

# **SUSY gauge theories, cluster integrable systems & q-Painleve equations**

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*Supersymmetric Quantum Field Theories in the  
Non-perturbative Regime*

Galileo Institute, Florence, May, 2018

based on:

Cluster integrable systems and  $q$ -Painlevé equations,  
JHEP 02 (2018) 077, arXiv:1711.02063

Cluster Toda chains and Nekrasov functions,  
to appear in L.D.Faddeev volume of Theor. & Math. Phys.,  
arXiv:1804.10145

with Misha Bershtein & Pasha Gavrylenko

## OLD STORY (mid 90-s):

- Exact non-perturbative Seiberg-Witten solution of  $\mathcal{N} = 2$  SUSY 4d gauge theories;
- Formulated (GKMMM95) in terms of *integrable systems* (algebraic, ...), pure SUSY gauge theories  $\equiv$  affine or periodic Toda chains;
- 5d (Nekrasov96,...) generalization  $\equiv$  “relativization” of an integrable system (compact 5-th dim’s  $R \equiv \frac{1}{c}$ );
- Relativistic Toda chains on Poisson-Lie groups (Fock & AM 95-97)  $\Rightarrow$  towards *cluster* integrable systems (5d  $\equiv$  cluster).

## NEW MILLENNIUM (2000 +):

- Seiberg-Witten prepotential as a limit of Nekrasov *instanton partition functions*;
- Nekrasov functions as conformal blocks (2d CFT) and partition functions of topological strings;
- 5d generalization “more effective”, quantum mechanics on instanton moduli spaces, topological vertices etc;
- Relativistic Toda chains as cluster integrable systems: pure combinatorial approach (GK, . . .).

## PRESENT DAYS (2012 +):

- Conformal blocks and isomonodromic deformation tau-functions (Painlevé equations etc): the “Kiev formulas” (GIL-PG-MB & ALL);
- 5d SUSY gauge theories and  $q$ -deformed conformal algebras;
- Discrete integrable systems &  $q$ -difference equations: from cluster mutations.

Main motivation:

- *Non-perturbative* SUSY gauge theories: equations for partition functions (integrable systems etc);
- *Define* the partition functions as solutions – the way to take into account non-perturbative effects;
- Testing at the level of the instanton expansions.

Amazingly:

- $4d \rightarrow 4+1=5d$  (with infinitely many KK modes) – more simple, 5-th dimension as “time” for the quantum mechanics on instanton moduli spaces, Nekrasov functions are better defined;
- $q$ -deformation from dual 2d-point of view ( $q$ -Virasoro etc), and  $q$ -deformation of the Painlevé systems: more simple *discrete* dynamics, than continuous.

Lack of “physical intuition” is compensated.

Our (Bershtein-Gavrylenko-AM)

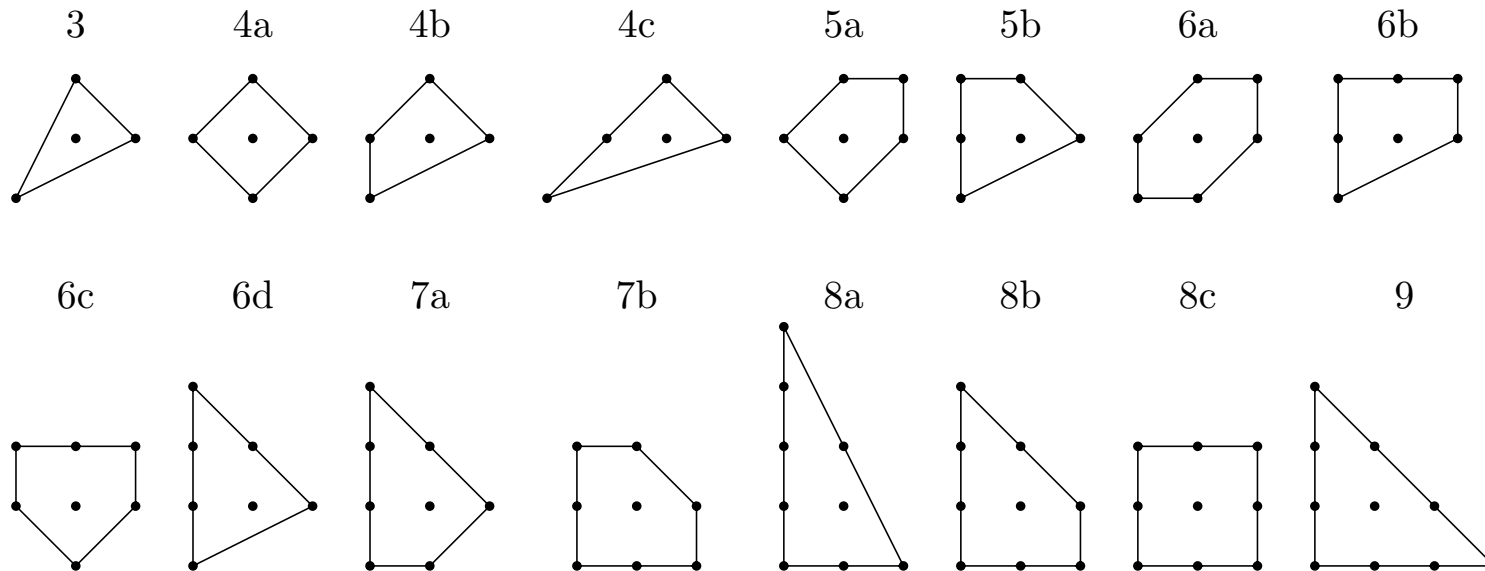
## MAIN CONJECTURE (2017):

- Deautonomization of a *cluster integrable system* (defined by a Newton polygon  $\Delta$ ), leads to  $q$ -difference equations of the Painlevé type, generated by discrete flows, treated as sequences of quiver mutations;
- In tau-variables they can be written as a system of Hirota bilinear difference equations;
- The tau-functions are given by (Fourier-)dual 5d Nekrasov partition functions or partition functions of the topological string on 3d Calabi-Yau (also determined by the same polygon  $\Delta$  as the SW curve).



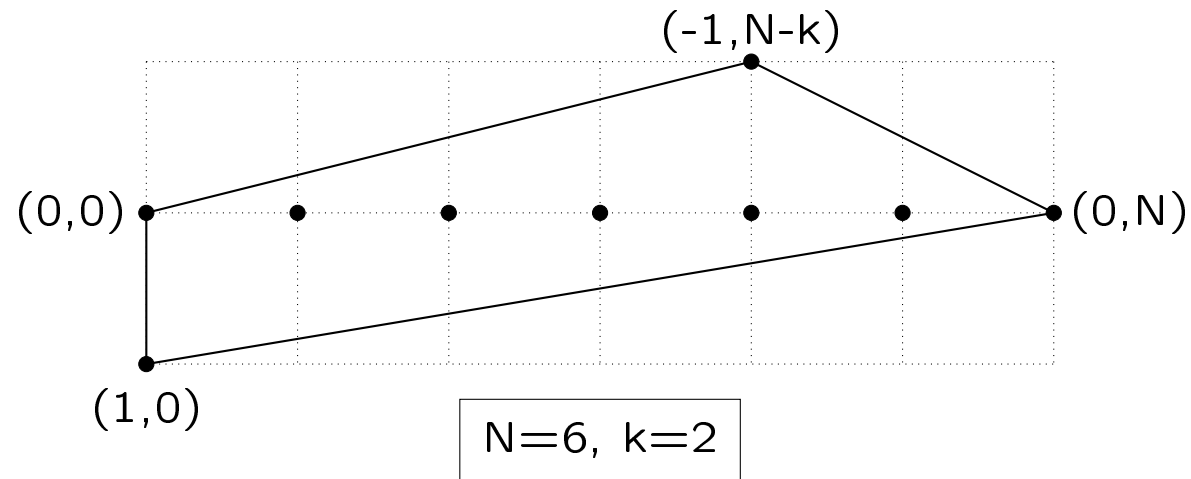
This Conjecture has been tested:

the *Painlevé case*: list of Newton polygons  $\Delta$  with a single internal point and  $3 \leq B \leq 9$  boundary points.



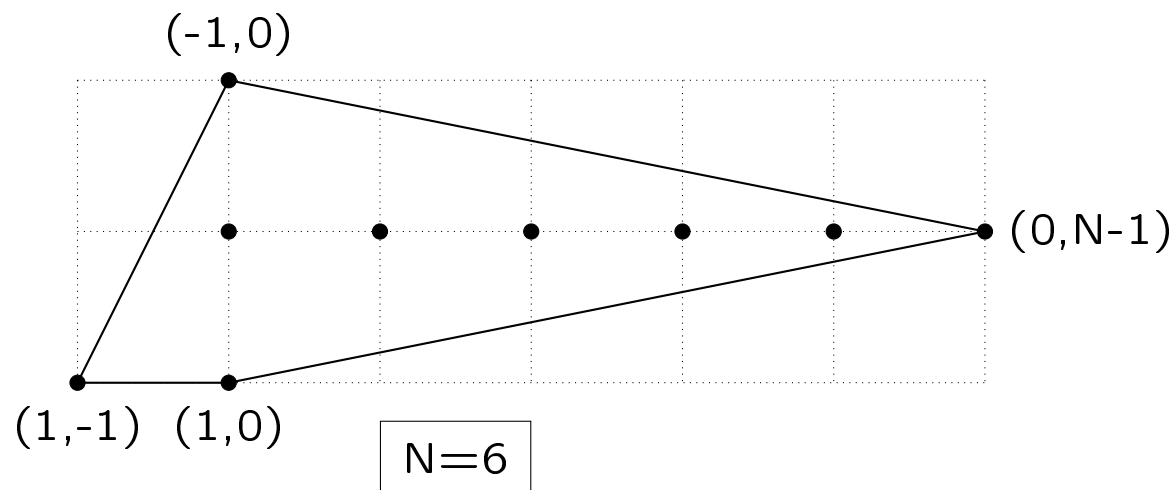
Here the SW curve  $f_{\Delta}(\lambda, \mu) = \sum_{(a,b) \in \Delta} \lambda^a \mu^b f_{a,b} = 0$  is always a torus.

the *Toda case*: Newton polygons with  $N - 1$  internal points and  $B = 4$  boundary points.



$Y^{N,k}$ -geometry,  $N$ -particle relativistic Toda chain (“true” for  $k = 0$ ) or 5d SUSY  $SU(N)$  pure gauge theory with CS-term at level  $k$ .

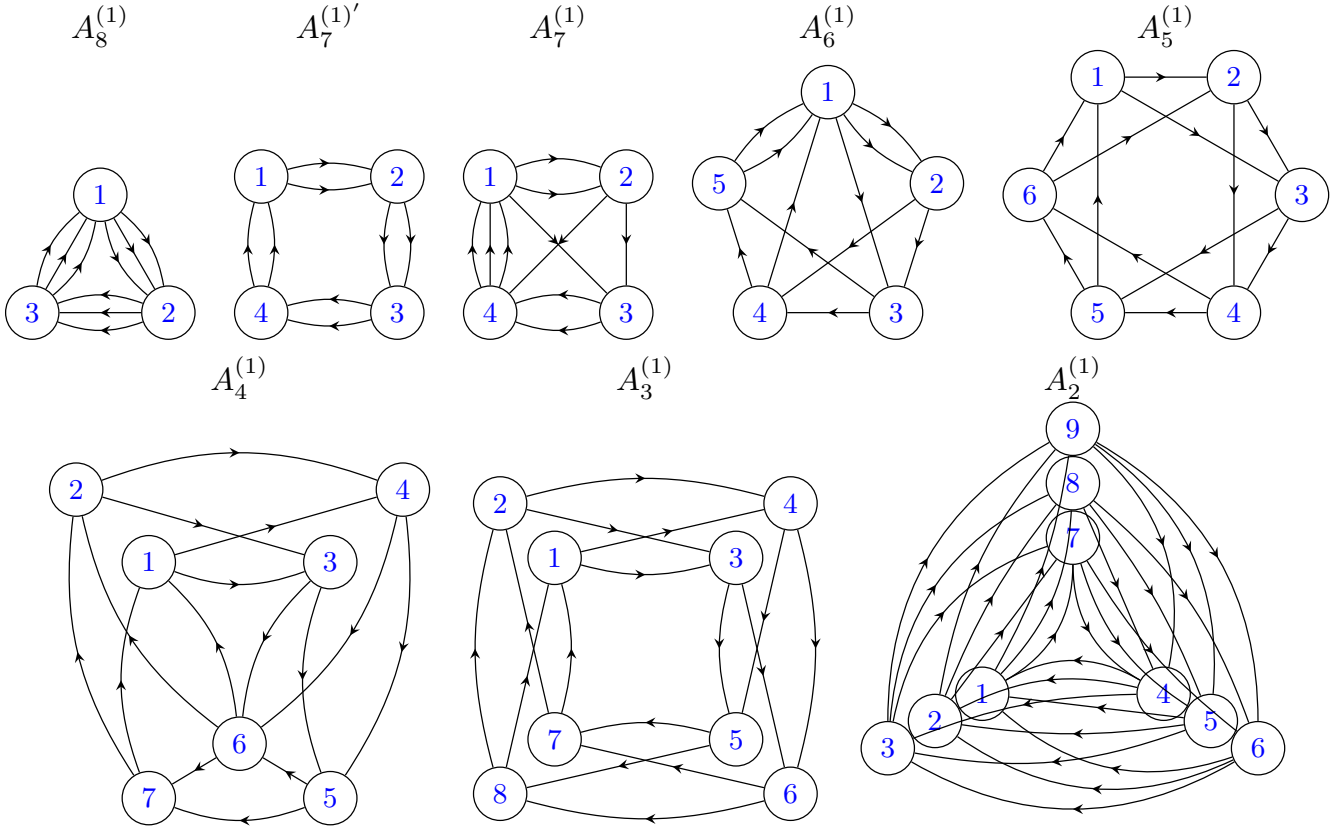
Exceptional case of  $L^{1,2N-1,2}$ -geometry.



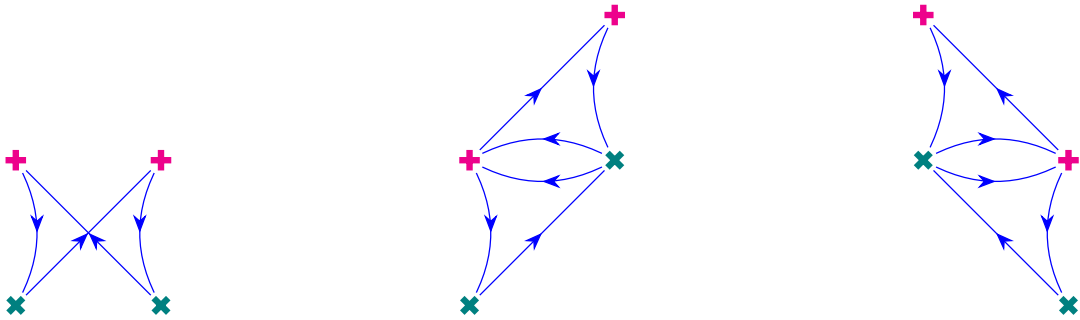
$q$ -difference equations can be constructed in the same way.

The SW curve  $f_{\Delta}(\lambda, \mu) = \sum_{(a,b) \in \Delta} \lambda^a \mu^b f_{a,b} = 0$  in Toda cases is hyperelliptic, the Krichever data  $\frac{d\lambda}{\lambda} \wedge \frac{d\mu}{\mu}$ .

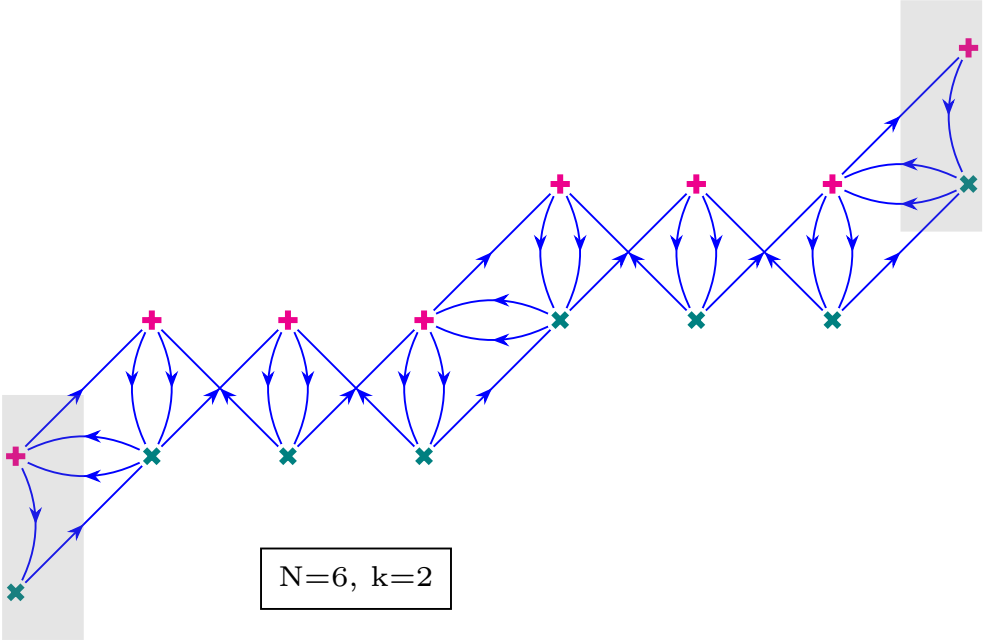
Quivers  $\mathcal{Q}$  of the “Painlevé” cluster varieties (labeled their q-Painlevé names).



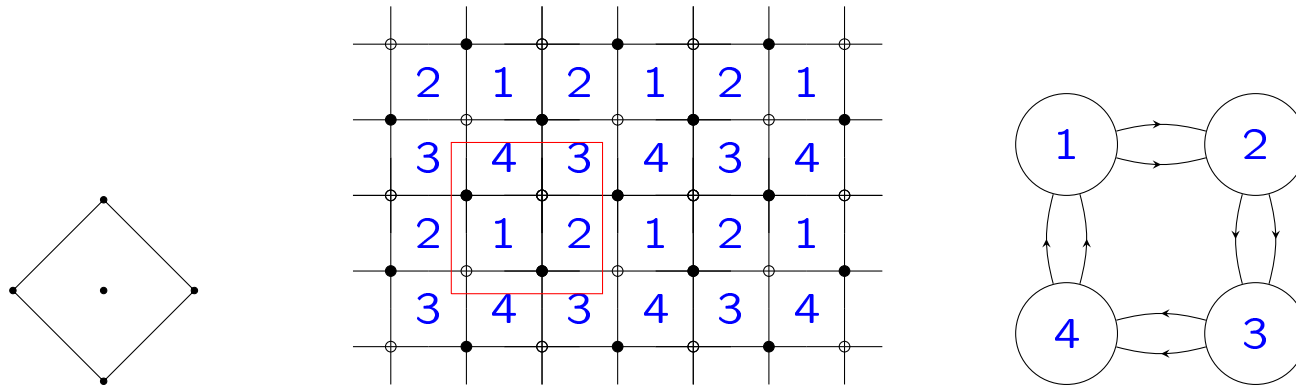
Building blocks for Toda quivers:



glued along the polyline "Motzkin paths"



$\cap$  better, than  $\cup$ : relativistic Toda (2-particle)

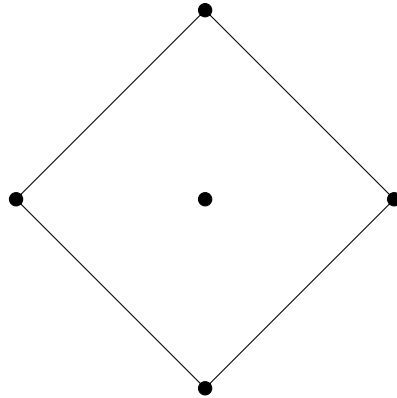


Here  $q = x_1x_2x_3x_4 (= 1)$  and  $z = x_1x_3$  are Casimir functions, if  $y = x_1$ ,  $x = x_2$ , then  $\{y, x\} = 2yx$ . The Hamiltonian

$$H = \sqrt{yx} + \sqrt{\frac{y}{x}} + \frac{1}{\sqrt{yx}} + z\sqrt{\frac{x}{y}}$$

generates discrete (algebraic) flow:  $(y, x) \mapsto (x \frac{(y+z)^2}{(y+1)^2}, y^{-1})$ .

In detail (up to  $SA(2, \mathbb{Z})$ -transform):

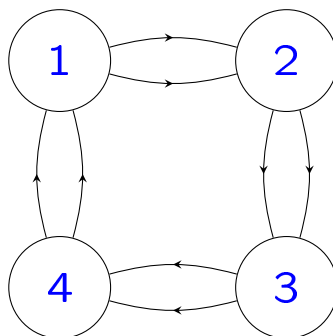


Newton polygon for the SW curve of 5d pure  $SU(2)$  gauge theory:

$$f_{\Delta}(\lambda, \mu) = \sum_{(a,b) \in \Delta} \lambda^a \mu^b f_{a,b} = \lambda + \frac{1}{\lambda} + \mu + \frac{z}{\mu} + u = 0 \quad (1)$$

spectral curve for relativistic affine 2-particle Toda at  $H = u$ .

Realized on a cluster Poisson variety with the quiver:



just means that Poisson bracket is logarithmically constant

$$\{x_i, x_j\} = \epsilon_{ij} x_i x_j, \quad i, j = 1, \dots, |\mathcal{Q}| \quad (2)$$

with the skew-symmetric matrix

$$\epsilon_{ij} = \#\text{arrows } (i \rightarrow j) = -\epsilon_{ji} \quad (3)$$

Obviously  $q = x_1 x_2 x_3 x_4$  and  $z = x_1 x_3$  are in the center of Poisson algebra.



Poisson maps include *mutations* of the graph:

$$\mu_k : \quad x_k \rightarrow \frac{1}{x_k}, \quad x_i \rightarrow x_i \left( 1 + x_k^{\text{sgn}(\epsilon_{ik})} \right)^{\epsilon_{ik}}, \quad i \neq k \quad (4)$$

Direct *quantization* of the cluster variety:

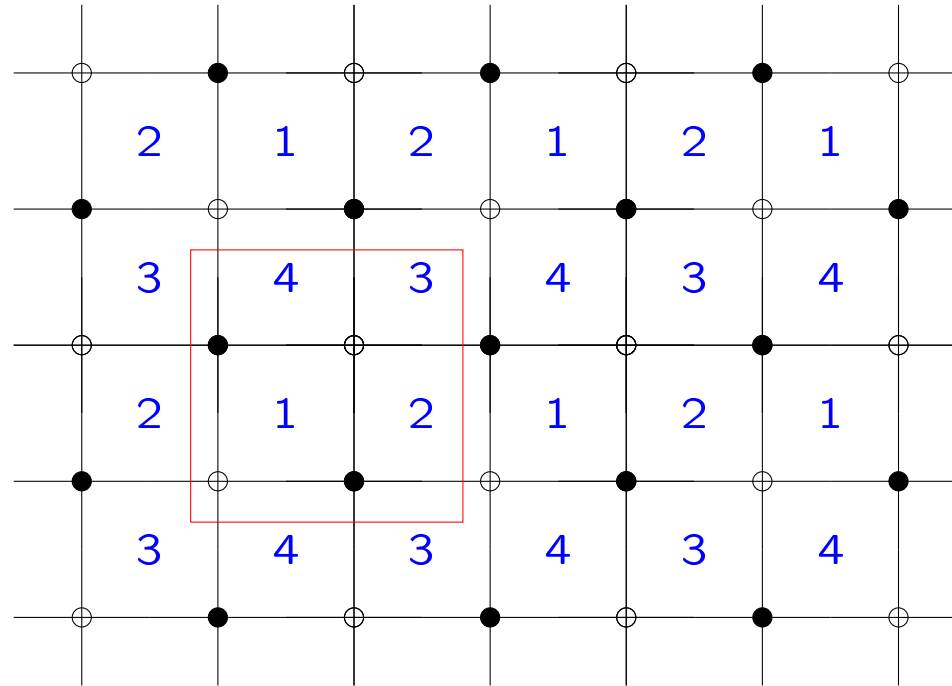
$$X_i X_j = p^{-2\epsilon_{ij}} X_j X_i, \quad i, j = 1, \dots, |\mathcal{Q}| \quad (5)$$

with quantum mutations

$$\begin{aligned} X'_k &= X_k^{-1} \\ X_i'^{1/|\epsilon_{ik}|} &= X_i^{1/|\epsilon_{ik}|} \left( 1 + p X_k^{\text{sgn} \epsilon_{ik}} \right)^{\text{sgn} \epsilon_{ik}} \end{aligned} \quad (6)$$

where  $p = \exp(-i\hbar/2)$  is multiplicative quantum parameter (do not *mix* with  $q$ ).

Finally, the dimer partition function on a bipartite graph



gives rise ... for  $q = 1$  ... to an integrable system with a 5d SW spectral curve  $Z_{\text{dimer}} \sim f_{\Delta} = \lambda + \frac{1}{\lambda} + \mu + \frac{z}{\mu} + H(\vec{x})$ .

*Deautonomization*  $q \neq 1$ :

discrete flow  $T = (1, 2)(3, 4) \circ \mu_1 \circ \mu_3$  – a sequence of mutations in the opposite vertices of the quiver

$$(x_1, x_2, x_3, x_4) \mapsto \left( x_2 \frac{(x_3 + 1)^2}{(x_1^{-1} + 1)^2}, x_1^{-1}, x_4 \frac{(x_1 + 1)^2}{(x_3^{-1} + 1)^2}, x_3^{-1} \right) \quad (7)$$

or, for  $q = x_1 x_2 x_3 x_4$ ,  $z = x_2^{-1} x_4^{-1}$  and  $F = x_1$ ,  $G = x_2^{-1}$

$$T: (z, q, F, G) \mapsto \left( qz, q, \frac{(F + qz)^2}{(F + 1)^2 G}, F \right). \quad (8)$$

Consider  $G, F$  as a functions of  $z$  such that  $T : G \mapsto G(qz) = F(z)$ , then

$$G(qz)G(q^{-1}z) = \frac{(G(z) + z)^2}{(G(z) + 1)^2} \quad (9)$$

the second order  $q$ -difference equation ( $q$ -Painlevé equation of the type  $A_7^{(1)'}$ ).

For tau-functions  $G(z) = z^{1/2} \frac{\tau_3(z)^2}{\tau_1(z)^2}$ : bilinear (non-autonomous Hirota) equations

$$\begin{aligned} \tau_1(qz)\tau_1(q^{-1}z) &= \tau_1(z)^2 + z^{1/2}\tau_3(z)^2 \\ \tau_3(qz)\tau_3(q^{-1}z) &= \tau_3(z)^2 + z^{1/2}\tau_1(z)^2 \end{aligned} \quad (10)$$

Generic equations for the  $(N, k)$ -theory

$$\tau_j(qz) \tau_j(q^{-1}z) = \tau_j(z)^2 + z^{1/N} \tau_{j+1}(q^{k/N}z) \tau_{j-1}(q^{-k/N}z)$$

$$j \in \mathbb{Z}/N\mathbb{Z}$$
(11)

are solved  $\tau_j(z) = \tau_j^{N,k}(\vec{u}, \vec{s}; q|z)$  by the “Kiev-formula”

$$\tau_j^{N,k}(\vec{u}, \vec{s}; q|z) = \sum_{\vec{\Lambda} \in Q_{N-1} + \omega_j} s^\Lambda Z_{N,k}(\vec{u}q^{\vec{\Lambda}}; q^{-1}, q|z)$$
(12)

where the sum is over the  $A_{N-1}$  root lattice,  $\{\omega_j\}$  are the fundamental weights, and 5d Nekrasov functions  $Z_{N,k} = Z_{\text{cl}}^{N,k}$ .  $Z_{1\text{-loop}}^N \cdot Z_{\text{inst}}^{N,k}$  are defined by (we use them here for  $q_1 q_2 = 1$ )

$$\begin{aligned}
Z_{\text{cl}}^{N,k} &= \exp \left( \log z \frac{\sum (\log u_i)^2}{-2 \log q_1 \log q_2} + k \frac{\sum (\log u_i)^3}{-6 \log q_1 \log q_2} \right), \\
Z_{1\text{-loop}}^N &= \prod_{1 \leq i \neq j \leq N} (u_i/u_j; q_1, q_2)_\infty, \\
Z_{\text{inst}}^{N,k} &= \sum_{\vec{\lambda}} \frac{z^{|\vec{\lambda}|} \prod_{i=1}^N T_{\lambda^{(i)}}(u; q_1, q_2)^k}{\prod_{i,j=1}^N N_{\lambda^{(i)}, \lambda^{(j)}}(u_i/u_j; q_1, q_2)}
\end{aligned} \tag{13}$$

with

$$N_{\lambda, \mu}(u, q_1, q_2) = \prod_{s \in \lambda} (1 - u q_2^{-a_\mu(s) - 1} q_1^{\ell_\lambda(s)}) \prod_{s \in \mu} (1 - u q_2^{a_\lambda(s)} q_1^{-\ell_\mu(s) - 1})$$

$$T_\lambda(u; q_1, q_2) = u^{|\lambda|} q_1^{\frac{1}{2}(\|\lambda^t\| - |\lambda^t|)} q_2^{\frac{1}{2}(\|\lambda\| - |\lambda|)} = \prod_{(i,j) \in \lambda} u q_1^{i-1} q_2^{j-1},$$

and  $\vec{\lambda} = (\lambda^{(1)}, \dots, \lambda^{(N)})$ ,  $|\vec{\lambda}| = \sum |\lambda^{(i)}|$ ,  $|\lambda| = \sum \lambda_j$ ,  $\|\lambda\| = \sum \lambda_j^2$ .

## Solutions:

- Given in terms of 5d Nekrasov functions for the  $SU(N)$  theory with CS-term at level  $|k| \leq N$ ;
- Depend on the vacuum condensates  $u = e^{Ra}$ , dual parameters  $s$  ( $\sim e^{RaD}$ ) and  $q = q_2 = q_1^{-1}$  for the parameters  $\{q_i = e^{R\epsilon_i}\}$  of  $\Omega$ -background (*non-refined* case);
- Substitution lead to bilinear equations for  $q$ -deformed conformal blocks, which resemble the blow-up equations;
- Turn at  $q \rightarrow 1$  to the  $\Theta$ -function solutions of autonomous Hirota equations.

Refined case  $q_1 q_2 = p \neq 1$  corresponds to the *quantization* of cluster variety.

Quantum  $q$ -difference Painlevé equation

$$\begin{cases} G^{1/2}(q^{-1}z) G^{1/2}(qz) = \frac{G(z) + pz}{G(z) + p}, \\ G(z)G(q^{-1}z) = p^4 G(q^{-1}z)G(z) \end{cases} \quad (14)$$

now with two different ( $q$  and  $p$ !) parameters.

Instead of functions  $G(z)$  are now elements of a non-commutative algebra, equation depends on the quantum parameter  $p$ .



The corresponding quantum tau-functions  $G(z) = pz^{1/2}\mathcal{T}_1^2\mathcal{T}_3^{-2}$ ,  $G(qz) = pq^{1/2}z^{1/2}\mathcal{T}_2^2\mathcal{T}_4^{-2}$  satisfy

$$\begin{aligned}\mathcal{T}_1(q^{-1}z)\mathcal{T}_1(qz) &= \mathcal{T}_1(z)^2 + p^2z^{1/2}\mathcal{T}_3(z)^2 \\ \mathcal{T}_3(q^{-1}z)\mathcal{T}_3(qz) &= \mathcal{T}_3(z)^2 + p^2z^{1/2}\mathcal{T}_1(z)^2,\end{aligned}\tag{15}$$

and are still given by Kiev formulas ( $q_2 = q^{1/2}$ ,  $q_1 = q_2^{-1}p^2$ )

$$\begin{aligned}\mathcal{T}_1 &= a \sum_{m \in \mathbb{Z}} s^m Z(uq_2^{4m}|z), & \mathcal{T}_2 &= ab \sum_{m \in \mathbb{Z}} s^m Z(uq_2^{4m}|q_2^2z), \\ \mathcal{T}_3 &= ia \sum_{m \in \frac{1}{2} + \mathbb{Z}} s^m Z(uq_2^{4m}|z), & \mathcal{T}_4 &= iab \sum_{m \in \mathbb{Z} + \frac{1}{2}} s^m Z(uq_2^{4m}|q_2^2z).\end{aligned}\tag{16}$$

but with the *non-commutative* parameters

$$\begin{aligned}q_2^2a &= p^{-2}aq_2^2 \\ us &= p^4su, & zb &= p^2bz\end{aligned}\tag{17}$$

Main conclusions:

- For 5d SUSY gauge theories the non-perturbative partition functions satisfy  $q$ -difference equations of the Painlevé type;
- These equations are generated by mutations of corresponding cluster varieties, whose quantization gives rise to refined topological strings.

**Thank you!**