M_I$

Effective 1↔2 processes 



Large enhancement in the high-*T* regime

Anisimov Besak Bödeker (2010-12) Ghisoiu Laine (2014) JG Laine (2021)







### $T \sim M_I$

corrections that have been computed and merged with the  $T \gg M_I$  range







Laine **JHEP1305 JHEP1308** (2013) Ghisoiu Laine **JCAP1412** (2014) Jackson Laine **JHEP09** (2021)

$$\Gamma(k) = \sum_{a} \frac{|h_{Ia}|^2}{2k^0} \int d^4 X e^{iK \cdot X} \langle [\tilde{\phi}^{\dagger} a_L l(X), \bar{l} a_R \tilde{\phi}(0) \rangle \rangle$$

• A leading-order  $2 \rightarrow 1$  process receiving real  $(2 \rightarrow 2, 3 \rightarrow 1)$  and virtual  $(2 \rightarrow 1)$  NLO



Real and virtual corrections are **individually IR divergent**, only sum is physical





#### )]/

### $T \sim M_I$

- A leading-order  $2 \rightarrow 1$  process receiving real  $(2\rightarrow 2, 3\rightarrow 1)$  and virtual  $(2\rightarrow 1)$  NLO corrections that have been computed and **merged** with the  $T \gg M_I$  range
- Agreement with OPE/EFT based calculations in the non-relativistic regime Salvio Lodone Strumia JHEP1108 (2011) Laine Schröder JHEP1202 (2012) Biondini Brambilla Escobedo Vairo JHEP1312 (2013)

Laine JHEP1305 JHEP1308 (2013) Ghisoiu Laine JCAP1412 (2014) Jackson Laine JHEP09 (2021)











- TFT formalism for thermodynamics and phase transitions:
  - Well-tested for the SM
  - gravitational wave production

### Concusions

#### Being applied to BSM models with an outlook on first-order phase transitions and





### Concusions

- TFT formalism for thermal rates
  - Does not require quasi-particles, though it reproduces quasi-particle Boltzmann results where they apply
  - Relies on timescale separation
- Thermal production of gravitational waves: guaranteed to be there, contributes to N<sub>eff</sub>. No stringent bounds for SM-like universes. Methods applicable to light/ massless states non-renomalizeably coupled to plasma
- Thermal production of massive particles: the case of heavy neutral leptons/sterile neutrinos. Many regimes to be examined, great progress with *interdisciplinary connections* to hot QCD and NLO available in some regimes

