Beyond Perturbation Theory in Inflation

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• Interacting Hamiltonian: In-In formalism (weakly coupling limit)

$$\langle Q(\eta)
angle = \langle 0 | ar{\mathcal{T}} e^{i \int_{-\infty(1-i\epsilon)}^{\eta} H_{int}^{\prime}(\eta^{\prime}) d\eta^{\prime}} Q^{\prime}(\eta) \mathcal{T} e^{-i \int_{-\infty(1+i\epsilon)}^{\eta} H_{int}^{\prime}(\eta^{\prime\prime}) d\eta^{\prime\prime}} | 0
angle$$

ie-prescription \Rightarrow Bunch-Davies vacuum $|0\rangle$

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• Interacting Hamiltonian: In-In formalism (weakly coupling limit)

$$\langle Q(\eta) \rangle = \langle 0 | \bar{T} e^{i \int_{-\infty}^{\eta} (1-i\epsilon)} H_{int}^{\prime}(\eta') d\eta' Q^{\prime}(\eta) T e^{-i \int_{-\infty}^{\eta} (1+i\epsilon)} H_{int}^{\prime}(\eta'') d\eta'' | 0 \rangle$$

 $i\epsilon$ -prescription \Rightarrow Bunch-Davies vacuum |0
angle

• Example:
$$\mathcal{L} = \frac{1}{2\eta^2 P_{\zeta}} \left[\zeta'^2 - (\partial_i \zeta)^2 \right] + \frac{\lambda}{4! P_{\zeta}^2} \zeta'^4,$$

 $\frac{\langle \zeta \zeta \zeta \rangle}{P_{\zeta}^{3/2}} \sim f_{NL} P_{\zeta}^{1/2} \ll 1, \qquad \frac{\langle \zeta \zeta \zeta \zeta \rangle}{P_{\zeta}^2} \sim g_{NL} P_{\zeta} \sim \lambda \ll 1$

The expansion parameter is just λ

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Introduction

• Tails of the distribution



Main Idea

Unlikely events at the tails
$$\|$$

Semi-classical limit ($\hbar
ightarrow 0$)

The wavefunction of the Universe (WFU) $\sim e^{iS/\hbar}$ will be computed semi-classically

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• Primordial black hole formation: occurs around horizon re-entry

The mass fraction of PBH is

$$\beta(M) = \int_{\zeta_c}^{\infty} \mathcal{P}[\hat{\zeta}] d\hat{\zeta} , \qquad \hat{\zeta}(\mathbf{x}) = \int \frac{d^3k}{(2\pi)^3} W(k) \zeta(\mathbf{k}) e^{i\mathbf{k}\cdot\mathbf{x}}$$

 \Rightarrow The formation is sensitive to $\zeta\sim 1$

 $\Rightarrow \text{ The pert. theory is still valid for } f_{NL}\zeta \sim f_{NL} \ll 1$ (Single field slow-roll: $f_{NL} \sim \mathcal{O}(\epsilon, \eta)$, K-Inflation: $f_{NL} \sim (1 - 1/c_s^2)$, $|f_{NL}^{equil}| < 80$)

 \Rightarrow To study PBH formation one needs to go beyond perturbation theory

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Free theory

- The wavefunction of the Universe $\Psi[\zeta_0(\mathbf{x})] = \int_{BD}^{\zeta_0(\mathbf{x})} \mathcal{D}\zeta \ e^{iS[\zeta]/\hbar}$
- The free saddle point is (Maldacena 02)

$$\zeta_{\mathbf{k}}^{cl}(\eta) = \zeta_{\mathbf{k}}^{0} \frac{(1 - ik\eta)e^{ik\eta}}{(1 - ik\eta_{f})e^{ik\eta_{f}}}$$

 \Rightarrow *i* ϵ -prescrip. selects the correct BC at early times

$$iS[\zeta_{cl}] = i \int_{\boldsymbol{k}} \frac{1}{2P_{\zeta}\eta_{f}^{2}} \zeta_{-\boldsymbol{k}}^{cl} \partial_{\eta} \zeta_{\boldsymbol{k}}^{cl} \Big|_{\eta=\eta_{f}} = \int_{\boldsymbol{k}} \frac{1}{2P_{\zeta}} \left(\frac{ik^{2}}{\eta_{f}} - k^{3} + \dots \right) \zeta_{-\boldsymbol{k}}^{0} \zeta_{\boldsymbol{k}}^{0}$$

 $\int_{\mathbf{k}} = \int d^3k/(2\pi)^3$

 \Rightarrow The WFU is a Gaussian distribution

Interacting theory

• In perturbation theory, the WFU can be expanded as

$$\Psi = \exp\left[\frac{1}{2}\int d^3x d^3y \langle \mathcal{O}(\mathbf{x})\mathcal{O}(\mathbf{y})\rangle\zeta(\mathbf{x})\zeta(\mathbf{y}) + \frac{1}{6}\int d^3x d^3y d^3z \langle \mathcal{O}(\mathbf{x})\mathcal{O}(\mathbf{y})\mathcal{O}(\mathbf{z})\rangle\zeta(\mathbf{x})\zeta(\mathbf{y})\zeta(\mathbf{z}) + \dots\right]$$

 \Rightarrow The on-shell action amounts to computing tree-level Witten diagrams



Cosmological correlators:

$$\langle \zeta_{\mathbf{k}} \zeta_{-\mathbf{k}} \rangle' = \frac{-1}{2 \operatorname{Re} \langle \mathcal{O}_{\mathbf{k}} \mathcal{O}_{-\mathbf{k}} \rangle'}$$

$$\langle \zeta_{\mathbf{k}_{1}} \zeta_{\mathbf{k}_{2}} \zeta_{\mathbf{k}_{3}} \rangle' = \frac{2Re \langle \mathcal{O}_{\mathbf{k}_{1}} \mathcal{O}_{\mathbf{k}_{2}} \mathcal{O}_{\mathbf{k}_{3}} \rangle'}{\Pi_{i} (-2Re \langle \mathcal{O}_{\mathbf{k}_{i}} \mathcal{O}_{-\mathbf{k}_{i}} \rangle')}$$

Non-linear WFU

- \bullet Boundary conditions: BD at early times and ζ_0 at late times
- Find the non-linear classical solution to the EoM
- Compute the WFU in the semi-classical limit

$$\Psi[\zeta_0(\mathbf{x})] \sim e^{iS[\zeta_{cl}]/\hbar}$$



PDE with Gaussian profile

• The Gaussian profile at η_c : $\zeta(r) \sim \zeta_0 e^{-r^2}$



• For small λ , it reduces to perturbative result

PDE with Gaussian profile

• The on-shell action



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Future Directions: Inflation

• Explore PBH formation $\zeta_c \sim 1$, $\mathcal{P}[\zeta_k^0] = |\Psi[\zeta_0]|^2$

$$\mathcal{P}[\zeta_c] = \mathcal{N}^{-1} \int \mathcal{D}[\zeta_k^0] \, \mathcal{P}[\zeta_k^0] \, \Theta(\hat{\zeta}[\zeta_k^0] - \zeta_c)$$

- $\hat{\zeta}[\zeta_{\pmb{k}}^0] = \int_{\pmb{k}} W(k) \zeta_{\pmb{k}}^0 e^{i\pmb{k}\cdot\pmb{x}}$
- Generalize to
 - Different interactions
 - Slow-roll inflation
 - Tensor mode γ_{ij}
- Connection to large number of legs limit (e.g. Badel et al. 20)
- Any implication for AdS/CFT ? Compute the exact Z for given source ?

Two fields in dS

 \bullet Idea: take one field to be on the background and compute the other non-perturbatively

$$\mathcal{S}=\int d\eta d^3xigg[rac{1}{2\eta^2H^2}(\sigma'^2-(\partial_i\sigma)^2)+rac{1}{2\eta^2H^2}(\chi'^2-(\partial_i\chi)^2)-rac{\lambda}{\eta^4H^3}\chi\sigma^2igg]$$

• For $k_\chi \ll k_\sigma$ we have

$$S_{\sigma}=\int d\eta d^{3}xigg[rac{1}{2\eta^{2}H^{2}}(\sigma^{\prime2}-(\partial_{i}\sigma)^{2})-rac{lpha H^{2}}{2\eta^{4}}\sigma^{2}igg]$$

where $\alpha \equiv 2\lambda \bar{\chi}/H$. This is just a massive scalar field on dS whose power spectrum at late times is

$$\langle \sigma_{\mathbf{k}} \sigma_{-\mathbf{k}} \rangle' \simeq \frac{H^2}{2k^{3-\frac{2}{3}\alpha}} = \frac{H^2}{2k^{3-\frac{4}{3}\lambda\bar{\chi}/H}}$$

We have resummed all powers in $\lambda \bar{\chi}$

Two fields in dS

ullet Tree-level diagrams, enhanced by $\bar{\chi}$ and resummed



 \bullet Tree-level exchange diagrams, with fewer powers of $\bar{\chi}$



 \bullet Loop diagrams, subleading in λ



Work in progress: Spatial derivative coupling

• The spatial derivative interaction $(\partial_i \zeta)^4$

$$S = \int d^{3}x d\eta \frac{1}{P_{\zeta}} \left\{ \frac{1}{2\eta^{2}} \left[\zeta'^{2} - (\partial_{i}\zeta)^{2} \right] \pm \frac{\lambda}{4!} (\partial_{i}\zeta)^{4} \right\}$$

- All possible subtleties:
- The + sign \Rightarrow Gradient inst.
- The sign (healthy) \Rightarrow the solution becomes complex for large λ
 - Study QM in *p*-space for $\hat{x}^2 + \hat{x}^4$, $\hat{x} \sim d/dp$, $\Psi(p) \sim e^{i\sigma(p)/\hbar}$

$$\frac{p^2}{2m} + V(-\sigma'(p)) = E , \quad \sigma(p_f) = \int^{p_f} dp \ V^{-1}\left(E - \frac{p^2}{2m}\right)$$

- There are complex saddle points depending on p_f

Work in progress: Two fields model

• Two field model:
$$S = \int d\eta d^3 x \left[\mathcal{L}^0_\sigma + \mathcal{L}^0_\chi - \frac{\lambda}{\Lambda^4} (\partial_i \sigma)^2 (\partial_i \chi)^2 \right]$$

• Treat χ as a background for σ ($\textit{k}_{\chi} \ll \textit{k}_{\sigma})$

$$\sigma_{\mathbf{k}}^{\prime\prime} - \frac{2}{\eta}\sigma_{\mathbf{k}}^{\prime} + (1 + \alpha\eta^2)k^2\sigma_{\mathbf{k}} = 0, \quad \alpha \equiv \frac{2\lambda(\partial_i\bar{\chi})^2H^2}{\Lambda^4}$$

 \bullet The power spectrum of σ is

$$\langle \sigma_{\mathbf{k}} \sigma_{-\mathbf{k}} \rangle' = \frac{\pi}{8k^{3/2} \alpha^{3/4}} \frac{e^{-\pi k/(4\sqrt{\alpha})}}{\left| \Gamma\left(\frac{5}{4} + \frac{ik}{4\sqrt{\alpha}}\right) \right|^2}$$

 \Rightarrow Not analytic around $\alpha=\mathbf{0}$

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Work in progress: Two fields model

 \bullet The result matches with PT for small α

$$\langle \sigma_{\mathbf{k}} \sigma_{-\mathbf{k}} \rangle' = \frac{H^2}{2k^3} \left(1 - \frac{5\lambda(\partial_i \bar{\chi})^2 H^2}{2\Lambda^4 k^2} \right)$$

 \bullet For large α

$$\langle \sigma_{\mathbf{k}} \sigma_{-\mathbf{k}} \rangle' \simeq \frac{H^2}{k^{3/2} \alpha^{3/4}}$$

• The Wavefunction of the Universe is

$$\Psi[\sigma_0] \sim \exp[-\alpha^{3/4}\sigma_0^2]$$

 \Rightarrow This is the WFU of σ in the large background of χ

Backup Inflation

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Introduction

• Inflation: $ds^2 = -dt^2 + a^2(t)dx^2$ with $\ddot{a} > 0$

$$S = \int d^4x \sqrt{-g} \left[\frac{M_{\mathrm{Pl}}^2}{2} R + X - V(\phi) + \dots \right]$$

 $X=-(\partial\phi)^2/2.$ The background eq. for $\phi_0(t)$ is $\ddot{\phi}_0+3H\dot{\phi}_0+V'(\phi_0)+\ldots=0$

• de-Sitter space: Flat slicing

$$ds^2 = \frac{1}{H^2\eta^2}(-d\eta^2 + dx^2)$$

Introduction

• Quantum Fluctuation ζ , ζ -gauge

$$\delta \phi = 0$$
, $h_{ij} = a^2(t) \left[e^{2\zeta} \delta_{ij} + \gamma_{ij} \right]$

• Free action of ζ

$$S=\int d\eta d^3x rac{1}{2\eta^2 P_\zeta} igg[\zeta^{\prime 2} - (\partial_i \zeta)^2 igg]$$

where $P_\zeta \equiv H^2/(2\epsilon M_{
m Pl}^2)$

• Quantization as usual: $\zeta_{k}(\eta) \sim \zeta_{k}^{cl}(\eta) a_{k}^{\dagger} + \zeta_{k}^{cl}(\eta)^{*} a_{-k}$

 \Rightarrow Scale invariant power spectrum

$$\langle \zeta_{m k} \zeta_{m k'}
angle' = rac{P_\zeta}{k^3} \ , \qquad P_\zeta \ \sim 10^{-8}$$

Analogy in QM: Compute the wavefunction in the Semi-classical limit

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Euclidean Path integral

• From Path integral to the wavefunction of the ground state

$$\langle x_f | e^{-H(\tau_f - \tau_i)/\hbar} | x_i \rangle = \sum_n e^{-E_n(\tau_f - \tau_i)/\hbar} \Psi_n(x_f) \Psi_n^*(x_i)$$
$$\Psi_0(x_f) \Psi_0^*(x_i) e^{-E_0 T/\hbar} = \lim_{T \to \infty} \int_{x(\tau_i) = x_i}^{x(\tau_f) = x_f} \mathcal{D}x(\tau) e^{-S_E[x(\tau)]/\hbar}$$

• Expand $x(\tau) = x_{cl}(\tau) + y(\tau)$

$$\Psi_0(x_f) = N \ e^{-S_E[x_{cl}]/\hbar} \int_{y(\tau_i)=0}^{y(\tau_f)=0} \mathcal{D}y(\tau) e^{-\frac{1}{\hbar} \left(\frac{1}{2} \frac{\delta^2 S}{\delta x^2} y^2 + \frac{1}{3!} \frac{\delta^3 S}{\delta x^3} y^3 + \dots\right)}$$

 $\Psi_0(x_f) \simeq \mathcal{I}[x_f] e^{-S_E[x_{cl}]/\hbar}$

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Anharmonic oscillator

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$$V(x) = \hbar \omega \left[\frac{1}{2} \left(\frac{x}{d}\right)^2 + \lambda \left(\frac{x}{d}\right)^4\right], \quad d \equiv \sqrt{\hbar/m\omega}$$

 \Rightarrow The PT breaks down when $\lambda x_f^2/d^2 \equiv \bar{x}^2/2 \sim 1$

- In Euclidean space, $\mathcal{L} = \frac{1}{2}m\dot{x}^2 + V(x)$
- Real path connecting $x(\tau_i) = x_i$ and $x(\tau_f) = x_f$
- For $T = \tau_f \tau_i \rightarrow \infty \Rightarrow E = 0$

 \Rightarrow The real path with infinite amount of times is the one with zero energy



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Anharmonic oscillator: Scaling argument

- Recall the wavefunction $\Psi_0(x_f) \simeq \mathcal{I}[x_f] e^{-S_E[x_{\rm cl}]/\hbar}$
- The Euclidean action is

$$S_{E}[x(\tau)] = \int_{\tau_{i}}^{\tau_{f}} d\tau \left\{ \frac{1}{2}m\dot{x}^{2} + \hbar\omega \left[\frac{1}{2} \left(\frac{x}{d} \right)^{2} + \lambda \left(\frac{x}{d} \right)^{4} \right] \right\}$$

Rescaling $x
ightarrow (\sqrt{\hbar/\lambda}) x$, then

$$rac{S_E[x_{
m cl}(au)]}{\hbar}\simrac{1}{\lambda}F(\lambda x_f^2/d^2)$$

- The prefactor of $\mathcal{I}[x_f]$ goes as $\lambda^0 G(\lambda x_f^2/d^2) \Leftrightarrow 1$ -loop diagrams
- Neglect the higher-order in $\lambda \Leftrightarrow$ higher-loop diagrams

Anharmonic oscillator: Ground-state wavefunction

• The on-shell action with zero energy

$$\frac{S_E[x_{cl}(\tau)]}{\hbar} = \frac{1}{\hbar} \int_{\tau_i}^{\tau_f} d\tau \ m\dot{x}^2$$

$$= \frac{1}{6\lambda} \left[(1 + \bar{x}^2)^{3/2} - 1 \right]$$

$$\mathcal{I}(x_f) = \mathcal{N}_{\sqrt{\frac{m}{2\pi i \hbar v_i v_f} \int_0^{x_f} \frac{dx'}{\sqrt{3(x')}}}, \quad \bar{x}^2 \equiv 2\lambda x_f^2/d^2$$

$$x(\tau) = -\frac{d}{\sqrt{2\lambda} \sinh(\omega\tau)}$$

$$\exp\left\{ -\frac{1}{6\lambda} \left[(1 + \bar{x}^2)^{3/2} - 1 \right] \right\} (\tau)$$

$$\Psi_{0}(x_{f}) = \mathcal{N}\frac{\exp\left\{-\frac{6\lambda}{6\lambda}\left[(1+x^{2})^{-1/4}(1+\sqrt{1+\bar{x}^{2}})^{1/2}\right]\right\}}{(1+\bar{x}^{2})^{1/4}(1+\sqrt{1+\bar{x}^{2}})^{1/2}}\left(1+\mathcal{O}(\lambda)f(\bar{x})\right)$$

This is valid for arbitrary \bar{x} .

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EFT of Inflation

• The single coupling ζ'^4 can be justified in EFT of Inflation for large quartic operator (Senatore & Zaldarriaga 11)

$$\mathcal{L}_{EFT} = -M_{\rm Pl}^2 \dot{H} \left(\dot{\pi}^2 - \frac{(\partial_i \pi)^2}{a^2} \right) + M_4^4 (16 \dot{\pi}^4 - 32 \dot{\pi}^3 (\partial_\mu \pi)^2 + \ldots)$$

The coeff. of cubic operators can be set to zero: $M_2^4 (\delta g^{00})^2$, $M_3^4 (\delta g^{00})^3$ • $\pi \to \pi_c$, $\mathcal{O}(\pi_c^{N>4})$ are suppressed by $g_{\rm NL} \sim M_4^4 / (|\dot{H}| M_{\rm Pl}^2) \lesssim 10^6$

$$iS = i \int d^3x d\eta \left\{ \frac{1}{2\eta^2 P_{\zeta}} \left[\zeta'^2 - (\partial_i \zeta)^2 \right] + \frac{\lambda}{4! P_{\zeta}^2} \zeta'^4 \right\}, \quad \zeta = -H\pi_c / \dot{\phi}_0$$

The Euclidean EoM reads

$$-\zeta'' + \frac{2}{\tau}\zeta' - \partial_i^2\zeta - \frac{\lambda}{2P_\zeta}\tau^2\zeta'^2\zeta'' = 0$$

Scaling argument of $\mathcal{S}[\zeta_{ ext{cl}}]$

- Recall the WFU: $\Psi[\zeta_0(\mathbf{x})] \sim e^{-S_E[\zeta_{cl}]}$
- The Euclidean action $(\eta = -i\tau)$

$$S_E \equiv -\int d^3x d\tau \left\{ \frac{1}{2\tau^2 P_{\zeta}} \left[\zeta'^2 + (\partial_i \zeta)^2 \right] + \frac{\lambda}{4! P_{\zeta}^2} \zeta'^4 \right\}$$

• Rescaling $\zeta \to \zeta/\sqrt{\lambda}$, then

$$S_E[\zeta_{
m cl}] = rac{1}{\lambda} F\left(\lambda \zeta_0^2 / P_\zeta
ight)$$

- The relevant expansion parameter is $\lambda \zeta_0^2/P_\zeta$
- Neglect the prefactor, $\lambda^0 G(\lambda \zeta_0^2/P_{\zeta})$, and the higher orders in λ

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Witten diagrams

• Tree-level graphs, captured by semiclassical method:



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Witten diagrams

• Tree-level graphs, captured by semiclassical method:



• 1-loop graphs, would be captured by the prefactor:

$$\lambda^0 G(\lambda \zeta_0^2) \sim \lambda \zeta_0^2 + \lambda^2 \zeta_0^4 + \lambda^3 \zeta_0^6 + \dots$$



Approximation using ODE

• The derivative coupling only affects the modes of similar wavelength

$$-\zeta'' + \frac{2}{\eta}\zeta' + H^2\zeta - \frac{\lambda}{2P_{\zeta}}\eta^2\zeta'^2\zeta'' = 0 , \quad \tilde{\lambda} = \lambda\zeta_0^2/P_{\zeta}$$



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Approximation using ODE

 \bullet The rescaling in τ gives the behaviour for large λ

$$\Delta S_{ODE} = -\frac{\zeta_0^2}{P_{\zeta}} \int_{\tau_{\rm i}}^{\tau_{\rm f}} d\tau \left\{ \frac{1}{2\tau^2} \left[\zeta'^2 + H^2(\zeta^2 - 1) \right] + \frac{\tilde{\lambda}}{4!} \zeta'^4 \right\} = \frac{1}{\lambda} F(\tilde{\lambda})$$



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Approximation using ODE

• $\Psi_G \sim \exp(-\zeta_0^2/2)$, $\Psi \sim \exp(-\zeta_0^{3/2}/2)$: Ψ is heavier than Ψ_G



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• Take $M_2 = M_3 = 0$. The operators $\pi^{N>4}$ are suppressed by $g_{\rm NL}$. $\pi \to -\pi$ is an approx. symmetry when $g_{\rm NL} >> 1$. The operators with odd power will then be suppressed by $g_{\rm NL}$.

• Loop corrections to $M_2(\delta g^{00})^2$ and $M_3(\delta g^{00})^3$ also are suppressed by $g_{\rm NL}$ since their leading terms are odd in π .

- What about $(\delta g^{00})^n$?
 - For n odd, these will be suppressed by approx. symmetry

- For *n* even, no suppression \Rightarrow consider all of them or the loop integral can be cut at $\Lambda < \Lambda_U$. At least they are down by $(\Lambda/\Lambda_U)^{\#}$. Otherwise UV completion is needed

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$$\delta g^{00} = 1 + g^{00}
ightarrow -2\dot{\pi} + (\partial_\mu \pi)^2$$
 under $t
ightarrow t + \pi$

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EFT of Inflation: Large field limit

•
$$\mathcal{L}_{\zeta}$$
 from $(\delta g^{00})^4$: $(\zeta = -H\pi)$

$$S_{\zeta} = \int d^4x \sqrt{-g} \; rac{|\dot{H}| M_{
m Pl}^2}{H^2} \left[(\partial_\mu \zeta)^2 + g_{
m NL} rac{1}{H^2} \dot{\zeta}^4 + g_{
m NL} rac{1}{H^3} \dot{\zeta}^3 (\partial_\mu \zeta)^2 + \ldots
ight]$$

• Comparison with \mathcal{L}_2 :

$$rac{\mathcal{L}_4}{\mathcal{L}_2} \sim g_{
m NL} \zeta^2 \sim 1 \ , \quad rac{\mathcal{L}_5}{\mathcal{L}_2} \sim g_{
m NL} \zeta^3 = g_{
m NL} \zeta^2 \zeta \ll 1$$

for $g_{
m NL}\gg 1$ (Exp. $g_{
m NL}\ll 10^6$).

- \mathcal{L}_5 becomes important $(g_{
 m NL}\zeta^3\sim 1)$ when $g_{
 m NL}\zeta^2\gtrsim g_{
 m NL}^{1/3}$
- If $\zeta \sim 1 \Rightarrow$ all the terms inside each $(\delta g^{00})^n$ are important, e.g. $\mathcal{L}_4/\mathcal{L}_5 \sim \zeta$.

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PDE with sinusoidal profile

• The Gaussian profile at η_c : $\zeta(r) \sim \zeta_0 \sin(kx)$



• This can be easily checked with perturbation theory

Perturbative check with PDE sine wave

- Perturbative 4-pt: $iS'_{\rm int} = 3\zeta_0^2 \tilde{\lambda} k^3/(8192 P_{\zeta})$
- Numerical: $\Delta S_{PDE}^{\tilde{\lambda}} = -(\Delta S_{PDE} \Delta S_{PDE}^{0})$



• It is not generally true that when the non-linearities become important the EFT we are considering necessarily breaks down

• Take GR in which all the non-linear terms are controlled by diff-invariance but the EFT (GR) is still valid as long as ∂/Λ is small

• It's the same spirit as one considers the Vainshtein mechanism where there is a regime which is dominated by non-linear term, but the EFT is still valid

• The issue of instabilities has to be taken care of separately. We are not saying that all the solutions to the non-linear EoM are healthy (also it depends on the background we are expanding around). The presence of instabilities might signal the need of the UV completion.

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- Take $X + X^2$ in which the UV completion is known, but it does not mean that once the non-linearity becomes important the IR theory breaks down
- One can also take the DBI action and work out all the non-linear terms of DBI around $\phi_0(t)$. Again the EFT action is valid even though the non-linearities become important
- Of course the question whether the UV completion exists or not is interesting on its own, but it does not really mean that the EFT breaks down once the non-linear terms are important

Non-linearity \neq Breaking down of EFT

- UV completion of $X + X^2$
- $\mathcal{L}_{IR} = \mathcal{P}(X)$ with constant X background \Rightarrow Ghost + gradient inst. The non-linear terms are contained in X^2
- $\mathcal{L}_{UV} = -|\partial \phi|^2 \lambda (|\phi|^2 v^2)^2 \phi = \phi_0 e^{i\pi}, \langle \phi_0 \rangle = v^2 \frac{X}{2\lambda}, X = -(\partial \pi)^2$
- Around $\phi_0(t)$, $X + \beta X^2$ yields

$$S_{E} = i \int d\eta d^{3}x \frac{1}{P_{\zeta}} \left\{ \frac{1}{2\eta^{2}} [\zeta'^{2} + (\partial_{i}\zeta)^{2}] + \frac{\lambda}{4!} (\partial_{i}\zeta)^{4} + \frac{\lambda c_{s}^{2}}{6\eta} \zeta'(\partial_{i}\zeta)^{2} + \frac{\lambda c_{s}^{2}}{12} \zeta'^{2} (\partial_{i}\zeta)^{2} + \frac{\lambda c_{s}^{4}}{6\eta} \zeta'^{3} + \frac{\lambda c_{s}^{4}}{4!} \zeta'^{4} \right\}$$

No suppression due to small c_s^2 since $c_s^2 = (1 + \beta \dot{\phi}_0^2)/(1 + 3\beta \dot{\phi}_0^2) \in (1/3, 1)$

• The suppression happens for $-X+eta X^2$ for small $c_s^2\in(0,1/3)$

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• The Euclidean rotation $\eta \rightarrow iz$ can be easily shown in perturbation theory - order by order of the solution given the source is analytic

$$\zeta(\eta, \mathbf{k}) = K(\eta, \mathbf{k})\zeta_{\mathbf{k}}^{0} + \int_{-\infty(1-i\epsilon)}^{\eta_{c}} \mathrm{d}\eta' \, G(\eta, \eta'; \mathbf{k}) \frac{\delta S_{int}}{\delta \zeta(\eta', \mathbf{k})}$$

 $K(\eta, \mathbf{k})$ is bulk-boundary propagator

$$\mathcal{K}(\eta,oldsymbol{k})=rac{(1-ik\eta)}{(1-ik\eta_c)}e^{ik(\eta-\eta_c)}$$

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The bulk-bulk propagator

$$G(\eta, \eta'; \mathbf{k}) = \frac{-iH^2}{2k^3} \left[\phi_+(\eta)\phi_-(\eta') - \frac{\phi_-(\eta_c)}{\phi_+(\eta_c)}\phi_+(\eta')\phi_+(\eta) \right], |\eta| > |\eta'|$$

= $\frac{-iH^2}{2k^3} \left[\phi_+(\eta')\phi_-(\eta) - \frac{\phi_-(\eta_c)}{\phi_+(\eta_c)}\phi_+(\eta')\phi_+(\eta) \right], |\eta| < |\eta'|$

 $\phi_{-}(\eta) \equiv (1 + ik\eta)e^{-ik\eta}$, $\phi_{+}(\eta) \equiv (1 - ik\eta)e^{ik\eta}$

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Euclidean Path-Integral

- Is this the only real solution in Euclidean space ?
 - If yes, the Picard-Lefschetz thimbles \Rightarrow it is the only saddle that contributes to path integral

- If not, there are contributions from complex saddles and one needs to worry about the Stokes phenomenon (the jump in asymptotic behaviour \Rightarrow other saddles can dominate)

• In QM with quartic potential, there is only one real solution (Serone, Spada, and Villadoro 17)

Stokes phenomenon of Airy function

$$Ai(x) \equiv rac{1}{2\pi} \int_{-\infty}^{\infty} dt \ e^{rac{i}{3}t^3 + ixt}$$

- For $x \in \mathcal{R}^+$, two imaginary saddles: $\pm i \sqrt{|x|} \Rightarrow \text{Oscillatory}$
- For $x \in \mathcal{R}^-$, two real saddles: $\pm \sqrt{|x|} \Rightarrow$ Decaying and growing (neglect the growing behaviour)

• Changing from negative to positive the integral is dominated by different saddles (Stokes phenomenon)



Stokes phenomenon of Airy function

• For complex Airy function

$$Ai(z) \sim z^{-1/4} \exp\left(-rac{2}{3}z^{2/3}
ight), \quad Bi(z) \sim z^{-1/4} \exp\left(rac{2}{3}z^{2/3}
ight)$$

- Stokes lines: $Im(z^{2/3}) = 0 \Rightarrow \arg(z) = 0, \pm 2\pi/3$
- Anti-Stokes lines: $Re(z^{2/3}) = 0 \Rightarrow \arg(z) = \pm \pi/3, \pi$
- Ai(z) is subdominant in $-\pi/3 < \arg(z) < \pi/3$, dominant otherwise
- Bi(z) is dominant in $-\pi/3 < \arg(z) < \pi/3$, subdominant otherwise



(Mariño, Pasquetti, and Putrov 10)

Real time Path-Integral

- Not well-defined because of huge oscillatory behaviour
- \bullet Need to give $i\epsilon$ to have a well-defined integral
 - How many saddle points are there ? All of them contribute to path-integral ?
 - Are they analytic ? If yes, the full rotation to Euclidean can be done
- For real time instanton, the on-shell action with $i\epsilon$ is the same as the on-shell Euclidean action (Cherman and Unsal 14)
- For real time quantum tunneling, the solution with $i\epsilon$ admits poles and zeros in complex t-plane (Turok 14)

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Analytic Continuation beyond PT

• From dS to Euclidean AdS

$$ds^2 = \frac{1}{H^2\eta^2}(-d\eta^2 + dx^2)$$

Perform $\eta \rightarrow iz$ and $H \rightarrow i/L$

$$ds^2 = \frac{L^2}{z^2}(dz^2 + dx^2)$$

• It has been shown that the functional integral can be analytically continued from dS to EAdS once restricted on the analytic functions (Harlow and Stanford 11)

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