

The Standard Model as effective field theory

with fundamental scale $\Lambda_{UV}^2 \gg 1 \text{ TeV}$

$$\mathcal{L}_{SM} = \mathcal{L}_{kin} + gA_\mu \bar{F} \gamma_\mu F + Y_{ij} \bar{F}_i H F_j + \lambda (H^\dagger H)^2$$

d=4

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d=4

$$\begin{aligned}
 &+ \frac{b_{ij}}{\Lambda_{UV}} L_i L_j H H \\
 &+ \frac{c_{ijkl}}{\Lambda_{UV}^2} \bar{F}_i F_j \bar{F}_k F_\ell + \frac{c_{ij}}{\Lambda_{UV}} \bar{F}_i \sigma_{\mu\nu} F_j G^{\mu\nu} + \dots \\
 &+ \dots
 \end{aligned}$$

d>4

$$\mathcal{L}_{SM} = \mathcal{L}_{kin} + g A_\mu \bar{F} \gamma_\mu F + Y_{ij} \bar{F}_i H F_j + \lambda (H^\dagger H)^2$$

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d>4

$\Lambda_{UV} \gg \text{TeV}$ (pointlike limit) nicely accounts for ‘what we see’

$$+ \theta \tilde{G}_{\mu\nu} \tilde{G}^{\mu\nu}$$

d=4

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d>4

$\Lambda_{UV} \gg \text{TeV}$ (pointlike limit) nicely accounts for ‘what we see’

$$+ c\Lambda_{UV}^2 H^\dagger H$$

d=2

$$+ \theta \tilde{G}_{\mu\nu} \tilde{G}^{\mu\nu}$$

d=4

$$\mathcal{L}_{SM} = \mathcal{L}_{kin} + g A_\mu \bar{F} \gamma_\mu F + Y_{ij} \bar{F}_i H F_j + \lambda (H^\dagger H)^2$$

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d>4

$\Lambda_{UV} \gg \text{TeV}$ (pointlike limit) nicely accounts for ‘what we see’

the three problems

$$+ \Lambda_{UV}^4 \sqrt{g}$$

d=0

$$+ c \Lambda_{UV}^2 H^\dagger H$$

d=2

$$+ \theta \tilde{G}_{\mu\nu} \tilde{G}^{\mu\nu}$$

d=4

$$\mathcal{L}_{SM} = \mathcal{L}_{kin} + g A_\mu \bar{F} \gamma_\mu F + Y_{ij} \bar{F}_i H F_j + \lambda (H^\dagger H)^2$$

d=4

$$+ \frac{b_{ij}}{\Lambda_{UV}} L_i L_j H H$$

$$+ \frac{c_{ijkl}}{\Lambda_{UV}^2} \bar{F}_i F_j \bar{F}_k F_\ell + \frac{c_{ij}}{\Lambda_{UV}} \bar{F}_i \sigma_{\mu\nu} F_j G^{\mu\nu} + \dots$$

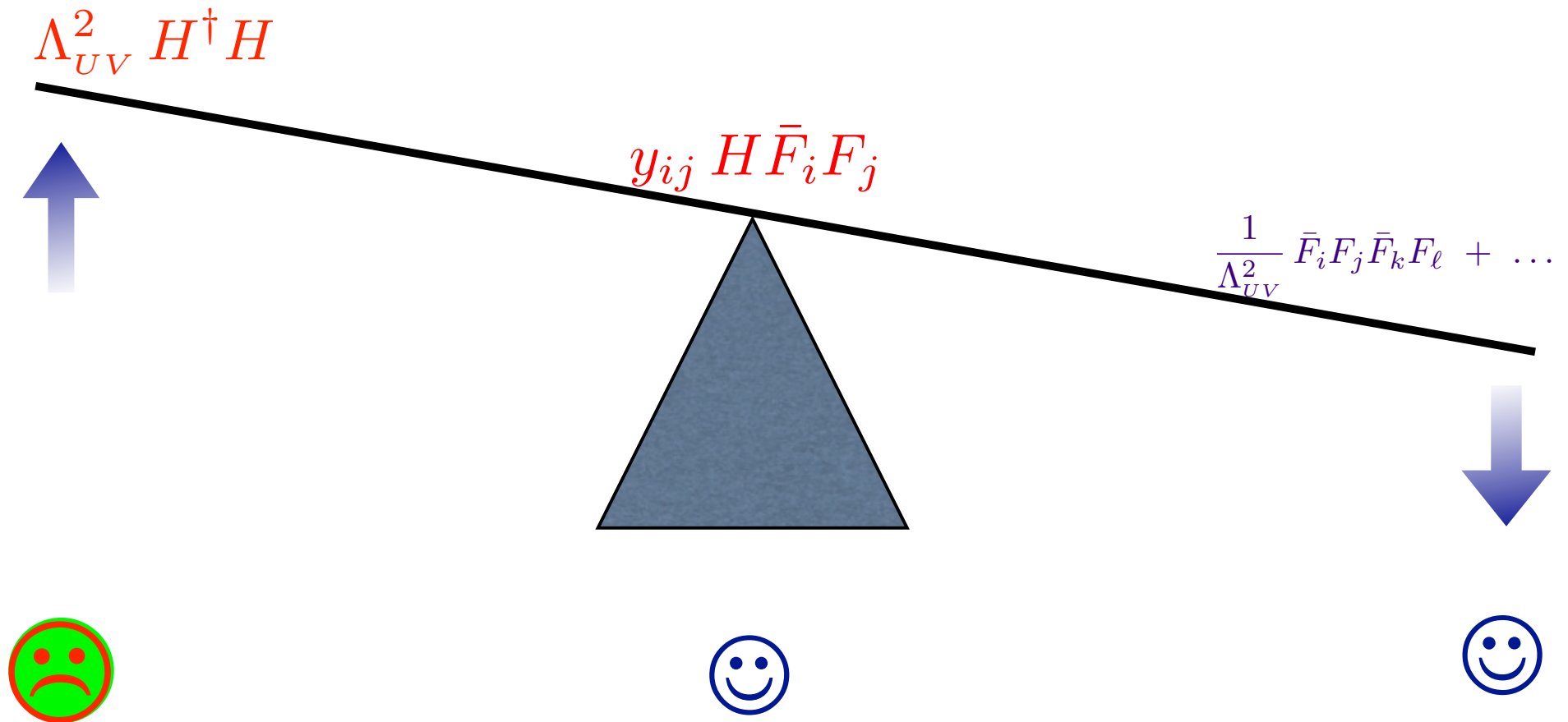
$$+ \dots$$

d>4

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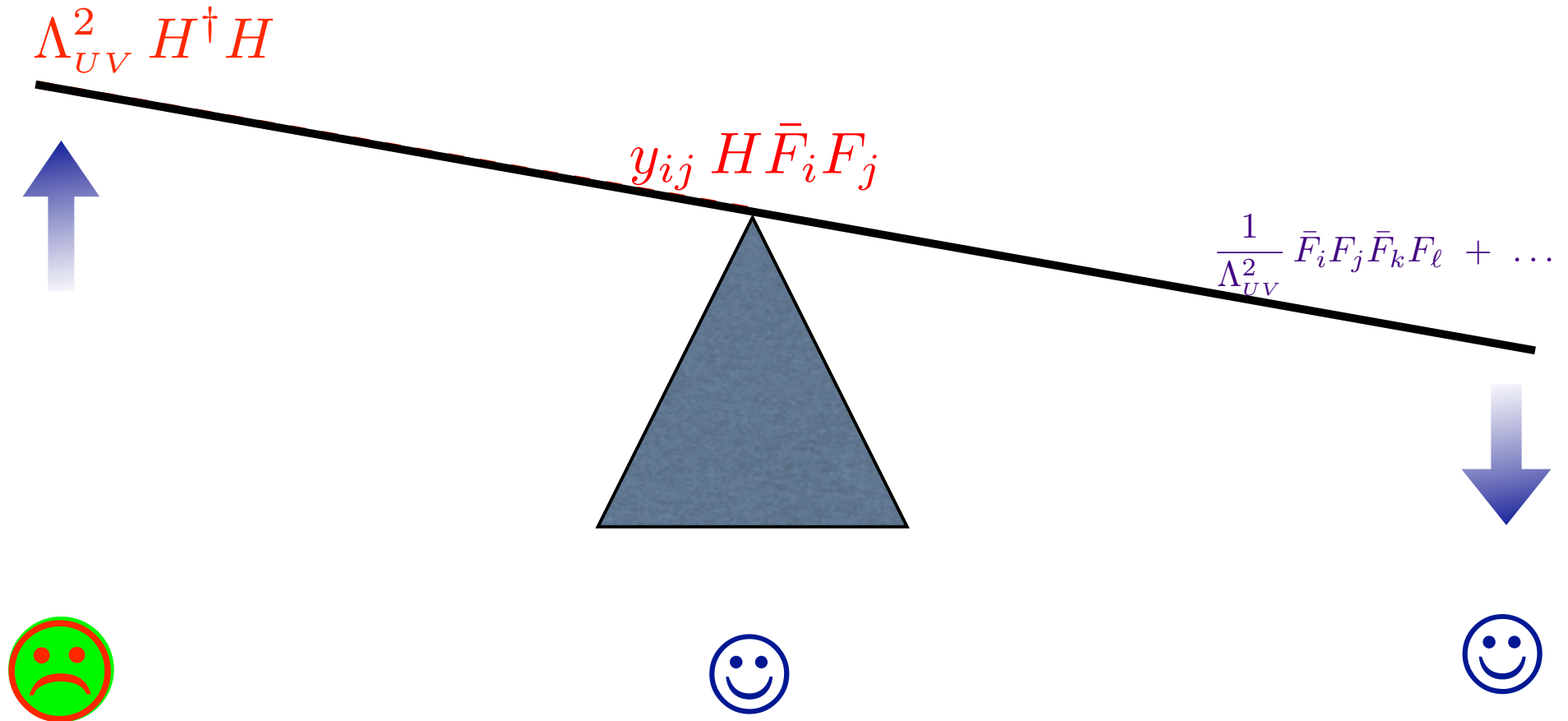
Hierarchy see-saw

Standard Model up to some $\Lambda_{UV}^2 \gg 1 \text{ TeV}$



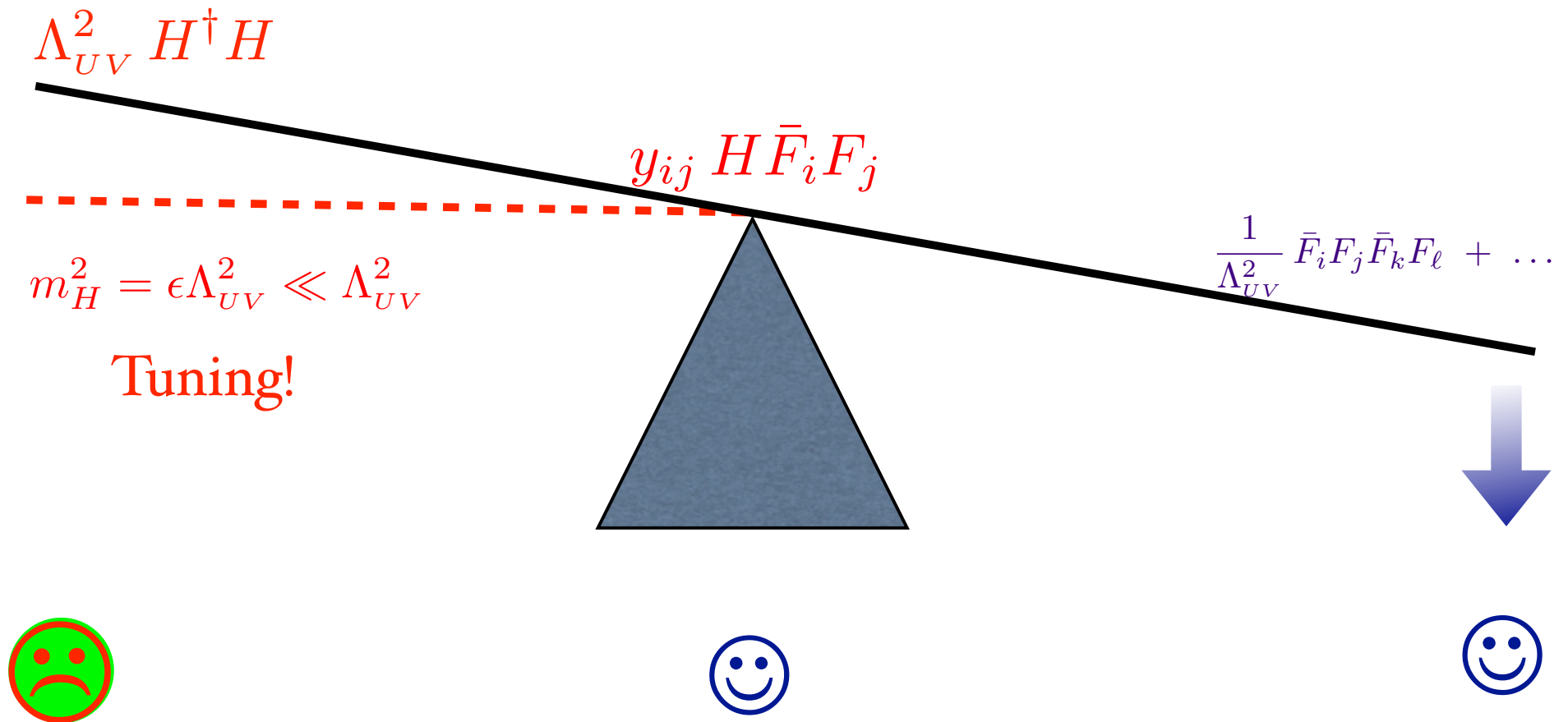
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Hierarchy see-saw

Standard Model up to some $\Lambda_{UV}^2 \gg 1 \text{ TeV}$

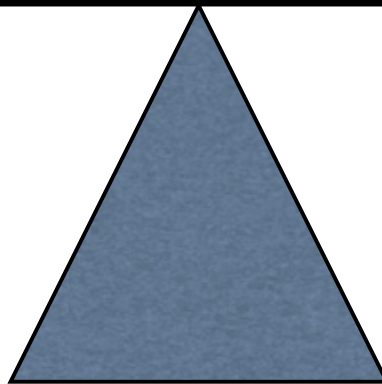


Natural SM : $\Lambda_{UV}^2 \lesssim 1 \text{ TeV}$

$$\Lambda_{UV}^2 H^\dagger H$$

$$y_{ij} H \bar{F}_i F_j$$

$$\frac{1}{\Lambda_{UV}^2} \bar{F}_i F_j \bar{F}_k F_\ell + \dots$$

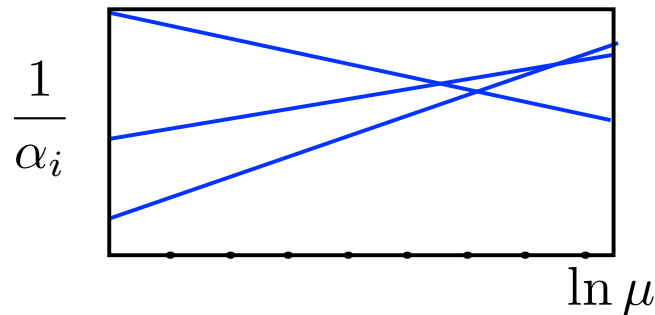


The two possible microphysics scenarios

- I. The SM is the correct description up to $\Lambda_{UV} \gg TeV$
- B, L and Flavor: beautifully in accord with observation
 - Hierarchy remains a mystery, probably hinting that the question was not correctly posed
 - anthropic principle
 - failure of effective field theory ideology (UV/IR connection)
- II. The SM is not the correct description already at $\Lambda_{UV} \sim 1 \text{ TeV}$
- In the correct theory the hierarchy problem does not even arise (naturalness)
 - What about B, L and Flavor? In all models not nearly as nice as in SM

A high scale scenario

- $\mathcal{L}^{d=4}$ experimental success (some 2- 3- σ glitches here and there)
- Θ -QCD and Dark Matter \rightarrow high scale axion $f_a \sim 10^{12}$ GeV
- gauge couplings ready to unify around $10^{15} \lesssim M \lesssim M_{Planck}$



- neutrino masses $\frac{\ell\ell HH}{\Lambda} \rightarrow m_\nu \sim \frac{v^2}{\Lambda}$ $\Lambda \sim 10^{14}$ GeV
- RG-evolution of SM couplings, including λ_h remarkably do not require lower scales

1. Marginality

Λ_{UV} _____



the fixed point theory does not possess scalar operators with dimension strictly less than 4

Λ_{IR} _____

$$\mathcal{L}_{\text{mass}} = c \Lambda_{UV}^{\epsilon} \mathcal{O}_{4-\epsilon}$$

$$\Lambda_{IR}^{\epsilon} = c \Lambda_{UV}^{\epsilon}$$

$$\Lambda_{IR} = c^{1/\epsilon} \Lambda_{UV}$$

algebraically small c and ϵ is enough to produce hierarchy

see Strassler [arXiv:hep-th/0309122](https://arxiv.org/abs/hep-th/0309122)

Ex: Yang-Mills, TechniColor, Randall-Sundrum model

2. Symmetry

Λ_{UV} _____



Λ_{IR} _____

$$\mathcal{L}_{\text{mass}} = \epsilon \Lambda_{UV}^2 \mathcal{O}_2$$



small parameter protected by symmetry

$$\Lambda_{IR} = \sqrt{\epsilon} \Lambda_{UV}$$

- ϵ must be *hierarchically* small
- how does this smallness originate?

Ex: QCD, Supersymmetry

3. Sequestering

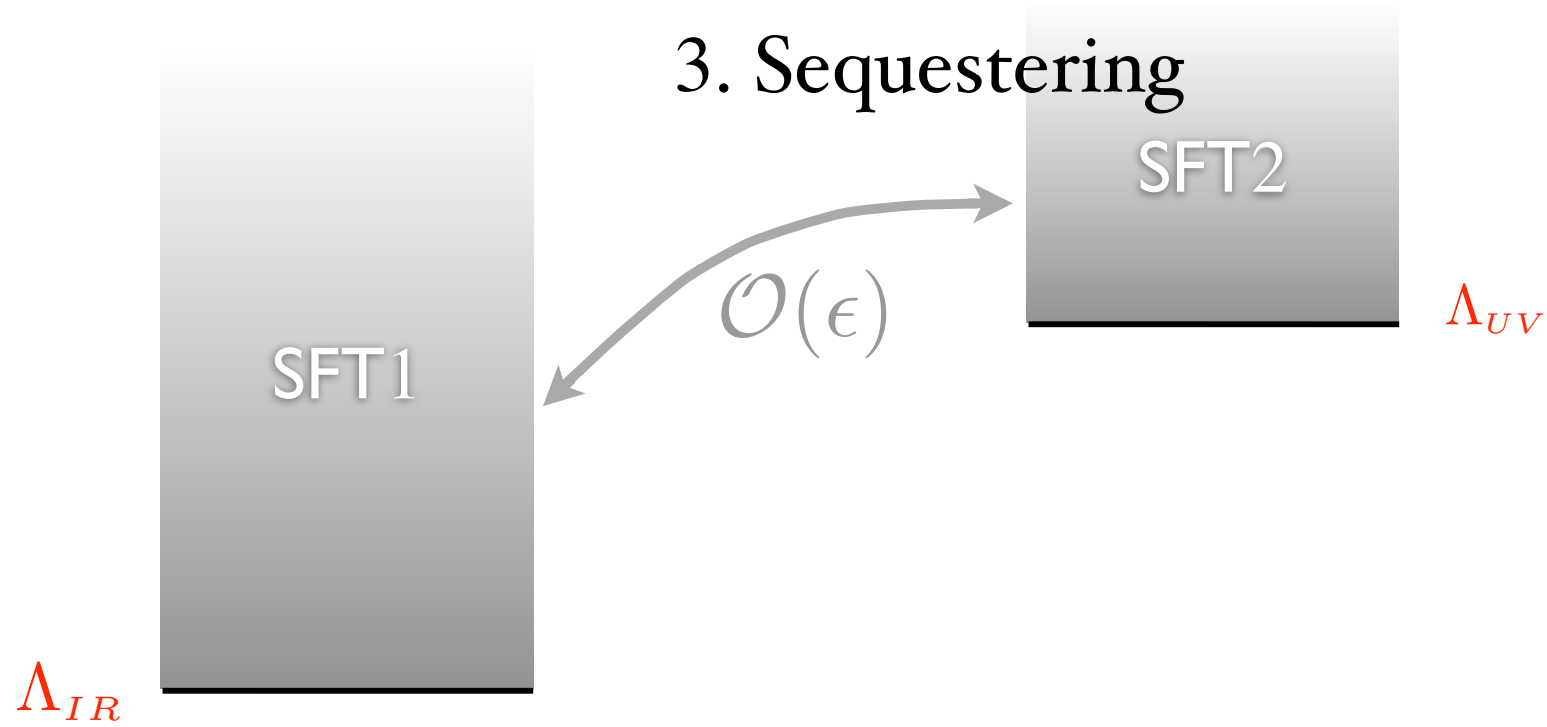
_____ Λ_{UV}

Λ_{IR} _____

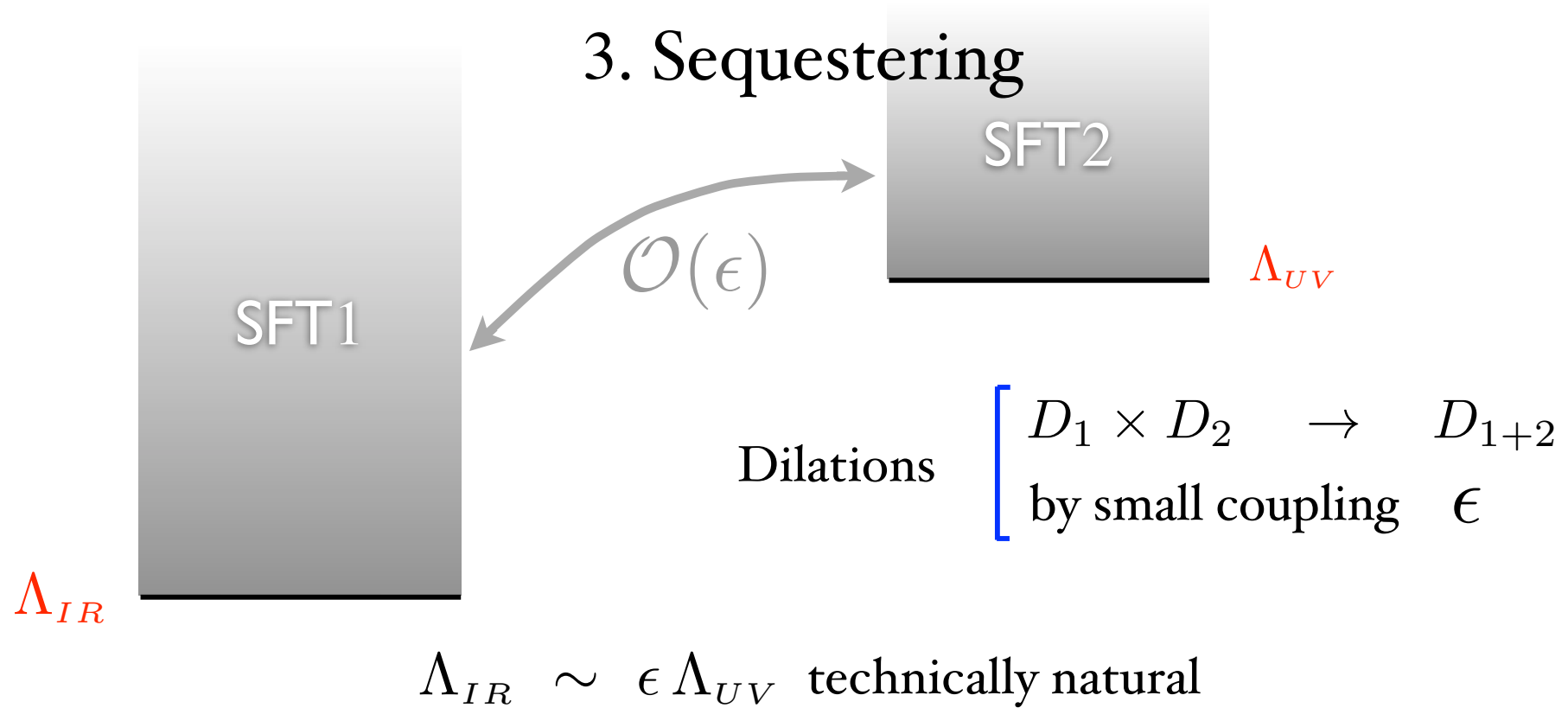
3. Sequestering



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3. Sequestering



Ex. a-gravity
by
a-strumia

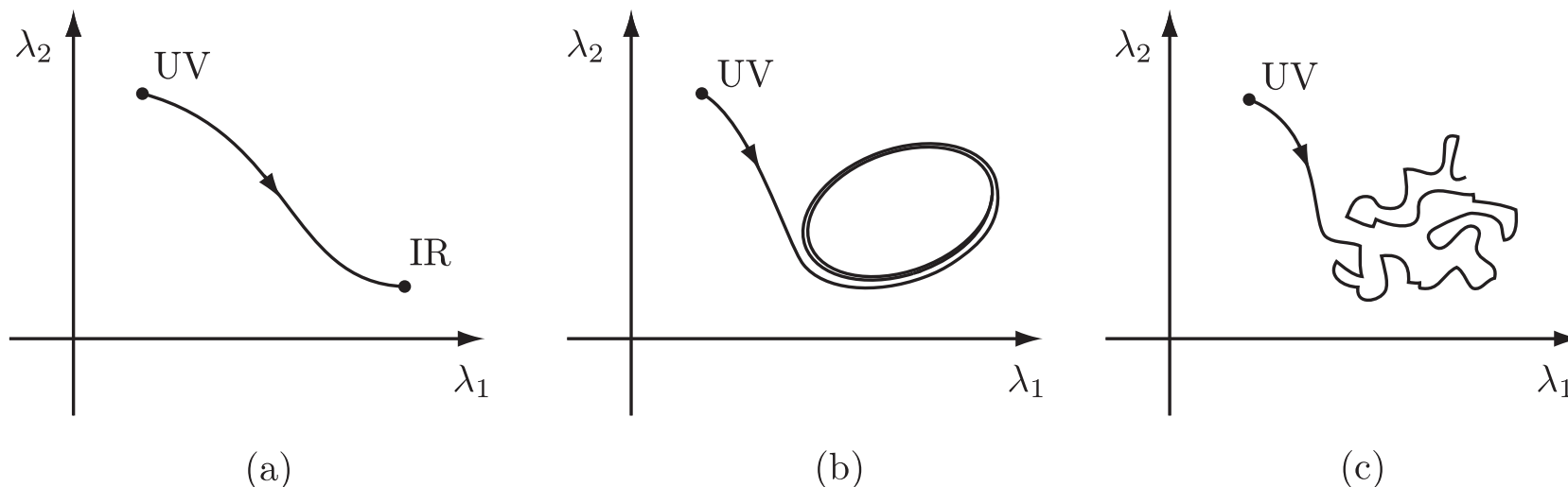
- SFT2 = ‘UV completion of gravity’ $\epsilon = \Lambda_{UV}/M_P$
- not clearly compatible with basic principles
- but imagine we find a gorgeous candidate for SFT1?

Lecture IV

Constraining the structure of RG flows in 4D

- Irreversibility of CFT-to-CFT RG flows: a-theorem
- Ruling out non-CFT asymptotics in perturbation theory

conceivable RG flows



but all known examples asymptote to a CFT fixed point

- free (QED, massless QCD)
- strongly coupled (Supersymmetry)
- trivial (real QCD)

In particular: there are no known SFT asymptotics !

Scale Invariance versus Conformal Invariance

Wess 1960, Polchinski 1988

$$\text{SFT} \quad S^\mu = T^\mu{}_\nu x^\nu + V^\mu \quad V^\mu \neq \partial_\nu L^{\nu\mu}$$

- SFT examples, if any, necessarily entail quantum effects

Callan, Coleman, Jackiw 1970

$V_\mu \equiv$ genuine non-conserved current with scaling dimension exactly equal to 3 even including quantum effects

- Often, there simply doesn't exist a candidate for

Ex.: axial current in massless (S)QCD excluded by parity selection rule

Exploring the structure of QFT by turning on an external metric

- Irreversibility of CFT-to-CFT RG flows: a-theorem
- Ruling out non-CFT asymptotics in perturbation theory

RG flow describes the change of the dynamics under a dilation

\equiv change of the action under a dilation

Whenever we have some explicitly broken symmetry it proves useful to

- formally restore it by promoting couplings to sources transforming non trivially
- gauge it by adding the suitable gauge field

We shall play various related games

A. $\eta_{\mu\nu}, \lambda_i \longrightarrow g_{\mu\nu}(x), \lambda_i(x) + \text{Weyl symmetry}$

B. $\lambda_i = \text{const}$

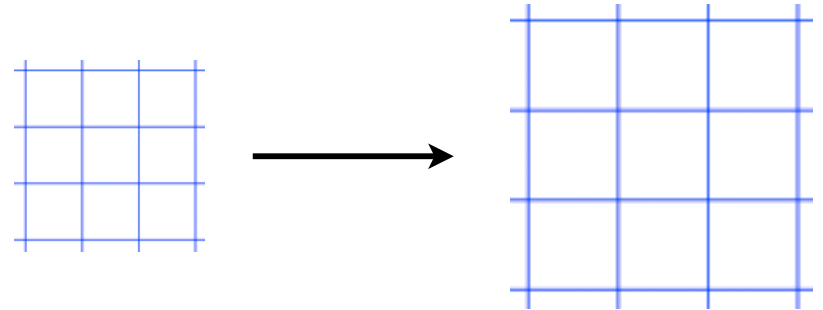
$$\eta_{\mu\nu} \longrightarrow e^{-2\tau} \eta_{\mu\nu}$$

$$e^{-\tau} \equiv \Omega \equiv 1 + \varphi \quad \text{background dilaton field}$$

Geometric picture

dilations

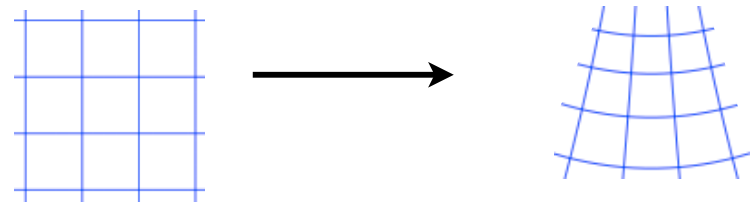
$$x^\mu \rightarrow \tilde{x}^\mu = kx^\mu$$



$$(d\tilde{x})^2 = k^2(dx)^2$$

conformal

$$x^\mu \rightarrow \tilde{x}^\mu = \frac{x^\mu + b^\mu x^2}{1 + b^2 x^2 + 2b \cdot x}$$



$$(d\tilde{x})^2 = \frac{1}{(1 + b^2 x^2 + 2b \cdot x)^2} (dx)^2$$

QFT in a gravitational background

$$\begin{array}{ll} \text{Weyl Symmetry} & \begin{array}{l} g_{\mu\nu}(x) \rightarrow e^{-2\sigma(x)} g_{\mu\nu} \\ \Phi_a(x) \rightarrow e^{-k_a \sigma(x)} \Phi_a(x) \end{array} \end{array}$$

$O(D,2)$ = subgroup of Weyl \times Diffs that leaves $\eta_{\mu\nu}$ invariant

$$S[g, \Phi] \quad \text{Weyl invariant} \quad \longrightarrow \quad S[\eta, \Phi] \quad \text{Conformal invariant}$$

Converse is also true (at classical level)

Ex.: free massless scalar field

$$\mathcal{L}_{flat} = \frac{1}{2}(\partial\varphi)^2$$

$$T_{\mu\nu} = \partial_\mu\varphi\partial_\nu\varphi - \frac{\eta_{\mu\nu}}{2}(\partial\varphi)^2$$

$$T^\mu_\mu = -(\partial\varphi)^2 \neq 0$$

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$$\Theta_{\mu\nu} = T_{\mu\nu} - \frac{1}{6}(\partial_\mu\partial_\nu - \eta_{\mu\nu}\square)\varphi^2$$

$$\Theta^\mu_\mu = 0$$

improvement



Ex.: free massless scalar field

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improvement



$$\mathcal{L}_{curved} = \sqrt{g}\frac{1}{2}\left[(\partial\varphi)^2 + \frac{1}{6}R\varphi^2\right] = \frac{1}{6}\sqrt{\hat{g}}R(\hat{g})$$

$$\hat{g}_{\mu\nu} \equiv \varphi^2 g_{\mu\nu}$$

$$\begin{aligned}\varphi &\rightarrow e^\sigma \varphi \\ g_{\mu\nu} &\rightarrow e^{-2\sigma} g_{\mu\nu}\end{aligned}$$

Weyl symmetry
manifest

Weyl symm:
$$\int \sigma(x) \left(2g^{\mu\nu} \frac{\delta S}{\delta g^{\mu\nu}(x)} + k_a \Phi_a \frac{\delta S}{\delta \Phi_a(x)} \right) = 0$$

$\sigma(x)$ arbitrary  Φ_a on-shell

$$T_{\mu}^{\mu} \equiv g^{\mu\nu} \frac{\delta S}{\delta g^{\mu\nu}(x)} = 0$$

With only global Weyl, $\sigma = \text{constant}$, we would instead deduce

$$\int T_{\mu}^{\mu} = 0 \quad \longrightarrow \quad T_{\mu}^{\mu} = \partial^{\mu} V_{\mu}$$

QFT in gravity background

◆ quantum effective action
$$e^{iW[g_{\mu\nu}]} = \int D[\Phi] e^{iS[g, \Phi]}$$

- need regulation
- diff invariant
- finite by adding suitable local counterterms

In general the introduction of a regulator in curved background breaks explicitly Weyl invariance even when flat space theory is conformally invariant

$$\delta_\sigma \equiv \int d^4x \, 2\sigma(x) g^{\mu\nu} \frac{\delta}{\delta g^{\mu\nu}(x)}$$

In ordinary QFT $\delta_\sigma W =$ non-local

In CFT $\delta_\sigma W = \int \sigma(x) \sqrt{g} \mathcal{A}(x) =$ Weyl Anomaly
(local!)

also written as $\langle T \rangle \equiv \langle T^\mu_\mu \rangle = \mathcal{A}(x)$

Christensen, Duff '74

The structure of the Weyl anomaly in a CFT

$\mathcal{A}(x)$ is a scalar function of the metric

in general

$$\mathcal{A}(x) = aE_4 - bR^2 - cW^2 - d\Box R$$

$$E_4 = R^{\mu\nu\rho\sigma} R_{\mu\nu\rho\sigma} - 4R^{\mu\nu} R_{\mu\nu} + R^2$$

$$W^2 = R^{\mu\nu\rho\sigma} R_{\mu\nu\rho\sigma} - 2R^{\mu\nu} R_{\mu\nu} + \frac{1}{3}R^2$$

$$\int \sigma(x) \sqrt{g} (-d\Box R + e\Lambda^2 R + f\Lambda^4) = \delta_\sigma \int (-1) \sqrt{g} \left(\frac{d}{12} R^2 + \frac{e}{2} \Lambda^2 R + \frac{f}{4} \Lambda^4 \right)$$

the last three terms can be written as variation of local functional



they can be eliminated by a choice of counterterms

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the last three terms can be written as variation of local functional



they can be eliminated by a choice of counterterms

Wess-Zumino consistency condition

$$\delta_\sigma W = \int \sigma(x) \sqrt{g} \mathcal{A}(x)$$

Weyl symmetry is abelian

$$[\delta_{\sigma_2}, \delta_{\sigma_1}] W = \delta_{\sigma_2} \left(\int d^4 x_1 \sigma_1 \sqrt{g} \mathcal{A} \right) - \delta_{\sigma_1} \left(\int d^4 x_2 \sigma_2 \sqrt{g} \mathcal{A} \right) = 0$$

$$\mathcal{A}(x) = aE_4 - bR^2 - cW^2 \longrightarrow aE_4 - cW^2$$

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$$\mathcal{A}(x) = aE_4 - \cancel{bR^2} - cW^2 \longrightarrow aE_4 - cW^2$$

Easy to check using

$$\delta\sqrt{g} = -4\sigma\sqrt{g}$$

$$\delta\Box = 2\sigma\Box - 2\nabla_\mu\sigma\nabla^\mu$$

$$\delta R = 2\sigma R + 6\Box\sigma$$

$$\delta E_4 = 4\sigma E_4 - 8G^{\mu\nu}\nabla_\mu\nabla_\nu\sigma$$

$$\delta W^2 = 4\sigma W^2$$

$$\delta G_{\mu\nu} = 2(\nabla_\mu\nabla_\nu - g_{\mu\nu}\Box)\sigma$$

♦ In general CFT

$$\langle T_{\mu\nu}(x) T_{\rho\sigma}(0) \rangle = \frac{c}{x^8} I_{\mu\nu\rho\sigma}(x)$$

$$\langle T_{\mu\nu}(x) T_{\rho\sigma}(0) T_{\gamma\delta}(y) \rangle = c C_{\mu\nu\rho\sigma\gamma\delta}(x, y) + a A_{\mu\nu\rho\sigma\gamma\delta}(x, y)$$

Stanev '88

Osborn, Petkou '94

♦ In a free field theory one has

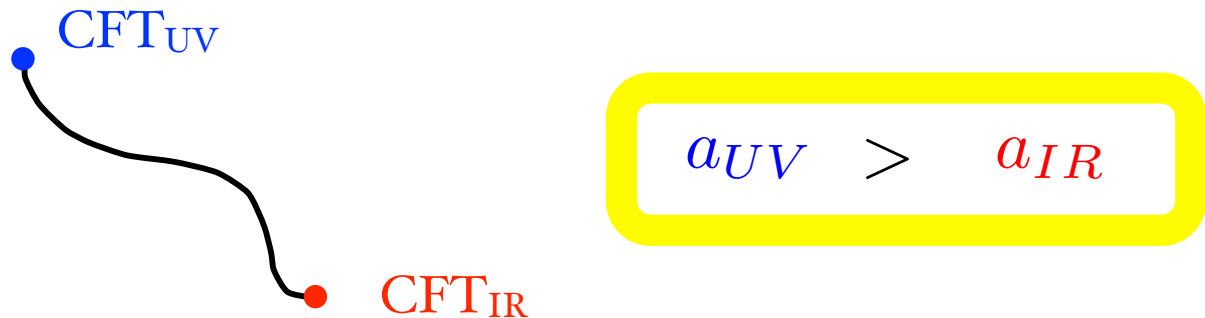
Christensen, Duff '79

$$a = \frac{1}{5760\pi^2} \left(n_s + \frac{11}{2} n_f + 62 n_v \right)$$

$$c = \frac{1}{5760\pi^2} (3n_s + 9n_f + 36n_v)$$

both a and c are a weighted measure of the number of degrees of freedom

Cardy's Conjecture (1988) : a decreases monotonically along the RG flow

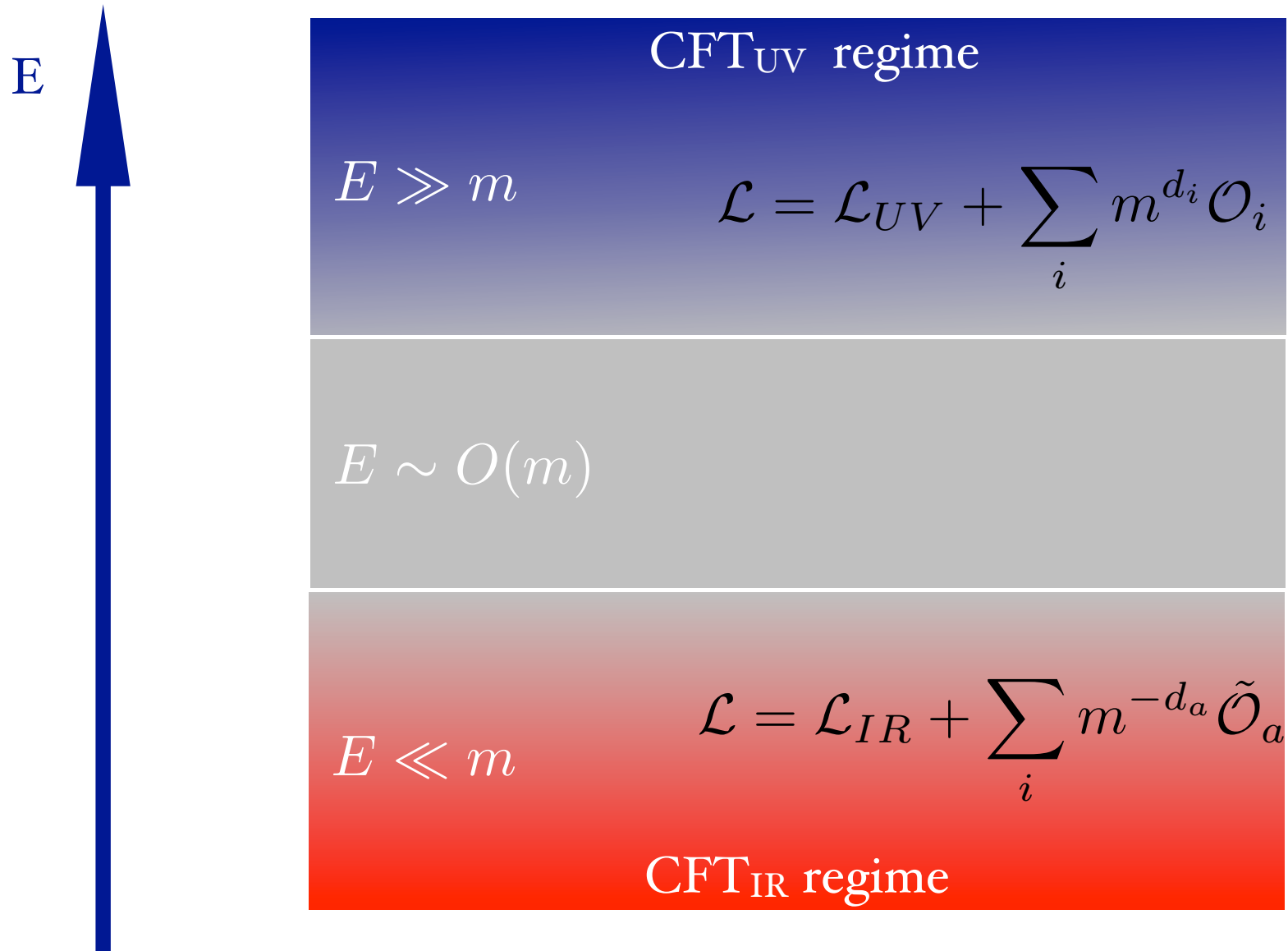


In 2D there was already Zamolodchikov's c -theorem (1986) stating the monotonicity of the unique coefficient in the 2D Weyl anomaly

$$\delta_\sigma W = \int d^2x \sqrt{g} c R(g) \equiv \int d^2x \sqrt{g} c E_2(g)$$

Proof of Cardy's Conjecture: the a-theorem

Komargodski and Schwimmer 2011



Consider now putting this system in an external metric

$$\mathcal{L} = \mathcal{L}_{UV} + \sum_i m^{d_i} \mathcal{O}_i + \Delta\mathcal{L}_{UV}(g)$$

$$E \gg m$$

$$E \sim O(m)$$

$$E \ll m$$

$$\mathcal{L} = \mathcal{L}_{IR} + \sum_a m^{-d_a} \tilde{\mathcal{O}}_a + \Delta\mathcal{L}_{IR}(g)$$

$\Delta\mathcal{L}_{UV} \equiv$ metric (curvature) dependent counterterms needed to define a renormalized quantum action $W[g]$

$\Delta\mathcal{L}_{IR} \equiv$ metric dependent terms associated with positive powers of m

The general structure of $\Delta\mathcal{L}_{UV}$ and $\Delta\mathcal{L}_{IR}$ is the same:

local scalar functions of dimension ≤ 4

In the classification of these terms it is crucial to consider what happens for the case of a conformally flat background metric

$$\hat{g}_{\mu\nu} = \Omega(x)^2 \eta_{\mu\nu}$$

Counterterms

$d > 4$ in sensible theories

I. $\sqrt{\hat{g}} R \mathcal{O} \quad d_{\mathcal{O}} \leq 2 \quad \sqrt{\hat{g}} \nabla_{\mu} R J^{\mu} \quad \sqrt{\hat{g}} R_{\mu\nu} J^{\mu\nu}$

II. $\sqrt{\hat{g}} \quad \sqrt{\hat{g}} R \quad \sqrt{\hat{g}} R^2 \quad \sqrt{\hat{g}} E_4 \quad \sqrt{\hat{g}} W^2$

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irrelevant

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$$\Omega \square \Omega \mathcal{O}$$

II. $\sqrt{\hat{g}} \quad \sqrt{\hat{g}} R \quad \sqrt{\hat{g}} R^2 \quad \sqrt{\hat{g}} E_4 \quad \sqrt{\hat{g}} W^2$



$$\Omega^4$$



$$\Omega \square \Omega$$



$$\Omega^{-2} (\square \Omega)^2$$



$$0$$



$$0$$

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$$\Omega^4$$



$$\Omega \square \Omega$$



$$\Omega^{-2} (\square \Omega)^2$$



$$0$$



$$0$$

On shell dilaton : $\square \Omega = 0$

Counterterms

$d > 4$ in sensible theories

I. $\sqrt{\hat{g}} R \mathcal{O} \quad d_{\mathcal{O}} \leq 2$

\downarrow

$\Omega \square \Omega \mathcal{O}$

~~$\sqrt{\hat{g}} \nabla_{\mu} R J^{\mu}$~~ ~~$\sqrt{\hat{g}} R_{\mu\nu} J^{\mu\nu}$~~

irrelevant

II.

$\sqrt{\hat{g}}$	$\sqrt{\hat{g}} R$	$\sqrt{\hat{g}} R^2$	$\sqrt{\hat{g}} E_4$	$\sqrt{\hat{g}} W^2$
\downarrow	\downarrow	\downarrow	\downarrow	\downarrow
Ω^4	$\Omega \square \Omega$	$\Omega^{-2} (\square \Omega)^2$	0	0

On shell dilaton : $\square \Omega = 0$

Counterterms

$d > 4$ in sensible theories

I. $\sqrt{\hat{g}} R \mathcal{O} \quad d_{\mathcal{O}} \leq 2$

\downarrow

$\Omega \square \Omega \mathcal{O}$

~~$\sqrt{\hat{g}} \nabla_{\mu} R J^{\mu}$~~ ~~$\sqrt{\hat{g}} R_{\mu\nu} J^{\mu\nu}$~~

irrelevant

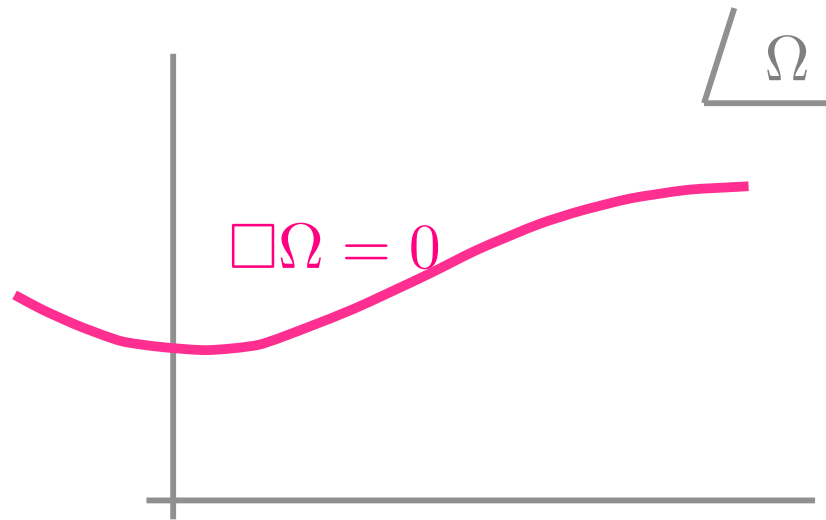
II.

$\sqrt{\hat{g}}$	$\sqrt{\hat{g}} R$	$\sqrt{\hat{g}} R^2$	$\sqrt{\hat{g}} E_4$	$\sqrt{\hat{g}} W^2$
\downarrow	\downarrow	\downarrow	\downarrow	\downarrow
Ω^4	$\Omega \square \Omega$	$\Omega^{-2} (\square \Omega)^2$	0	0

On shell dilaton : $\square \Omega = 0$

$$W|_{\Omega : \square \Omega = 0} = W[\Omega, \lambda_{QFT}, \Lambda_{cc}]$$

schematically



consider from here on $W[\Omega] \equiv W[\Omega] \Big|_{\square \Omega = 0}$

$$\frac{\delta}{\delta \Omega(x_1)} \cdots \frac{\delta}{\delta \Omega(x_n)} W \Big|_{\Omega=1} = \mathcal{M}(x_1, \dots, x_n)$$

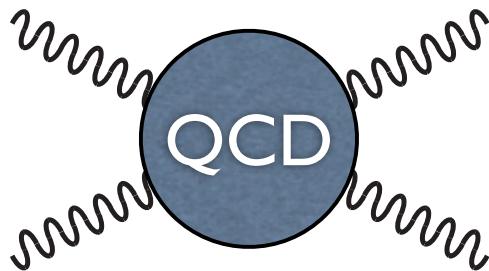
\mathcal{M} can be interpreted as the n-dilaton scattering amplitude

QCD analogy

Effective QCD action in background photon field : $W[A_\mu]$

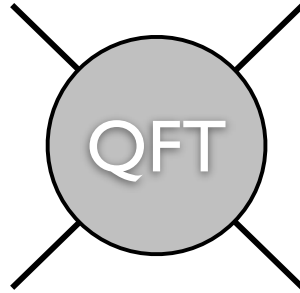
$$\frac{\delta}{\delta A_{\mu_1}(x_1)} \cdots \frac{\delta}{\delta A_{\mu_n}(x_n)} W = \text{QCD mediated n-photon amplitude}$$

Ex. $\frac{\delta}{\delta A_{\mu_1}(x_1)} \cdots \frac{\delta}{\delta A_{\mu_4}(x_4)} W =$



light-by-light scattering

The analogue QFT mediated dilaton-by-dilaton scattering



affords a remarkable insight into the structure of our QFT

Like for all on-shell n-point dilaton amplitudes the only renormalization needed to define this amplitude concerns a constant term associated with the cosmological constant

$$\mathcal{M} \equiv \mathcal{M}(\lambda_{QFT}, \Lambda_{cc})$$

4-point amplitude

$$\hat{g}_{\mu\nu} = \Omega(x)^2 \eta_{\mu\nu}$$

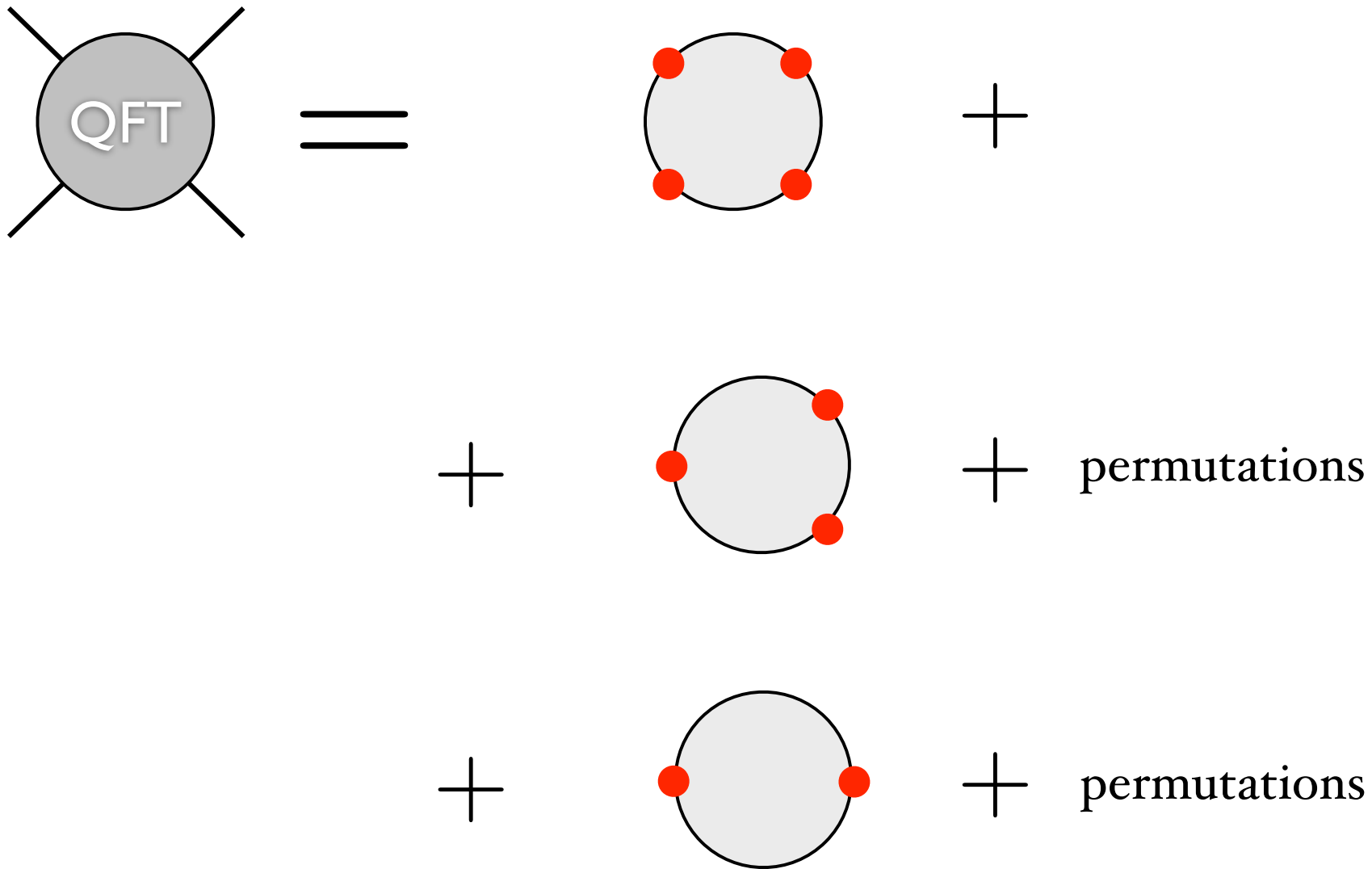
$$\frac{\delta}{\delta\Omega} = \frac{1}{\Omega} \frac{\delta}{\delta \ln \Omega}$$

$$-\frac{\delta}{\delta \ln \Omega} W = T^\mu_\mu \equiv T$$



$$\mathcal{M}(p_1, \dots, p_4) = \frac{\delta^4 W}{\delta\Omega(p_1) \cdots \delta\Omega(p_4)} = \langle T(p_1)T(p_2)T(p_3)T(p_4) \rangle + \langle T(p_1 + p_2)T(p_3)T(p_4) \rangle + \text{permutations}$$
$$+ \langle T(p_1 + p_2)T(p_3 + p_4) \rangle + \text{permutations}$$
$$+ \langle T(p_1 + p_2 + p_3)T(p_4) \rangle + \text{permutations}$$
$$p_1^2 = p_2^2 = p_3^2 = p_4^2 = 0$$

$$\mathcal{M}(p_1, \dots, p_4) \equiv (2\pi)^4 \delta^4(p_1 + p_2 + p_3 + p_4) A(s, t)$$



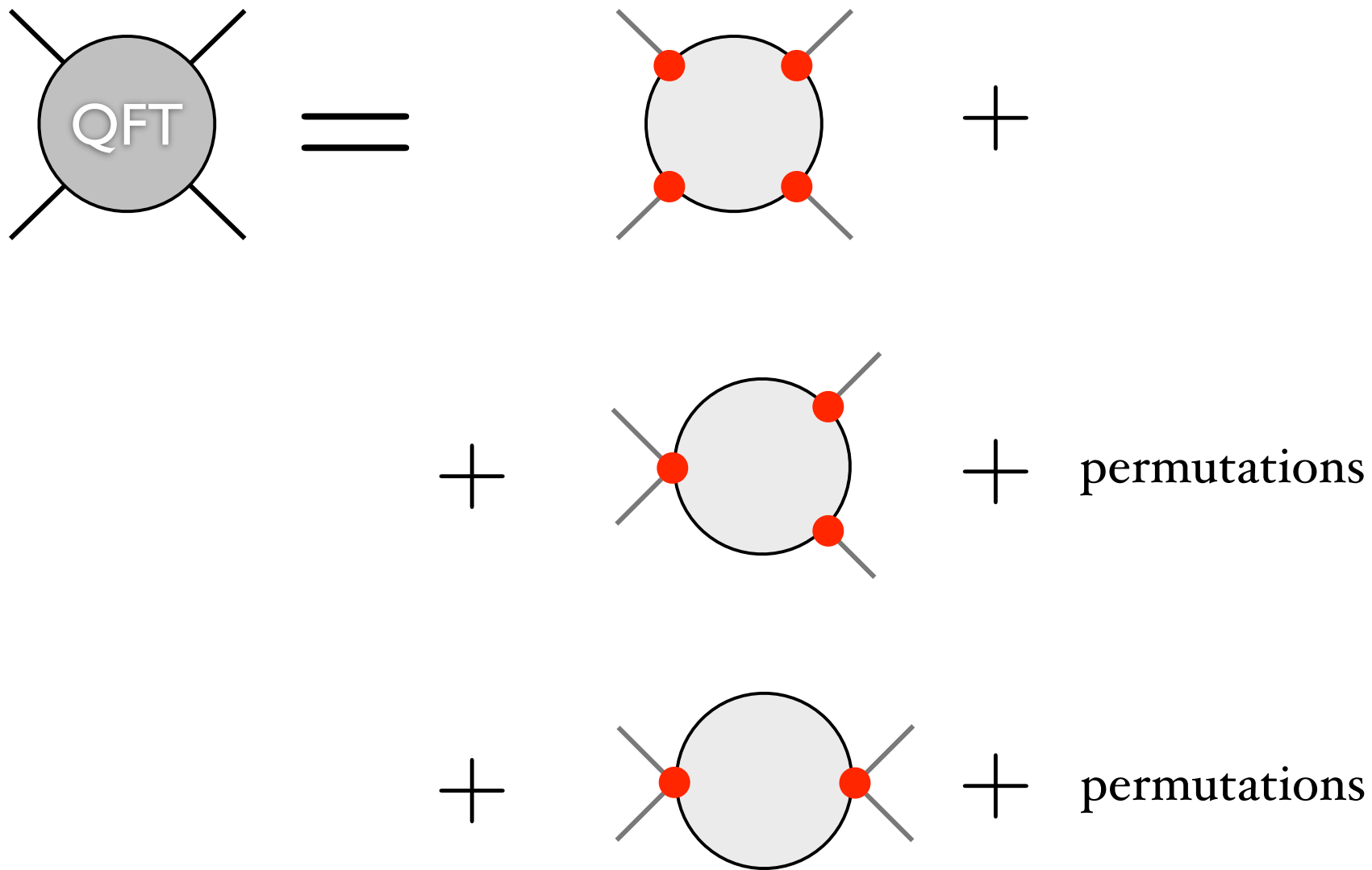
Diagrammatic equation showing the expansion of a QFT vertex:

$$\text{QFT} = \text{Diagram 1} + \text{Diagram 2} + \text{Diagram 3} + \text{permutations}$$

The diagrams are:

- Diagram 1:** A light gray circle with four external lines (two incoming, two outgoing) and the label "QFT" inside.
- Diagram 2:** A light gray circle with four red dots on its boundary, representing four external legs.
- Diagram 3:** A light gray circle with two red dots on its boundary, representing two external legs.

The equation indicates that the QFT vertex is equal to the sum of these diagrams and their permutations.



Diagrammatic equation showing the expansion of a Quantum Field Theory (QFT) vertex:

$$\text{QFT} = \text{Diagram 1} + \text{Diagram 2} + \text{Diagram 3} + \text{permutations}$$

The diagrams are:

- Diagram 1:** A light gray circle with four black external lines (two on the left, two on the right).
- Diagram 2:** A light gray circle with four red dots on its boundary, each connected to a gray external line.
- Diagram 3:** A light gray circle with two red dots on its boundary, each connected to a gray external line.

The text "permutations" appears twice, indicating that the diagrams with red dots should be summed over all possible permutations of their external lines.

In a CFT $W[\Omega]$ is local and fully determined by the Weyl anomaly up to cosmological constant term

$$\text{on shell } \Omega \longrightarrow \Delta W = \frac{\Lambda_{cc}}{4!} \Omega^4$$

neglecting momentarily CC term

$$W_{CFT}[\Omega^2 g_{\mu\nu}] = W_{CFT}[g_{\mu\nu}] - S_{WZ}[g_{\mu\nu}, \Omega; a, c]$$

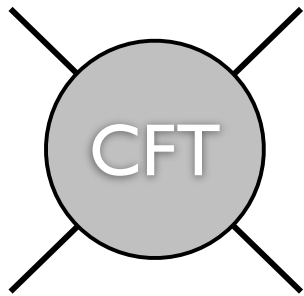
Cappelli, Coste 1988
Tomboulis 1990
Schwimmer, Theisen '11

$$S_{WZ}[g_{\mu\nu}, \Omega; a, c] = \int d^4x \sqrt{-g} \left\{ a \left[\ln \Omega E_4(g) \right. \right. \\ \left. \left. - 4 \left(R^{\mu\nu}(g) - \frac{1}{2} g^{\mu\nu} R(g) \right) \Omega^{-2} \partial_\mu \Omega \partial_\nu \Omega \right. \right. \\ \left. \left. - 4 \Omega^{-3} (\partial \Omega)^2 \square \Omega + 2 \Omega^{-4} (\partial \Omega)^4 \right] \right. \\ \left. - c \ln \Omega W^2(g) \right\}.$$

$$g_{\mu\nu} = \eta_{\mu\nu}$$

$$\square\Omega = 0$$

$$W_{CFT}[\Omega] \longrightarrow -2a \Omega^{-4} (\partial\Omega)^2 (\partial\Omega)^2 + \frac{\Lambda}{4!} \Omega^4$$



$$A(s, t) = -4a \left[s^2 + t^2 + (s + t)^2 \right] + \Lambda$$

this basic result leads to a simple proof of the a-theorem

Komargodski, Schwimmer '11

CFT_{UV}



CFT_{IR}

CFT_{UV}

$$\mathcal{L} = \mathcal{L}_{UV} + \sum_i c_i m^{4-d_i} \mathcal{O}_i$$

$$d_i < 4$$

$$\mathcal{L} = \mathcal{L}_{IR} + \sum_a b_a \frac{1}{m^{d_a-4}} \tilde{\mathcal{O}}_a$$

$$d_a > 4$$

CFT_{IR}



CFT_{UV}

$$\mathcal{L} = \mathcal{L}_{UV} + \sum_i c_i m^{4-d_i} \mathcal{O}_i$$

$$d_i < 4$$

$$A(s,0) = -8a_{UV} s^2 \left[1 + \left(\frac{m}{\sqrt{s}} \right)^\# \right] + \Lambda_{cc}^{UV}$$

$$\mathcal{L} = \mathcal{L}_{IR} + \sum_a b_a \frac{1}{m^{d_a-4}} \tilde{\mathcal{O}}_a$$

$$d_a > 4$$

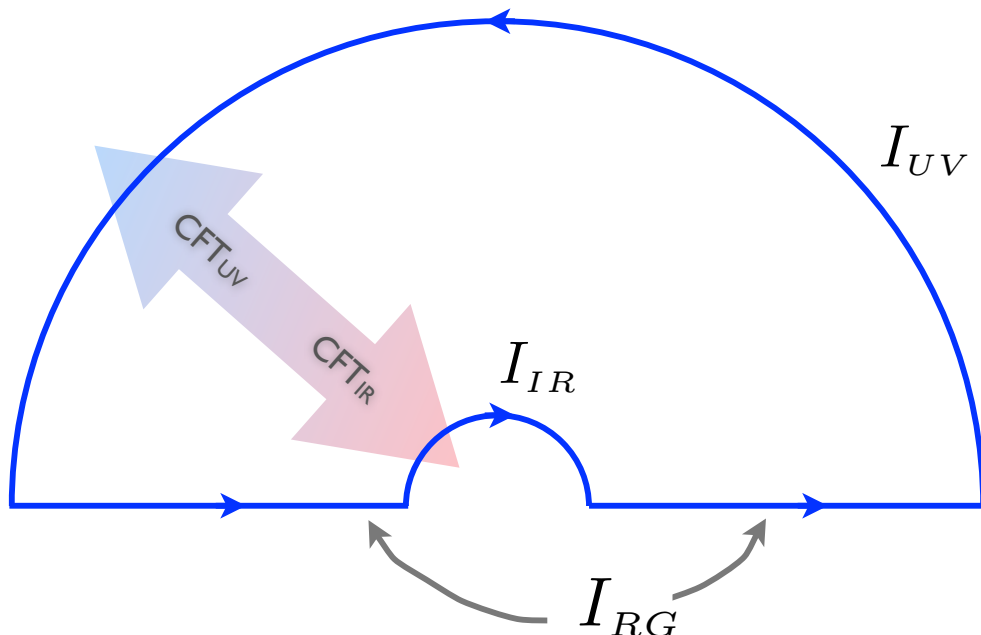
$$A(s,0) = -8a_{IR} s^2 \left[1 + \left(\frac{\sqrt{s}}{m} \right)^\# \right] + \Lambda_{cc}^{IR}$$

CFT_{IR}

Can relate UV to IR via dispersive argument

Using

- $A(s) \equiv A(s, 0)$ is analytic with cut on real s axis
- crossing $A(s) = A(-s) \quad (t = 0, s \leftrightarrow u \equiv s \leftrightarrow -s)$
- 'reality' $A^*(s) = A(s^*)$
- optical theorem $-i[A(s + i\epsilon) - A(-s + i\epsilon)]$
 $= \text{Im}A(s + i\epsilon) = s \sigma(\Omega\Omega \rightarrow \text{QFT})$



$$\frac{1}{2\pi i} \oint_C \frac{A(s, 0)}{s^3} ds = 0$$

$$I_{IR} + I_{UV} + I_{RG} = 0$$


$$I_{IR} = 4a_{IR}$$

CC term drops !

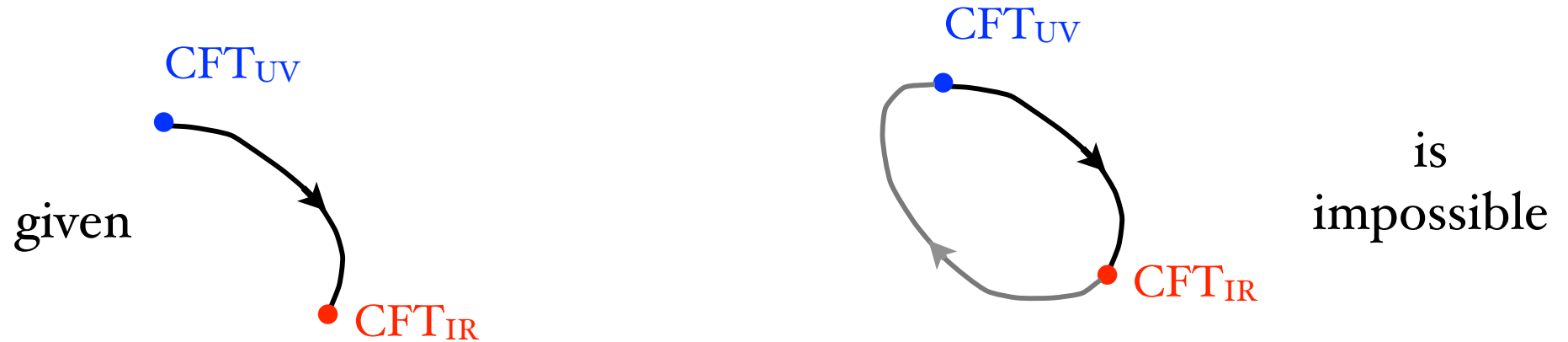
$$I_{UV} = -4a_{UV}$$

$$I_{RG} = \frac{1}{\pi} \int \frac{\text{Im } A}{s^3} = \frac{1}{\pi} \int \frac{\sigma(\Omega\Omega \rightarrow \text{QFT})}{s^2} > 0$$

$$a_{IR} = a_{UV} - \frac{1}{4} I_{RG} < a_{UV}$$

- $I_{\text{RG}} = a_{\text{UV}} - a_{\text{IR}}$ is nicely finite in CFT-to-CFT flows
- can check directly that convergence of I_{RG} in both UV and IR corresponds to convergence of RG flow to a CFT
- It had to be so, since $d^2 A/ds^2$ is finite; just a function of the renormalized QFT couplings
- Finiteness of I_{RG}  constraint on QFT asymptotics

a-theorem implies the deep notion of irreversibility of RG flow



however I do not know of insightful applications in particle physics

Does a-theorem constrains phases of N_C, N_F QCD ?

$$a \propto N_S + 11N_F + 62N_V$$

UV: quarks and gluons

$$a_{UV} \propto 11N_F + 62(N_C^2 - 1)$$

IR: assume chiral symmetry breaking vacuum and mass gap

$$N_F^2 - 1 \quad \text{NG-bosons}$$

$$a_{IR} \propto N_F^2 - 1$$

easy to check that

$$a_{IR} < a_{UV}$$

for any asymptotically free choice of N_F and N_C

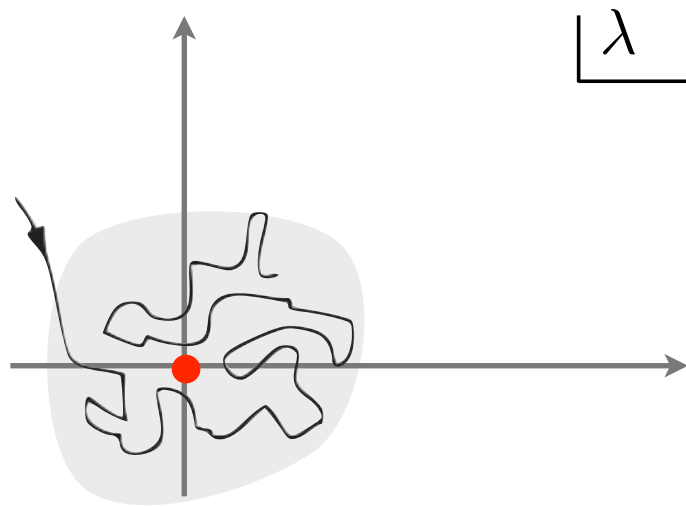
Lecture III

Constraining

- RG asymptotics in weakly coupled deformations of CFTs
- SFT asymptotics

Goal: study RG flows (perturbatively) near CFT fixed point

Ex: free field theory with small marginal couplings



$$\beta_I = b_{IJK} \lambda_J \lambda_K + \dots$$

$$|\lambda_I| \ll 1$$

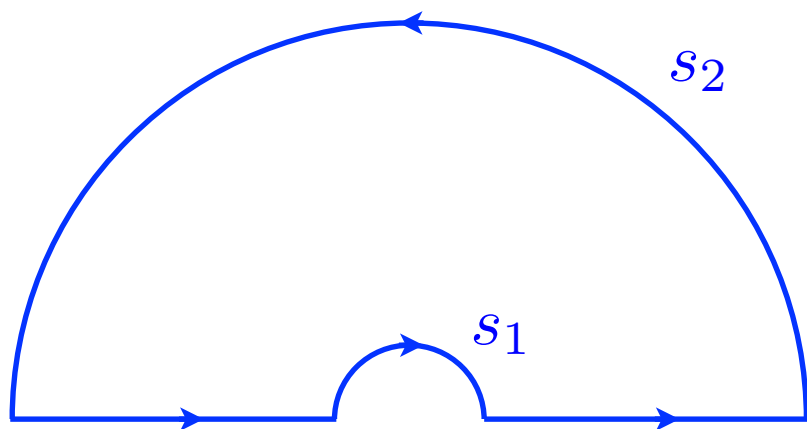
basic idea: $A(s)$ is finite, modulo CC term

more precisely: all UV divergences encountered in its computation
must get reabsorbed in the running QFT couplings

$$A(s) = \alpha(s)s^2$$

$$\alpha(s) \equiv \alpha(\lambda(s))$$

$$\alpha(s) = -8a \quad \text{in CFT limit}$$



$$\bar{\alpha}(s) \equiv \frac{1}{\pi} \int_0^\pi d\theta \alpha(se^{i\theta})$$

$$\bar{\alpha}(s_2) - \bar{\alpha}(s_1) = \frac{2}{\pi} \int_{s_1}^{s_2} \frac{ds}{s} \text{Im } \alpha(s) \quad \longrightarrow \quad \geq 0 \quad \text{by unitarity}$$

absence of divergences



$$\lim_{s \rightarrow \pm\infty} \text{Im } \alpha(s) = 0$$

quickly drawing conclusions

$$\begin{array}{c} \diagup \quad \diagdown \\ \circ \\ \diagdown \quad \diagup \end{array} = \begin{array}{c} \diagup \quad \diagdown \\ \circ \\ \diagdown \quad \diagup \end{array} + \begin{array}{c} \diagup \quad \diagdown \\ \circ \\ \diagdown \quad \diagup \end{array} + \begin{array}{c} \diagup \quad \diagdown \\ \circ \\ \diagdown \quad \diagup \end{array} + \text{crossed}$$

Diagrammatic equation showing a shaded circle with four external lines (two incoming, two outgoing) equal to the sum of three diagrams (each with four red dots on the circle) plus a term labeled "crossed".

A diagrammatic equation showing a bubble with four external lines (two incoming, two outgoing) equal to the sum of three diagrams with a vertical blue dashed line through the bubble, plus a term labeled "crossed". The first diagram has red dots at the four vertices. The second diagram has red dots at the two vertices on the left. The third diagram has red dots at the two vertices on the right. The "crossed" term is not explicitly drawn.

$$\text{Im } \alpha(s) = \left| \text{Diagram} \right|^2 = \frac{1}{s^2} \sum_{\Psi} \left| \langle \Psi | T(p_1)T(p_2) + T(p_1 + p_2) | 0 \rangle \right|^2$$

The diagram in the absolute value is a semi-circle with two external lines. A large gray arrow points from the third diagram in the first equation to the term $T(p_1 + p_2)$ in the second equation.

$$\text{Diagram} = \text{Diagram}_1 + \text{Diagram}_2 + \text{Diagram}_3 + \text{crossed}$$

The diagram shows a full circle with four external lines. It is equal to the sum of three diagrams where a vertical blue dashed line splits the circle, and a fourth 'crossed' term. The first two diagrams have red dots at the intersections of the lines with the dashed line. The third diagram has red dots at the intersections of the lines with the circle boundary.

$$\text{Im } \alpha(s) = \left| \text{Diagram} \right|^2 = \frac{1}{s^2} \sum_{\Psi} \left| \langle \Psi | T(p_1)T(p_2) + T(p_1 + p_2) | 0 \rangle \right|^2$$

A grey arrow points from the third diagram in the first equation to the term $T(p_1 + p_2)$ in the second equation.

$$= \sum_I \beta_I \mathcal{O}_I$$

This equation is enclosed in a yellow speech bubble pointing towards the $T(p_1 + p_2)$ term in the previous equation.

$$\text{Diagram} = \text{Diagram}_1 + \text{Diagram}_2 + \text{Diagram}_3 + \text{crossed}$$

$$\text{Im } \alpha(s) = \left| \text{Diagram} \right|^2 = \frac{1}{s^2} \sum_{\Psi} \left| \langle \Psi | T(p_1) T(p_2) + T(p_1 + p_2) | 0 \rangle \right|^2$$

subleading if
 $\lambda_I, \beta_J \ll 1$

$$= \sum_I \beta_I \mathcal{O}_I$$

qualification needed !

$$\text{Diagram} = \text{Diagram}_1 + \text{Diagram}_2 + \text{Diagram}_3 + \text{crossed}$$

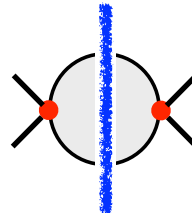
$$\text{Im } \alpha(s) = \left| \text{Diagram} \right|^2 = \frac{1}{s^2} \sum_{\Psi} \left| \langle \Psi | T(p_1) T(p_2) + T(p_1 + p_2) | 0 \rangle \right|^2$$

subleading if
 $\lambda_I, \beta_J \ll 1$

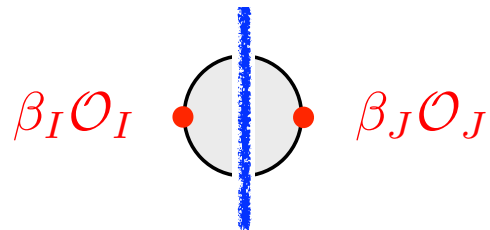
$$= \sum_I \beta_I \mathcal{O}_I$$

qualification needed !

$\text{Im } \alpha$ is dominated by



like in 2D proof !!




$$\text{Im } \alpha = \sum_{IJ} \beta_I \beta_J \left[\underbrace{\frac{\text{Im } \langle \mathcal{O}_I \mathcal{O}_J \rangle}{s^2}}_{C_{IJ}} + O(\lambda) \right]$$

C_{IJ} positive definite by unitarity

$$\int \frac{ds}{s} \text{Im } \alpha \quad \text{finite} \quad \longleftrightarrow \quad \beta_I \rightarrow 0 \quad \text{asymptotically}$$

The theory necessarily asymptotes a CFT!

Non perturbative argument contra 4D SFTs

$$\text{Im } a(s) = \left| \text{Diagram} \right|^2 = C = \text{const}$$


The diagram is a Feynman diagram representing a bubble. It consists of a shaded semi-circular loop (the bubble) with two external lines extending from its left side. The entire diagram is enclosed within a large vertical pair of square brackets, which are part of the equation.

absence of
divergences

$$C = \frac{1}{s^2} \sum_{\Psi} \left| \langle \Psi | T(p_1) T(p_2) + T(p_1 + p_2) | 0 \rangle \right|^2 = 0$$

by unitarity

$$T\{T(p_1)T(p_2)\} + T(p_1 + p_2) = 0$$

- p_1 et p_2 are not arbitrary: $p_1^2 = p_2^2 = 0$

cannot yet directly infer $T\{T(x_1)T(x_2)\} + \delta^4(x_1 - x_2)T(x_1) = 0$

and conclude T is trivial

- yet the matrix elements should be very peculiar

$$\langle \Psi | T(p_1)T(p_2) + T(p_1 + p_2) | 0 \rangle = 0$$

$$\ell = 0, 1, 2, \dots \qquad \ell = 0$$

$$\langle \Psi, \ell \geq 1 | T(p_1)T(p_2) | 0 \rangle = 0$$

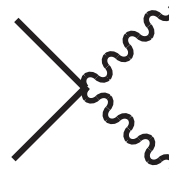
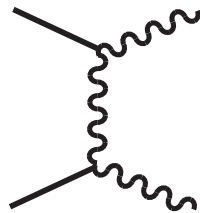
The importance of Unitarity

- Non-unitary SFT: massless vector without gauge invariance

$$S = \int d^4x \sqrt{-\hat{g}} \left(\frac{1}{4} F_{\mu\nu} F^{\mu\nu} + \frac{h}{2} (\nabla_\mu A^\mu)^2 \right)$$

Coleman, Jackiw 1971
Riva, Cardy 2005

virial current $V^\mu = h A_\nu F^{\mu\nu}$



partial cross section $\neq 0$

$$\langle \Psi | T(p_1) T(p_2) + T(p_1 + p_2) | 0 \rangle \neq 0$$

total cross section $= 0$

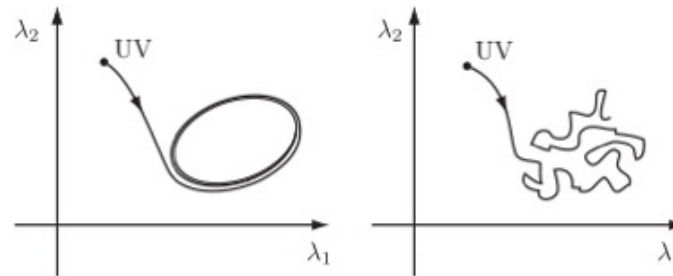
$$\sum_{\Psi} |\langle \Psi | T(p_1) T(p_2) + T(p_1 + p_2) | 0 \rangle|^2 = 0$$

Summary

- Finiteness of RG flow of dilaton scattering amplitude
- Unitarity

Powerful
constraint
on RG-flow

- ◆ Perturbative theories
- ◆ *Small* deformations of strongly coupled CFTs

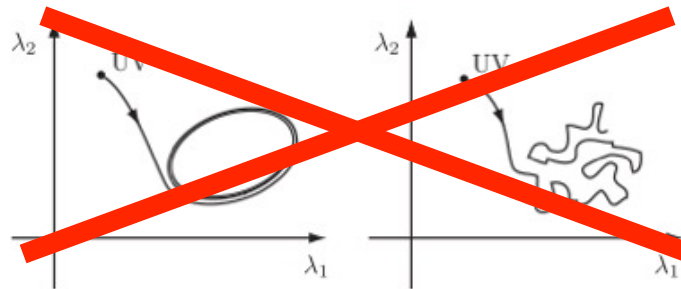


Summary

- Finiteness of RG flow of dilaton scattering amplitude
- Unitarity

Powerful
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- ◆ Perturbative theories
- ◆ *Small* deformations of strongly coupled CFTs



the only possible asymptotics are CFTs