

Phases of five-dimensional supersymmetric gauge theories

Based on [[arXiv:2103.14049](https://arxiv.org/abs/2103.14049)]

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Video-poster

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Today's focus: 5d $\mathcal{N} = 1$ gauge theories.

They admit UV completion in a superconformal field theory (SCFT)

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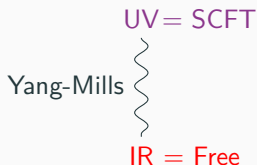
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- strongly coupled (\Rightarrow no Lagrangian).

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The gauge theories are realised via mass deformation of these SCFTs.



Observation: 5d gauge theories have a Lagrangian description.

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Compute **supersymmetry-protected** quantities, e.g. sphere partition function $\mathcal{Z}_{\mathbb{S}^5}$ or Wilson loop vev $\langle \mathcal{W} \rangle$, in *weakly coupled* gauge theory, extract info about *strongly coupled* UV SCFT.

Main tool: supersymmetric localization \implies reduce path integral to ordinary matrix integral.

Matrix model viewpoint

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Matrix model \leftrightarrow Statistical ensemble

Starting from localization on S^5 , we ask new questions about the theory (or old questions from new angles), such as phase diagram and universality.

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- We present a systematic study for theories with simple gauge group.
- A $U(N)$ motivation: $\mathcal{N} = 1$ theories with **unitary** gauge group [*Collinucci-Valandro*]. As a preliminary step to understand their UV behaviour, we compare their phase diagram with the one of theories with known UV SCFT.

5d theories from CY threefolds

Geometric construction via M-theory compactifications



SCFT \longleftrightarrow singular CY threefold X

gauge theory \longleftrightarrow resolution $\tilde{X} \rightarrow X$ of CY threefold

Extended CB moduli \longleftrightarrow curves in \tilde{X}

operations on gauge theory \longleftrightarrow operations on geometry \tilde{X}

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- **SCFT limit:** volume of all curves $\rightarrow 0$.
- **'t Hooft limit:** $\#$ of *compact* divisors $\rightarrow \infty$.
- **Veneziano limit:** $\#$ of *non-compact* divisors $\rightarrow \infty$ linearly with $\#$ of *compact* divisors.

Phases of $U(N)$ and $SU(N)$ theories: Setup

We consider a 5d theory with gauge group $U(N)$ or $SU(N)$ and hypers in the fundamental rep. We assign masses m_α to n_α of them.

The S^5 partition function is [\[Källén-Qiu-Zabzine\]](#)

$$\mathcal{Z}_{S^5} = \frac{1}{N!} \int_{\mathbb{R}^N} d\phi e^{-\sum_{a=1}^N V(\phi_a)} Z_{1\text{-loop}}^{\text{vec}}(\phi) \prod_{\alpha} \left[Z_{1\text{-loop}}^{\text{hyp}}(\phi, m_\alpha) \right]^{n_\alpha} (1 + \text{non-pert.})$$

with classical piece from BPS configuration

$$\frac{1}{\pi r^3} V(\phi) = \underbrace{h\phi^2}_{\text{YM}} + \underbrace{\frac{k}{3}\phi^3}_{\text{CS}} + \underbrace{\xi\phi}_{\text{FI}}.$$

Wick-rotated FI term serves as a Lagrange multiplier: set to 0 for $U(N)$ or use to enforce traceless condition on $SU(N)$.

Phases of $U(N)$ and $SU(N)$ theories: 't Hooft limit

We take the large N 't Hooft and Veneziano limit, $N \rightarrow \infty$ with

$$\frac{N}{h} = \lambda \text{ fixed}, \quad \frac{N}{k} = t \text{ fixed}, \quad \frac{n_\alpha}{N} = \zeta_\alpha \text{ fixed}.$$

Steepest descent method: The integral $\mathcal{Z}_{\mathbb{S}^5}$ localises onto saddle points of the *effective* action \implies study saddle point equations (SPEs).

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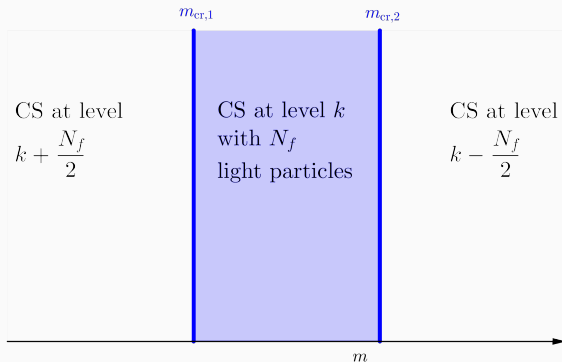
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Instead of facing directly the SPE, we take a further simplification: **small curvature limit** $\frac{1}{r} \rightarrow 0$ [Russo-Zarembo].

Example 1: One mass scale

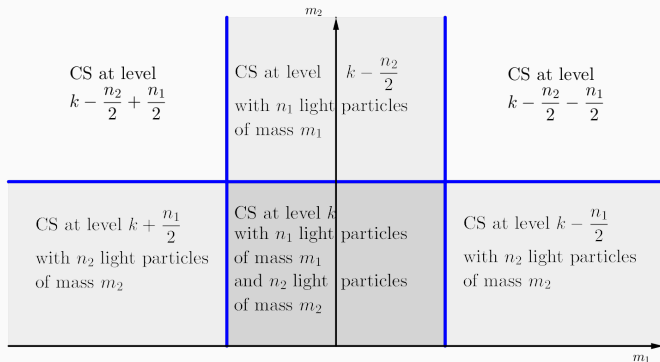
N_f hypers, all of equal mass m .



Phase transitions are **second order** if $U(N)$, **third order** if $SU(N)$.

Example 2: Two mass scales

n_1 hypers of mass m_1 and n_2 hypers of mass m_2 .



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Fundamental Wilson loops

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Studying $\partial_{m_\alpha} \langle \mathcal{W}_F \rangle$, the traceless condition is used to guarantee that the derivative is continuous \Rightarrow transitions are always **third order** for $SU(N)$.

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Study Wilson loops \rightsquigarrow confirm **spontaneous one-form symmetry breaking**.
- $SO(2N + 1)$, $SO(2N)$, $USp(2N)$: analogous to $SU(N)_{k=0}$.

Summary: Classical groups

For **classical gauge group** we obtained:

- For theories with fundamental hypers, there are **third order** phase transitions each time a mass parameters crosses a critical hypersurface (function of 't Hooft parameters).
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- The **F-theorem** is always satisfied.
- For theories with adjoint or anti-symmetric hypers the phase transitions are **second order**.

Summary: Unitary group

For **unitary gauge group** we found that

- For theories with fundamental hypers there are phase transitions that can be both **second** or **third order**, depending on the assignment of masses.
- For balanced theories our method does not distinguish between $U(N)$ or $SU(N)$.

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- For theories with fundamental hypers there are phase transitions that can be both **second** or **third order**, depending on the assignment of masses.
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For both $U(N)$ and $SU(N)$ and $\lambda = \infty$, the transitions are third order at zero mass, matching the flop transition in the CY.

5d SCFT and large N : A visual summary

