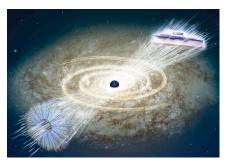
# Explaining Fermi Liquid stability with AdS Black holes

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# Mihailo Cubrovic, Jan Zaanen, Koenraad Schalm arxiv/0904.1993 arxiv/1011.XXXX





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# AdS/CMT = *Rich* Black Hole physics

- The origin of rich black hole physics
  - Instability of charged Black holes [e.g. Gubser @ Strings 2009]

$$S = \int \dots + \bar{\phi}(A_0)^2 \phi + \dots \quad \Leftrightarrow \quad m_{\phi}^2 \sim -A_0^2$$

- The holographic superconductor [Hartnoll, Herzog, Horowitz]

 $r = \infty$ 

# • AdS/CFT: Ground state stability is BH stability

 $Z_{CFT}(\phi) = \exp S_{AdS}^{on-shell}(\phi(\phi_{\partial AdS=J}))$ 

### • What about Fermions?

$$S = \int \sqrt{-g} \left[ R + 6 - \frac{1}{4} F^2 - \bar{\Psi} e^M_A \Gamma^A \left( D_M + igA_M \right) \Psi - m\bar{\Psi}\Psi \right] + S_{bnd}$$

- No perturbative instability (no superradiance)

- Conjecture AdS-RN BH with Fermions is metastable.
- AdS-RN cannot be the true groundstate

- Large groundstate entropy  $S_{CFT} = \frac{A_H}{4G_N}$ 

$$ds_{Near\ horizon}^2 = L_2^2 \left( -\omega^2 dt^2 + \frac{d\omega^2}{\omega^2} + dx^i dx^i \right)$$

- Should exist 1st order transition to AdS Fermi-hair BH
  - Similar indications from [Faulkner et al (unpublished)], [Hartnoll, Polchinski, Silverstein, Tong], [Kraus et al.]

Searching for the Fermi-liquid groundstate

- What is the true dual groundstate ?
  - "Free Fermi-gas" in the bulk.



 Fermi-Dirac statistics demands non-local behavior in AdS [always true for multiple Fermions]

Non-local RG flow?!

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 Fermi-Dirac statistics demands non-local behavior in AdS [always true for multiple Fermions]

SOLUTION:

- Need some local approximation:
  - Integrate out the fermions [Kraus..]
  - Fluid/Thomas Fermi approximation [de Boer et al, Hartnoll et al.]
  - Single fermion

In all cases we wish to know how the fields behave at  $\partial$ AdS to read off what happens in the CFT

Fermi gas in a confining potential

- In all cases we wish to know how the fields behave at ∂AdS to read off what happens in the CFT.
  - AdS acts like a confining potential well. What is it the behavior of the Fermi gas at infinity?
    - Consider a spinless fermion in a *d*-dim harmonic oscillator potential well

$$\frac{-\partial_x^2}{2m} + \frac{m\omega^2 r^2}{2}\Psi = E\Psi , \ \ \rho(r) = \sum_{E < E_F} \bar{\Psi}_E(r)\Psi_E(r)$$

• Thomas-Fermi: fluid is confined and has an edge  $L^2 = 2/m\omega$ 

 $\rho_{TF} \propto \left(\frac{E_F}{\omega} - \frac{r^2}{L^2}\right)^{d/2}$ 

 Exact answer a long range tail: [Brack, van Zyl]

$$\rho_{exact} \propto \sum_{i=0}^{E_F/\omega} a_i L_i (4\frac{r^2}{L^2}) e^{-2r^2/L^2}$$

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 Far away, confined composite "gas" should approximate a "point particle"

1 002

Normalized Ratio of fluid <sup>1.002</sup>  
density to single particle den-  
sity in same 
$$V(r)$$
 with  
 $n = E_F/2\omega$  for  $n = 1, 20, 100$  <sup>0.999</sup>

 We wish to know how the behavior at ∂AdS to read off what happens in the CFT.

# **Conjecture:**

Study a single Dirac particle in the presence of a BH

– This "hydrogen atom" captures the dynamics at  $\partial AdS$ 

Single particle but large Backreaction — if charge is macroscopic

- Expect a Lifschitz S = 0 solution for any charge  $q_F$ 

• Instead of  $\Psi$ , work directly with probability density

 $J^{\mu}_{\pm} \equiv \bar{\Psi}_{\pm} i \gamma^{\mu} \Psi_{\pm}$ 

- Obtain dynamics for composite fields...

 $J^{\mu}_{\pm} \equiv \bar{\Psi}_{\pm} i \gamma^{\mu} \Psi_{\pm} \ , \ I = \bar{\Psi}_{+} \Psi_{-} \ , \ A_{0} = \Phi$ 

– Infer "equations of motion" from EOM of  $\Psi_{\pm}$ 

$$(\partial_z + 2\mathcal{A}_{\pm}) J_{\pm}^0 = \mp \frac{\Phi}{f} I.$$

$$(\partial_z + \mathcal{A}_{\pm} + \mathcal{A}_{\pm}) I = \frac{2\Phi}{f} (J_{\pm}^0 - J_{\pm}^0)$$

$$\partial_z^2 \Phi = -\frac{1}{2z^3 \sqrt{f}} (J_{\pm}^0 + J_{\pm}^0)$$
Recall
$$\mathcal{A}_{\pm} = -\frac{1}{2z} \left(3 - \frac{zf'}{2f}\right) \pm \frac{mL}{z\sqrt{f}}$$

- "Entropy Collapse" to a Lifshitz BH [also Hartnoll, Polchinski, Silverstein, Tong]
  - Boundary conditions at the horizon z = 1...

$$J_{\pm}^{0} = \mathcal{J}_{\pm}(1-z)^{-1/2} + \dots$$
  

$$I = I_{hor}(1-z)^{-1/2} + \dots$$
  

$$\Phi = \Phi \quad {}^{(1)}_{hor}(1-z)\ln(z-1) + \Phi^{(2)}_{hor}(1-z) + \dots$$

-  $\Phi_{hor}^{(1)}$  corresponds to a "source" on the horizon, (infinite backreaction)

- Dynamically  $\Phi_{hor}^{(1)} = 0 \rightarrow \mathcal{J}_{\pm} = 0 = I_{hor}$ 

"Dirac Hair" requires (mild) backreaction at the horizon

 $\Rightarrow$ 

## • A holographic Migdal's relation

- Boundary behavior of the Dirac field

$$\Psi_{+} = A_{+}z^{3/2-m} + B_{+}z^{5/2+m} + \dots$$
  
$$\Psi_{-} = A_{-}z^{5/2+m} + B_{-}z^{5/2-m} + \dots$$

- Recall that for bosons

$$\Phi = Jz^{\Delta} + \langle \mathcal{O} \rangle_J z^{d-\Delta} + \dots$$

- Spontaneous symmetry breaking [Gubser, Hartnoll, Herzog, Horowitz] : solution with  $J=0,\langle {\cal O}
angle 
eq 0.$ 

J = 0 is the quasinormal mode.

### • A holographic Migdal's relation

- Boundary behavior of the Dirac field

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– For fermions the Green's function

$$G(\omega, k) = \frac{Z}{\omega - v_F(k - k_F)} + \operatorname{reg} = \frac{B_-}{A_+}$$

- For  $A_{\pm}(k_F) = 0$ ,  $B_{-}(k_F)$  cannot be a fermionic vev.

Instead

$$Z \simeq \frac{B_{-}(k_F)}{A_{+}(k_F)} = \frac{|B_{-}(k_F)|^2}{\partial_{\omega}W} \quad \text{Migdal: } n_F : \square$$

• The Green's function (bulk extension)

 $G(z) = \Psi_{-}(z)S\Psi_{+}^{-1}(z) , \quad D + A_{\pm}\Psi_{\pm} = -T\Psi_{\mp}$ 

- Convenient way to solve *G* directly

$$\partial_z G = (\mathcal{A}_+ - \mathcal{A}_-)G + G\mathcal{T}G - \mathcal{T}\Psi_+(z)S\Psi_+^{-1}(z)$$

- Consider however the combinations  $(\Gamma^{I} = \{1, \gamma^{i}, \gamma^{ij}, \ldots\})$ 

$$J_{\pm}^{I}(z) = \bar{\Psi}_{+}^{-1}(z_{0})\bar{\Psi}_{\pm}(z)\Gamma^{I}\Psi_{\pm}(z)\Psi_{+}^{-1}(z_{0})$$
$$G^{I}(z) = \bar{\Psi}_{+}^{-1}(z_{0})\bar{\Psi}_{+}(z)\Gamma^{I}\Psi_{-}(z)S\Psi_{+}^{-1}(z)$$

If  $T^i$  has only a single nonvanishing component, these are the same equations as before.

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- For generic  $\omega, k$ :

 $J_{-}^{I}(z_{0}) = (J_{+}^{1})^{-1} \bar{G} \Gamma^{I} G$ 

• The Green's function (bulk extension)

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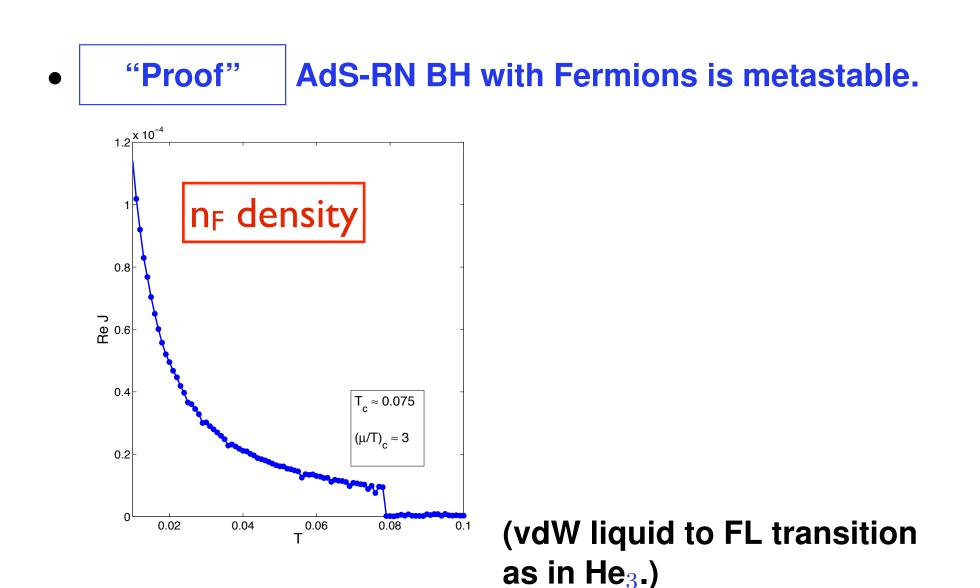
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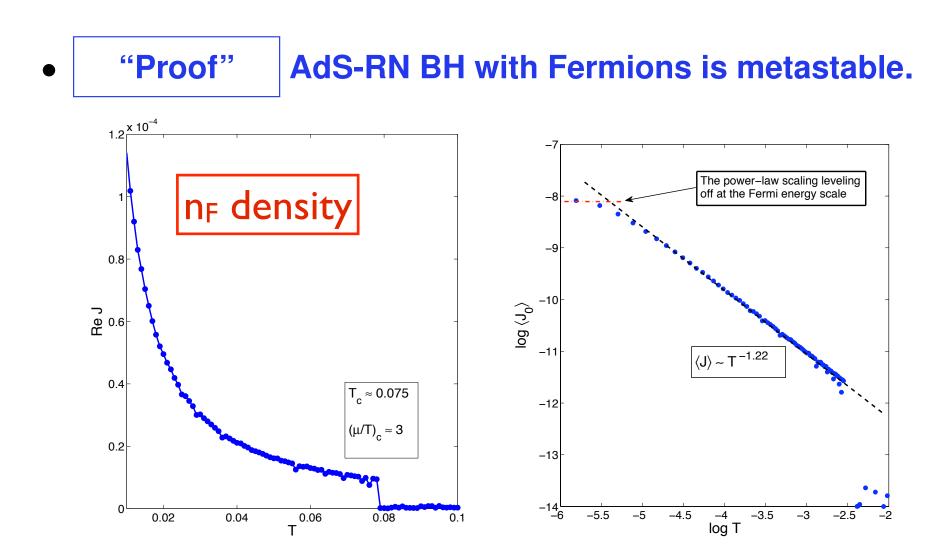
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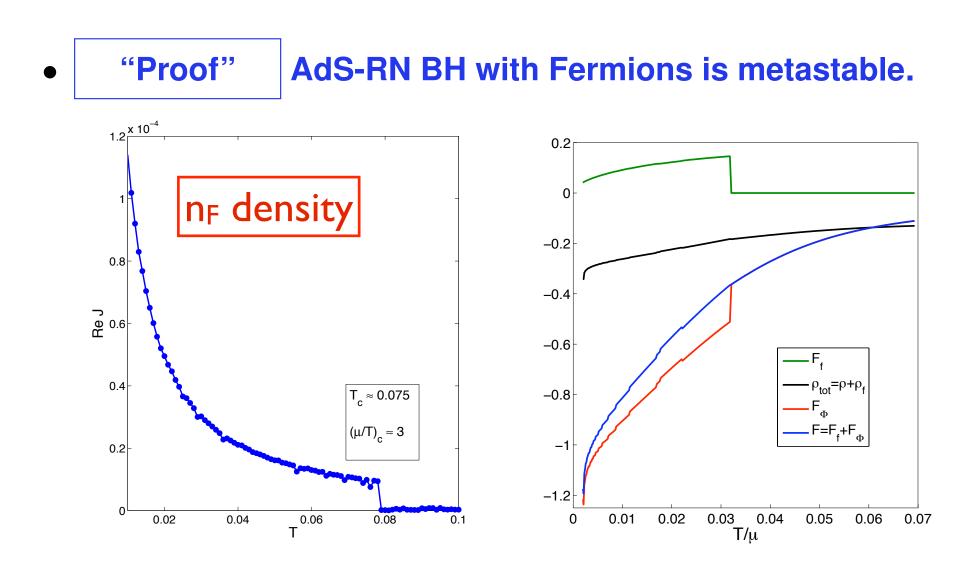
- For pole  $\omega(k)$ :

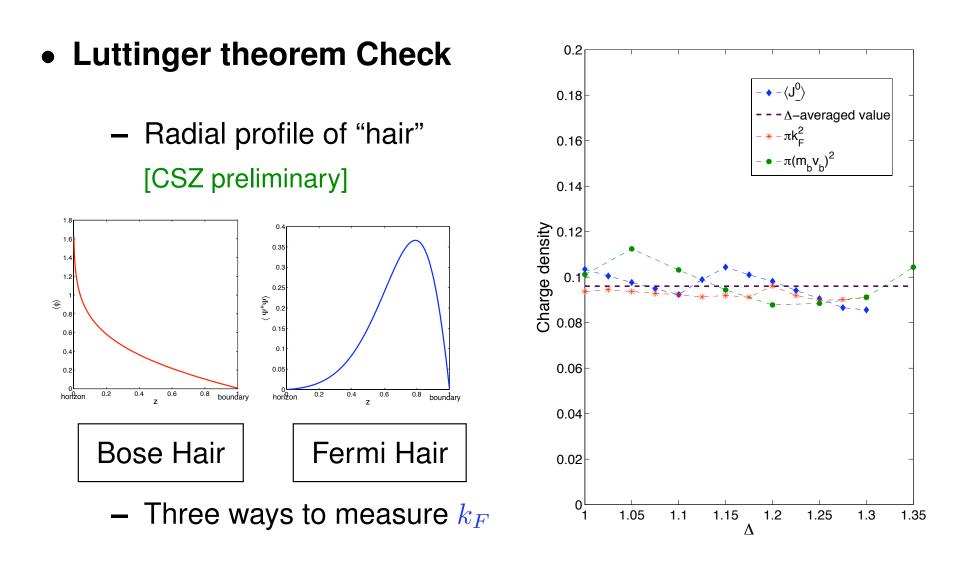
$$J_{-}^{I} = \Gamma^{I}G|_{on-shell}$$
  
Tr $\gamma^{0}G_{F}(\omega(k))|_{on-shell} = f_{FD}(T,\omega(k))\rho_{states}(\omega(k))$ 

$$J_{-}(\omega(k),k) = n_F(k)$$









# Can we understand FL stability from AdS/CFT?

- Stability is determined by the Free Energy:
  - Landau-Ginzburg

$$\mathcal{F}(\phi) = \int d^d x \frac{1}{2} (\partial_i \phi)^2 + \frac{1}{2} m^2 \phi^2 + \frac{1}{4} \lambda \phi^4 + \dots \bigg|_{extremum}$$

- Wilson

The quantum-mechanical partition function/path integral at low-energies is expressed in terms of fluctuations of  $\phi$ 

$$Z(\beta,\phi) = \int \mathcal{D}\varphi e^{-\beta \mathcal{F}(\phi+\varphi)}$$

- The control parameter in the Free energy is the boson vev  $\langle \phi \rangle$ .

• Since Fermions cannot have a vev, there is no such understanding of the (stability) of the Fermi Liquid.

 $\langle \Psi \rangle = 0$  [by definition]

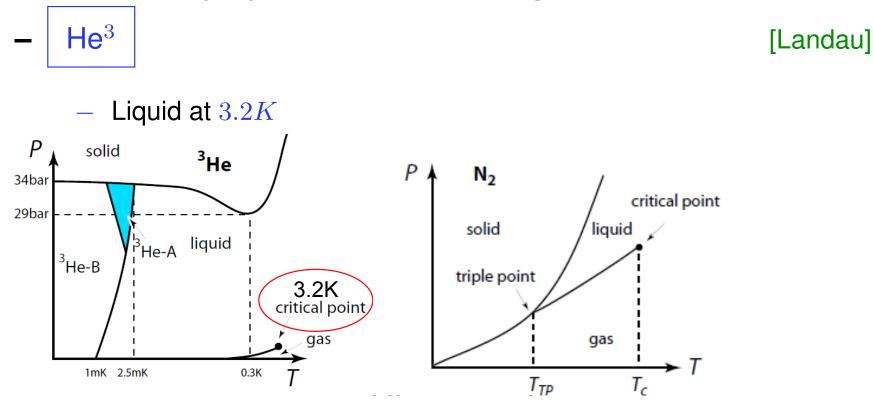
- Perturbatively OK [Shankar, Polchinski]

Calculation assumes FL groundstate; no global, ab initio explanation of the groundstate. (local vs global minimum)

- Experimentally OK...

This is the mystery. Experimentally FL extremely robust

- Normal FL picture: dressed electrons
- There are many systems where this picture fails.

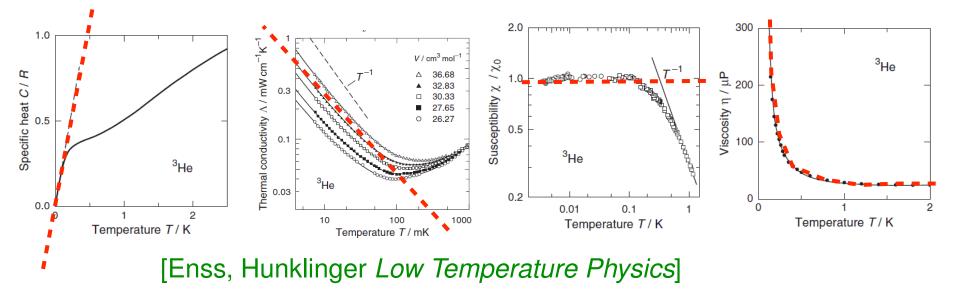


- strongly coupled van der Waals liquid:  $r_{interparticle} \ll r_{He_3}$ : no notion of individual  $He_3$  atoms(!)
- stays liquid due to quantum-fluctuations.

- Normal FL picture: dressed electrons
- There are many systems where this picture fails.



- Liquid at 3.2K
- Becomes a regular Fermi Liquid at T = 0.32K ( $E_F = 4.9K$ )



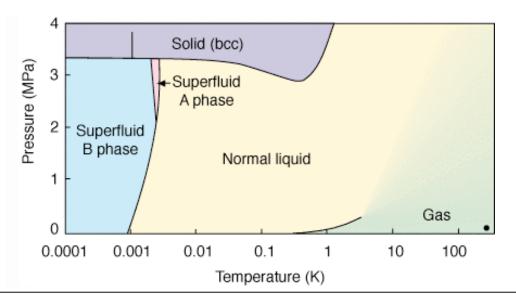
-  $r_{interparticle} \ll r_{He_3}$  cannot think of these as dressed  $He_3$  atoms(!) Direct liquid to FL transition

- Normal FL picture: dressed electrons
- There are many systems where this picture fails.
  - He<sup>3</sup>

[Landau]

- Liquid at 3.2K
- Becomes a regular Fermi Liquid at T = 0.32K ( $E_F = 4.9K$ )

- (Exotic) Superfluid at  $T = 10^{-3} K$ [Lee, Oscheroff, Richardson, Nobel 1996]



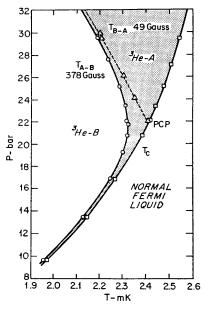


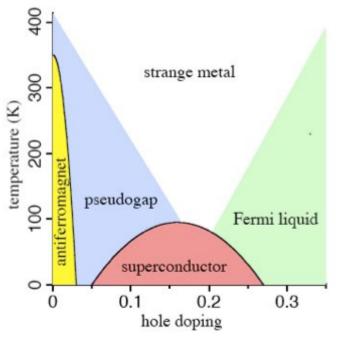
FIG. 11. Experimental data of Paulson, Kojima and Wheatley (1974). At the lowest magnetic field, the A phase is not present below the polycritical point PCP at about 22 bar. In a larger magnetic field, the B phase is suppressed in favor of the A phase even at the lowest pressure, and the polycritical point disappears.

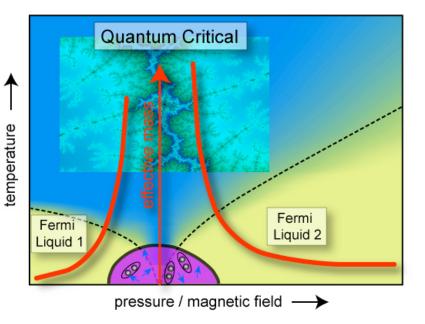
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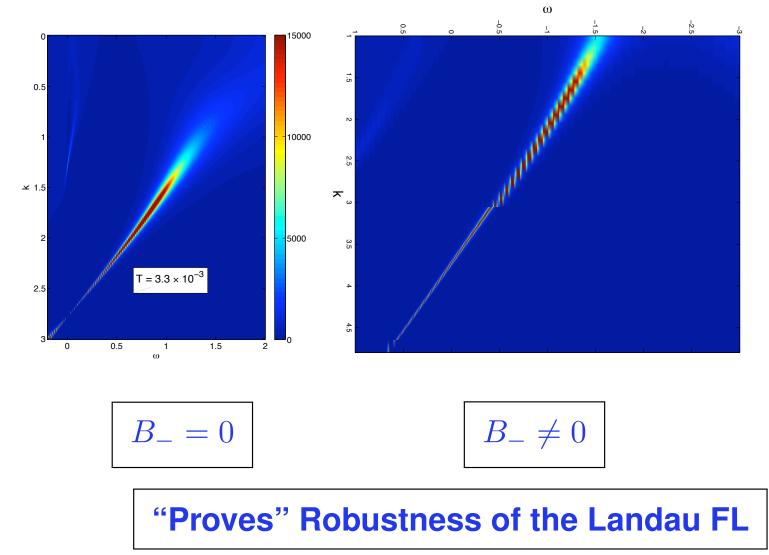
- 2D electron gas in MOSFETs,
- High  $T_c$  superconductors,

Heavy Fermion systems









- Dirac instability of AdS RN explains FL stability analogous to order parameter.
- Single fermion approximation.
  - Effectiveness (qualitative)
  - Reliability (quantitative)
  - Applicability (small  $\mu \sim q \Delta E$  and/or electron star asymptotics)
- Probing other phases of fermionic matter (a new tool)

# Thank you.