

GUT & leptogenesis

a predictive class of models

Michele Frigerio (IFAE, UAB, Barcelona)

MF, P.Hosteins, S.Lavignac and A.Romanino, *NPB* 806 (2009) 84

L.Calibbi, MF, S.Lavignac and A.Romanino, *JHEP* 0912 (2009) 057

Galileo Galilei Institute
Indirect searches for new physics at the time of LHC
Arcetri, March 10, 2010

GUT motivations (theory)

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- **Supersymmetric Grand Unification (SUSY GUTs)** may account for
 - ✿ the **hierarchy problem**, if the supersymmetry is realized close to the electroweak scale
 - ✿ the relative values of the Standard Model (SM) **gauge couplings**
 - ✿ the **charge quantization**, since the gauge group is simple
 - ✿ the gauge **quantum numbers** of the SM fermions
 - ✿ the **mass relations** between quarks and leptons
 - ✿ the chiral **anomaly cancellation**

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 - ✿ the chiral **anomaly cancellation**
- SUSY Grand Unification should then be realized at some level.
We adopt here the traditional picture, with low energy SUSY, four spacetime dimensions and gravity effects negligible below M_{Planck}

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 - ✿ precision neutrino flavour parameters
 - ✿ enhanced sensitivity to flavour and CP violating rare processes
 - ✿ direct tests of the low energy supersymmetry spectrum

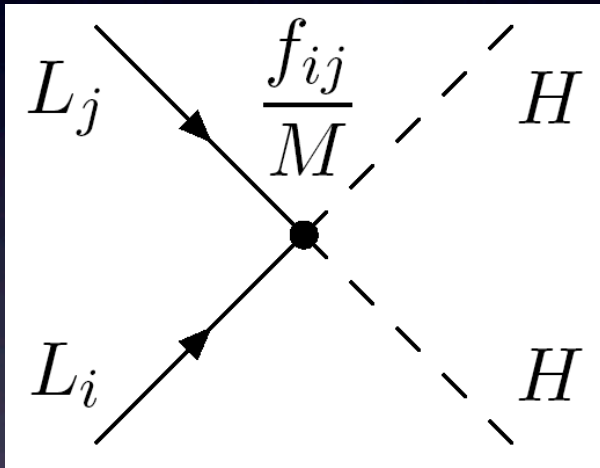
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Neutrino mass & GUT scale

$$\frac{1}{M} \mathcal{L}_{D=5} = \frac{f_{ij}}{M} L_i L_j H H$$

the only dimension-5 operator that can be added to the Standard Model

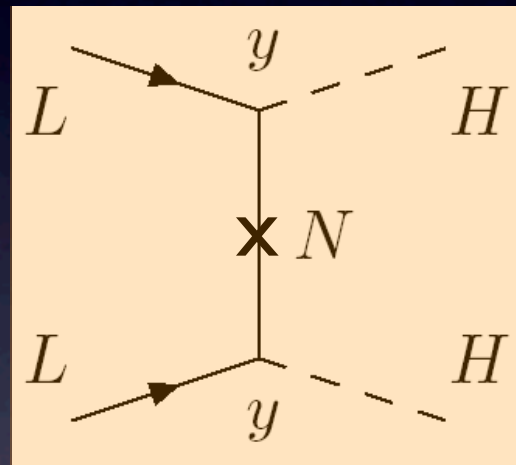
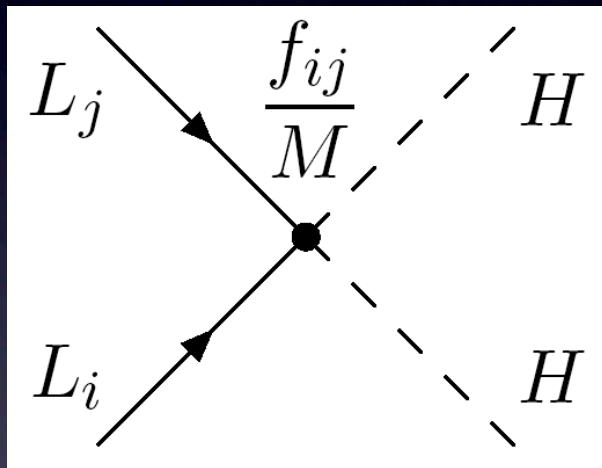


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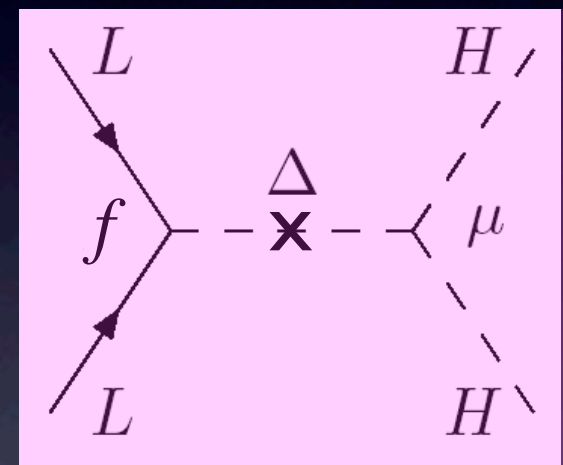
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type I



type II

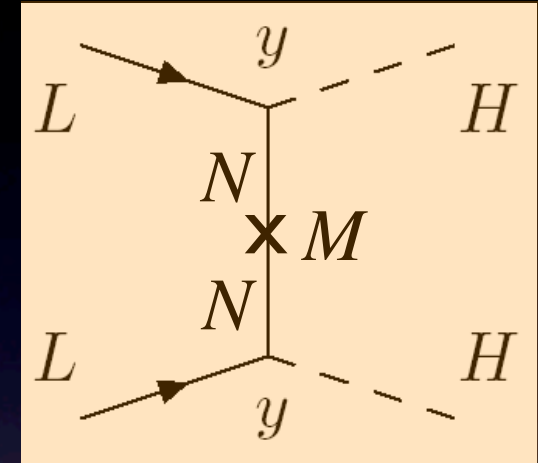
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Seesaw mechanism:
exchange of superheavy
($< 10^{15}$ GeV) particles
induces tiny Majorana
neutrino masses

Lepton flavour structure

In **type I seesaw**, light neutrinos couple through y_{ij} to gauge singlets N 's, which have heavy Majorana masses M_{ij} : there are **two sets of flavour parameters**

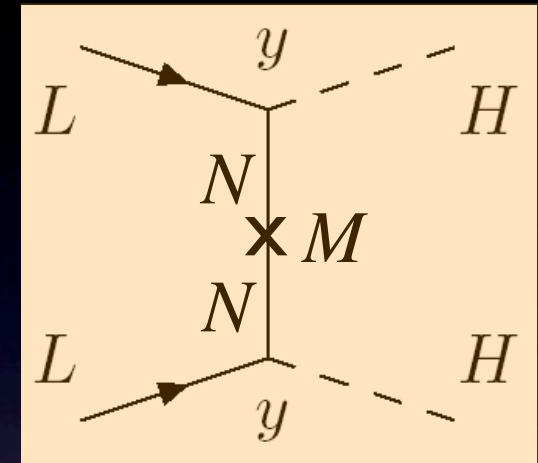
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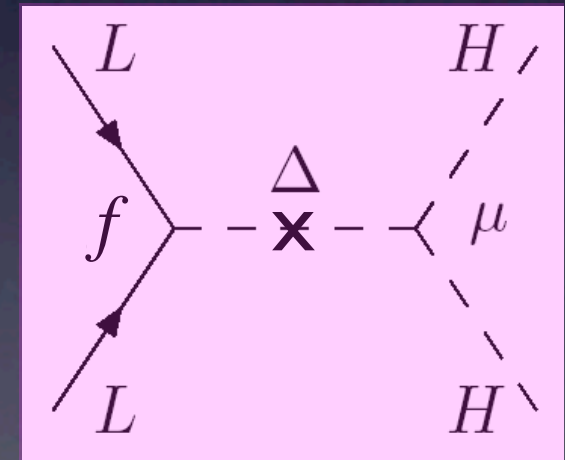
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In **type II seesaw**, light neutrinos couple to the $SU(2)_L$ triplet Δ , with couplings f_{ij}

$$m_{ij} = \frac{\mu v^2}{M_{\Delta}^2} f_{ij}$$



The unique set of flavour parameters is the low energy one, that is, **the light neutrino mass matrix m_{ij}**

Baryogenesis via leptogenesis

Sakharov

$$\frac{n_B}{s} \approx 0.9 \cdot 10^{-10}$$

WMAP

3 necessary conditions to generate the matter-antimatter asymmetry:

- (i) violation of B-L symmetry: M_N
- (ii) violation of CP symmetry: γ
- (iii) epoch out of thermal equilibrium

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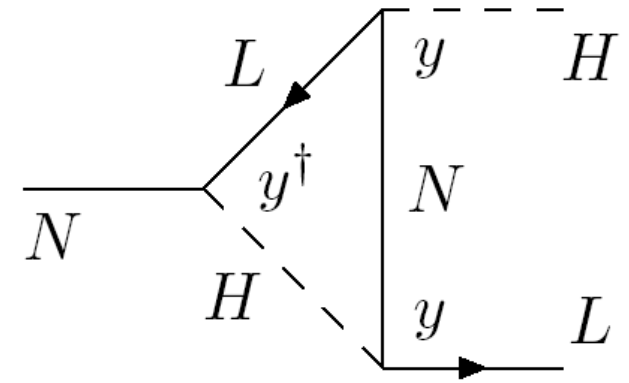
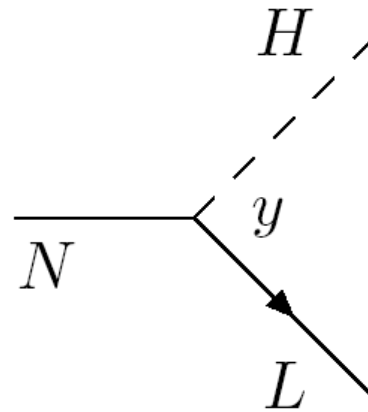
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Fukugita & Yanagida

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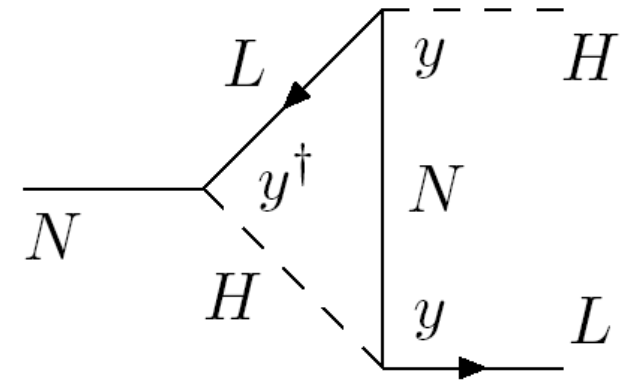
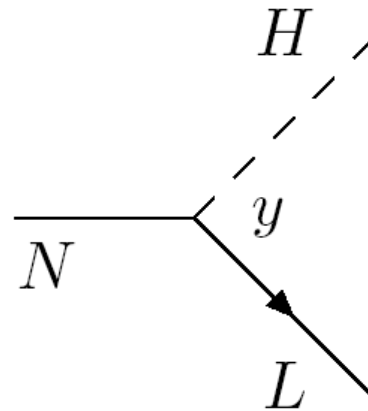
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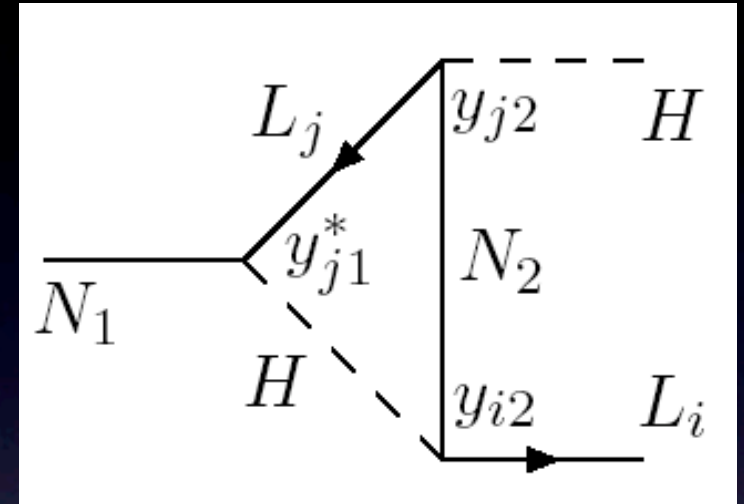
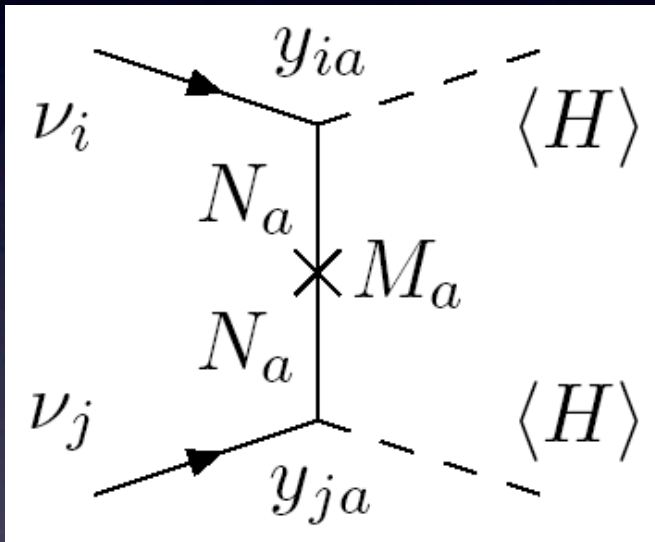


Asymmetry suppressed by (i) large number of d.o.f. (ii) a loop factor (iii) dilution effects

$$\frac{n_B}{s} \approx 10^{-3} \epsilon_L \eta^{obs} \approx 10^{-10}$$

Is leptogenesis testable? Flavour

$$m_{ij} = - \sum_a y_{ia} \frac{v^2}{M_a} y_{aj}^T$$

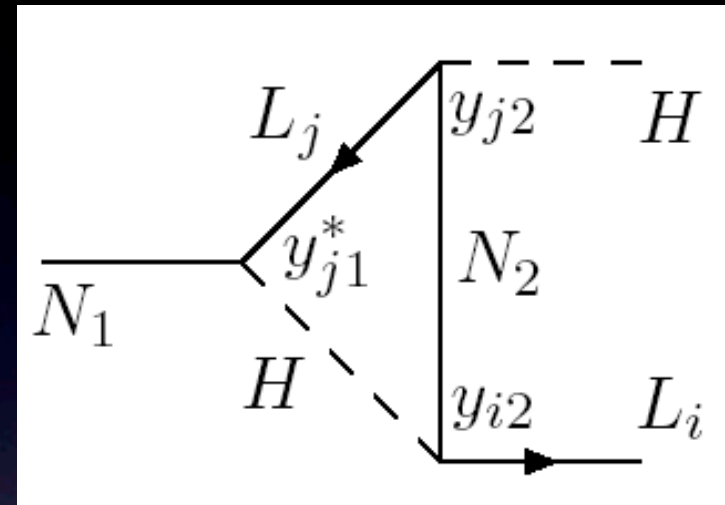
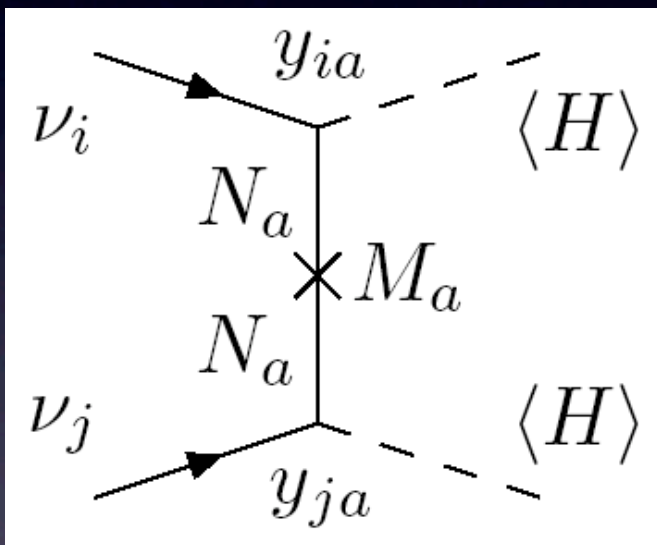


$$\epsilon_L = \frac{3}{8\pi} \frac{M_1}{M_2} \frac{\text{Im}[(y^\dagger y)_{12}(y^\dagger y)_{12}]}{(y^\dagger y)_{11}}$$

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- ⇒ the couplings y_{ia} are not directly accessible at low energy
- ⇒ N_1 and N_2 (at least) with different couplings are needed
- ⇒ the outcome depends on several **high energy flavour parameters** (minimal GUT models partially constrain y_{ia} and are more predictive)

Outline

- **Type I $SO(10)$ unification vs type II $SO(10)$ unification:** a class of models with no unknown flavour parameters at GUT scale
 - ✿ some **model building ...**
- **Baryogenesis via leptogenesis in type II $SO(10)$**
 - ✿ the CP asymmetry, the efficiency factor, the constraints on **light neutrino parameters**
- **mSUGRA flavour & CP violating effects in type II $SO(10)$**
 - ✿ the prediction for **$BR(\mu \rightarrow e\gamma)$** waiting for the MEG experiment results

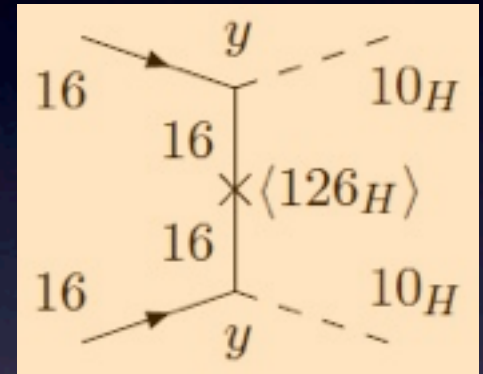
Neutrino masses in SO(10)

In usual SO(10) one entire family sits in a **spinor representation**:

$$16 = (1 + \bar{5} + 10)_{SU(5)} = N^c + (L, d^c) + (Q, u^c, e^c)$$

Neutrino Yukawa couplings lead to type I seesaw:

$$y \ 16 \ 16 \ 10_H \supset y \ L \ N^c \ H_u$$



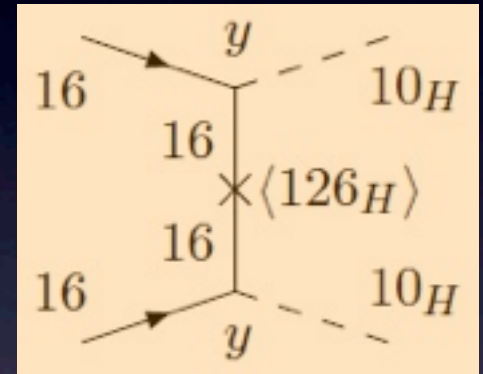
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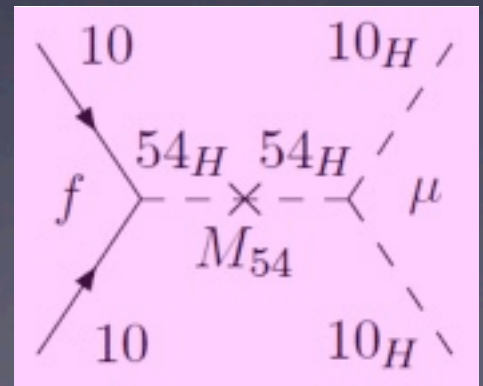


The **fundamental representation** also contains L and d^c states:

$$10 = (5 + \bar{5})_{SU(5)} = (L^c, d) + (L, d^c)$$

These L states have no Yukawas to N^c , but:

$$f \ 10 \ 10 \ 54_H \supset f \ L \ L \ \Delta$$



Light & heavy matter fields

If both 16 and 10 matter fields exist, the light lepton doublet L is in general a linear combination of L^{16} and L^{10} .

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When $SO(10)$ is broken to $SU(5)$ by the VEV of a dim-16 Higgs, the states $(L, d^c)^{16}$ acquire a mass of order M_{GUT} :

$$Y^D_{16 \ 10 \ 16_D} \supset Y^D \left(\bar{5}^{16} \ 5^{10} \langle 1_D^{16} \rangle + 10^{16} \ \bar{5}^{10} \langle \bar{5}_D^{16} \rangle \right).$$

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The light L and d^c states belong to the 10 multiplets.
 Y^D generates also down quark & charged lepton masses.

Charged fermion masses

In other words, type II SO(10) is a different route to embed the flexible SU(5) unification into the more constrained SO(10) unification:

SU(5)

$$Y_{ij}^U 10_i 10_j 5_U + Y_{ij}^D 10_i \bar{5}_j \bar{5}_D$$

type I
SO(10)

$$Y_{ij}^U 16_i 16_j 10_U + Y_{ij}^D 16_i 16_j 10_D$$

type II SO(10)

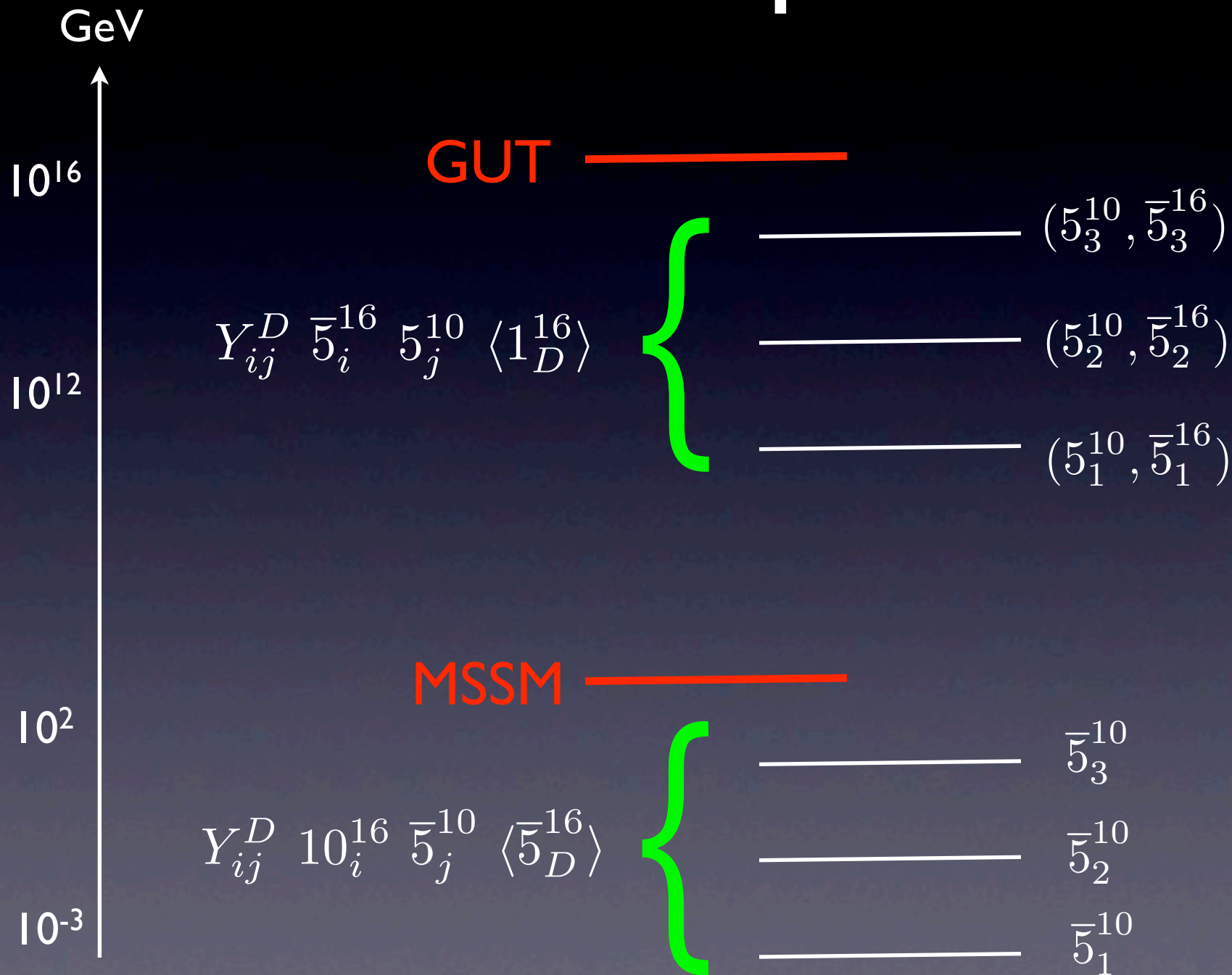
$$Y_{ij}^U 16_i 16_j 10_U + Y_{ij}^D 16_i 10_j 16_D$$

$$\begin{aligned} 16 &= (1 + \bar{5} + 10)_{SU(5)} \\ 10 &= (\bar{5} + 5)_{SU(5)} \end{aligned}$$

The up-type Higgs doublet resides in 10_U , as usual.

The down-type Higgs doublet resides in 16_D , which is needed anyway.

The mass spectrum

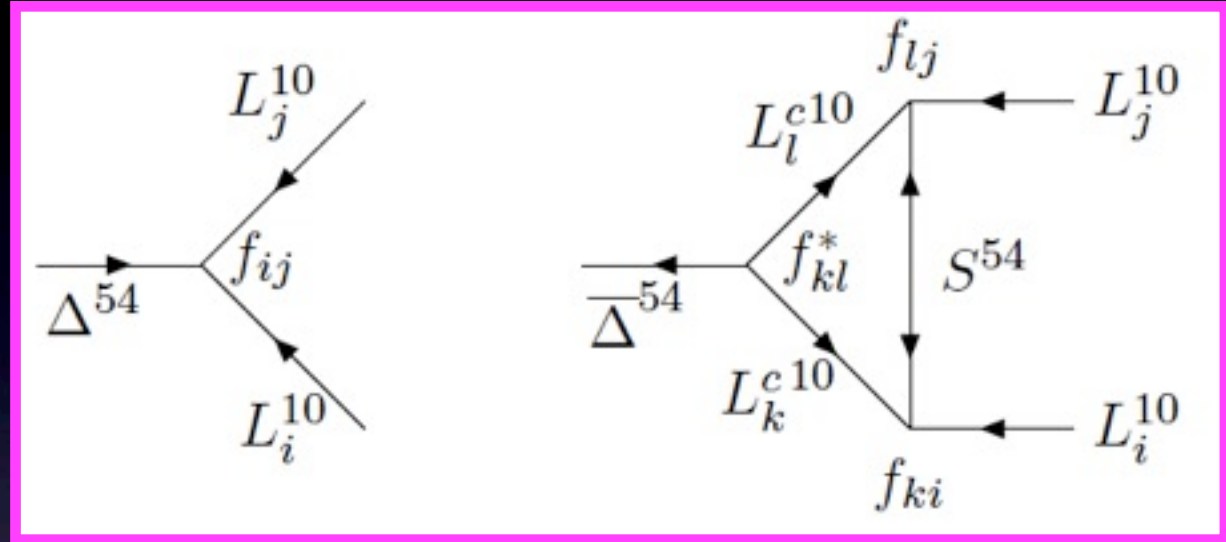


Model-building issues

- **SO(10) is broken to the SM in one step** by an appropriate choice of W_{GUT} , involving extra fields with mass M_{GUT}
- **Natural doublet-triplet splitting**: the MSSM Higgs doublets ($H_U \subset 10_U$ & $H_D \subset 16_D$) are kept light by the ‘missing VEV’ mechanism
- Dim-5 operators contribute to **p-decay through $T_U - T_D$ mixing**: this needs to be tuned down to about $10^{-2} M_{\text{GUT}}$, similarly to minimal SU(5)
- Dim-6 operators contribute to **p-decay through the (X,Y) gauge bosons** as in SU(5): the present bound is $M_{\text{GUT}} > 5 \cdot 10^{15} \text{ GeV}$

Type II SO(10) leptogenesis

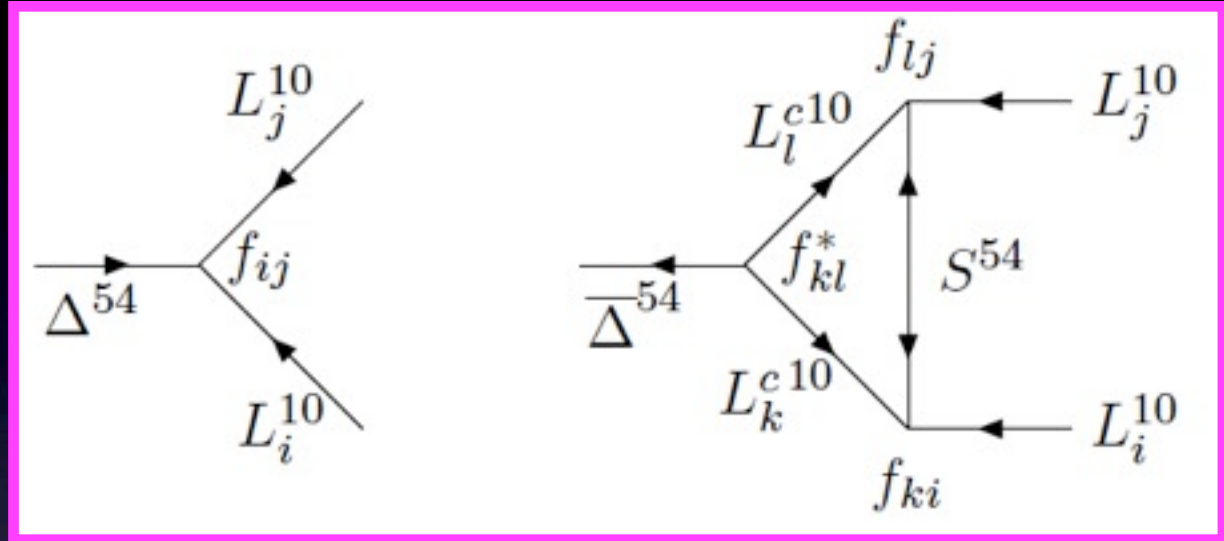
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 W_{SO(10)} &\supset f_{ij} 10_i 10_j 54 \\
 &\supset f_{ij} L_i L_j \Delta \\
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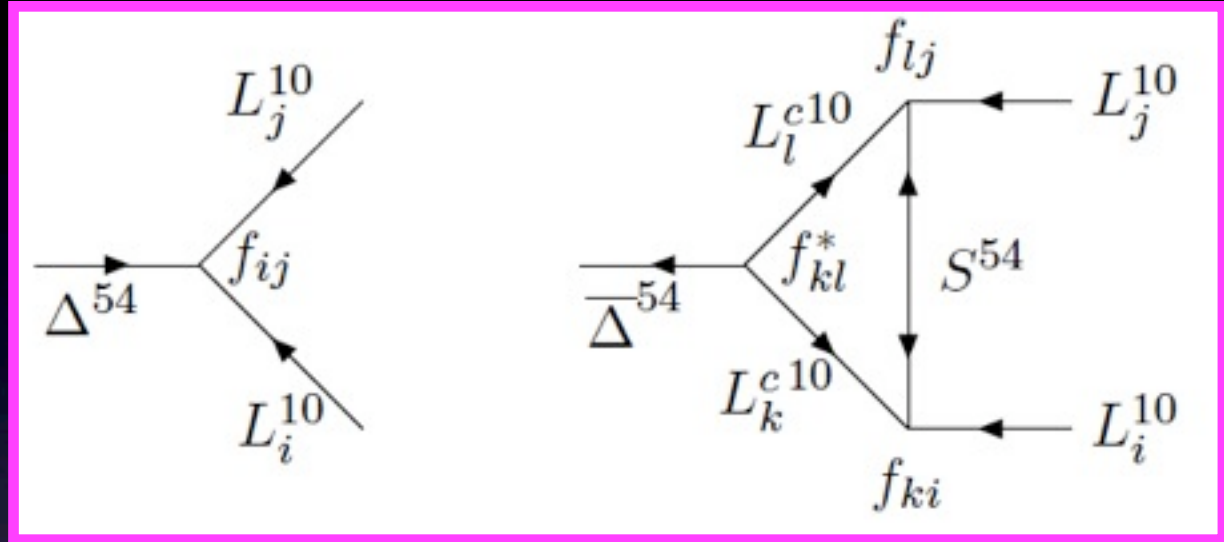
A lepton asymmetry is produced by the couplings f_{ij} only

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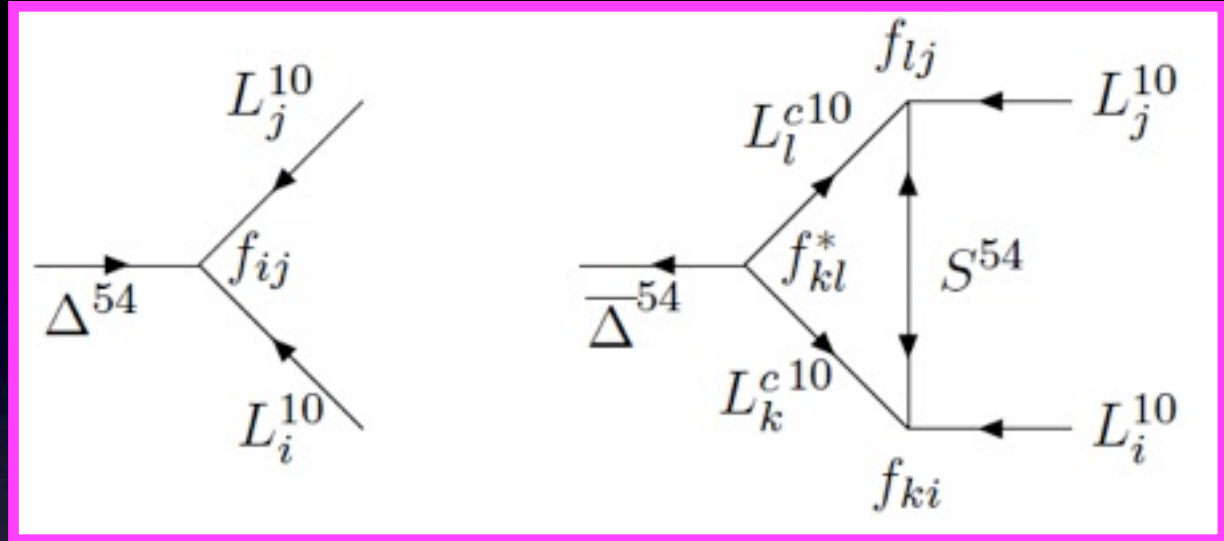
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The leptons L^c in the loop are heavy, with masses $M_{1,2,3} \approx \gamma_{e,\mu,\tau} M_{\text{GUT}}$. In the case $M_1 \ll M_\Delta < M_2$ one finds

$$\epsilon_L \approx \frac{\text{Tr}(f^* f)}{10\pi} \frac{M_\Delta}{M_S} \frac{\text{Im}[m_{11}(m^* m m^*)_{11}]}{(\sum_{i=1}^3 m_i^2)^2}$$

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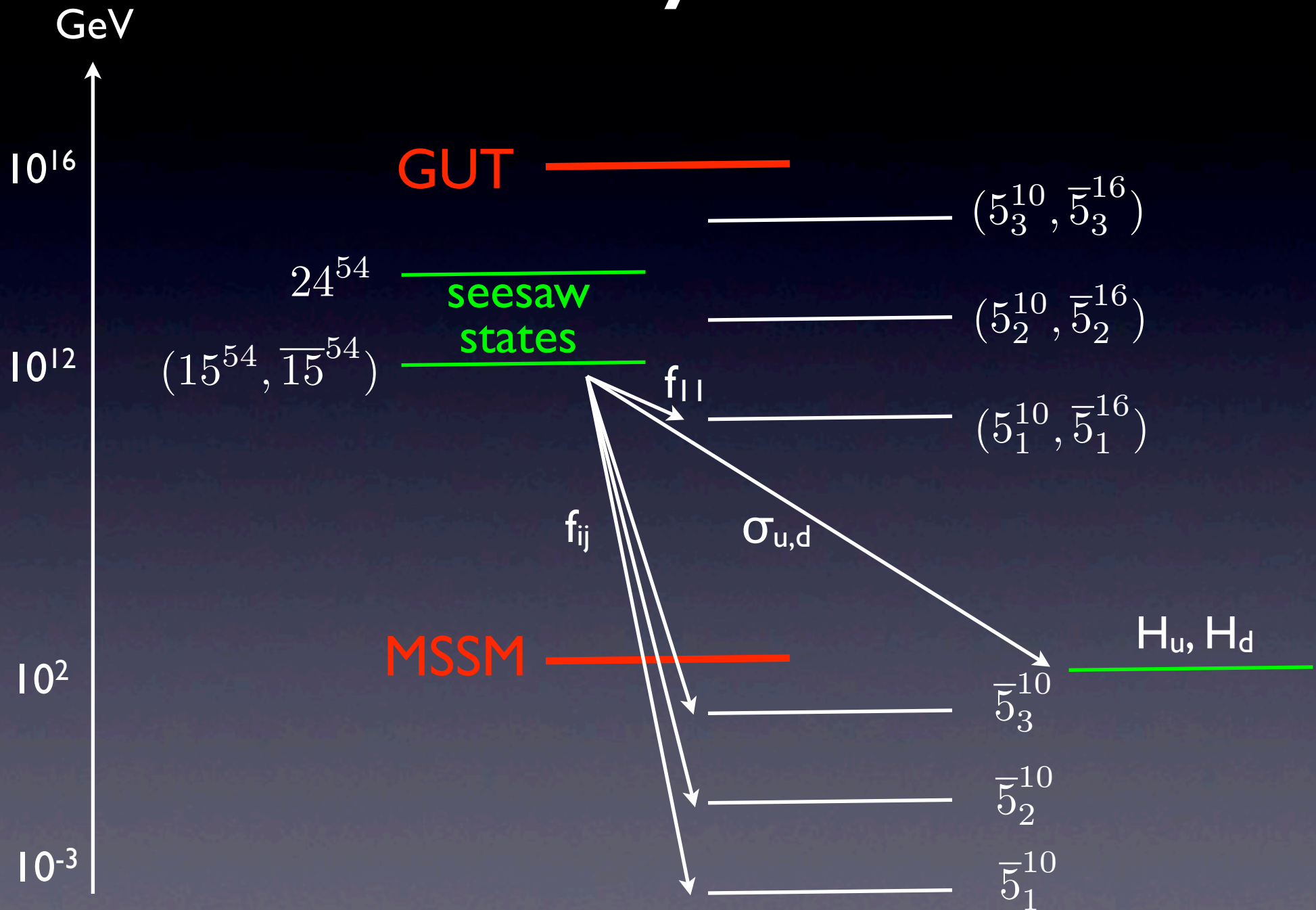
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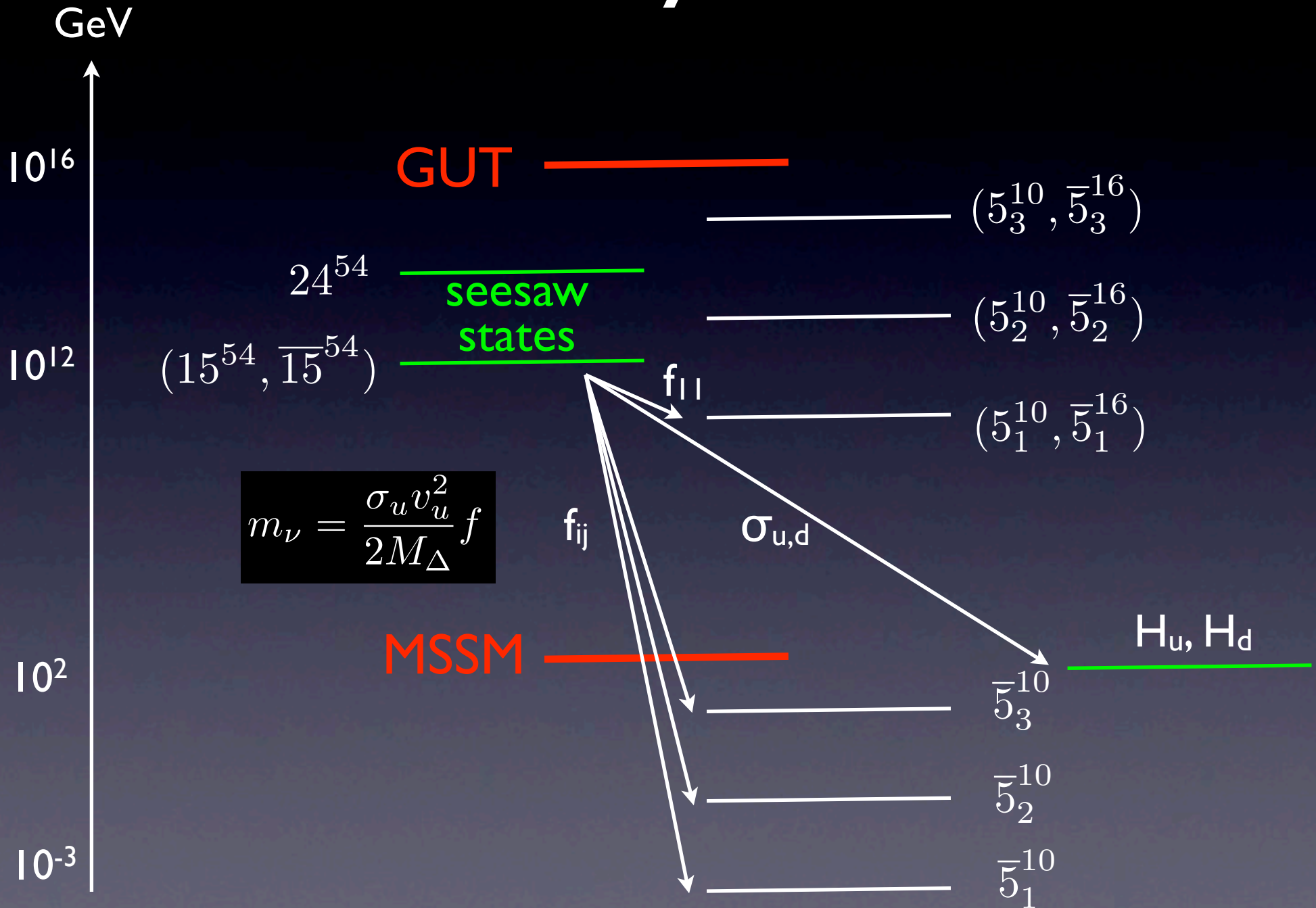
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Baryogenesis from the same CP phases observable in the lepton sector !

The decay channels



The decay channels



Efficiency of leptogenesis

$$\frac{n_B}{s} = 7.6 \times 10^{-3} \epsilon_L \eta \stackrel{obs}{=} 0.9 \times 10^{-10}$$

$$(\epsilon_L)_{max} \approx 0.1 \sqrt{\frac{\Delta m_{12}^2}{\Delta m_{23}^2} s_{13}^2} \Big|_{max} \approx 10^{-3}$$

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The efficiency parameter η is determined by the Boltzmann equations for the decays of Δ in the three channels LL, $L^c L^c$ and HH

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Large (order one) efficiency η is obtained when Γ_{tot} is larger than Hubble, but one decay channel is out-of-equilibrium

*Hambye,
Raidal,
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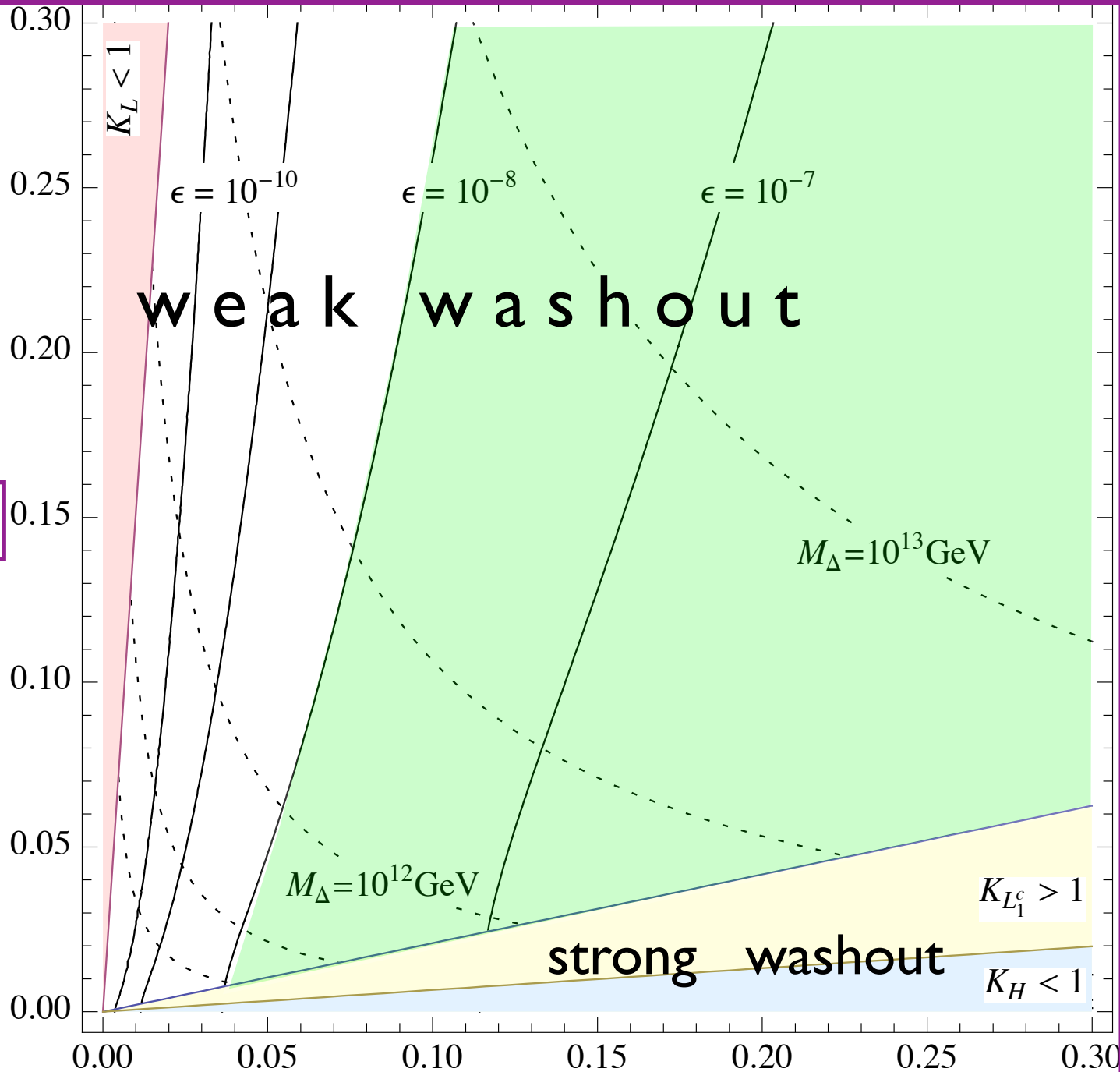
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- The neutrino mass scale fixes $K_L K_H = 220 (\sum_i m_i^2) / \Delta m_{23}^2 \gg 1$
- A good efficiency requires $K_{L^c} = |m_{ee}|^2 / (\sum_i m_i^2) K_L \ll 1$

λ_H  λ_L

Define

$Y_p = (n_p - n_{p^*})/s$
for each
species p .

At the end of
baryogenesis
epoch we find
 $Y_{L^c} = Y_L - Y_H \neq 0$.
Later L^c 's decay
and asymmetry
in light leptons
is left.

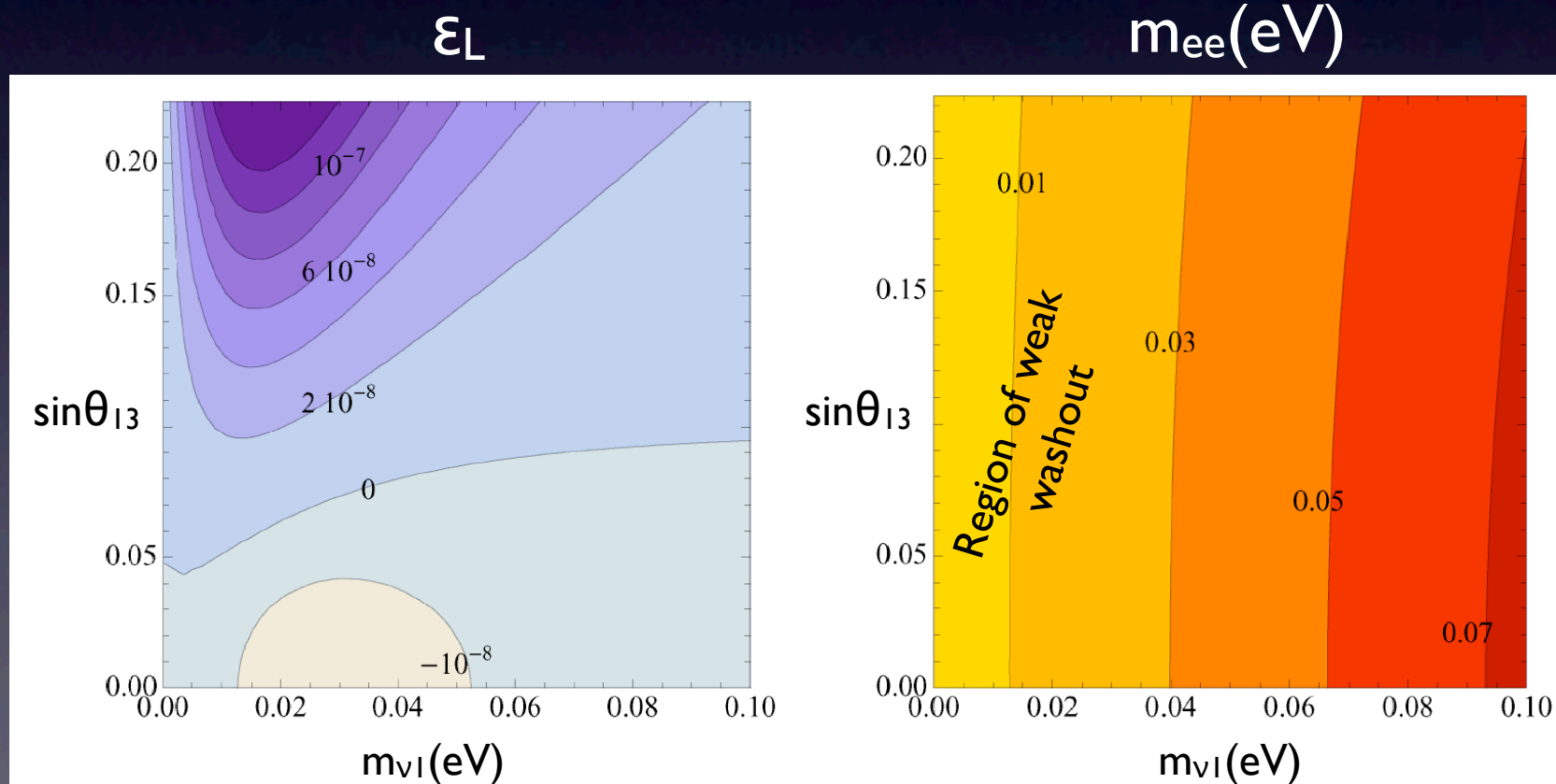
Constraints on ν parameters

The washout may be weak & the CP asymmetry sufficiently large for $M_\Delta > 10^{11} \text{ GeV}$ and specific ν parameters. If one takes $M_\Delta = 10^{12} \text{ GeV}$, successful leptogenesis requires: (i) suppression of $0\nu 2\beta$ decays (ii) normal ν mass hierarchy (iii) $\sin \theta_{13}$ close to the upper bound ≈ 0.2

Baryon
asymmetry
above
 10^{11} GeV



Neutrinoless
 2β decay of
heavy nuclei

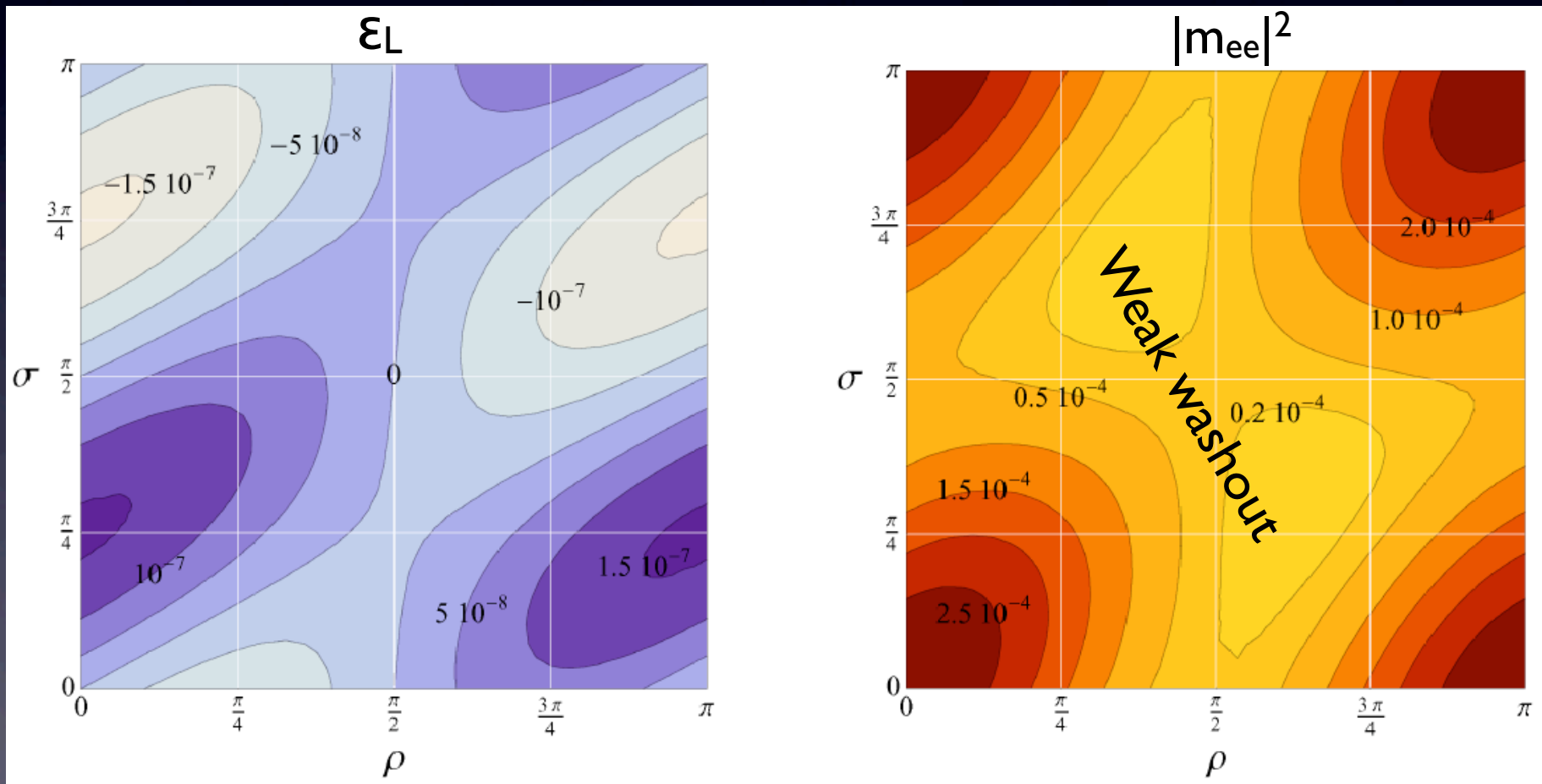


Constraints on ν parameters

Successful leptogenesis implies also non-zero

Majorana-type CP violating phases, ρ and σ .

They are the same phases entering $0\nu 2\beta$ decay.



Unfortunately weak washout requires $|m_{ee}| < 10^{-2}$ eV

Soft SUSY breaking parameters

Flavour universal
SUSY breaking mediation

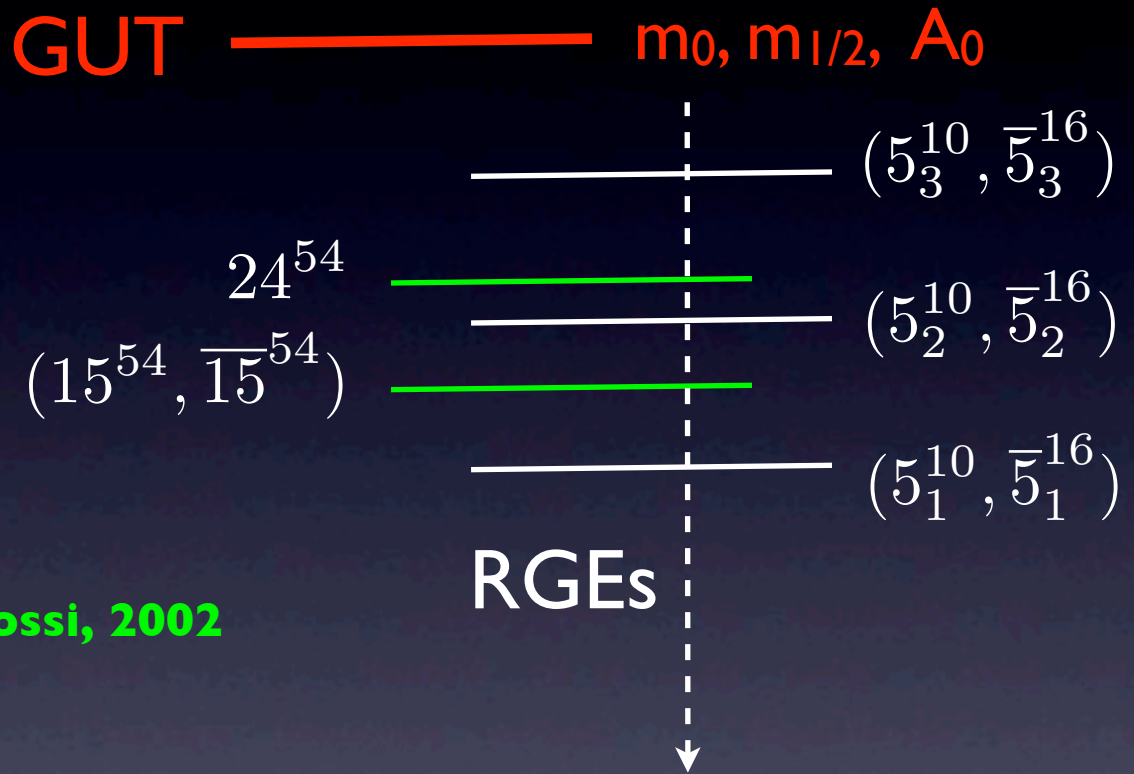
GUT ————— $m_0, m_{1/2}, A_0$

Soft SUSY breaking parameters

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Flavour and CP
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Borzumati & Masiero, 1986; Rossi, 2002



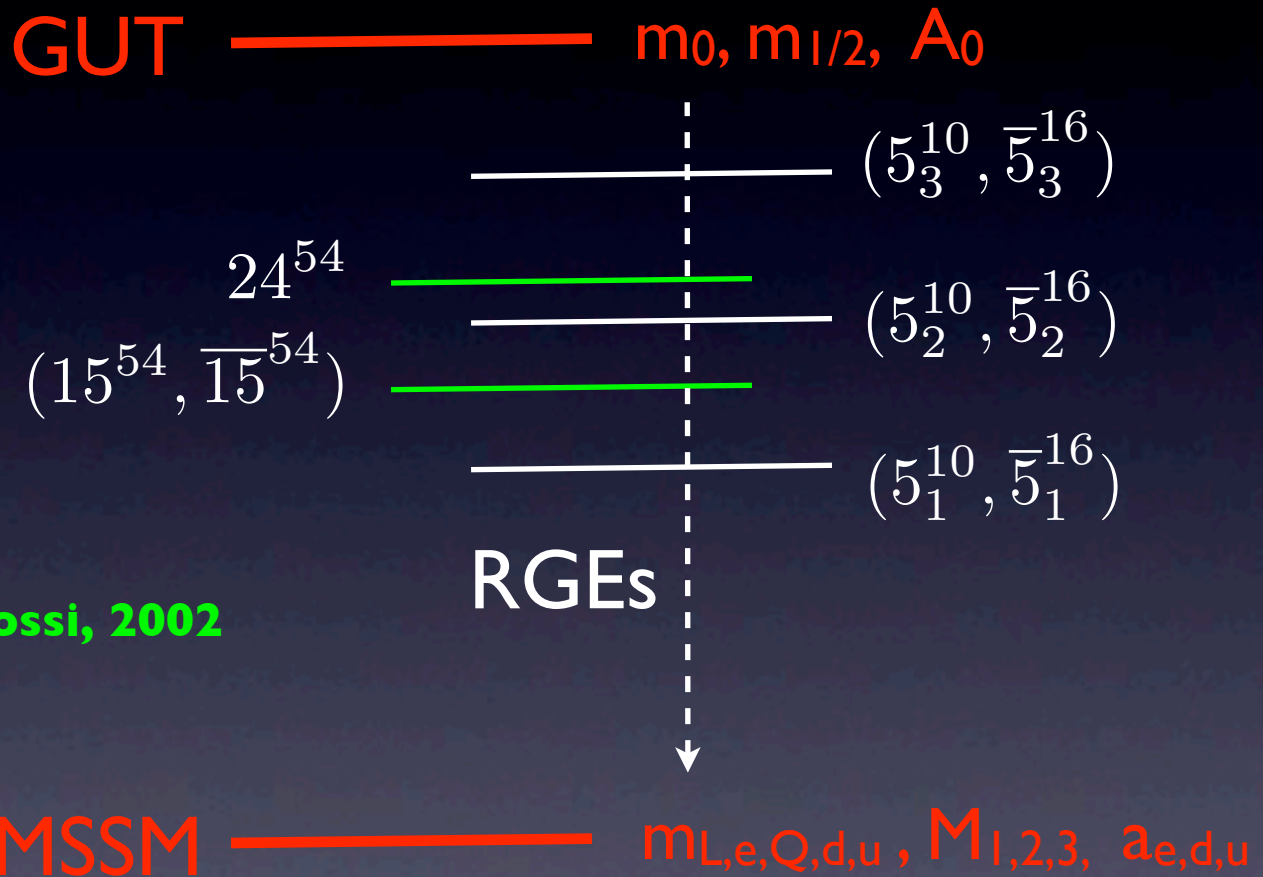
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TeV scale SUSY
spectrum



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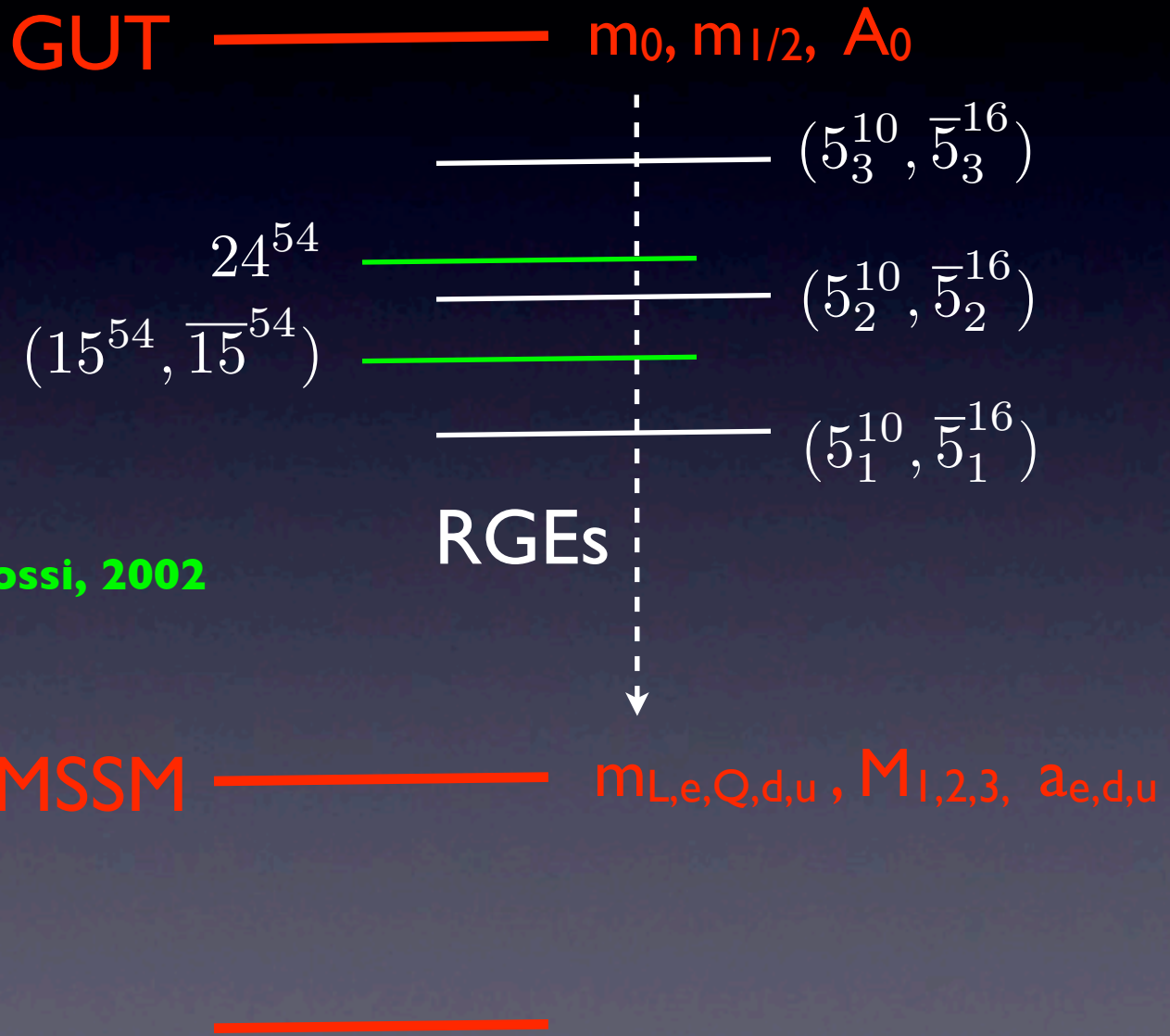
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TeV scale SUSY
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Flavour and CP violating
rare processes



Sfermion masses

Taking mSUGRA boundary conditions at M_{GUT} :

$$(\tilde{m}_L^2)_{ij} \approx (\tilde{m}_{dc}^2)_{ji} \approx \frac{3m_0^2 + A_0^2}{16\pi^2} \sum_{a=1,2,3} f_{ia}^* \left[6 \log \frac{M_\Delta}{M_{GUT}} + \frac{24}{5} \log \frac{M_S + M_a}{M_{GUT}} \right] f_{aj}$$

type II seesaw à la SU(5)

$$(\tilde{m}_{ec}^2)_{ij} \approx \frac{3m_0^2 + A_0^2}{16\pi^2} \sum_{a=1,2,3} 4|\alpha_d|^2 y_{ia}^* \log \frac{M_a}{M_{GUT}} y_{aj}$$

3 heavy matter families from SO(10) breaking

MSSM like effects

$$(\tilde{m}_Q^2)_{ij} \approx \frac{3m_0^2 + A_0^2}{16\pi^2} \sum_{a=1,2,3} y_{ia}^* \left[2|\alpha_u|^2 \log \frac{M_{SUSY}}{M_{GUT}} + 2|\alpha_d|^2 \log \frac{M_a}{M_{GUT}} \right] y_{aj}$$

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After RGE evolution, **flavour violations are “minimal” both for quarks and leptons** (CP violation depends also on 5 high energy phases)

Lepton flavour & CP violations

Masina, Savoy, '03; Paradisi, '05; Ciuchini et al., '07; ...

Choosing (i) a heavy mass spectrum compatible with unification, (ii) the parameters leading to leptogenesis, (iii) approximatively equal superpartner masses, we roughly estimate:

$$BR(\mu \rightarrow e\gamma) \sim 10^{-12} \left(\frac{\tan \beta}{10} \right)^2 \left(\frac{500 \text{ GeV}}{M_S} \right)^4 \frac{\sin^2 \theta_{13}}{0.05}$$

The MEG experiment is taking data:

from 10^{-11} (already last summer) to $2 \cdot 10^{-13}$ (three years data taking)

Strong correlations with $\tau \rightarrow \mu\gamma, e\gamma$ (A.Rossi)

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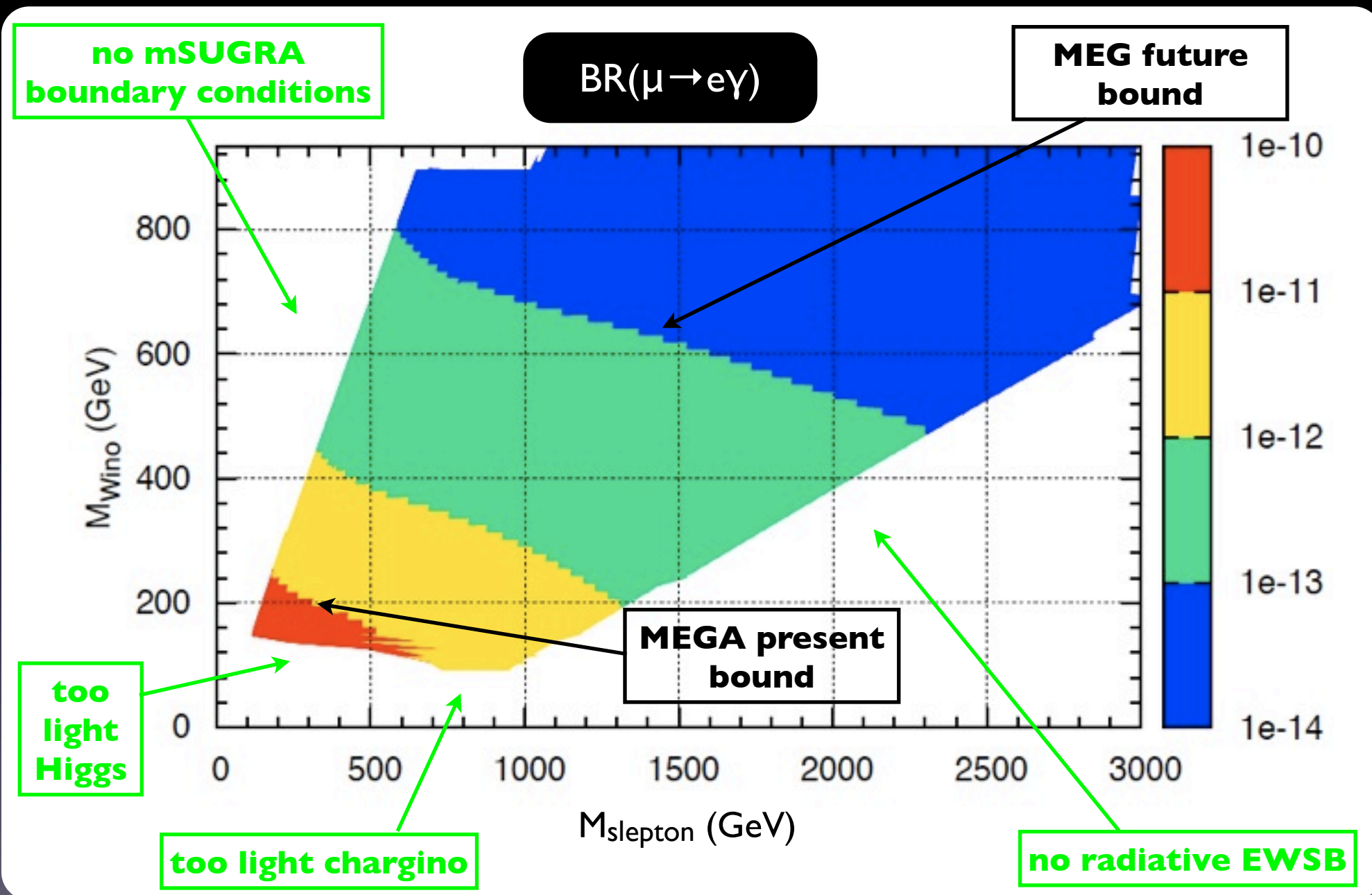
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Strong correlations with $\tau \rightarrow \mu\gamma, e\gamma$ (A.Rossi)

$$EDM_e \sim 10^{-33} \text{ e cm} \frac{\tan\beta}{10} \left(\frac{500 \text{ GeV}}{M_S}\right)^2 \left(\frac{\sin^2\theta_{13}}{0.05}\right)^{1/2} \sin \arg(U_{13}V_{31}^*)$$

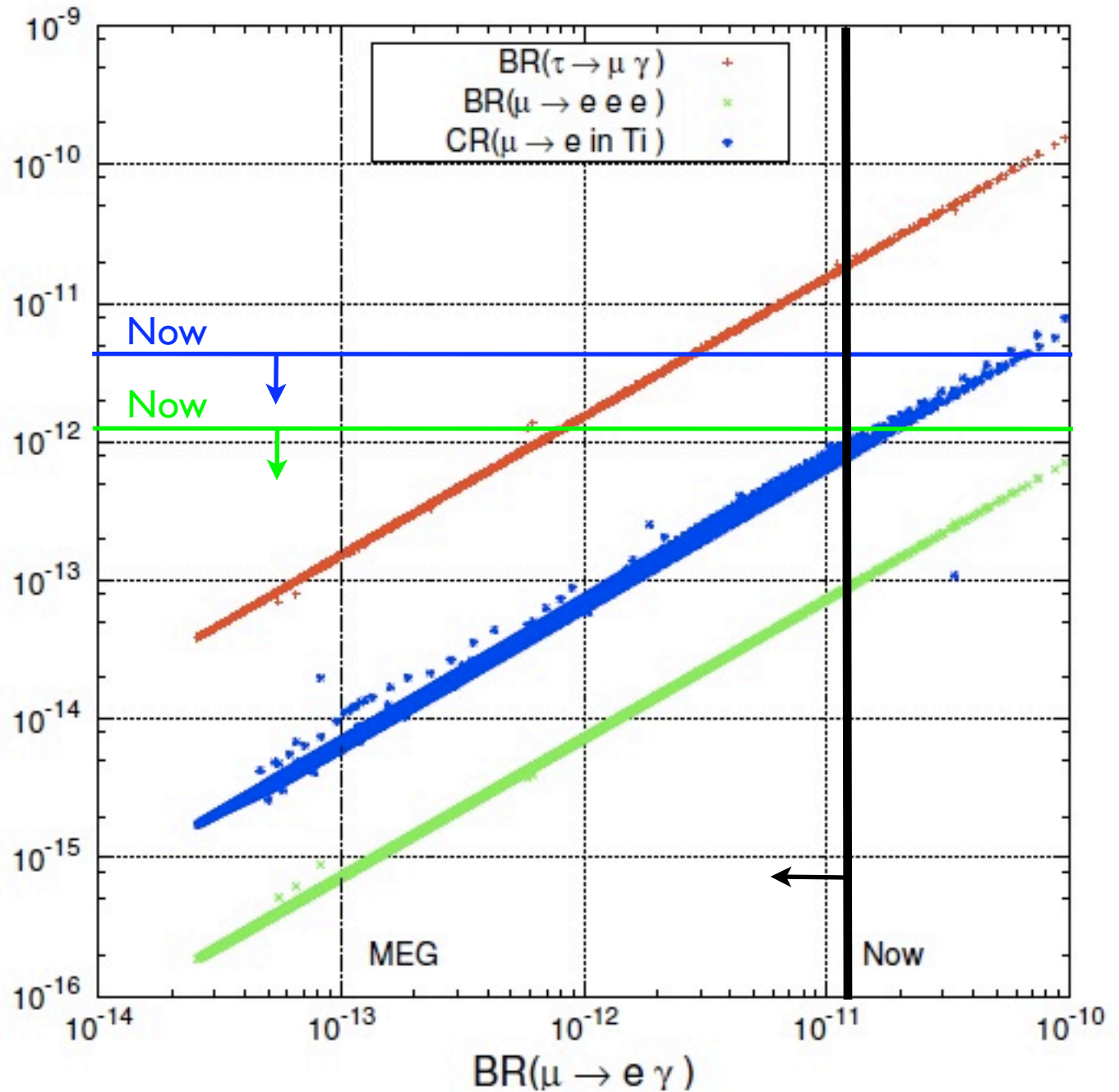
The present bound is $7 \cdot 10^{-28}$ e cm, prospects to reach 10^{-30} : out of reach

parameters leading to successful leptogenesis at 10^{12} GeV, $\tan \beta = 10$



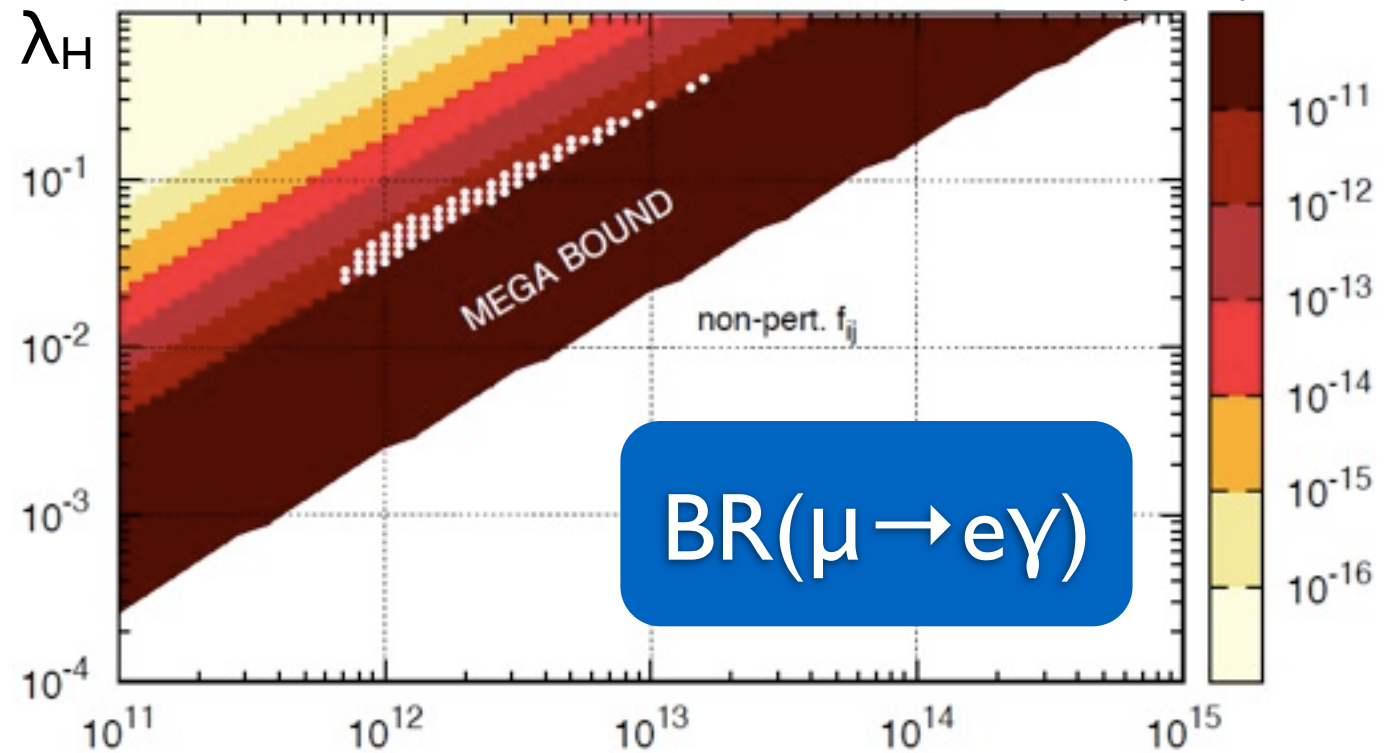
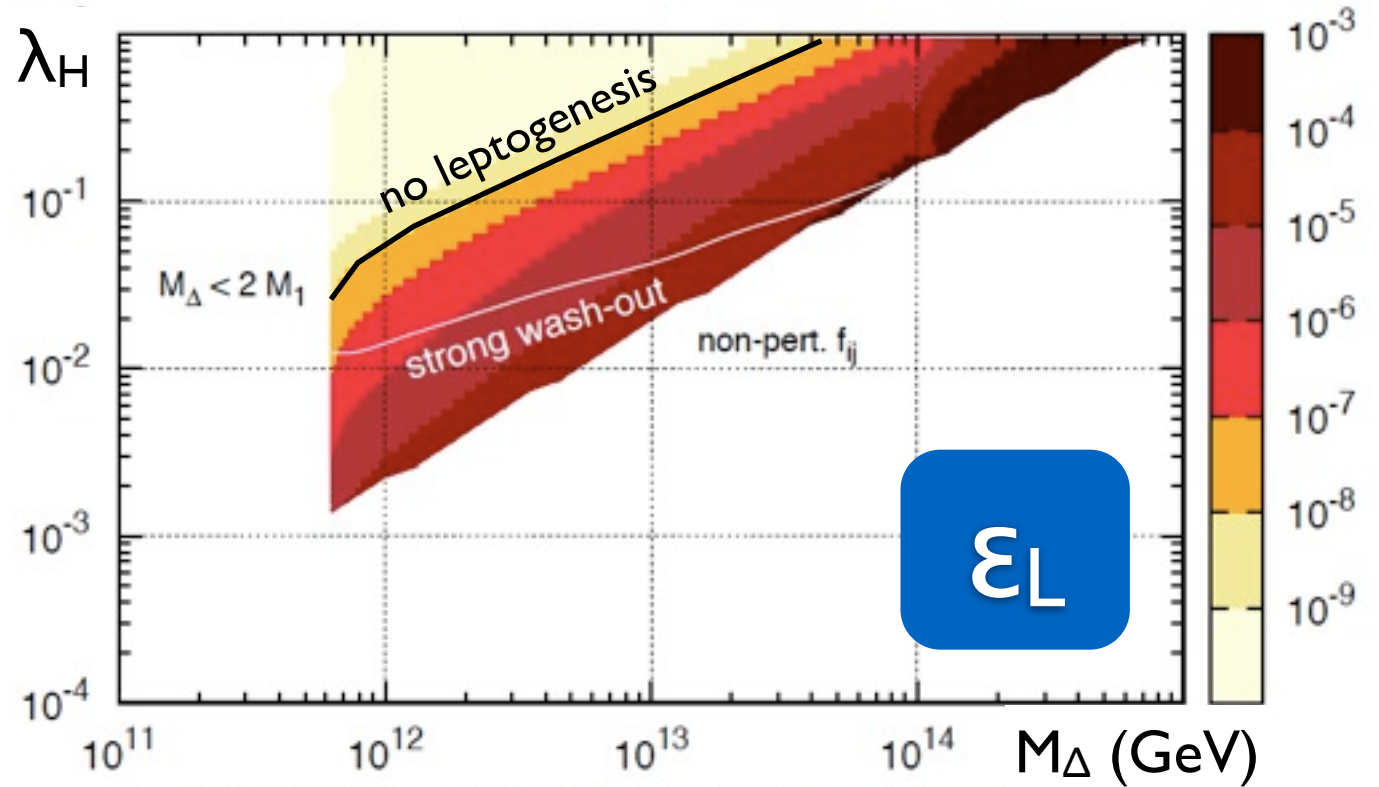
same
parameters
as before,
scanning over
 m_0 and $m_{1/2}$

μ to e
conversion on
Titanium could
be probed down
to 10^{-16} ($\text{Mu}2e$)
or 10^{-18} (PRISM)



mSUGRA
parameters fixed
to $\tan \beta = 10$
 $m_0 = 700 \text{ GeV}$
 $m_{1/2} = 700 \text{ GeV}$

the slope of the
contours (λ_H / M_Δ)
is fixed by the size
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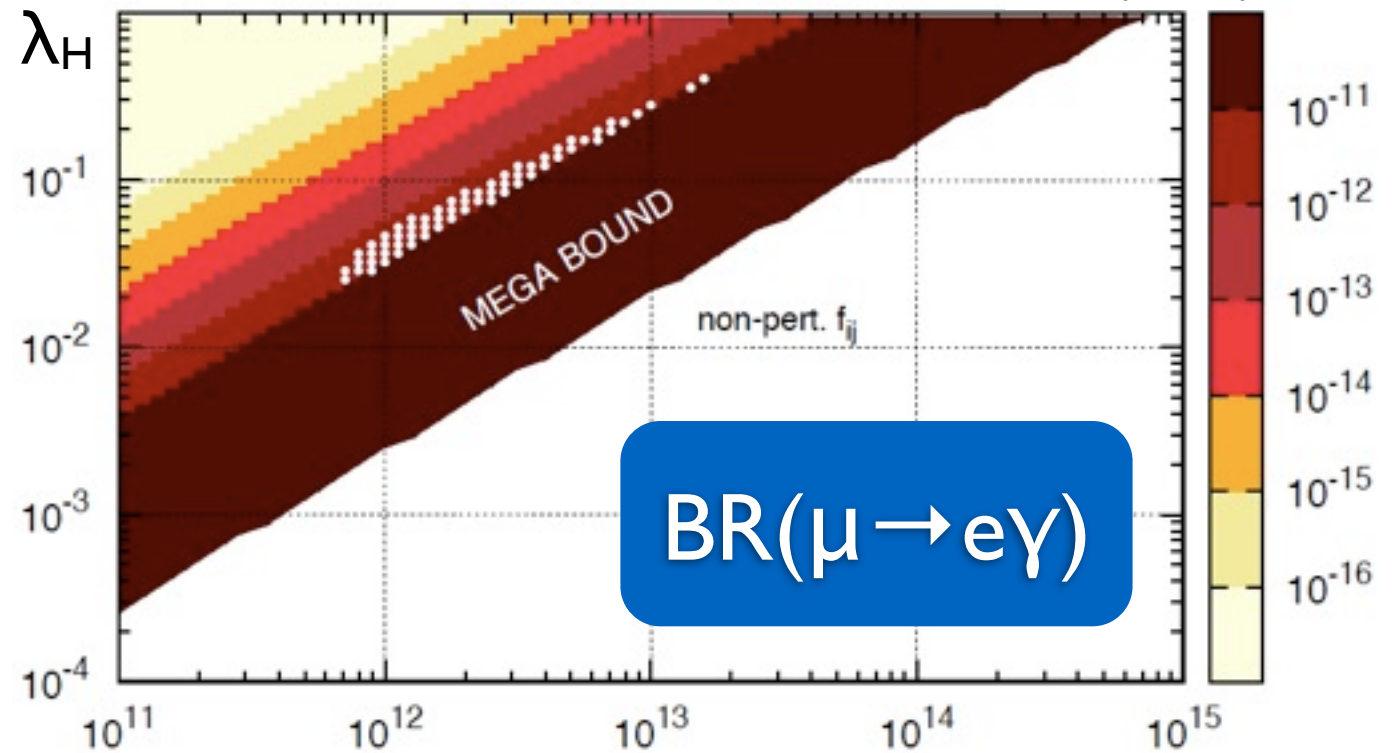
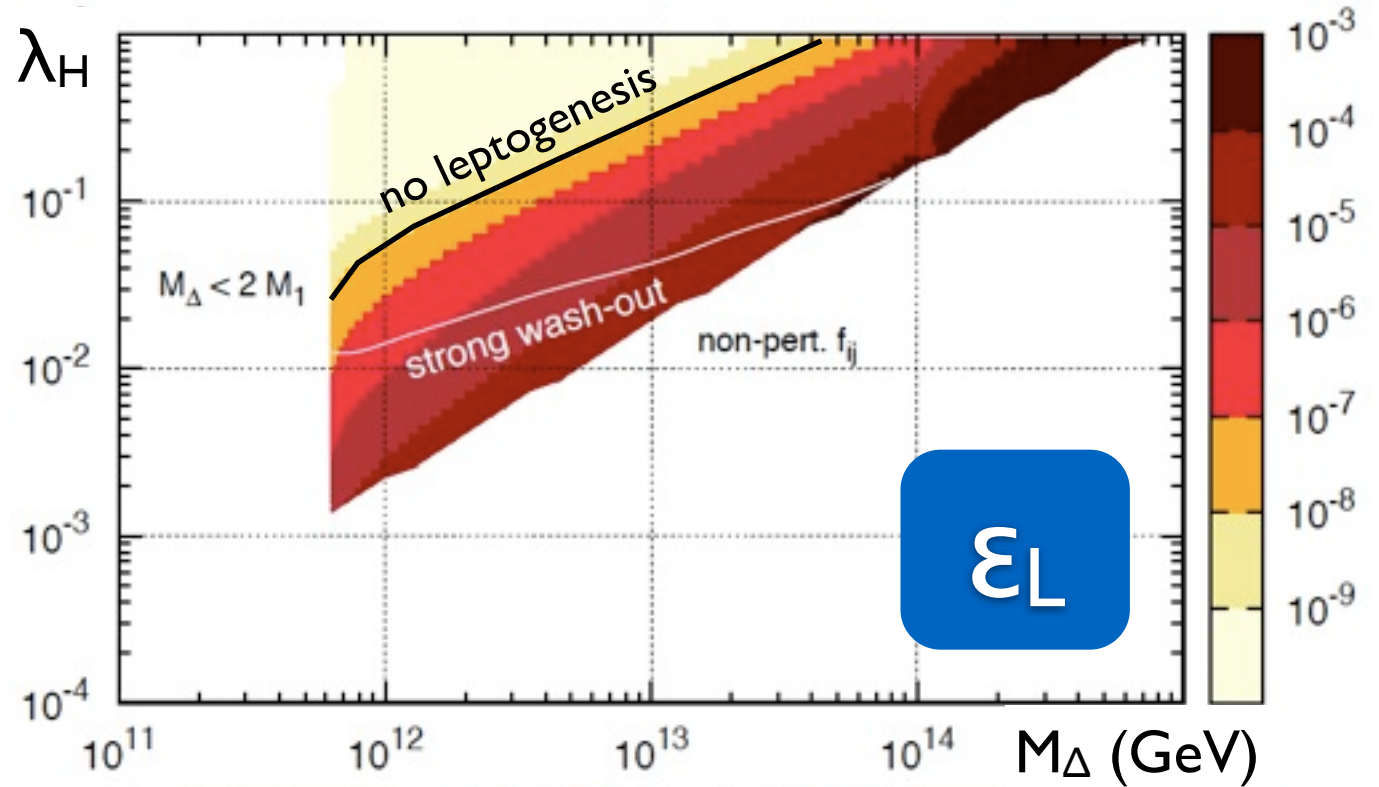


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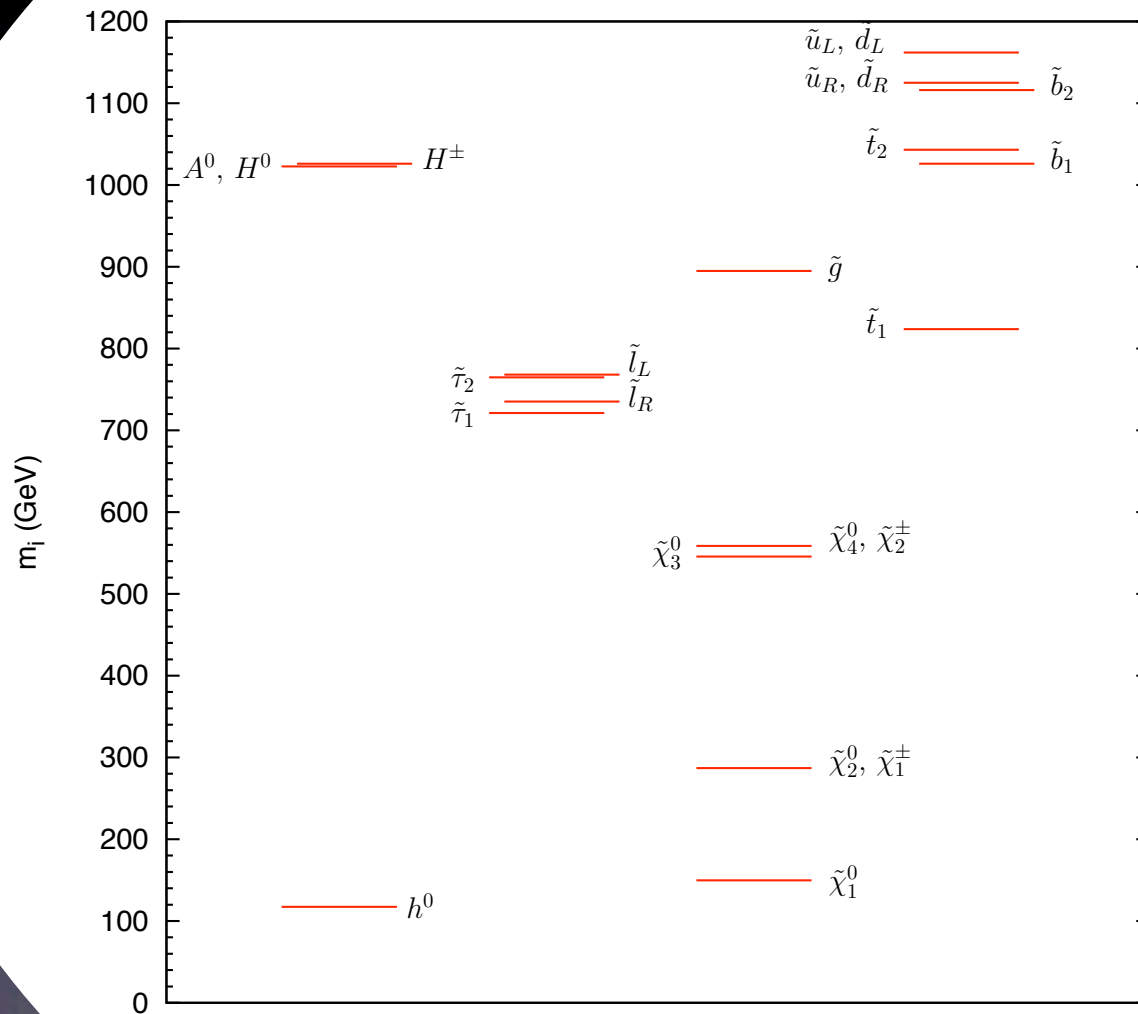
the slope of the
contours (λ_H / M_Δ)
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of neutrino masses

Leptogenesis
dynamics is
constrained by LFV
bounds: no strong
washout

Requiring
leptogenesis
determines the
overall size of LFV



mSUGRA
 parameters
 fixed to
 $\tan \beta = 10$
 $m_0 = 700 \text{ GeV}$
 $m_{1/2} = 700 \text{ GeV}$
 $A_0 = 0$
 $\mu > 0$



large unified
 gauge coupling
 makes all
 sfermions
 heavier than all
 neutralinos and
 charginos; never
 stau LSP

Quark flavour & CP violations

Correlated analysis of the **hadronic observables** gives weaker constraints.

Most noticeable difference with the MSSM is $\delta^{RR}_d \neq 0$ at leading log.

$$BR(b \rightarrow s\gamma)|_{\tilde{g}} \sim \left(\frac{100 \text{ GeV}}{M_S}\right)^4 \left[(3.9 \cdot 10^{-6})_{LL} + (1.9 \cdot 10^{-7})_{RR} \right] \\ + \left(\frac{100 \text{ GeV}}{M_S}\right)^2 \left[(5.4 \cdot 10^{-7})_{LR} + (1.2 \cdot 10^{-8})_{RL} \right]$$

The presently allowed range is **$(1.8 - 4.3) 10^{-4}$** .

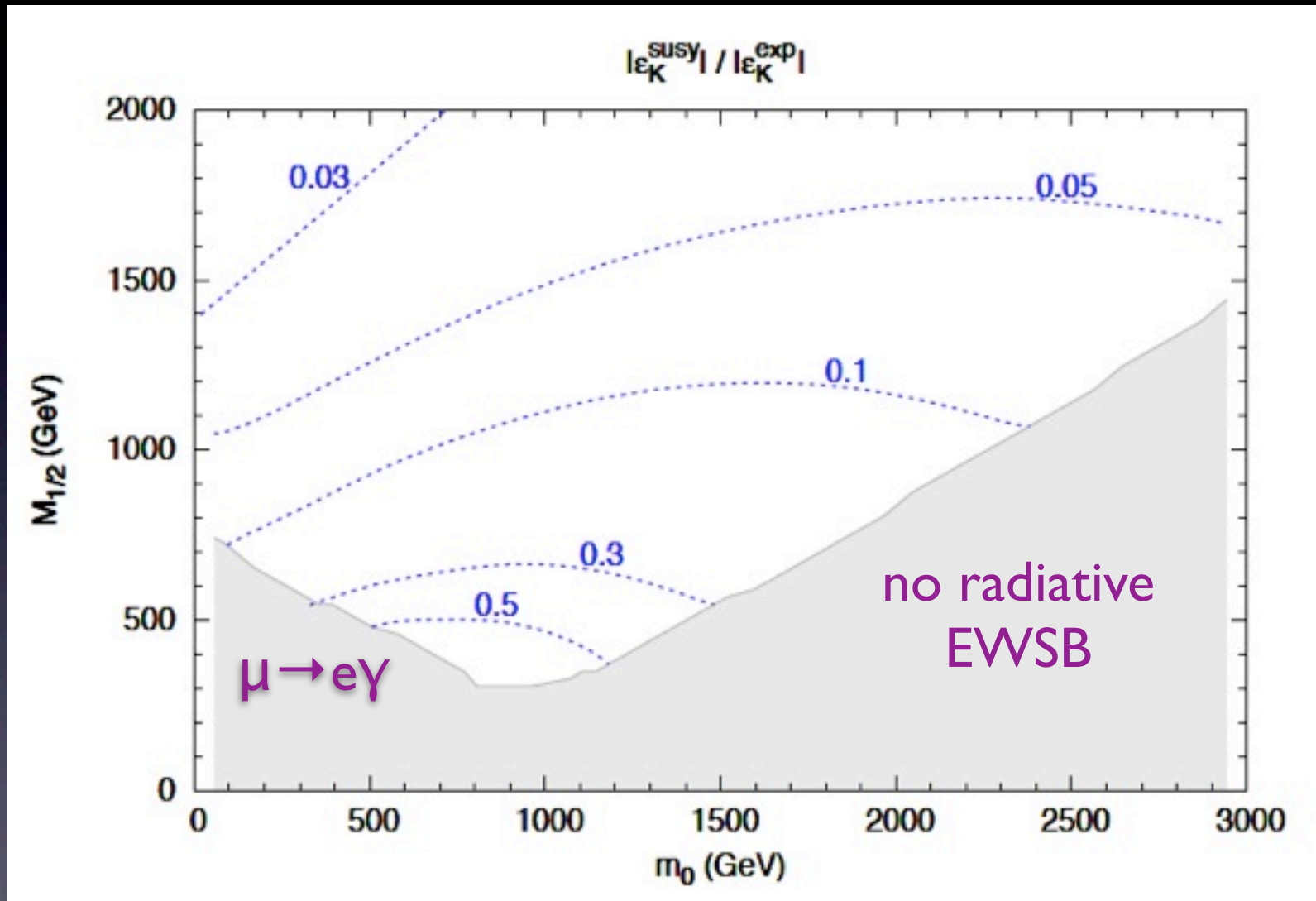
RR and RL contributions can be enhanced by the factor $(f_{ij} / 0.05)^4$.

Other constraints from **CP violating observables** as ϵ_K or EDM_n .

They are sensitive to both low and high energy CP phases.

$$\epsilon_K^{\text{exp}} = (2.23 \pm 0.01) 10^{-3}$$

SM may account only for 80-90% of this measured value (**Buras, Guadagnoli**)
but hadronic uncertainties large



$$\arg(\delta_d^{RR})_{12} = 0.5 \quad \text{arbitrary choice of a CP violating phase}$$

Conclusions

- * **Several upcoming experiments** will provide new severe & complementary **tests of SUSY GUTs**
- * **In type II SO(10) models, the low energy fermion masses & mixing angles** are the only flavour parameters of the full theory
- * **Baryogenesis via leptogenesis & neutrino masses** are determined by **the same Yukawa coupling matrix**, and significantly constrain the parameters of this scenario
- * A specific pattern is predicted for **SUSY flavour violating effects**, the strongest constraint coming from the **present & near future bounds on $BR(\mu \rightarrow e\gamma)$**

BACKUP SLIDES

The model: Yukawa sector

$$h (L^{16} L^c \langle 1_H^{16} \rangle + e^c L^{10} \langle H_d \rangle)$$

heavy lepton & d-quark masses: $M_E = M_D^T = h V_{GUT}$

light lepton & d-quark masses: $m_e = m_d^T = h v_d$

$$W_Y = \frac{1}{2} y_{ij} 16_i 16_j 10 + h_{ij} 16_i 10_j 16 + \frac{1}{2} f_{ij} 10_i 10_j 54$$

$$y Q u^c \langle H_u \rangle$$

up quarks: $m_u = y v_u$
no neutrino Dirac mass!

$$\frac{1}{2} f L^{10} L^{10} \langle \Delta \rangle$$

54 is needed to make neutrinos massive (type II seesaw): $m_\nu = f v_\Delta$

Type I versus type II SO(10)

The most general superpotential for dim-10 and dim-16 multiplets:

$$W_Y = \frac{1}{2} y 16_M 16_M 10_H + h 16_M 10_M 16_H + \frac{1}{2} M_{10} 10_M 10_M$$

The singlet VEV in 16_H mixes the (L, d^c) states in 16_M and 10_M :

$$W_Y \supset 5_M^{10} \left(\langle 1_H^{16} \rangle \bar{5}_M^{16} + M_{10} \bar{5}_M^{10} \right)$$

The orthogonal combination defines the light (L, d^c) states

In general $L^{\text{light}} = \cos\theta L^{10} + \sin\theta L^{16}$:

Type I SO(10) limit is $\theta = \pi/2$; type II scenario is $\theta = 0$ (it occurs for $M_{10} = 0$; notice that DT splitting requires $M_H 10_H 10_H$ to be forbidden)

SO(10) breaking to the SM

To break SO(10), besides 16 one may use 45 and 54 Higgs multiplets, acquiring a GUT scale VEV

To align a 45 VEV along T_{B-L} one needs a non-generic W_{GUT}

$$W_{GUT} = \frac{1}{2}\sigma_1 54' 45_{3R} 45_{3R} + (\lambda_{12} S + \sigma_{12} 54') 45_{B-L} 45_{3R} \\ + \frac{1}{3}\lambda 54' 54' 54' + \overline{16}(M_{16} + g 45_{3R}) 16$$

In this way SO(10) is broken in one step (at M_{16}) to the SM, with the correct VEV alignment required by DT-splitting

All (un)eaten fields in W_{GUT} get mass at the GUT scale $\sim M_{16}$

DT-splitting and p-decay

$$W_{DT} = \alpha 10_{45_{B-L}} 10' + M 10' 10' + \eta \overline{16} \overline{16} 10 + g \overline{16} 45_{B-L} 16$$

Doublet-Triplet splitting by the missing VEV mechanism (Dimopoulos-Wilczek), but with the **down Higgs partly in 16**:

$$M_D = \begin{pmatrix} 0 & 0 & \eta V_1 \\ 0 & M_{10} & 0 \\ 0 & 0 & g V_{B-L} \end{pmatrix}$$

$$\begin{aligned} H_u &= H_u^{10} \\ H_d &= c H_d^{10} + s H_d^{16} \end{aligned}$$

$$M_T = \begin{pmatrix} 0 & \alpha V_{B-L} & \eta V_1 \\ -\alpha V_{B-L} & M_{10} & 0 \\ 0 & 0 & g V_{B-L} \end{pmatrix}$$

$$\frac{\eta V_1 M_{10}}{\alpha^2 g V_{B-L}^3} \ll \frac{1}{M_{GUT}}$$

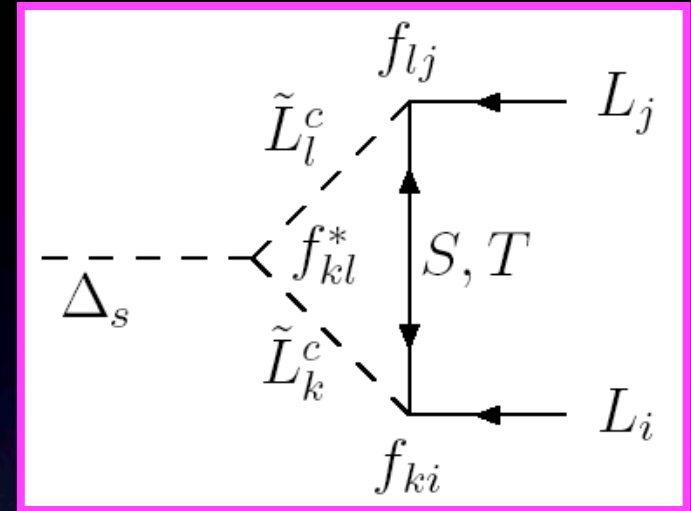
Due to the type II SO(10) structure,

the D=5 p-decay can be mediated only through T^{10} - T^{16} mixing

Full computation of ϵ_L

$$\epsilon_{B-L}^{\Delta} = 2 \cdot \frac{\Gamma(\Delta \rightarrow L^* L^*) - \Gamma(\Delta^* \rightarrow LL)}{\Gamma_{tot}(\Delta^*) + \Gamma_{tot}(\Delta)}$$

The loop contains 2 heavy sleptons with masses M_l and M_k , and a Higgsino from 54, either $S \sim (1, 1, 0)_{SM}$ or $T \sim (1, 3, 0)_{SM}$



$$\epsilon_{B-L}^{\Delta} = \frac{1}{16\pi} \sum_{R=S,T} c_R \sum_{k,l=1}^3 F \left(\frac{M_R}{M_{\Delta}}, \frac{M_k}{M_{\Delta}}, \frac{M_l}{M_{\Delta}} \right) \frac{Im[f_{kl}^* (f f^* f)_{kl}]}{Tr(f^* f) + \dots}$$

$$F(x, x_k, x_l) = \Theta(1 - x_k - x_l) x \log \left[\frac{1 + 2x^2 - x_k^2 - x_l^2 + \sqrt{\lambda(1, x_k^2, x_l^2)}}{1 + 2x^2 - x_k^2 - x_l^2 - \sqrt{\lambda(1, x_k^2, x_l^2)}} \right]$$

F is the imaginary part of the loop integral

$$\begin{array}{ll} M_k + M_l \rightarrow 0 & F \approx \frac{M_R}{M_{\Delta}} \log \left(1 + \frac{M_{\Delta}^2}{M_R^2} \right) \\ & F_{max} \approx 0.8 \text{ for } \frac{M_R}{M_{\Delta}} \approx 0.5 \\ M_k + M_l \rightarrow M_{\Delta} & F \rightarrow 0 \\ M_k + M_l > M_{\Delta} & F = 0 \end{array}$$

The gravitino problem

In our scenario, at least in the weak washout region,
thermal leptogenesis requires $M_\Delta \geq 10^{11-12}$ GeV

In SUGRA, if $m_{3/2}$ is close to the electroweak scale, the gravitino overproduction bound on the reheating temperature is $T_{RH} < 10^{9-10}$ GeV (much stronger bounds from BBN, but more model-dependent)

Ways out :

- ➔ Non-supersymmetric scenario, with a real 54 Higgs
- ➔ Gravitino very heavy ($m_{3/2} \gg 100$ TeV), e.g. significantly split SUSY
- ➔ Gravitino very light ($m_{3/2} < 100$ eV), e.g. some gauge-mediation models
- ➔ Non-thermal production of Δ 's even for $T_{RH} \ll M_\Delta$

Leptogenesis in type I SO(10)

- In **SO(10) models with type I seesaw**, further suppression of ϵ_L comes from small Yukawa couplings: $\gamma = \gamma_{up}$
- **Some tuning of parameters** is needed to enhance the asymmetry:
 - quasi-degeneracy of two decaying states N_1 & N_2
 - interplay with type II seesaw
 - N_2 decays plus flavour effects

$$\frac{n_B}{s} \approx 10^{-3} \epsilon_L \eta \stackrel{obs}{\approx} 10^{-10}$$

$$\epsilon_L \sim [\Gamma(N \rightarrow LH) - \Gamma(N \rightarrow L^* H^*)]$$

$$\epsilon_L = \frac{3}{8\pi} \frac{M_1}{M_2} \frac{\text{Im}[(M_u^\dagger M_u)_{12}]^2}{v^2 (M_u^\dagger M_u)_{11}}$$

Flanz, Paschos, Sarkar, Weiss; Covi, Roulet, Vissani; Pilaftsis, Underwood; Akhmedov, MF, Smirnov

Joshipura, Paschos, Rodejohann; Hambye, Senjanovic; Hosteins, Lavignac, Savoy, Abada, Josse-Michaux

Di Bari; Vives; Riotto