

# Sum rule for a mixed boundary $qKZ$ equation

Caley Finn

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In collaboration with Jan de Gier

# Outline

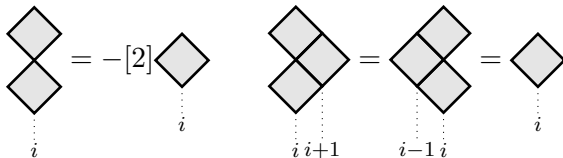
- ① Mixed boundary  $q$ KZ equation
- ② Sum rule
- ③ Bases of the Hecke algebra

## Section 1

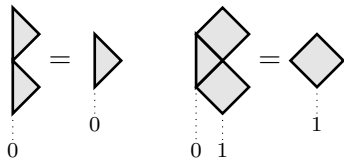
Mixed boundary  $q$ KZ equation

## One boundary Temperley–Lieb algebra

- Generators  $e_0, \dots, e_{N-1}$
- Bulk relations  $e_i^2 = -[2]e_i$ ,  $e_i e_{i+1} e_i = e_i$ :



- Boundary relations  $e_0^2 = e_0$ ,  $e_1 e_0 e_1 = e_1$ :

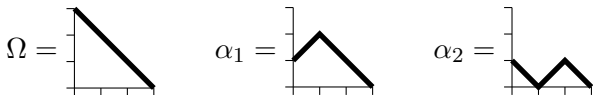


- With  $t$ -number

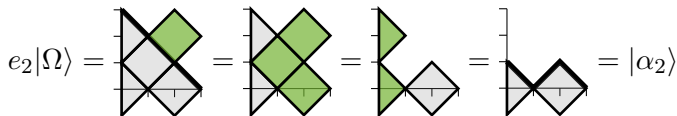
$$[u] = \frac{t^u - t^{-u}}{t - t^{-1}}.$$

## Action on Ballot paths

- Ballot path:  $(\alpha_0, \dots, \alpha_N)$ , with  $\alpha_i \geq 0$ ,  $\alpha_{i+1} - \alpha_i = \pm 1$ , and  $\alpha_N = 0$ .
- Ballot paths of length  $N = 3$



- Example



- Will take general vector of the form

$$|\Psi(z_1, \dots, z_N)\rangle = \sum_{\alpha} \psi_{\alpha}(z_1, \dots, z_N) |\alpha\rangle$$

## Mixed boundary $q$ KZ equation

- Write  $q$ KZ equation in component form.
- For  $0 \leq i \leq N - 1$  (bulk and left boundary)

$$\sum_{\alpha} \psi_{\alpha}(z_1, \dots, z_N) (e_i | \alpha) = \sum_{\alpha} (T_i(-1) \psi_{\alpha}(z_1, \dots, z_N) | \alpha),$$

where  $T_i(u)$  are generators of a Baxterized Hecke algebra (will be defined)

- Reflection at the right boundary

$$\psi_{\alpha}(\dots, z_{N-1}, z_N) = \psi_{\alpha}(\dots, z_{N-1}, t^3 z_N^{-1})$$

- Relates Temperley–Lieb action on Ballot paths (LHS) to Hecke algebra action on coefficient functions (RHS).

## Baxterized Hecke algebra

- Bulk generators ( $1 \leq i \leq N - 1$ ):

$$T_i(u) = (tz_i - t^{-1}z_{i+1}) \frac{1 - \pi_i}{z_i - z_{i+1}} - \frac{[u - 1]}{[u]}, \quad \pi_i : z_i \leftrightarrow z_{i+1}$$

- Boundary generator

$$T_0(u) = k(z_1, \zeta_1) \frac{1 - \pi_0}{z_1 - z_1^{-1}} - B_0(u), \quad \pi_0 : z_1 \leftrightarrow z_1^{-1},$$

and  $k(z_1, \zeta_1)$ ,  $B_0(u)$  are simple functions.

- These generators obey Yang–Baxter (bulk) and reflection equations (boundary).

## Solution of the $q$ KZ equation

Theorem (de Gier, Pyatov, 2010)

*The solutions of the  $q$ KZ equation have a factorised form*

$$\psi_\alpha(z_1, \dots, z_N) = \prod_{i,j}^{\lambda_{u_{i,j}}} T_i(u_{i,j}) \psi_\Omega$$

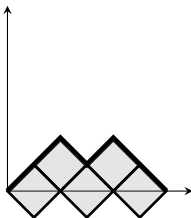
The product is constructed using a graphical representation of the Hecke generators

$$T_0(u) = \begin{array}{c} \text{---} \\ \text{---} \\ \text{---} \\ \triangleleft u \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \\ 0 \quad 1 \end{array}, \quad T_i(u) = \begin{array}{c} \text{---} \\ \text{---} \\ \text{---} \\ \diamond u \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \\ i-1 \quad i \quad i+1 \end{array}.$$



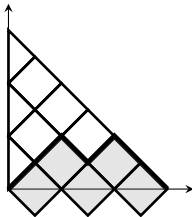
## Factorised solutions

- Factorised solution for  $\psi_\alpha(z_1, \dots, z_N)$



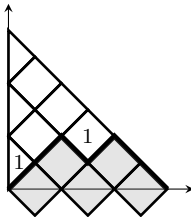
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- Fill to maximal Ballot path  $\Omega = (N, N - 1, \dots, 0)$



## Factorised solutions

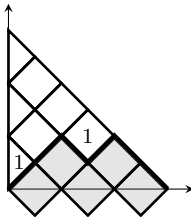
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- Label remaining tiles by rule

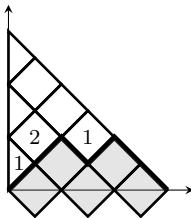
$$u_{i,j} = \max\{u_{i+1,j-1}, u_{i-1,j-1}\} + 1$$



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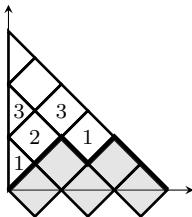
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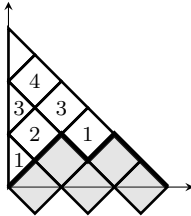
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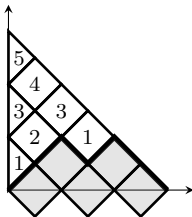
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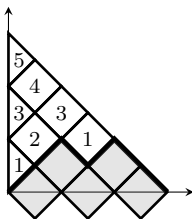




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$$u_{i,j} = \max\{u_{i+1,j-1}, u_{i-1,j-1}\} + 1$$



$$\psi_\alpha = T_0(1).T_1(2)T_0(3).T_3(1)T_2(3)T_1(4)T_0(5)\psi_\Omega$$

and

$$\psi_\Omega = \Delta_t^-(z_1, \dots, z_N)\Delta_t^+(z_1, \dots, z_N)$$

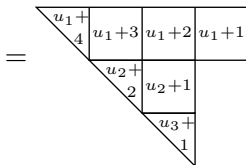
## Section 2

### Sum rule

## Consecutive integer filling

- Fill with consecutive integers along rows, e.g. for previous shape tilted by  $45^\circ$

$$\psi_{4,2,1}(u_1 + 1, u_2 + 1, u_3 + 1)$$



- In terms of Hecke generators

$$\psi_{a_1, \dots, a_n}(u_1 + 1, \dots, u_n + 1) = \mathcal{T}_{a_n}(u_n + 1) \dots \mathcal{T}_{a_1}(u_1 + 1) \psi_\Omega$$

where

$$\mathcal{T}_a(u + 1) = T_{a-1}(u + 1) \dots T_1(u + a - 1) T_0(u + a)$$

- $\mathcal{T}_a(u + 1)$  gives a row of length  $a$ , numbered from  $u + 1$ .

## Staircase diagram

- Call the largest such element the *staircase diagram*:

$$\psi_{\bar{a}_1, \dots, \bar{a}_n}(u_1 + 1, \dots, u_n + 1) =$$

where  $n = \lfloor N/2 \rfloor$ ,  $\bar{a}_i = N - 2i + 1$ .

- In terms of Hecke generators

$$\begin{aligned} & \psi_{\bar{a}_1, \dots, \bar{a}_n}(u_1 + 1, \dots, u_n + 1) \\ &= \mathcal{T}_{N-2n+1}(u_n + 1) \dots \mathcal{T}_{N-3}(u_2 + 1) \mathcal{T}_{N-1}(u_1 + 1) \psi_{\Omega}. \end{aligned}$$

## Generalised sum rule

### Theorem

*The staircase diagram has the expansion*

$$\psi_{\bar{a}_1, \dots, \bar{a}_n}(u_1 + 1, \dots, u_n + 1) = \sum_{\alpha} c_{\alpha} \psi_{\alpha}(z_1, \dots, z_N),$$

*where the coefficients  $c_{\alpha}$  are non-zero and are monomials in*

$$y_i = -\frac{[u_i]}{[u_i + 1]}, \quad \tilde{y}_i = -B_0(u_i + 1).$$

- At specialization  $u_i = 1$ ,  $t = e^{\pm 2\pi i/3}$ , all coefficients  $c_{\alpha} = 1$ . The sum gives the normalization of Temperley-Lieb loop model ground state vector. The sum has been computed at this point [Zinn-Justin 2007].
- Proof of the sum rule requires expanding staircase diagram in two stages.

## First expansion

The first stage of the expansion gives the form of the coefficients.

### Lemma (First expansion)

$$\begin{aligned} & \psi_{a_1, \dots, a_n}(u_1 + 1, \dots, u_n + 1) \\ &= \mathcal{T}_{a_n}(u_n + 1) \dots \mathcal{T}_{a_1}(u_1 + 1) \psi_\Omega \\ &= \prod_{i=n, n-1, \dots, 1} (\mathcal{T}_{a_i}(1) + y_i \mathcal{T}_{a_i-1}(1) + \tilde{y}_i) \psi_\Omega. \end{aligned}$$

where

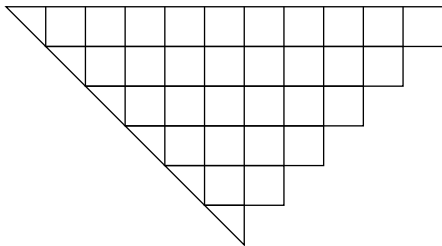
$$y_i = -\frac{[u_i]}{[u_i + 1]}, \quad \tilde{y}_i = -B_0(u_i + 1).$$

## First expansion terms

Procedure to expand

$$(\mathcal{T}_{a_n}(1) + y_n \mathcal{T}_{a_n-1}(1) + \tilde{y}_n) \dots (\mathcal{T}_{a_1}(1) + y_1 \mathcal{T}_{a_1-1}(1) + \tilde{y}_1) \psi_\Omega$$

- Start from the empty outline.
- Working from top down, a row may be left empty (factor  $\tilde{y}_i$ ), filled one short (factor  $y_i$ ), or filled completely (no additional factor).
- Delete empty rows and boxes.

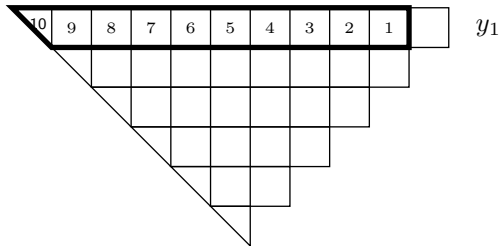


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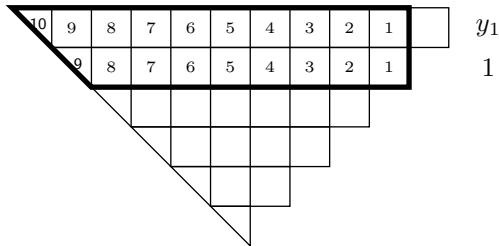


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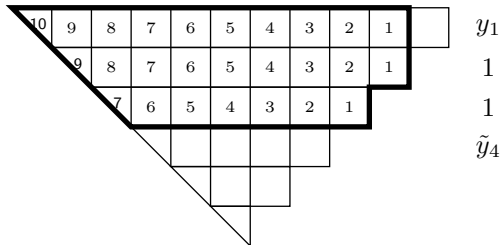


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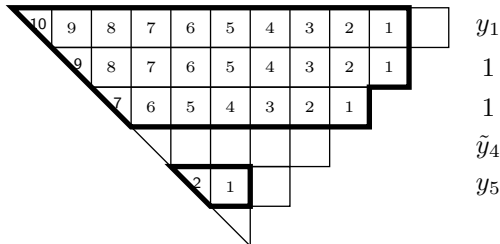


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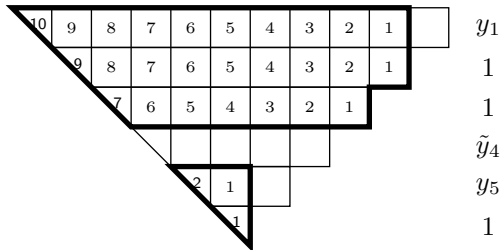


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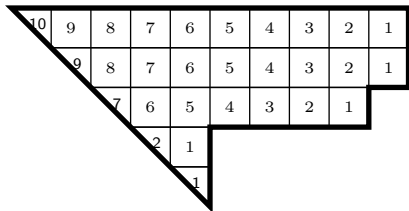


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- Coefficient  $y_1 \tilde{y}_4 y_5$

## Second expansion

- When the resulting term is not a proper component  $\psi_\alpha$ , a second expansion is required.

### Lemma (Second expansion)

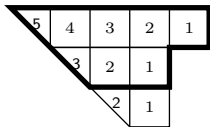
*Let  $\psi_\alpha(z_1, \dots, z_N)$  be a component of the  $qKZ$  solution, with last row of length  $a + 1$ , then*

$$T_{a-1}(1) \dots T_1(a-1) T_0(a) \psi_\alpha(z_1, \dots, z_N) = \sum_{\alpha'} \psi_{\alpha'}(z_1, \dots, z_N)$$

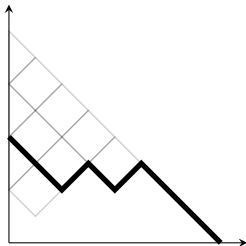
- The terms in the sum are found through a graphical rule, and all have coefficient 1.

## Second expansion example

$$T_1(1)T_0(2)\psi_\alpha(z_1, \dots, z_N) =$$



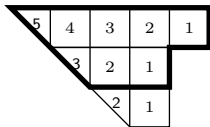
Ballot path



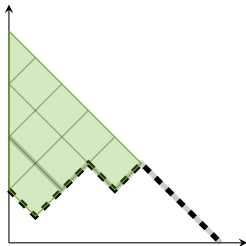


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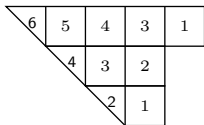
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Ballot path

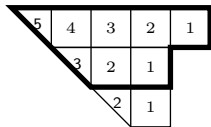


Terms

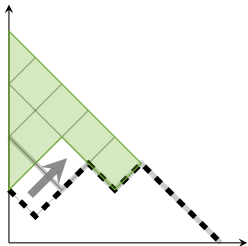


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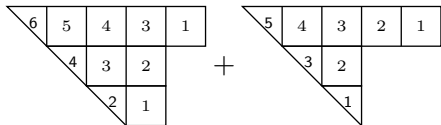
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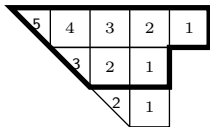


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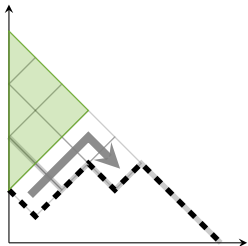


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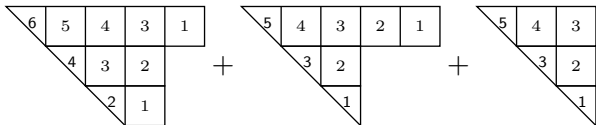
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Ballot path



Terms



## Proof of the sum rule

- Recall the sum rule

$$\psi_{\bar{a}_1, \dots, \bar{a}_n}(u_1 + 1, \dots, u_n + 1) = \sum_{\alpha} c_{\alpha} \psi_{\alpha}(z_1, \dots, z_N),$$

where the coefficients  $c_{\alpha}$  are non-zero and are monomials in  $y_i, \tilde{y}_i$ .

- We have shown via the two expansions that the staircase diagram can be expanded in terms of components  $\psi_{\alpha}$ .
- To show that the coefficients are non-zero and monomials, we must show that each component  $\psi_{\alpha}$  arises from a single term in the first expansion.

## Example of the algorithm

- Work backwards from  $\psi_\alpha$  to term from staircase expansion.

$$\psi_\alpha(z_1, \dots, z_N) =$$

10	9	8	7	6	5	4	3	1
	8	7	6	5	4	3	2	
		6	5	4	3	2	1	
			3	2				
				1				

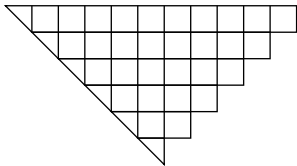
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- Draw empty maximal staircase



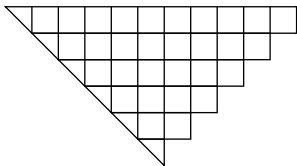
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- Draw empty maximal staircase
- Add rows to staircase, bottom up, in lowest place each fits



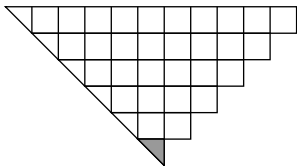
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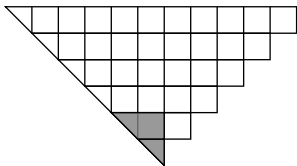
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		6	5	4	3	2	1	
				2				
					1			

- Draw empty maximal staircase
- Add rows to staircase, bottom up, in lowest place each fits



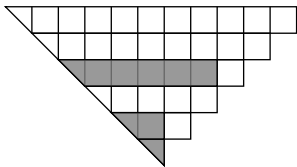
## Example of the algorithm

- Work backwards from  $\psi_\alpha$  to term from staircase expansion.

$$\psi_\alpha(z_1, \dots, z_N) =$$

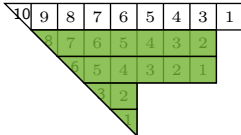
10	9	8	7	6	5	4	3	1
	8	7	6	5	4	3	2	
		6	5	4	3	2	1	
			4	2				
				1				

- Draw empty maximal staircase
- Add rows to staircase, bottom up, in lowest place each fits

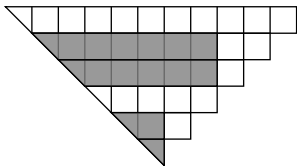


## Example of the algorithm

- Work backwards from  $\psi_\alpha$  to term from staircase expansion.

$$\psi_\alpha(z_1, \dots, z_N) =$$


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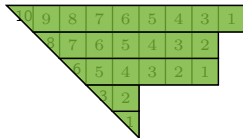




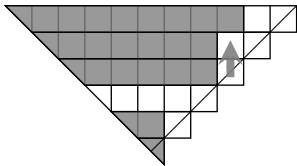
## Example of the algorithm

- Work backwards from  $\psi_\alpha$  to term from staircase expansion.

$$\psi_\alpha(z_1, \dots, z_N) =$$



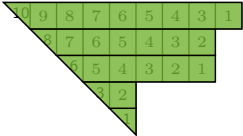
- Draw empty maximal staircase
- Add rows to staircase, bottom up, in lowest place each fits
- Draw in ribbons, starting from outer diagonal



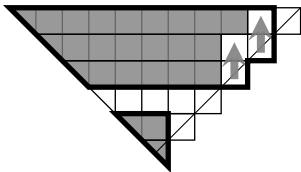


## Example of the algorithm

- Work backwards from  $\psi_\alpha$  to term from staircase expansion.

$$\psi_\alpha(z_1, \dots, z_N) =$$


- Draw empty maximal staircase
- Add rows to staircase, bottom up, in lowest place each fits
- Draw in ribbons, starting from outer diagonal



- Coefficient  $c_\alpha = y_1 \tilde{y}_4 y_5$ .

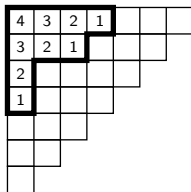


## Section 3

### Bases of the Hecke algebra

## $q$ KZ equation for type $A$

- For type  $A$  solutions given by partitions labelled with the same rule as for type  $B$  [Kirilov, Lascoux 2000, de Gier, Pyatov 2010], e.g.



- The set of all such elements corresponds to a parabolic Kazhdan–Lusztig basis of the type  $A$  Hecke algebra.

## Sum rule for type $A$

- Sum rule given by consecutive integer labelling [de Gier, Lascoux, Sorrell 2012]

8	7	6	5	4	3	2
			...	3	2	
				.		
:						
		.				
3	2					
2						

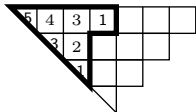
- Set of all subpartitions gives the Young basis, e.g.

8	7	6	5	4	3	2
7	6	5	...	3	2	
6				.		
5						
:		.				
3	2					
2						

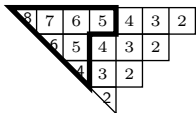
- Elements of the Young basis are specialised Macdonald polynomials.

## Hecke bases for type $B$

- The elements of the  $q$ KZ solution correspond to the parabolic Kazhdan–Lusztig basis for the type B Hecke algebra [Shigechi 2014], e.g.



- The consecutive integer numbering corresponds\* to the Young basis, e.g.



## Conclusion and future work

- We have found a factorised form for a sum rule for the type  $B$   $q$ KZ equation.
- Our construction also gives the change of basis from the Kazhdan–Lusztig to the Young basis.
- We still need to determine if the type  $B$  Young basis corresponds to a specialization of the Macdonald polynomials.
- Our main goal now is to find a way to evaluate the type  $B$  sum.