## QCD - introduction

lagrangian, symmetries, running coupling, Coulomb gauge

## Lagrangian

### Quantum Chromodynamics

we require a theory which

\* has approximate chiral symmetry

% has approximate SU(3) flavour symmetry

# accounts for the parton model

% has colour

# and colour confinement

% is renormalizable

### QCD

### gauge $SU_{C}(3)$

local gauge invariance (QED):

$$\mathbf{A} \to \mathbf{A} + \nabla \Lambda \qquad \phi \to \phi - \dot{\Lambda}$$

impose local gauge symmetry:

$$\psi(x) \to \mathrm{e}^{-i\Lambda(x)}\psi(x)$$

and get an interacting field theory:

$$\mathcal{L} = \int \bar{\psi} \gamma^{\mu} \partial_{\mu} \psi \to \int \bar{\psi} \gamma^{\mu} (\partial_{\mu} + ieA_{\mu}) \psi \qquad A_{\mu} \to A_{\mu} + \partial_{\mu} \Lambda$$

### Quantum Chromodynamics local gauge invariance (QCD):

impose local gauge symmetry:  $\psi(x)_a \rightarrow U_{ab}\psi(x)_b$ 

for invariance of L:

### QCD

$$\mathcal{L}_{QCD} = \sum_{f}^{n_{f}} \bar{q}_{f} [i\gamma_{\mu}(\partial^{\mu} + igA^{\mu}) - m_{f}]q_{f} - \frac{1}{2} \mathrm{Tr}(F_{\mu\nu}F^{\mu\nu})$$

$$F_{\mu\nu} = \partial_{\mu}A_{\nu} - \partial_{\nu}A_{\mu} + ig[A_{\mu}, A_{\nu}]$$

$$A_{\mu} = A_{\mu}^{a}\frac{\lambda^{a}}{2}$$
flavour, colour, Dirac indices
$$[\frac{\lambda^{a}}{2}, \frac{\lambda^{b}}{2}] = if^{abc}\frac{\lambda^{c}}{2}$$

$$\mathrm{Tr}(\lambda^{a}\lambda^{b}) = 2\delta^{ab}$$

$$\mathcal{L}_{\theta} = \theta \frac{g^2}{64\pi^2} F^{\mu\nu} \tilde{F}_{\mu\nu}$$

## Symmetries

symmetries in (classical) field theory

$$q \to f(q) \longrightarrow j_{\mu} \longrightarrow \partial_{\mu} j^{\mu} = 0$$

$$\frac{d}{dt} \int d^3x \, j_0 \equiv \frac{d}{dt} Q = \int d^3x \, \nabla \cdot \vec{j} = 0$$

 $U(1)_V$ 

$$q \rightarrow e^{-i\theta}q$$
  $j_{\mu} = \bar{q}\gamma_{\mu}q = \bar{u}\gamma_{\mu}u + \bar{d}\gamma_{\mu}d$   $Q = \int d^{3}x (u^{\dagger}u + d^{\dagger}d)$   
symmetry current charge

'baryon number conservation' [violated by EW anomaly]

 $p \not\rightarrow e^+ \nu$ 

in full SM need 1-gamma\_5, which intrduces anomaly, 't Hooft efff L has a prefactor of exp(-2 pi/alpha\_2) ~ 10^-70

$$U(1)_A \qquad m_u = m_d = 0$$

$$\begin{array}{ll} q \to \mathrm{e}^{-i\gamma_5\theta} q & j_{\mu5} = \bar{u}\gamma_{\mu}\gamma_5 u + \bar{d}\gamma_{\mu}\gamma_5 d & Q_5 = \int d^3x \left( u^{\dagger}\gamma_5 u + d^{\dagger}\gamma_5 d \right) \\ \text{symmetry} & \text{current} & \text{charge} \end{array}$$

this symmetry does not exist in the quantum theory

$$\partial^{\mu} j_{\mu 5} = \frac{3\alpha_s}{8\pi} F\tilde{F}$$

scale invariance  $m_u = m_d = 0$ 

 $\begin{array}{ll} x \to \lambda x \\ q \to \lambda^{3/2} q(\lambda x) \\ A \to \lambda A(\lambda x) \end{array} & j_{\mu} = x_{\nu} \Theta^{\mu\nu} \\ \text{symmetry} & \text{current} \end{array}$ 

$$\partial^{\mu} j_{\mu} = \Theta^{\mu}_{\mu} = 0$$

this symmetry does not exist in the quantum theory

$$\Theta^{\mu}_{\mu} = m\bar{q}q + \frac{\alpha_s}{12\pi}F^2$$

 $SU(3)_V$   $m_u = m_d$  isospin

$$q \rightarrow e^{i\theta T_{F}^{a}}q$$
  $j_{\mu}^{a} = \bar{\psi}\gamma_{\mu}T_{F}^{a}\psi$   $Q^{a} = \int d^{3}x\psi^{\dagger}T_{F}^{a}\psi$   
symmetry current charge

$$Q^{+}|\pi^{-}\rangle = \frac{1}{\sqrt{2}} \int d^{3}x \, \left(b^{\dagger}(\mathbf{x})\tau^{+}b(\mathbf{x}) - d^{\dagger}(\mathbf{x})\tau^{-}d(\mathbf{x})\right)|\pi^{-}\rangle = |\pi^{0}\rangle$$
$$H|\pi^{-}\rangle = E_{\pi^{-}}|\pi^{-}\rangle; \quad Q^{+}H|\pi^{-}\rangle = E_{\pi^{-}}|\pi^{0}\rangle; \quad H|\pi^{0}\rangle = E_{\pi^{-}}|\pi^{0}\rangle$$

this symmetry is explicitly broken by quark mass and EW effects

$$\mathbf{SU(3)}_{\mathbf{A}} \qquad m_u = m_d = 0$$

$$q \to e^{-i\theta T^{a}\gamma_{5}}q \qquad j^{a}_{\mu} = \bar{\psi}\gamma_{\mu}\gamma_{5}T^{a}\psi \qquad Q^{a}_{5} = \int d^{3}x\psi^{\dagger}\gamma_{5}T^{a}\psi$$
  
symmetry current charge

This symmetry is realised in the Goldstone mode.

transform the vacuum:

$$e^{i\theta^{a}Q_{5}^{a}}|0\rangle = |0\rangle$$
  
$$Q_{5}^{a}|0\rangle = 0$$
 } Wigner mode

 $SU(3)_{A}$ 

HFM: spin direction is signalled out (NOT by an external field) it could be any direction, so small fluctutatiopns (or large) do not cost energy -> gapless dispersion relationship, E ~ k or k^2.

 $e^{i\theta^{a}Q_{5}^{a}}|0\rangle = |\theta\rangle \neq |0\rangle \qquad \left. \right\} \text{Goldstone mode}$ 

$$H|\theta\rangle = He^{i\theta^a Q_5^a}|0\rangle = e^{i\theta^a Q_5^a}H|0\rangle = E_0|\theta\rangle$$

## so there is a continuum of states degenerate with the vacuum

Excitations of the vacuum may be interpreted as a particle. In this case fluctuations in theta are massless particles called Goldstone bosons.

### SU(3)<sub>A</sub>

Goldstone boson quantum numbers:

$$|\delta\theta\rangle = \theta^a Q_5^a |0\rangle$$

$$\sim \theta^a \int d^3x b^{\dagger}(\mathbf{x}) T_F^a d^{\dagger}(\mathbf{x}) |0\rangle$$

spin singlet, spatial singlet, flavour octet  $\Rightarrow$  the pion octet

# Chiral Symmetry Breaking

 $SU_L(2) \times SU_R(2) \times U_A(1) \times U_V(1)$ 

# Isospín Invariance

$$\psi \to \mathrm{e}^{i\theta \cdot \tau} \psi$$

$$j^a_{V\mu} = \bar{\psi}\gamma_\mu \tau^a \psi$$

$$Q_V^a = \int d^3x \psi^\dagger \tau^a \psi$$

$$[H, Q_V^a] = 0$$

## Isospín Invariance

 $[H, Q_V^a] = 0$ 

 $H(Q_V^a|M\rangle) = E_M(Q_V^a|M\rangle)$ 

$$Q_V^a = \int \frac{d^3k}{(2\pi)^3} \left[ b_{\mathbf{k}}^{\dagger} \tau^a b_{\mathbf{k}} - d_{\mathbf{k}}^{\dagger} (\tau^a)^T d_{\mathbf{k}} \right]$$

$$Q_V^+ |\rho^0\rangle = Q_V^+ \frac{1}{\sqrt{2}} (|u\bar{u}\rangle - d\bar{d}\rangle)$$
$$Q_V^+ |\rho^0\rangle = \frac{1}{\sqrt{2}} (-|u\bar{d}\rangle - |u\bar{d}\rangle)$$
$$Q_V^+ |\rho^0\rangle \propto |\rho^+\rangle$$

Axial Symmetry

$$\psi \to \mathrm{e}^{i\gamma_5\theta \cdot \tau}\psi$$

$$j^a_{A\mu} = \bar{\psi}\gamma_\mu\gamma_5\tau^a\psi$$

$$Q_A^a = \int d^3x \psi^\dagger \gamma_5 \tau^a \psi$$

 $[H, Q_A^a] = 0$ 

Axial Symmetry

$$Q_{A1}^{a} = \int \frac{d^{3}k}{(2\pi)^{3}} c_{k} \left[ b_{\mathbf{k}\lambda}^{\dagger} 2\lambda b_{\mathbf{k}\lambda} - d_{-\mathbf{k}\lambda} 2\lambda d_{-\mathbf{k}\lambda}^{\dagger} \right]$$

#### $Q_{A1}^{a}(|++\rangle+|--\rangle) = (|++\rangle-|--\rangle)$

 $Q_{A1}^{a}\left(\left|+-\right\rangle+\left|-+\right\rangle\right)=0$ 

$$J_H^{(J)(J)} = J^{(J+1)(J)}$$

Axíal Symmetry

$$Q_{A2}^{a} = \int \frac{d^{3}k}{(2\pi)^{3}} s_{k} \left[ b_{\mathbf{k}}^{\dagger} \tau^{a} d_{-\mathbf{k}}^{\dagger} + d_{-\mathbf{k}} \tau^{a} b_{\mathbf{k}} \right]$$

### píon RPA creation operator!

$$Q^a_{A2}|M\rangle = |M\pi^a\rangle$$

Goldstone's theorem says nothing about the excited pion spectrum.

 $\pi'(1300) \approx \rho'(1450)$ 

## gluons

### Quantum Chromodynamics



Q: are these peculiar gluons real? There was indirect evidence from deviation from DIS scalang. Direct evidence was achieved at DESY with three jet events. REF:http://cerncourier.com/cws/article/cern/ 39747

#### A three jet event at DESY. August, 1979

John Ellis, Mary Gaillard, Graham Ross

# Running Coupling

#### running coupling

Khriplovich Yad. F. 10, 409 (69)



 $\mu \frac{dg(\mu)}{d\mu} = -\frac{\beta_0}{(4\pi)^2} g^3(\mu) \qquad \qquad \alpha_s(\mu^2) = \frac{4\pi}{(11 - \frac{2}{3}n_f) \ln \mu^2 / \Lambda_{QCD}^2}$ 

$$\mu_R^2 rac{dlpha_s}{d\mu_R^2} = eta(lpha_s) = -(b_0 lpha_s^2 + b_1 lpha_s^3 + b_2 lpha_s^4 + \cdots)$$

$$b_0 = (11C_A - 4n_f T_R)/(12\pi) = (33 - 2n_f)/(12\pi)$$

$$b_1 = (17C_A^2 - n_f T_R(10C_A + 6C_F))/(24\pi^2) = (153 - 19n_f)/(24\pi^2)$$

$$b_2 = (2857 - \frac{5033}{9}n_f + \frac{325}{27}n_f^2)/(128\pi^3)$$

### THE RUNNING COUPLING ...

UV stable fixed point  $\bar{\alpha} = 0$ 

IR stable fixed point

 $\bar{\alpha} = 1, \ \alpha \to \infty$ 

makes the IR limit stable...



#### Walking Technicolour The Conformal Window



In the infrared limit  $\alpha(\mu) \rightarrow \alpha_{\star}$ ; there is no scale dependence.

Thus the theory is *conformal* and chiral symmetry breaking and confinement are lost.

## aks conformal window (of interest for walking TC models)

More specifically, suppose that we find that the beta function of a theory up to two loops has the form

 $eta(g)=-b_0g^3+b_1g^5+\mathcal{O}(g^7)$ 

where  $b_0$  and  $b_1$  are positive constants. Then there exists a value  $g = g_*$  such that  $\beta(g_*) = 0$ :

$$g_*^2 = \frac{b_0}{b_1}.$$

the theory flows to this conformal pt in the IR

#### For QCD this happens when

$$rac{11}{2}N_c > N_f > rac{68N_c^2}{(16+20N_c)}$$

#### 16.5 > Nf > 8.05

ore generally, since we really need the full form of beta.

#### Walking Technicolour The Conformal Window

Banks and Zaks, NPB196, 189 (82)



#### Walking Technicolour The Conformal Window

For walking TC we want to sit just below the conformal window.



### running coupling


# running coupling



# running coupling

QCD & charge antiscreening



# Properties: Asymptotic Freedom

QCD and anti-screening



## Why Coulomb Gauge?

- Hamiltonian approach is similar to CQM
- all degrees of freedom are physical, no constraints need be imposed
- [degree of freedom counting is important for T>0]
- T>0 chooses a special frame anyway
- no spurious retardation effects
- is renormalizable (Zwanziger)
- is ideal for the bound state problem
- very good for examining gluodynamics

### Derivation

$$\mathcal{L} = \bar{\psi}(i\partial \!\!\!/ - m)\psi - g\bar{\psi}T^a\gamma^\mu\psi A^a_\mu - \frac{1}{4}F^a_{\mu\nu}F^{\mu\nu a}$$

minimal coupling: 
$$\partial_{\mu} \to D_{\mu} = \partial_{\mu} + igA_{\mu}$$
  $A_{\mu} = T^{a}A^{a}_{\mu}$ 

gauge group: 
$$[T^a, T^b] = i f^{abc} T^c$$

gauge (Faraday) tensor:  $T^a F^a_{\mu\nu} = \frac{1}{ig} [D_\mu, D_\nu]$ 

$$F^a_{\mu\nu} = \partial_\mu A^a_\nu - \partial_\nu A^a_\mu - g f^{abc} A^b_\mu A^c_\nu$$

define the chromoelectric field:

$$E^{ia} = F^{i0}$$
$$E^{ia} = -\dot{A}^{ia} - \nabla A^{0a} + g f^{abc} A^{0b} A^{ic}$$

define the chromomagnetic field:

$$B^{i} = -\frac{1}{2} \epsilon^{ijk} F_{jk}$$
$$\vec{B}^{a} = \nabla \times \vec{A}^{a} + \frac{1}{2} g f^{abc} \vec{A}^{b} \times \vec{A}^{c}$$

Equations of motion:

$$\partial^{\beta} \frac{\partial \mathcal{L}}{\partial (\partial^{\beta} A^{a\alpha})} = \frac{\partial \mathcal{L}}{\partial A^{a\alpha}}$$

$$\partial^{\beta} F^{a}_{\beta\alpha} = gj^{a}_{\alpha} + gf^{abc}F^{b}_{\alpha\mu}A^{c\mu}$$

Gauss's Law ( $\alpha = 0$ ):

$$\nabla \cdot \vec{E}^a + g f^{abc} \vec{A}^b \cdot \vec{E}^c = g \rho^a_{(q)}$$

 $\hat{a}$ 

Introduce the adjoint covariant derivative

$$\vec{D}^{ab} = \delta^{ab} \nabla - g f^{abc} \vec{A}^c$$
$$\vec{D}^{ab} \cdot \vec{E}^b =$$

$$\vec{D}^{ab} \cdot \vec{E}^b = g \rho^a_{(q)}$$

resolve Gauss's Law:

$$\vec{E} = \vec{E}_{tr} - \nabla\phi \qquad \nabla \cdot \vec{E}_{tr} = 0 \quad \nabla \cdot \vec{A} = 0 \quad \nabla \cdot \vec{B} = 0$$

define the full colour charge density:

$$\rho^a = \rho^q_{(q)} + f^{abc} \vec{E}^b_{tr} \cdot \vec{A}^c$$

Use this in Gauss's Law to get:

$$-(\vec{D}^{ab}\cdot\nabla)\phi = g\rho^a$$

$$-(\vec{D}^{ab}\cdot\nabla)\phi = g\rho^a$$

Solve for  $\phi$ :

$$\phi^a = -\frac{g}{\nabla \cdot \vec{D}} \rho^a$$

notice that this is a "formal" solution

We have two expressions for the divergence of E

$$\nabla \cdot \vec{E}^a = -\nabla \cdot D^{ab} A^{0b} = -\nabla^2 \phi^a$$

$$A^{0b} = \frac{1}{\nabla \cdot \vec{D}} (-\nabla^2) \frac{1}{\nabla \cdot \vec{D}} g \rho^b$$

$$H = \frac{1}{2}(E^2 + B^2) = \frac{1}{2}(E_{tr}^2 - \phi \nabla^2 \phi + B^2)$$

$$H_{c} = \frac{1}{2} \int d^{3}x d^{3}y \,\rho^{a}(x) K_{ab}(x,y;A) \rho^{b}(y)$$

$$K_{ab}(x,y;A) = \langle x,a | \frac{g}{\nabla \cdot \vec{D}} (-\nabla^2) \frac{g}{\nabla \cdot \vec{D}} | y,b \rangle$$

A complication due to the curved gauge manifold

-1

$$\hat{H} = \frac{1}{2m} g^{-1/4} \hat{p}_i g^{ij} g^{1/2} \hat{p}_j g^{-1/4}$$

$$g = \text{metric} \implies \mathcal{J} = \det(\nabla \cdot \vec{D}) \qquad \text{Faddeev-Popov determinant}$$

$$H = \frac{1}{2} \int d^3 x \left( \mathcal{J}^{-1} \vec{\Pi} \mathcal{J} \cdot \vec{\Pi} + \vec{B} \cdot \vec{B} \right)$$

Inner product:

$$\langle \Psi | \Phi \rangle = \int DA \mathcal{J} \Psi^* \Phi \qquad \qquad H \to \mathcal{J}^{1/2} H \mathcal{J}^{-1/2}$$

$$\begin{split} H_q &= \int d^3 x \, \psi^{\dagger}(x) (-i\alpha \cdot \nabla + \beta m) \psi(x) \\ H_{YM} &= \mathrm{tr} \int d^3 x \, (\mathcal{J}^{-1} \vec{\Pi} \cdot \mathcal{J} \vec{\Pi} + \vec{B} \cdot \vec{B}) \\ H_{qg} &= -g \int d^3 x \, \psi^{\dagger}(x) \alpha \cdot \vec{A} \psi(x) \\ H_C &= \frac{1}{2} \int d^3 x d^3 y \, \mathcal{J}^{-1} \rho^a(x) K_{ab}(x,y;A) \mathcal{J} \rho^b(y) \\ \rho^a(x) &= \psi^{\dagger}(x) T^a \psi(x) + f^{abc} \vec{\Pi}^b(x) \cdot \vec{A}^c(x) \\ K^{ab}(x,y;A) &= \langle x, a | \frac{g}{\nabla \cdot \vec{D}} (-\nabla^2) \frac{g}{\nabla \cdot \vec{D}} | y, b \rangle \end{split}$$

•  $\nabla \cdot \vec{A} = 0$  does not uniquely specify the gauge field.

$$\begin{split} F_A[g] &= \mathrm{tr} \int d^3 x \, (\vec{A}^g)^2 \qquad & \text{Zwanziger} \\ \vec{A}^g &= g \vec{A} g^\dagger - g \nabla g^\dagger \end{split}$$

• The absolute minimum of F[g] fixes one field configuration on the gauge orbit. The set of such minima is the Fundamental Modular Region.

• The Faddeev Popov operator is positive definite in the FMR

# Coulomb gauge and the Gribov problem

 $\det(\nabla \cdot D) = 0$ 





Coulomb gauge and the Gribov problem



physics lies at the intersection of the FMR and GR

Zwanziger; van Baal

### Feynman Rules



### Feynman Rules



$$\begin{array}{c} \mathbf{k}_{2} & \overbrace{\mathbf{k}_{n+1}}^{i1} & (i)^{n} (n+1) g^{n} f_{ac_{1}a_{1}} f_{a_{1}c_{2}a_{2}} \dots f_{a_{n-1}c_{n}b} \frac{k_{2}^{i_{1}} \dots k_{n}^{i_{n}}}{\mathbf{k}_{2}^{2} \dots \mathbf{k}_{n}^{2}} \\ \mathbf{k}_{n+1} & \overbrace{\mathbf{b}}^{i_{n}} & \mathbf{i}_{n} \end{array}$$

$$H = \frac{1}{2} \int d\mathbf{x} \left( E^2 + B^2 \right) - \frac{1}{2} \int d\mathbf{x} d\mathbf{y} f^{abc} E^b(\mathbf{x}) A^c(\mathbf{x}) \langle \mathbf{x} a | \frac{g}{\nabla \cdot D} \nabla^2 \frac{g}{\nabla \cdot D} | \mathbf{y} d \rangle f^{bef} E^e(\mathbf{y}) A^f(\mathbf{y})$$
$$K(\mathbf{x} - \mathbf{y}; \mathbf{A})$$

an instantaneous potential that depends on the gauge potential

K generates the beta function

K is renormalization group invariant

K is an upper limit to the Wilson loop potential

K is infrared enhanced at the Gribov boundary



Szczepaniak & Swanson

Khriplovich Yad. F. 10, 409 (69)

### running coupling



 $\mu \frac{dg(\mu)}{d\mu} = -\frac{\beta_0}{(4\pi)^2} g^3(\mu) \qquad \qquad \alpha_s(\mu^2) = \frac{4\pi}{(11 - \frac{2}{3}n_f) \ln \mu^2 / \Lambda_{QCD}^2}$ 

# QCD - lattice gauge theory

lattice intro, Monte Carlo, SU(2)-Higgs

Wegner (1971): gauged Z(2) spin model Wilson (1974): lattice gauge theory Creutz (1980): numerical lattice gauge theory

F. Wegner, J. Math. Phys. 12, 2259 (1971)
K. Wilson, PRD10, 2445 (1974)
M. Creutz, PRD21, 2308 (1980)

Euclidean field theory with a spacetime regu

$$x_0 \to -ix_4 \qquad S \to iS_E$$

$$\int D\phi \,\mathrm{e}^{iS[\phi]} \to \int D\phi_E \,\mathrm{e}^{-S_E[\phi_E]}$$

$$\phi(x_{\mu}) \to \phi(an_{\mu}) \to \phi_{n_{\mu}} \qquad D\phi \to \prod_{n_{\mu}} d\phi_{n_{\mu}}$$

Maps quantum field theory to a statistical mechanics problem

extra - in SE from d/dt^2 - D^2 -.> -(d/ dtau^2 + D^2)

**Complex scalar field:** 

$$S_E = \int d^4x \left[ (\partial_\mu \phi)^{\dagger} (\partial_\mu \phi) + m_0^2 \phi^{\dagger} \phi + \lambda_0 (\phi^{\dagger} \phi)^2 \right] \rightarrow \sum_n \left[ \hat{\phi}_n^{\dagger} \hat{\phi}_n + \lambda (\hat{\phi}_n^{\dagger} \hat{\phi}_n - 1)^2 - \kappa \sum_\mu (\hat{\phi}_n^{\dagger} \hat{\phi}_{n+\mu} + \hat{\phi}_{n+\mu}^{\dagger} \hat{\phi}_n) \right]$$

$$\hat{\phi} = \frac{a}{\sqrt{\kappa}}\phi \qquad \lambda = \kappa^2 \lambda_0 \qquad \kappa = \frac{1 - 2\lambda}{2d + a^2 m_0^2}$$

# Lattice Gauge Theory — Review lattice symmetries

umklapp (over turn) is when the sum of two momneta in a BZ results in one outside of teh BZ (and then gets mapped back into it)

- discrete translation: momentum conservation up to  $2\pi/a$  This is ok since the IR EFT only deals with  $p \ll 1/a$
- hypercubic symmetry: a subgroup of O(4).
   This is potentially a problem, but all hypercubic operators that are also not O(4) symmetric are irrelevant → no problem for the IR EFT, i.e., O(4) symmetry is recovered as an accidental symmetry.

ex. hypercubic, not O(4), symmetric; dim 6:  $\sum \phi^{\dagger} \partial_{\mu}^{4} \phi$ 

 $\mu$ 

### Gauge Fields

Consider the gauge covariant laplacian

$$\phi^{\dagger} D^2_{\mu} \phi \to \phi^{\dagger} [\Delta^2 \phi - iA_{\mu} \frac{\phi_{n+\mu} - \phi_{n-\mu}}{a} + A^2 \phi_n]$$

discrete derivative ruins the gauge transformation

### **Gauge Fields**



one could press ahead and obtain gauge invariance up to  $O(a^5)$ but terms like  $A^2$  and  $A^{\mu}A^{\mu}A^{\mu}A^{\mu}$  are induced in the IR effective field theory — which is a disaster: the first is

dimension 2; the second is not O(4) invariant; and tuning is required to eliminate these.

we should build in gauge invariance from the start!

### Gauge Fields

Think of the covariant derivative as a connection in an internal space. Then it is natural to regard the gauge field as a *link* variable.



 $U(x,\mu) \sim P \mathrm{e}^{ig \int_x^{x+\mu} A_\mu(z) dz^\mu}$ 

### **Gauge Fields**

Gauge transformation:

$$\phi(x) \to \Lambda^{\dagger}(x)\phi(x)$$
  
 $U(x,\mu) \to \Lambda^{\dagger}(x)U(x,\mu)\Lambda(x+\mu)$ 

Thus the gauge covariant derivative is

$$\frac{1}{2}(D_{\mu}\phi)^2 \rightarrow \frac{a^2}{2} \sum_{x,\mu} [2\phi^{\dagger}(x)\phi(x) - \phi^{\dagger}(x)U(x,\mu)\phi(x+\mu) - \phi^{\dagger}(x+\mu)U^{\dagger}(x,\mu)\phi(x)]$$



Closed loops of link variables form gauge invariant objects

The most local pure gauge object is a *plaquette* 

$$\Box_{\mu\nu}(x) \equiv \hat{\mu} \hat{\nu}$$

### Gauge Fields

$$\Box_{\mu\nu}(x) = 1 - ia^2 F^a_{\mu\nu} T^a - \frac{a^4}{2} F^a_{\mu\nu} F^b_{\mu\nu} T^a T^b + \dots$$

$$S = \beta \sum_{x,\mu > \nu} (1 - \frac{1}{N} \operatorname{tr} \Box_{\mu\nu}(x))$$

$$\beta = \frac{2N}{g_0^2}$$

Represented as Grassmann fields, which must be explicitly integrated

$$\int DU D\bar{\psi} D\psi e^{-S_F} = \int DU \det(\mathcal{D}[U]) e^{-S_E}$$

(evaluating this determinant is extremely expensive!)

$$\int D\bar{\psi} \, D\psi \, DA_{\mu} \mathrm{e}^{iS[A]+i\int d^{4}x\bar{\psi}(i\not\!\!\!/ -m-g\not\!\!\!/)\psi+i\int \bar{\eta}\psi+i\int \bar{\psi}\eta}$$
$$= \int DA_{\mu} \, \mathrm{det}(i\not\!\!\!/ -m-g\not\!\!\!/)\mathrm{e}^{iS[A]-i\int \bar{\eta}(i\not\!\!\!/ -m-g\not\!\!\!/)^{-1}\eta}$$

Attempts to simulate directly lead to the *fermion sign problem*. (cf. flipping rows or columns in the determinant)

fermion doubler problem

$$\bar{\psi}\gamma^{\mu}D_{\mu}\psi \to a^{4}\sum_{x,\mu}\bar{\psi}(x)\gamma^{\mu}\left(U(x,\mu)\psi(x+\mu) - U^{\dagger}(x-\mu,\mu)\psi(x-\mu)\right)/(2a)$$

$$S^{-1}(p) = m + i \sum_{\mu} \gamma^{\mu} \frac{1}{a} \sin(ap_{\mu})$$

NB WIlson fermionds break chiral symmetry (hence multiplicative mass renormalization) and recovering it in the IR requires careful tuning

Zeros at  $p_{\mu} = (0, 0, 0, 0) p_{\mu} = (0, \pi/a, 0, 0) \dots$ 

i.e., there are  $2^4$  'species' of fermions.

these zeroes are IN the Brillouin zone!

massless fermion problem

(removing the doublers necessarily breaks chiral symmetry)

### © chiral fermion problem

Weyl spinor => 8 LH + 8 RH Weyl spinors.

(chiral gauge theories cannot be placed on the lattice)
Gauge Fields — the measure  $Z = \int DU \mathrm{e}^{-S_E}$  $DU = \bigcup dU(x,\mu)$  $x, \mu$ U(1)SU(2) $U(x,\mu) = e^{i\vec{a}\cdot\vec{\sigma}/2} = a_0 + i\vec{\sigma}\cdot\vec{a}$  $U(x,\mu) = e^{i\theta(x,\mu)}$  $dU = \frac{d\theta}{-}$  $dU = \frac{1}{\pi^2}\delta(1-a^2)d^4a$ 

### Lattice Gauge Theory — Review

called the "Haar measure" — is gauge invariant and integrates over the gauge manifold

### Lattice Gauge Theory — Review

Gauge Fields – the measure

$$dU = |\det g|^{1/2} \, d\vec{\alpha}$$

g is the metric on the group manifold

 $g_{AB} = \operatorname{tr}[U^{\dagger}(\partial_{A}U) U^{\dagger}(\partial_{B}U)]$ 

# Lattice Gauge Theory — Review Gauge Fields — the Wilson loop



Work in axial gauge:

$$A_{\hat{t}}(x) = 0, \ U(x, \hat{t}) = 1$$

 $\langle \operatorname{tr}\Box(R,T)\rangle = Z^{-1} \int DU\Psi^{\dagger}(R,T) U(0 \to R,T) \Psi(0,T) \cdot \Psi^{\dagger}(R,0) U(0 \to R,0) \Psi(0,0) e^{-S_E}$ 

Make the spectral decomposition

# Lattice Gauge Theory — Review Gauge Fields — the Wilson loop



Evaluate in the strong coupling (small beta) limit

$$\int dU \, U(x,\mu) = 0$$

•  $\int dU(x,\mu) \left[ U(x,\mu) \right]_{ij} \left[ U^{\dagger}(y,\nu) \right]_{k\ell} = \frac{1}{N} \delta_{xy} \delta_{\mu\nu} \delta_{i\ell} \delta_{jk}$  $\langle \operatorname{tr} \Box(R,T) \rangle = \left( \frac{2N}{g_0^2} \right)^{RT} = e^{-\log(g_0^2/2N)RT}$ This is an *area law* — colour confinement!

### Lattice Gauge Theory — Review

Note that by the same argument (compact) QED is also confining, but it is separated from the continuum by a first order phase transition (to the massless photon phase).

There is a line of phase transitions in 4d SU(N), but it ends, and the strong coupling and weak coupling regimes are smoothly connected. Thus SU(N) gauge theory is confining.



string tension U(1) 4d

Monte Carlo evaluation of the integral

$$\langle \mathcal{O} \rangle = \frac{1}{Z} \int DA_{\mu} \mathcal{O}[A, M^{-1}] \det(M[A]) \mathrm{e}^{-S_E[A]}$$

important shift to Euclidean space!

$$\langle \mathcal{O} \rangle = \sum_{\{A\}} \mathcal{O}[A, M^{-1}]$$

$$\{A\} \leftarrow \frac{\det(M[A])e^{-S_E[A]}}{\int DA_{\mu} \det(M[A])e^{-S_E[A]}}$$

<u>warnings</u>: autocorrelation, critical slowing down, determinant

compute a hadron mass...

 $C(t) = \langle 0 | \mathcal{S}^{\dagger}(t) \mathcal{S}(0) | 0 \rangle$  $\mathcal{S} = \psi \gamma_5 \psi(x,0)$  $C(t) = \langle 0 | \mathrm{e}^{iHt} \mathcal{S}^{\dagger}(0) \mathrm{e}^{-iHt} \mathcal{S}(0) | 0 \rangle$  $C(t) = \langle 0 | \mathrm{e}^{iHt} \mathcal{S}^{\dagger}(0) \mathrm{e}^{-iHt} \sum |n\rangle \langle n | \mathcal{S}(0) | 0 \rangle$  $C(t) = \sum e^{-i(E_n - E_0)t} |\langle n|\mathcal{S}(0)|0\rangle|^2$  $m_{eff} = \log \frac{C(t)}{C(t+1)}$ 

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### renormalise

- (for m=0) select the coupling, *g*
- work in units of the lattice spacing, *a*
- compute a physical quantity, such as  $m_N$ . Set *a* such that  $m_N = 0.94$  GeV.
- This gives a(g) for one point. Repeat to trace out a(g), or g(a). Attempt to take limit a->0 and V->infinity.

#### more warnings:

- signal/noise: good interpolators!
- finite density
- light cone correlations
- systems with many scales (D<sub>s</sub>)
- statistics/operators/correlators
- highly excited states
- unstable states

warnings: how is a plateau defined? closely spaced levels?



# Lattice Gauge Theory — Review continuum limit

umklapp (over turn) is when the sum of two momneta in a BZ results in one outside of teh BZ (and then gets mapped back into it)

The lattice spacing has been scaled out of the problem. It is recovered upon renormalisation.

cf.  $\hat{m}(\beta) = a(\beta)m_{\text{phys}} \rightarrow \beta(a) [g_0(a)]$ 

Or can extract ratios as  $\beta(a) \to \infty$ 

- There should be no phase transition if one wants to obtain strong coupling continuum physics.
- The system correlation length should be large wrt the lattice spacing

$$\xi/a \gg 1$$
  $am_{\rm gap} \ll 1$ 



# Lattice Gauge Theory — Review unquenching



C. T. H. Davies et al., PRL 92, 022001, (2004)

(input: 
$$m_{\pi}, m_{K}, m_{\Upsilon(2S)} - m_{\Upsilon(1S)} \rightarrow \beta, (m_u + m_d)/2, m_s$$
)

#### Monte Carlo

the importance of importance sampling!

V\_n (R=1) is rapidly driven to zero!



$$V_n = \frac{\pi^{n/2}}{\Gamma(\frac{n}{2}+1)}$$

importance sampling

$$I = \int f(x) \qquad I = \frac{\int \rho(x) g(x)}{\int \rho(x)}$$

$$I = \frac{1}{N} \sum_{i} g(\hat{x}_{i}) + O\left(\frac{1}{\sqrt{N}}\right) \qquad \hat{x} \leftarrow \frac{\rho(x)}{\int \rho(x)}$$

### Monte Carlo

To "throw darts" one generates a *Markov chain* of field configurations:

$$\{U(x,\mu),\varphi(x)\}_1 \xrightarrow{\mathcal{P}} \{U(x,\mu),\varphi(x)\}_2 \xrightarrow{\mathcal{P}} \cdots$$

$$\int DU' \,\mathcal{P}(U \to U') = 1$$

(& memoryless stochastic process)

• 
$$C[U] = \int DU' \mathcal{P}(U' \to U) \mathcal{C}[U']$$
 (a fixed point probability density exists)  
 $\mathcal{C}[U] = \frac{\exp(-S[U])}{\int DU' \exp(-S[U'])}$ 

ergodicity

detailed balance guarantees the fiixed point exists:

 $P(U \rightarrow U') C(U) = P(U' \rightarrow U) C(U')$ 

SU(2)-Higgs  
Monte Carlo – scalar field  
Form 
$$B(x) = \kappa \sum_{\mu} [U(x,\mu) \phi(x+\mu) + U^{\dagger}(x-\mu) \phi(x-\mu)]$$
  
Then  $S_{\phi} = \sum_{x} [(\phi(x) - b(x))^{2} + \lambda(\phi(x)^{2} - 1)^{2} - \frac{b^{2}(x)}{b^{2}(x)}]$   
thus local actions are important!

#### heat bath

Seek a new configuration via  $\mathcal{P} \sim dP(\phi) \sim e^{-S_E(\phi)} d^4 \phi$ 

#### Metropolis

Propose a new configuration; accept it if the action is lowered; otherwise accept it conditionally with probability  $\min(1, \exp[V(\phi) - V(\phi')])$ 

Monte Carlo – gauge field  
heat bath  
Consider a single link 
$$S_U|_{x\mu} = -\frac{\beta}{2} \operatorname{tr} U(x,\mu) W^{\dagger}(x,\mu)$$
  
 $W(x,\mu) = \frac{2\kappa}{\beta} \phi(x) \phi^{\dagger}(x+\mu) +$   
 $\sum_{\nu \neq \mu} U(x\nu) U(x+\nu,\mu), U^{\dagger}(x+\mu,\nu) +$   
 $\sum_{\nu \neq \mu} U^{\dagger}(x-\nu,\nu) U(x-\nu,\mu), U^{\dagger}(x-\nu+\mu,\nu)$ 

### Monte Carlo – gauge field

#### heat bath

And update:  $W = r\hat{W}$   $dP(U) \sim e^{\frac{\beta}{2} \operatorname{tr}(UW^{\dagger})} dU$   $\hat{W} \in SU(2)$   $dP(U\hat{W}) \sim e^{\frac{\beta r}{2} \operatorname{tr}(U)} dU$   $dP(a_{\mu}) \sim \sqrt{1 - a_{0}^{2}} e^{\beta r a_{0}} \delta(1 - \vec{a}^{2}) da_{0} d\vec{a}$   $U \rightarrow U(a)\hat{W}$ 

#### Monte Carlo – autocorrelation

$$\rho(\tau) = \frac{\sum_{t} O(t+\tau)O(t)}{\sum_{t} O^{2}(t)}$$

(t and  $\tau$  are "algorithmic time")



$$O = \overline{\phi}(\vec{x}, 1/(2am))\overline{\phi}(\vec{x}, 0)$$
  
(3d phi4 theory)



need Higgs in the fundamental for no phase trans



E. Fradkin and S. Shenker, PRD19, 3682 (1979)

F. Knechtli, hep-lat/9910044

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