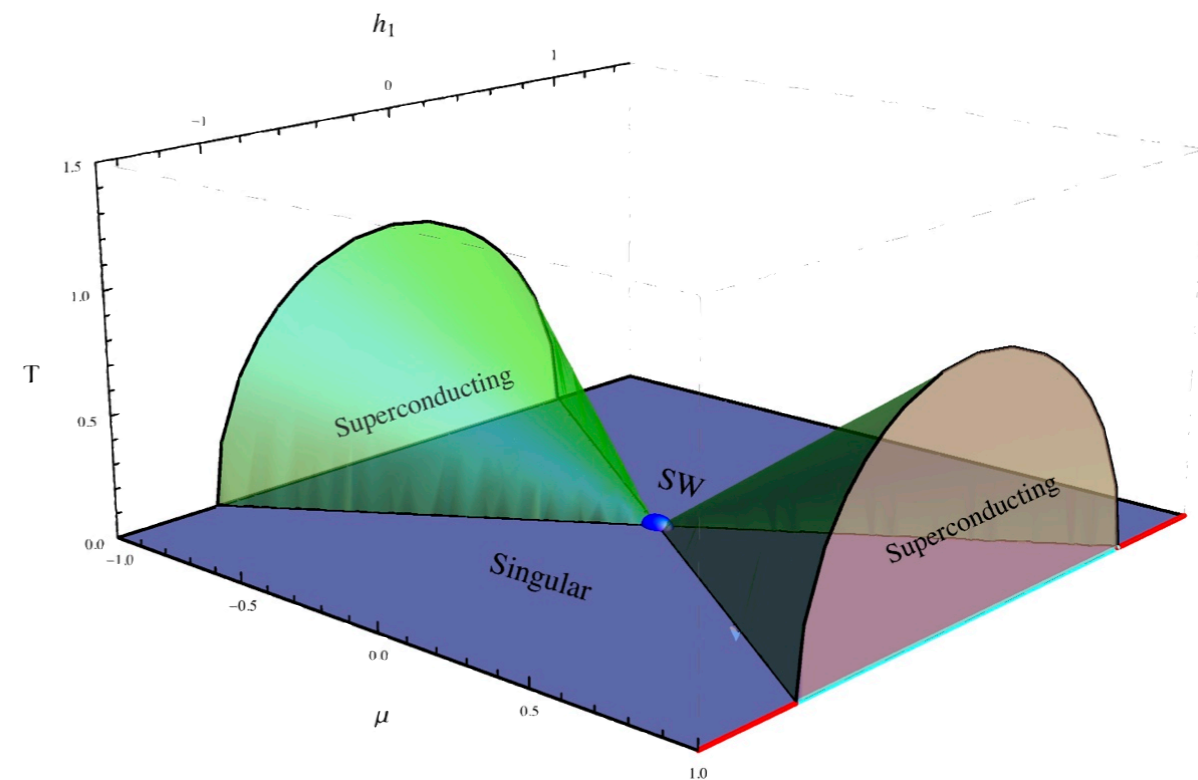
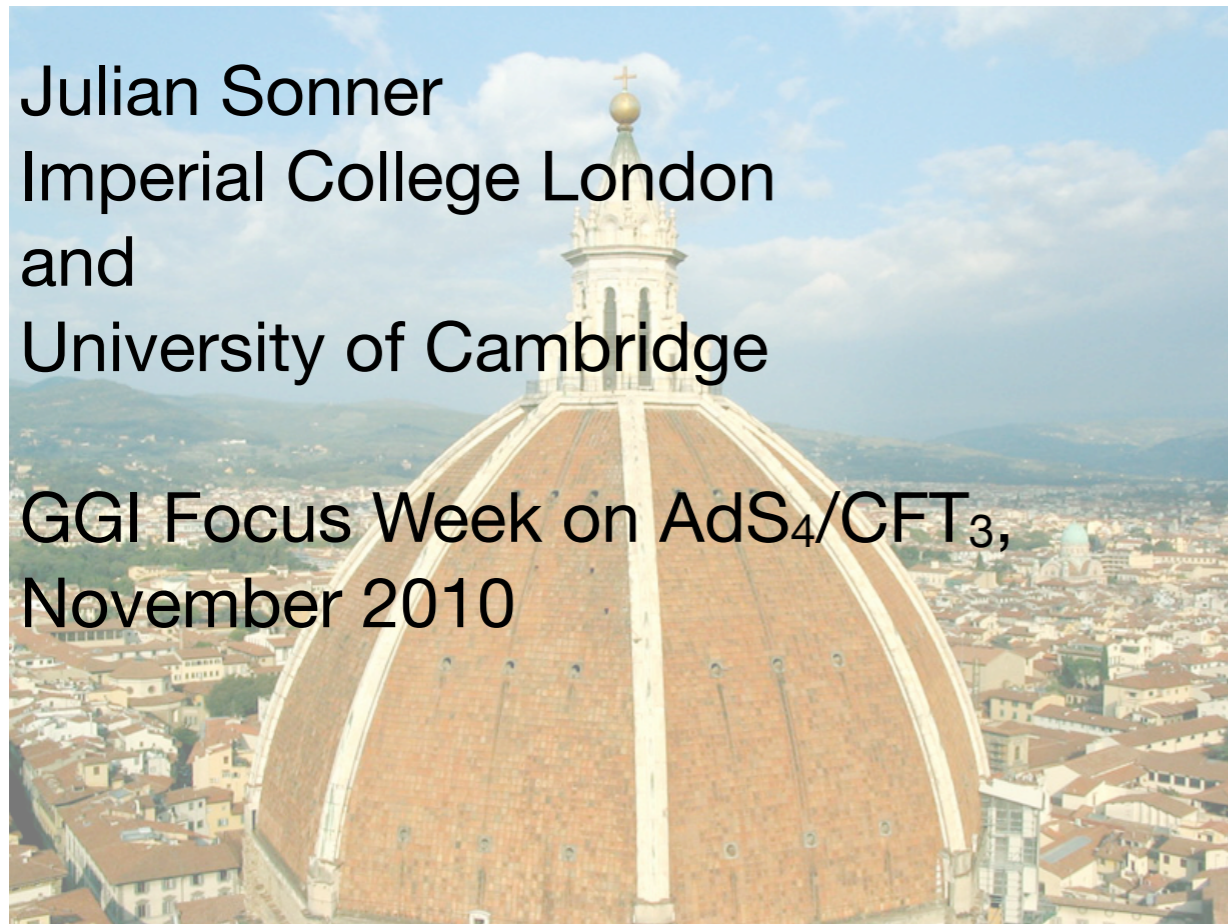


# Recent Developments in Top-Down Models of Holographic Superconductivity

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GGI Focus Week on  $AdS_4/CFT_3$ ,  
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# outline

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1. hydrodynamics of holographic superfluids  
*“finite supercurrent solutions in AdS/CFT”*
2. ads/cft and an m-theory superconductor  
*“top-down approach to holographic superconductivity”*
3. fermions in the m-theory superconductor  
*“recent progress in top-down models”*
4. conclusions and outlook

based on work with Jerome Gauntlett,  
Toby Wiseman, Dan Waldram and Ben Withers

arXiv:0907.3796 (PRL 103:151601, 2009) [JG, JS, TW]

arXiv:0912.0512 (JHEP 1002:060, 2010) [JG, JS, TW]

arXiv:1004.2707 (PRD 82:026001, 2010) [JS, BW]

and work in progress with JG and DW (to be published)

# superfluid hydrodynamics from gravity

[see also work by H<sup>3</sup>, Kovtun et al., Amado et al., Bhattacharya et al., Landau et al.]

# holographic superfluid/superconductor

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- Superfluidity is associated with **broken global** U(1) symmetry

$$\begin{aligned} D\psi &= d\psi - iqA\psi \\ V &= -\frac{2}{\ell^2} + m^2\psi\bar{\psi} \end{aligned}$$

- Note: BCS theory has no **dynamical** photon

- Minimal Bulk Theory:

$$S_{\text{bulk}} = \int \sqrt{-g} d^4x \left[ R - \frac{1}{4} F_{\mu\nu} F^{\mu\nu} - |D\psi|^2 - V(|\psi|) \right]$$

- charged scalar has asymptotics

$$\psi(r, x) \sim \psi^{(0)}(x) r^{\Delta-d} + \psi^{(1)}(x) r^{-\Delta} + \dots$$

- First approximation: everything **constant** in field-theory directions. Scalar field real everywhere (gauge choice).

→ scalar develops **condensate** at low temperature:  $\mathcal{O}_\psi = \langle \psi^{(1)} \rangle \neq 0$

# hydrodynamics of the broken phase

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- Broken *global* U(1) symmetry means there is a Nambu-Goldstone boson
- NGB shows up as a *hydrodynamical* mode
- Studied for *Helium II* by [Landau and Tisza]. *Relativistic* model was developed by [Khalatnikov et al, Son] and has equations + constitutive relations:

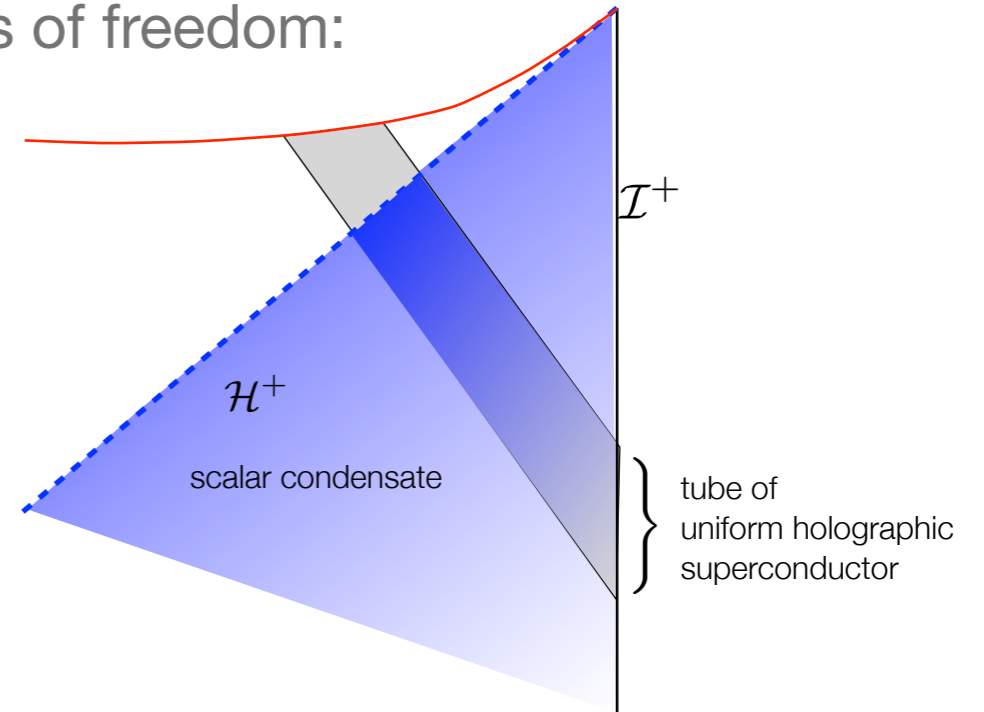
$$\begin{aligned}T_{\mu\nu} &= (\epsilon + P) u_\mu u_\nu + P \eta_{\mu\nu} + \mu \rho_s v_\mu v_\nu \\J_\mu &= \rho_n u_\mu + \rho_s v_\mu, \\ \partial_\mu J^\mu &= 0 \\ \partial_\mu T^{\mu\nu} &= 0\end{aligned}$$

# bulk geometry of superfluid hydrodynamics

- Can write down **bulk metric** which contains all hydrodynamic modes, including Goldstone, at non-linear level [Ben Withers, JS]

- Generalised boosted black brane solution. Degrees of freedom:

- Local temperature  $T$
- normal fluid velocity  $u^\mu$
- energy density  $\varepsilon$
- charge density/ chemical potential  $\rho / \mu$
- pressure  $P$
- superfluid velocity  $v^\mu$
- superfluid density  $\rho_s$



- For  $\rho_s = 0$ ,  $v^\mu = 0$  recover Bhattacharyya et al. result and its generalisations. In general situation recover local thermodynamic description of superfluid
- $v^\mu$  is related to **gradient** of Goldstone mode. Can derive conditions on boundary theory to show that it obeys precisely the Khalatnikov-Tisza-Landau equations

# m-theory superconductor and fermions

[see also type IIB work by Gubser et al.]



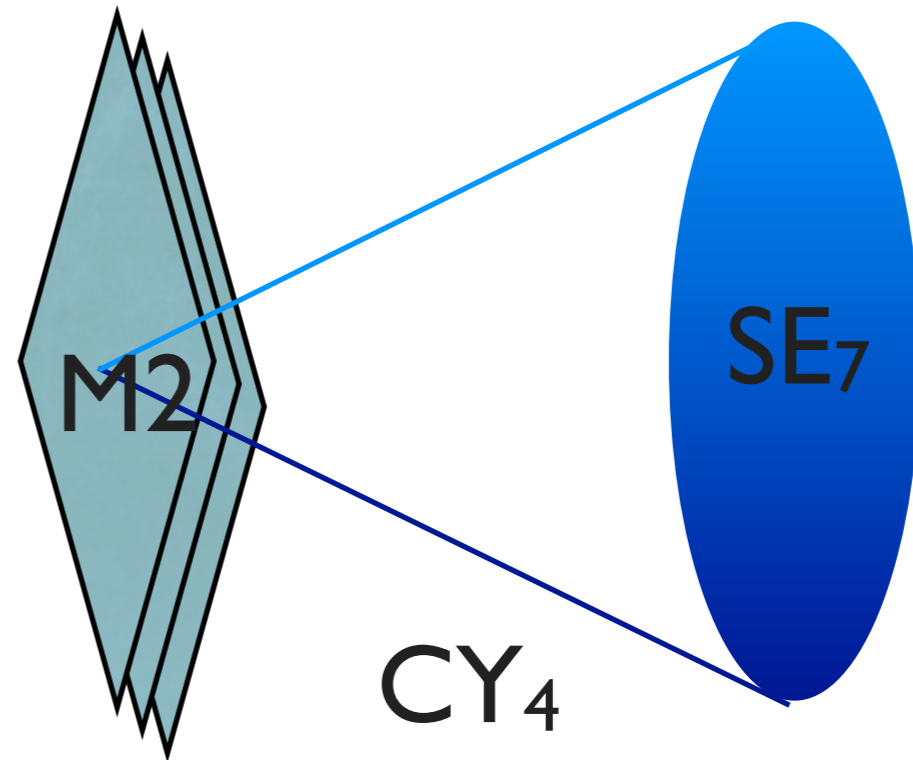
# general motivation

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- **Fermi** aspects of holographic models are very rich. No top-down results known so far beyond probe-brane setups [Ammon et al.]  
Q: but why would anybody care about **top-down** fermions? Or, in fact, top-down in general?
- No good evidence that AdS/CFT is **well-defined** (i.e. there is a well-defined dual CFT) outside of backgrounds that come from **decoupling limits** of branes
- Couplings and field content of bottom-up models undetermined vs. **fully specified** in top-down aspects: Can definitely answer questions about the nature of **Fermi surfaces** etc...
- Bottom-up (as well as top-down) models may be **unstable**, but lacking a proper definition of the truncated modes makes it impossible to even ask precise questions about stability etc... (see work by Pilch, Warner et al.)

# the m-theory setup

- Want: 4D theory with **massive charged scalar**
- Consider M-theory on  $SE_7$
- **Consistent** KK truncation for (anti-) membranes

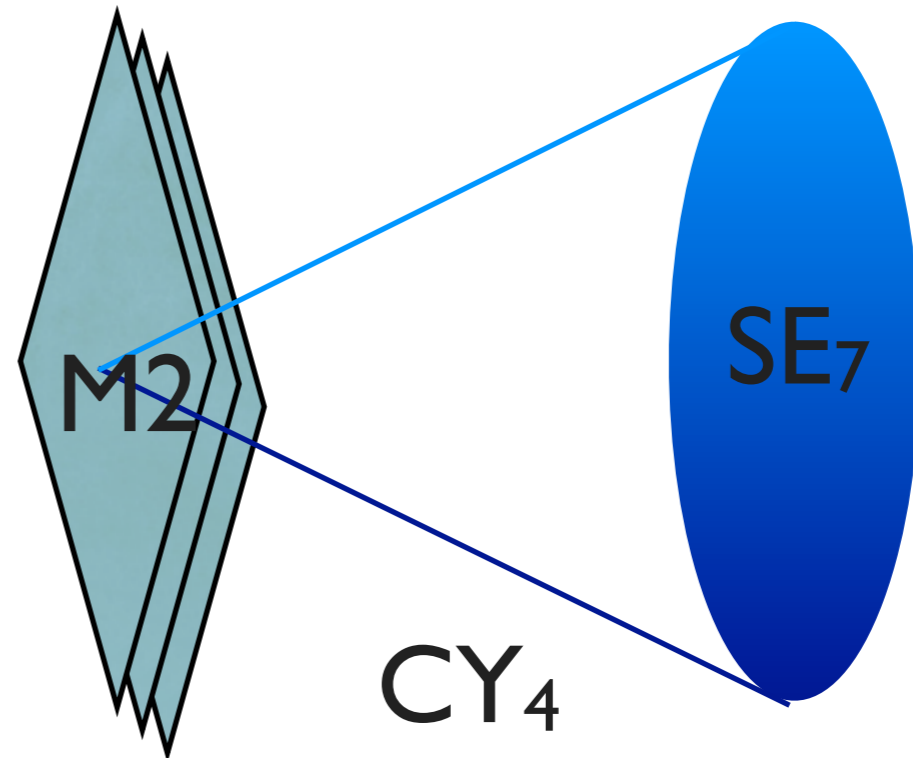


$$ds^2 = e^{-6U-V} ds_4^2 + e^{2U} ds^2(\text{KE}_6) + e^{2V} (\eta + A_1) \otimes (\eta + A_1)$$

- Charged scalar comes from **4-form**
- 4D spectrum:  $\chi, A_1, H_2, H_3, U, V, h, g, \varepsilon$

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orientation  
of  $SE_7$

# a further truncation

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- For **anti-M2** branes ( $\epsilon=-1$ ) there exists a further truncation
- This type of solution is also known as **skew-whiffed**

$$S = \frac{1}{16\pi G} \int d^4x \sqrt{-g} \left[ R - \frac{(1-h^2)^{3/2}}{1+3h^2} F_{\mu\nu} F^{\mu\nu} - \frac{3}{2(1-\frac{3}{4}|\chi|^2)^2} |D\chi|^2 \right. \\ \left. - \frac{3}{2(1-h^2)^2} (\nabla h)^2 - \frac{24(-1+h^2+|\chi|^2)}{(1-\frac{3}{4}|\chi|^2)^2(1-h^2)^{3/2}} \right] + \frac{1}{16\pi G} \int \frac{2h(3+h^2)}{(1+3h^2)} F \wedge F$$

- At **leading order** and with  $h=0$  reproduce original bottom-up model of s-wave superconductor
- Higher-order corrections to potential **modify IR** behaviour significantly

# top-down means you have to eat what's on the plate!

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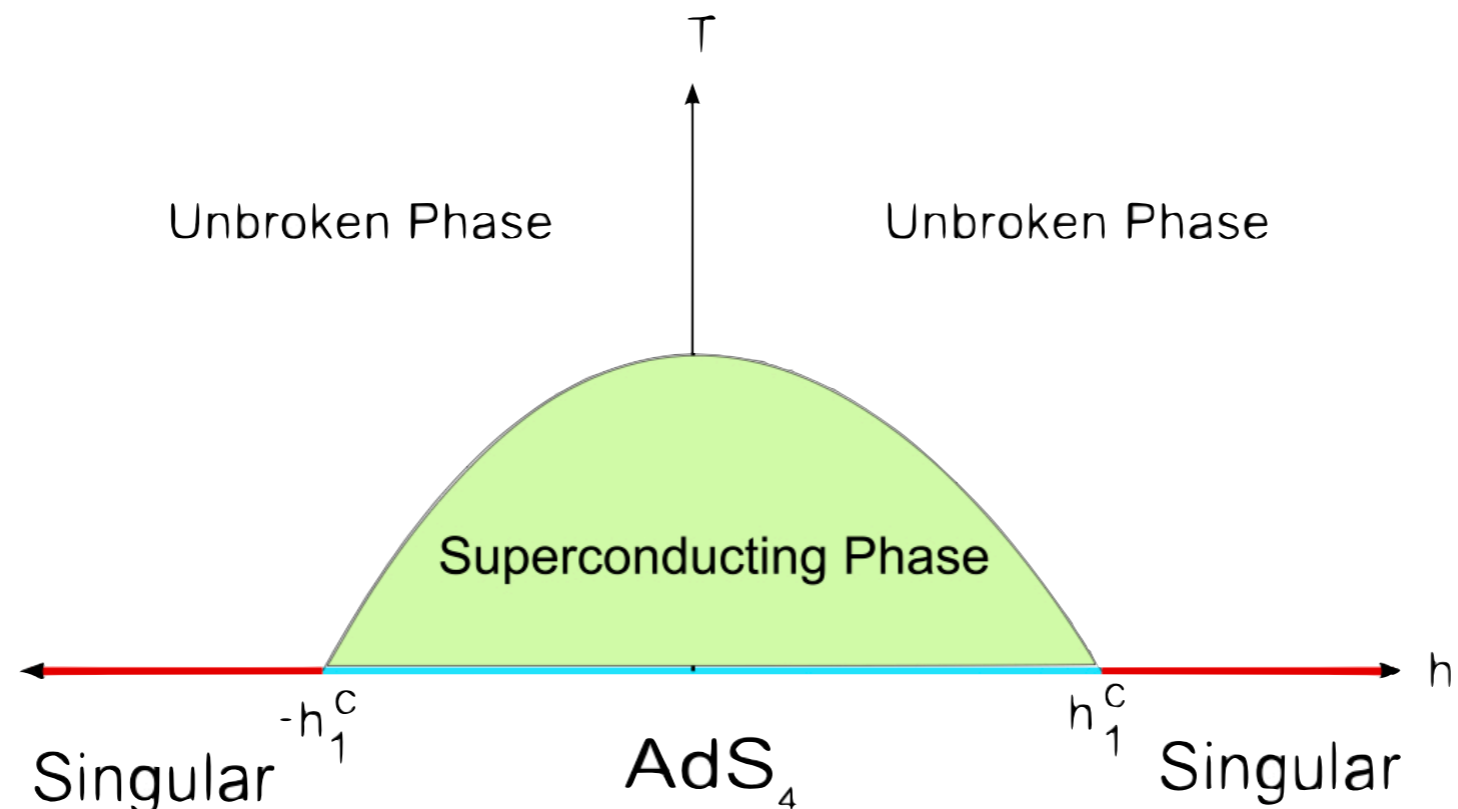
- In addition to gravity and U(1) charged scalar we also have a **neutral** scalar  $h$  with conformal dimension  $\Delta_h = 2$
- Solutions have additional **relevant** parameter: Dirichlet data for  $h$

$$g \leftrightarrow T, \quad \chi \leftrightarrow \mathcal{O}_\chi, \quad h \leftrightarrow \mathcal{O}_h$$

- M-theory has determined **exact** spectrum and all **couplings**
- $h F \wedge F$  **axionic** coupling such that nontrivial profile for  $h$  induces **parity** breaking

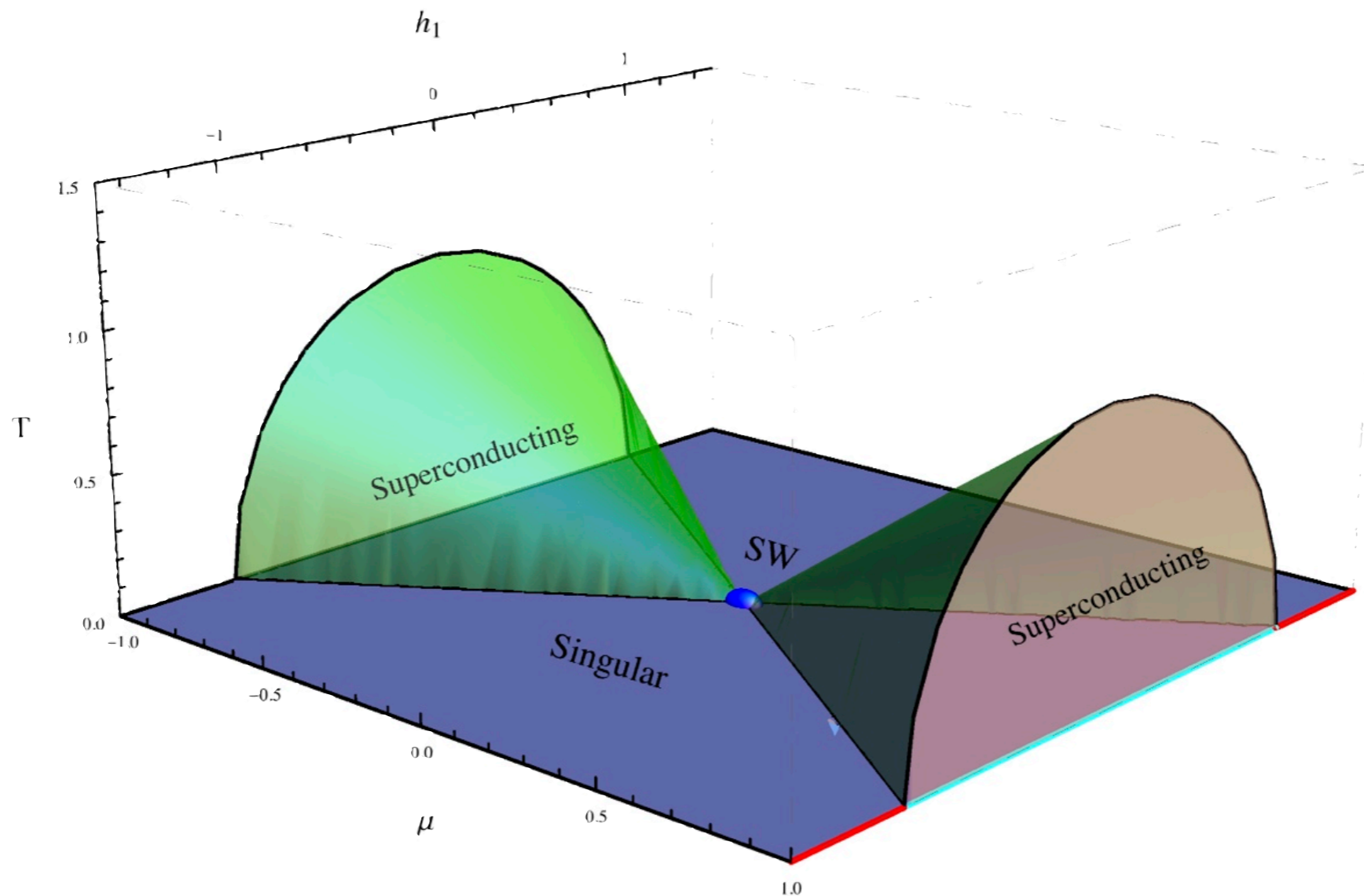
# behaviour for $\mu = 1$

- Under the **dome** ( $|h_1| < |h_1^c|$ ) have superconducting broken phase solution with **regular**  $T=0$  limit  
→  $T=0$  solution has **emergent IR** conformal symmetry corresponding to emergent quantum-critical scaling
- **Outside** the dome have  $h$ -deformed RNAdS<sub>4</sub> black hole



# full phase diagram

- New  $h$ -deformed black holes. For any non-zero  $h$ , their zero- $T$  limit is **singular** (but singularity may be cloaked by dome);  $\mu \rightarrow \infty$  limit approaches **AdS-Schwarzschild**



# fermionic spectral functions

[see also Faulkner et al., Liu et al....., Cubrovic et al., Deneff et al., Policastro]



# you have to eat what's on the plate (ii)

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- **G-structure** reductions on  $SE_7$  manifolds lead to **consistent** truncations with fully specified Fermi content [Andrianopoli et al., JG, JS, DW, Leigh et al.]
- On the menu (in addition to bosonic fields):
  - spin 1/2 fields with various couplings (2-Fermi, 4-Fermi)
  - spin 3/2 **gravitino** coupled to other fermions (who ordered that?)
- Gravitino is generically coupled in an essential way to other Fermi fields and has itself **Pauli couplings** to flux
  - related to **consistent** propagation of spin-3/2 on general backgrounds
- Spin-3/2 degrees of freedom in models of condensed-matter physics  
Does this kill this class of top-down models immediately?
- Dual Fermionic currents are **composite**. Non-analytic features can come from any of the fundamental fermions (spectral decomposition)

# holographic fermi surfaces (and other features)

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- What is a good definition of a **Fermi surface** in a strongly interacting system?
- Luttinger (1960): consider the one-particle retarded 2pt correlation function

$$G_R(\omega = 0, k_L) \rightarrow 0, \infty$$

- Faulkner et al. : Compute this correlation function in **holographic** setup
- One finds a **variety** of possible behaviours:
  - non-Fermi** liquids whose (non-)analytic behaviour is governed by emergent IR CFT (QCP?)
  - can get **different** scaling behaviours near  $k_L$  (e.g. ‘marginal Fermi liquid’)

# comments

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- Very interesting new physical playground for non-Fermi liquids. May point to concepts such as '**semi-holographic** models'
- **Scaling properties** defined by emergent IR CFT. **Location** of Fermi surface from whole geometry (including UV part)
- Only considered the simplest cases with single spin-1/2 bulk fields (later additional coupling to condensate were included as well)
- Arbitrary bottom-up couplings lead to **landscape** of non-Fermi liquids. Top-down gives **definite answer** for given model.
- Every probe sees a **different** Fermi surface. Fermi surface should be property of the system. In top-down there isn't really a dichotomy between 'probe' and 'the system' (all couplings are **uniquely** specified)

# swallowing the gravitino

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- Start by studying the **simplest** case of gravitino Fermi physics: N=2 gauged supergravity in d=4 (susy truncation on SE<sub>7</sub>)

$$S = \int d^4x \sqrt{-g} \left[ R - \mathcal{F}_{\mu\nu} \mathcal{F}^{\mu\nu} + \frac{6}{\ell^2} - 2\bar{\psi}_\mu \gamma^{\mu\nu\rho} D_\nu \psi_\rho + \frac{2}{\ell} \bar{\psi}_\mu \gamma^{\mu\nu} \psi_\nu - i\mathcal{F}^{\mu\nu} \bar{\psi}_\rho \gamma_\mu \gamma^{\rho\sigma} \gamma_\nu \psi_\sigma + \dots \right]$$

- **Dirac** gravitino has charge  $q=-m$  under the U(1) gauge field
- Omitted 4-Fermi terms - enough for **linear response**
- Supersymmetric case, bosonic operator does not condense. Ground-state is the Reissner-Nordstrom BH at T=0

# symmetries of the problem

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- Planar AdS<sub>4</sub>RN has R x SO(2) symmetry. Can reduce whole symmetry class to **effective 2d problem**. Advantage: can talk about Lorentz irreps of Spin(1,1) which are all one-dimensional

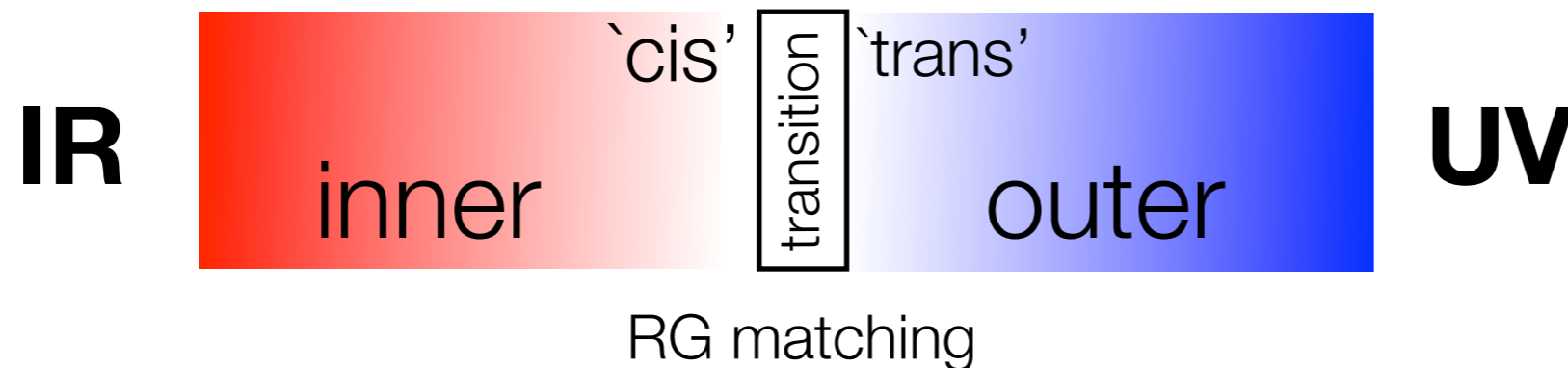
$$\psi_{\hat{\mu}}(x^m, \mathbf{x}) = \int \frac{d^2 k}{(2\pi)^2} H^{-1} \chi_{\hat{\mu}}(x^m, \mathbf{k}) e^{i\mathbf{k} \cdot \mathbf{x}}$$

- $\chi_{\hat{\mu}}$  satisfies effective 2d equations with 2d gauge invariance. Can use gauge transformations to isolate the two independent polarization modes
- Fermi surface has **sharp** definition only at T=0, so want to work there
- (Failed) strategy: Put equations on a computer, sit back, enjoy plots. The reason is an **essential** singularity in the problem

# divide et impera - matched asymptotic expansion

[see also N. Macchiavelli et al.]

- Exact nature of problem is the essentially singular behaviour at zero  $T$  and small frequencies but also suggests solution: matched asymptotic expansion in parameter  $\omega$ .



- DIVIDE ET IMPERA!
  - Inner region** defined by  $z = \omega L_2^3/(r-r_+)$  gives perturbative expansion in small  $\omega$  of IR CFT. Can solve leading order exactly
  - Outer region** defined by  $r-r_+ \gg L_2$ . Can set up perturbative series in  $\omega$ . Numerics necessary even at leading order
- Fermi momentum is **exactly** determined in **zeroth-order** outer region numerics (often need more work for scaling properties)

# the emergent infra-red cft

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- Geometry of inner region is **AdS<sub>2</sub>xR<sup>2</sup>**: Corresponds to some CFT1 which governs the long-wavelength modes in this system [see MIT group]
- Remarkably (?) can solve gravitino equation **exactly** in this CFT. Ingoing/outgoing bulk solutions are given by confluent hypergeometrics
- Extracting IR retarded (advanced) **Green function** has eigenvalues

$$\mathcal{G}_R(\omega, \alpha(s), \nu) = e^{-i\pi\nu} \frac{\Gamma(-2\nu)}{\Gamma(2\nu)} \frac{\Gamma(\frac{1}{2} - \alpha(s) + \nu)}{\Gamma(\frac{1}{2} - \alpha(s) - \nu)} (2\omega L_{(2)})^{2\nu}$$

- s labels the Spin(1,1) rep. corresponding to polarization state of gravitino
- Scaling in frequency governed by effective 1d scaling dimension  $\nu$ , which depends on k, but is **always real** (not so in MIT analysis)

# the supercurrent correlation function

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- Similar expression for **advanced** correlator. For real  $\nu$  find phase from:

$$\frac{\mathcal{G}_R(\omega, \alpha, \nu)}{\mathcal{G}_A(\omega, \alpha, \nu)} = \frac{e^{-2i\pi\nu} + e^{2\pi i s} e^{-\sqrt{2}\pi q L_{(2)}}}{e^{2i\pi\nu} + e^{2\pi i s} e^{-\sqrt{2}\pi q L_{(2)}}}$$

- implies that any **fermionic** rep is phase equivalent to every other fermionic rep (independent of value of spin) and similar for bosons  
⇒ **analytical** properties of Green function solely depend on whether field is boson or fermion
- Full result from **matching** the IR confluent hypergeometrics to UV expressions. Note: Cannot solve UV part of the problem analytically, but numerics are now easier to control



# fermi surface (analytical expressions)

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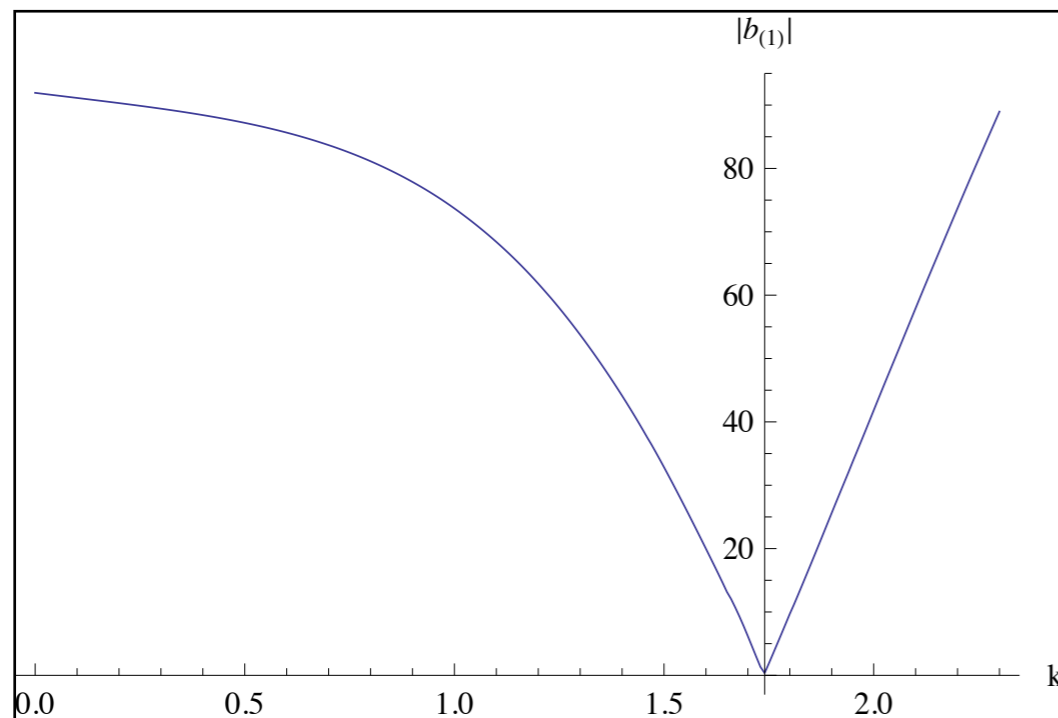
- After matching to the **outer-region** the full correlation function has helicity eigenvalues

$$G_{Rss}(\omega, \alpha, \nu) = \frac{b_{(1)} + \mathcal{G}_R(\omega, \alpha(s))b_{(2)}}{a_{(1)} + \mathcal{G}_R(\omega, \alpha(s))a_{(2)}}$$

- This is susy quantisation. Also have **alternative** quantisation, which reverses the Green function  $G \rightarrow 1/G$  (there are caveats)
- Scaling around special features of  $G$  is governed by **IR** conformal dimension  $\nu$  of dual operator
- Exact numerical value of  $k_F$  and type of Fermi surface (if any) determined by UV numerics...

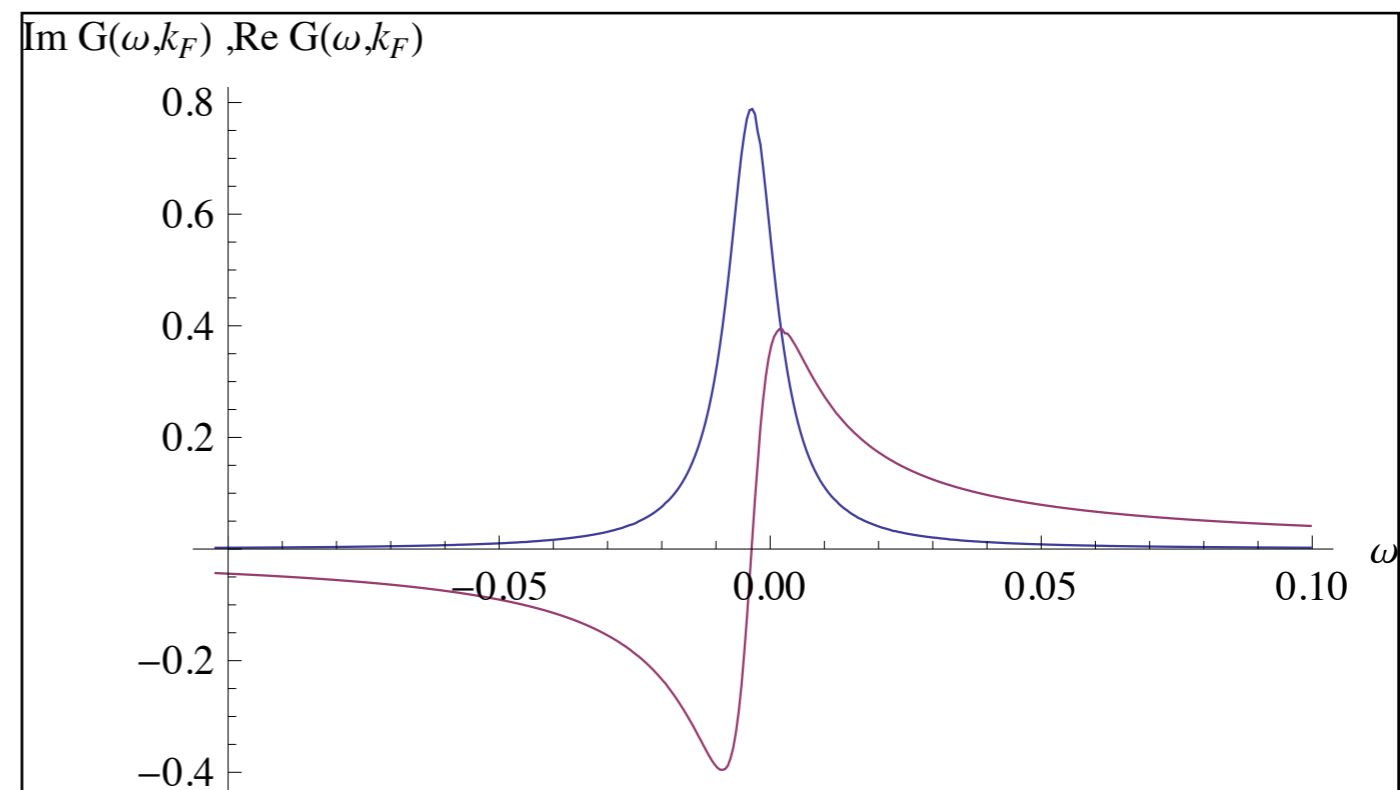
# fermi surface (preliminary results)

- Location of Fermi surface is **gauge invariant**, even though  $b_{(1)}$  is explicitly gauge variant (good check on numerics)



$$k_F \approx 1.72\dots$$

$$\nu_K \approx 1.04\dots$$



- **'Irrelevant'** Fermi liquid with stable quasi-particle excitation at  $k_F$

conclusions

# conclusions

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- Embedding of holographic superconductors in **string/m-theory** is possible. Suggests new parameters, such as additional **relevant** operators and the dome. There are several open questions: **stability**, exact phase structure, genericity of consistent truncations
- Top-down sometimes offers more satisfying **conceptual** explanations of holographic models (e.g. no dichotomy between probe and system, no ambiguity in couplings etc.) and more control
- So far, however, at the expense of realism. In a sense we have **toy models** of the type of systems which can be studied in bottom-up contexts with more ease and more realistic features. Does holographic model building make sense?
- First fully top-down computation of Fermion **spectral functions**: Irrelevant Fermi liquid. What happens upon coupling to condensate?

thank you