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# Massive sterile neutrinos in the Early Universe: from thermal decoupling to cosmological constraints

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Based on L. Mastrotoaro, P. D. Serpico, A. Mirizzi, N. Saviano ArXiv:2104.11752

# OUTLINE

- Introduction
- Sterile and active neutrino evolution in the Early Universe
- Temperature evolution
- Bounds on  $\nu_s$  parameter space
- Conclusions

## WORK'S AIMS

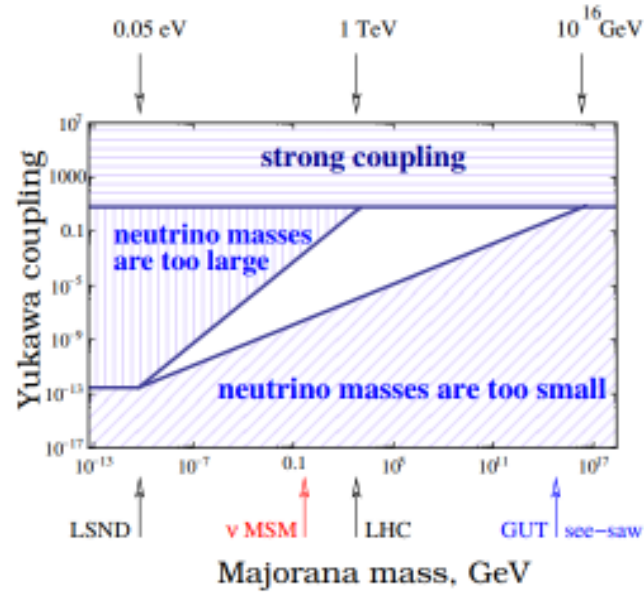
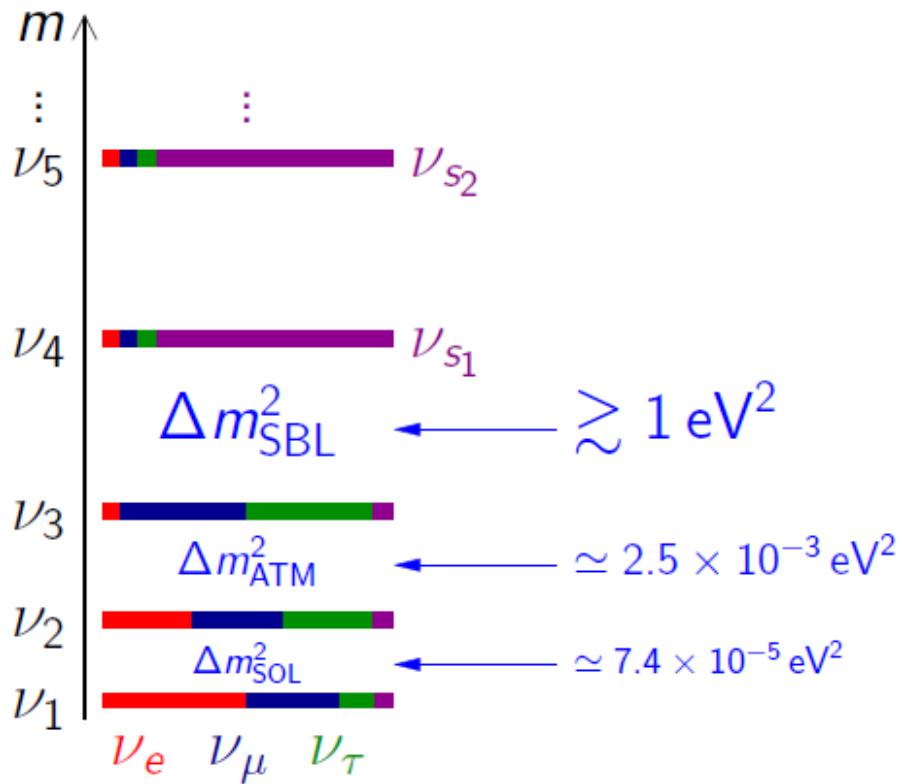
- In this work we focused on obtaining:
  - a precise calculation of the sterile neutrino evolution in the Early Universe;
  - bounds on the sterile neutrino parameters from the BBN and CMB measurement.
- The existence of sterile neutrino emerges naturally in extensions of the Standard Model, like  $\nu$ MSM. [*Shaposhnikov et al, arXiv: hep-ph/0505031*]



Interest in investigating their parameter space ( $m_s, \tau_s$ )

- From BBN and CMB one can obtain precise measurement to constraint  $\nu_s$  parameters.
- Expected improvement in the measurement from the future Stage 4 ground-based CMB experiments (CMB-S4)

# HEAVY STERILE NEUTRINOS



	N mass	$\nu$ masses	eV $\nu$ anomalies	BAU	DM	$M_H$ stability	direct search	experiment
GUT see-saw	$10^{16}$ GeV	YES	NO	YES	NO	NO	NO	-
EWSB	$10^{2-3}$ GeV	YES	NO	YES	NO	YES	YES	LHC
$\nu$ MSM	keV - GeV	YES	NO	YES	YES	YES	YES	a'la CHARM
$\nu$ scale	eV	YES	YES	NO	NO	YES	YES	a'la LSND

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \\ \nu_s \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} & U_{e4} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} & U_{\mu4} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} & U_{\tau4} \\ U_{s1} & U_{s2} & U_{s3} & U_{s4} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \\ \nu_4 \end{pmatrix}$$

Extra sterile neutrinos with masses  $m_s \gg m_a$  and mixed with the active ones through a mixing angle  $\theta_s$  are predicted in different extensions of the Standard Model

# HEAVY STERILE $\nu$ EVOLUTION

- We investigate the possibility of the existence of heavy sterile neutrinos ( $m < m_\pi$ ) might be thermally produced in the Early Universe.
- We numerically solved the exact Boltzmann equation for sterile and active neutrino population

$$x = m_0 a(t) \quad y = m_0 p$$

$$\partial_x f = \frac{I}{xH}$$

- Already studied in:
    - [Dolgov et al, ArXiv:hep-ph/0002223](#) → analytical treatment
    - [Ruchayskiy and Ivashko, ArXiv:1202.2841](#)
    - [Nashwan et al, ArXiv:2006.07387](#)
- } Numerical treatment focused on  $Y_p$

# COLLISIONAL INTEGRAL

$$I = \frac{(2\pi)^4}{2E_1} \int d^3\widehat{p}_2 d^3\widehat{p}_3 d^3\widehat{p}_4 F(f_1, f_2, f_3, f_4) S |M|^2 \delta^4(p_1 + p_2 - p_3 - p_4)$$

$|M|^2$  sum of scattering and decay processes for  $\nu_s$  and

$$F(f_1, f_2, f_3, f_4) = - \prod_i f_i \prod_f (1 \pm f_f) + \prod_i (1 \pm f_i) \prod_f f_f$$

$I$  is a 9-dimensional integral that we reduce to a 3-dimensional integral to solve numerically using the technique developed by [\[Hannestad et al, arXiv:astro-ph/9506015\]](#)

For active neutrinos, we include the neutrino oscillation:

$$I_\alpha \rightarrow \sum_\beta P_{\beta\alpha} I_\beta$$

$P_{\beta\alpha}$  is the time-average transition probability from flavour  $\beta$  to  $\alpha$

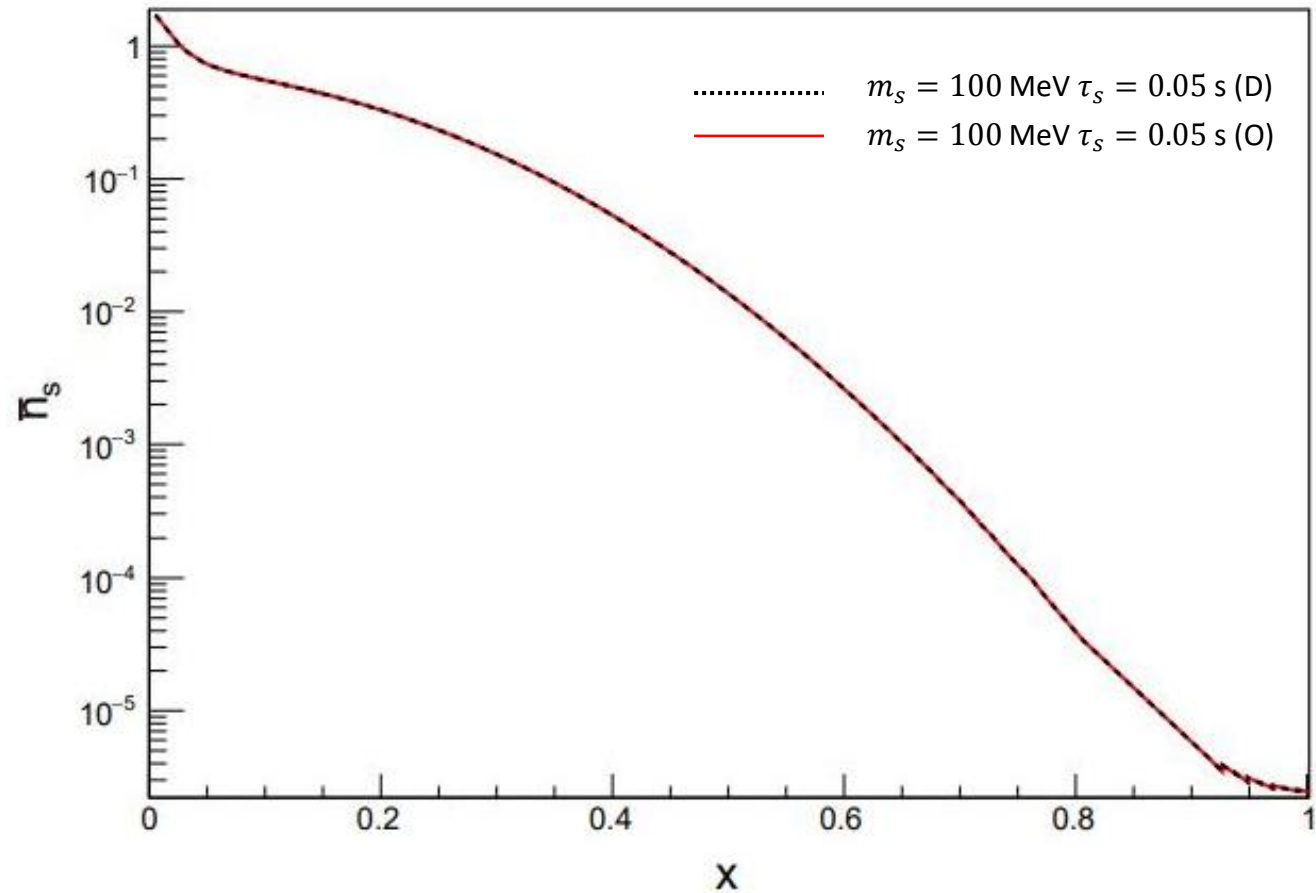
# STERILE NEUTRINO PROCESSES

Process	$G_F^{-2}  U_{\tau s} ^{-2}  M ^2$
$\nu_s + \bar{\nu}_\alpha \rightarrow \nu_\alpha + \bar{\nu}_\alpha$	$64(p_1 \cdot p_4)(p_2 \cdot p_3)$
$\nu_s + \nu_\alpha \rightarrow \nu_\alpha + \nu_\alpha$	$32(p_1 \cdot p_2)(p_3 \cdot p_4)$
$\nu_s + \bar{\nu}_\alpha \rightarrow \nu_\beta + \bar{\nu}_\beta$	$16(p_1 \cdot p_4)(p_2 \cdot p_3)$
$\nu_s + \bar{\nu}_\beta \rightarrow \nu_\alpha + \bar{\nu}_\beta$	$16(p_1 \cdot p_4)(p_2 \cdot p_3)$
$\nu_s + \bar{\nu}_\alpha \rightarrow e^+ + e^-$	$64[\tilde{g}_L^2(p_1 \cdot p_4)(p_2 \cdot p_3) + g_R^2(p_1 \cdot p_3)(p_2 \cdot p_4) - \tilde{g}_L g_R m_e^2(p_1 \cdot p_3)]$
$\nu_s + e^- \rightarrow \nu_\alpha + e^-$	$64[\tilde{g}_L^2(p_1 \cdot p_2)(p_3 \cdot p_4) + g_R^2(p_1 \cdot p_4)(p_2 \cdot p_3) - \tilde{g}_L g_R m_e^2(p_1 \cdot p_3)]$
$\nu_s + e^+ \rightarrow \nu_\alpha + e^+$	$64[g_R^2(p_1 \cdot p_2)(p_3 \cdot p_4) + \tilde{g}_L^2(p_1 \cdot p_4)(p_2 \cdot p_3) - \tilde{g}_L g_R m_e^2(p_1 \cdot p_3)]$

Process	$G_F^{-2}  U_{\alpha s} ^{-2}  M ^2$
$\nu_s \rightarrow \nu_\alpha + \bar{\nu}_\alpha + \nu_\alpha$	$32(p_1 \cdot p_4)(p_2 \cdot p_3)$
$\nu_s \rightarrow \nu_\alpha + \nu_\beta + \bar{\nu}_\beta$	$16(p_1 \cdot p_4)(p_2 \cdot p_3)$
$\nu_s \rightarrow \nu_\alpha + e^+ + e^-$	$64[\tilde{g}_L^2(p_1 \cdot p_4)(p_2 \cdot p_3) + g_R^2(p_1 \cdot p_3)(p_2 \cdot p_4) - \tilde{g}_L g_R m_e^2(p_1 \cdot p_3)]$

# TEST ON NEUTRINO EVOLUTION

- We have compared our evolution with the analytical treatment in [[Dolgov et al, arXiv: hep-ph/0002223](#)]
- Approximations in [[Dolgov et al, arXiv: hep-ph/0002223](#)] :
  - the Boltzmann limit for the equilibrium distribution;
  - $m_e = 0$ .





# STERILE NEUTRINO DECOUPLING

The temperature evolution is taken into account using

$$\frac{d}{dx} \bar{\rho}(x) = \frac{1}{x} (\bar{\rho}(x) - 3P),$$

$$\bar{\rho}_a = \frac{1}{\pi^2} \int dy y^2 \sqrt{\frac{m_a^2 x^2}{m^2} + y^2} f_a(x, y) \quad P_a = \frac{1}{3\pi^2} \int \frac{dy y^4}{\sqrt{\frac{m_a^2 x^2}{m^2} + y^2}} f_a(x, y)$$

We define  $z = Ta(t)$  and consider two main situations:

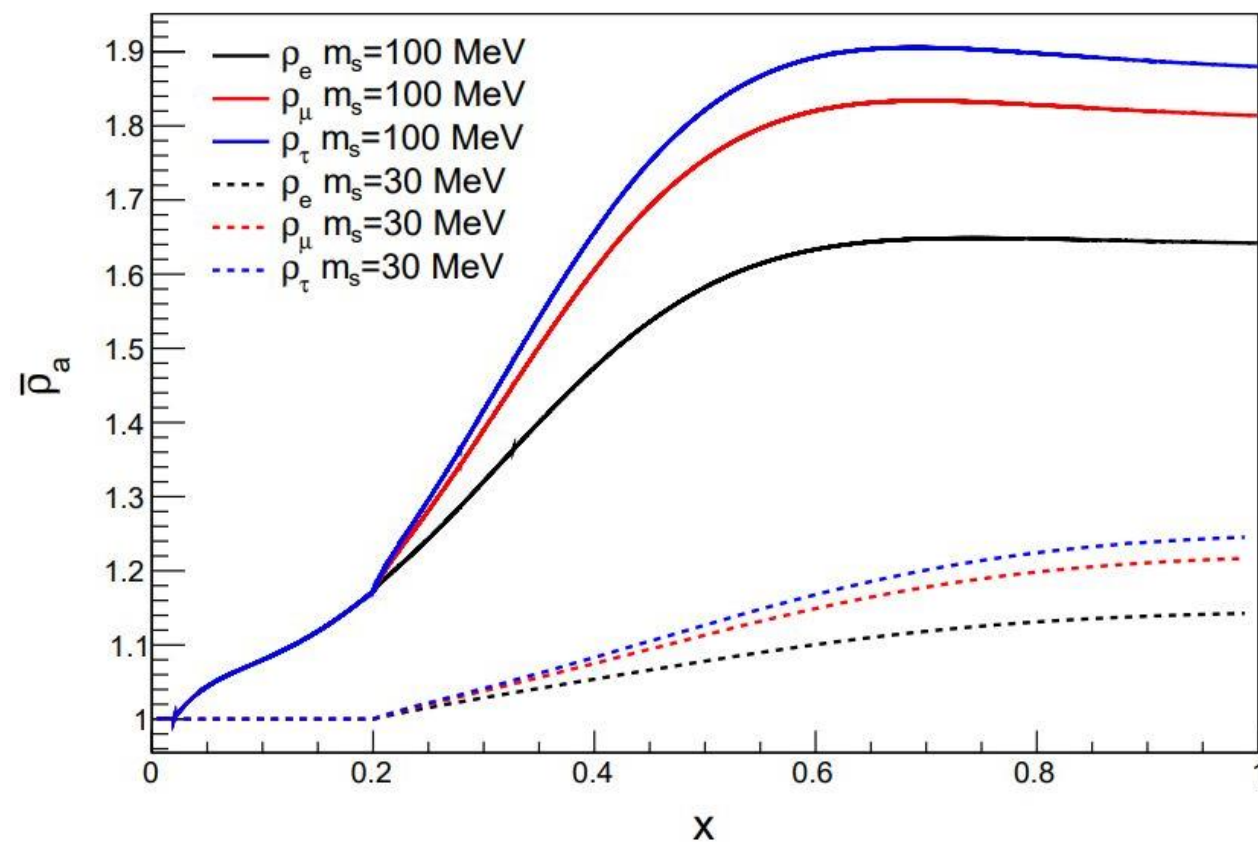
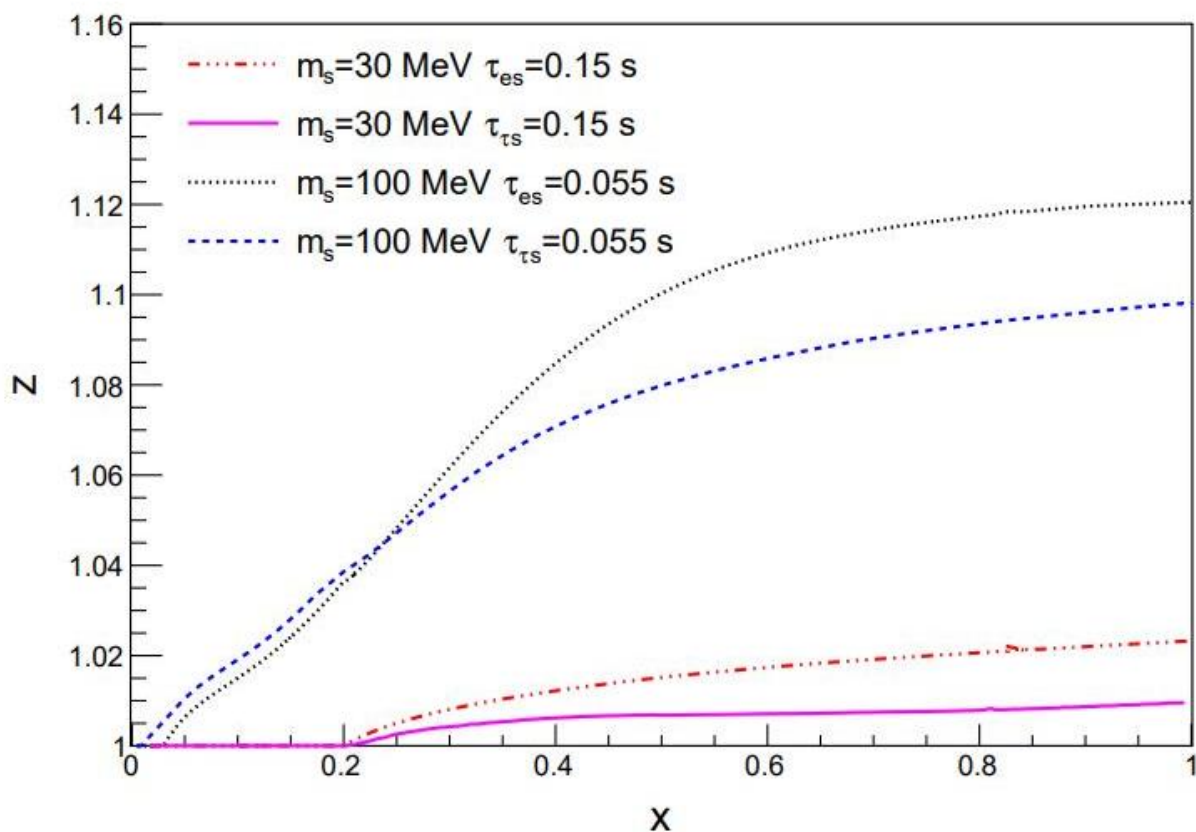
- Sterile neutrino decoupled (active-EM in equilibrium);
- Sterile neutrino and active neutrino decoupled (at  $x_d$ ).

Decoupling condition:  $\Gamma = \int d^3\widehat{p}_1 I = H$

$m_s$ [MeV]	$\sin^2 \theta_{\tau 4}$	$\tau$ [s]	$T_D^n$ [MeV]
20.0	$2.6 \times 10^{-2}$	$3.0 \times 10^{-1}$	4.35
40.0	$2.8 \times 10^{-3}$	$8.8 \times 10^{-2}$	9.24
60.0	$5.5 \times 10^{-4}$	$6.0 \times 10^{-2}$	16.83
80.0	$1.5 \times 10^{-4}$	$5.0 \times 10^{-2}$	26.53
100.0	$5.8 \times 10^{-5}$	$4.4 \times 10^{-2}$	37.10
130.0	$1.6 \times 10^{-5}$	$4.2 \times 10^{-2}$	59.13

# COMPUTATIONAL SCHEME

- Sterile neutrino distribution evolves from  $\min(150 \text{ MeV}, 2m_s)$ ;
- Temperature starts to evolve from the sterile neutrino decoupling;
- At  $x_d = 0.2$  active neutrino decouples and we track the distortion in the active neutrino spectrum.



# IMPACT ON $N_{eff}$

- Heavy  $\nu_s$  affect  $N_{eff}$  due to active spectral distortion

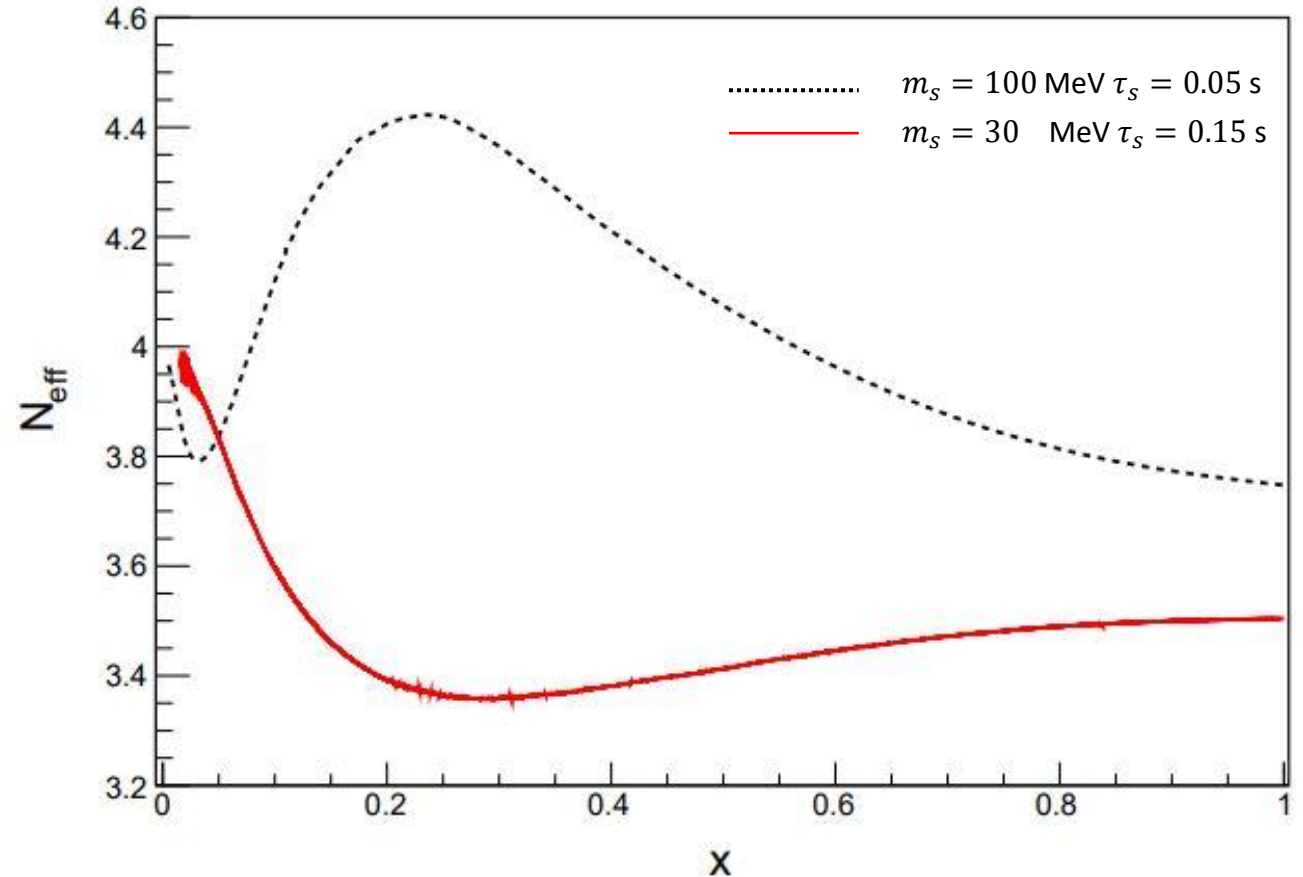
$$N_{eff} = \left(\frac{z_0}{z}\right)^4 \left( 3 + \sum_{\alpha=e}^{\tau} \frac{\Delta\rho_{\nu\alpha}}{\rho_{\nu_0}} + \frac{\rho_{\nu_s}}{\rho_{\nu_0}} \right)$$

$$z_0 = 1.40$$

- $N_{eff} = 4$  at the beginning. At the end it tends to a constant value.
- The difference for the two masses is due to the early decouple for the heavier  $\nu_s$



Boltzmann suppression only at the beginning



## IMPACT ON $Y_p$

Massive sterile neutrinos affect the  $Y_p$  value linked to the primordial  $^4\text{He}$  mass fraction.

Born estimate calculation, rescaling it to correct the systematic errors :

$$Y_p = Y_{p,SM}^{prec} \frac{Y_{p,\nu_s}^{Born}}{Y_{p,SM}^{Born}}$$

$$Y_p = 2X_n e^{-\frac{180}{\tau_n}} \quad X_n = \frac{n_n}{n_n + n_p}$$

$$\frac{dX_n}{dx} = \frac{\omega_B(p \rightarrow n)(1 - X_n) - \omega_b(n \rightarrow p)X_n}{xH}$$

The value of  $Y_p$  is affected by  $\nu_s$  in two ways:

- I. Spectral neutrino distortions;
- II. Hubble parameter changes.

## COMPARISON WITH COSMOLOGICAL OBSERVATION

Planck results:  $N_{eff} = 2.99 \pm 0.17$  and  $Y_p = 0.245 \pm 0.003$  [*Aghanimet al, arXiv:1807.06209*]

Sterile neutrinos affect  $N_{eff}$  and  $Y_p$  that are both relevant for CMB. We used a likelihood analysis

$$\chi_{CMB}^2 = (\Theta - \Theta_{obs}) \Sigma_{CMB}^{-1} (\Theta - \Theta_{obs})^T$$

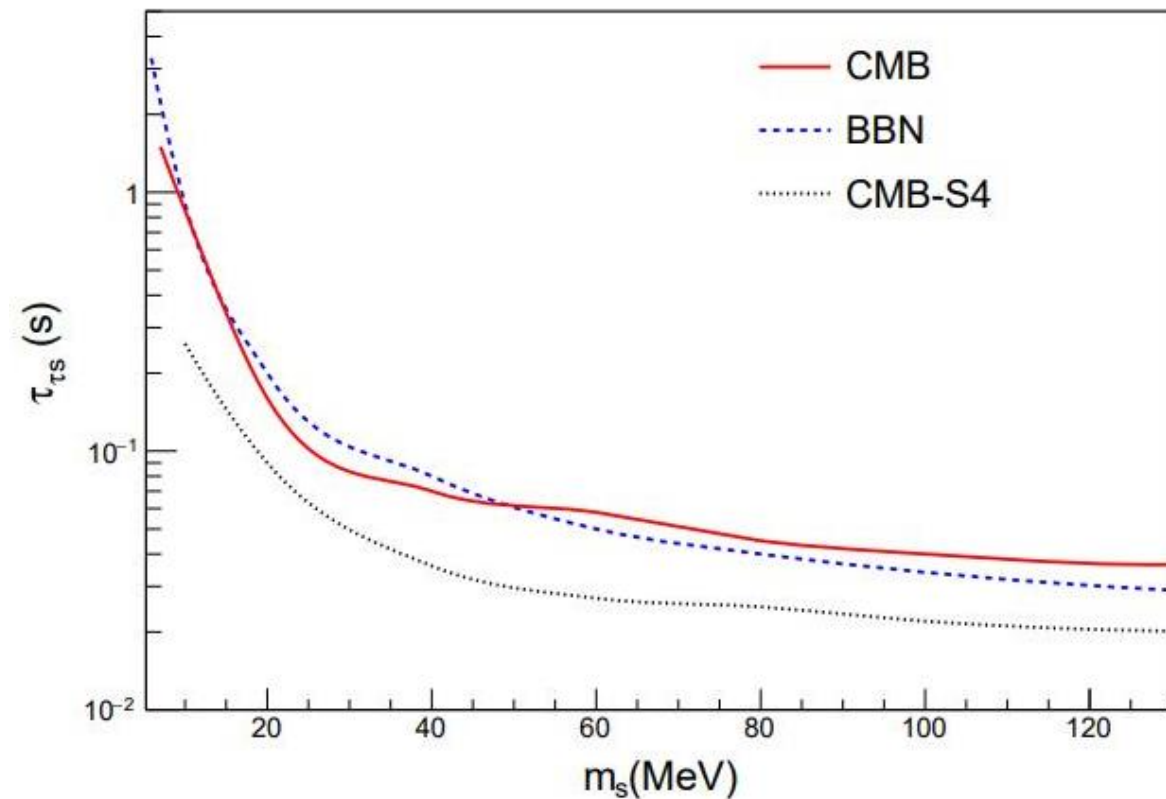
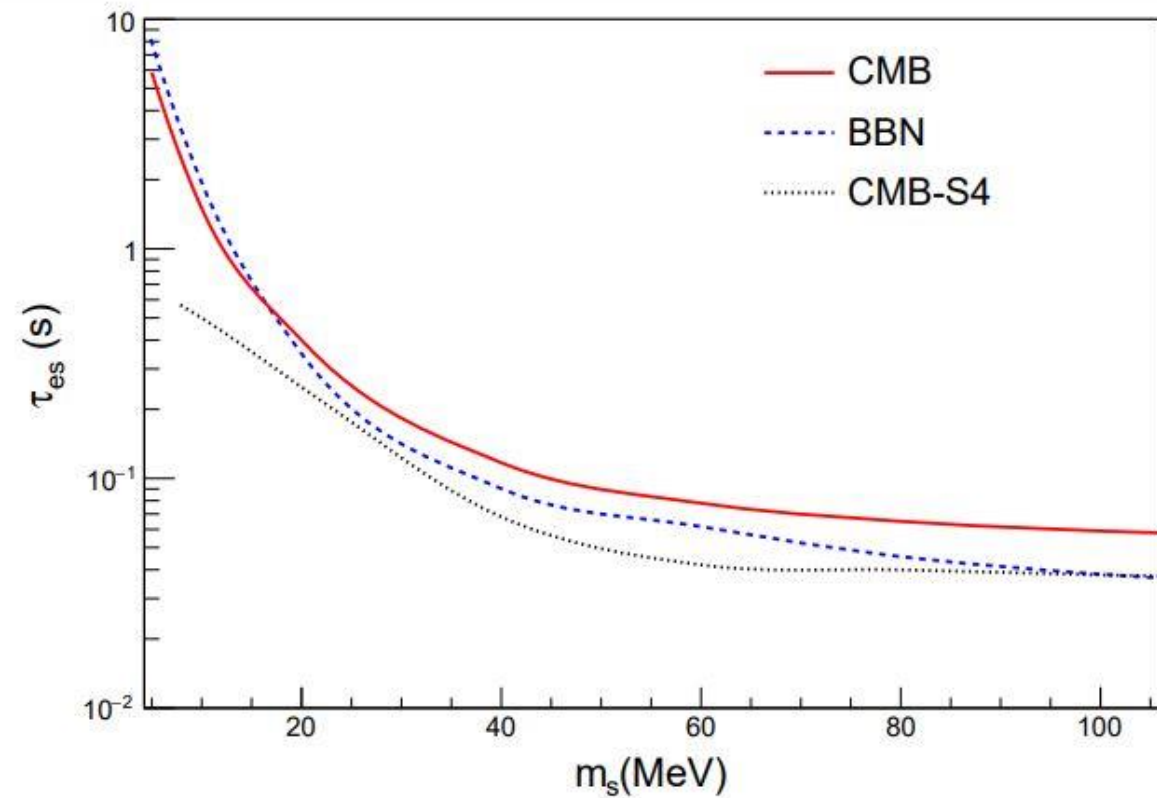
$$\Theta = (N_{eff}, Y_p) \quad \Theta_{obs} (2.97, 0.246)$$

$$\Sigma_{CMB} = \begin{pmatrix} \sigma_1^2 & \sigma_1 \sigma_2 \rho \\ \sigma_1 \sigma_2 \rho & \sigma_2^2 \end{pmatrix}$$

$$\sigma_1 = 0.2650 \quad \sigma_2 = 0.0177 \quad \rho = -0.845$$

Considered a value of  $\chi^2 = 6.18$  corresponding to 95.45% CL.

# CMB and $Y_p$ CONSTRAINTS



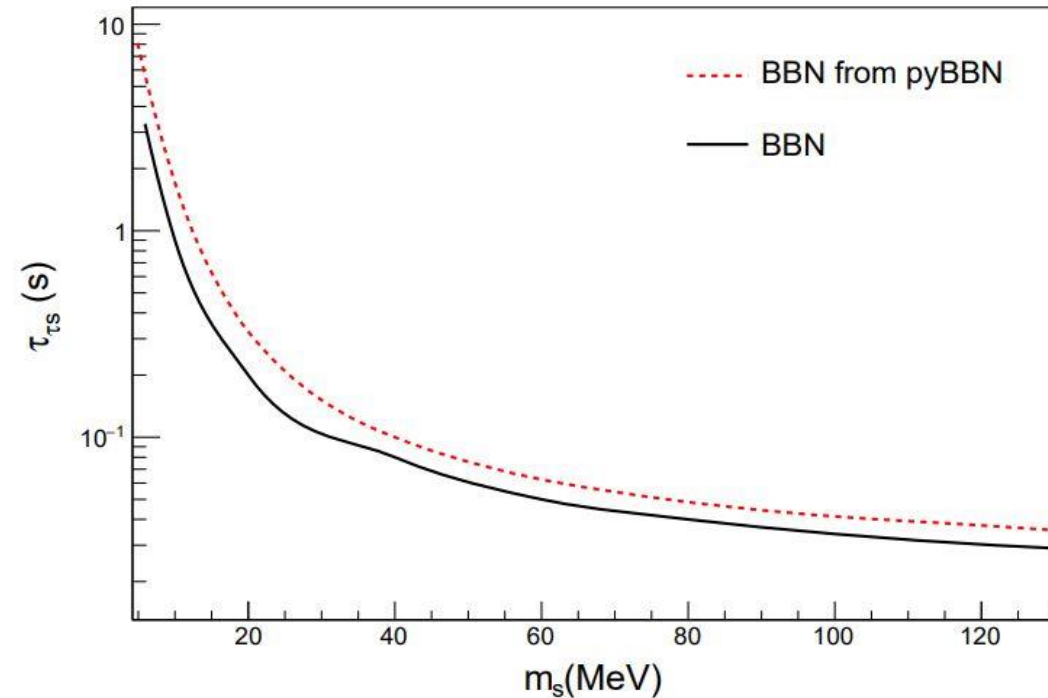
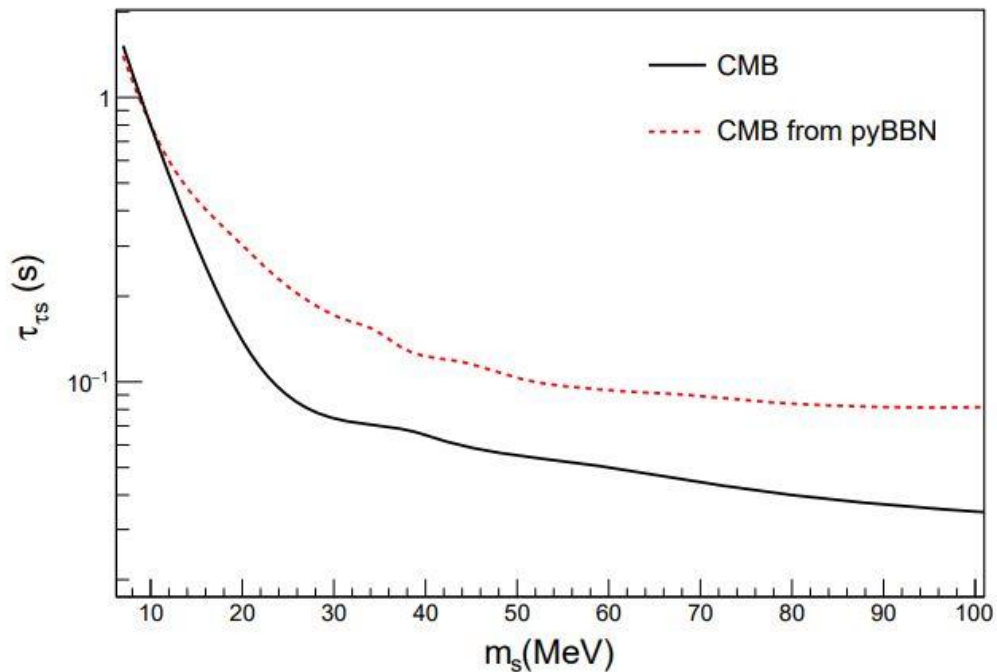
Bounds in the plane  $(m_s, \tau_s)$  obtained from CMB (red curve) and BBN- $Y_p$  (blue curve), as well as forecast sensitivity of CMB-S4 (black curve), for a sterile neutrino mixed with  $\nu_\tau$  (or  $\nu_\mu$ ) and  $\nu_e$ . The  $2\sigma$  excluded region is the one above the curves.

For the CMB-S4, the expected sensitivity is  $\sigma_1 = 0.062$  and  $\sigma_2 = 0.0053$

[Baumann et al, arXiv:1508.06342]

# COMPARISON WITH PREVIOUS BOUND

- The main improvement is obtained for the CMB. Smaller differences in the case of BBN.



Comparison of results from the CMB and BBN constraints for the decay time of  $\nu_s$  mixed only with active tauonic (or muonic) neutrino in [\[Nashwan et al, arXiv:2006.07387\]](#) and the one from our code.

# CONCLUSIONS

- We analyzed the phenomenology of sterile neutrino with  $m_s < 135$  MeV present in the Early Universe at the time of BBN
- We study sterile neutrino and temperature evolution.
- Using the constrain on  $Y_p$  and  $N_{eff}$  we have improved the bounds on the sterile parameters  $(m_s, \sin^2 \theta)$
- Finally, we have shown that the CMB-S4 will lead to constraints stronger or equal to that of the BBN.



Thanks for the attention