

## Example 1: Conserved charges & asymptotic symmetries of $AdS_3$

i) definition of  $AdS_{d+1}$ ,  $\forall d$  & Penrose diagram

ii) the Fefferman-Graham expansion

iii)  $AdS_3$  w/ Dirichlet (or Brown-Henneaux) bnd. cond

- mass & angular momentum of stationary sol. in global  $AdS_3$ : vacuum, conical defect space-times, black holes
- ASG.

i)  $AdS_{d+1}$ : maximally symmetric space-time of constant negative curvature  $\frac{(d+1)(d+2)}{2} \text{ r.v.}$

$$R_{\mu\nu\rho\sigma} = -\frac{1}{l^2} (g_{\mu\rho}g_{\nu\sigma} - g_{\mu\sigma}g_{\nu\rho})$$

- isometry group  $SO(d,2)$  (for Lorentzian  $AdS_{d+1}$ ) = same isometry as  $\mathbb{R}^{d,2}$
- simplest construction: embed into  $\mathbb{R}^{d,2}$ , w/ metric

$$ds^2 = \tilde{\eta}_{MN} dX^M dX^N \quad \tilde{\eta}_{MN} = \begin{pmatrix} - & & & \\ & - & & \\ & & + & \dots \\ & & & + \end{pmatrix} \quad \text{manifest } SO(d,2)$$

& restrict to the hyperboloid  $\tilde{\eta}_{MN} X^M X^N = -l^2$

- a metric on  $AdS_{d+1}$  can be obtained by writing down an explicit coord. system that solves this constraint, e.g.

$$\text{global coordinates: } \begin{cases} X^0 = l \cosh \rho \cos \tau & X^i = l \sinh \rho \Omega_i \\ X^1 = l \cosh \rho \sin \tau & \text{w/ } \sum_{i=2}^{d+1} \Omega_i^2 = 1 \end{cases}$$

$$ds^2 = l^2 (-\cosh^2 \rho d\tau^2 + d\rho^2 + \sinh^2 \rho \underbrace{d\Omega_{d-1}^2}_{\text{unit } S^{d-1}})$$

after decompactifying the  $\tau$  coordinate  $\hookrightarrow SO(d)$  manifest

• AdS space-time is non-compact : how can we represent it?

• tool : conformal compactification

Given a manifold  $M$  w/ metric  $g_{\mu\nu}$ , one looks for a conformal isometry  $\varphi: (M, g) \rightarrow (\tilde{M}, \tilde{g})$ , i.e. a change of coordinates  $\exists \tilde{g}_{\mu\nu} = \Omega^2(x) g_{\mu\nu}$ , chosen so that  $\varphi(\infty)$  is now @ finite distance in  $\tilde{M}$ . The bnd. of  $\tilde{M}$  is called conformal infinity

• since the coordinate ranges are compact,  $\tilde{M}$  can be drawn. Moreover, since  $g' = \Omega^2 g$ , its causal structure (determined by the trajectories of null rays) is the same as that of  $M$ , even though distances can be severely distorted  $\Rightarrow$  Penrose diagram

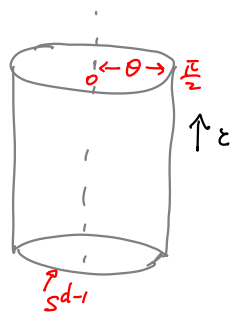
•  $\tilde{M}$  can also provide a coordinate-invariant way of characterizing the asympt. structure of  $M$  (coord-invar way of char. asymptotic falloffs of various fields)

• to construct the Penrose diagram for  $AdS_{d+1}$ :

• introduce a new compact radial coord.  $\theta$  via  $\cosh \rho = \frac{1}{\cos \theta}$  ( $\rho \in [0, \infty) \Rightarrow \theta \in [0, \frac{\pi}{2})$ )

$$ds^2 = \frac{e^2}{\cos^2 \theta} (-d\tau^2 + \underbrace{d\theta^2 + \sin^2 \theta d\Omega_{d-1}^2}_{\text{metric on half } S^d \approx \text{disk}})$$

$$d\tilde{S}^2 = \cos^2 \theta ds^2 \approx \text{disk} \times \mathbb{R}_t$$



$\Rightarrow$  Penrose diagram of  $AdS_{d+1}$  = infinite solid cylinder w/ bnd.  $S^{d-1} \times \mathbb{R}_t$

Exercise 1) Show that lightrays shot from the center of AdS take a finite coordinate time to reach the bnd. Compute it.

You can assume, for simplicity, that all motion takes place in the radial plane.

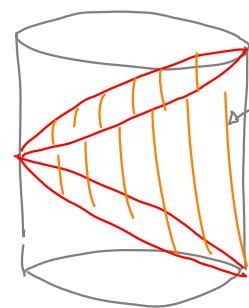
2) Show that massive particles' geodesics never reach the boundary.

- we thus find that AdS behaves "like a box". Dirichlet bnd. cond. @ the timelike bnd. are natural for massive fields, whereas they can be imposed for massless ones.

(N.B. In AdS, one can have causal fields of slightly negative  $m^2$ . For these fields in the "conformal window", one has a choice between Dirichlet, Neuman or mixed bnd. conditions)

- there are other useful coord. systems on AdS, e.g. Poincaré

$$ds^2 = \frac{l^2}{z^2} \left( \underbrace{\eta_{\mu\nu} dx^\mu dx^\nu}_{\mathbb{R}^{d+1}} + dz^2 \right)$$



AdS patch covered by Poincaré coord.

which only cover a patch of the AdS spacetime

(useful for making the Lorentz & dilatation symmetry along the bnd. manifest. The conformal bnd. is at  $z=0$ )

## ii) The Fefferman - Graham expansion

- the above analysis was for *vacuum* AdS. We would now like to gain an understanding of the general *asymptotic* behaviour of fields near the AdS boundary, which will determine the natural boundary conditions to impose

- we do this by choosing a convenient coordinate system, namely the *Fefferman - Graham* gauge (good near the boundary @  $z=0$ )

$$ds^2 = l^2 \frac{dz^2}{z^2} + g_{\mu\nu}(x^\mu, z) dx^\mu dx^\nu$$

- the solution to Einstein's eqns ( $R_{MN} = -\frac{1}{\ell^2} g_{MN}$ ) order by order in the  $z$  expansion is

$$g_{\mu\nu}(z, x^\mu) = \underbrace{\frac{g_{\mu\nu}^{(0)}(x^\mu)}{z^2}}_{\text{arbitrary}} + g_{\mu\nu}^{(2)} + z^2 g_{\mu\nu}^{(4)} + \dots + z^{d-2} g_{\mu\nu}^{(d)} + \dots$$

$\log z$  in even  $d \neq 2$   
 $\downarrow$   
 det. by  $g^{(0)}, g^{(d)}$   
 (partially constrained)

- see e.g. Hennigson & Skenderis 9806087; de Haro, Solodukhin & Skenderis 000223
- $g_{\mu\nu}^{(0)}$   $\rightarrow$  representative of the class of metrics induced on the conformal bnd ( $g^{(0)} \sim \Omega^2 g^{(d)}$ )
- if  $g_{\mu\nu}^{(0)} \propto \eta_{\mu\nu}$  then the spt is *asymptotically AdS*; if not, then *asympt. locally AdS*
- a similar expansion holds for matter fields  $\rightarrow$  free near AdS bnd.
- this extremely simple form of the expansion is very special to AdS & this particular coordinate system (see e.g. Poole, Skenderis, Taylor 1812.05369 for AdS asymptotics in "Bondi" gauge)

### AdS<sub>3</sub>

- we will study the AdS<sub>3</sub> case in more detail

$$ds^2 = \ell^2 \frac{dz^2}{z^2} + \left( \frac{g_{\alpha\beta}^{(0)}}{z^2} + g_{\alpha\beta}^{(2)} + \dots \right) dx^\alpha dx^\beta$$

w/  $g^{(0)}$  arbitrary &

$$g^{(0)\alpha\beta} g_{\alpha\beta}^{(2)} = -\frac{\ell^2}{2} R[g^{(0)}]; \quad \nabla_\alpha^{(0)} g^{(2)\alpha\beta} = \nabla_\beta^{(0)} g^{(2)\alpha\beta}$$

$\leftarrow$  "holographic Ward identities"

constraints due to asympt. Einstein eqns.

- in presence of matter fields, the ... are *not universal* ( $G_{MN} = 8\pi G T_{MN}$  w/ bnd. conditions on the matter so that it carries finite energy)

- in pure gravity ( $R_{MN} = -\frac{2}{\ell^2} g_{MN}$  everywhere), the expansion terminates at 2<sup>nd</sup> order

$$ds^2 = \ell^2 \frac{dz^2}{z^2} + \left( \frac{g_{\alpha\beta}^{(0)}}{z^2} + g_{\alpha\beta}^{(2)} + z^2 g_{\alpha\beta}^{(4)} \right) dx^\alpha dx^\beta \quad \text{w/} \quad g_{\alpha\beta}^{(4)} = \frac{1}{4} g_{\alpha\gamma}^{(2)} g^{(\gamma\delta)} g_{\delta\beta}^{(2)}$$

Skenderis & Solodukhin 9910023

reason: in 3d the Weyl tensor vanishes identically  $\Rightarrow R_{\mu\nu\rho\sigma}$  is det. by  $R_{\mu\nu} = -\frac{2}{\ell^2} g_{\mu\nu}$ . (by Einstein's eqns) as  $R_{\mu\nu\rho\sigma} \propto (g_{\mu\sigma} g_{\nu\rho} - g_{\mu\rho} g_{\nu\sigma})$   
 $\Rightarrow$  all sols to pure 3d gravity are locally diffeomorphic to  $AdS_3$

iii)  $AdS_3$  w/ Dirichlet bnd. cond

- bnd. conditions: fix  $g_{\alpha\beta}^{(0)} = \eta_{\alpha\beta}$

- more concretely, the bnd. metric is

$$ds_{(0)}^2 = d\varphi^2 - dt^2 = dx^+ dx^- \quad x^\pm = \varphi \pm t$$

The asympt. constraints on  $g^{(2)}$ , for  $\eta_{\alpha\beta} = \begin{pmatrix} 0 & \frac{1}{2} \\ \frac{1}{2} & 0 \end{pmatrix}$  in null coord, are

$$\text{tr} g^{(2)} = 4 g_{+-}^{(2)} = 0 \quad \nabla^\alpha g_{\alpha\beta}^{(2)} = 0 \Rightarrow \partial_+ g_{--}^{(2)} = \partial_- g_{++}^{(2)} = 0$$

$$\Rightarrow g_{++}^{(2)} = \mathcal{L}(x^+) \quad , \quad g_{--}^{(2)} = \bar{\mathcal{L}}(x^-)$$

- the phase space of asympt  $AdS_3$  gravity w/ Dirichlet bnd. cond is parametrized by two arbitrary f. of  $x^\pm = \varphi \pm t$ ,  $\mathcal{L}(x^+)$  &  $\bar{\mathcal{L}}(x^-)$ , as well as the matter data we have not specified, though it is important these matter fields can be consistently added

• the bulk diffeomorphisms that asymptotically preserve this form of the metric must asympt. become *conformal Killing vect.* of the bnd. metric,  $\eta_{\alpha\beta}$

• the CKV are given by  $\partial_\alpha \chi_\beta + \partial_\beta \chi_\alpha = \eta_{\alpha\beta} \partial_\gamma \chi^\gamma$

$$\Rightarrow \partial_+ \chi_+ = \partial_- \chi_- = 0 \quad \Rightarrow \quad \chi^+ = \chi^+(x^+) \quad , \quad \chi^- = \chi^-(x^-)$$

• the bulk diffeomorphisms whose bnd. restriction is  $\chi$  and which respect FG gauge (can be relaxed) are given by

$$\xi_L = \chi_L(x^+) \partial_+ + \frac{1}{z} \chi_L'(x^+) z \partial_z - \frac{l^2 z^2}{2} \chi_L''(x^+) \partial_+ + \dots$$

$$\xi_R = \chi_R(x^-) \partial_- + \frac{1}{z} \chi_R'(x^-) z \partial_z - \frac{l^2 z^2}{2} \chi_R''(x^-) \partial_- + \dots$$

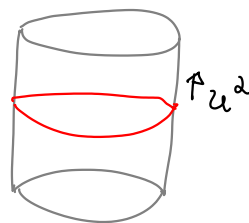
• plugging this into the expression for the charges, we obtain

$$Q_{\xi_L} = \frac{1}{8\pi G l} \int_0^{2\pi} d\varphi L(x^+) \chi_L(x^+) ; \quad Q_{\xi_R} = -\frac{1}{8\pi G l} \int_0^{2\pi} d\varphi \bar{L}(x^-) \chi_R(x^-)$$

• conserved b/c  $\omega(\delta g, \delta_\xi g) \rightarrow 0$  asympt

iv) let us now use this to compute the mass & angular momentum of various space-times

$$\partial_t = \partial_{x^+} - \partial_{x^-} \quad \partial_\varphi = \partial_{x^+} + \partial_{x^-}$$



$$M = \frac{1}{8\pi G l} \int d\varphi [L(x^+) + \bar{L}(x^-)]$$

$$J = \frac{1}{8\pi G l} \int d\varphi [L(x^+) - \bar{L}(x^-)]$$

only 3.m. survive  
set  $\underline{0}$  of eng to be  $L = \bar{L} = 0$  choice

a) global AdS<sub>3</sub> :  $ds^2 = l^2 (-\cosh^2 \rho d\tau^2 + d\rho^2 + \sinh^2 \rho d\varphi^2)$   $\varphi \sim \varphi + 2\pi$

• to use the formulae previously derived, put this into Gofferman-Graham form

choose  $c=2$

$$d\rho = -\frac{dz}{z} \quad \rightarrow \quad z = e^{-\rho} \quad \Rightarrow \quad \cosh \rho = \frac{1}{2} \left( \frac{z}{c} + \frac{c}{z} \right), \quad \sinh \rho = \frac{1}{2} \left( \frac{c}{z} - \frac{z}{c} \right)$$

$$ds^2 = l^2 \left[ \frac{dz^2}{z^2} - d\tau^2 \left( \frac{z}{4} + \frac{1}{z} \right)^2 + d\varphi^2 \left( \frac{z}{4} - \frac{1}{z} \right)^2 \right] = l^2 \frac{dz^2}{z^2} + \underbrace{l^2 \frac{d\varphi^2 - d\tau^2}{z^2}}_{g^{(b)}/z^2} - \underbrace{l^2 \frac{d\tau^2 + d\varphi^2}{2}}_{g^{(c)}}$$

• from here we read off  $L(x^+) = -\frac{l^2}{4} = \overline{L}(x^-)$

• the mass & angular momentum of global AdS<sub>3</sub> are

$$M = \frac{1}{8\pi G l} \int_0^{2\pi} d\varphi \quad 2 \times \left( -\frac{l^2}{4} \right) = -\frac{l}{8G} = -\frac{c}{12}$$

This can be identified with negative Casimir energy of the CFT vacuum on the cylinder (since  $c = \frac{3l}{2G}$ )

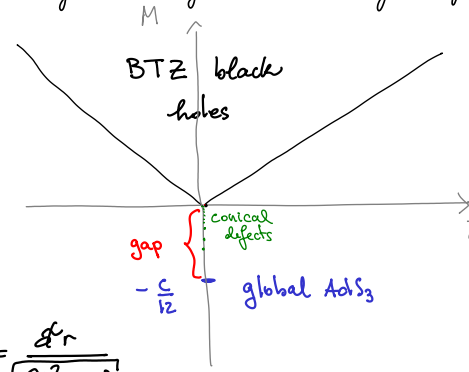
$J = 0$       global AdS<sub>3</sub> has no angular momentum

b) conical defect spacetimes

$$ds^2 = l^2 \left[ -(r^2 + 1) d\tau^2 + \frac{dr^2}{r^2 + 1} + r^2 d\varphi^2 \right] \quad \text{same metric as global AdS}_3 \quad (r = \sinh \rho)$$

but now w/ the identification  $\varphi \sim \varphi + 2\pi d$ ,  $d < 1$  (conical defect)

• to find the correct stress tensor, we need  $\varphi$  / hnd to have the usual identification  $2\pi$ . We thus let  $\varphi = d\tilde{\varphi}$        $r = \frac{1}{d} \tilde{r}$        $\tau = d\tilde{\tau}$



$$\Rightarrow ds^2 = l^2 \left[ -(\tilde{r}^2 + \alpha^2) d\tilde{t}^2 + \frac{d\tilde{r}^2}{\tilde{r}^2 + \alpha^2} + \tilde{r}^2 d\tilde{\varphi}^2 \right]$$

• to find the FG coefficients  $\rightarrow$  change to FG gauge -  $\frac{dz}{z} = \frac{dr}{\sqrt{\tilde{r}^2 + \alpha^2}}$

$$\Rightarrow \ln z = -\ln(\tilde{r} + \sqrt{\tilde{r}^2 + \alpha^2}) + \text{const} \quad \Rightarrow \quad \tilde{r} = \frac{1}{z} - \frac{\alpha^2}{4} z \quad \Rightarrow \quad \tilde{r}^2 \approx \frac{1}{z^2} - \frac{\alpha^2}{2} + \dots$$

$$ds^2 = l^2 \left[ -\left(\frac{1}{z^2} + \frac{\alpha^2}{2}\right) d\tilde{t}^2 + \frac{dz^2}{z^2} + \left(\frac{1}{z^2} - \frac{\alpha^2}{2}\right) d\tilde{\varphi}^2 \right]$$

$$\Rightarrow \quad \mathcal{L} = \bar{\mathcal{L}} = -\frac{\alpha^2 l^2}{4} \quad \Rightarrow \quad M = -\frac{\alpha^2 l}{8G} \quad \Rightarrow \quad J = 0$$

c) BTZ black holes (Bañados, Teitelboim & Zanelli)

$$ds^2 = -N^2(r) dt^2 + \frac{dr^2}{N^2(r)} + r^2 (d\varphi + N^\varphi dt)^2 \quad N^2(r) = \frac{r^2}{l^2} - 8GM + \frac{16G^2 J^2}{r^2}$$

$$M \geq |J| \text{ to avoid naked CTCs} \quad N^\varphi = -\frac{4GJ}{r^2} \quad \Rightarrow \quad \varphi \sim \varphi + 2\pi l$$

- solutions to pure 3d Einstein gravity w/ a negative cosmological constant.
- locally  $AdS_3$ ; can be obtained as quotients of global  $AdS_3$
- two isometries ( $\partial_t, \partial_\varphi$ ), even though locally  $\exists$  6 Killing vectors (only 2 are globally well-defined)

Exercise: Compute the mass & angular momentum of the BTZ black hole in terms of the parameters  $M, J$  using the Brown-York / covariant formalism.\*

\* In the covariant formalism, the reference state can be taken to be the  $M=J=0$  b.h.



## Asymptotic symmetries of AdS<sub>3</sub>

- for  $x^\pm$  compact, a natural basis of functions is

$$\chi_{L/R}^m = \pm e^{imx^\pm} = \pm e^{im(\varphi \pm t)}$$

- the Lie bracket algebra of the corresponding bulk asymptotic K.V. is

$$\left[ \xi_{L/R}^m, \xi_{L/R}^n \right]_{L.B.} = -i(m-n) \xi_{L/R}^{m+n} + \mathcal{O}(z^\#) \quad \begin{array}{l} \text{trivial} \\ \text{Witt algebra} \end{array}$$

(same as classical 2d conformal algebra)

$$\left[ \xi_L^m, \xi_R^n \right]_{L.B.} = 0 + \mathcal{O}(z^\#)$$

- since the conserved charges associated w/ any given  $\chi_{L,R}$  is generically non-zero, the diffeomorphisms  $\xi_{L,R}[\chi]$  written above represent asymptotic symmetries, which as explained are encoded by two arbitrary functions of  $x^+/x^- \Rightarrow$  2 dim'd symmetry group which coincides w/ the 2d conformal group.
- using the representation thm, the Lie bracket algebra of the asymptotic diffeos is isomorphic to the Dirac bracket algebra of the conserved charges, up to a central extension

$$\{Q_\eta, Q_\xi\} = \delta_\xi Q_\eta = Q[\eta, \xi]_{L.B.} + \mathcal{K}_{\eta, \xi}$$

$\underbrace{\hspace{10em}}_{\text{need to compute the charge to evaluate this}}$

- the commutator of the charges is

$$\{Q_{\eta_L}, Q_{\xi}\} = \delta_\xi Q_{\eta_L} = \frac{1}{8\pi G e} \int_0^{2\pi} d\varphi \delta_\xi L(x^+) \chi_{\eta_L}(x^+) \quad \text{ind. restriction of } \eta_L$$

- if  $\xi$  is a L.M. diffeomorphism, the change in the background value of  $L(x^+)$  under its action is (obtained from  $L_\xi g_{\mu\nu}$ )

$$\delta_{\xi_L} \mathcal{L}(x^+) = 2 \mathcal{L}(x^+) \chi'_{\xi_L}(x^+) + \chi_{\xi_L}(x^+) \mathcal{L}'(x^+) - \frac{\ell^2}{2} \chi'''_{\xi_L}(x^+)$$

and thus

$$\begin{aligned} \{Q_{\eta_L}, Q_{\xi_L}\} &= \frac{1}{8\pi G \ell} \int_0^{2\pi} d\varphi \left[ 2 \mathcal{L} \chi'_{\xi_L}(x^+) + \chi_{\xi_L} \mathcal{L}'(x^+) - \frac{\ell^2}{2} \chi'''_{\xi_L}(x^+) \right] \chi_{\eta_L}(x^+) \\ &= \frac{1}{8\pi G \ell} \int_0^{2\pi} d\varphi \mathcal{L}(x^+) \left( \chi'_{\xi_L}(x^+) \chi_{\eta_L}(x^+) - \chi'_{\eta_L}(x^+) \chi_{\xi_L}(x^+) \right) - \frac{\ell}{16\pi G} \int_0^{2\pi} d\varphi \chi'''_{\xi_L}(x^+) \chi_{\eta_L}(x^+) \end{aligned}$$

$\underbrace{\hspace{15em}}_{Q_{[\eta_L, \xi_L]} \text{ D.B.}}$ 
 $\underbrace{\hspace{15em}}_{\text{central charge } K_{\eta_L, \xi_L}}$

(check of the repr. thm.)

• if  $\xi$  is a R.M. diffeo, then  $\delta_{\xi_R} \mathcal{L}(x^+) = 0 \Rightarrow \{Q_{\eta_L}, Q_{\xi_R}\} = 0$

• taking  $\chi_{\eta_L} = e^{imx^+}$   $\chi_{\xi_L} = e^{in x^+}$ , then

$$\begin{aligned} \{Q_L^m, Q_L^n\} &= -i(m-n) Q_L^{m+n} + \frac{i\ell n^3}{16\pi G} \int_0^{2\pi} d\varphi e^{i(m+n)x^+} \\ &= -i(m-n) Q_L^{m+n} - \frac{i\ell}{8G} m^3 \delta_{m+n,0} \end{aligned}$$

• passing from Dirac brackets to commutators  $[\cdot, \cdot] = i\hbar \{ \cdot, \cdot \}_{\text{D.B.}}$   
 classical  $\rightarrow$  semi-classical

and letting  $Q_L^m = \hbar L_m + \# \delta_{m,0}$ , we find

$$[L_m, L_n] = (m-n) L_{m+n} + \frac{c}{12} m(m^2-1) \delta_{m+n,0}$$

Virasoro algebra w/

$$c = \frac{3\ell}{2G} \Rightarrow 1$$

• this is the quantum symmetry algebra of a 2d CFT

• obtained from a purely classical GR calculation

$(\ell \gg \ell_{\text{Planck}})$   
 conformal anomaly

Remarks : in higher dimensional AdS, the ASG =  $SO(d,2) \approx$  isometries of the AdS vacuum solution. This is a rather common coincidence

- for  $AdS_3$ , the isometries of the vacuum are  $SO(2,2) \approx SL(2, \mathbb{R}) \times SL(2, \mathbb{R})$  which is just a small subgroup (generated by  $L_0, L_{\pm 1}$  &  $\bar{L}_0, \bar{L}_{\pm 1}$ ) of the  $\infty$ -dim'l ASG.
- thus, in general ASG  $\neq$  vacuum isometries. The symmetries of the theory are encoded in the ASG. (will see this again for flat space)
- it is also consistent to consider  $AdS_3$  gravity w/ mixed hnd. cond for the metric (= non-linear combination of  $g^{(0)}$  &  $g^{(2)}$  held fixed) This change in the def<sup>n</sup> of the grav. theory has the effect of making the dual QFT non-local