

GGI, 23 May 2007

# Large N & SUSY: some new ideas and results

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## Part I: Planar Equivalence

- \* Old and new large- $N$  QCD
- \* Orientifold planar equivalence

## Part II: Planar Quantum Mechanics

- \* Hamiltonian Planar QM
- \* An intriguing SUSY matrix model



## Part I based on

- A. Armoni, M. Shifman, *GV*, hep-th/0302163, 0307097, 0309013, 0403071, 0412203, 0701229;
- A. Armoni, G.M. Shore, *GV*, hep-ph/0511143

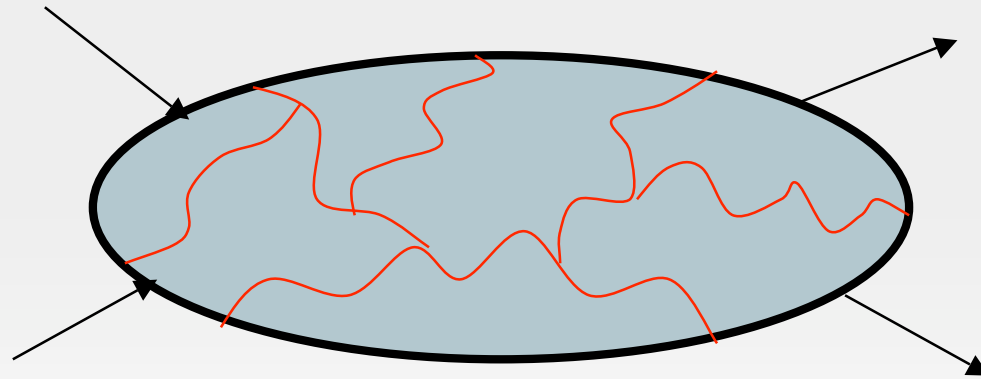
## Part II based on

- J. Wosiek & *GV* hep-th/0512301, 0603045, 0607198, 0609210 (cond-mat);
- E. Onofri, J.Wosiek & *GV* math-ph/0603082

# Large- $N$ expansions in QCD

## ① Planar & quenched limit ('t Hooft, 1974)

$1/N_c$  expansion @ fixed  $\lambda = g^2 N_c$  and  $N_f$   
Leading diagrams



Corrections:  $O(N_f/N_c)$  from  $q$ -loops,  
 $O(1/N_c^2)$  from higher genus diagrams

## Properties at leading order

1. Resonances have zero width +
2. U(1) problem not solved, WV @ NLO - ?
3. Multiparticle production not allowed -

Theoretically (if not phenomenologically)  
appealing: should give the **tree-level** of  
**some** kind of string theory

Proven hard to solve, except in  $D=2\dots$



② Planar unquenched limit

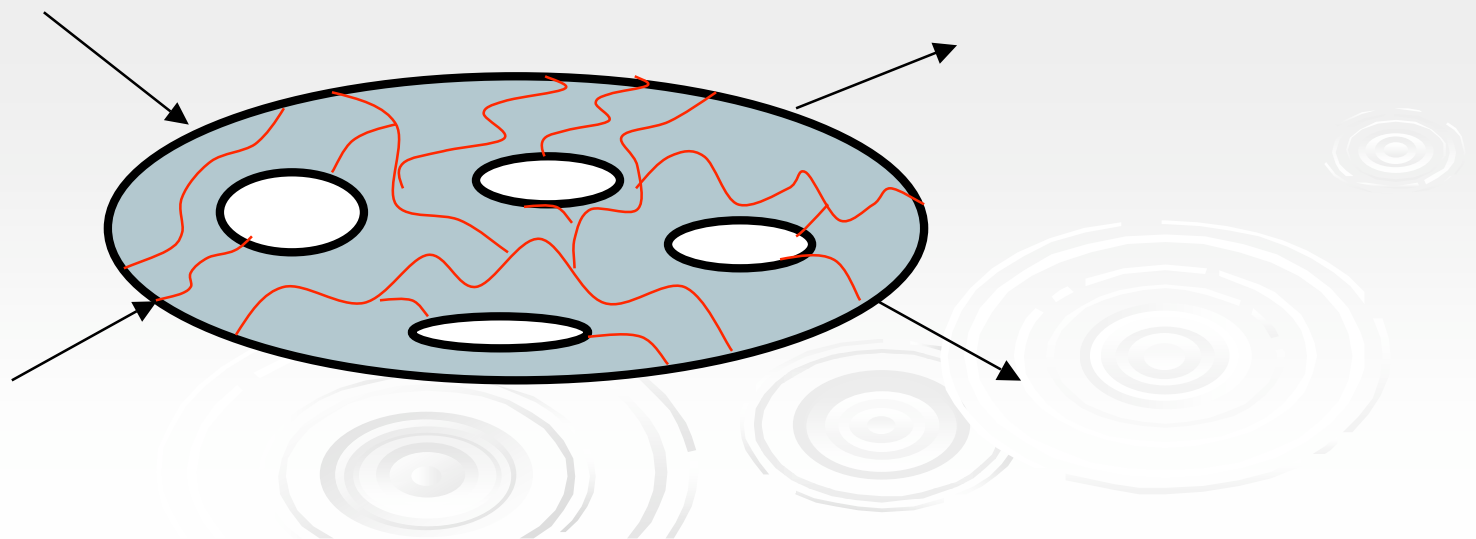
= Topological Expansion (GV '74--'76)

1/N expansion at fixed  $g^2 N$  and  $N_f / N_c$

Leading diagrams include "empty" q-loops

Corrections:

$O(1/N^2)$  from non-planar diagrams



# Properties

1. Widths are  $O(1)$  -
2.  $U(1)$  problem solved to leading order, no reason for  $WV$  to be good + ?
3. Multiparticle production allowed +  
=> Bare Pomeron & Gribov's RFT



Perhaps phenomenologically more appealing than 't Hooft's but even harder to solve...

But there is a third possibility...

③ Generalize QCD to  $N \neq 3$  ( $N = N_c$  hereafter) in other ways by playing with matter rep. The conventional way,  $\text{QCD}_F$ , is to keep the quarks in  $N + N^*$  rep.

Another possibility, called for stringy reasons\*)  $\text{QCD}_{OR}$ , is to assign quarks to the 2-index-antisymm. rep. of  $SU(N)$  (+ its c.c.)\*\*) \*\*)

As in 't Hooft's exp. (and unlike in TE),  $N_f$  is kept fixed ( $N_f < 6$ , or else AF lost at large  $N$ )

NB: For  $N = 3$  this is still **good old QCD!**

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\*) see e.g. P.Di Vecchia et al. hep-th/0407038

\*\*\*) Pioneered by Corrigan and Ramond (1979) for very different reasons



Leading diagrams are planar, include "filled" q-loops since there are  $O(N^2)$  quarks

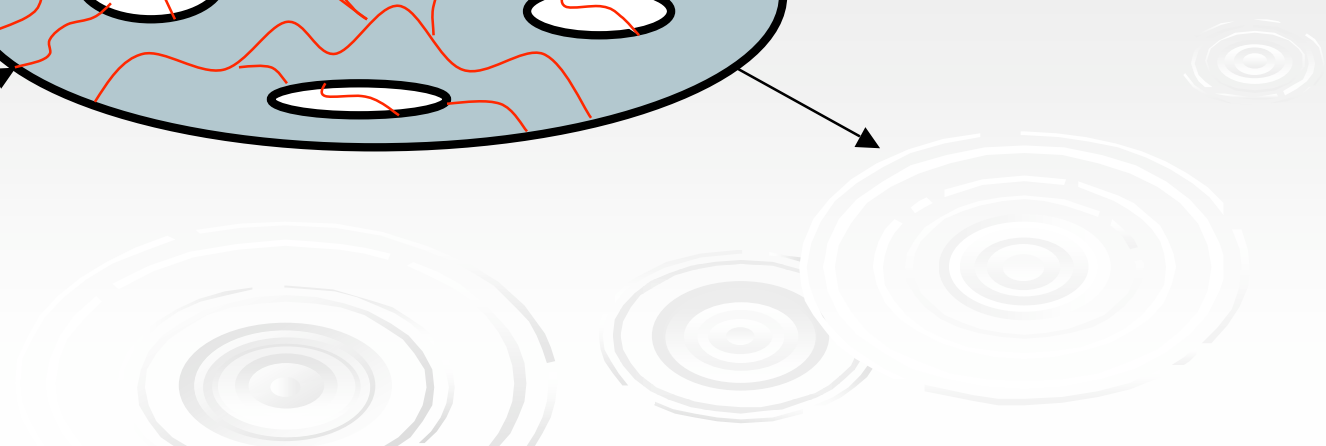
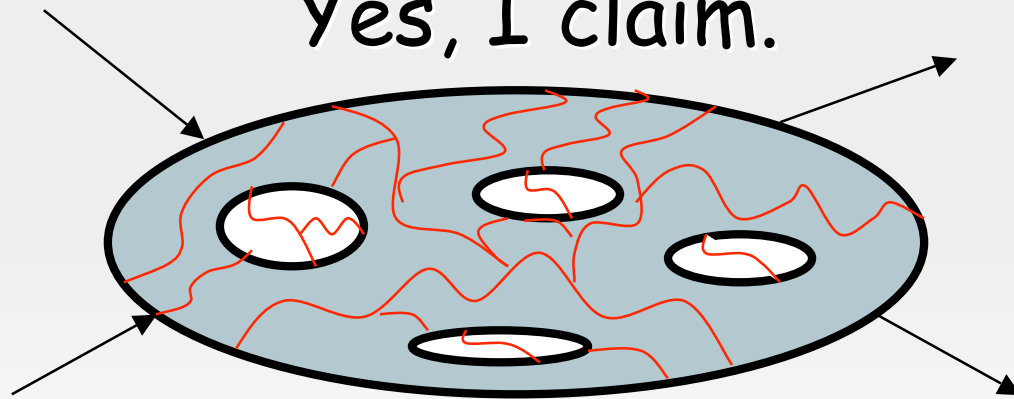
Widths are zero, U(1) problem solved, no p.pr.

Phenomenologically interesting?

Don't know.

Better manageable?

Yes, I claim.



## Numerology of $\text{QCD}_F$ vs. $\text{QCD}_{OR}$

Th coeff	YM	$\text{QCD}_F$	$\text{QCD}_{OR}$	Large-N, $N_f=1$
$\beta_0$	$11N/3$	$(11N-2N_f)/3$	$(11N-2(N-2)N_f)/3$	$3N$
$3\beta_1$	$17N^2$	$17N^2 - 3N_f \times$ $(13N/6 - 1/2N)$	$17N^2 - N_f(N-2) \times$ $(5N + 3(N-2)(N+1)/N)$	$9N^2$
$\gamma_0$	X	$3(N^2-1)/2N$	$3(N-2)(N+1)/N$	$3N$

$\text{QCD}_{OR}$  as an **interpolating** theory:

Coincides with pure **YM** (AS fermions decouple) @  **$N=2$**

Coincides with **QCD** @  **$N=3$**

... and at **large  $N$** ?

# ASV claim of Planar Equivalence

At large- $N$  a **bosonic sector** of  $\text{QCD}_{\text{OR}}$  is equivalent to a **corresponding sector** of  $\text{QCD}_{\text{Adj}}$  i.e. of QCD with  $N_f$  **Majorana** fermions in the adjoint representation

If true, important corollary:

For  $N_f = 1$  and  $m = 0$ ,  $\text{QCD}_{\text{OR}}$  is planar-equivalent to supersymmetric Yang-Mills (SYM) theory

Some properties of the latter should show up in one-flavour QCD ... if  $N=3$  is large enough

NB: Expected accuracy  **$1/N$**

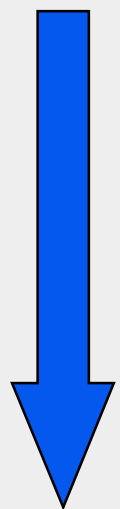
ASV gave both perturbative and NP arguments

# Sketch of non-perturbative argument (ASV '04, A. Patella, '05)

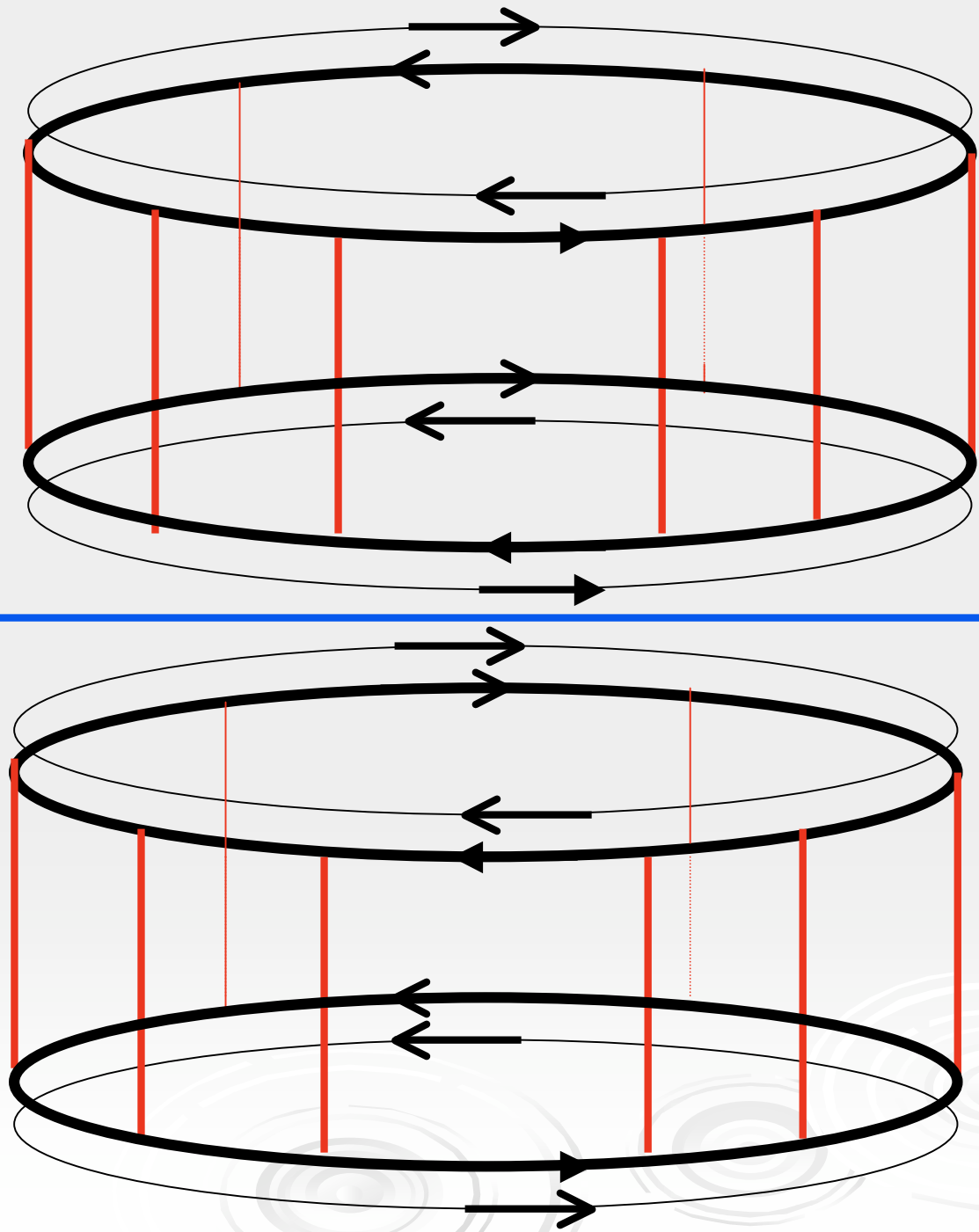
- Integrate out fermions (after having included masses, **bilinear** sources)
- Express  $\text{Tr} \log(\not{D} + m + J)$  in terms of Wilson-loops using world-line formulation
- Use large- $N$  to write adjoint and AS Wilson loop as products of fundamental and/or antifundamental Wilson loops (e.g.  $W_{\text{adj}} = W_F \times W_{F^*} + O(1/N^2)$ )
- **Use symmetry relations** between  $F$  and  $F^*$  Wilson loops and their connected correlators

An example:  $\langle W^{(1)} W^{(2)} \rangle_{\text{conn}}$

*SYM*



*OR*



$W^{(1)}_{adj}$

$W^{(2)}_{adj}$

$W^{(1)}_{or}$

$W^{(2)}_{or}$

# Key ingredient is $C$ !

- Clear from our NP proof that  $C$ -invariance is **necessary**. Kovtun, Unsal and Yaffe have argued that it is also **sufficient**
- U&Y (see also Barbon & Hoyos) have also shown that  $C$  is **spontaneously broken** if the theory is put on  $\mathbb{R}^3 \times S^1$  w/ small enough  $S^1$ . PE doesn't (was never claimed to) hold in that case
- Numerical calculations (De Grand and Hoffmann) have confirmed this, but also shown that, as expected on some general grounds (see e.g. ASV),  $C$  is **restored** for large radii and in particular on  $\mathbb{R}^4$
- Lucini, Patella & Pica have shown (analyt. lly & numer. lly) that SB of  $C$  is also related to a non-vanishing **Lorentz-breaking**  $F\#$ -current generated at small  $R$  but disappearing as well as  $R$  is increased

➔ **Overwhelming evidence for PE on  $\mathbb{R}^4$ ?**

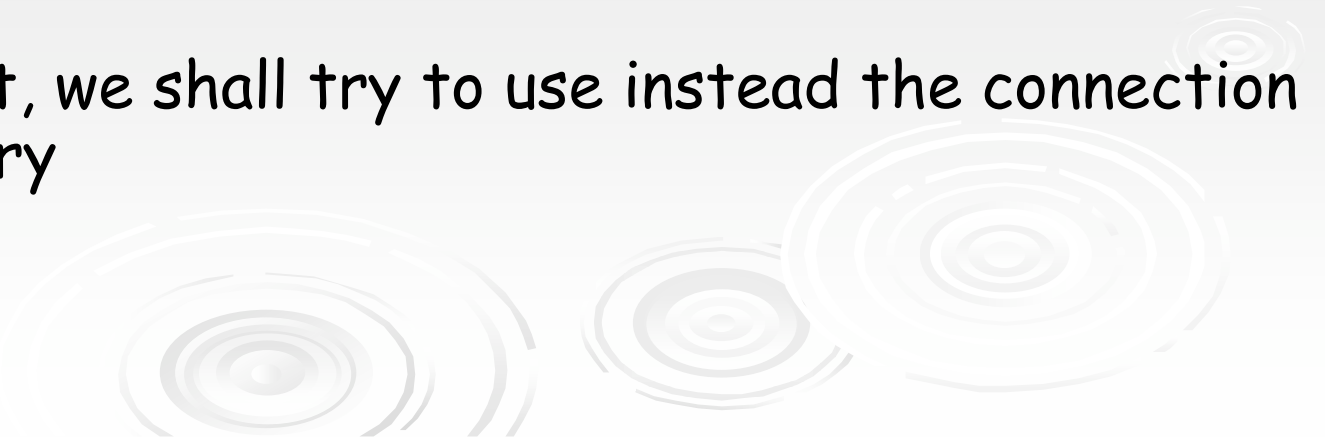
# An interesting proposal

Kovtun, Unsal and Yaffe ('07) have also made the claim that  $\text{QCD}_{\text{adj}}$ , unlike  $\text{QCD}_F$  and  $\text{QCD}_{\text{OR}}$ , suffers **no phase transition** as a volume-reducing process a la Eguchi-Kawai is performed at large- $N$

If this is indeed the case, we could get properties of  $\text{QCD}_{\text{adj}}$  **at small volume** by numerical methods and use them at large volume where the connection to  $\text{QCD}_{\text{OR}}$  can be established ( $C$  being OK there)

Finally, one would make semi-quantitative predictions for **QCD** itself (at different values of  $N_f$ ) by extrapolating down to  $N=3$

For the moment, we shall try to use instead the connection with a **SUSY** theory



# SUSY relics in one-flavour QCD

① Approximate **bosonic parity doublets**:

$$m_S = m_P = m_F \text{ in SYM} \Rightarrow m_S \sim m_P \text{ in QCD}^*)$$

Looks ~ OK if can we make use of:

- i) WV for  $m_P$  ( $m_P \sim \sqrt{2}(180)^2/95 \text{ MeV} \sim 480 \text{ MeV}$ ),
- ii) Experiments for  $m_S$  ( $\sigma$  @ 600MeV including quark masses)

Recent lattice work by Keith-Hynes & Thacker also support this approximate degeneracy

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\*) Composite-**fermions NOT related**.

Interesting aspects of baryons in  $\text{QCD}_{\text{OR}}$  have been discussed by S. Bolognesi (hep-th/0605065) and by A. Cherman and T. D. Cohen (hep-th/0607028)



- ② Approximate **absence of "activity"** in certain chiral correlators

In SYM, a well-known WI gives

$$\langle \lambda\lambda(x)\lambda\lambda(y) \rangle = \text{const.}, \quad \langle \lambda\lambda(x)\bar{\lambda}\bar{\lambda}(y) \rangle \neq \text{const.}$$

PE then implies that, in the large-N limit:

$$\langle \bar{\Psi}_R\Psi_L(x)\bar{\Psi}_R\Psi_L(y) \rangle = \text{const.}, \quad \langle \bar{\Psi}_R\Psi_L(x)\bar{\Psi}_L\Psi_R(y) \rangle \neq \text{const.}$$

Of course the constancy of the former is due to an exact cancellation between intermediate scalar and pseudoscalar states.

# The quark condensate in $N_f=1$ QCD

Using  $\langle \bar{\lambda}\lambda \rangle_\mu = -\frac{9}{2\pi^2}\mu^3\lambda_\mu^{-2}\exp\left(-\frac{1}{\lambda_\mu}\right)$   $\lambda_\mu = \alpha_s(\mu)N/2\pi$

and vanishing of quark cond. at  $N=2$ , we get

$$\langle \bar{\psi}\psi \rangle_\mu = -\frac{3}{2\pi^2}\mu^3\lambda_\mu^{-1578/961}\exp\left(-\frac{27}{31\lambda_\mu}\right)k(1/3)$$

which can be rewritten as

$1 \pm 0.3?$

$$\langle (g^2)^{12/31}\bar{\psi}\psi \rangle = -1.1k(1/3)\Lambda_{st}^3$$

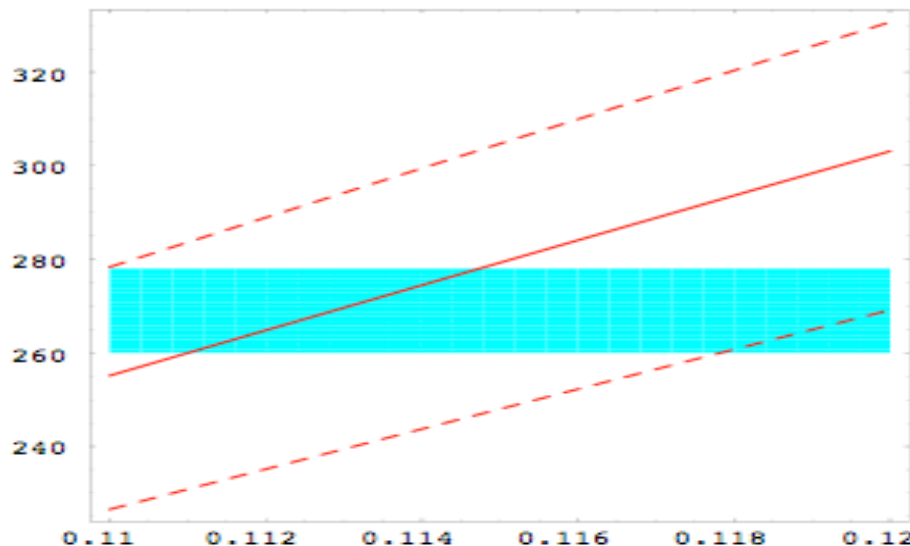
$$\Lambda_{st} = \mu \exp\left[-\frac{N}{\beta_0\lambda_\mu}\right] \left(\frac{2N}{\beta_0\lambda_\mu}\right)^{\beta_1/\beta_0^2}$$

# $N_f=1$ condensate "measured"?

DeGrand, Hoffmann, Schaefer & Liu,  
hep-th/0605147

(using dynamical overlap fermions and distribution of  
low-lying eigenmodes)

$$(\langle \bar{\Psi}\Psi \rangle_{2GeV})^{1/3}$$



Exact meaning of  
agreement still to be  
fully understood

$$3\alpha_s(2GeV)/2\pi$$

# Extension to $N_f > 1$

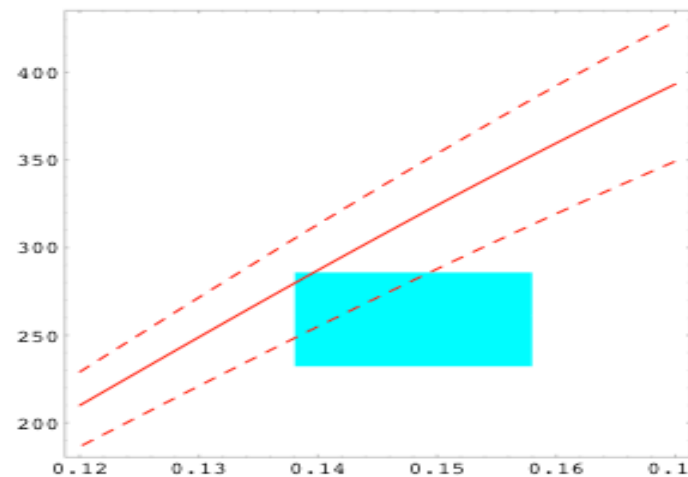
(Armoni, G. Shore and GV, '05)

- Take OR theory and add to it  $n_f$  flavours in  $N+N^*$ .
- At  $N=2$  it's  $n_f$ -QCD, @  $N=3$  it's  $N_f(=n_f+1)$ -QCD.
- At large  $N$  cannot be distinguished from OR (fits SYM  $\beta$ -functions even better at  $n_f=2$ : e.g. same  $\beta_0$ )
- Vacuum manifold, NG bosons etc. are different!
- Some correlators should still coincide in large- $N$  limit. In above paper it was argued how to do it for the quark condensate

Quark condensate (ren. @ 2 GeV)  
vs  $\alpha_s(2\text{GeV})$  for  $N_f=3$

Very encouraging!

$(\langle\bar{\Psi}\Psi\rangle_{2\text{GeV}})^{1/3}$



all in  $\overline{\text{MS}}$

$3\alpha_s(2\text{GeV})/2\pi$

$$\langle\bar{\Psi}\Psi\rangle_\mu = -\frac{3}{2\pi^2}\mu^3\lambda_\mu^{-\frac{44}{27}}\exp\left(-\frac{1}{\lambda_\mu}\right)$$

cf. 
$$\langle\bar{\lambda}\lambda\rangle_\mu = -\frac{9}{2\pi^2}\mu^3\lambda_\mu^{-2}\exp\left(-\frac{1}{\lambda_\mu}\right)$$

# Conclusions part I

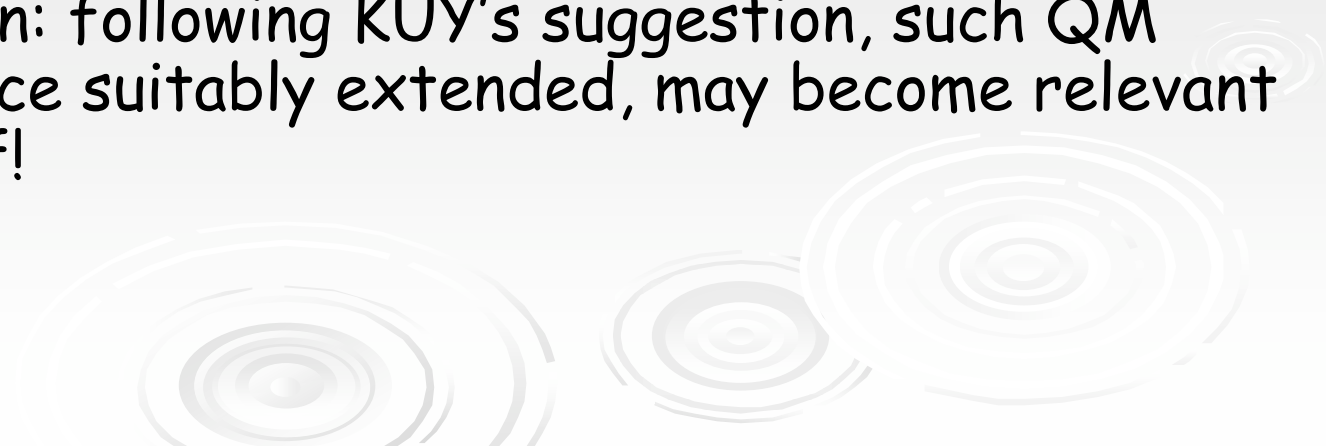
- The orientifold large- $N$  expansion is arguably the first example of large- $N$  considerations leading to **quantitative analytic predictions** in  $D=4$ , strongly coupled, non-supersymmetric gauge theories
- Since its proposal, progress has been made on
  - ◆ Tightening the **NP proof** of PE
  - ◆ Providing **numerical checks** (more is coming!)

but more work is still needed for:

- ◆ Estimating the size of  **$1/N$  corrections**
- ◆ **Extending** the equivalence in other directions

# II. Planar quantum mechanics: an intriguing SUSY matrix model

- Original motivation: check planar equivalence and compute its accuracy at finite  $N$  in a simple QM case: not done yet!
- On the way, J. Wosiek and I stumbled on an amusing model with unexpected properties and possible implications for HE and CM physics as well as for Maths.
- New motivation: following KUY's suggestion, such QM exercises, once suitably extended, may become relevant for QCD itself!



- Consider the large- $N$  limit of a  $U(N)$  matrix theory
- With some qualifications relevant singlet states are given by single-trace operators
- In SUSY-QM with a single bosonic matrix  $a$  and a single fermionic matrix  $f$  planar Hilbert space spanned by

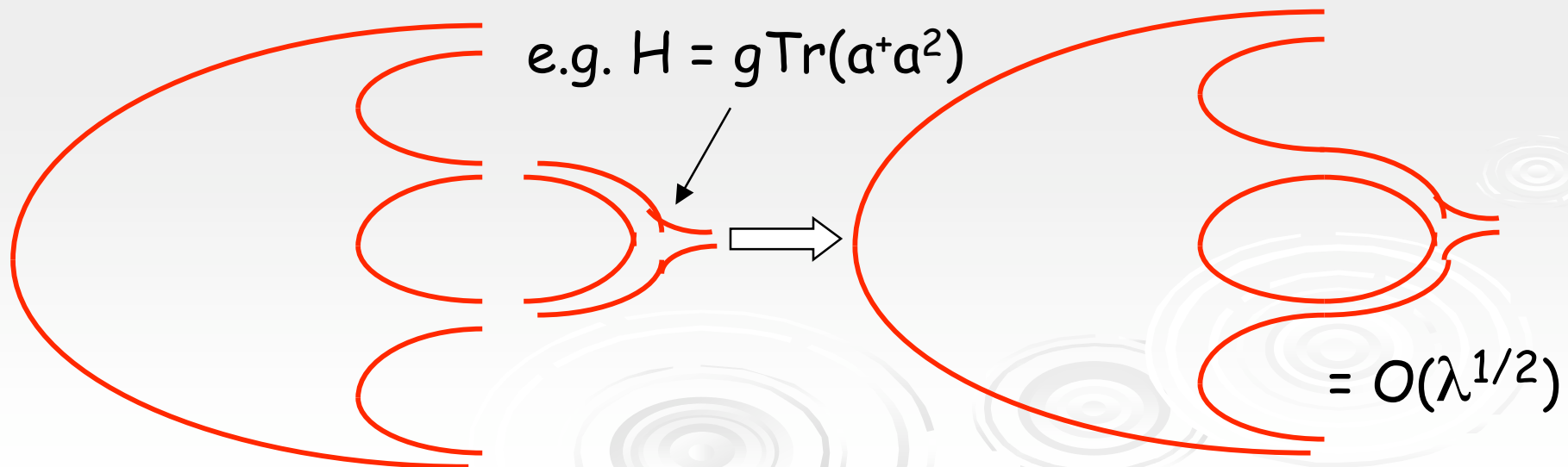
$$|\{n_i, m_j\}\rangle \propto \text{Tr}[a^{n_1} f^{m_1} \dots a^{n_k} f^{m_k}]^\dagger |0\rangle$$

where  $|0\rangle$  is the usual empty Fock vacuum



Hamiltonians are taken to be single-trace normal-ord. operators, a trace with  $n$  factors being multiplied by  $g^{n-2}$ . With some qualifications, the Hamiltonian acting on a single-trace state gives, to leading order, a combination of single-trace states w/coefficients that depend only on 't Hooft's  $\lambda = g^2 N$

$$|\{n_i, m_j\}\rangle \sim N^{-(\sum n_i + \sum m_j)/2} \text{Tr}[a^{n_1} f^{m_1} \dots a^{n_k} f^{m_k}]^\dagger |0\rangle$$



Take the SUSY charges to be simply:

$$Q = \text{Tr}(f(a^\dagger + ga^{\dagger 2})) , Q^2 = 0$$

$$H = \{Q^\dagger, Q\} , C = [Q^\dagger, Q] , C^2 = H^2 , F = \text{Tr}(f^\dagger f)$$

$$H = H_B + H_F$$

$$H_B = \text{Tr}[a^\dagger a + ga^\dagger(a + a^\dagger)a + g^2 a^{2\dagger} a^2]$$

$$H_F = \text{Tr}[f^\dagger f + g(f^\dagger f(a + a^\dagger) + f^\dagger(a + a^\dagger)f) + g^2(f^\dagger a f a^\dagger + f^\dagger a a^\dagger f + f^\dagger f a^\dagger a + f^\dagger a^\dagger f a)]$$

- Trivial  $E=0$  vacuum:  $|0\rangle \Rightarrow$  SUSY is unbroken
- $E > 0$  states must be organized in SUSY doublets w/ same  $C_F = (-1)^{FC}$
- Dependence on  $\lambda$  highly non-trivial

$$H = H_B + H_F \quad H_B = \text{Tr}[a^\dagger a + g a^\dagger (a + a^\dagger) a] + g^2 a^{\dagger 2} a^2]$$

$$H_F = \text{Tr}[f^\dagger f + g(f^\dagger f(a + a^\dagger) + f^\dagger(a + a^\dagger)f) + g^2(f^\dagger a f a^\dagger + f^\dagger a a^\dagger f + f^\dagger f a^\dagger a + f^\dagger a^\dagger f a)]$$

## Two extreme limits

①  $\lambda \rightarrow 0$  : the theory becomes free

$$Q = \text{Tr}(f(a^\dagger + g a^{\dagger 2})) \rightarrow \text{Tr}(f a^\dagger)$$

$$H \rightarrow \text{Tr}(a^\dagger a + f^\dagger f)$$

②  $\lambda \rightarrow \infty$  :  $H$  (better:  $H/\lambda$ ) simplifies again

$$Q \rightarrow g \text{Tr}(f a^{\dagger 2})$$

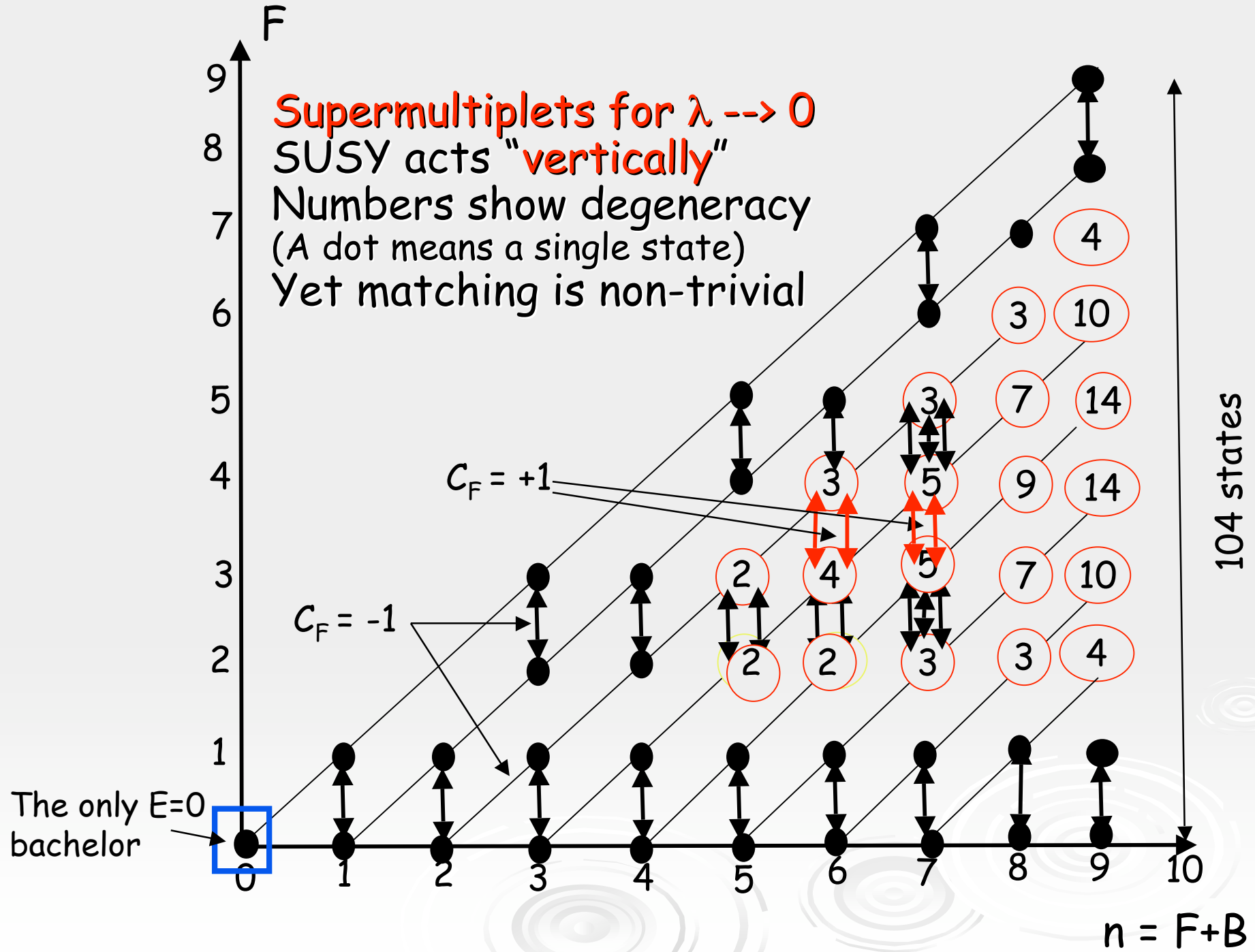
$$H_B \rightarrow g^2 \text{Tr}[a^{\dagger 2} a^2]$$

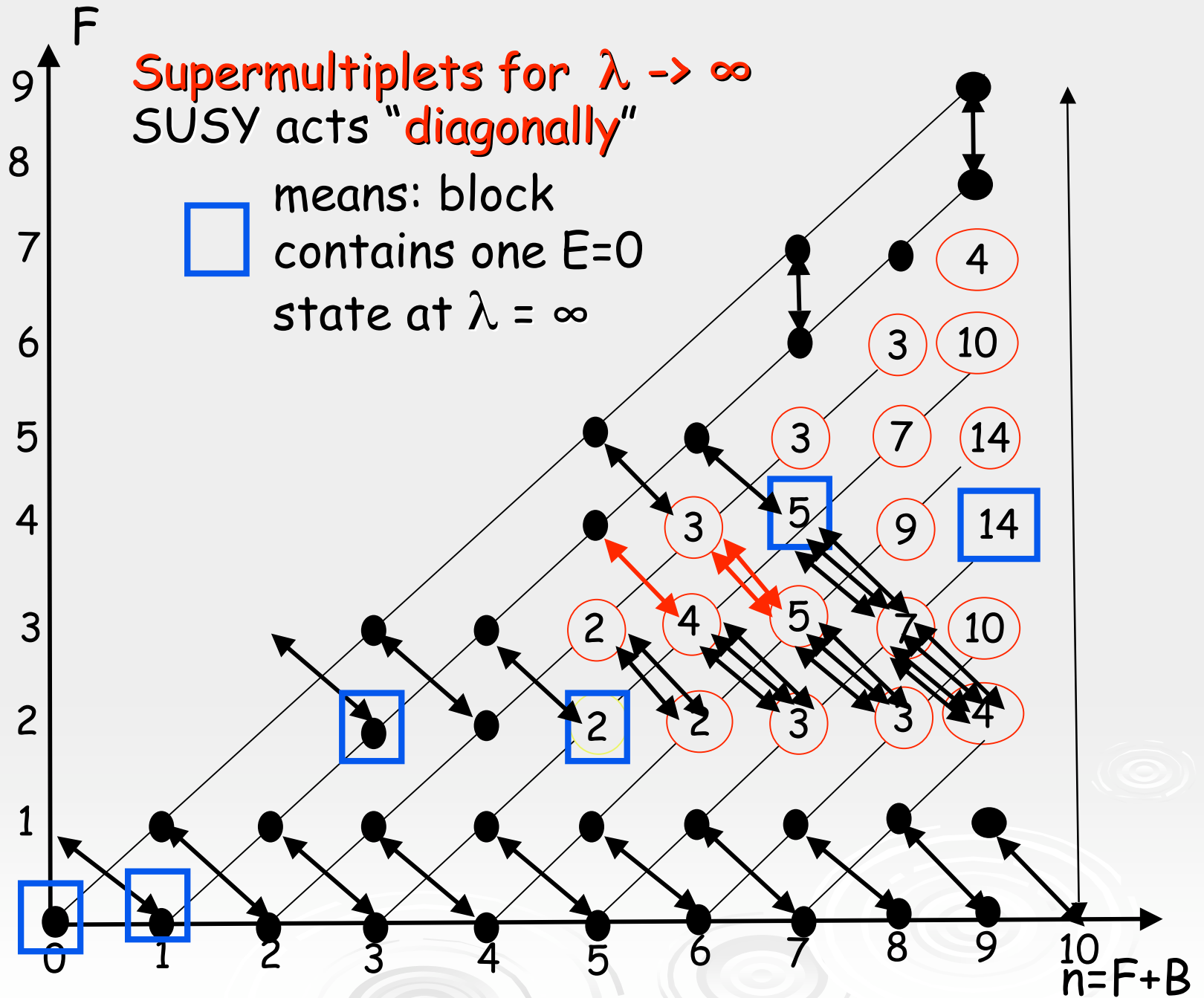
$$H_F \rightarrow g^2 \text{Tr}[(f^\dagger a f a^\dagger + f^\dagger a^\dagger f a) + N f^\dagger f]$$

$H$  conserves  $B$  &  $F$  **separately**  $\Rightarrow$  block-diagonal

Qs: How does SUSY act in the two limits? How is it implemented? And what happens at generic  $\lambda$ ?

**Supermultiplets for  $\lambda \rightarrow 0$**   
 SUSY acts "vertically"  
 Numbers show degeneracy  
 (A dot means a single state)  
 Yet matching is non-trivial



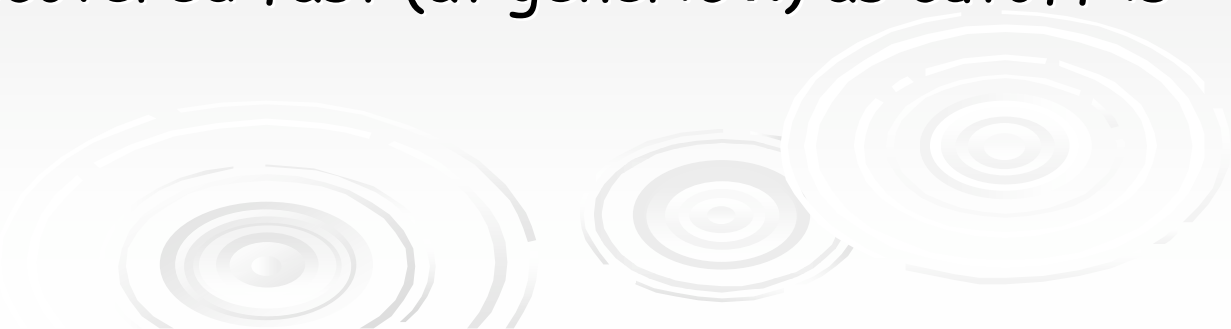


- At  $\lambda \ll 1$  it is **trivial** to solve for the **spectrum**: yet, this has **non-trivial** implications on the **combinatorics** of binary necklaces
- As  $\lambda \Rightarrow \infty$  mathematical results on the **combinatorics** of binary necklaces have implications on the **spectrum** of the model and on how SUSY is realized



# Emerging picture

- At  $\lambda \ll 1$  there is perfect **matching** of bosonic and fermionic states with the single exception of the bosonic **Fock vacuum**:  $W(\lambda \ll 1) = 1$
- As  $\lambda \Rightarrow \infty$  **many** other bosonic states can't find a fermionic partner  $\Rightarrow$  they must all have  $E=0$ !
- Necessarily, **W must jump** between  $\lambda = 0$  and  $\lambda = \infty$ ! Since unpaired states occur at any even  $F$ , we can look for this jump numerically in low- $F$  sectors (this is actually how we found the phenomenon in the first place!)
- Cutoff (in  $n$ ), needed for numerical studies, breaks SUSY, but SUSY is recovered fast (at generic  $\lambda$ ) as cutoff is increased



## Results in $F = 0, 1, 2, 3$ sectors

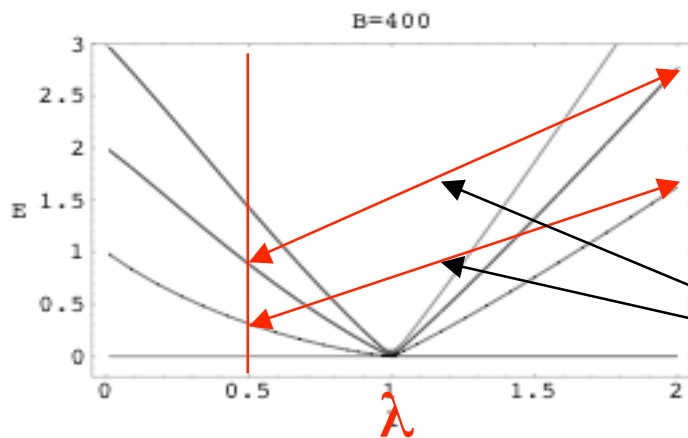
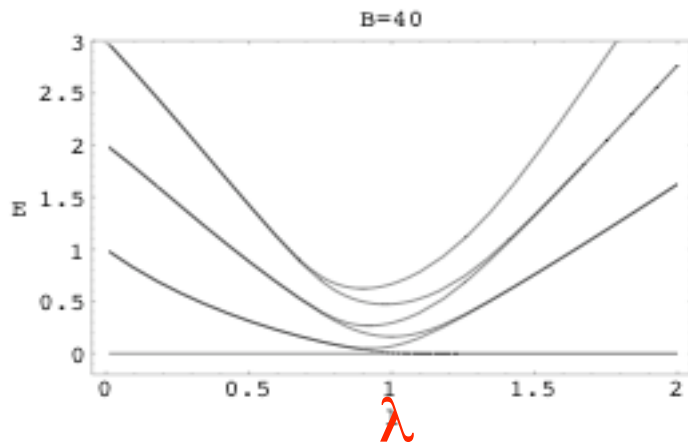
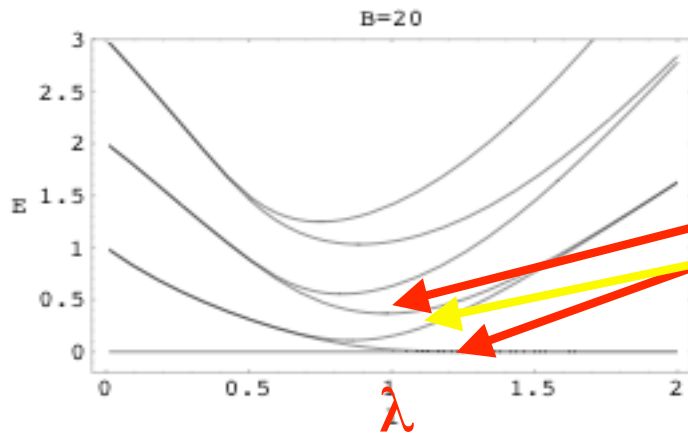
- There is a **phase transition** at  $\lambda = 1$ : the weak-coupling energy gap disappears at  $\lambda = 1$  for all  $F$
- The spectrum becomes discrete again for  $\lambda > 1$ ; In the  $F=0,1$  sectors the eigenvalues at  $\lambda$  are related to those at  $1/\lambda$  by a **strong-weak duality** formula:

$$E(1/\lambda) + 1 = \lambda^{-2} (E(\lambda) + 1)$$

- For  $F=0,1$  the spectrum can be **computed analytically** in terms of the zeroes of an  ${}_1F_2$  function. Duality and phase transition can be studied analytically

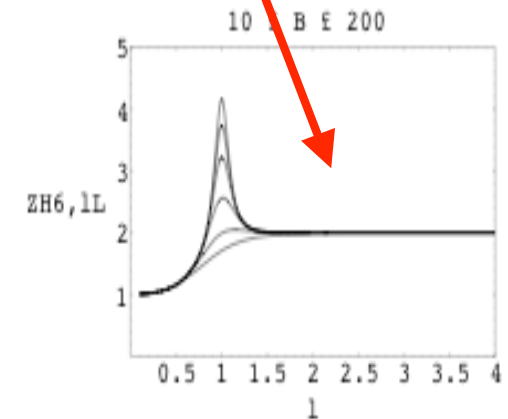
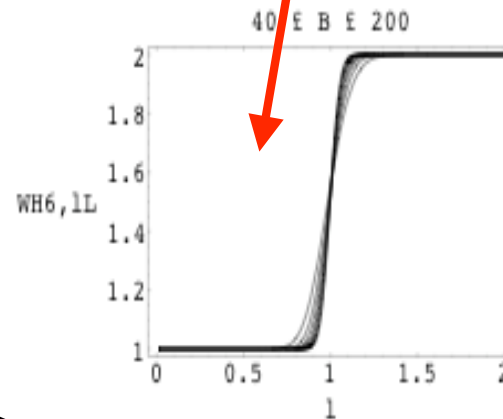


- At  $\lambda > 1$  a **second  $F=0, E=0$  bosonic state** pops up making **Witten's index jump** by one unit (within the  $F=0, 1$  sectors).
- First found numerically. The analytic form of the 2<sup>nd</sup> ground state can be formally given at all  $\lambda$  but is only **normalizable for  $\lambda > 1$**
- In the  **$F=2$  sector** **two more  $E=0$  states** pop up at  $\lambda > 1$ : Witten index jumps by two more units
- For finite cutoff ( $\Rightarrow$  SUSY expl.ly broken) supermultiplets rearrange around  $\lambda = 1$  by a sort of "**partner swapping**" mechanism. At infinite cutoff, these new "couples" emerge already "remarried" from an infinitely degenerate state

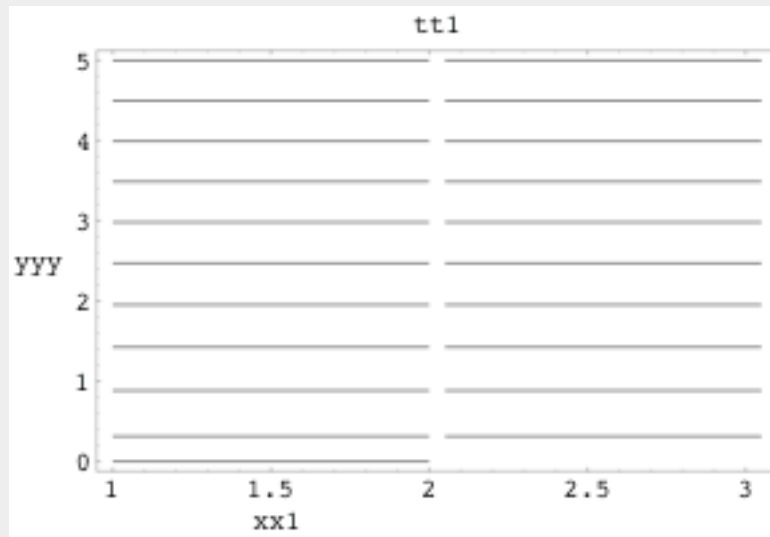


Lowest bosonic and fermionic states as a function of  $\lambda$  for different values of the cutoff (NB swapping of SUSY partners at finite cutoff)

Witten index and free energy as functions of  $\lambda$

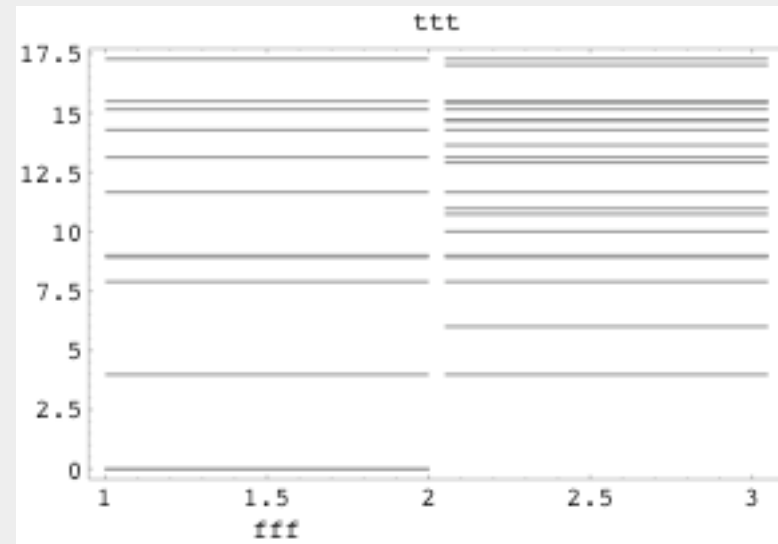


Energy related by  $\lambda^2 (E(1/\lambda)+1) = E(\lambda)+1$



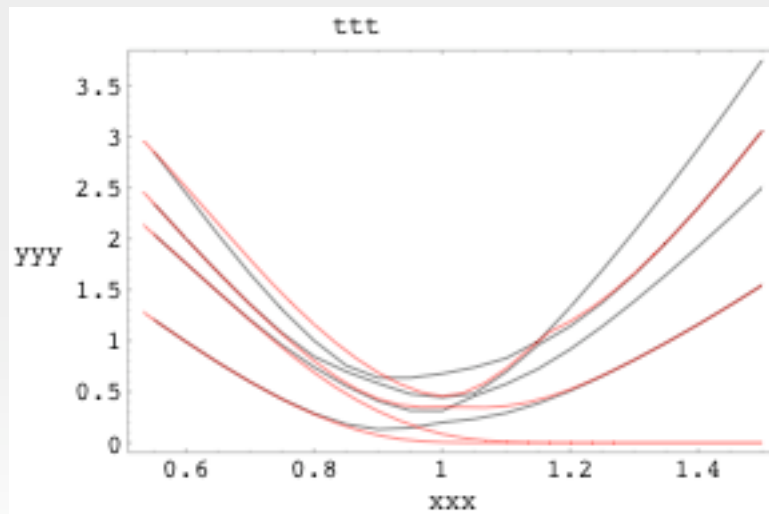
F=0

F=1

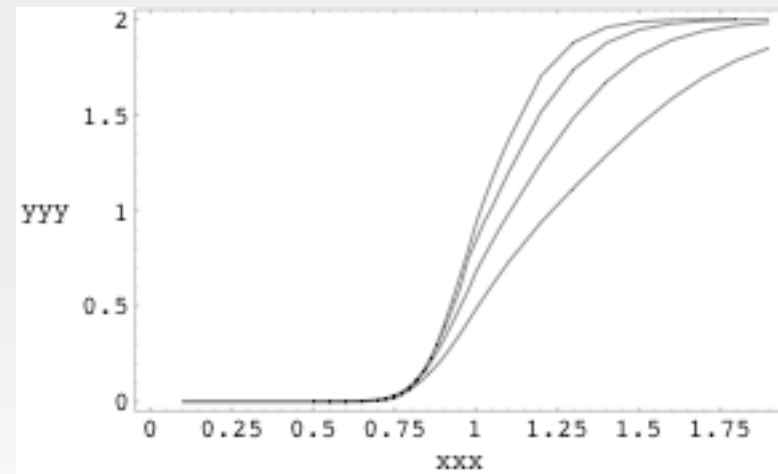


F=2

F=3



F=2,3



F=2,3

Connection with  
Binary Necklaces (BNL)

(E.Onofri, J.Wosiek & GV, math-ph/0603082)



- Having constructed, counted, and paired the states in SUSY doublets, we searched for something similar known in maths.
- Naturally, we looked for a possible connection with **binary necklaces**, necklaces with two kinds of beads, zeros and ones (or bosons and fermions)
- Their number (see the on-line encyclopedia of integer sequences):
- **A000031(n)** = Number of n-bead necklaces with 2 colours when turning over is not allowed (cyclic and anticyclic are distinct) is given by Mac Mahon's formula (see below).

But there was a problem:

- The number of binary necklaces w/ even and odd # of fermions is, in general, different! Example ( $n=2$ )  
(aa), (ff), (af) = (fa)  $\Rightarrow$  2 bosons, 1 fermion, ..  
and indeed the numbers did not match..
- Q: How can supersymmetry work if  $n_B \neq n_F$ ?
- A: **Pauli's exclusion principle** kills some BNL giving back the balance between bosons and fermions  
 $N(n) = N_{\text{PAN}}(n)$  (PAN = Pauli-allowed necklaces)

# Binary Necklaces, Pauli Necklaces

$$n = B + F$$

B \ F	even	odd
	PFN	
even	PAN $\neq$ BNL	PAN = BNL
odd	PAN = BNL	PAN = BNL

susy

$$N_{BNL}(n) = \frac{1}{n} \sum_{d|n} \varphi(d) 2^{n/d}$$

$$N_{PAN}(n) = \frac{1}{n} \sum_{\substack{d|n \\ d \text{ odd}}} \varphi(d) 2^{n/d}$$

$$N_{PFN}(n) = \frac{1}{n} \sum_{\substack{d|n \\ d \text{ even}}} \varphi(d) 2^{n/d}$$

$\phi(d)$  is Euler's "totient" function counting the number of prime numbers ( $\leq d$ ) relative to  $d$

If B and F are **not both even** we have a more detailed counting:

$$N_{BNL}(B, F) = N_{PAN}(B, F) = \sum_{d|F, B} \frac{\phi(d)}{(B+F)} \binom{(B+F)/d}{B/d}$$

giving back the previous formula for B+F odd if one sums over B at fixed n=B+F.

When B & F **are even** we have proven a simple formula for PFN

$$N_{PFN}(B, F) = N_{BNL}(B/2^k, F/2^k) = N_{PAN}(B/2^k, F/2^k)$$

(see table) where k is the unique +ve integer (if it exists) for which F/2<sup>k</sup> is odd and B/2<sup>k</sup> is integer (otherwise n<sub>PFN</sub> is zero).

$$N_{PAN}(B, F) = N_{BNL}(B, F) - N_{BNL}(B/2^k, F/2^k)$$

$$N_{BNL}(B, F) = N_{PAN}(B, F) + N_{PAN}(B/2^k, F/2^k)$$



# $N_{PFN}$ fluctuates a lot!

	$F \rightarrow$																				
$B \downarrow$	0	2	4	6	8	10	12	14	16	18	20	22	24	26	28	30	32	34	36	38	40
0	0	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
2	0	1	0	1	0	1	0	1	0	1	0	1	0	1	0	1	0	1	0	1	0
4	0	1	1	2	0	3	1	4	0	5	1	6	0	7	1	8	0	9	1	10	0
6	0	1	0	4	0	7	0	12	0	19	0	26	0	35	0	46	0	57	0	70	0
8	0	1	1	5	1	14	2	30	0	55	3	91	1	140	4	204	0	285	5	385	1
10	0	1	0	7	0	26	0	66	0	143	0	273	0	476	0	776	0	1197	0	1771	0
12	0	1	1	10	0	42	4	132	0	335	7	728	0	1428	12	2586	0	4389	19	7084	0
14	0	1	0	12	0	66	0	246	0	715	0	1768	0	3876	0	7752	0	14421	0	25300	0
16	0	1	1	15	1	99	5	429	1	1430	14	3978	2	9690	30	21318	0	43263	55	82225	3
18	0	1	0	19	0	143	0	715	0	2704	0	8398	0	22610	0	54484	0	120175	0	246675	0
20	0	1	1	22	0	201	7	1144	0	4862	26	16796	0	49742	66	130752	0	312455	143	690690	0
22	0	1	0	26	0	273	0	1768	0	8398	0	32066	0	104006	0	297160	0	766935	0	1820910	0
24	0	1	1	31	1	364	10	2652	0	14000	42	58786	4	208012	132	643856	0	1789515	335	4552275	7
26	0	1	0	35	0	476	0	3876	0	22610	0	104006	0	400024	0	1337220	0	3991995	0	10855425	0
28	0	1	1	40	0	612	12	5538	0	35530	66	178296	0	742900	246	2674440	0	8554275	715	24812400	0
30	0	1	0	46	0	776	0	7752	0	54484	0	297160	0	1337220	0	5170604	0	17678835	0	54587280	0
32	0	1	1	51	1	969	15	10659	1	81719	99	482885	5	2340135	429	9694845	1	35357670	1430	115997970	14
34	0	1	0	57	0	1197	0	14421	0	120175	0	766935	0	3991995	0	17678835	0	68635478	0	238819350	0
36	0	1	1	64	0	1463	19	19228	0	173593	143	1193010	0	6653325	715	31429068	0	129644790	2704	477638700	0
38	0	1	0	70	0	1771	0	25300	0	246675	0	1820910	0	10855425	0	54587280	0	238819350	0	930138522	0
40	0	1	1	77	1	2126	22	32890	0	345345	201	2731365	7	17368680	1144	92798380	0	429874830	4862	1767263190	26

TABLE 1.  $N_{PFN}$ , the number of Pauli forbidden necklaces (entries with odd  $F$  and/or odd  $B$  vanish identically).



# A formula for the PAN generating function (OVW(DZ) see also Bianchi, Morales & Samtleben)

$$\Phi_{PAN}(x, y; n) \equiv \sum_F N_{PAN}(n - F, F) x^{n-F} y^F = \frac{1}{n} \sum_{d/n} \phi(d) (x^d - (-y)^d)^{n/d}$$

leads immediately to formulae for Witten-like indices

$$W(n; m) \equiv \sum_{\substack{B+F=n \\ F \leq m}} (-1)^{F-m} N_{PAN}(B, F) \geq 0, \quad W(n; n) = 0$$

$$\tilde{W}(n; n) = \sum_F (-1)^F N_{PAN}(n - 2F, F) = \delta_{n=1(\text{mod } 6)} + \delta_{n=-1(\text{mod } 6)}$$

Conjecture: as  $\lambda \rightarrow \infty$  there is **one and only one**  $E=0$  bosonic eigenstate **in and only in** each  $(B,F)$  block with  $|B-F| = 1$

$B \downarrow F \rightarrow$	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20
0	1	1	0	1	0	1	0	1	0	1	0	1	0	1	0	1	0	1	0	1	0
1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
2	1	1	1	2	3	3	4	5	5	6	7	7	7	8	9	9	9	9	10	11	
3	1	1	2	4	5	7	10	12	15	19	22	26	31	35	40	46	51	57	64	70	77
4	1	1	2	5	9	14	20	30	43	55	70	91	115	140	168	204	245	285	330	385	445
5	1	1	3	7	14	26	42	66	99	143	201	273	364	476	612	776	969	1197	1463	1771	2126
6	1	1	3	10	22	42	70	132	217	335	497	728	1038	1428	1932	2586	3399	4389	5601	7084	8866
7	1	1	4	12	30	66	132	246	429	715	1144	1768	2652	3876	5538	7752	10659	14421	19228	25300	
8	1	1	4	15	42	99	212	429	809	1430	2434	3978	6308	9690	14520	21318	30667	43263	60060		
9	1	1	5	19	55	143	335	715	1430	2704	4862	8398	14000	22610	35530	54484	81719	120175			
10	1	1	5	22	73	201	497	1144	2438	4862	9372	16796	29414	49742	81686	130752	204347				
11	1	1	6	26	91	273	728	1768	3978	8398	16796	32066	58786	104006	178296	297160					
12	1	1	6	31	115	364	1028	2652	6310	14000	29372	58786	113772	208012	381774	657714					
13	1	1	7	35	140	476	1428	3876	9690	22610	49742	104006	208012	408024	742900	1307520					
14	1	1	7	40	172	612	1932	5538	14550	35530	81686	178296	371746	742900	1307520	2345640					
15	1	1	8	46	204	776	2586	7752	21318	54484	130752	297160	617520	1280160	2644800	5241600					
16	1	1	8	51	244	969	3384	10659	30666	81719	204248	486200	1040060	2131800	4326300	8866000					
17	1	1	9	57	285	1197	4389	14421	43263	120175	297160	617520	1280160	2644800	5241600	10400600					
18	1	1	9	64	335	1463	5601	19228	60115	153070	381774	839800	1800000	3717460	7429000	14520000					
19	1	1	10	70	385	1771	7084	25300	70840	192280	486200	1040060	2131800	4326300	8866000	17710000					
20	1	1	10	77	445	2126	8866	30666	88660	234564	600600	1201750	2403500	4807000	9614000	19228000					
21	1	1	11	85	506	2530	9880	33840	98800	253000	506000	1012000	2024000	4048000	8096000	16192000					
22	1	1	11	92	578	2850	10659	33840	106590	285000	578000	1156000	2312000	4624000	9248000	18496000					
23	1	1	12	100	650	3384	120175	33840	120175	338400	676800	1353600	2707200	5414400	10828800	21657600					
24	1	1	12																		
25	1	1																			
26	1																				

TABLE 1.  $N_{PAN}(B,F)$  as generated with the sieve method.

# Connections with statistical mechanics

(J. Wosiek & GV hep-th/0609210)



# 1. XXZ spin chain

$$H_{XXZ}^{(\Delta)} = -\frac{1}{2} \sum_{i=1}^n (\sigma_i^x \sigma_{i+1}^x + \sigma_i^y \sigma_{i+1}^y + \Delta \sigma_i^z \sigma_{i+1}^z)$$

With a cyclic symmetry:  $n+1$  coincides with 1

We have proven the following **equivalence** between the **XXZ** chain at asymmetry parameter  $\Delta$  and our (rescaled) **SUSY QM** at  $\lambda = \infty$  ( $H_{SC}$ )

If  $F$  is odd:  $H_{SC} \Leftrightarrow -H_{XXZ}^{(+1/2)} + \frac{3}{4}n$   $n = B + F$

If  $F$  is even and  $B$  is odd (includes magic stairway):

$$H_{SC} \Leftrightarrow H_{XXZ}^{(-1/2)} + \frac{3}{4}n$$

NB: SC **SUSY connects** these two cases for odd  $B$

## Non-trivial consequences of SUSY for XXZ

- We reinterpret the ground state of XXZ model at  $\Delta = -1/2$  as the  **$E=0$  state of a SUSY theory**: will this help proving (some of) the RS conjectures?

A. V. Razumov & Y. G. Stroganov, cond-mat/0012141

One conjecture: ratio of largest to smallest component of ground-state eigenvector = number of alternating sign matrices. If  $n=2m+1$ :

For  $m=8$  this number is 10,850,216.

Math. gave this to 0.1 acc. ( $1430^2$  mx)

$$A_m = \prod_{j=0}^{m-1} \frac{(3j+1)!}{(m+j)!}$$

- SUSY relates in a non-trivial way XXZ spectra at different asymmetry parameter and number of sites: spectrum for  $\Delta = +1/2$  contained in that of  $\Delta = -1/2$  and vice versa (probably unnoticed so far)

Conjecture: as  $\lambda \rightarrow \infty$  there is **one and only one**  $E=0$  bosonic eigenstate **in and only in** each  $(B,F)$  block with  $|B-F| = 1$

$B \downarrow F \rightarrow$	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20
0	1	1	0	1	0	1	0	1	0	1	0	1	0	1	0	1	0	1	0	1	0
1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
2	1	1	1	2	3	3	4	5	5	5	6	7	7	7	8	9	9	9	9	10	11
3	1	1	2	4	5	7	10	12	15	19	22	26	31	35	40	46	51	57	64	70	77
4	1	1	2	5	9	14	20	30	43	55	70	91	115	140	168	204	245	285	330	385	445
5	1	1	3	7	14	26	42	66	99	143	201	273	364	476	612	776	969	1197	1463	1771	2126
6	1	1	3	10	22	42	70	132	217	335	497	728	1038	1428	1932	2586	3399	4389	5601	7084	8866
7	1	1	4	12	30	66	132	246	429	715	1144	1768	2652	3876	5538	7752	10659	14421	19228	25300	
8	1	1	4	15	42	99	212	429	809	1430	2424	3978	6308	9690	14520	21318	30667	43263	60060		
9	1	1	5	19	55	143	335	715	1430	2704	4862	8398	14000	22610	35530	54484	81719	120175			
10	1	1	5	22	73	201	497	1144	2435	4862	8937	16796	29414	49742	81686	130752	204347				
11	1	1	6	26	91	273	728	1768	3978	8398	16796	32066	58786	104006	178296	297160					
12	1	1	6	31	115	364	1028	2652	6310	14000	29372	58786	104006	208012	388714	600024					
13	1	1	7	40	174	540	1528	3876	9690	22610	49742	104006	208012	400024	742900						
14	1	1	7	40	172	612	1932	5538	14550	35530	81686	178296	371145								
15	1	1	8	46	204	776	2586	7752	21318	54484	130752	297160									
16	1	1	8	51	244	969	3384	10659	30666	81719	204248										
17	1	1	9	57	285	1197	4389	14421	43263	120175											
18	1	1	9	64	335	1463	5601	19228	60115												
19	1	1	10	70	385	1771	7084	25300													
20	1	1	10	77	445	2126	8866														
21	1	1	11	85	506	2530															
22	1	1	11	92	578																
23	1	1	12	100																	
24	1	1	12																		
25	1	1																			
26	1																				

TABLE 1.  $N_{PAN}(B,F)$  as generated with the sieve method.

# Conclusions, Part II

- **SUSY** has implications about non-trivial **combinatorial** problems
- **Combinatorial** methods have non-trivial **implications** on the dynamics of **SUSY** models
- Extending the approach to (semi) **realistic QFTs** w/ or w/out SUSY remains the main physics goal of this (otherwise just amusing mathematical) game. Work in progress in  $D=2$ . However:
- Interesting connections to stat. mech. models have already emerged at infinite  $\lambda$  (Cf. AdS/CFT!)