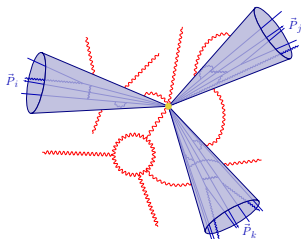


Hard Scattering Beyond the Leading Power

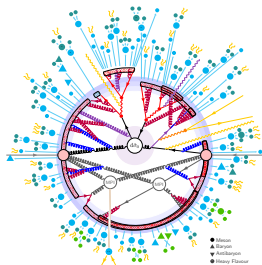
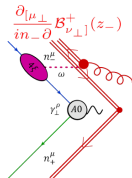
(EFT, Background field interpretation, Gravity)

M. Beneke (TU München)

Theory Challenges in the Precision Era of the Large Hadron Collider
GGI, Firenze, Aug 28, 2023 - Oct 13, 2023
07 September 2023



Based on 1912.01585 (MB, Broggio, Jaskiewicz, Vernazza), 2110.02969, 2112.04983, 2210.09336 (MB, Hager, Szafron)



[Figure credit: 2203.11601]

Hard scattering beyond the leading power

“Higher-twist”

$$F(Q, \Lambda; \alpha_s) = f_0(\alpha_s) + \frac{\Lambda}{Q} f_1(\alpha_s) + \dots$$

$$Q \gg \Lambda, \lambda = \sqrt{\Lambda/Q}$$

Double expansion in α_s and powers.

Mathematically, one constructs an asymptotic / resurgent expansion of an observable in powers and logarithms of the ratio of Λ/Q .

“Perturbative resummation problem”

$$F(Q, E_s, \Lambda; \alpha_s) = \left[f_0(\alpha_s, \ln \frac{E_s}{Q}) + \frac{E_s}{Q} f_1(\alpha_s, \ln \frac{E_s}{Q}) + \dots \right] + \mathcal{O}\left(\frac{\Lambda}{Q}\right)$$

$$Q \gg E_s \gg \Lambda, \lambda = \sqrt{E_s/Q}$$

Compared to “old” higher twist: Soft physics does not cancel, No local OPE.

Effective Field Theory

Scattering amplitudes, phase-space integrals have infrared singularities, when small scales are set to zero, which obstruct the Taylor expansion in $1/Q$

Effective field theory turns the analysis of IR singularities of diagrams into an ultraviolet renormalization calculations of EFT operators. Resummation becomes a RGE problem. Essential change of point of view for the analysis of power corrections.

Effective Field Theory

Scattering amplitudes, phase-space integrals have infrared singularities, when small scales are set to zero, which obstruct the Taylor expansion in $1/Q$

Effective field theory turns the analysis of IR singularities of diagrams into an ultraviolet renormalization calculations of EFT operators. Resummation becomes a RGE problem. Essential change of point of view for the analysis of power corrections.

Every object (fields, derivatives, momenta, ...) in the EFT should have a unique scaling with λ .

collinear mode momentum

$$p_c \sim Q(1, \lambda, \lambda^2), p_c^2 \sim Q^2 \lambda^2$$

soft mode momentum

$$p_s \sim Q(\lambda^2, \lambda^2, \lambda^2), p_s^2 \sim Q^2 \lambda^4$$

Light-like reference vectors $n_{\pm}, n_{\pm}^2 = 0, n_+ \cdot n_- = 2$

$$\begin{aligned} p^\mu &= (n_+ p) \frac{n_-^\mu}{2} + p_\perp^\mu + (n_- p) \frac{n_+^\mu}{2} \\ &= (n_+ p, p_\perp, n_- p) \end{aligned}$$

Need separate fields for collinear and soft modes

$$\text{QCD}[A, \psi] \longrightarrow \text{SCET}[A_{c_i}, A_s, \xi_{c_i}, q_s]$$

Several collinear directions $n_{i\pm} \rightarrow$ several copies of collinear fields.

SCET at leading power

$$\mathcal{L}_{\text{SCET}}^{(0)} = \sum_{i=1}^N \mathcal{L}_{c_i}^{(0)} + \mathcal{L}_{\text{soft}}$$

$$\mathcal{L}_c^{(0)}(x) = \bar{\xi}_c \left(in_- D_c + g_s n_- A_s(x_-) + i\not{D}_{\perp c} \frac{1}{in_+ D_c} i\not{D}_{\perp c} \right) \frac{\not{n}_+}{2} \xi_c + \mathcal{L}_{c,\text{YM}}^{(0)}$$

$$iD_c = i\partial + g_s A_c, \quad x_-^\mu = \frac{1}{2} n_+ \cdot x n_-^\mu$$

- **Non-local** along light-cone sn_+^μ , since $n_+ p_c \sim Q$.
- Introduce collinear-gauge invariant “jet” fields

$$\chi \equiv W_c^\dagger \xi, \quad \mathcal{A}_{c\perp}^\mu \equiv W_c^\dagger [iD_{c\perp}^\mu W_c]$$

SCET at leading power

$$\mathcal{L}_{\text{SCET}}^{(0)} = \sum_{i=1}^N \mathcal{L}_{c_i}^{(0)} + \mathcal{L}_{\text{soft}}$$

$$\mathcal{L}_c^{(0)}(x) = \bar{\xi}_c \left(in_- D_c + g_s n_- A_s(x_-) + i\not{D}_{\perp c} \frac{1}{in_+ D_c} i\not{D}_{\perp c} \right) \frac{\not{n}_+}{2} \xi_c + \mathcal{L}_{c,\text{YM}}^{(0)}$$

$$iD_c = i\partial + g_s A_c, \quad x_-^\mu = \frac{1}{2} n_+ \cdot x n_-^\mu$$

- **Non-local** along light-cone sn_+^μ , since $n_+ p_c \sim Q$.
- Introduce collinear-gauge invariant “jet” fields

$$\chi \equiv W_c^\dagger \xi, \quad \mathcal{A}_{c\perp}^\mu \equiv W_c^\dagger [iD_{c\perp}^\mu W_c]$$

- In soft-collinear interactions, soft fields are **multipole-expanded** around x_-^μ in collinear interactions. [BCDF, 2002]
- **Only $n_- A_s$ appears**, with eikonal vertex $ig_s n_-^\mu$.
- Introduce the soft-decoupling transformation [BPS, 2001]

$$\xi(x) \rightarrow Y_{n_-}(x_-) \xi(x) \quad A_c^\mu(x) \rightarrow Y_{n_-}(x_-) A_c^\mu(x) Y_{n_-}^\dagger(x_-)$$

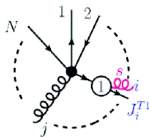
LP soft physics \leftrightarrow Matrix element of light-like Wilson line correlators

SCET Lagrangian beyond LP

Lagrangian describes collinear splittings and interactions of (separate) collinear and soft fields

$$\mathcal{L}_{\text{SCET}} = \sum_{i=1}^N \mathcal{L}_{c_i} + \mathcal{L}_{\text{soft}}$$

$$\mathcal{L}_{c_i} = \mathcal{L}_{c_i}^{(0)} + \mathcal{L}_{c_i}^{(1)} + \mathcal{L}_{c_i}^{(2)} + \dots$$



Soft-collinear interactions

$$\mathcal{L}_{sc}^{\text{gluon}}(x) = \bar{\xi} \left[\underbrace{g_s n_- A_s(x_-)}_{\text{LP, eikonal}} + \underbrace{x_{\perp}^{\mu} n_{-}^{\nu} W_c g_s F_{\mu\nu}^s(x_-) W_c^{\dagger}}_{\text{NLP}} \right] \not{n}_+ \xi + \mathcal{O}(\lambda^2)$$

$$\mathcal{L}_{sc}^{\text{quark}}(x) = \underbrace{\bar{q}(x_-) W_c^{\dagger} i \not{D}_{\perp c}}_{\text{starts at NLP}} \xi + \text{h.c.} + \mathcal{O}(\lambda^2)$$

- No purely collinear subleading interactions. At least one soft field in every vertex.
- Except for LP eikonal $n_- A_s$ only covariant field-strength interactions.
- NLP needs $\mathcal{O}(\lambda^2)$, i.e. $\mathcal{L}_c^{(1)}$ and $\mathcal{L}_c^{(2)}$.
Lagrangian known to all orders (tree-level exact).

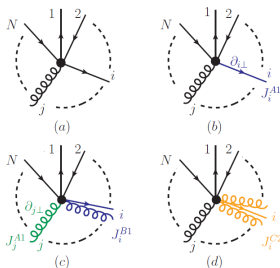
NLP hard process

Hard processes are represented by N -jet light-ray operators of the collinear “jet” fields

- The standard soft anomalous dimension is the anomalous dimension of the leading power N -jet operator

$$\chi_{c1}(t_1 n_{1+}) \mathcal{A}_{\perp c2}^{\mu}(t_2 n_{2+}) \cdots \chi_{cN}(t_N n_{N+})$$

- Subleading power: transverse derivatives and more jet fields in the same collinear direction.



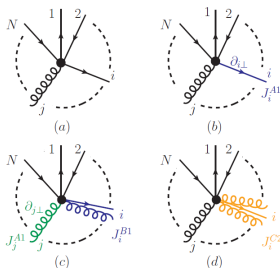
NLP hard process

Hard processes are represented by N -jet light-ray operators of the collinear “jet” fields

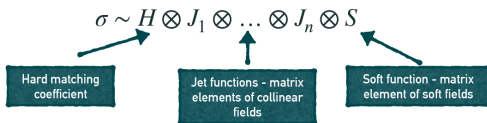
- The standard soft anomalous dimension is the anomalous dimension of the leading power N -jet operator

$$\chi_{c1}(t_1 n_{1+}) \mathcal{A}_{\perp c2}^{\mu}(t_2 n_{2+}) \cdots \chi_{cN}(t_N n_{N+})$$

- Subleading power: transverse derivatives and more jet fields in the same collinear direction.

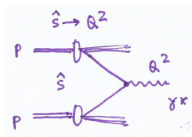


- Automatic factorization into **single scale** objects, which have **gauge-invariant operator definitions: hard, jet/collinear and soft functions**



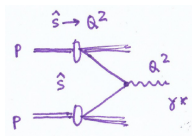
Drell-Yan process, partonic threshold

$$pp \rightarrow \gamma^*(Q) + X \quad \text{for } \hat{s} \rightarrow Q^2: \quad q\bar{q} \rightarrow \gamma^*(Q) + X_{\text{soft}}$$



Drell-Yan process, partonic threshold

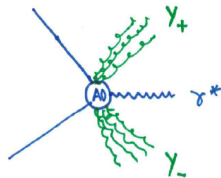
$$pp \rightarrow \gamma^*(Q) + X \quad \text{for } \hat{s} \rightarrow Q^2: \quad q\bar{q} \rightarrow \gamma^*(Q) + X_{\text{soft}}$$



$$\begin{aligned} \langle 0 | \bar{\psi} \gamma^\rho \psi(0) | q\bar{q} \rangle &= \int dt d\bar{t} C^{A0, A0}(t, \bar{t}) \langle 0 | \bar{\chi}_{\bar{c}}(\bar{t}n_-) | \bar{q} \rangle \gamma_\perp^\rho \langle 0 | \chi_c(tn_+) | q \rangle \\ &\times \underbrace{\langle X_s | \mathbf{T} \left(\left[Y_-^\dagger(0) Y_+(0) \right] \right) | 0 \rangle}_{\text{Exponentiated LP eikonal}} \end{aligned}$$

$$Y_\pm(x) = \mathbf{P} \exp \left[ig_s \int_{-\infty}^0 ds n_\mp A_s(x + sn_\mp) \right]$$

[Korchemsky, Marchesini, 1993]



NLP with SCET

Field redefinition

$$\xi_c(z) \rightarrow Y_+(z_-) \xi_c^{(0)}(z) \quad A_c^\mu(z) \rightarrow Y_+(z_-) A_c^{(0)\mu}(z) Y_\pm^\dagger(z_-)$$

$$\begin{aligned} \mathcal{L}_{\text{soft}}^{\text{gluon}}(x) &= \bar{\xi} \left[\underbrace{gn_- A_s(x_-)}_{\text{LP, eikonal}} + \underbrace{x_\perp^\mu n_-^\nu W_c g F_{\mu\nu}^s(x_-) W_c^\dagger}_{\text{NLP, } \mathcal{O}(\lambda)} \right] \frac{\not{n}_+}{2} \xi + \mathcal{O}(\lambda^2) \\ &\rightarrow \bar{\chi}^{(0)} \left[\underbrace{0}_{\text{LP decoupling}} + \underbrace{i x_\perp^\mu [in_- \partial \mathcal{B}_\mu^+(x_-)]}_{\text{NLP, } \mathcal{O}(\lambda)} \right] \frac{\not{n}_+}{2} \chi^{(0)} + \mathcal{O}(\lambda^2) \end{aligned}$$

$$\bar{\chi}_c(\bar{m}_-) \gamma_\perp^\rho \chi_c(m_+) \rightarrow \bar{\chi}_c^{(0)}(\bar{m}_-) \gamma_\perp^\rho \chi_c^{(0)}(m_+) \times [Y_-^\dagger(0) Y_+(0)]$$

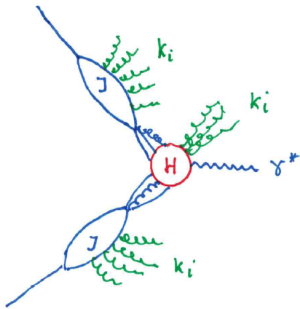
- Gauge-invariant soft fields

$$\mathcal{B}_\pm^\mu = Y_\pm^\dagger [i D_s^\mu Y_\pm], \quad q^\pm = Y_\pm^\dagger q_s$$

- No soft-collinear decoupling at subleading power

General form of the radiative (DY) amplitude

- Collinear functions appear from NLP and “dress” the soft emissions
[Del Duca, 1991; Bonocore et al. 2015]
- Gauge-invariant definition through matching of gauge-invariant operators
[MB, Broggio, Garny, Jaskiewicz, Szafron, Vernazza, Wang, 2018]

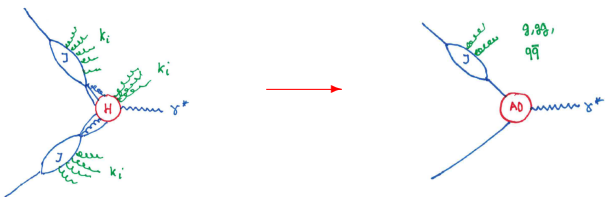


$$\begin{aligned}
 i^m \int \{d^d z_j\} \mathbf{T} \left[\{\chi_c(t_k n_+)\} \times \{\mathcal{L}^{(l)}(z_j)\} \right] \\
 = 2\pi \sum_i \int du \int \{dz_{j-}\} \tilde{J}_i(\{t_k\}, u; \{z_{j-}\}) \chi_c^{\text{ext}}(un_+) \mathbf{s}_i(\{z_{j-}\})
 \end{aligned}$$

$$\mathbf{s}_i(\{z_{j-}\}) \in \left\{ \mathcal{B}_{\mu\perp}^+(z_{1-}), \mathcal{B}_{\mu\perp}^+(z_{1-}) \mathcal{B}_{\nu\perp}^+(z_{2-}), q_{+\sigma}(z_{1-}) \bar{q}_{+\lambda}(z_{2-}), \dots \right\}$$

Simplifications at next-to-leading power

- Only one collinear line to hard vertex, no soft from vertex
 \rightarrow universal hard vertex (no collinear radiation into final state!)
- Jet function only at one leg at a time
- But also two soft gluon and soft quark-antiquark emission



$$\langle X_s | \mathbf{T} \left(\left[Y_-^\dagger(0) Y_+(0) \right] \right) | 0 \rangle \rightarrow \sum_i J_i(\omega_k) \otimes \langle X_s | \mathbf{T} \left(\left[Y_-^\dagger(0) Y_+(0) \right] s_i(\omega_k) \right) | 0 \rangle$$

NLP factorization formula (leading-log accurate)

$$\hat{\sigma}_{q\bar{q}}^{\text{NLP}}(z) = H^{\text{LP}}(Q^2) Q J(\omega) \otimes_{\omega} S_{2\xi}(Q(1-z); \omega) + \text{h.c.}$$

Generalized soft function

$$\begin{aligned}
 S_{2\xi}(\Omega, \omega) &= \mathbf{FT}_{\{x^0, z_-\}} \frac{1}{N_c} \text{Tr} \langle 0 | \bar{\mathbf{T}} \left[Y_+^\dagger(x^0) Y_-(x) \right] \mathbf{T} \left[Y_-^\dagger(0) Y_+(0) \frac{i\partial_\perp^\nu}{in-\partial} \mathcal{B}_{\perp\nu}^+(z_-) \right] | 0 \rangle \\
 &= \frac{\alpha_s C_F}{2\pi} \left\{ \theta(\Omega) \delta(\omega) \left(-\frac{1}{\epsilon} + \ln \frac{\Omega^2}{\mu^2} \right) + \left[\frac{1}{\omega} \right]_+ \theta(\omega) \theta(\Omega - \omega) \right\}
 \end{aligned}$$

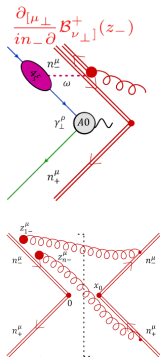
Two-loop available 1912.01585 and 2107.07353 (Broggio, Jaskiewicz, Vernazza)

Renormalization group equation involves mixing with

$$S_{x_0}(\Omega) = \int \frac{dx^0}{4\pi} e^{ix^0\Omega/2} \frac{-2i}{x^0 - i\epsilon} \frac{1}{N_c} \text{Tr} \langle 0 | \bar{\mathbf{T}} \left[Y_+^\dagger(x^0) Y_-(x^0) \right] \mathbf{T} \left[Y_-^\dagger(0) Y_+(0) \right] | 0 \rangle$$

$$\frac{d}{d \ln \mu} \begin{pmatrix} S_{2\xi}(\Omega, \omega) \\ S_{x_0}(\Omega) \end{pmatrix} = \frac{\alpha_s}{\pi} \begin{pmatrix} 4C_F \ln \frac{\mu}{\mu_s} & -C_F \delta(\omega) \\ 0 & 4C_F \ln \frac{\mu}{\mu_s} \end{pmatrix} \begin{pmatrix} S_{2\xi}(\Omega, \omega) \\ S_{x_0}(\Omega) \end{pmatrix}$$

↪ leading log resummation
not understood beyond leading log



Subtleties

- **Glauber modes** violate LP factorization

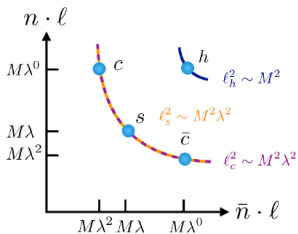
[Rothstein, Stewart, 2016]

- Dimensional regularization mixes modes with different virtualities
- virtuality of Glauber modes with equal virtuality by longitudinal

Chiu et al., [arXiv:0910.4026] [Chiu et al., 2009]

→ rapidity regularization [Chiu et al., 2010]

[Chiu et al., 2010]



[Figure T. Cohen, 1903.03622]

- **Violation of KSZ theorem** due to renormalization of sub-leading power light-ray operators due to Glauber modes [Chiu et al., 2019]

$$\lim_{p^2 \rightarrow 0} \left[\frac{\partial}{\partial p_{\perp}^{\mu}} \frac{1}{\epsilon^2} (p^2)^{-\epsilon} \right]$$

- **Endpoint-divergent convolutions** in $H \otimes J \otimes S$ when $\epsilon \rightarrow 0$ become

$$\int_0^{\Omega} d\omega \underbrace{(n_+ p \omega)^{-\epsilon}}_{\text{collinear function}} \underbrace{\frac{1}{\omega} \frac{1}{(\Omega - \omega)^{\epsilon}}}_{\text{soft function}}$$

Collinear and soft gauge symmetries of SCET

Separate soft gauge symmetry and collinear gauge symmetry for every collinear / jet direction

Transformation of the soft fields

$$\text{collinear: } A_s \rightarrow A_s, \quad \phi_s \rightarrow \phi_s,$$

$$\text{soft: } A_s \rightarrow U_s A_s U_s^\dagger + \frac{i}{g} U_s [\partial, U_s^\dagger] \quad \phi_s \rightarrow U_s \phi_s$$

Transformation of the collinear fields

$$\text{collinear: } \hat{A}_c \rightarrow U_c \hat{A}_c U_c^\dagger + \frac{i}{g} U_c [D_s(x_-), U_c^\dagger], \quad \hat{\phi}_c \rightarrow U_c \hat{\phi}_c$$

$$\text{soft: } \hat{A}_c \rightarrow U_s(x_-) \hat{A}_c U_s^\dagger(x_-), \quad \hat{\phi}_c \rightarrow U_s(x_-) \hat{\phi}_c$$

with a λ -homogeneous soft-background field covariant derivative

$$D_{s\mu} = \partial_\mu - i g n_- A_s(x_-) \frac{n+\mu}{2}$$

- $n_- A_s(x_-)$ (and only this) appears as a **background gauge field**, which lives on the light-cone / classical trajectory of the collinear particle.

Background field interpretation

$$\mathcal{L}_{\text{SCET}} = \frac{1}{2} \left[n_+ D_c \hat{\phi}_c \right]^\dagger n_- D \hat{\phi}_c + \frac{1}{2} \left[n_- D \hat{\phi} \right]^\dagger n_+ D_c \hat{\phi}_c + \left[D_{c\mu\perp} \hat{\phi}_c \right]^\dagger D_c^{\mu\perp} \hat{\phi}_c$$

$$+ \frac{1}{2} n_-^\nu g x_\perp^\mu F_{s\mu\nu}^a n_+ j^a + \dots \quad (\text{scalar "matter"})$$

$$j_\mu^a = i \hat{\chi}_c^\dagger t^a \overleftrightarrow{D}_{c\mu} \hat{\chi}_c, \quad \mathcal{D}_{c\mu} = D_{s\mu}(x_-) - ig \hat{\mathcal{A}}_{c\mu}$$

$n_- A_s(x_-)$ (and only this) appears as a background gauge field, which lives on the light-cone / classical trajectory of the collinear particle. Soft fields appear only in $n_- D(x_-)$ and the covariant field-strength tensor.

$$D_{s\mu} = \partial_\mu - ig n_- A_s(x_-) \frac{n_+ \mu}{2}$$

Background field interpretation

$$\mathcal{L}_{\text{SCET}} = \frac{1}{2} \left[n_+ D_c \hat{\phi}_c \right]^\dagger n_- D \hat{\phi}_c + \frac{1}{2} \left[n_- D \hat{\phi} \right]^\dagger n_+ D_c \hat{\phi}_c + \left[D_{c\mu\perp} \hat{\phi}_c \right]^\dagger D_c^{\mu\perp} \hat{\phi}_c$$

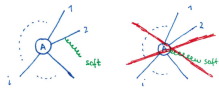
$$+ \frac{1}{2} n_-^\nu g x_\perp^\mu F_{s\mu\nu}^a n_+ J^a + \dots \quad (\text{scalar "matter"})$$

$$j_\mu^a = i \hat{\chi}_c^\dagger t^a \overleftrightarrow{D}_{c\mu} \hat{\chi}_c, \quad \mathcal{D}_{c\mu} = D_{s\mu}(x_-) - ig \hat{\mathcal{A}}_{c\mu}$$

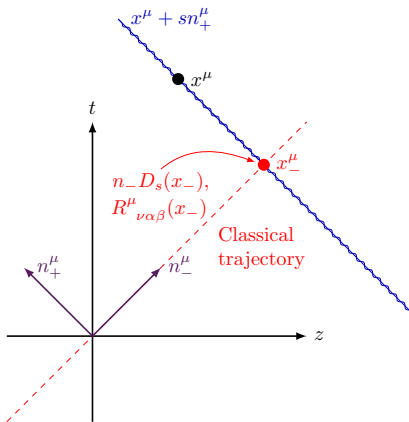
$n_- A_s(x_-)$ (and only this) appears as a background gauge field, which lives on the light-cone / classical trajectory of the collinear particle. Soft fields appear only in $n_- D(x_-)$ and the covariant field-strength tensor.

$$D_{s\mu} = \partial_\mu - ig n_- A_s(x_-) \frac{n+\mu}{2}$$

$$\mathcal{A}_{\text{rad}} = -g \sum_{i=1}^n t_i^a \bar{u}(p_i) \left(\underbrace{\frac{\varepsilon_\mu^a(k) p_i^\mu}{p_i \cdot k}}_{\text{soft cov. derivative}} + \underbrace{\frac{k_\nu \varepsilon_\mu^a(k) J_i^{\mu\nu}}{p_i \cdot k}}_{\text{multipole exp}} \right) A$$



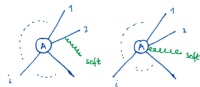
Space-time picture



Why gravity?

- ▶ Internal vs. space-time symmetry but intriguing relations between scattering amplitudes.
 \hookrightarrow “double copy”, Gravity \sim [Gauge]²
- ▶ Collinear divergences very different at LP, soft similar
- ▶ Soft theorem structure
 Colour-kinematics dual structure $gt_i^a \rightarrow -\frac{\kappa}{2}p_i^\nu$, but ...

$$\mathcal{A}_{\text{rad}} = -g \sum_{i=1}^n t_i^a \bar{u}(p_i) \left(\frac{\varepsilon_\mu^a(k) p_i^\mu}{p_i \cdot k} + \frac{k_\nu \varepsilon_\mu^a(k) J_i^{\mu\nu}}{p_i \cdot k} \right) \mathcal{A}$$



$$\mathcal{A}_{\text{rad}} = \frac{\kappa}{2} \sum_i \bar{u}(p_i) \left(\frac{\varepsilon_{\mu\nu}(k) p_i^\mu p_i^\nu}{p_i \cdot k} + \frac{k_\rho \varepsilon_{\mu\nu}(k) p_i^\nu J_i^{\mu\rho}}{p_i \cdot k} + \frac{1}{2} \frac{\varepsilon_{\mu\nu}(k) k_\rho k_\sigma J_i^{\rho\mu} J_i^{\sigma\nu}}{p_i \cdot k} \right) \mathcal{A}.$$

soft (eikonal): Bloch, Nordsieck 1930s / Weinberg 1965

(next-to-next-to-soft): Low 1958; Burnett, Kroll, 1968 / Cachazo, Strominger 2014

Leading collinear & soft interactions

- No IR divergence in collinear graviton emission due to spin-2 [Weinberg 1965; Akhoury, Saotome, Serman 2011; MB, Kirilin 2012]

$$\mathcal{L}_c^{(0)} = \bar{\xi} \left(in_- D_c + i\not{p}_\perp \frac{1}{in_+ D_c} i\not{p}_\perp \right) \frac{\not{n}_+}{2} \xi$$

$$\mathcal{L}_c^{(0)} = \bar{\xi} \left(in_- \partial + i\not{p}_\perp \frac{1}{in_+ \partial} i\not{p}_\perp \right) \frac{\not{n}_+}{2} \xi$$

Leading collinear & soft interactions

- No IR divergence in collinear graviton emission due to spin-2 [Weinberg 1965; Akhoury, Saotome, Sterman 2011; MB, Kirilin 2012]

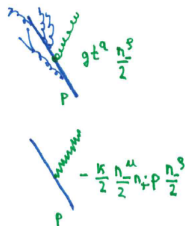
$$\mathcal{L}_c^{(0)} = \bar{\xi} \left(in_- D_c + i\not{D}_{\perp c} \frac{1}{in_+ D_c} i\not{D}_{\perp c} \right) \frac{\not{n}_+}{2} \xi$$

$$\mathcal{L}_c^{(0)} = \bar{\xi} \left(in_- \partial + i\not{\partial}_{\perp} \frac{1}{in_+ \partial} i\not{\partial}_{\perp} \right) \frac{\not{n}_+}{2} \xi$$

Add soft:

$$\mathcal{L}^{(0)} = \bar{\xi} \left(in_- D_c + g n_-^{\nu} A_{s\nu}(x_-) + i\not{D}_{\perp c} \frac{1}{in_+ D_c} i\not{D}_{\perp c} \right) \frac{\not{n}_+}{2} \xi$$

$$\mathcal{L}^{(0)} = \bar{\xi} \left(in_- \partial - \frac{\kappa}{4} n_-^{\mu} n_-^{\nu} s_{\mu\nu}(x_-) n_+ \partial + i\not{\partial}_{\perp} \frac{1}{in_+ \partial} i\not{\partial}_{\perp} \right) \frac{\not{n}_+}{2} \xi$$



- Leading (eikonal) soft coupling very similar,

$$g t^a \rightarrow -\frac{\kappa}{2} \frac{n_-^{\mu}}{2} n_+ \partial \simeq -\frac{\kappa}{2} p_c^{\mu}$$

Beyond leading power? Soft-collinear gravity

Collinear gravitons

$$h_{\mu\nu} \sim \frac{1}{\lambda} \times p_\mu p_\nu \quad [\text{while } A_{c\mu} \sim p_\mu]$$

$h_{++} \sim 1/\lambda$ and $h_{\perp+} \sim 1$ must be controlled to all orders by an analogue of the Wilson line.

- Wilson lines are elements of the gauge group.

Construct a local translation $W_c^{-1} = T_{\theta_c[h]}$ such that the gauge-transformed field $\mathfrak{h}_{\mu\nu}$ satisfies collinear light-cone gauge $\mathfrak{h}_{+\mu} = 0$

$$\eta_{\mu\nu} + \mathfrak{h}_{\mu\nu}(x) = W_c^\alpha{}_\mu W_c^\beta{}_\nu [W_c^{-1}(\eta_{\alpha\beta} + h_{\alpha\beta}(x))]$$

- $\mathfrak{h}_{\mu\nu}$ and $\chi_c = [W_c^{-1}\varphi]$ are the collinear gauge-invariant fields

- Note similarity at linear level

$$\mathfrak{h}_{\mu\nu} = h_{\mu\nu} - \frac{\partial_\mu}{n_+ \partial} \left(h_{\nu+} - \frac{1}{2} \frac{\partial_\nu}{n_+ \partial} h_{++} \right) - \frac{\partial_\nu}{n_+ \partial} \left(h_{\mu+} - \frac{1}{2} \frac{\partial_\mu}{n_+ \partial} h_{++} \right) + \mathcal{O}(\lambda h_{\mu\nu})$$

$$\mathcal{A}_{c\mu} = W_c^\dagger [iD_{c\mu} W_c] = A_{c\mu} - \frac{\partial_\mu}{n_+ \partial} A_{c+} + \mathcal{O}(g A_{c\mu})$$

Soft metric background field and emergent gauge symmetry

- Define generalization of Riemann normal coordinates: fixed-line normal coordinates by

$$(x - x_-)^\alpha (x - x_-)^\beta \Gamma_{\alpha\beta}^\mu(x) = 0$$

Does not fix the gauge completely. Residual gauge invariance on the light cone including first derivative of $s_{\mu\nu}$.

- Construct the corresponding coordinate transformation (translation by $\theta_{\text{FLNC}}^\mu[s_{\rho\sigma}(x)]$) and then $R_{\text{FLNC}}^{-1}(x) = T_{\theta_{\text{FLNC}}}(x)$ and

$$\check{g}_{s\mu\nu}(x) \equiv R^\alpha{}_\mu(x) R^\beta{}_\nu(x) \left[R_{\text{FLNC}}^{-1}(x) g_{s\alpha\beta}(x) \right] \equiv \underbrace{\hat{g}_{s\mu\nu}(x)}_{\text{background metric}} + \mathfrak{g}_{s\mu\nu}(x)$$

- $\mathfrak{g}_{s\mu\nu}(x)$ is covariant and expressed in terms of the Riemann tensor at x_- after multipole expansion

$$\mathfrak{g}_{s\mu\nu}(x) = x_\perp^\alpha x_\perp^\beta \left(-\frac{n+\mu n+\nu}{4} R_{\alpha-\beta-}(x_-) + \dots \right) + \mathcal{O}(\lambda^3)$$

Soft background and emergent gauge symmetry in gravity

Soft background vierbein (\rightarrow metric)

$$\hat{e}_s^a{}_{\mu}(x) = \left(\delta_{\perp\mu}^a + \frac{n_{-\mu}}{2} n_+^a + \frac{n_{+\mu}}{2} \left(e_s^a{}_{-}(x_-) + (x - x_-)^\beta [\Omega_-]_{\alpha\beta}^a(x_-) \right) \right)$$

- Two independent gauge symmetries and gauge fields, “vierbein” $e_-{}^\alpha(x_-)$ and “spin connection” $[\Omega_-]_{\alpha\beta}(x_-)$ on the light-cone, corresponding to local translations and local Lorentz transformations

$$e_-{}^\alpha = \delta_-{}^\alpha + \frac{1}{2} s_-{}^\alpha - \frac{1}{8} s_{-\beta} s^{\beta\alpha} + \mathcal{O}(s^3)$$
$$[\Omega_-]_{\alpha\beta} = -\frac{1}{2} \left([\partial_\alpha s_{\beta-}] - [\partial_\beta s_{\alpha-}] \right) + \mathcal{O}(s^2)$$

- Covariant derivative

$$n_- D_s \equiv \hat{E}_{s-}{}^\mu \partial_\mu = \partial_- - \frac{\kappa}{2} s_{-\mu} \partial^\mu + \frac{\kappa}{2} [\Omega_-]_{\mu\nu} J^{\mu\nu} + \mathcal{O}(s^2)$$

where $J^{\mu\nu} = (x - x_-)^\mu \partial^\nu - (x - x_-)^\nu \partial^\mu \equiv (x - x_-)^{[\mu} \partial^{\nu]}$ denotes the angular momentum (Lorentz generator) operator.

- Same structure as in gauge theory [\[review: 2210.09336\]](#)

Soft-collinear gravity and soft theorem

$$\begin{aligned}
 \mathcal{L}_{\text{SCETgr}} = & \frac{1}{2} \partial_+ \hat{\varphi}_c D_- \hat{\varphi}_c + \frac{1}{2} \partial_{\alpha_\perp} \hat{\varphi}_c \partial^{\alpha_\perp} \hat{\varphi}_c - \underbrace{\frac{1}{2} \hat{h}^{\mu\nu} \partial_\mu \hat{\varphi}_c \partial_\nu \hat{\varphi}_c}_{\mathcal{L}^{(1)}} \\
 & - \underbrace{\frac{1}{8} x_\perp^\alpha x_\perp^\beta R_{\alpha-\beta-}^s (\partial_+ W_c^{-1} \hat{\varphi}_c)^2 + \dots + \dots}_{\mathcal{L}^{(2)}}
 \end{aligned}$$

Soft-collinear gravity and soft theorem

$$\mathcal{L}_{\text{SCETgr}} = \frac{1}{2} \partial_+ \hat{\varphi}_c D_- \hat{\varphi}_c + \frac{1}{2} \partial_{\alpha\perp} \hat{\varphi}_c \partial^{\alpha\perp} \hat{\varphi}_c - \underbrace{\frac{1}{2} \hat{h}^{\mu\nu} \partial_\mu \hat{\varphi}_c \partial_\nu \hat{\varphi}_c}_{\mathcal{L}^{(1)}} - \underbrace{\frac{1}{8} x_\perp^\alpha x_\perp^\beta R_{\alpha-\beta-}^s (\partial_+ W_c^{-1} \hat{\varphi}_c)^2 + \dots + \dots}_{\mathcal{L}^{(2)}}$$

$$\mathcal{A}_{\text{rad}} = \frac{\kappa}{2} \sum_i \bar{u}(p_i) \left(\underbrace{[\varepsilon_{\mu\nu}(k) p_i^\nu + k_\rho \varepsilon_{\mu\nu}(k) J_i^{\nu\rho}] \frac{p_i^\mu}{p_i \cdot k}}_{\text{soft cov. derivative}} + \underbrace{\frac{1}{2} \frac{\varepsilon_{\mu\nu}(k) k_\rho k_\sigma J_i^{\rho\mu} J_i^{\sigma\nu}}{p_i \cdot k}}_{\text{multipole exp}} \right) \mathcal{A}$$

- Universal terms = Lagrangian insertions on external legs ONLY
No emission from hard vertex up to (including) $\mathcal{O}(\lambda^2)$ = next-to-soft in **gauge theory** and $\mathcal{O}(\lambda^4)$ = next-to-next-to-soft in **gravity**
- Consequence of soft gauge invariance, no calculation needed

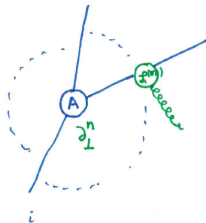
Soft theorem from the SCET Lagrangian

Goal — Operatorial form of the soft theorem by manipulating the Lagrangian, assuming that it is evaluated at tree level in a matrix element with one soft graviton and one energetic particle per direction.

$$\mathcal{A}_{\text{rad}} \sim \langle p_i, k | \sum_{n,m=0} C^{(An)}(p_i p_j) \int d^4x T(\partial_{\perp}^n \chi_{c_i}^{\dagger}, \mathcal{L}_{\chi}^{(m)}(x)) | 0 \rangle$$

with $n + m \leq 4$ for next-to-next-to-soft (gravity).

Sum over all directions $i = 1 \dots N$.



- Wlog choose a frame where external p_i is aligned with reference vector n_{-i} , i.e. $p_{\perp i} = 0$.
- Lorentz-invariance / reparameterization invariance relates $C^{(An)}$ to $C^{(A0)}$ for all n

↪ $\mathcal{A}_{\text{non-rad}}$ factors

Organizing the Lagrangian

- Many terms already have a suggestive form due to the multipole expansion

$$J^{\mu\nu} = x^\mu \partial_\nu - x^\nu \partial^\mu + \Sigma^{\mu\nu} = L^{\mu\nu} + \Sigma^{\mu\nu}$$

- Matter field is scalar

$$\mathcal{L}_{\text{eik}}^{(0)} = \frac{\kappa}{4} \chi_c^\dagger s_{--} n_+ \partial n_+ \partial \chi_c$$

$$\mathcal{L}_{\text{eik}}^{(1)} = \frac{\kappa}{2} [\partial_\mu s_{\nu-}] \chi_c^\dagger \overleftarrow{L}_{+\perp}^{\mu\nu} n_+ \partial \chi_c$$

$$\mathcal{L}_{\text{eik}}^{(2)} = \frac{\kappa}{2} [\partial_\mu s_{\nu-}] \chi_c^\dagger \overleftarrow{L}_{+-}^{\mu\nu} n_+ \partial \chi_c$$

$$\mathcal{L}_{\text{R}}^{(2)} = \frac{1}{4} R_{\mu\alpha\nu\beta}^s \chi_c^\dagger \overleftarrow{L}_{+\perp}^{\mu\alpha} \overrightarrow{L}_{+\perp}^{\nu\beta} \chi_c$$

$$\mathcal{L}_{\text{R}}^{(3)} = \frac{1}{4} R_{\mu\alpha\nu\beta}^s \chi_c^\dagger (\overleftarrow{L}_{+-}^{\mu\alpha} \overrightarrow{L}_{+\perp}^{\nu\beta} + \overleftarrow{L}_{+\perp}^{\mu\alpha} \overrightarrow{L}_{+-}^{\nu\beta}) \chi_c$$

$$\mathcal{L}_{\text{R}}^{(4)} = \frac{1}{4} R_{\mu\alpha\nu\beta}^s \chi_c^\dagger \overleftarrow{L}_{+-}^{\mu\alpha} \overrightarrow{L}_{+-}^{\nu\beta} \chi_c$$

For gauge theory, also considered spin-1/2 and spin-1 matter, and recover the spin term analogously [SCET gravity for fermions, 2212.02525]

Lagrangian derivation of the soft theorem provides explanation of angular momentum in terms of gauge symmetry and multipole expansion.

Back-up

Step 1: Field split and soft background gauge symmetry

$$A_\mu = A_{c\mu} + A_{s\mu},$$

$$\phi = \phi_c + WZ^\dagger \phi_s,$$

$$\text{collinear: } A_c \rightarrow U_c A_c U_c^\dagger + \frac{i}{g} U_c [D_s, U_c^\dagger], \quad \phi_c \rightarrow U_c \phi_c,$$

$$A_s \rightarrow A_s, \quad \phi_s \rightarrow \phi_s,$$

$$\text{soft: } A_c \rightarrow U_s A_c U_s^\dagger, \quad \phi_c \rightarrow U_s \phi_c,$$

$$A_s \rightarrow U_s A_s U_s^\dagger + \frac{i}{g} U_s [\partial, U_s^\dagger] \quad \phi_s \rightarrow U_s \phi_s$$

- $A_\mu = A_{c\mu} + A_{s\mu}$ and ϕ transform in the usual way under both gauge symmetries.
- Background field A_s for collinear trafo
- Collinear trafo of A_c mixes different orders in λ , because

$$\int d^4x \phi_c(x) \phi_s(x) \quad \text{is not homogeneous.}$$

Step 2: Multipole expansion

Recall interactions of light with atoms

$$\langle n | \vec{A}_k(\hat{X}) \cdot \hat{P} | m \rangle \sim \langle n | e^{i\vec{k} \cdot \hat{X}} \hat{P} | m \rangle \approx \langle n | (1 + i\vec{k} \cdot \hat{X} + \dots) \hat{P} | m \rangle$$

On fields

$$\int d^4x \psi^\dagger(x) i\vec{\partial}\psi(x) \vec{A}(x) \approx \int d^4x \psi^\dagger(x) i\vec{\partial}\psi(x) \left[\vec{A}(t, 0) + [(\vec{x}\vec{\partial})\vec{A}](t, 0) + \dots \right]$$

Subleading term gives $\psi^\dagger \vec{x} \cdot \vec{E} \psi$.

For any soft field in a product with collinear expand in n_-, x_\perp ,

$$\begin{aligned} \psi_s(x) &= \psi_s(x_-) + [x_\perp^\alpha \partial_\alpha \psi_s](x_-) \\ &+ \frac{1}{2} [n_- x_n + \partial \psi_s](x_-) + \frac{1}{2} [x_\perp^\alpha x_\perp^\beta \partial_\alpha \partial_\beta \psi_s](x_-) + \mathcal{O}(\lambda^3 \psi_s), \end{aligned}$$

i.e. around the light-cone $x_-^\mu = \frac{1}{2} n_+ \cdot x n_-^\mu$ of the collinear field.

- Soft fields must always be evaluated at x_- in products with collinear.
- Must adapt the gauge symmetry to this expansion.

Step 3: Homogeneous gauge symmetry and collinear field redefinition

Redefine collinear fields such that

$$\begin{aligned} \text{collinear: } \hat{A}_c &\rightarrow U_c \hat{A}_c U_c^\dagger + \frac{i}{g} U_c \left[D_s(x_-), U_c^\dagger \right], & \hat{\phi}_c &\rightarrow U_c \hat{\phi}_c \\ \text{soft: } A_c &\rightarrow U_s(x_-) \hat{A}_c U_s^\dagger(x_-), & \hat{\phi}_c &\rightarrow U_s(x_-) \hat{\phi}_c \end{aligned}$$

with homogeneous soft-background field covariant derivative

$$D_{s\mu} = \partial_\mu - i g n_- A_s(x_-) \frac{n_+ \mu}{2}$$

Pull back gauge trafo from x to x_- by redefining collinear fields with

$$R(x) = P \exp \left(i g \int_C dy_\mu A_s^\mu(y) \right) \quad (C \text{ a straight path from } x_- \text{ to } x.)$$

Then

$$\phi_c = R \underbrace{W_c^\dagger \hat{\phi}_c}_{\hat{\chi}_c}, \quad A_c = R \left(W_c^\dagger \hat{A}_c W_c + \frac{i}{g} W_c^\dagger [D_s(x_-), W_c] \right) R^\dagger$$

- Background gauge field is now only $n_- A_s(x_-)$ and lives on the light cone. This is the emergent soft gauge symmetry of the effective Lagrangian.

Step 4: Collinear gauge-invariant and soft gauge-covariant fields

Collinear fields

$$\hat{\mathcal{A}}_{c\perp\mu} = W_c^\dagger [i\hat{D}_{c\perp\mu} W_c]$$

$$\hat{\chi}_c = W_c^\dagger \hat{\phi}_c$$

$$W_c(x) = P \exp \left(ig \int_{-\infty}^0 ds n_+ \hat{\mathcal{A}}_c(x + sn_+) \right)$$

Collinear gauge-invariant

Soft covariant

Covariant version of **collinear light-cone gauge**, $n_+ \hat{\mathcal{A}}_c = 0$. Controls the $\mathcal{O}(1)$ field.

Soft fields

$n_- A_s(x_-)$ only in the background covariant derivative $in_- D_s(x_-)$

$$\mathcal{A}_s(x) \equiv R^\dagger A_s(x) R + \frac{i}{g} R^\dagger [D_s, R],$$

satisfying **fixed-line gauge**

$$(x - x_-) \cdot \mathcal{A}_s(x) = 0$$

Leaves $n_- A_s(x_-)$ unconstrained.

Gauge fields in fixed-line gauge can be expressed in terms of the field-strength and multipole-expanded, e.g.

$$\begin{aligned} n_- \mathcal{A}_s(x) &= \int_0^1 ds (x - x_-)^\mu n_-^\nu R^\dagger(y(s)) F_{s\mu\nu}(y(s)) R(y(s)) \\ &= x_\perp^\mu n_-^\nu F_{s\mu\nu}(x_-) + \frac{1}{2} n_- x n_+^\mu n_-^\nu F_{s\mu\nu}(x_-) + \frac{1}{2} x_\perp^\mu x_\perp^\rho n_-^\nu [D_s^\rho, F_{s\mu\nu}](x_-) + \mathcal{O}(\lambda^5) \end{aligned}$$

Minimally coupled scalar + Einstein Hilbert theory

$$S = -\frac{2}{\kappa^2} \int d^4x \sqrt{-g} R + \int d^4x \sqrt{-g} \frac{1}{2} g^{\mu\nu} \partial_\mu \varphi \partial_\nu \varphi$$

Diffeomorphisms as local translations $x^\mu \rightarrow y^\mu(x) = x^\mu + \varepsilon^\mu(x)$.

Implement as active transformation

$$\varphi(x) \rightarrow \varphi'(x) = U(x)\varphi(x) = T_\varepsilon^{-1}\varphi(x)$$

$$T_\varepsilon f(x) = f(x) + \varepsilon^\alpha(x) \partial_\alpha f(x) + \frac{1}{2} \varepsilon^\alpha(x) \varepsilon^\beta(x) \partial_\alpha \partial_\beta f(x) + \mathcal{O}(\varepsilon^3).$$

Minimally coupled scalar + Einstein Hilbert theory

$$S = -\frac{2}{\kappa^2} \int d^4x \sqrt{-g} R + \int d^4x \sqrt{-g} \frac{1}{2} g^{\mu\nu} \partial_\mu \varphi \partial_\nu \varphi$$

Diffeomorphisms as local translations $x^\mu \rightarrow y^\mu(x) = x^\mu + \varepsilon^\mu(x)$.

Implement as active transformation

$$\varphi(x) \rightarrow \varphi'(x) = U(x)\varphi(x) = T_\varepsilon^{-1}\varphi(x)$$

$$T_\varepsilon f(x) = f(x) + \varepsilon^\alpha(x) \partial_\alpha f(x) + \frac{1}{2} \varepsilon^\alpha(x) \varepsilon^\beta(x) \partial_\alpha \partial_\beta f(x) + \mathcal{O}(\varepsilon^3).$$

Weak-field expansion around Minkowski space

Similar to (abelian) gauge symmetry at linear level, but inherently non-linear.

$$g_{\mu\nu}(x) \equiv \eta_{\mu\nu} + \kappa h_{\mu\nu}(x), \quad h_{\mu\nu} \rightarrow h_{\mu\nu} - \partial_\mu \varepsilon_\nu - \partial_\nu \varepsilon_\mu + \mathcal{O}(\varepsilon^2)$$

$$S = \sum_{k=0}^{\infty} \kappa^k S^{(k)}[h_{\mu\nu}]$$

Loop corrections to the gravitational soft theorem [2210.09336]

- Follow from λ -power counting of SCET gravity and flat-space Lorentz invariance
[\rightarrow scaleless integrals]

The leading soft factor is never modified by loops, the subleading factor is only corrected by one-loop, and the sub-subleading factor is only modified by one- and two-loop contributions. Higher loop-corrections cannot affect the terms of the gravitational soft theorem.

[Diagrammatically: Bern, Davies, Nohle, 1405.1015]

- i) In the purely-collinear sector, that is in the Lagrangian terms containing only collinear but no soft fields, there are no leading power interactions. The λ expansion corresponds to the weak-field expansion, and the first collinear interaction appears in $\mathcal{O}(\lambda)$.

A collinear loop can only be connected by purely-collinear vertices, which are power-suppressed in gravity. Thus, adding a collinear loop always brings suppression of at least $\mathcal{O}(\lambda^2)$.

- ii) In the purely-soft sector, that is in the Lagrangian terms containing only soft but no collinear fields, there are also no leading power interactions. Here, the weak-field expansion agrees with the λ^2 expansion, corresponding to an expansion in soft momenta $k \sim \lambda^2$. Purely-soft interaction vertices thus start at $\mathcal{O}(\lambda^2)$.

A soft loop is scaleless, unless it is directly connected to the external soft graviton by a *purely-soft interaction vertex*, due to the multipole expansion in soft-collinear vertices. Since purely-soft interactions are power-suppressed in gravity by a factor of λ^2 , adding a soft loop yields a suppression of at least $\mathcal{O}(\lambda^2)$.