

# $A_4$ , $\theta_{13}$ and $\delta_{CP}$

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## Short History of $A_4$

In 1978, soon after the putative discovery of the third family of leptons and quarks, it was conjectured by **Cabibbo** and **Wolfenstein** independently that

$$U_{CW}^{l\nu} = \frac{1}{\sqrt{3}} \begin{pmatrix} 1 & 1 & 1 \\ 1 & \omega & \omega^2 \\ 1 & \omega^2 & \omega \end{pmatrix},$$

where  $\omega = \exp(2\pi i/3) = -1/2 + i\sqrt{3}/2$ . This implies  $\sin^2 \theta_{12} = \sin^2 \theta_{23} = 1/2$ ,  $\sin^2 \theta_{13} = 1/3$ ,  $\delta_{CP} = \pm\pi/2$ , i.e. **bibitrimaximal** mixing.

In 2001, Ma/Rajasekaran showed that  $U_{CW}$  occurs in  $A_4$  which allows  $m_{e,\mu,\tau}$  to be arbitrary, predicting also  $\sin^2 2\theta_{atm} = 1$ ,  $\theta_{e3} = 0$ . In 2002, Babu/Ma/Valle showed how  $\theta_{e3} \neq 0$  can be radiatively generated in  $A_4$  with  $\delta_{CP} = \pm\pi/2$ , i.e. maximum CP violation.

In 2002, Harrison/Perkins/Scott, after abandoning their **bimaximal** and **trimaximal** hypotheses, proposed the **tribimaximal** mixing matrix, i.e.

$$U_{l\nu}^{HPS} = \begin{pmatrix} \sqrt{2/3} & 1/\sqrt{3} & 0 \\ -1/\sqrt{6} & 1/\sqrt{3} & -1/\sqrt{2} \\ -1/\sqrt{6} & 1/\sqrt{3} & 1/\sqrt{2} \end{pmatrix} \sim (\eta_8, \eta_1, \pi^0)$$

This means  $\sin^2 2\theta_{atm} = 1$ ,  $\tan^2 \theta_{sol} = 1/2$ ,  $\theta_{e3} = 0$ .

In 2004, I showed that this tribimaximal mixing may be obtained in  $A_4$ , with

$$U_{CW}^\dagger M_\nu U_{CW} = \begin{pmatrix} a + 2b & 0 & 0 \\ 0 & a - b & d \\ 0 & d & a - b \end{pmatrix},$$

in the basis that  $M_l$  is diagonal. At that time SNO data gave  $\tan^2 \theta_{sol} = 0.40 \pm 0.05$ , but it was changed in early 2005 to  $0.45 \pm 0.05$ . Tribimaximal mixing and  $A_4$  then became part of the lexicon of the neutrino theorist.

After the 2005 [SNO](#) revision, two  $A_4$  models quickly appeared. (I) Altarelli/Feruglio:

$$U_{CW}^\dagger M_\nu U_{CW} = \begin{pmatrix} a & 0 & 0 \\ 0 & a & d \\ 0 & d & a \end{pmatrix},$$

i.e.  $b = 0$ , and (II) Babu/He:

$$U_{CW}^\dagger M_\nu U_{CW} = \begin{pmatrix} a' - d^2/a' & 0 & 0 \\ 0 & a' & d \\ 0 & d & a' \end{pmatrix},$$

i.e.  $d^2 = 3b(b - a)$ .

The challenge is to **prove experimentally** that  $A_4$  exists. If  $A_4$  is realized by a renormalizable theory at the electroweak scale, then the extra Higgs doublets required will bear this information. Specifically,  $A_4$  breaks to the residual symmetry  $Z_3$  in the charged-lepton sector, and all Higgs Yukawa interactions are determined in terms of lepton masses. This notion of **lepton flavor triality** [Ma(2010)] (exact if neutrino masses are zero) may be the key to such a proof, and these exotic Higgs doublets could be seen at the **LHC**: Cao/Khalili/Ma/Okada(2011); Cao/Damanik/Ma/Wegman(2011).

## Nonzero $\theta_{13}$ in $A_4$

There is now very strong experimental evidence for nonzero  $\theta_{13}$ .

Daya Bay:  $\sin^2 2\theta_{13} = 0.089 \pm 0.010 \pm 0.005$ ,

RENO:  $\sin^2 2\theta_{13} = 0.113 \pm 0.013 \pm 0.019$ ,

Double CHOOZ:  $\sin^2 2\theta_{13} = 0.109 \pm 0.030 \pm 0.025$ ,

and also some evidence for nonmaximal  $\theta_{23}$ :

MINOS:  $\sin^2 2\theta_{23} = 0.96 \pm 0.04$ .



In the  $A_4$  basis, let

$$\mathcal{M}_\nu = \begin{pmatrix} a & f & e \\ f & a & d \\ e & d & a \end{pmatrix},$$

from 4 Higgs triplets  $\sim \underline{1}, \underline{3}$  under  $A_4$ . The old idea was to enforce  $e = f = 0$  to obtain tribimaximal mixing.

Technically this was very difficult (but not impossible) to do. Suppose  $d, e, f$  are arbitrary (which is very easy to do), and let  $b = (e + f)/\sqrt{2}$  and  $c = (e - f)/\sqrt{2}$ , then in the tribimaximal basis,

$$\mathcal{M}_\nu^{(1,2,3)} = \begin{pmatrix} a + d & b & 0 \\ b & a & c \\ 0 & c & a - d \end{pmatrix},$$

Note that the (1,3) and (3,1) entries are automatically zero. If  $a, b, c, d$  are all real, then

$$\sin^2 2\theta_{23} \simeq 1 - 2\sin^2 2\theta_{13}.$$

Since  $\sin^2 2\theta_{23} > 0.92$ , it would predict  $\sin^2 2\theta_{13} < 0.04$ , which is of course excluded by recent data. This looks like bad news, but it is actually good news.

## Large $\delta_{CP}$ in $A_4$

In general,  $a, b, c, d$  are not real, although  $a$  may be chosen real by convention. What the  $A_4$  structure tells us is that there are relationships among the three masses, the three angles and the three phases.

To see how this works, let  $b = 0$  (which may be maintained by an interchange symmetry), then  $\mathcal{M}_\nu^{(1,2,3)}$  can be diagonalized exactly by  $U_\epsilon$  with an angle  $\theta$  and a phase  $\phi$ .

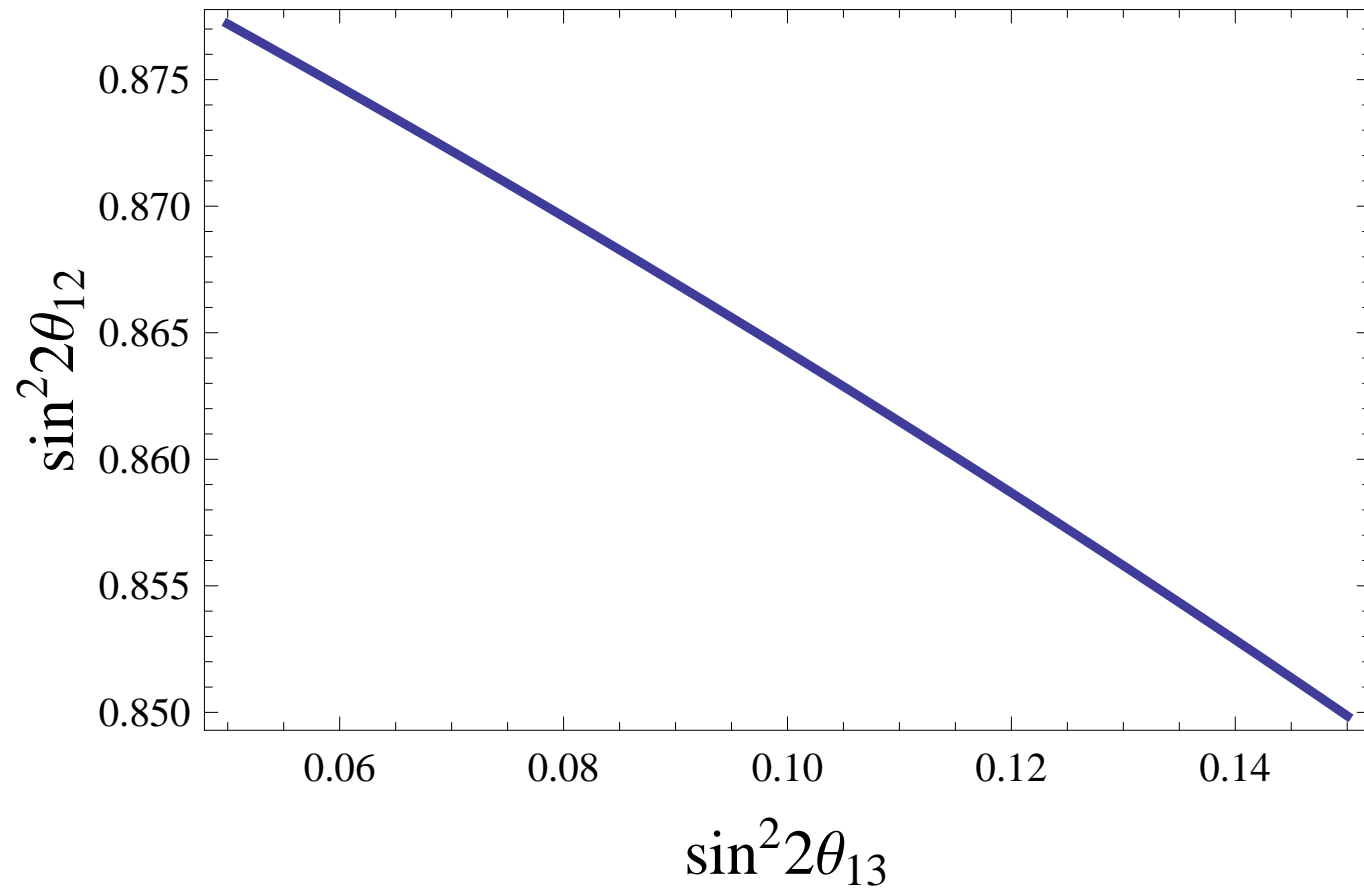
Let  $U' = U_{TB}U_\epsilon^T$ , then

$$U'_{e1} = \sqrt{\frac{2}{3}}, \quad U'_{e2} = \frac{\cos \theta}{\sqrt{3}}, \quad U'_{e3} = -\frac{\sin \theta}{\sqrt{3}}e^{-i\phi},$$

$$U'_{\mu3} = -\frac{\cos \theta}{\sqrt{2}} - \frac{\sin \theta}{\sqrt{3}}e^{-i\phi}, \quad U'_{\tau3} = \frac{\cos \theta}{\sqrt{2}} - \frac{\sin \theta}{\sqrt{3}}e^{-i\phi}.$$

The angles  $\theta_{12}$ ,  $\theta_{23}$ ,  $\theta_{13}$ , and the phase  $\delta_{CP}$  are extracted from  $\tan^2 \theta_{12} = |U'_{e2}/U'_{e1}|^2$ ,  $\tan^2 \theta_{23} = |U'_{\mu3}/U'_{\tau3}|^2$ , and  $\sin \theta_{13}e^{-i\delta_{CP}} = U'_{e3}e^{-i\alpha'_3/2}$ , where  $\alpha'_3$  depends on the specific values of the mass matrix. As a result,

$$\tan^2 \theta_{12} = \frac{1 - 3\sin^2 \theta_{13}}{2},$$



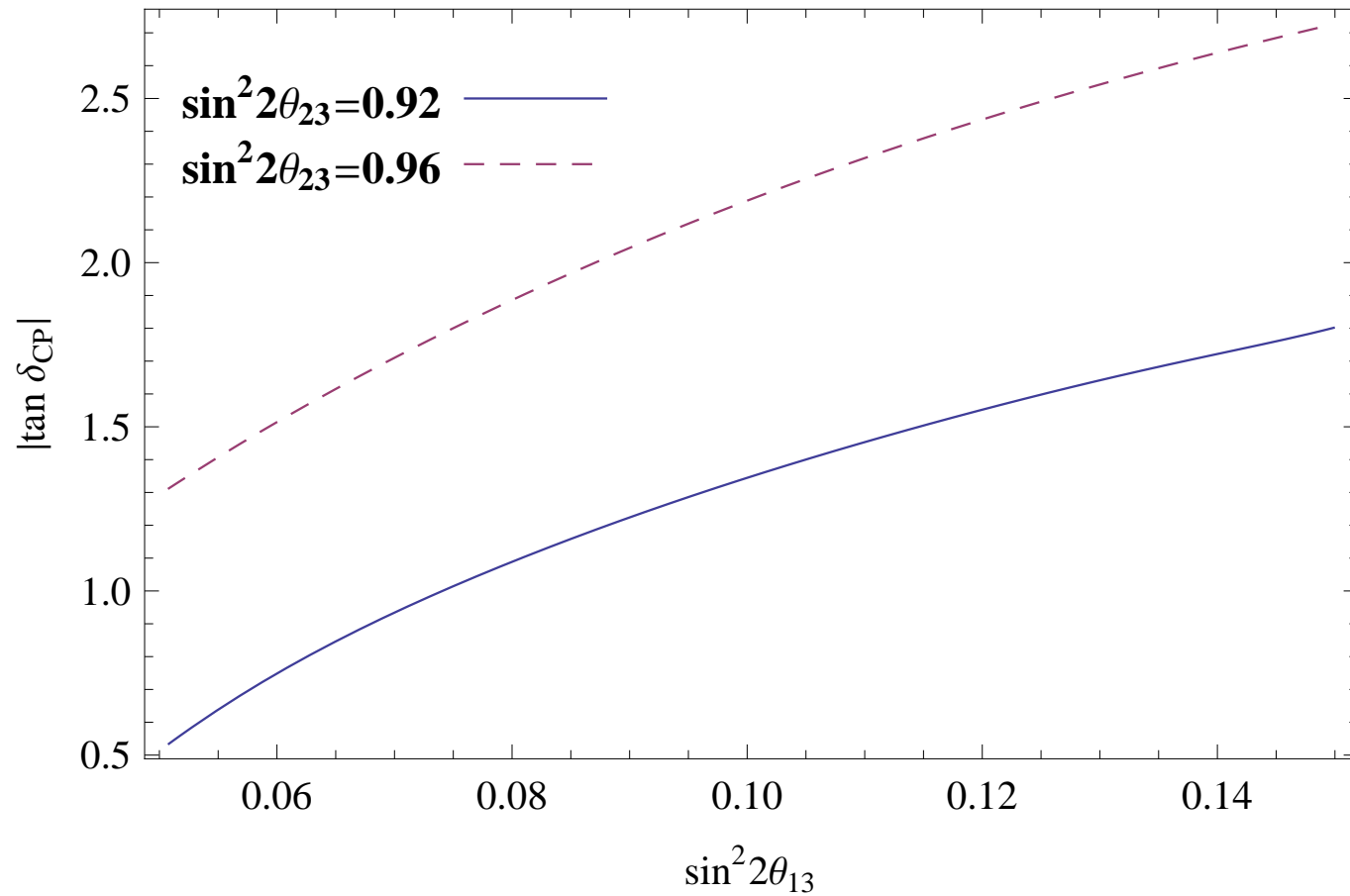
$\sin^2 2\theta_{12}$  versus  $\sin^2 2\theta_{13}$ .

$$\tan^2 \theta_{23} = \frac{\left(1 - \frac{\sqrt{2} \sin \theta_{13} \cos \phi}{\sqrt{1 - 3 \sin^2 \theta_{13}}}\right)^2 + \frac{2 \sin^2 \theta_{13} \sin^2 \phi}{1 - 3 \sin^2 \theta_{13}}}{\left(1 + \frac{\sqrt{2} \sin \theta_{13} \cos \phi}{\sqrt{1 - 3 \sin^2 \theta_{13}}}\right)^2 + \frac{2 \sin^2 \theta_{13} \sin^2 \phi}{1 - 3 \sin^2 \theta_{13}}}.$$

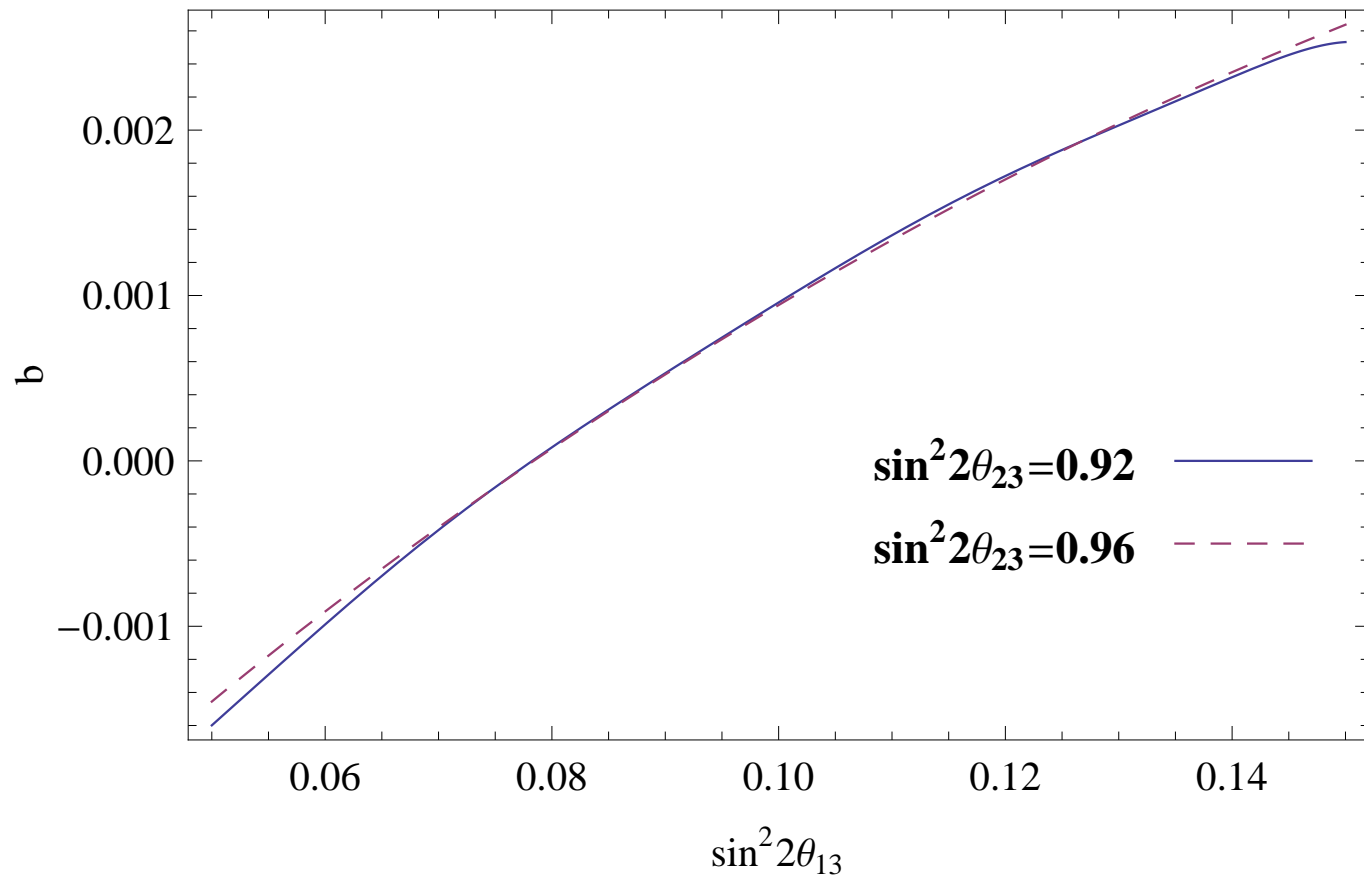
Let  $\sin^2 \theta_{13} = 0.16$  (i.e.  $\sin^2 2\theta_{13} = 0.10$ ) and  $Im(c) = 0$ , then  $\phi = 0$ , and  $\sin^2 2\theta_{23} = 0.80$ , which is ruled out.

Thus  $\sin^2 2\theta_{23} > 0.92$  implies  $|\tan \phi| > 1.2$ .

In a full numerical analysis with  $b, d$  real and  $c$  complex [Ishimori/Ma(2012)],  $|\tan \delta_{CP}|$  is obtained as a function of  $\sin^2 2\theta_{13}$  (for normal hierarchy only).

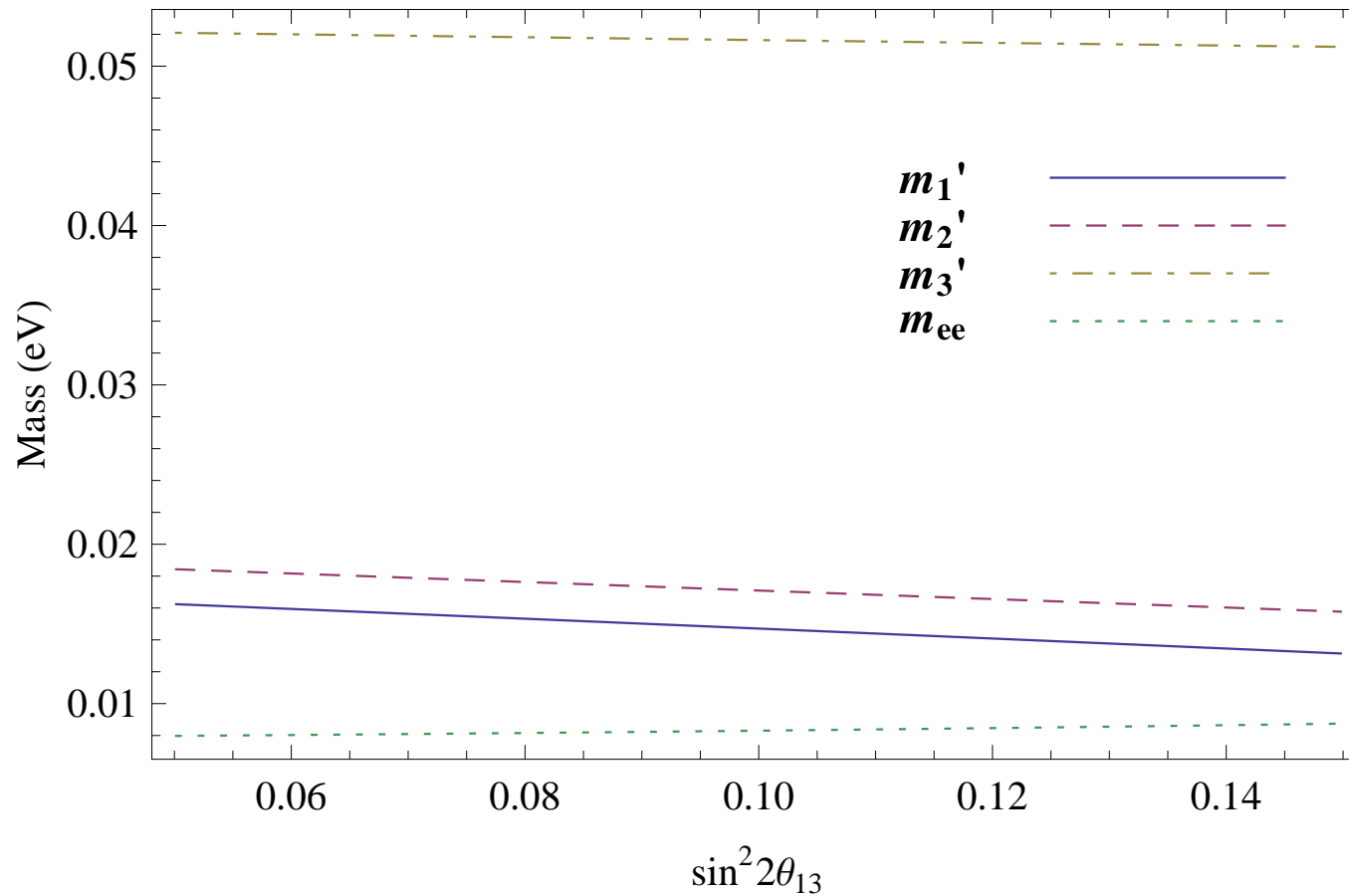


$|\tan \delta_{CP}|$  versus  $\sin^2 2\theta_{13}$  for  $\sin^2 2\theta_{23} = 0.92$  and  $0.96$ .

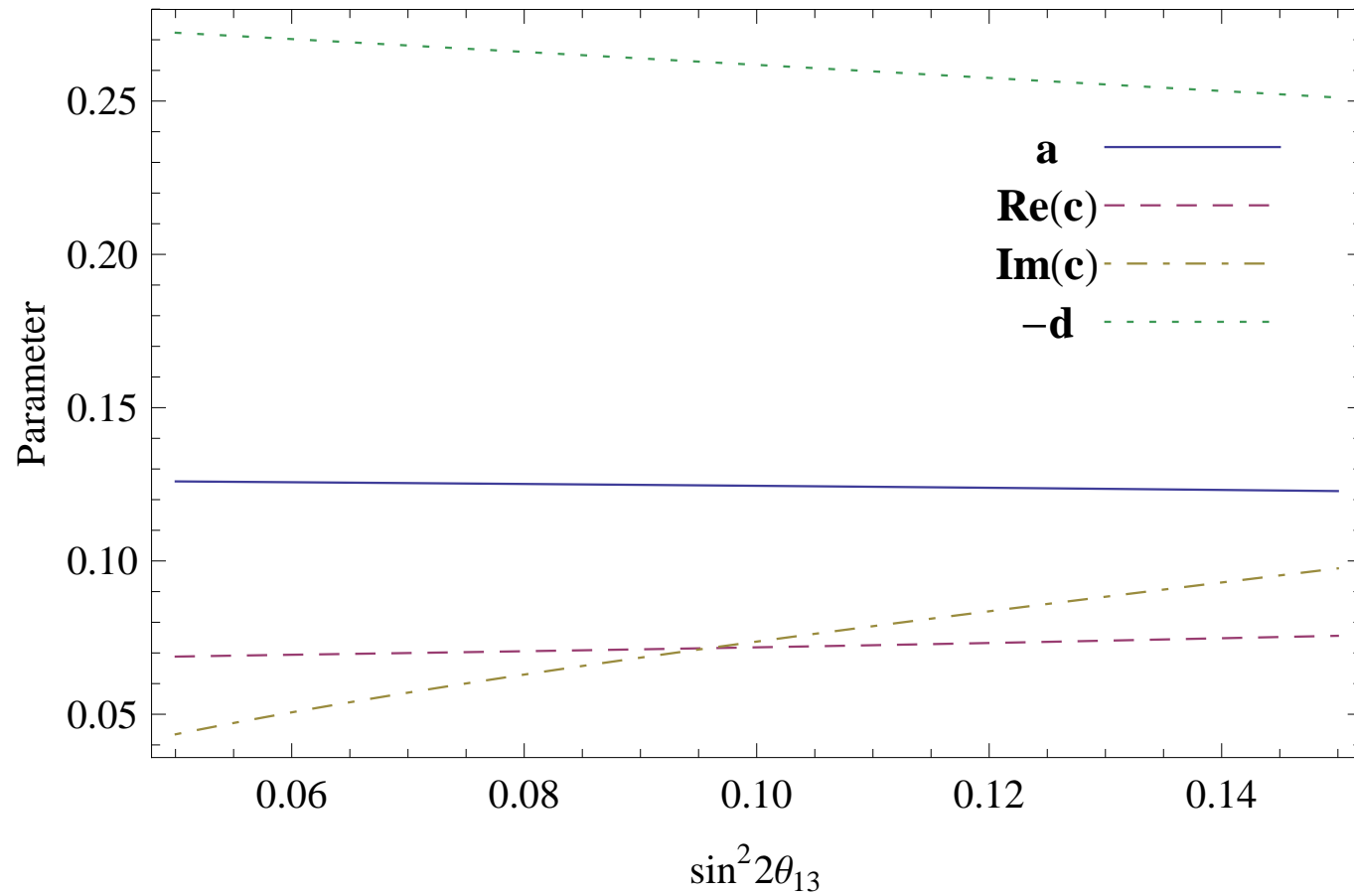


Parameter  $b$  versus  $\sin^2 2\theta_{13}$  for  $\sin^2 2\theta_{23} = 0.92$  and  $0.96$ .





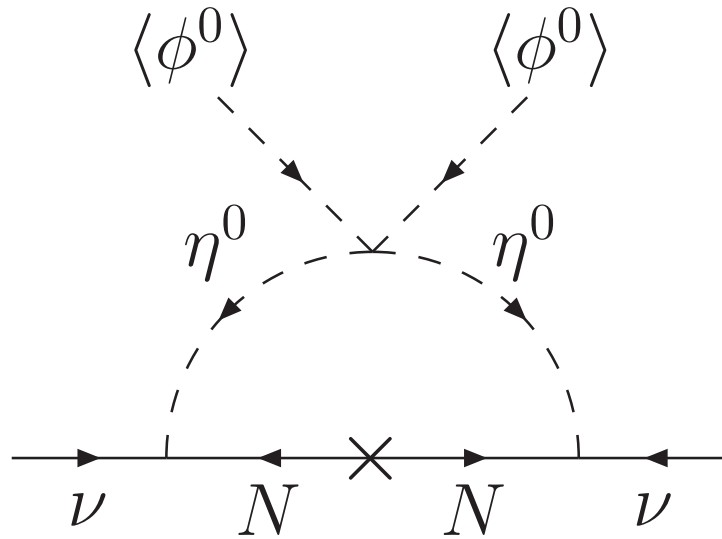
Physical neutrino masses and the effective neutrino mass  $m_{ee}$  in neutrinoless double beta decay for  $\sin^2 2\theta_{23} = 0.96$ .



$A_4$  parameters for  $\sin^2 2\theta_{23} = 0.96$ .

# Scotogenic Majorana Neutrino Mass

Neutrino mass is linked to dark matter in a one-loop mechanism [Ma(2006)] by having a second scalar doublet  $(\eta^+, \eta^0)$  and three neutral fermion singlets  $N_i$ , all of which are odd under an exactly conserved  $Z_2$  whereas all standard-model particles are even. This may be called 'scotogenic' from the Greek 'scotos' meaning darkness. The  $\eta$  doublet was proposed two months later by itself [Barbieri/Hall/Rychkov(2006)] and became known as 'inert', although it has both gauge and scalar interactions.



Scotogenic Majorana neutrino mass.

The one-loop diagram for scotogenic Majorana neutrino mass is exactly calculable from the exchange of  $Re(\eta^0)$  and  $Im(\eta^0)$  and is given by

$$\sum_k \frac{h_{ik}h_{jk}M_k}{16\pi^2} \left[ \frac{m_R^2}{m_R^2 - M_k^2} \ln \frac{m_R^2}{M_k^2} - \frac{m_I^2}{m_I^2 - M_k^2} \ln \frac{m_I^2}{M_k^2} \right].$$

In the limit

$$m_R^2 - m_I^2 = 2\lambda_5 v^2 \ll m_0^2 = (m_R^2 + m_I^2)/2 \ll M_k^2,$$

this reduces to the so-called radiative seesaw:

$$\frac{\lambda_5 v^2}{8\pi^2} \sum_k \frac{h_{ik}h_{jk}}{M_k} \left[ \ln \frac{M_k^2}{m_0^2} - 1 \right].$$

## Scotogenic Nonzero $\theta_{13}$ and Large $\delta_{CP}$ in $A_4$

Let  $(\nu_i, l_i) \sim \underline{\mathfrak{3}}$ ,  $l_i^c \sim \underline{\mathfrak{1}}, \underline{\mathfrak{1}'}, \underline{\mathfrak{1}''}$  as before. Add  $(\eta^+, \eta^0) \sim \underline{\mathfrak{1}}$ , and  $N_i \sim \underline{\mathfrak{3}}$ , then  $\nu_i$  is connected to  $N_i$  by the identity matrix. The structure of the  $N_i N_j$  Majorana mass matrix is then communicated to  $\nu_i$  through  $U_{CW}$  to  $l_j$ . Assume

$$\mathcal{M}_N = \begin{pmatrix} A & F & E \\ F & A & D \\ E & D & A \end{pmatrix},$$

with  $F = -E$ , which may be maintained by gauging  $B - L$  with scalars  $\sigma_0 \sim \underline{\mathfrak{1}}$  and  $\sigma_i \sim \underline{\mathfrak{3}}$  under  $A_4$ .

The breaking of  $A_4$  is accompanied by soft terms respecting the interchange symmetry  $\sigma_1 \rightarrow \sigma_1$ ,  $\sigma_2 \rightarrow -\sigma_3$ ,  $\sigma_3 \rightarrow -\sigma_2$ . In the tribimaximal basis,

$$\mathcal{M}_N^{(1,2,3)} = \begin{pmatrix} A + D & 0 & 0 \\ 0 & A & C \\ 0 & C & A - D \end{pmatrix},$$

where  $C = (E - F)/\sqrt{2} = \sqrt{2}E$ . Rescale  $M_k$  so that

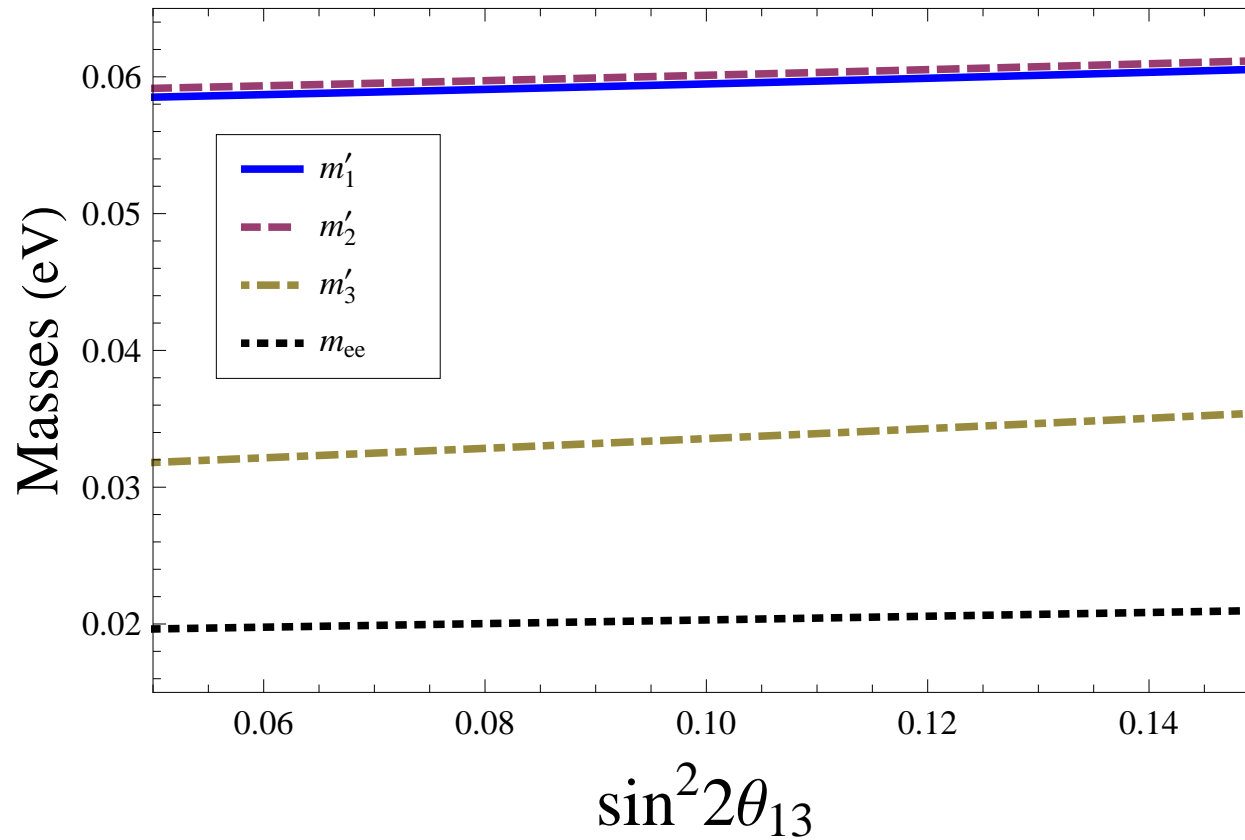
$$m'_k = \frac{1}{M_k} \left( \ln \frac{M_k^2}{m_0^2} - 1 \right).$$

Inputs:  $\Delta m_{21}^2 = 7.59 \times 10^{-5} \text{ eV}^2$ ,  
 $\Delta m_{32}^2 = 2.45 \times 10^{-3} \text{ eV}^2$ .

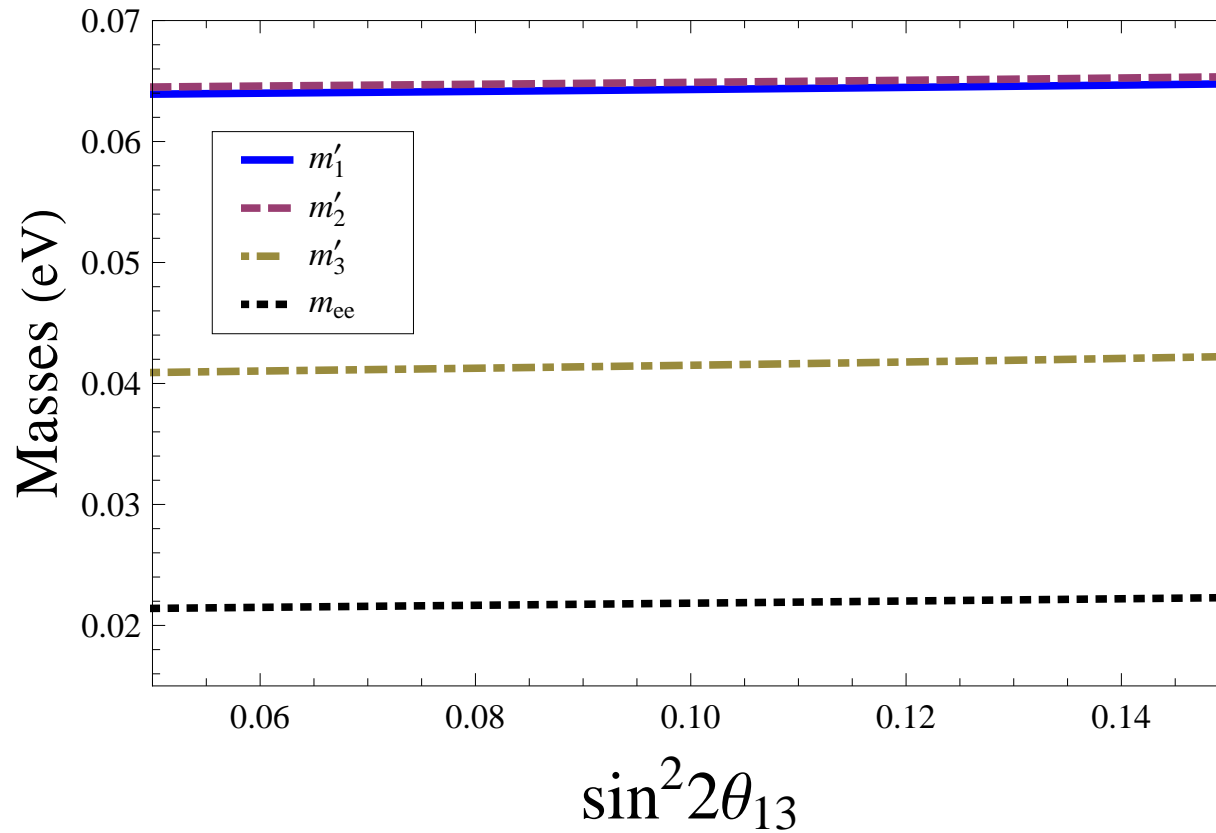
Five representation solutions for  $\sin^2 2\theta_{23} = 0.96$  and  $\sin^2 2\theta_{13} = 0.10$ . [Ma/Natale/Rashed(2012)]

solution	Im(D)	class	$ \tan \delta_{CP} $	$m_{ee}$
I	0	IH	2.05	0.020
II	Re(D)	IH	4.64	0.022
III	0	NH	3.59	0.002
IV	0	QD	2.20	0.046
V	Re(D)	QD	1.84	0.051

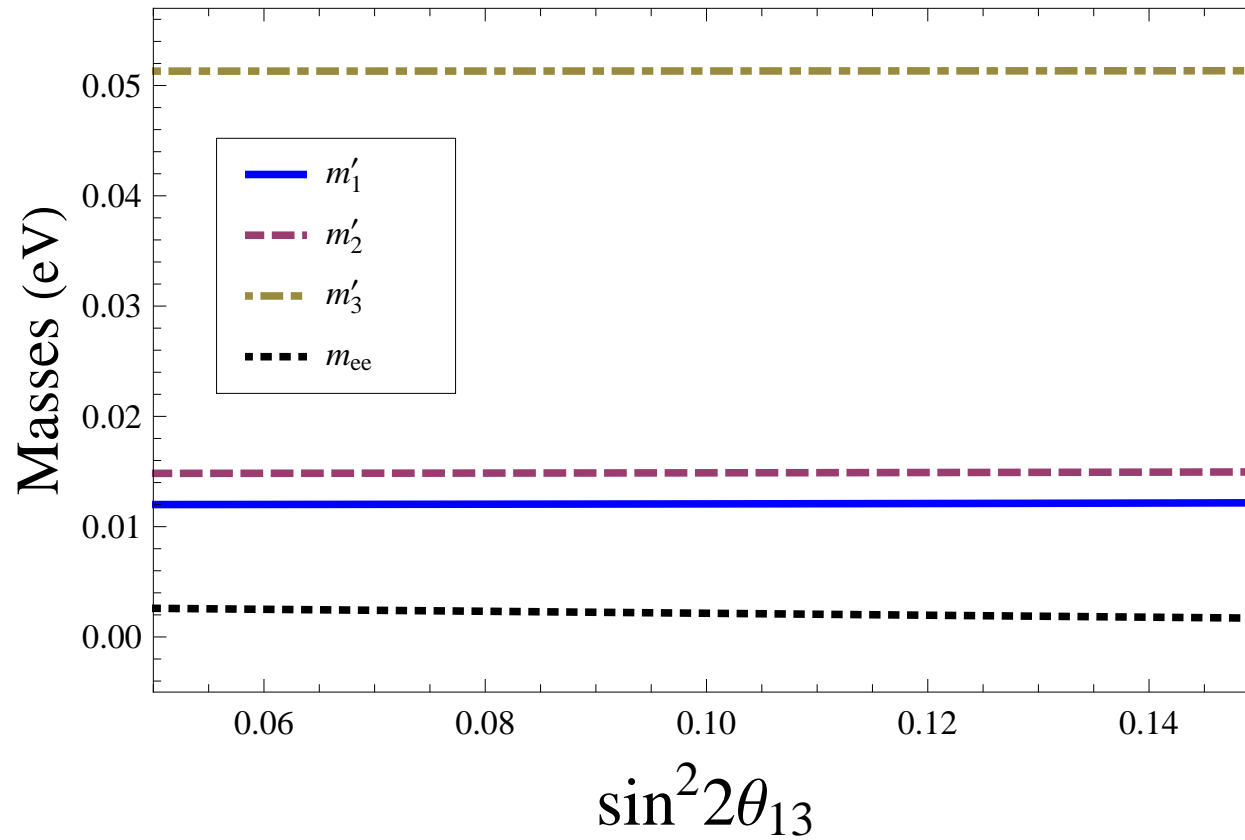




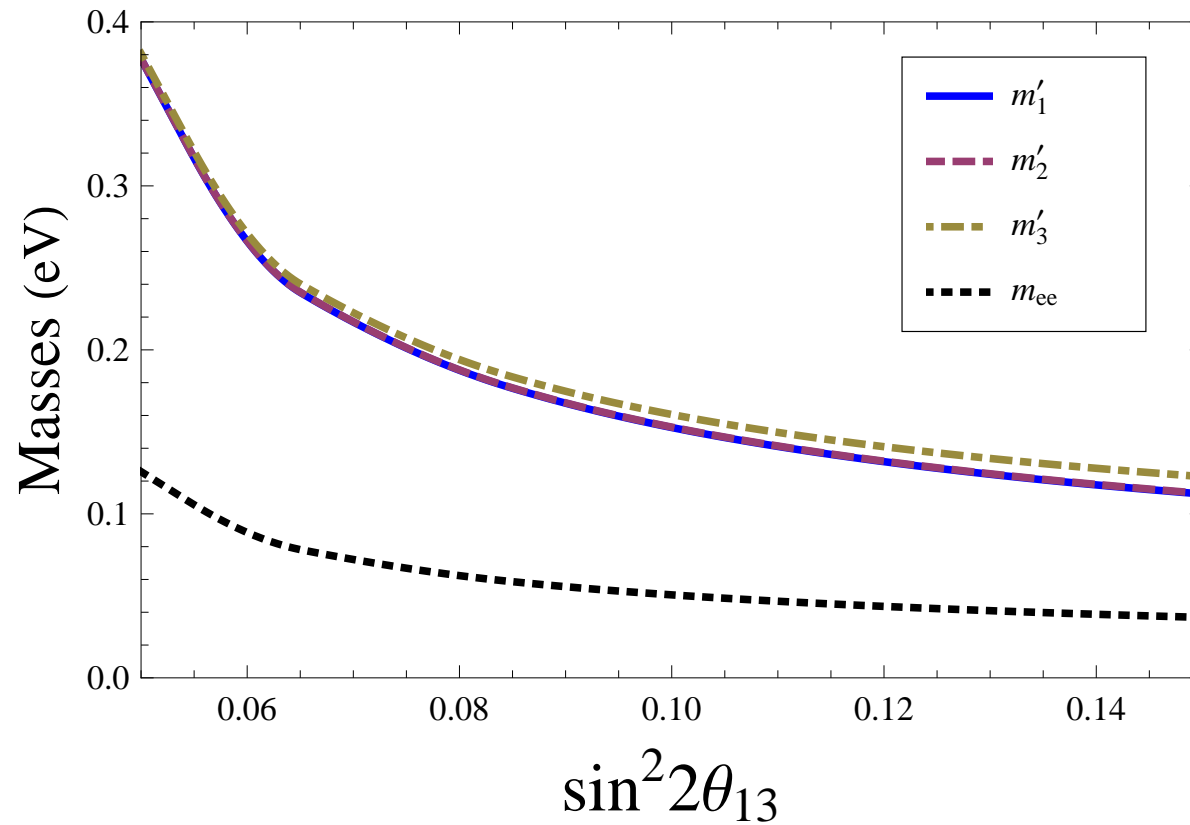
Neutrino masses and  $m_{ee}$  for inverted hierarchy  
with  $\text{Im}(D)=0$  and  $\sin^2 2\theta_{23} = 0.96$ .



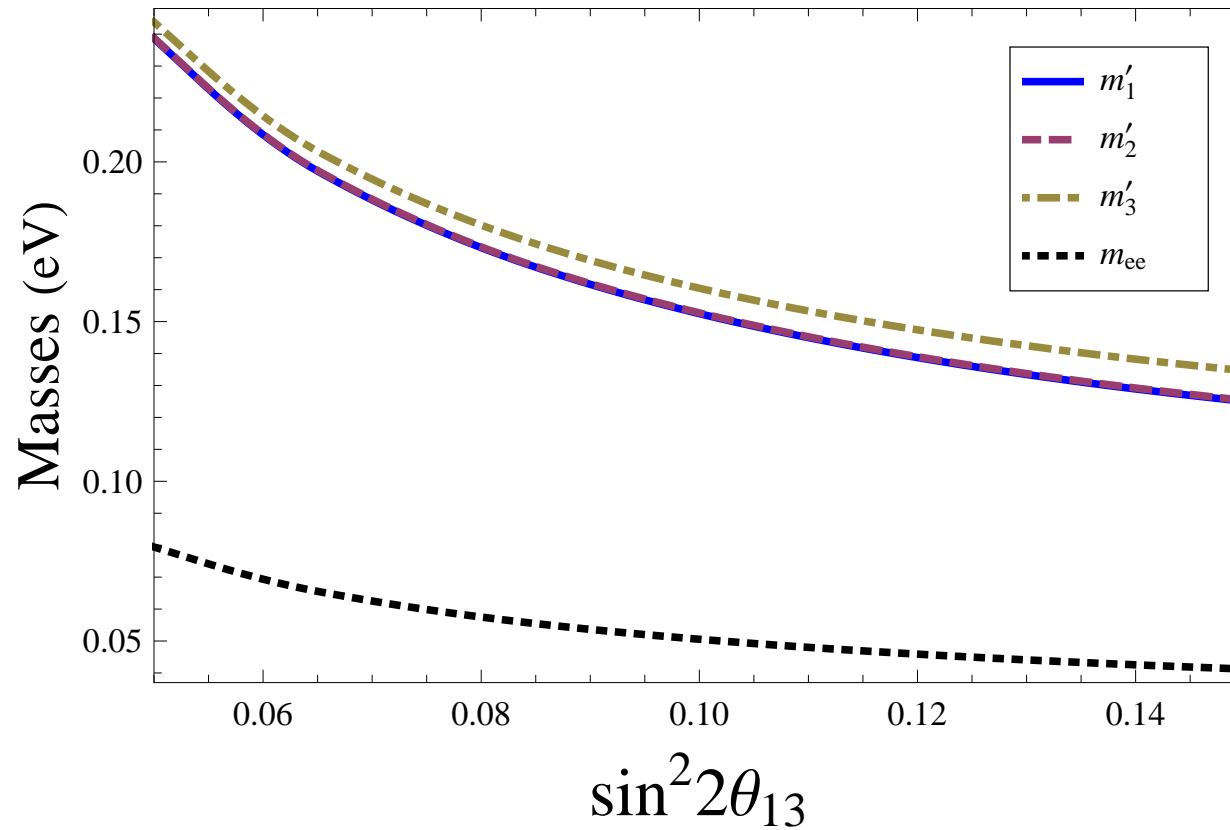
Neutrino masses and  $m_{ee}$  for inverted hierarchy  
 with  $\text{Im}(D)=\text{Re}(D)$  and  $\sin^2 2\theta_{23} = 0.92$ .



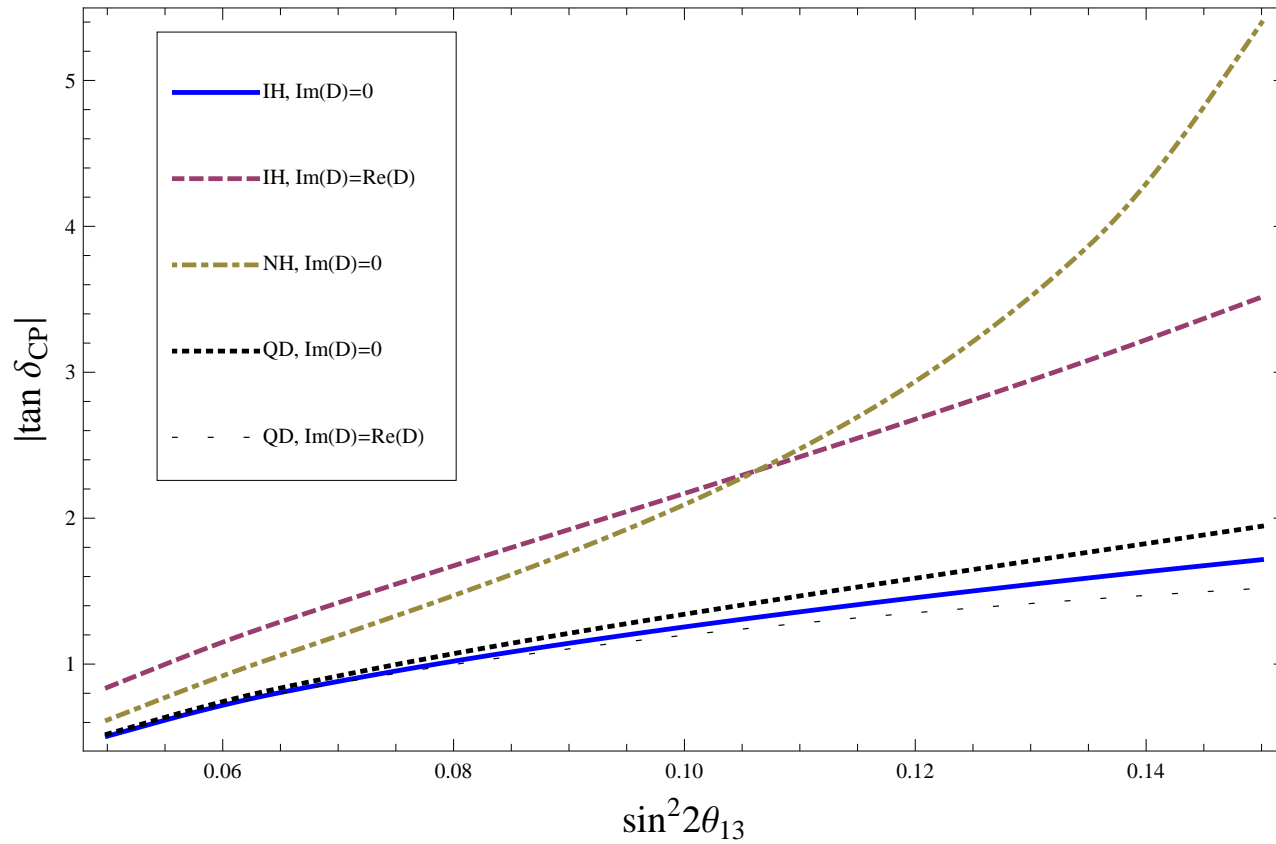
Neutrino masses and  $m_{ee}$  for normal hierarchy  
with  $\text{Im}(D)=0$  and  $\sin^2 2\theta_{23} = 0.96$ .



Neutrino masses and  $m_{ee}$  for quasi-degenerate normal ordering  
with  $\text{Im}(D)=0$  and  $\sin^2 2\theta_{23} = 0.96$ .



Neutrino masses and  $m_{ee}$  for quasi-degenerate normal ordering  
with  $\text{Im}(D)=\text{Re}(D)$  and  $\sin^2 2\theta_{23} = 0.96$ .



$|\tan \delta_{CP}|$  versus  $\sin^2 2\theta_{13}$  for  $\sin^2 2\theta_{23} = 0.92$ .

# Conclusion

With the new precise measurements of  $\sin^2 2\theta_{13}$ , tribimaximal mixing is dead, but not  $A_4$ . In fact, the original  $A_4$  model had two important parts: (A) diagonalizing the charged-lepton mass matrix with  $U_{CW}$  for arbitrary values of  $m_{e,\mu,\tau}$ , (B) allowing the neutrino mass matrix to be restricted. The special case of tribimaximal mixing requires a condition which is very difficult to enforce theoretically. Relaxing (B) and keeping (A) do very well with present data. Predictions for  $|\tan \delta_{CP}|$  and  $m_{ee}$  are given in two models.