# Neutrinos, GUTs, and the Early Universe

### Stefan Antusch

University of Basel Department of Physics



Max-Planck-Institut für Physik (Werner-Heisenberg-Institut)



"What is nu ... ?", Invisibles 12, Smirnov Fest GGI, Florence

June 26, 2012

## Three challenges ...



## **Overview:** Two topics

- Part 1: Neutrinos and inflation
- > Part 2: Is there a footprint of GUTs in  $U_{PMNS}$ ?

First part of my talk:

## **Neutrinos and inflation**

#### Inflation = Era of accelerated expansion in the very early universe



#### Stefan Antusch

## How can inflation be realised?

 Simple and attractive possibility: Slowly rolling scalar field (minimally coupled to gravity)

$$T_{\mu\nu} = \partial_{\mu}\phi\partial_{\nu}\phi - g_{\mu\nu}\left(\frac{1}{2}\partial_{\rho}\phi\partial_{\rho}\phi + V(\phi)\right)$$

If the vacuum energy V( $\phi$ ) dominates:  $\Rightarrow \quad a(t) = \exp\left(\sqrt{\frac{8\pi G_N V(\phi)}{3}}t\right)$ and the universe "inflates"!

Important: The field  $\phi$  is dynamical  $\Rightarrow$  inflation can end!

## Dynamics during and after inflation



Vacuum energy during inflation:  $(V_0)^{1/4} \sim 10^{16} \text{ GeV} \sim M_{GUT}$ 

## Dynamics during and after inflation



Decays of the inflaton: → matter & antimatter, and possibly their asymmetry get produced!

Vacuum energy during inflation:  $(V_0)^{1/4} \sim 10^{16} \text{ GeV} \sim M_{GUT}$ 

#### Stefan Antusch

## Dynamics during and after inflation



Stefan Antusch

Two major questions:

## Which particle physics scenario can give rise to successful inflation?

And finally: Who is the inflaton particle?

## Bottom-up considerations ...



'Hybrid-type' inflation models

Various considerations & viewpoints:

Basic classes of inflation models

Often: One just adds a singlet scalar field plus potential without connection to the rest of the theory ...

> Take SM particle (e.g. Higgs) and modify gravity (e.g. introduce nonminimal coupling to gravity ...)

Look for candiates in extensions of the SM ...

## Top-down viewpoints ...

Various top-down possibilities:

- Grand Unified Theories (GUTs)?
- Family symmetries?
- Supersymmetry/Supergravity
- Seesaw mechanism for v-masses

String theory, extra dimensions ...



## How does inflation fit into a more fundamental theory ... ?

#### Various top-down possibilities:

- Grand Unified Theories (GUTs)?
- Family symmetries?
- Supersymmetry/Supergravity
- Seesaw mechanism for v-masses
  - String theory, extra dimensions ...

#### Various considerations & viewpoints:

- Basic classes of inflation models
- Often: One just adds a singlet scalar field plus potential without connection to the rest of the theory ...
- Take SM particle (e.g. Higgs) and modify gravity (e.g. introduce nonminimal coupling to gravity ...)
  - Look for candiates in extensions of the SM ...

## Seesaw + SUSY $\rightarrow$ The RH sneutrino as the inflaton $y_{\mu}^2 v_{EW}^2$

#### The right-handed neutrino superfield:



$$\nu_{\rm R} = \widetilde{N}_{\rm R} + \sqrt{2\theta}N_{\rm R} + \theta\theta F_{N_{\rm R}}$$

P. Minkowski ('77), Mohapatra, Senjanovic, Yanagida, Gell-Mann, Ramond, Slansky, Schechter, Valle, ...

The right-handed sneutrinos, i.e. the scalar superpartners of the RH neutrinos  $\rightarrow$  excellent candidates for acting as the inflaton field!

Framework: local supersymmetry = supergravity



## Two possibilities for the origin of the large RH neutrino masses ↔ two options for realising inflation with RH sneutrinos

## Origin of right-handed neutrino masses

I) Direct mass terms:

$$\mathcal{W}_{M_{\mathrm{R}}} = M_{\mathrm{R}}\nu_{\mathrm{R}}\nu_{\mathrm{R}}$$

$$\mathcal{W}_{M_{\mathrm{R}}} = \frac{\lambda}{M_{\mathrm{Pl}}} \nu_{\mathrm{R}} \nu_{\mathrm{R}} H H$$

## Origin of right-handed neutrino masses

I) Direct mass terms:

II) Mass terms from spontaneous symmetry breaking

$$\mathcal{W}_{M_{\mathrm{R}}} = M_{\mathrm{R}}\nu_{\mathrm{R}}\nu_{\mathrm{R}}$$

$$\mathcal{W}_{M_{\mathrm{R}}} = \frac{\lambda}{M_{\mathrm{Pl}}} \nu_{\mathrm{R}} \nu_{\mathrm{R}} H H$$

For example:

In SO(10) GUTs:

In some  $A_4$  flavour models (with  $\theta^{(i)}$  flavons in 3 of  $A_4$ ):  $\frac{1}{\Lambda} 16_i 16_j H_{\bar{1}6} H_{\bar{1}6} \qquad \frac{1}{\Lambda} \nu_{\mathrm{Ri}} \nu_{\mathrm{Rj}} \theta^{(i)} \theta^{(j)}$ 

## **Chaotic Sneutrino Inflation**

#### I) Direct mass terms:

 $\mathcal{W}_{M_{\mathrm{R}}} = M_{\mathrm{R}}\nu_{\mathrm{R}}\nu_{\mathrm{R}}$ 

Inflaton potential from:  $|F_{\nu_R}|^2 = \left|\frac{\partial W}{\partial \nu_R}\right|_{\theta=0} = |M_R \tilde{N}_R|^2$ 

Predictions for CMB observables: n<sub>s</sub> ≈ 0.96, r ≈ 0.16

```
Predictions for neutrino physics:
M<sub>R</sub> ~ 10<sup>13</sup> GeV
```

```
Note: v<sub>R</sub> has to be a total singlet!
```

Murayama, Suziki, Yanagida, Yokoyama ('93)



#### 'Large field' (chaotic) sneutrino inflation

In supergravity: W+ suitable Kähler potential K

#### Stefan Antusch

#### II) Mass term from spontaneous symmetry breaking (SSB)

$$\mathcal{W} = \kappa S (H^2 - M^2) + \frac{\lambda}{M_{\text{Pl}}} \nu_{\text{R}} \nu_{\text{R}} H H$$

$$\int \text{Additional term in W is just a SUSY}_{\text{version of a SSB potential}}$$

$$|F_{\text{S}}|^2 \Rightarrow$$

$$\int V(H, \tilde{N}_{\text{R}} = 0)$$

$$V_{0}$$

S.A., Bastero-Gil, King, Shafi ('04)

i) <Ñ<sub>R</sub>> ≠ 0 can stabilise H at <H> = 0 and leads to large vacuum energy V<sub>0</sub> ~ M
ii) Large masses for the RH (s)neutrinos when H gets a vev after inflation



'Hybrid-type' sneutrino inflation

### Chaotic ↔ Hybrid models can be distinguished by the results of the Planck satellite



Prediction of Sneutrino Hybrid Inflation

S.A., Bastero-Gil, King, Shafi ('04)

(WMAP '10, WMAP '08)

$$\mathcal{W} = \kappa S(H^2 - M^2) + \frac{\lambda}{M_{\rm Pl}} \nu_{\rm R} \nu_{\rm R} H H$$

#### **Driving superfield**

(its F-term generates the potential for H and provides the vacuum energy  $V_0$ ; During and after inflation: <S> = 0.)

$$|F_{\rm S}|^2 =$$



#### Waterfall superfield

(contains the "waterfall field" (e.g. GUT- or Flavour-Higgs field) that ends inflation by a 2<sup>nd</sup> order phase transition)

> In supergravity: W + suitable Kähler potential K

#### Stefan Antusch



Stefan Antusch

$$\mathcal{W} = \kappa S(H^2 - M^2) + \frac{\lambda}{M_{\rm Pl}} \nu_{\rm R} \nu_{\rm R} H H$$



Inflaton superfield

( $v_R$  contains the inflaton field  $\tilde{N}_R$  as scalar component; For  $<\tilde{N}_R > > \tilde{N}_{R,crit}$  it stabilises H at <H> = 0)

$$\mathcal{W} = \kappa S(H^2 - M^2) + \frac{\lambda}{M_{\rm Pl}} \nu_{\rm R} \nu_{\rm R} H H$$



Inflaton superfield

( $v_R$  contains the inflaton field  $\tilde{N}_R$  as scalar component; For  $<\tilde{N}_R > > \tilde{N}_{R,crit}$  it stabilises H at <H> = 0)

$$\mathcal{W} = \kappa S(H^2 - M^2) + \frac{\lambda}{M_{\rm Pl}} \nu_{\rm Ri} \nu_{\rm Ri} H H + (y_{\nu})_{ij} \nu_{\rm Ri} h L_j)$$

Neutrino Yukawa couplings



$$\mathcal{W} = \kappa S(H^2 - M^2) + \frac{\lambda}{M_{\rm Pl}} \nu_{\rm Ri} \nu_{\rm Ri} H H + (y_{\nu})_{ij} \nu_{\rm Ri} h L_j)$$

#### Neutrino Yukawa couplings



Non-thermal leptogenesis after sneutrino inflation: very efficient way of generating the observed baryon asymmetry!

In Sneutrino Hybrid Inflation: S.A., Baumann, Domcke, Kostka ('10)

## CMB observables



Example: Predictions in a toy model ...



S.A., K. Dutta, P. M. Kostka ('09)

#### Stefan Antusch

## Sneutrino hybrid inflation and non-thermal leptogenesis



S.A., Baumann, Domcke, Kostka ('10)

## Sneutrino hybrid inflation and non-thermal leptogenesis



in a simple example model of Sneutrino Hybrid Inflation ...

S.A., Baumann, Domcke, Kostka ('10)

#### Stefan Antusch

## Sneutrino Hybrid Inflation belongs to a more general class of models: Matter Inflation

## $\mathcal{W} = \kappa S(f(H) - M^2) + g(\phi, H)$

### Driving superfield

Waterfall superfield

Inflaton superfield (resides in the matter sector)

Also referred to as "Tribrid Inflation", because three fields play some role in the superpotential of the models ...

S.A., M. Bastero-Gil, K. Dutta, S. F. King, P. M. Kostka ('08)

## Further developments & possibilities in Matter Inflation

Inflaton does not have to be a gauge singlet. It can also be a gauge nonsinglet (e.g. a D-flat direction of GUT representations)

S.A., Bastero-Gil, Baumann, Dutta, King, Kostka ('10)

The (2<sup>nd</sup> order) phase transition at the end of inflation can be ...

... a GUT phase transition

Dvali, Shafi, Schaefer ('94)

 $\checkmark$  ... the breaking of a family symmetry (e.g. A<sub>4</sub>, ...)

S. A., King, Malinsky, Velasco-Sevilla, Zavala ('07)

Possibilites for realising Matter Inflation in Heterotic String Theory ... S. A., Halter, Erdmenger ('11)

## Further developments & possibilities in Matter Inflation

No monopoles are generated at the end of inflation ...

✓ ... if the inflaton is a gauge non-singlet (→ group broken during inflation) S.A., Bastero-Gil, Baumann, Dutta, King, Kostka ('10)

 ... if a family symmetry is broken at the end of inflation (as in "flavon inflation")
 S. A., King, Malinsky, Velasco-Sevilla, Zavala ('07)

✓ ... in "pseudosmooth" versions of tribrid inflation S.A., Nolde, Ur Rehman ('12)

The η-problem (→ "flatness problem" of the inflaton potential) can be solved in SUGRA by symmetry (e.g. by a Heisenberg or shift symmetry in the Kähler potential)
 S.A., M. Bastero-Gil, K. Dutta, S. F. King, P. M. Kostka ('08)

S.A., K. Dutta, P. M. Kostka ('09)

Second part of my talk:

Are there **GUT-footprints** in fermion masses and mixings?



One motivation: Why are the observed masses of down-type quarks and charged leptons "similar" (but not equal)?



 $m_b \leftrightarrow m_T ?$  $m_s \leftrightarrow m_\mu ?$ 

(running masses at the top-mass scale; errors are 3 times the  $1\sigma$  errors ...)

## GUTs: Unification of forces and of matter particles

#### i) Unification of forces at $M_{GUT} \sim 10^{16} \text{ GeV}$



## ii) Unification of matter particles in joint representations of the GUT group

E.g. in SU(5) GUTs:

$$\overline{\mathbf{5}}_{i} = \begin{pmatrix} d_{R}^{cR} & d_{R}^{cB} & d_{R}^{cG} & e_{L} & -\nu_{L} \end{pmatrix}_{i}$$

$$\mathbf{10}_{i} = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 & -u_{R}^{cG} & u_{R}^{cB} & -u_{L}^{R} & -d_{L}^{R} \\ u_{R}^{cG} & 0 & -u_{R}^{cR} & -u_{L}^{B} & -d_{L}^{B} \\ -u_{R}^{cB} & u_{R}^{cR} & 0 & -u_{L}^{G} & -d_{L}^{G} \\ u_{L}^{R} & u_{L}^{B} & u_{L}^{G} & 0 & -e_{R}^{c} \\ d_{L}^{R} & d_{L}^{B} & d_{L}^{G} & e_{R}^{c} & 0 \end{pmatrix}_{i}$$

In SO(10) GUTs:  $\overline{5_i}$  + 10<sub>i</sub> + v<sub>Ri</sub> in 16<sub>i</sub>

## GUTs: Unification of forces and of matter particles

#### i) Unification of forces at $M_{GUT} \sim 10^{16} \text{ GeV}$



One consequence: Elements of the matrices  $M_d$  and  $M_e$  can be generated by one single operator  $\rightarrow$  they differ only by group theoretical Clebsch factors

## ii) Unification of matter particles in joint representations of the GUT group

E.g. in SU(5) GUTs:

$$\overline{\mathbf{5}}_{i} = \begin{pmatrix} d_{R}^{cR} & d_{R}^{cB} & d_{R}^{cG} & e_{L} & -\nu_{L} \end{pmatrix}_{i}$$

$$\mathbf{10}_{i} = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 & -u_{R}^{cG} & u_{R}^{cB} & -u_{L}^{R} & -d_{L}^{R} \\ u_{R}^{cG} & 0 & -u_{R}^{cR} & -u_{L}^{B} & -d_{L}^{B} \\ -u_{R}^{cB} & u_{R}^{cR} & 0 & -u_{L}^{G} & -d_{L}^{G} \\ u_{L}^{R} & u_{L}^{B} & u_{L}^{G} & 0 & -e_{R}^{c} \\ d_{L}^{R} & d_{L}^{B} & d_{L}^{G} & e_{R}^{c} & 0 \end{pmatrix}_{i}$$

In SO(10) GUTs:  $\overline{5_i}$  + 10<sub>i</sub> + v<sub>Ri</sub> in 16<sub>i</sub>

Stefan Antusch
This leads to GUT relations between the masses of down-type quarks and charged leptons (e.g. in SU(5) GUTs):

Relations from fundamental operators:

$$\overline{\mathbf{5}}_{3}\mathbf{10}_{3}\langle \bar{H}_{5}\rangle \Rightarrow \frac{m_{\tau}}{m_{b}}|_{M_{\text{GUT}}} = 1$$

$$\overline{\mathbf{5}}_{2}\mathbf{10}_{2}\langle \bar{H}_{45}\rangle \Rightarrow \frac{m_{\mu}}{m_{s}}|_{M_{\text{GUT}}} = 3$$

"bottom-tau unification"

Georgi, Jarlskog ('79)

SM Higgs particle in GUT representation H<sub>45</sub>

## **Remark:**

In models aiming at explaining the fermion mass hierarchies, the masses << m<sub>t</sub> are typically generated by effective operators! Froggatt, Nielsen ('79)

 $\rightarrow$  With effective operators new interesting GUT predictions for  $m_{\tau}/m_{b}$  and  $m_{\mu}/m_{s}$  can arise!

S. A., Spinrath ('09)

- This leads to GUT relations between the masses of down-type quarks and charged leptons (e.g. in SU(5) GUTs):
  - Relations from effective operators, e.g.:

S. A., Spinrath ('09)

$$\overline{\mathbf{5}}_{3} \frac{\langle H_{24} \rangle}{\Lambda} \mathbf{10}_{3} \langle \bar{H}_{5} \rangle \Rightarrow \frac{m_{\tau}}{m_{b}} |_{M_{\text{GUT}}} = \frac{3}{2}$$

$$\overline{\mathbf{5}}_{2} \frac{\langle H_{24} \rangle}{\Lambda} \mathbf{10}_{2} \langle \bar{H}_{45} \rangle \Rightarrow \frac{m_{\mu}}{m_{s}} |_{M_{\text{GUT}}} = \frac{9}{2}$$

$$\overline{\mathbf{5}}_{2} \langle \bar{H}_{5} \rangle \mathbf{10}_{2} \frac{\langle H_{24} \rangle}{\Lambda} \Rightarrow \frac{m_{\mu}}{m_{s}} |_{M_{\text{GUT}}} = 6$$

Their viablity depends on RG running and threshold corrections (in SUSY)!

i) The "GUT Higgs"  $H_{24}$  breaks the GUT symmetry to the SM ii) The effective operators can explain the hierarchy of masses

$$M_{u} \qquad \qquad M_{d} \qquad M_{d} \qquad M_{ass} \text{ relations @ } M_{gur}, \\ e.g.: \\ m_{\tau} = c_{33} = 1, \frac{3}{2} \\ \textbf{GUT} \qquad \textbf{F}_{m_{b}} = c_{33} = 1, \frac{3}{2} \\ \textbf{M}_{e} \qquad \textbf{M}_{e} = c_{22} = 3, \frac{9}{2}, 6 \\ \textbf{M}_{e} \qquad \textbf{M}_{e} \qquad \textbf{M}_{e} \qquad \textbf{M}_{e}$$

 $U_{PMNS} = U^{e^+} U^{v}$ 

Clebsch factors  $\in$  {1,3} in GUTs: Georgi, Jarlskog ('79) New possible Clebsch factors  $\in$  {3/2,3,9/2,6,...}: S.A., Spinrath ('09)

# In addition to GUT relations for the mass eigenvalues, there are also relations for the mixing angles ...



U<sub>PMNS</sub> = U<sup>e†</sup> U<sup>v</sup>

Such GUT relations for the mixings can leave footprints in the observable leptonic (PMNS) mixing parameters ...

Possible new combinations of Clebsches leading to large  $\theta_{13}^{PMNS}$ : S.A., Maurer ('11) Mazocca, Petcov, Romanino, Spinrath ('11)





### Stefan Antusch

More hierarchical masses in  $M_u$  than in  $M_d \Rightarrow \theta_{ij}^{\ u} << \theta_{ij}^{\ d} \Rightarrow$  typically  $\theta_{12}^{\ d} \cong \theta_c$ and  $\theta_{13}^{d}$ ,  $\theta_{23}^{d} << \theta_{C}$  $M_{\rm U}$  $M_d$  $\mathbf{U}_{\mathsf{CKM}} = \mathbf{U}^{\mathsf{u}\dagger} \mathbf{U}^{\mathsf{d}}$ Let us consider U<sup>v</sup> with:  $M_{e}$  $m_v$  $\theta_{13}^{v} \approx 0$ U<sub>PMNS</sub> = U<sup>e†</sup> U<sup>v</sup>





# The PMNS mixing parameters result from $U^v \text{ and } charged lepton mixing effects (U^{e^+}) \Rightarrow Two main effects (U^{e^+})$

Stefan Antusch

Charged lepton mixing effects: works by many authors ...



Stefan Antusch

47



#### **Stefan Antusch**

# Reconstructing $\theta_{12}^{v}$ using the lepton mixing sum rule



figure from S.A., Gross, Maurer, Sluka ('12)

# Note: Predictions emerge at high energies → RG running required

RGEs for the leptonic mixing angles (in LO in  $\theta_{13}$ ; in the MSSM):

$$\begin{aligned} \dot{\theta}_{12} &\approx -\frac{y_{\tau}^2}{32\pi^2} \sin 2\theta_{12} \, s_{23}^2 \, \frac{|m_1 \, e^{i\varphi_1} + m_2 \, e^{i\varphi_2}|^2}{\Delta m_{\rm sol}^2} + \mathscr{O}(\theta_{13}) \\ \dot{\theta}_{13} &\approx \frac{y_{\tau}^2}{32\pi^2} \sin 2\theta_{12} \sin 2\theta_{23} \, \frac{m_3}{\Delta m_{\rm atm}^2} \left[ m_1 \cos(\varphi_1 - \delta) - m_2 \, \cos(\varphi_2 - \delta) \right] + \mathscr{O}(\theta_{13}) \\ \dot{\theta}_{23} &\approx -\frac{y_{\tau}^2}{32\pi^2} \sin 2\theta_{23} \, \frac{1}{\Delta m_{\rm atm}^2} \left[ c_{12}^2 \, |m_2 \, e^{i\varphi_2} + m_3|^2 + s_{12}^2 \, |m_1 \, e^{i\varphi_1} + m_3|^2 \right] + \mathscr{O}(\theta_{13}) \end{aligned}$$

S.A., Kersten, Lindner, Ratz ('03)

(RGEs in the SM: above equations times -3/2)

Including RG effects from Y<sub>v</sub> (analytical formulae + software REAP): S.A., Kersten, Lindner, Ratz, Schmidt ('05)

# Summary and conclusions



The RH sneutrino is an attractive candidate for the Inflation: Chaotic vs Hybrid-like (= "Tribrid")

In Sneutrino "Tribrid" Inflation: The end of inflation can be associated with GUT or family symmetry breaking

> θ<sub>13</sub><sup>PMNS</sup> ≈ θ<sub>C</sub> /√2 can emerge under simple conditions from GUTs

Predictions @ high energies (M<sub>GUT</sub>): careful model analysis including RG running required ... !