

## Diffeomorphism - invariant theories

- the formulæ presented so far are completely general; we will now specialize to the case of the gauge symmetry = diffeomorphism invariance

- will work in terms of forms: Lagrangian  $L \rightarrow L^{(d)} = \frac{1}{d!} \epsilon^{\mu_1 \dots \mu_d} L_{\mu_1 \dots \mu_d} dx^{\mu_1} \wedge \dots \wedge dx^{\mu_d}$   
 currents:  $J^\mu, \mathbb{Q}^\mu \rightarrow J^{(d-1)}, \mathbb{Q}^{(d-1)}, \dots$   
 Wether-Wald surface charge:  $Q^{\mu\nu} \rightarrow \mathbb{Q}^{(d-2)}$

- under a diffeo,  $\delta_\xi X = \mathcal{L}_\xi X$

- repeat the previous manipulations in form language

$$\delta L^{(d)} = E(\phi) \delta\phi + d\mathbb{Q}^{(d-1)} \quad \text{general variation}$$

$$\delta_\xi L = dM_\xi = d(\underbrace{\xi \cdot L}_{\xi^\mu \epsilon_{\mu_1 \dots \mu_{d-1}} L_{\mu_1 \dots \mu_{d-1}}}) \quad \text{under a diffeomorphism } \xi^\mu$$

interior product ( $\cdot: \Omega_p \rightarrow \Omega_{p-1}$ )

this boundary term comes from  $\delta_\xi L = \xi^\mu \partial_\mu L$      $\delta_\xi \sqrt{g} = \nabla_\mu \xi^\mu \sqrt{g}$      $\xi \cdot \omega = \mathcal{L}_\xi \omega = \omega(\xi, \dots)$

$$\text{then } J_\xi(\phi) = \mathbb{Q}(\delta_\xi \phi) - \xi \cdot L$$

- equating the first two variations, we find  $E(\phi) \delta_\xi \phi = dS_\xi(\phi) = -dJ_\xi(\phi)$  on-shell vanishing Noether current

$$\Rightarrow S_\xi = -J_\xi - dQ_\xi \quad (\text{off-shell}) \quad \Rightarrow \text{expr. for } Q_\xi^{(d-2)}$$

- notice  $dQ_\xi$  need not vanish on-shell  $\rightarrow$  not clear it will give rise to a conserved charge

- need to find some related quantity,  $Q'_\xi$ , which does satisfy  $dQ'_\xi = 0$  on-shell

- as we will show, a quantity related to the infinitesimal variation  $\delta Q_\xi$  between two field configurations is closed on-shell

Consider the charge difference between two nearby field configurations,  $\phi$  &  $\phi + \delta\phi$ .

$$\begin{aligned} \delta S_{\xi} &= -\delta \int_{\Sigma} \xi - \delta dQ_{\xi} = -\delta \left( \underbrace{\omega(\delta_{\xi}\phi)}_{L_{\xi}\phi} - \xi \cdot \mathcal{L} \right) - d\delta Q_{\xi}(\phi) \\ &= \underbrace{-\delta \omega(\delta_{\xi}\phi) + \delta_{\xi} \omega(\delta\phi)}_{\equiv \omega(\delta_{\xi}\phi, \delta\phi)} - \underbrace{\delta_{\xi} \omega(\delta\phi) + \xi \cdot d\omega(\delta\phi)}_{\equiv d(\xi \cdot \omega(\delta\phi))} - d\delta Q_{\xi}(\phi) \end{aligned}$$

pre-symplectic current
identity  $L_{\xi}\mathcal{F} = d(\xi \cdot \mathcal{F}) + \xi \cdot d\mathcal{F}$   
(in comp  $L_{\xi} F_{\mu_1 \dots \mu_n} = \xi^{\lambda} \partial_{\lambda} F_{\mu_1 \dots \mu_n} + \partial_{\mu_i} \xi^{\lambda} F_{\dots \lambda \dots}$ )

•  $\omega(\delta_1\phi, \delta_2\phi) \equiv \delta_1 \omega(\delta_2\phi) - \delta_2 \omega(\delta_1\phi)$  antisymmetric

• since  $S_{\xi} = 0$  *on-shell*, we have  $\omega(\delta_{\xi}\phi, \delta\phi) + dK_{\xi}(\phi, \delta\phi) = 0$

where  $K_{\xi}(\phi, \delta\phi) \equiv -\delta Q_{\xi}(\phi) - \xi \cdot \omega(\delta\phi)$

• the quantity  $K_{\xi}(\phi, \delta\phi)$  is closed on-shell if  $\omega(\delta_{\xi}\phi, \delta\phi)$  vanishes, which happens if  $\delta_{\xi}\phi \approx 0$  in the region of interest  $\Rightarrow$  it does give rise to a conserved quantity = charge difference between two nearby field configurations

• the surface charge difference  $\oint_{\partial\Sigma} H_{\xi}(\delta\phi, \phi) \equiv \int_{\partial\Sigma} K_{\xi}(\phi, \delta\phi)$

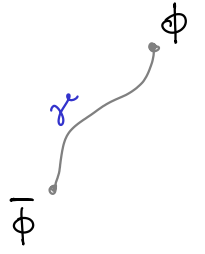
where the symbol  $\oint$  stands for the fact that in general  $\oint Q_{\xi}$  is not  $\delta(\text{something})$  (unlike in E&M)

• to obtain the full charge, we need to integrate the charge difference in field space, from a reference backgnd.  $\bar{\phi}$  to the desired backgnd.  $\phi$

Final formula for the conserved charge

$H_{\xi}(\phi, \bar{\phi}) = \int_{\gamma} \int_{\partial\Sigma} K_{\xi}(\phi, \delta\phi) + N_{\xi}(\bar{\phi})$

path in field space btw/  $\bar{\phi}$  &  $\phi$  integration at. (unfixed by this formalism)



Example : G.R.

$$S = \frac{1}{16\pi G} \int d^d x \underbrace{R \sqrt{g}}_L \quad \delta L = -\frac{1}{16\pi G} G^{\mu\nu} \delta g_{\mu\nu} + 2 \underbrace{\omega^\mu}_{h^{\mu\nu}} \quad \omega^\mu = \frac{1}{16\pi G} \sqrt{g} (\nabla_\nu h^{\mu\nu} - \nabla^\mu h)$$

$$\begin{aligned} \mathcal{F}^\mu &= \omega^\mu (\delta_\xi g_{\mu\nu}) - M_{\xi}^\mu = \frac{\sqrt{g}}{16\pi G} \left( \nabla_\nu (\nabla^\mu \xi^\nu + \nabla^\nu \xi^\mu) - 2 \nabla^\mu \nabla_\nu \xi^\nu \right) - \frac{\sqrt{g}}{16\pi G} R \xi^\mu \\ &= \frac{\sqrt{g}}{16\pi G} \left[ \nabla_\nu (\nabla^\nu \xi^\mu - \nabla^\mu \xi^\nu) + \underbrace{2 R^\mu{}_\nu \xi^\nu}_{2 G^\mu{}_\nu \xi^\nu} - R \xi^\mu \right] \end{aligned}$$

The on-shell vanishing Noether current is given by

$$E \delta_\xi g = -\frac{1}{16\pi G} G^{\mu\nu} \nabla_\mu \xi_\nu \times 2 = \nabla_\mu \underbrace{\left( -\frac{1}{8\pi G} G^{\mu\nu} \xi_\nu \right)}_{S^\mu} + \frac{1}{8\pi G} \underbrace{\nabla_\mu G^{\mu\nu} \xi_\nu}_{0 \text{ by N.T.}}$$

so we see that, indeed,  $\mathcal{F}^\mu = -S^\mu - \sqrt{g} \nabla_\nu \frac{Q^{\mu\nu}}{\sqrt{g}}$  **Check!** w/

$$\boxed{Q^{\mu\nu} = -\frac{\sqrt{g}}{16\pi G} (\nabla^\nu \xi^\mu - \nabla^\mu \xi^\nu)}$$

Komar term: used to compute mass, angular momentum of simple spacetimes

for more complicated situations, we need  $\mathcal{K}_\xi = \delta Q_\xi - \xi \cdot \omega(\delta g)$  w/

$$\mathcal{K}_\xi^{\mu\nu}(h, g) = \frac{\sqrt{g}}{16\pi G} \left( \xi^{[\mu} \nabla_\sigma h^{\nu]\sigma} - \xi^{[\mu} \nabla^{\nu]} h + \xi^\sigma \nabla^{[\mu} h^{\nu]\sigma} + \frac{1}{2} h \nabla^{[\nu} \xi^{\mu]} - h^{\sigma[\nu} \nabla_\sigma \xi^{\mu]} \right)$$

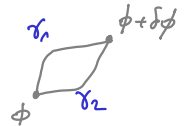
- for some rather general formulae for  $\mathcal{K}_\xi$  in Einstein gravity coupled to matter fields, see *Q. Compere* 0902.1001
- to compute e.g. the mass of a Schwarzschild spacetime  $\rightarrow$  consider backgrounds of varying  $M$  (parameter in the Schwarzschild metric), so  $g_{\mu\nu}(M) = \text{Schw}(M)$  &  $h_{\mu\nu} = g_{\mu\nu}(M + \delta M) - g_{\mu\nu}(M)$ . Computing  $\int \mathcal{K}_\xi(h, g) \rightarrow \delta M$ , which is locally integrable
- since this is an **algorithmic** procedure, can compute conserved charges for **arbitrary** theories of gravity ( $\forall$  matter,  $\forall$  higher derivatives)

## Properties

i) integrability : in order that the charge so defined to make sense, it should be independent of the path in field space used to define it.

This leads to an integrability condition, which infinitesimally reads:

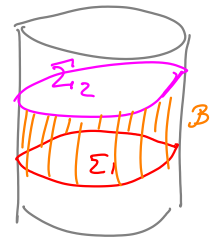
$$\delta_1 \int_{\partial \Sigma} \mathcal{K}_{\xi}(\phi, \delta_2 \phi) - \delta_2 \int_{\partial \Sigma} \mathcal{K}_{\xi}(\phi, \delta_1 \phi) = 0, \quad \forall \delta_1 \phi, \delta_2 \phi$$



ii) conservation : remember that  $\omega(\delta_{\xi} \phi, \phi) + d\mathcal{K}_{\xi}(\phi, \delta \phi) = 0$   
(valid on-shell)

• the charge  $\oint Q_{\xi} = \int_{\partial \Sigma} \mathcal{K}_{\xi}(\phi, \delta \phi)$  will be cons.

$$\text{if } \omega(\delta_{\xi} \phi, \delta \phi) \Big|_{\partial \Sigma} = 0$$



a) if  $\xi$  is a Killing vector of the background  $\Rightarrow \delta_{\xi} \phi = 0$

$$\Rightarrow \omega(\delta_{\xi} \phi, \delta \phi) = 0 \text{ everywhere}$$

$\Rightarrow \oint Q_{\xi}$  is not only conserved in time, but also can be evaluated by integration over any radial surface on a  $t = \text{const.}$  slice, since

$$\int_{\partial \Sigma_1} \mathcal{K}_{\xi} = \int_{\partial \Sigma_2} \mathcal{K}_{\xi} + \int_{\Sigma_1, \Sigma_2} \overset{-\omega=0}{d\mathcal{K}_{\xi}} = \int_{\partial \Sigma_2} \mathcal{K}_{\xi}$$



b) it is often sufficient that  $\xi$  be a Killing vector ( $\delta_{\xi} \phi \rightarrow 0$ ) only asymptotically, w/  $\omega(\delta_{\xi} \phi, \delta \phi) \Big|_{\partial \Sigma} = 0$ . Then  $\oint Q_{\xi}$  is conserved, but it needs to be evaluated as  $r \rightarrow \infty$ .

In this case,  $\xi$  is called an asymptotic symmetry

Observation : In general, the task of specifying boundary conditions on the behaviour of various fields near  $\partial\bar{\Sigma}_i$  so that it can be established that  $w$  does not contribute to the charge for a general class of configurations is a complicated one, and is usually analysed on a case-by-case basis.

- a nice feature of the current formalism is that it provides a general formula for the conserved charges that is independent of the spacetime under consideration. The details of the spacetime enter only when specifying the asymptotic falloffs
- the vector field  $\xi^\mu$  is an asymptotic symmetry provided that the charge  $Q_\xi$  associated w/ it is generically non-zero. Otherwise,  $\xi^\mu =$  trivial diffeomorphism. An asymptotic symmetry corresponds in fact to an equivalence class of vector fields, which differ by the addition of a trivial diffeo

Asymptotic symmetry group :

- consider a space-time where the metric & other fields, collectively denoted as  $\phi$ , satisfy a set of boundary conditions,  $\mathcal{C}$  ← defines phase space
- a vector  $\xi^\mu$  is an allowed diffeomorphism if  $L_\xi \phi \in \mathcal{C}$ ,  $\forall \phi \in \mathcal{C}$
- the algebra of these vectors is given by the Lie bracket  $[\xi_a, \xi_b]_{L.B.} = C_{ab}^c \xi_c$
- it is assumed the bnd. cond. have been chosen  $\ni Q_\xi[\bar{\phi}]$  are finite, integrable and conserved for all allowed diffeomorphisms (usually, this means  $\xi$  allowed asymptotically satisfy the Killing eqn.)
- a diffeomorphism is called trivial if  $Q_\xi[\bar{\phi}] = 0$ ,  $\forall \phi \in \mathcal{C}$ .

• the asymptotic symmetry group

$$\text{ASG} \equiv \frac{\text{Allowed diffeos}}{\text{Trivial diffeos}}$$

equivalence classes of diffeos that act non-trivially on states (true symm.)

Remarks : if  $Q_{\xi}$  are not finite / integrable / conserved  $\rightarrow$  need more stringent bnd cond.

- usually, the bnd. conditions are determined by the generic falloffs of solutions to the e.o.m. in the given spacetime (universal near  $\infty$ )
- however, many times the falloffs are difficult to characterize  $\rightarrow$  choose  $\mathcal{L}$  as lax as possible  $\exists Q_{\xi}$  is still finite

Note these are very general guidelines. The appropriate bnd. cond. to impose should be analysed on a case-by-case basis, in function of the physical situation @ hand. For example,

- sometimes, the lack of integrability / conservation is physical, e.g. when there is flux passing through  $\mathcal{I}^M$
- other times, it may be resolved by adding a counterterm
- sometimes, the charges can be made finite, integrable by restricting the phase space  $\rightarrow$  are these restricted bnd. cond. physically motivated?
- should also check that the symplectic form is positive definite

iii) charge algebra. One can define a commutator of the charges via

$$\{H_X, H_\xi\} \equiv \delta_\xi H_X = \int_{\partial\Sigma} \mathcal{K}_X[\delta_\xi \phi, \phi]$$

• one can prove the following representation theorem: the algebra of the conserved charges  $H_\xi$  associated w/  $\xi \in ASG$  is given by (see e.g. Geoffrey's lecture notes)

$$\{H_X, H_\xi\} = \underbrace{H_{[X, \xi]_{L.B.}}}_{\approx \text{Lie bracket of the diffs}} + \underbrace{K_{X, \xi}[\bar{\phi}]}_{\text{central extension}} \quad \leftarrow \text{cannot be absorbed into the normalization of the charges (shift } N_x)$$

$\approx$  the algebra of the conserved charges = Lie bracket algebra of the associated diffs up to a central extension

• if the diffs are field-dependent  $\rightarrow$  modified Lie bracket  
(Barnich, Troessaert 1001.1541)

iv) residual ambiguities: to what extent is the charge  $\oint \mathcal{Q}_\xi$  fixed?

• adding a hnd. term to the Lagrangian  $\mathcal{L} \rightarrow \mathcal{L} + d\mu$  does not change the e.o.m, but changes  $\mathcal{Q} \rightarrow \mathcal{Q} + \delta\mu$ . Note  $\omega$  is not affected.

• since  $\mathcal{Q}$  is defined via  $\delta\mathcal{L} = E\delta\phi + d\mathcal{Q}$ , it is ambiguous up to a boundary term  $\mathcal{Q} \rightarrow \mathcal{Q} + d\mathcal{Y}^{(d-1)}(\delta\phi)$

under this  $\omega^{(d-1)} \rightarrow \omega + dB^{(d-2)}$ , w/  $B(\delta_1\phi, \delta_2\phi) = \delta_1\mathcal{Y}(\delta_2\phi) - \delta_2\mathcal{Y}(\delta_1\phi)$

- the Noether current  $J_{\xi} = \omega(\delta_{\xi}\phi) - \xi \cdot \mathcal{L}$  is then affected as

$$J_{\xi} \rightarrow J_{\xi} + \underbrace{\delta_{\xi}\mu}_{\xi \cdot d\mu} + d\underbrace{\Upsilon(\delta_{\xi}\phi)}_{d(\xi \cdot \mu)} - \xi \cdot d\mu = J_{\xi} + d(\Upsilon(\delta_{\xi}\phi) + \xi \cdot \mu)$$

- using the def<sup>n</sup>  $J_{\xi} = -S_{\xi} - dQ_{\xi}$ , we see that

$$Q_{\xi} \rightarrow Q_{\xi} - \Upsilon(\delta_{\xi}\phi) - \xi \cdot \mu - dZ \quad \leftarrow \text{new ambiguity}$$

- finally,  $\mathcal{K}_{\xi}(\phi, \delta\phi) = -\delta Q_{\xi} - \xi \cdot \omega(\delta\phi)$ , so

$$\mathcal{K}_{\xi} \rightarrow \mathcal{K}_{\xi} + \delta\Upsilon(\delta_{\xi}\phi) + \cancel{\xi \cdot \delta\mu} + d\delta Z - \cancel{\xi \cdot \delta\mu} - \xi \cdot d\Upsilon$$

since  $\delta_{\xi}\Upsilon(\delta\phi) = d(\xi \cdot \Upsilon(\delta\phi)) + \xi \cdot d\Upsilon(\delta\phi)$ , we find

$$\mathcal{K}_{\xi} \rightarrow \mathcal{K}_{\xi} + \underbrace{B(\delta\phi, \delta_{\xi}\phi)}_{\text{irrelevant for exact symm}} + d(\underbrace{\delta Z + \xi \cdot \Upsilon(\delta\phi)}_{\text{only contributes if } \partial\Sigma \text{ has bnd}})$$

so that  $\omega(\delta_{\xi}\phi, \delta\phi) + d\mathcal{K}_{\xi}(\delta\phi) = 0$

### Summary:

- I presented a very general, covariant formalism for computing conserved charges in gauge theories
- necessity for lower-form currents clearly encoded ( $J_{\xi} = -dQ_{\xi}$  on-shell)



- the formalism provides a bridge between the Lagrangian formulation (covariant) & a Hamiltonian one (physical degrees of freedom are clear) through the covariant construction of a symplectic form  $\Omega = \int_{\Sigma} \omega$
- extremely powerful & algorithmic: given  $\forall$  action (Einstein grav +  $R^{17}$  corr. + matter<sub>th</sub>)  $\rightarrow \mathcal{K}_g \rightarrow \mathcal{Q}_g$ , up to the ambiguity  $B(\delta\phi, \delta_\xi\phi)$  (not present for exact symm).
- however, technically heavy and not physically very transparent  $\rightarrow$  "recipe" for computing conserved charges (using a computer)
- for consistency, need to check that  $\mathcal{Q}_g$  is finite, conserved (i.e.  $\omega(\delta_\xi\phi, \delta\phi)|_{\partial\Sigma} = 0$ ) and integrable. This is where the details - more precisely, the asymptotic str. - of the space-time under consideration will enter.

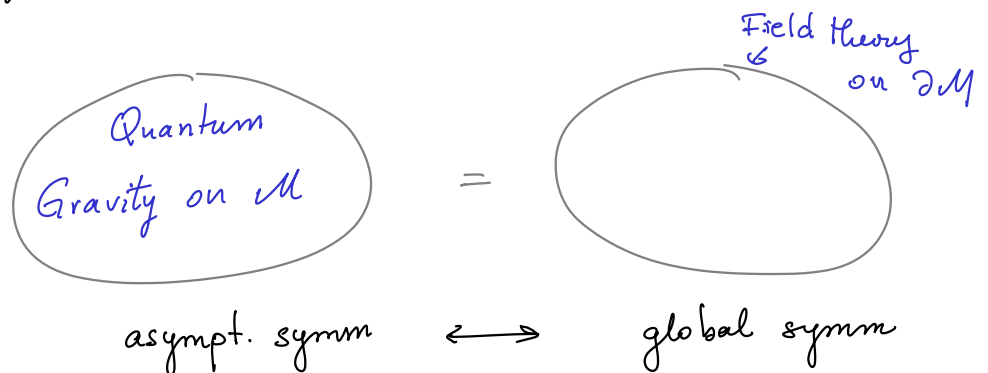
The bound. term  $\forall (\rightarrow B)$  may be adjusted to achieve some of these properties.

- the set of asymptotic vector fields  $\xi^a$  (modulo trivial diffeomorphisms) whose action respects the bound. cond. on the fields are called large diffeomorphisms and represent true symmetries of the gravity theory (analogues of global symm)

Why are ASG's interesting?

i) true symmetries of the gravity theory (constrain observables)

ii) holography



- the ASG of a given gravitational theory in a given spacetime strongly depends on the asymptotic structure of the spacetime, but not so much on the particular theory under consideration (most important: gauge fields)
- this universality implies that ASG  $\Rightarrow$  "type" of QFT that lives on the boundary (e.g. AdS/CFT)
- the ASG gives powerful constraints on the dual QFT, especially when  $\infty$ -dimensional (far from enough to fix QFT, but still useful)
- will discuss two examples:
  - $AdS_3$ , ASG = Virasoro  $\times$  Virasoro
    - same as symm.  $CFT_2 \Rightarrow$  hint for an universal AdS<sub>3</sub>/CFT<sub>2</sub> corresp.
  - $Mink_4$  ASG = BMS (Bondi, van der Burg, Metzner, Sachs)
    - infinite dimensional!
    - measurable effects of asympt. diffeos
    - interesting connections to soft theorems
    - $\infty$  degeneracy of the Minkowski vacuum