

# MARCO PELOSO - LECTURE 4

## QFT : FREE SCALAR FIELD QUANTIZATION

MINKOWSKI SPACETIME:  $g_{\mu\nu} = \eta_{\mu\nu} = \text{DIAG}(1, -1, -1, -1)$

LAGRANGIAN:  $\mathcal{L} = \frac{1}{2} \partial_\mu \phi \partial^\mu \phi - \frac{1}{2} m^2 \phi^2$

EULER  
LAGRANGE EQS:  $\partial_\mu \partial^\mu \phi + m^2 \phi = 0$

CONJUGATE MOMENTUM:  $\Pi = \frac{\partial \mathcal{L}}{\partial \dot{\phi}} = \dot{\phi}$

CANONICAL QUANTIZATION:  $[\phi(t, \vec{x}), \Pi(t, \vec{y})] = i \delta^{(3)}(\vec{x} - \vec{y})$

FOURIER SPACE:  $\phi = \int \frac{d^3 k}{(2\pi)^{3/2}} e^{-i \vec{k} \cdot \vec{x}} \left[ \phi_{\vec{k}}(t) + \phi_{-\vec{k}}^\dagger(t) \right]$

SAME DECOMPOSITION  
FOR  $\Pi$

WITH THIS CHOICE,  
 $\phi$  IS REAL, NAMELY  $\phi^\dagger = \phi$

IN THIS WAY WE HAVE ONLY TIME DERIVATIVES, SINCE

$\partial_i \rightarrow i k_i$ , AND THE ABOVE EQS. BECOME

$$\left\{ \begin{array}{l} \dot{\phi}_{\vec{k}} = \Pi_{\vec{k}} \\ \dot{\Pi}_{\vec{k}} + (k^2 + m^2) \phi_{\vec{k}} = 0 \end{array} \right.$$

SAME EQS. AS SIMPLE  
HARMONIC OSCILLATOR,  
WITH FREQUENCY

$$\omega^2 = k^2 + m^2$$

SO WE QUANTIZE IT IN TERMS OF LOWERING ( $\hat{Q}$ )

## AND RISING ( $\hat{a}^\dagger$ ) OPERATORS

$$\phi_{\vec{k}}(t) + \phi_{-\vec{k}}^\dagger(t) = \frac{1}{\sqrt{2\omega}} \left[ e^{-i\omega t} \hat{a}_{\vec{k}} + e^{i\omega t} \hat{a}_{-\vec{k}}^\dagger \right]$$

WITH  $[\hat{a}_{\vec{k}}, \hat{a}_{\vec{p}}^\dagger] = \delta^{(3)}(\vec{k} - \vec{p})$

USING THESE RELATIONS WE CAN INDEED SEE THAT

$$[\phi(t, \vec{x}), \frac{d\phi(t, \vec{y})}{dt}] = i \delta^{(3)}(\vec{x} - \vec{y})$$

## HAMILTONIAN

$$H = \int d^3x [\pi \dot{\phi} - \mathcal{L}] = \frac{1}{2} \int d^3x [\pi^2 + (\partial_i \phi)^2 + m^2 \phi^2]$$

$$= \dots = \frac{1}{2} \int d^3k \omega_k (\hat{a}_k \hat{a}_k^\dagger + \hat{a}_k^\dagger \hat{a}_k)$$

**NORMAL ORDERING** :  $H = \int d^3k \omega_k \hat{a}_k^\dagger a_k$

(PLACE DAGGER BEFORE)

COMPUTES THE ENERGY IN THE SYSTEM

SUMS OVER ALL POSSIBLE STATES

ENERGY OF THAT STATE

$\hat{N}_k \equiv \hat{a}_k^\dagger \hat{a}_k$  COUNTS HOW MANY PARTICLES ARE PRESENT IN THE SYSTEM WITH THAT ENERGY.

EX: HOW MANY PARTICLES IN THE VACUUM?

$$\langle 0 | \hat{a}_k^\dagger \hat{a}_k | 0 \rangle = 0 \quad (\text{SINCE } \hat{a}_k | 0 \rangle = 0)$$

# EXPANDING UNIVERSE + COUPLING WITH INFLATON

$$S_X = \frac{1}{2} \int d^4x \sqrt{-g} \left[ g^{\mu\nu} \partial_\mu X \partial_\nu X - g^2 \varphi^2 X^2 \right]$$

- WE WORK IN THE SO CALLED CONFORMAL TIME

$$g_{\mu\nu} = a^2(t) \eta_{\mu\nu}$$

$$\Rightarrow S_X = \frac{1}{2} \int d^4x \left[ a^2 \left( \partial_0 X \partial_0 X - \partial_i X \partial_i X \right) - a^4 g^2 \varphi^2 X^2 \right]$$

RESCALE  $X \rightarrow \frac{\tilde{X}}{a}$  AND  $\varphi \rightarrow \frac{\tilde{\varphi}}{a}$

$$S_X = \frac{1}{2} \int d^4x \left[ a^2 \frac{1}{a} \left( \dot{\tilde{X}} - \frac{\dot{a}}{a} \tilde{X} \right) \frac{1}{a} \left( \dot{\tilde{X}} - \frac{\dot{a}}{a} \tilde{X} \right) - \partial_i \tilde{X} \partial_i \tilde{X} - g^2 \tilde{\varphi}^2 \tilde{X}^2 \right]$$

$$= \frac{1}{2} \int d^4x \left[ \dot{\tilde{X}}^2 - \frac{2\dot{a}}{a} \tilde{X} \dot{\tilde{X}} + \frac{\dot{a}^2}{a^2} \tilde{X}^2 - \partial_i \tilde{X} \partial_i \tilde{X} - g^2 \tilde{\varphi}^2 \tilde{X}^2 \right]$$

$$- \frac{\dot{a}}{a} \frac{d}{dt} \tilde{X}^2 \equiv \frac{d}{dt} \left[ \frac{\dot{a}}{a} \right] \tilde{X}^2 = \left( \frac{\ddot{a}}{a} - \frac{\dot{a}^2}{a^2} \right) \tilde{X}^2$$

BY PARTS

$$\equiv \frac{1}{2} \int d^4x \left[ \dot{\tilde{X}}^2 + \frac{\ddot{a}}{a} \tilde{X}^2 - \partial_i \tilde{X} \partial_i \tilde{X} - g^2 \tilde{\varphi}^2 \tilde{X}^2 \right]$$

- AT THE START THE INFLATON IS A HOMOGENEOUS FIELD, SO WE TAKE  $\varphi(t)$  IN OUR COMPUTATIONS. IT IS A CLASSICAL EXTERNAL FIELD, SUCH AS  $\varphi(t)$ , AND ITS TIME EVOLUTION LEADS TO CREATION OF QUANTA OF  $X$ , AS WE WILL NOW SEE.

⇒ IN FOURIER SPACE WE HAVE THE EQUATION

$$\ddot{\tilde{X}}_k + \left[ k^2 - \frac{\ddot{a}}{a} + g^2 \tilde{\varphi}^2(t) \right] \tilde{X}_k = 0$$

THE PROBLEM HAS BECOME THAT OF A SIMPLE HARMONIC OSCILLATOR WITH TIME DEPENDENT FREQUENCY

$$\omega(t) = \sqrt{k^2 - \frac{\ddot{a}}{a} + g^2 \tilde{\varphi}^2(t)} \equiv \sqrt{k^2 + m^2(t)}$$

EFFECTIVE MASS  $m^2 \equiv g^2 \tilde{\varphi}^2 - \frac{\ddot{a}}{a}$

PRODUCTION FROM OSCILLATING INFLATON

PRODUCTION FROM EXPANDING GEOMETRY  
"GRAVITATIONAL PRODUCTION"

ANALOGY: PENDULUM WITH LENGTH THAT CHANGES IN TIME, AS THE PENDULUM IS OSCILLATING. THE CHANGE OF THE LENGTH CREATES EXCITATIONS OF THE PENDULUM  $\equiv$  PRODUCTION OF QUANTA

IN FOURIER SPACE  $\left\{ \begin{array}{l} \ddot{\tilde{X}}_h = \dot{\Pi}_h \\ \dot{\Pi}_h + (\hbar^2 + m^2) \tilde{X}_h = 0 \end{array} \right.$  AS BEFORE

CONVENIENT TO CHANGE VARIABLES, INTRODUCING THE SO CALLED **BOGOLIUBOV COEFFICIENTS**

$$\tilde{X}_h \equiv \frac{\alpha_h(t)}{\sqrt{2\omega_h}} + \frac{\beta_h(t)}{\sqrt{2\omega_h}}, \quad \dot{\Pi}_h \equiv \frac{-i\omega \alpha_h(t)}{\sqrt{2\omega_h}} + \frac{i\omega \beta_h(t)}{\sqrt{2\omega_h}}$$

IN TERMS OF THE NEW VARIABLES, THE ABOVE EQUATIONS BECOME:

$$\left\{ \begin{array}{l} \dot{\alpha}_h = -i\omega \alpha_h + \frac{\dot{\omega}}{2\omega} \beta_h \\ \dot{\beta}_h = i\omega \beta_h + \frac{\dot{\omega}}{2\omega} \alpha_h \end{array} \right.$$

- IN THE STANDARD CASE,  $\dot{\omega} = 0 \Rightarrow \left\{ \begin{array}{l} \alpha_h = e^{-i\omega t} \\ \beta_h = 0 \end{array} \right.$

THIS IS THE STANDARD QFT QUANTIZATION

- FOR  $\dot{\omega} \neq 0 \Rightarrow \beta_h \neq 0$ . AS WE SHALL NOW SEE, THIS IS ASSOCIATED TO THE PRESENCE OF PARTICLES. TO SEE THIS, WE COMPUTE THE **HAMILTONIAN**. INSERTING ALL THE RELATIONS ABOVE, THE HAMILTONIAN READS

$$H = \dots = \frac{1}{2} \int d^3k \omega \left[ (|\alpha_k|^2 + |\beta_k|^2) (\hat{a}_k \hat{a}_k^\dagger + \hat{a}_k^\dagger \hat{a}_k) + 2\alpha_k \beta_k \hat{a}_k \hat{a}_k + 2\alpha_k^* \beta_k^* \hat{a}_k^\dagger \hat{a}_k^\dagger \right]$$

IN THE STANDARD CASE  $\beta_k = 0$  AND WE RECOVER THE SAME HAMILTONIAN AS BEFORE. WE CAN REWRITE

$$H = \frac{1}{2} \int d^3k \omega_k \underbrace{(\hat{a}_k^\dagger, \hat{a}_k)}_{(\hat{A}_k, \hat{A}_k^\dagger)} \begin{pmatrix} \alpha_k^* & \beta_k^* \\ \beta_k & \alpha_k \end{pmatrix} \underbrace{\begin{pmatrix} \alpha_k & \beta_k^* \\ \beta_k & \alpha_k^* \end{pmatrix} \begin{pmatrix} \hat{a}_k \\ \hat{a}_k^\dagger \end{pmatrix}}_{\begin{pmatrix} \hat{A}_k \\ \hat{A}_k^\dagger \end{pmatrix}}$$

NAMELY THE HAMILTONIAN CAN BE "DIAGONALIZED" IN TERMS OF NEW, TIME DEPENDENT (SINCE  $\alpha_k$  &  $\beta_k$  DEPEND ON TIME) ANNIHILATION & CREATION OPERATORS

$$\boxed{H = \int d^3k \omega_k \hat{A}_k^\dagger \hat{A}_k}$$

THE OPERATOR  $\hat{N}_k \equiv \hat{A}_k^\dagger \hat{A}_k$  COUNTS HOW MANY PARTICLES OF FREQUENCY

$$\omega_k = \sqrt{k^2 + m^2(t)} \text{ ARE}$$

PRESENT AT THE TIME  $t$

HEISENBERG PICTURE, THE OPERATORS EVOLVE IN TIME,  $\hat{N}_k(t) = \hat{A}_k^\dagger(t) \hat{A}_k(t)$ , WHILE THE STATES ARE CONSTANT. WE ASSUME THAT, INITIALLY, WE ARE IN THE STANDARD CASE WITH  $\beta_k = 0$  AND WITH THE STANDARD VACUUM  $\hat{a}_k |0\rangle = 0$

HOW MANY QUANTA ARE PRESENT AT THE TIME  $t$ ?

$$N_k = \langle 0 | \hat{N}_k | 0 \rangle = \langle 0 | \hat{A}_k^\dagger \hat{A}_k | 0 \rangle$$

$$= \langle 0 | (\beta_k \hat{a}_k + \alpha_k^* \hat{a}_k^\dagger) (\alpha_k \hat{a}_k + \beta_k \hat{a}_k^\dagger) | 0 \rangle$$

$$= |\beta_k|^2 \langle 0 | \hat{a}_k \hat{a}_k^\dagger | 0 \rangle = |\beta_k|^2 \langle 0 | \hat{a}_k \hat{a}_k^\dagger - \hat{a}_k^\dagger \hat{a}_k | 0 \rangle$$

↑ ADDED 0

$$= |\beta_k|^2 \langle 0 | \delta^{(3)}(\vec{k} - \vec{k}') | 0 \rangle = |\beta_k|^2 \underbrace{\delta^{(3)}(0)}_{\text{VOLUME}} \underbrace{\langle 0 | 0 \rangle}_1$$

$$\delta(k) = \frac{1}{(2\pi)^3} \int d^3x e^{i\vec{k} \cdot \vec{x}}$$

$$\Rightarrow \text{NUMBER DENSITY} = |\beta_k(t)|^2$$

WE HAVE NOW ALL THE EQUATIONS TO COMPUTE THE OCCUPATION NUMBER

$$\dot{\alpha}_h = -i\omega \alpha_h + \frac{\dot{\omega}}{2\omega} \beta_h$$

$$\dot{\beta}_h = i\omega \beta_h + \frac{\dot{\omega}}{2\omega} \alpha_h$$

$$\alpha_{in} = 1, \beta_{in} = 0, \frac{N_h}{\text{Volume}} = |\beta_h|^2$$

$$\omega_h = \sqrt{k^2 + g^2 \bar{\phi}^2 - \frac{p^2}{Q}}$$

FOR SIMPLICITY, IGNORE THE EXPANSION OF THE UNIVERSE

$$\omega_h = \sqrt{k^2 + g^2 \underbrace{\bar{\phi}_0^2 \sin^2(mt)}_{\text{INFLATON OSCILLATIONS}}}$$

INFLATON OSCILLATIONS

- MOST OF THE PRODUCTION OCCURS WHEN  $\dot{\omega} > \omega^2$   
 IN THIS REGIME WE SAY THAT "THE FREQUENCY VARIES NON ADIABATICALLY" (NOTICE BOTH  $\dot{\omega}$  AND  $\omega^2$  HAVE MASS DIMENSION 2; WHY IT IS  $\dot{\omega} > \omega^2$  SHOULD BE CLEAR FROM THE EQS. FOR  $\alpha_n, \beta_n$ )

$\dot{\omega}/\omega^2$  IS MAXIMUM WHENEVER  $\phi = 0$



- IN THIS EXAMPLE THE FREQUENCY CHANGES PERIODICALLY  $\rightarrow$  RESONANCE

SLIDE 8  
E 9

STRONG PRODUCTION FOR  $q \equiv \frac{g^2 \bar{\phi}_0^2}{4m^2} > 1$   
 IN LARGE FIELD INFLATION  
 $m \approx 10^{13} \text{ GeV}$ ,  $\bar{\phi}_0 \approx 10^{18} \text{ GeV}$   
 ENOUGH  $q \approx 10^{-4}$

## ANALYTIC RESULTS

PRODUCTION WHEN  $m = g\phi = 0$  ; EXPAND

$$\phi = \phi_0 \sin(mt) \approx \phi_0 m t$$

RESCALE  $\tau \equiv \sqrt{gm\phi_0} t$ ,  $p \equiv \frac{k}{\sqrt{gm\phi_0}}$

$$\Rightarrow \ddot{\chi} + (p^2 + \tau^2) \chi = 0$$

SOLVED IN TERMS OF PARABOLIC CYLINDER FUNCTIONS

$$\chi = C_1 D_{-\frac{1}{2} - i\frac{p^2}{2}}((1+i)\tau) + C_2 D_{-\frac{1}{2} + i\frac{p^2}{2}}((1-i)\tau) \quad (*)$$

THE SOLUTIONS HAVE AN INTERESTING LIMIT AT  
 $\tau \rightarrow \pm\infty$ . FOR INSTANCE

$$\lim_{\tau \rightarrow \infty} D_{-\frac{1}{2} - i\frac{p^2}{2}}((1+i)\tau) = \text{const.} \quad \frac{e^{-i\left(\frac{\tau^2}{2} + \frac{p^2}{2} \ln \tau\right)}}{\tau^{1/2}}$$

AND

$$\lim_{\tau \rightarrow \infty} \frac{e^{-i\int \omega dt}}{\sqrt{2\omega}} \sim \frac{e^{-i\int \sqrt{p^2 + \tau^2} d\tau}}{(\tau^2 + p^2)^{1/4}} \sim \frac{e^{-i\left(\tau + \frac{p^2}{2\tau}\right)}}{\sqrt{\tau}}$$

→ THE SOLUTION (\*) SATISFIES

$$\lim_{\tau \rightarrow \pm\infty} \chi = C_1^{\pm} \frac{e^{-i\int \omega dt}}{\sqrt{2\omega}} + C_2^{\pm} \frac{e^{i\int \omega dt}}{\sqrt{2\omega}}$$

→ WE SAW THAT  $\chi = \frac{\alpha(t)}{\sqrt{2\omega}} + \frac{\beta(t)}{\sqrt{2\omega}}$

WITH  $\alpha = \text{const} e^{-i\omega t}$  AND  $\beta = \text{const} e^{i\omega t}$

WHEN  $\omega$  IS CONSTANT

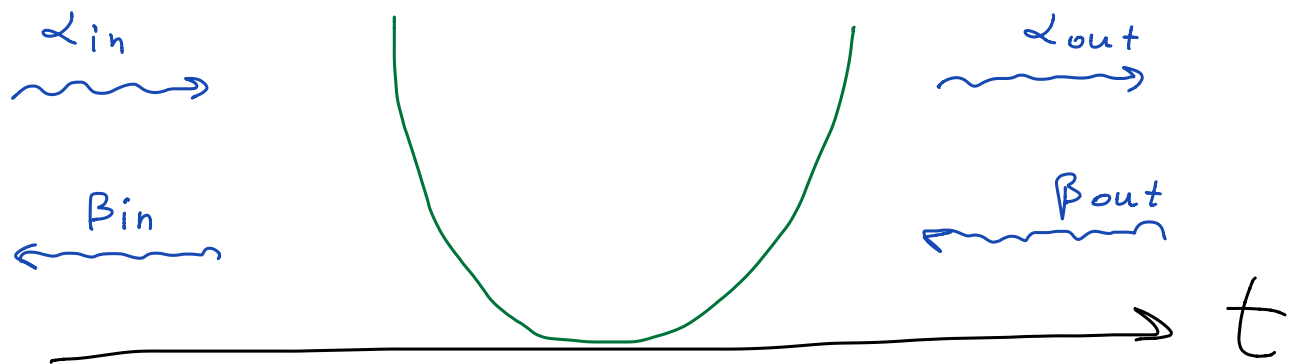
⇒ THE ASYMPTOTIC VALUES  $C_1^-$  AND  $C_2^-$  PROVIDE THE BOGOLIUBOV COEFFICIENTS  $\{\alpha, \beta\}$  BEFORE  $m=0$

THE ASYMPTOTIC VALUES  $C_1^+$  AND  $C_2^+$  PROVIDE  $\{\alpha, \beta\}$  AFTER  $m=0$

\* THE EXACT SOLUTION PROVIDES THE RELATION

$$\{\alpha, \beta\}_{\text{BEFORE}} \rightarrow \{\alpha, \beta\}_{\text{AFTER}}$$

COMPLETELY ANALOGOUS TO THE THEORY OF SCATTERING, THE PRODUCTION OCCURS AT DISCRETE MOMENTS, AND WE HAVE DEVELOPED THE FORMALISM TO GO  $\text{IN} \rightarrow \text{OUT}$  STATE



USING THE ABOVE PARABOLIC CYLINDER FUNCTIONS WE FIND, UP TO PHASES,

$$\begin{pmatrix} \alpha_{\text{out}} \\ \beta_{\text{out}} \end{pmatrix} = \begin{pmatrix} \sqrt{1 + e^{-\pi p^2}} & e^{-\pi p^2/2} \\ e^{-\pi p^2/2} & \sqrt{1 + e^{-\pi p^2}} \end{pmatrix} \begin{pmatrix} \alpha_{\text{in}} \\ \beta_{\text{in}} \end{pmatrix}$$

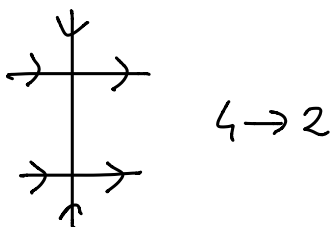
AT THE FIRST PRODUCTION  $\alpha_{in} = 1$ ,  $\beta_{in} = 0$

$$\Rightarrow |\beta_{out}|^2 = e^{-\pi p^2} = \exp\left(-\frac{\pi k^2}{g m \phi_0}\right)$$

SLIDE 10

## RESCATTERING & THERMALIZATION

- AS WE SAW IN THE LATTICE SIMULATION, THE PRODUCED  $\chi$  QUANTA RESCATTER AGAINST THE INFLATON CONDENSATE AND FRAGMENT IT.
- THIS DESTROYS THE COHERENCY OF THE INFLATON OSCILLATIONS AND TERMINATES THE RESONANCE
- IN LARGE FIELD INFLATION MODELS, GENERATION OF EXCITATIONS OF QUANTA OF  $\phi$  &  $\chi$  WITH  $\rho_{TOT} \gg E_{1 \text{ EXCITATION}}^4$ . VERY FAR FROM THERMAL EQUILIBRIUM. IN A THERMAL BATH  $\rho_{TOT} \approx T^4$  AND  $E_{1 \text{ EXCITATION}} \approx T \Rightarrow$  THERMALIZATION OCCURS VIA PARTICLE FUSION



SLIDES 11 & 12