Jets and QCD — lecture 2

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Hard stuff clusters with nearest neighbour



Jets 2 (M. Cacciari and G. Salam

First 'jet algorithm' dates back to Sterman and Weinberg (1977) — the original infrared-safe cross section:

To study jets, we consider the partial cross section $\sigma(E,\theta,\Omega,\varepsilon,\delta)$ for e⁺e⁻ hadron production events, in which all but a fraction $\varepsilon <<1$ of the total e⁺e⁻ energy E is emitted within some pair of oppositely directed cones of half-angle $\delta <<1$, lying within two fixed cones of solid angle Ω (with $\pi\delta^2 <<\Omega <<1$) at an angle θ to the e⁺e⁻ beam line. We expect this to be measur-

$$\sigma(\mathbf{E},\theta,\Omega,\varepsilon,\delta) = (\mathrm{d}\sigma/\mathrm{d}\Omega)_{0}\Omega\left[1 - (g_{\mathrm{E}}^{2}/3\pi^{2})\left\{3\ln\delta + 4\ln\delta\ln2\varepsilon + \frac{\pi^{3}}{3} - \frac{5}{2}\right\}\right]$$

Groundbreaking; good for 2 jets in e^+e^- ; but never widely generalised

Unifying idea: momentum flow within a cone only marginally modified by QCD branching But cones come in many variants

Processing Finding cones	Progressive Removal	Split–Merge	Split–Drop
Seeded, Fixed (FC)	GetJet CellJet		
Seeded, Iterative (IC)	CMS Cone	JetClu(CDF) [†] ATLAS cone	
Seeded, It. + Midpoints (IC _{mp})		CDF MidPoint D0 Run II cone	PxCone
Seedless (SC)		SISCone	

[[]JetClu also has "ratcheting"

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- Cones are always understood as circles in rapidity (y) and azimuth ϕ .
- A particle *i* is within the cone of radius *R* around the axis *a* if

$$\Delta R_{ia}^2 = (y_i - y_a)^2 + (\phi_i - \phi_a)^2 < R^2$$

The usual hadron collider variables

- We'll use R = 0.7 in the examples that follow
- And we'll use events all of whose particles are at $\phi = 0$, for simplicity

It. Cone with Split–Merge (IC-SM)

Avoid ordering seeds (coll. unsafe) CDF JetClu[†] & ATLAS cones

- use every particle as possible seed (no particular order)
- iterate until stable cone
- add the stable cone to the list of protojets unless it's already there
- until all seeds done

Note: protojets **overlap**. Certain particles appear in many protojets protojet \neq jet

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p_r/GeV Cone is stable 60 50 40 30 20 10 4 v 2 3 n

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[Cone	algorithms]
└[xC-	SM]

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IC-SM: split-merge part



SM in Tevatron Run II formulation but common to most xC-SM

- Identify hardest protojet (PJ), p1
- Find hardest PJ that overlaps with it, p₂
- Calculated overlap,
 O = p_{t.shared} / p_{t.2}
 - ▶ if O < f, split along axis at center of two PJs
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- Find hardest PJ that overlaps with it, p₂
- Calculated overlap, $Q = p_{1} + \frac{1}{2} \frac{n}{2} \frac{n}{2}$
 - $O = p_{t,shared}/p_{t,2}$
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 O = p. (p. q/p. q)
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[Cone algorithms]

IC-SM: split-merge part



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- Find hardest PJ that overlaps
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[Cone algorithms]

IRC safety crucial for theory

Soft emission, collinear splitting are both infinite in pert. QCD. Infinities cancel with loop diagrams if jet-alg IRC safe



Some calculations simply become meaningless



Looking for stable cones \simeq finding local minima of a potential.

Problem: set of iterative solution depends on set of starting points.

Patch: after 1st round of iteration, find midpoints between protojets, use as new seeds

CDF Midpoint algorithm D0 Run II algorithm

This solves problem for 2-hard-particle configs.

Midpoint algorithm $(IC_{mp}-SM)$



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Midpoint algorithm $(IC_{mp}-SM)$



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Midpoint IR problem



particle \rightarrow extra starting point \rightarrow extra stable cone found **MIDPOINT IS INFRARED UNSAFE**

Or collinear unsafe with seed threshold

Midpoint IR problem



Midpoint cone alg. misses some stable cones; extra soft particle \rightarrow extra starting point \rightarrow extra stable cone found **MIDPOINT IS INFRARED UNSAFE**

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Does IRC safety really matter?

Real life does not have infinities, but pert. infinity leaves a real-life trace

 $\alpha_{\rm s}^2 + \alpha_{\rm s}^3 + \alpha_{\rm s}^4 \times \infty \rightarrow \alpha_{\rm s}^2 + \alpha_{\rm s}^3 + \alpha_{\rm s}^4 \times \ln p_t / \Lambda \rightarrow \alpha_{\rm s}^2 + \alpha_{\rm s}^3 + \alpha_{\rm s}^3$ BOTH WASTED

Among consequences of IR unsafety:

	Last meaningful order			
	JetClu, ATLAS	MidPoint	CMS it. cone	Known at
	LO	NLO	NLO	NLO $(\rightarrow NNLO)$
W/Z+1 jet	LO	NLO	NLO	NLO
		LO	LO	NLO [nlojet++]
W/Z + 2 jets		LO	LO	NLO [MCFM]

NB: 50,000,000\$/ $\pounds/CHF/{\in}$ investment in NLO

Multi-jet contexts much more sensitive: **ubiquitous at LHC** And LHC will rely on QCD for background double-checks extraction of cross sections, extraction of parameters

IRC safety & real-life

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	CONE [IC-SM]	[IC _{mp} -SM]	[IC-PR]	
Inclusive jets	LO	NLO	NLO	NLO (\rightarrow NNLO)
W/Z + 1 jet	LO	NLO	NLO	NLO
3 jets	none	LO	LO	NLO [nlojet++]
W/Z + 2 jets	none	LO	LO	NLO [MCFM]
$m_{\rm jet}$ in $2j + X$	none	none	none	LO

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Can we cure this IR safety problem?



Aim to identify *all* stable cones, independently of any seeds

Procedure in 1 dimension (y):

- find all distinct enclosures of radius R by repeatedly sliding a cone sideways until edge touches a particle
- check each for stability
- then run usual split–merge

[Cone	algorithms]
L[SIS	Cone]



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└[SIS	Cone]















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In 2 dimensions (y,φ) can design analogous procedure **SISCone** GPS & Soyez '07

his gives an IRC safe cone alg.



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- 1. Find all distinct ways of enclosing a subset of particles in a $y \phi$ circle
- 2. Check, for each enclosure, if it corresponds to a stable cone

Finding all distinct circular enclosures of a set of points is geometry:



Any enclosure can be moved until a pair of points lies on its edge.

Result: Seedless Infrared Safe Cone algorithm (SISCone) Runs in $N^2 \ln N$ time (\simeq midpoint's N^2

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Cones are just *circles* in the $y - \phi$ plane. To find all stable cones:

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[Cone algorithms] └ [SISCone]

- Generate event with 2 < N < 10 hard particles, find jets
- Add 1 < N_{soft} < 5 soft particles, find jets again [repeatedly]
- If the jets are different, algorithm is IR unsafe.

Unsafety level	failure rate
2 hard + 1 soft	
3 hard + 1 soft	

Be careful with split-merge too

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Unsafety level	failure rate
2 hard + 1 soft	$\sim 50\%$
3 hard + 1 soft	$\sim 15\%$
SISCone	IR safe !

Be careful with split-merge too



A full set of IRC-safe jet algorithms

Generalise inclusive-type sequential recombination with

$$d_{ij} = \min(k_{ti}^{2\mathbf{p}}, k_{tj}^{2\mathbf{p}}) \Delta R_{ij}^2 / R^2$$
 $d_{iB} = k_{ti}^{2\mathbf{p}}$

	Alg. name	Comment	time
p = 1	k _t	Hierarchical in rel. k_t	
	CDOSTW '91-93; ES '93		NIn N exp.
p = 0	Cambridge/Aachen	Hierarchical in angle	
	Dok, Leder, Moretti, Webber '97	Scan multiple <i>R</i> at once	N In N
	Wengler, Wobisch '98	$\leftrightarrow QCD \text{ angular ordering}$	
p = -1	${\sf anti-}k_t$ Cacciari, GPS, Soyez '08	Hierarchy meaningless, jets	
	\sim reverse- k_t Delsart	like CMS cone (IC-PR)	$N^{3/2}$
SC-SM	SISCone	Replaces JetClu, ATLAS	
	GPS Soyez '07 + Tevatron run II '00 $$	MidPoint (xC-SM) cones	$N^2 \ln N \exp$.

All these algorithms [& much more] coded in (efficient) C++ at http://fastjet.fr/ (Cacciari, GPS & Soyez '05-'11)

Jets 2 (M. Cacciari and G. Salam)





Towards an understanding of jets

How a jet is and isn't like a parton — quantitatively

And how this relationship is affected by the jet radius

Small jet radius Large jet radius

single parton @ LO: jet radius irrelevant

Small jet radius

Large jet radius



Small jet radius





perturbative fragmentation: large jet radius better (it captures more)

Small jet radius



Large jet radius



non-perturbative fragmentation: large jet radius better (it captures more)



underlying ev. & pileup "noise": **small jet radius better** (it captures less)

Small jet radius



Large jet radius



multi-hard-parton events: **small jet radius better** (it resolves partons more effectively)

Parton pt v. jet pt

3 physical effects:

Gluon radiation from the parton
 Hadronisation
 Underlying Event

One important consideration:

Whether the parton is a quark or a gluon [quarks radiate with colour factor $C_F = 4/3$ gluons radiate with colour factor $C_A = 3$]

The question's dangerous: a "parton" is an ambiguous concept

Three limits can help you:

Threshold limit

[Understanding jets]

 \lfloor [Parton p_t v. jet p_t]

- Parton from color-neutral object decay (Z')
- Small-R (radius) limit for jet

One simple result (small-*R* limit)

$$\frac{\langle p_{t,jet} - p_{t,parton} \rangle}{p_t} = \frac{\alpha_s}{\pi} \ln R \times \begin{cases} 1.01 C_F & quarks \\ 0.94 C_A + 0.07 n_f & gluons \end{cases} + \mathcal{O}(\alpha_s)$$

only $\mathcal{O}(\alpha_s)$ depends on algorithm & process

cf. Dasgupta, Magnea & GPS '07

e.g. de Florian & Vogelsang '07

Jet p_t v. parton p_t : hadronisation?

Hadronisation: the "parton-shower" \rightarrow hadrons transition

Method:

[Understanding jets]

 \lfloor [Parton p_t v. jet p_t]

- "infrared finite α_s"
- **prediction** based on e^+e^- event shape data
- could have been deduced from old work

à la Dokshitzer & Webber '95

Korchemsky & Sterman '95 Seymour '97

Main result

$$\langle p_{t,jet} - p_{t,parton-shower} \rangle \simeq -\frac{0.4 \text{ GeV}}{R} \times \begin{cases} C_F & quarks \\ C_A & gluons \end{cases}$$

cf. Dasgupta, Magnea & GPS '07 coefficient holds for anti- k_t ; see Dasgupta & Delenda '09 for k_t alg. "Naive" prediction (UE \simeq colour dipole between *pp*): $\Delta p_t \simeq 0.4 \text{ GeV} \times \frac{R^2}{2} \times \begin{cases} C_F & q\bar{q} \text{ dipole} \\ C_A & \text{gluon dipole} \end{cases}$

Nodern Monte Carlo tunes tell you (
$$\sqrt{s}=$$
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ho_t \simeq$ 8 GeV $imes rac{R^2}{2} \simeq 1.2$ GeV $imes (\pi R^2)$

This big coefficient motivates special effort to understand interplay between jet algorithm and UE: "jet areas" How does coefficient depend on algorithm? How does it depend on jet p_t ? How does it fluctuate? cf. Cacciari, GPS & Soyez '08 "Naive" prediction (UE \simeq colour dipole between *pp*): $\Delta p_t \simeq 0.4 \text{ GeV} \times \frac{R^2}{2} \times \begin{cases} C_F & q\bar{q} \text{ dipole} \\ C_A & \text{gluon dipole} \end{cases}$

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Using our understanding to help discover a dijet resonance, $q\bar{q} \rightarrow X \rightarrow q\bar{q}$.

E.g. to reconstruct $m_X \sim (p_{tg} + p_{t\bar{q}})$ $\frac{\text{PT radiation:}}{q: \quad \langle \Delta p_t \rangle \simeq \frac{\alpha_{\text{s}} C_{\text{F}}}{\pi} p_t \ln R}$ q Hadronisation: $\overline{q:} \langle \Delta p_t \rangle \simeq - rac{C_F}{R} \cdot 0.4 \text{ GeV}$ q q р р **Underlying event:** $\overline{q,g:} \ \langle \Delta p_t
angle \simeq rac{R^2}{2} \cdot 2.5 - 15 \; {
m GeV}$ a

Minimise fluctuations in p_t

Use crude approximation:

 $\langle \Delta p_t^2
angle \simeq \langle \Delta p_t
angle^2$

in small-*R* limit (!) NB: full calc, correct fluct: Soyez '10







Dijet mass: scan over *R* [Pythia 6.4]



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Dijet mass: scan over *R* [Pythia 6.4]











After scanning, summarise "quality" v. R. Minimum \equiv BEST picture not so different from crude analytical estimate


Best R is at minimum of curve

 Best *R* depends strongly on mass of system
 Increases with mass can reproduce this anayltically Soyez '10

Message received by CMS: they combine all R = 0.5 jets ($p_t > 10$ GeV) within $\Delta R = 1.1$ of two hardest to improve resolution. ATLAS '11 still just use R = 0.6



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http://quality.fastjet.fr/



Jets 2 (M. Cacciari and G. Salam)

GGI

Analytic quality estimates

Soyez '10



Perturbatively resum resonance "line-shape", convolute with model for non-perturbative effects.

etermine "quality" of line-shape from the analytic results, as a function of jet radius R

Analytic quality estimates

Soyez '10



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Analytic quality estimates

Soyez '10



Cone algorithms can be made infrared safe through an efficient exhaustive search for all stable cones — SISCone

Relation between a parton and a jet is ambiguous (because "partons" are ambiguous)

But many rule-of-thumb relations can be derived, e.g. for *R*-dependence from different physics contributions [perturbative radiation, hadronisation, underlying event]

This understanding can be used to optimize choice of jet definitions

Supplementary material

Compare midpoint and SISCone

Result depends on observable:

- inclusive jet spectrum is the least sensitive (affected at NNLO)
- ► larger differences (5 10%) at hadron level

seedless reduces UE effect





Look at jet masses in multijet events. NB: Jet masses reconstruct boosted W/Z/H/top in BSM searches



Select 3-jet events $p_{t1,2,3} > \{120, 60, 20\}$ GeV,

Calculate LO jet-mass spectrum for jet 2, compare midpoint with SISCone.

▶ 10% differences by default

 40% differences with extra cut ΔR_{2,3} < 1.4 e.g. for jets from common decay chain

In complex events, IR safety matters

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- ▶ IR safety often matters less in *inclusive* quantities
- It matters more in multi-jet cases

- ► JetClu (IC-SM) is very bad So is ATLAS cone (no longer used)
- ► Midpoint (IC_{mp}-SM) moderately bad

So is CMS cone (IC-PR), now only used in trigger

- ► An IRC safe cone algorithm exists (SISCone)
- Avoid trouble later: use IR-safe algs from the start cf. CDF W+jets

Jet contours - visualised









GGI

E.g. SISCone jet area

1. One hard particle, many soft



Jet area =

Measure of jet's susceptibility to uniform soft radiation

[Supplementary material] [Algorithm properties]

E.g. SISCone jet area





Jet area =

Measure of jet's susceptibility to uniform soft radiation

E.g. SISCone jet area

3. Overlapping "soft" stable cones



Jet area =

Measure of jet's susceptibility to uniform soft radiation

[Supplementary material] [Algorithm properties] E.g. SISCone jet area





Jet area =

Measure of jet's susceptibility to uniform soft radiation

E.g. SISCone jet area

5. Final hard jet (reduced area)



Jet area =

Measure of jet's susceptibility to uniform soft radiation

Depends on details of an algorithm's clustering dynamics.

SISCone's area (1 hard particle)
=
$$\frac{1}{4} \pi R^2$$

 $\label{eq:Small} \mbox{Small area} \equiv $$ low sensitivity to UE \& pileup $$$

Jet algorithm properties: summary

[Supplementary material]

	k _t	Cam/Aachen	anti- <i>k_t</i>	SISCone
reach	R	R	R	$(1+\frac{p_{t2}}{p_{t1}})R$
$\Delta p_{t,PT} \simeq rac{lpha_{ extsf{s}} C_i}{\pi} imes$	In R	In R	In R	In 1.35 <i>R</i>
$\Delta p_{t,hadr} \simeq -rac{0.4~{ m GeV}C_i}{R} imes$	0.7	?	1	?
area $=\pi R^2 imes$	0.81 ± 0.28	0.81 ± 0.26	1	0.25
$+\pi R^2 rac{C_i}{\pi b_0} \ln rac{lpha_{ m s}(Q_0)}{lpha_{ m s}(Rp_t)} imes$	$\textbf{0.52}\pm\textbf{0.41}$	0.08 ± 0.19	0	0.12 ± 0.07

In words:

- k_t : area fluctuates a lot, depends on p_t (bad for UE)
- Cam/Aachen: area fluctuates somewhat, depends less on p_t
- ► anti-*k*_t: area is constant (circular jets)
- SISCone: reaches far for hard radiation (good for resolution, bad for multijets), area is smaller (good for UE)