

# A NON-FERMI LIQUID FOR HTSC

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# *Outline*

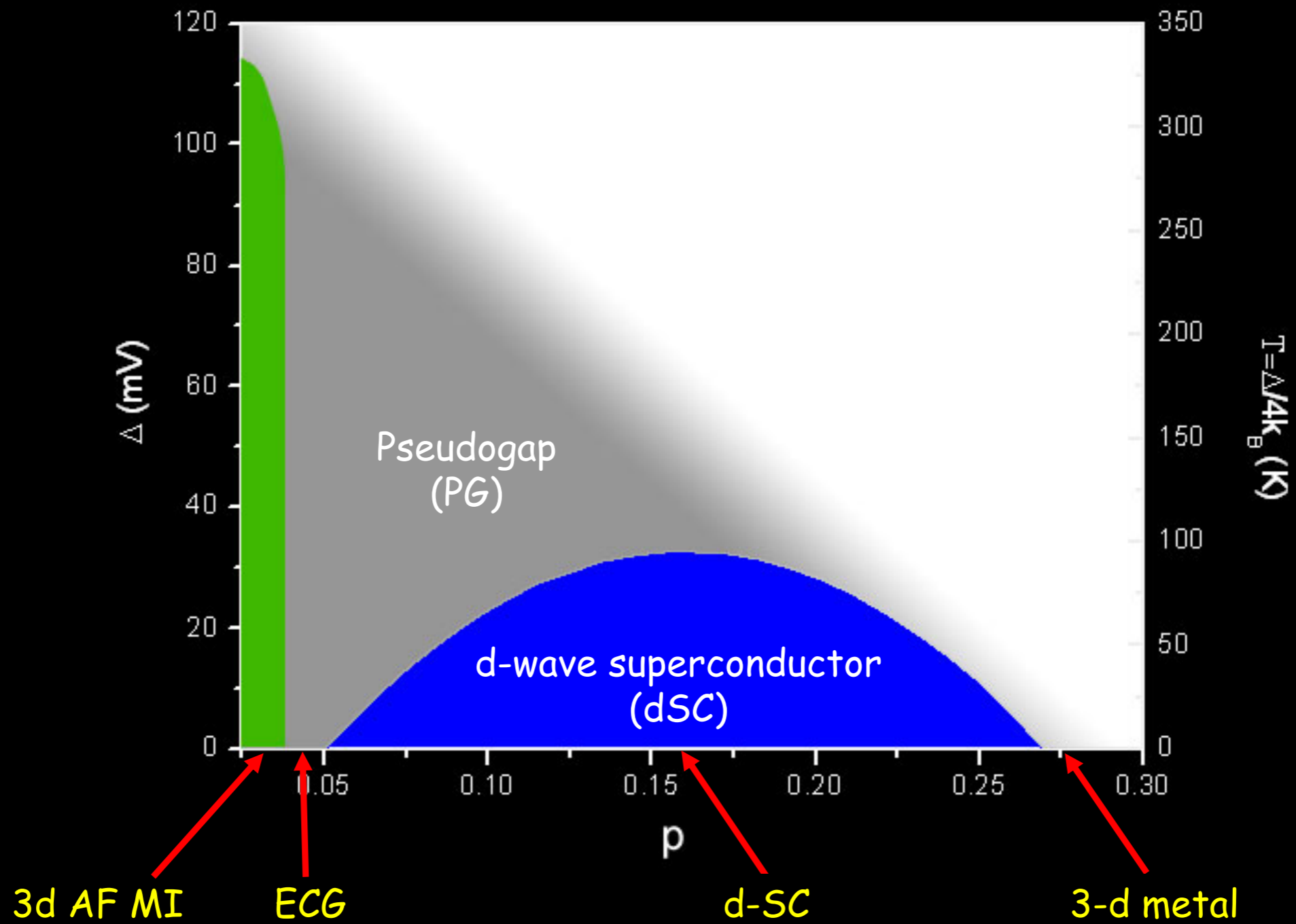
based on arXiv:0805.4182 with E. Kapit and  
JHEP 10 (2007) 027 with M. Neubert

# Outline

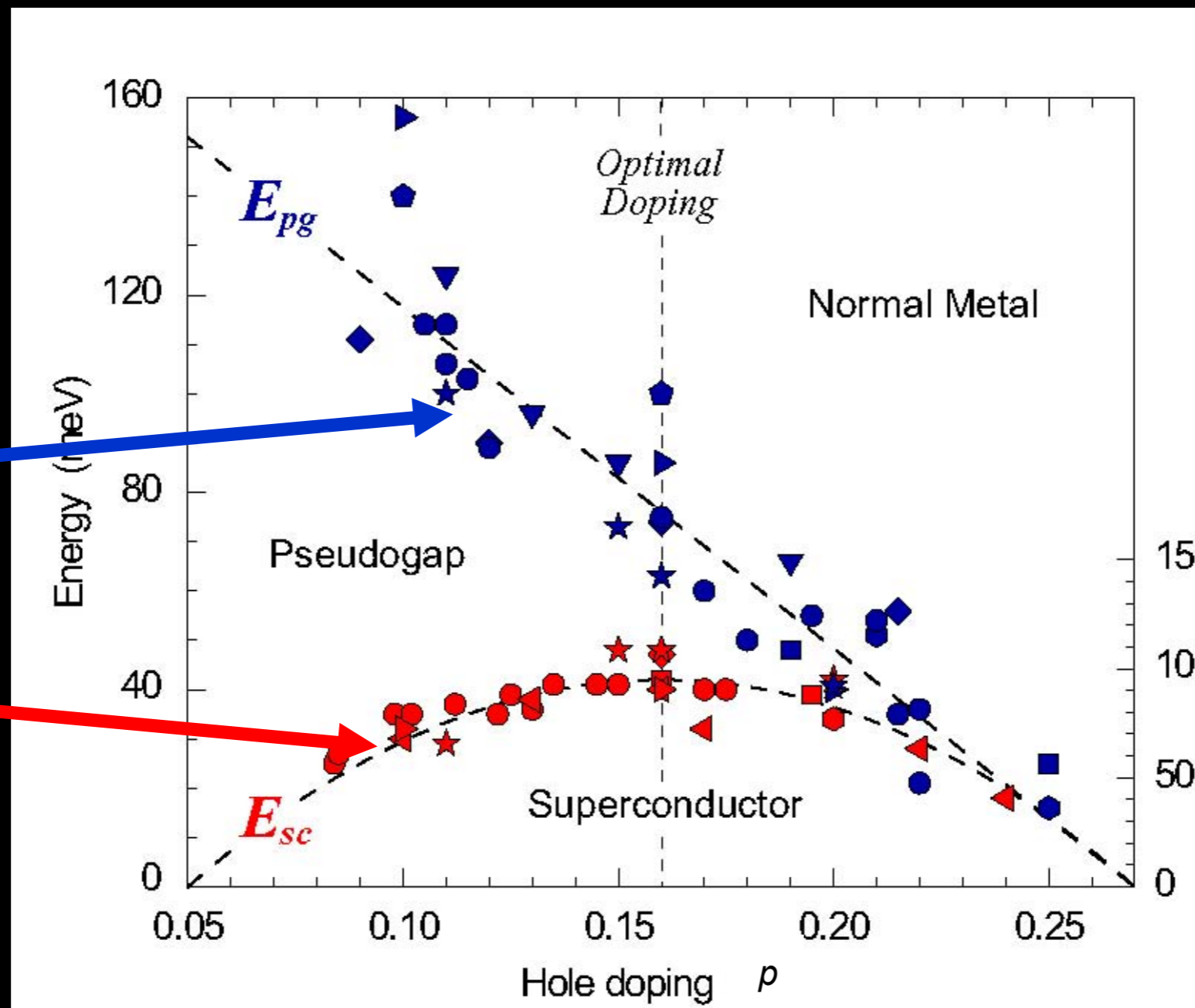
- High Tc phenomenology
- Mott-Hubbard insulator
- Basic requirements of any theory
- Our field theory model
- Anti-ferromagnetic phase
- d-wave superconducting phase
- pseudogap?

based on arXiv:0805.4182 with E. Kapit and  
JHEP 10 (2007) 027 with M. Neubert

# Schematic phase diagram of hole-doped cuprates



(courtesy of Seamus Davis)



S. Huefner, M.A. Hossain,  
 A. Damascelli & G.A. Sawatzky  
 cond/mat 0706-4282

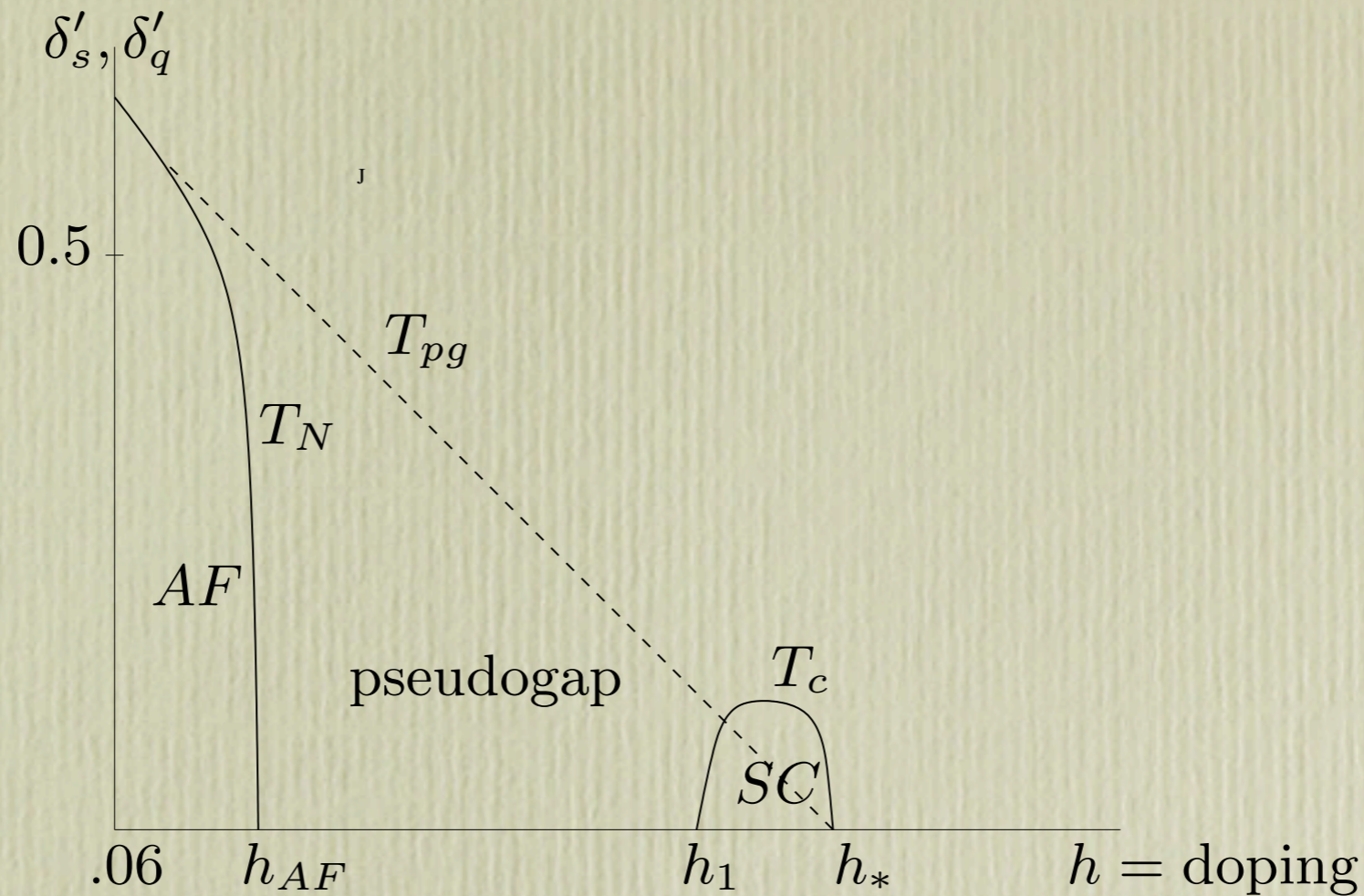
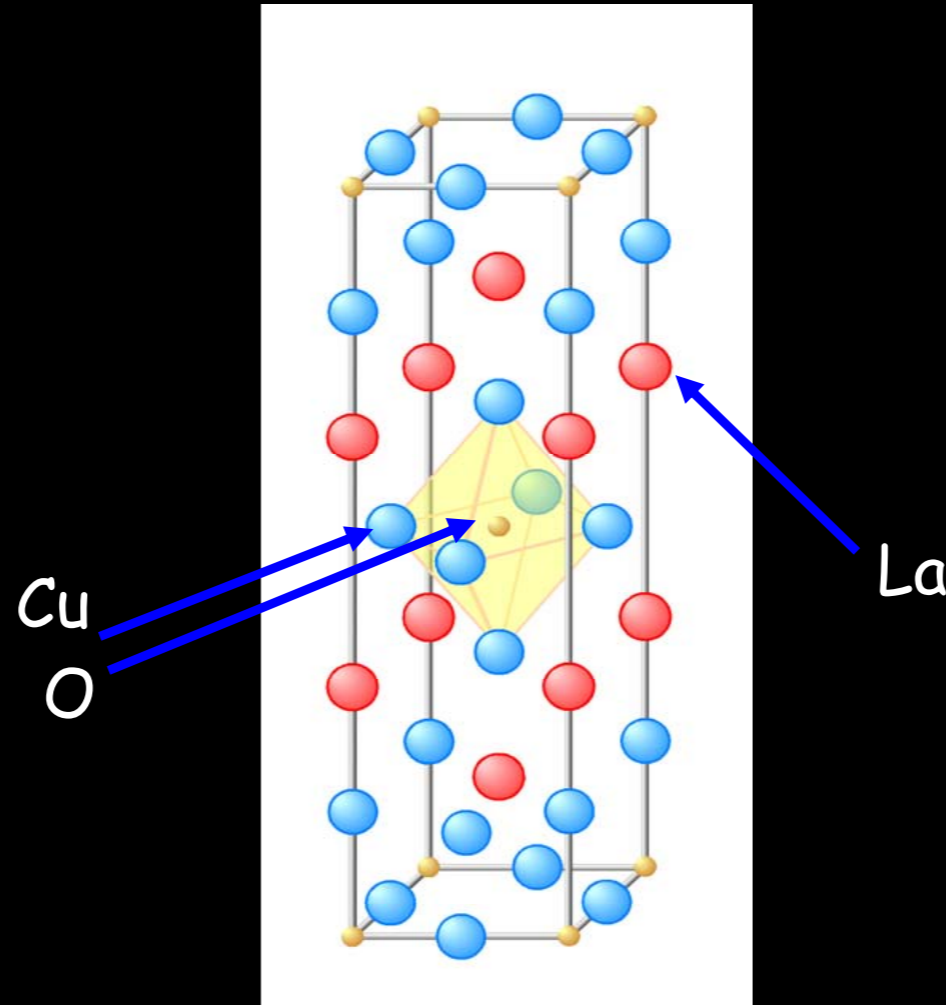


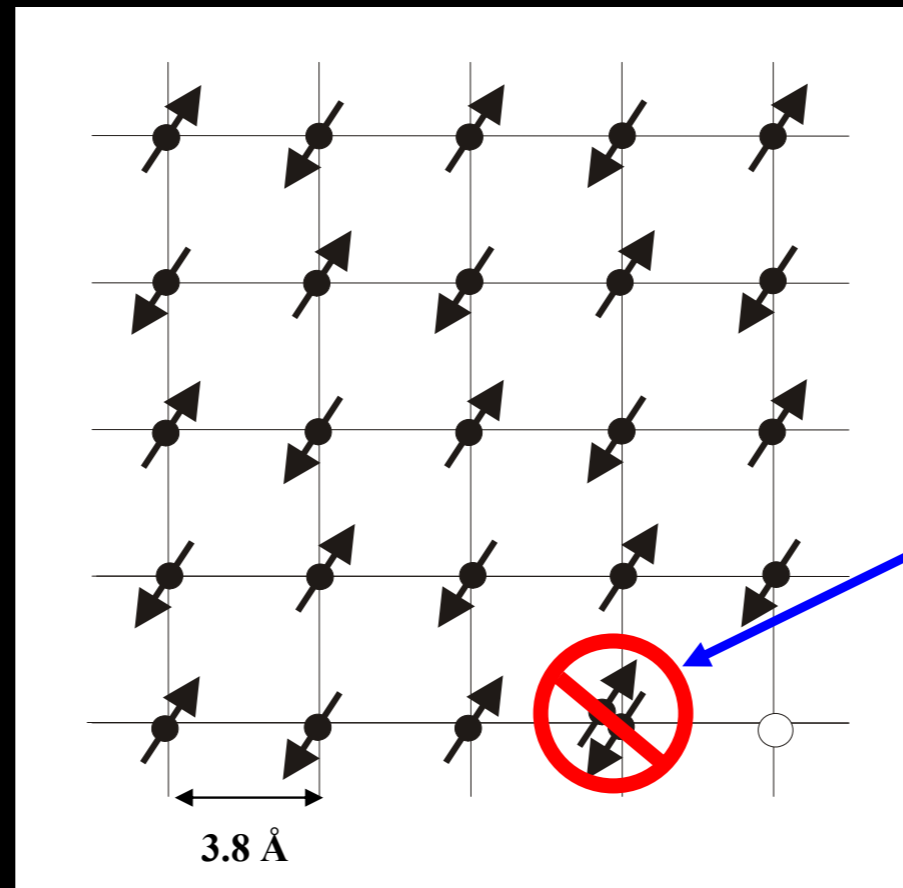
FIG. 1: Calculated phase diagram as a function of hole doping based on a single parameter  $0 < \gamma < 1$ , set equal to 1 (infinitely strong coupling at short distances). What is shown are solutions  $\delta'_s, \delta'_q$  of the AF and d-wave gap equations below, which are proportional to the critical temperature.  $T_{pg}$  is simply the RG scale of the coupling  $g$ . The AF transition point at  $h_{AF} = \frac{3}{4\pi^2}$  is first order. The SC transition at  $h_* = \frac{3}{2\pi^2}$  is second-order and corresponds to the fixed point of the renormalization group.  $h_1 \approx 0.13$  is not universal.



Antiferromagnetic  
Mott Insulator

Z. Phys. Rev. B 64 189 (1986)

# Mott Insulator: Repulsive Coulomb $U \sim 3\text{eV}$



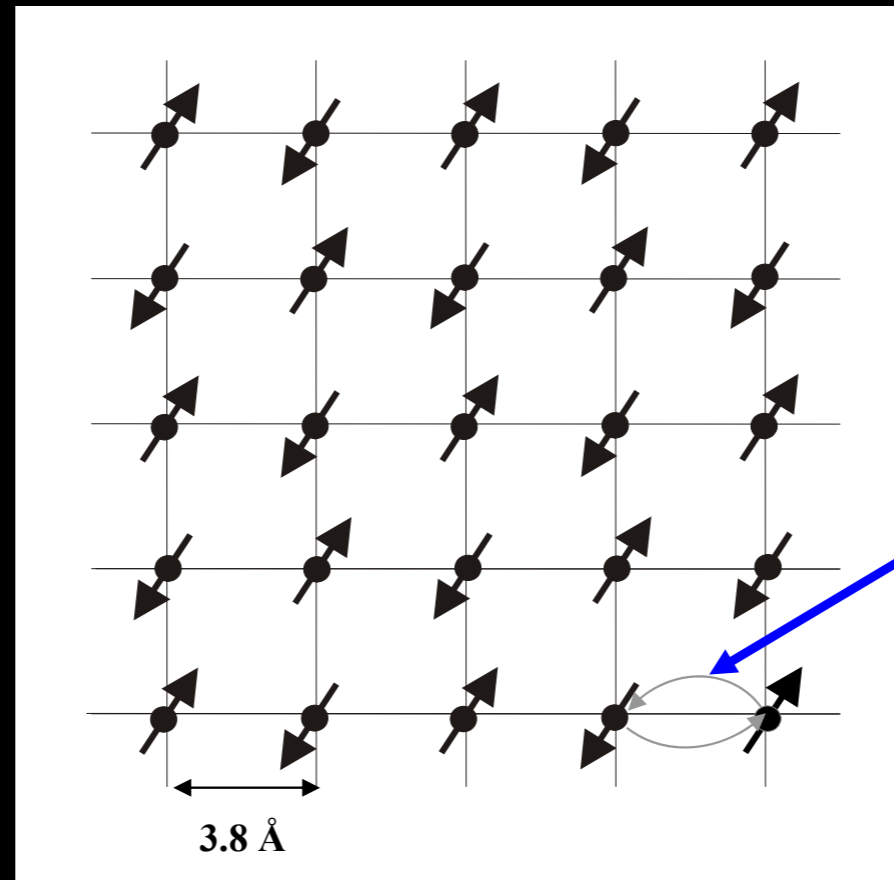
No double  
occupancy  
allowed..

N.F. Mott, *Proc. Phys. Soc A*62, 416 (1949)

(courtesy Seamus Davis)



## Antiferromagnetic: Superexchange $J \sim 0.14\text{eV}$



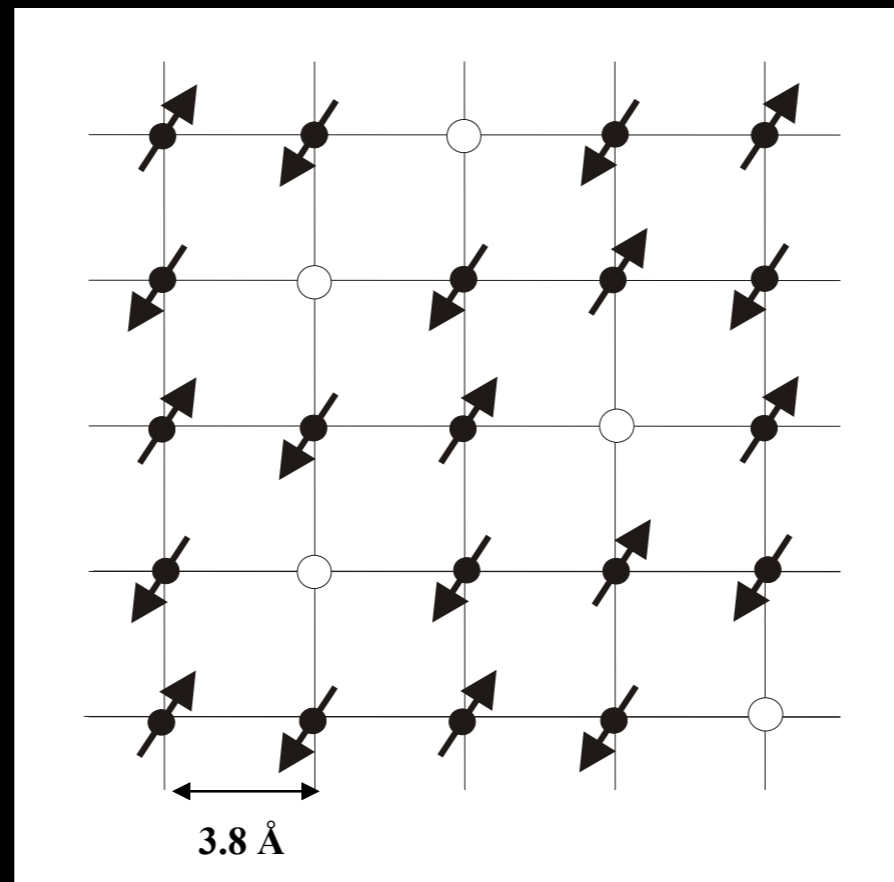
..except as  
a virtual  
process.

$$H = \frac{4t^2}{U} \sum_{\langle i,j \rangle} \vec{S}_i \cdot \vec{S}_j - \frac{1}{4} n_i n_j$$

P. W. Anderson, *Phys. Rev.* 115, 2 (1959)

AF order preferred since it allows virtual hopping

Holes introduced  $\Rightarrow$  carriers become mobile



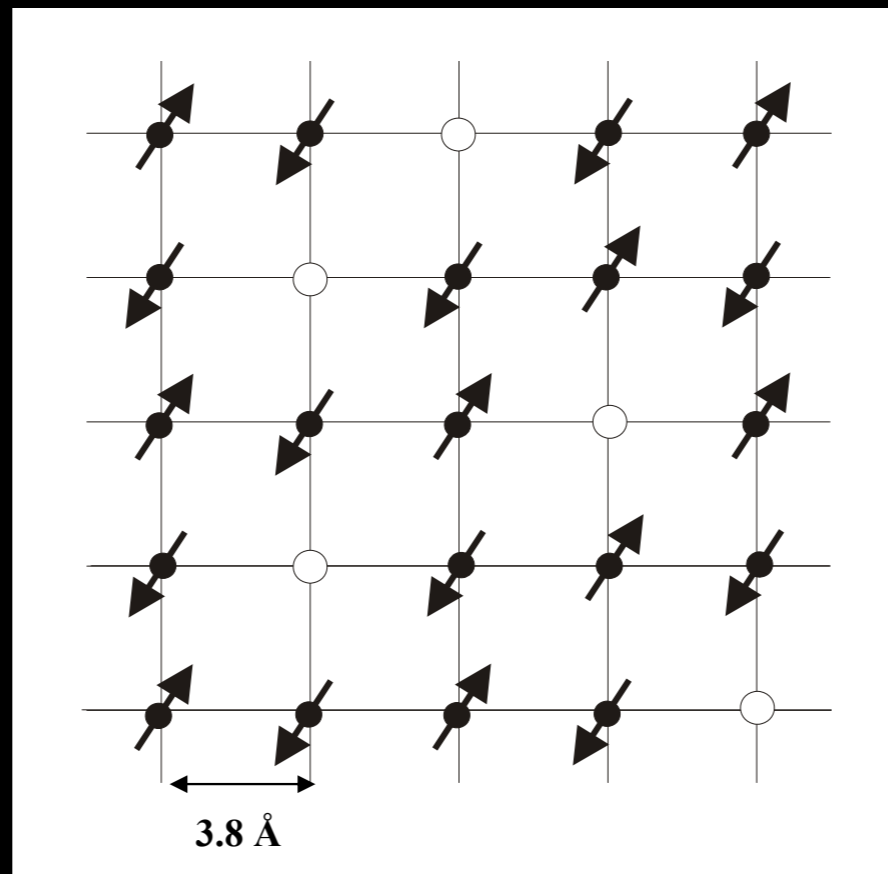
Dopant density  $p$   
= number of holes  
per  $\text{CuO}_2$  plaquette

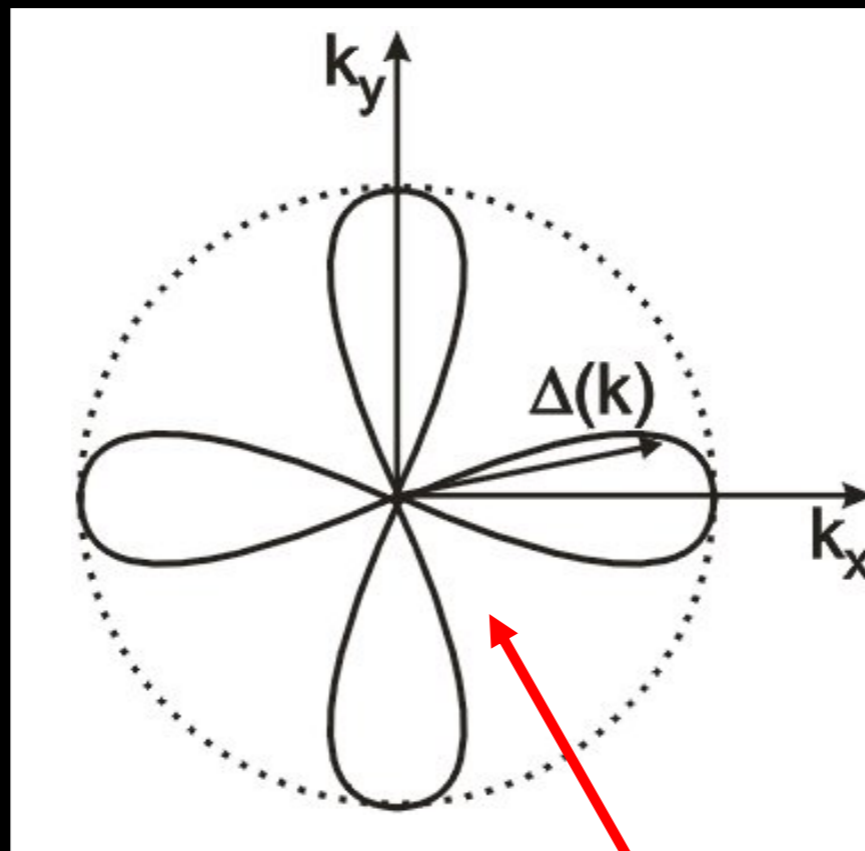
$$H = -t \sum_{\langle i,j \rangle} (c_{i\sigma}^\dagger c_{j\sigma} + h.c.) + U \sum_i n_{i\uparrow} n_{i\downarrow}$$

J. Hubbard, *Proc. Roy. Soc A276*, 238 (1963)

hopping destroys the AF order

How could this state become superconducting?





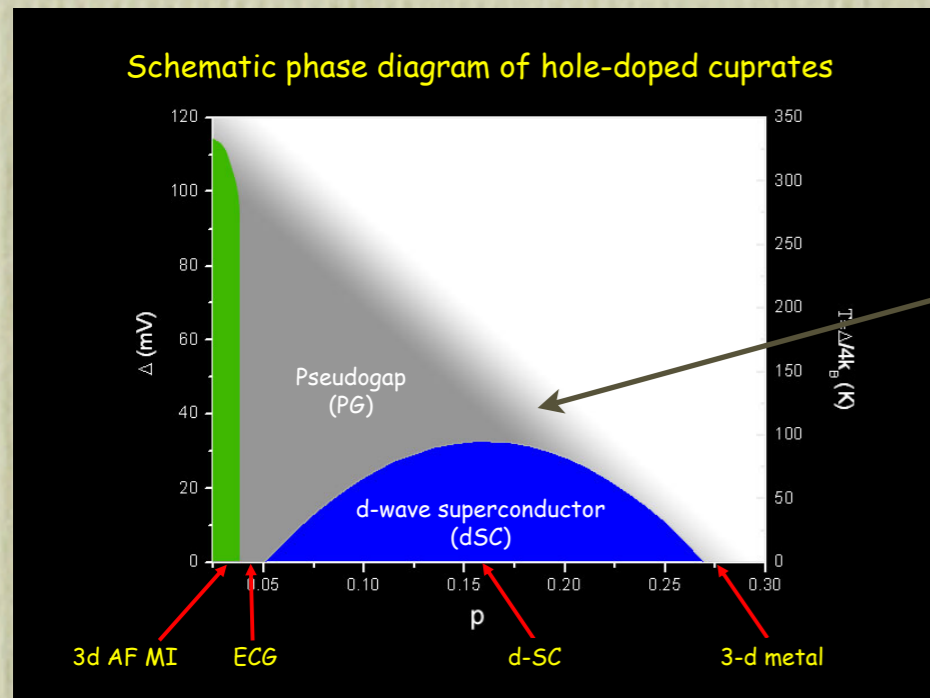
The SC energy gap  $\Delta(\vec{k})$   
has four nodes.

The SC gap has d-wave symmetry

# Basic requirements of any theory

- non-Fermi liquid in 2 spatial dimensions
- strong coulomb repulsion with AF phase
- d-wave pairing instability
- pseudogap
- an estimate of  $T_c$

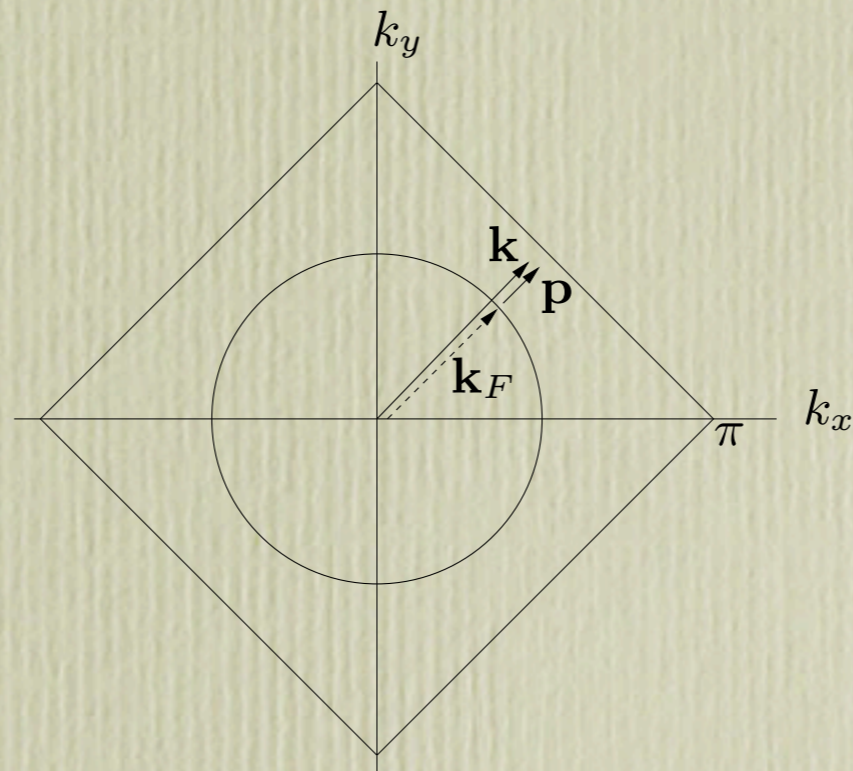
# Where to begin?



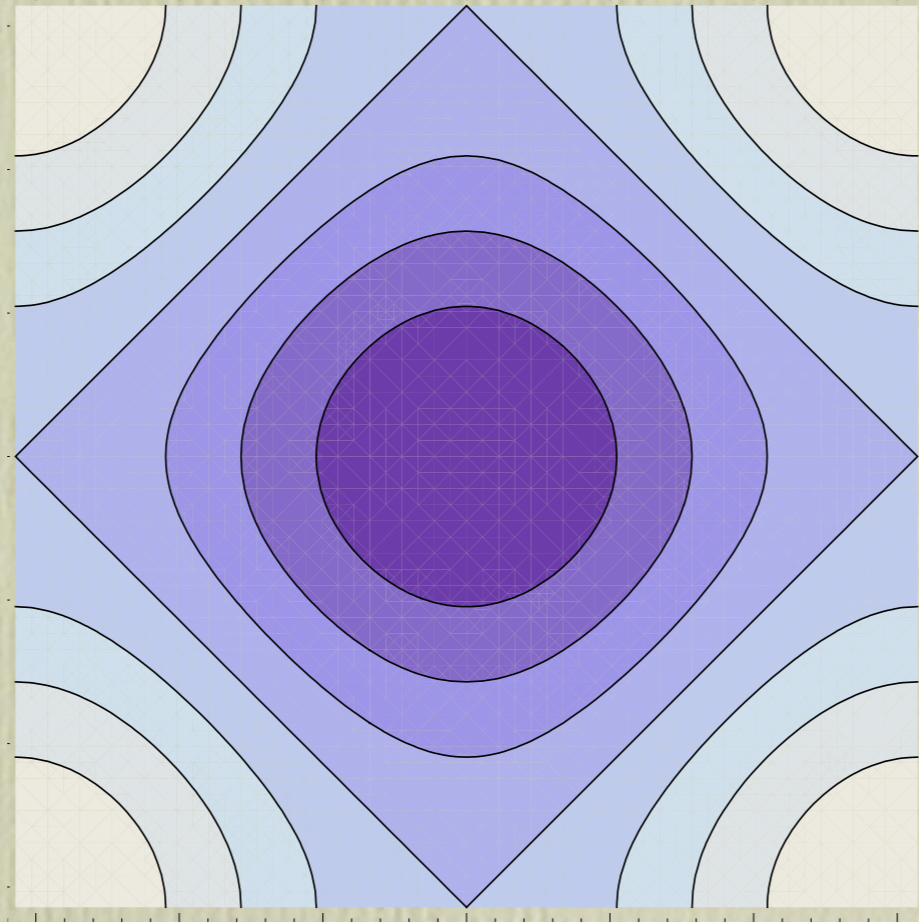
Here.....

- \* non-Fermi liquids are rare
- \* assume a rotationally invariant continuum description
- \* intrinsically 2d theory with only quartic interactions
- \* starting from most entropic states

# Expansion around Fermi Surface



$$H = \int_{|\mathbf{p}| < \Lambda_c} (d^2 \mathbf{p}) \left[ (v_F |\mathbf{p}| - \hat{\mu}) a_{\mathbf{p}}^\dagger a_{\mathbf{p}} + (v_F |\mathbf{p}| + \hat{\mu}) b_{\mathbf{p}}^\dagger b_{\mathbf{p}} \right] \quad (1)$$





Requiring a field theory description with relevant interactions points to a unique theory with the action for fermionic fields:

$$S = \int d^2x dt \left( \sum_{\alpha=\uparrow,\downarrow} (\partial_\mu \chi_\alpha^- \partial_\mu \chi_\alpha^+ + m^2 \chi_\alpha^- \chi_\alpha^+) - 8\pi^2 g \chi_{\uparrow}^- \chi_{\uparrow}^+ \chi_{\downarrow}^- \chi_{\downarrow}^+ \right)$$

\*The free theory has the correct spectrum with a relativistic dispersion relation.

\* Interaction is a unique dimension 2 operator, i.e. relevant.

# Unitarity, spin statistics!?

- spin is a flavor here and thus does not need to be embedded in the Lorentz group.
- pseudo-hermiticity:  $H^\dagger = CHC$
- C distinguishes particles and holes:

$$CaC = a, CbC = -b$$

$$\chi^+ = C(\chi^-)^\dagger C$$

$$\chi^{-}(\mathbf{x}, t) = \int \frac{d^2 \mathbf{p}}{(2\pi)^2 \sqrt{2\omega_{\mathbf{p}}}} (a_{\mathbf{p}}^{\dagger} e^{-ip \cdot x} + b_{\mathbf{p}} e^{ip \cdot x})$$

$$\chi^{+}(\mathbf{x}, t) = \int \frac{d^2 \mathbf{p}}{(2\pi)^2 \sqrt{2\omega_{\mathbf{p}}}} (-b_{\mathbf{p}}^{\dagger} e^{-ip \cdot x} + a_{\mathbf{p}} e^{ip \cdot x})$$

# SO(5) symmetry

N-component version has Sp(2N) symmetry

$$\text{Sp}(4) = \text{SO}(5)$$

5-vector of bilinears can serve as order parameters for both spontaneous symmetry breaking of spin SU(2) (AF) and the charge U(1) for superconductivity

$$\vec{\Phi} = (\vec{\phi}, \phi_e^+, \phi_e^-) = (\chi^- \vec{\sigma} \chi^+ / \sqrt{2}, \chi_{\uparrow}^+ \chi_{\downarrow}^+, \chi_{\downarrow}^- \chi_{\uparrow}^-)$$

# Renormalization group

$\Lambda_c$  =upper cutoff

$\Lambda$  = running RG scale

$$g(\Lambda) = \Lambda \hat{g}(\Lambda)$$

$$-\Lambda \frac{d\hat{g}}{d\Lambda} = \hat{g} - 8\hat{g}^2$$

fixed point:  $\hat{g}_* = 1/8$

Define:  $x = 1/\hat{g}$

$$x_* = 8$$

Integrate:

$$\frac{\Lambda}{\Lambda_c} = -\frac{1}{\gamma} \left( \frac{x}{x_*} - 1 \right)$$

Initial condition:

$$\gamma = (x_* - x_0)/x_*$$

$$g(\Lambda_c) = \Lambda_c \hat{g}_0$$

# Hole Doping

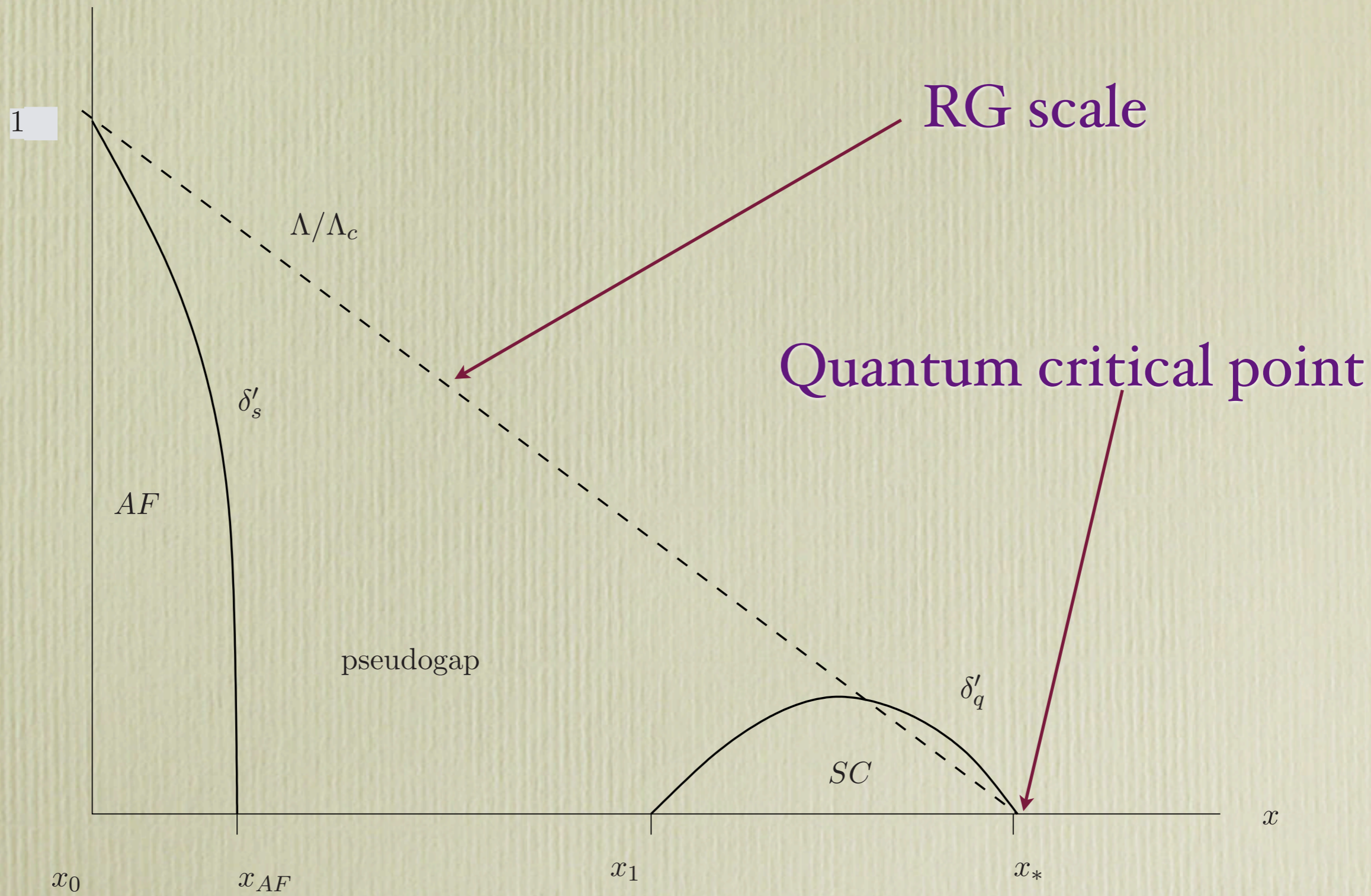
Since running the RG depopulates the spectrum, a measure of hole doping is:

$$h = \text{hole doping} \propto \left(1 - \frac{\Lambda}{\Lambda_c}\right)$$

A physical way to set the scale is through the  $\Gamma$ -point function:

$$h = \langle \chi^- \chi^+ \rangle / \Lambda_c \approx \frac{1}{\pi^2} \left(1 - \frac{\Lambda}{\Lambda_c}\right) = \frac{1}{\pi^2} \left(\frac{x - x_0}{x_* - x_0}\right)$$

The point: hole doping linear in  $x$ , at least to lowest order



# d-wave Superconductivity

Warm up: s-wave. To study spontaneous symmetry breaking of  $U(1)$ , consider VEVs:

$$q^\pm = \langle \chi_\uparrow^\pm \chi_\downarrow^\pm \rangle$$

Mean field gap equation:

$$q^\pm = -8\pi^2 g \int \frac{d\omega d^2\mathbf{k}}{(2\pi)^3} \frac{q^\pm}{(\omega^2 + \mathbf{k}^2)^2 + q^+ q^-}$$

As expected: no solutions for positive  $g$  (repulsive).

Solution in 3d for negative  $g$ :  $\Delta = \sqrt{q} = \Lambda_c e^{1/g}$



Beyond mean field: include  $t$ -loop scattering

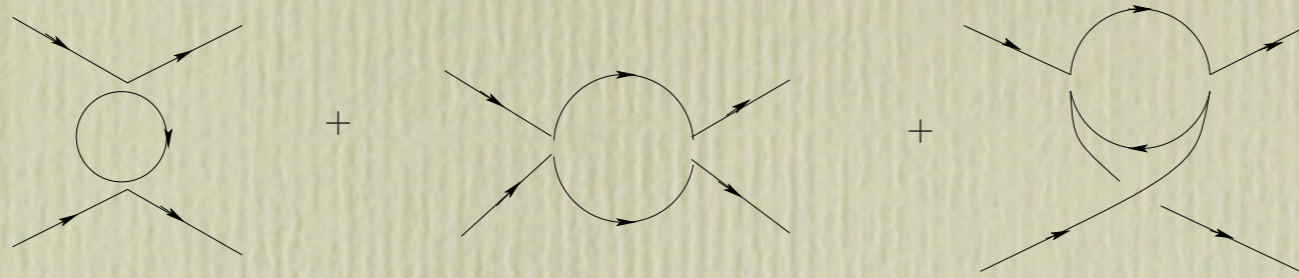
$$q(\mathbf{k}) = - \int \frac{d\omega d^d \mathbf{k}'}{(2\pi)^{d+1}} G(\mathbf{k}, \mathbf{k}') \frac{q(\mathbf{k}')}{(\omega^2 + \mathbf{k}'^2)^2 + q(\mathbf{k}')^2}$$

Expand in circular harmonics:

$$G(\mathbf{k}, \mathbf{k}') = \sum_{\ell=0}^{\infty} G_{\ell}(k, k') \cos \ell(\theta - \theta')$$

$$q(\mathbf{k}) = \sum_{\ell=0}^{\infty} q_{\ell}(k) \cos \ell\theta$$

# $\Gamma$ -loop scattering



gives:

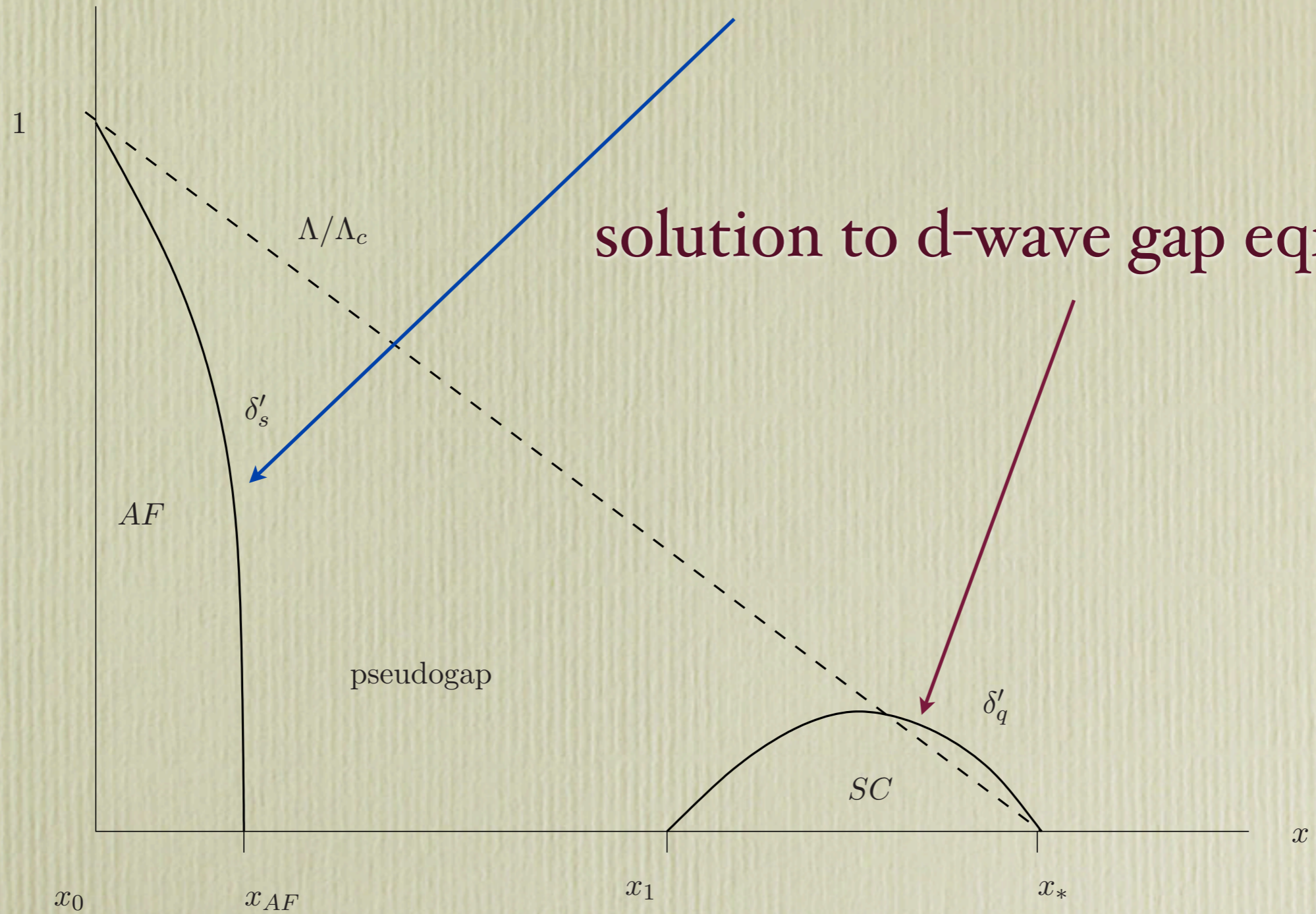
$$G_2(k, k') = -8\pi^2 g_2 k^2 k'^2$$

$$g_2 = \frac{4}{25} \frac{\widehat{g}^2}{\Lambda^3}$$

$$q(\mathbf{k}) = \delta_q^2 k^2 \cos 2\theta = \delta_q^2 (k_x^2 - k_y^2)$$

$$\delta_q^4 = 2g_2 \int_0^{\Lambda_c} d\omega dk^2 \left( 1 - \frac{\omega^2 + k^2}{\sqrt{(\omega^2 + k^2)^2 + \delta_q^4 k^4}} \right)$$

# solution to an su(2) breaking gap eqn



# The pseudogap?

- previously identified as the running RG scale.
- possible manifestation:
  - dynamically generated relativistic mass.

$$m \propto \Lambda$$

# $T_c$

the scale of  $T_c$  is set by the lattice spacing and universal nodal Fermi velocity. (X. J. Zhou et. al. 2003)

$$T_c = c_{SC} \frac{v_F}{a} \cdot 650K$$

LSCO:

$$120K < T_c < 160K$$

(Here temperature was mimicked as a mass term, a better treatment is required.)

# Conclusions and open problems

- a simple model that appears to capture the main features of HTSC in a calculable way
- Relation to Hubbard model, lattice effects?
- calculation of the non-Fermi liquid properties, such as specific heat, conductivity, etc.
- manifestation of the pseudogap, need to include temperature properly.

