

# Stability in open strings with broken supersymmetry

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Based on work done in collaboration with  
S. Abel, E. Dudas and D. Lewis [arXiv:1812.09714].

String theory from a worldsheet perspective, GGI, Firenze

■ Important properties of String Theory (dualities, branes,...) have been discovered in presence of exact supersymmetry in flat space.

**Susy guaranties stability of flat backgrounds** from weak to strong coupling.

■ For Phenomonology and Cosmology, susy must be broken

“In a worldsheet perspective”, **we work at string weak coupling.**

■ We can **start classically with AdS or flat :**

Perturbative loop corrections cannot make AdS nearly flat.

⇒ We start from a **Minkowski background.**

■ If “hard” breaking of susy, the susy breaking scale and effective potential are

$$M = M_s \quad \Longrightarrow \quad \mathcal{V}_{\text{quantum}} \sim M_s^d \quad \text{in string frame}$$

$\Longrightarrow$  Minkowski destabilized

■ If susy spontaneously broken in flat space classically = “No-scale model” : [Cremmer, Ferrara, Kounnas, Nanopoulos, '83]

- $\mathcal{V}_{\text{classical}}$  is positive, with a minimum at 0, and a flat direction parameterized by  $M$ , which is a field
- String loop corrections  $\Longrightarrow \mathcal{V}_{\text{quantum}} \sim M^d$ , generically

Better, but still too large.

We need non-generic No-Scale Models.

■ **In this talk :** We try to **improve the quantum stability of flat backgrounds with spontaneously broken susy.**

- **Lower the order of magnitude of the potential at 1-loop**

This is modest : Higher loops should be included. Their consistent definition must be addressed.

- However, the quantum potential may induces instabilities for internal moduli : **Tadpoles ? And if not, tachyonic mass terms ?**

1-loop is enough to make good improvements about this issue.

- We do this in **type I string compactified on tori**, but this can be more general (heterotic).

■ Susy breaking via **stringy Scherk-Schwarz mechanism**.

• In field theory : Refined version of a Kaluza-Klein dimensional reduction of a theory in  $d + 1$  dimensions

If there is a symmetry with charges  $Q$  in  $d + 1$  dim, we can impose  $Q$ -depend boundary conditions

$$\Phi(x^\mu, y + 2\pi R) = e^{i\pi Q} \Phi(x^\mu, y)$$

$$\implies \Phi(x^\mu, y) = \sum_m \Phi_m(x^\mu) e^{i \frac{m + \frac{Q}{2}}{R} y} \implies \text{mass} = \frac{|m + \frac{Q}{2}|}{R}$$

$\implies$  A multiplet in  $d + 1$  dim with degenerate states have descendent which are not-degenerate.

• If Supersymmetry :  $Q = F$  is the fermionic number

$$\implies \text{super Higgs} \quad M = \frac{1}{2R}$$

• Generalized in closed string theory [Rohm, '84][Ferrara, Kounnas, Porrati, '88]  
and in open string theory [Blum, Dienes, '97][Antoniadis, Dudas, Sagnotti, '98]

■ Compute the 1-loop effective potential

$$\mathcal{V}_{1\text{-loop}} = -\frac{M_s^d}{2(2\pi)^d} (\mathcal{T} + \mathcal{K} + \mathcal{A} + \mathcal{M}) ,$$

where  $\mathcal{T} = \int_{\mathcal{F}} \frac{d\tau_1 d\tau_2}{\tau_2^{1+\frac{d}{2}}} \text{Str} q^{L_0 - \frac{1}{2}} \bar{q}^{\tilde{L}_0 - \frac{1}{2}}$

$$\mathcal{K} = \int_0^{+\infty} \frac{d\tau_2}{\tau_2^{1+\frac{d}{2}}} \text{Str} \Omega q^{L_0 - \frac{1}{2}} \bar{q}^{\tilde{L}_0 - \frac{1}{2}}$$

$$\mathcal{A} = \int_0^{+\infty} \frac{d\tau_2}{\tau_2^{1+\frac{d}{2}}} \text{Str} q^{\frac{1}{2}(L_0 - \frac{1}{2})}$$

$$\mathcal{M} = \int_0^{+\infty} \frac{d\tau_2}{\tau_2^{1+\frac{d}{2}}} \text{Str} \Omega q^{\frac{1}{2}(L_0 - \frac{1}{2})}$$

$\mathcal{V} \sim \int \text{Str} e^{-\pi\tau_2 \mathcal{M}^2} \implies$  **The dominant contribution arises from the lightest states.**

Suppose the classical background is such that **there is no mass scale between 0 and the susy breaking scale  $M = \frac{1}{2R}$**

————  $cM_s$  : large Higgs or string scale  $M_s$

————  $M$  : towers of Kaluza-Klein modes of masses  $\propto M$

————  $0$  :  $n_B$  massless bosons and  $n_F$  massless fermions

$\implies$  In string frame, the 1-loop effective potential is dominated by the KK modes

$$\mathcal{V}_{1\text{-loop}} = (n_F - n_B) \xi M^d + \mathcal{O}\left((cM_s M)^{\frac{d}{2}} e^{-cM_s/M}\right), \text{ where } \xi > 0$$

$$\mathcal{V}_{1\text{-loop}} = (n_F - n_B) \xi M^d + \mathcal{O}\left((cM_s M)^{\frac{d}{2}} e^{-cM_s/M}\right)$$

The exponential terms are negligible even for moderate  $M$

E.g. : For 
$$cM_s \sim \frac{M_{\text{Planck}}}{10}$$

we have 
$$\mathcal{O}\left((cM_s M)^{\frac{d}{2}} e^{-cM_s/M}\right) < 10^{-120} M_{\text{Planck}}^4$$

when 
$$M < 10^{-3} M_{\text{Planck}}$$

NB :  $\implies R > 10^2 \gg$  Hagedorn radius  $R_H = \sqrt{2}/M_s$ ,

$\implies$  No “Hagedorn-like phase transition” (no tree level tachyon).

• Deform slightly the previous background *i.e.* **switch on small moduli deformations collectively denoted “ $a$ ”**

————  $cM_s$  : large Higgs or string scale

————  $M$  : towers of KK modes of masses  $\propto M$

————  $aM_s$  : some of the  $n_B + n_F$  states get a Higgs mass  $aM_s$

————  $0$

•  $n_B(a)$  and  $n_F(a)$  interpolate between different integer values, reached in distinct regions in moduli space.

$\implies$  **Expand them in “ $a$ ” to find  $\mathcal{V}_{1\text{-loop}}$  around the initial background.**

■ Because we compactify on a torus ( $\mathcal{N} = 4$  in 4 dim), **all moduli are Wilson lines (WL)** :

$$\mathcal{V}_{1\text{-loop}} = \mathcal{V}_{1\text{-loop}}|_{a=0} + M^d \sum_{\substack{\text{massless} \\ \text{spectrum}}} \sum_{\substack{\text{their KK} \\ \text{modes}}} \sum_{r,I} Q_r a_r^I + \dots$$

- $a_r^I$  is the WL along the internal circle  $I$  of the  $r$ -th Cartan  $U(1)$ .
- $Q_r$  is the charge of the massless spectrum (and Kaluza-Klein towers).
- combining states  $Q_r$  and  $-Q_r \implies 0$  : **No Tadpole**

**All points in moduli space where there is no mass scale between 0 and  $M$  are local extrema.**

- This is reminiscent of an argument of Ginsparg and Vafa ('87) in the non-susy  $O(16) \times O(16)$  heterotic compactified on tori :

At enhanced gauge symmetry points,  $U(1)^{26-d} \rightarrow$  Non-Abelian, there are additional non-Cartan massless states, with non-trivial  $Q_r$ .

$\implies Q_r \rightarrow -Q_r$  is an exact symmetry (underlying gauge symmetry) of the partition function at any genus  $\implies$  extremum.

- In the Scherk-Schwarz case : The non-existence of tadpoles should be exact (including the exponentially suppressed terms) and at any genus.

But the massless states may not contain gauge bosons. In a non-Cartan vector multiplet, we can keep massless the fermions and give a mass to the bosons. So  $U(1)$ 's are still allowed, with charged fermions.

■ At quadratic order

[Kounnas, H.P.,'16][Coudarchet, H.P.,'18]

$$\mathcal{V}_{1\text{-loop}} = \xi(n_F - n_B)M^d + M^d \left( \sum_{\substack{\text{massless} \\ \text{bosons}}} Q_r^2 - \sum_{\substack{\text{massless} \\ \text{fermions}}} Q_r^2 \right) \sum_{\substack{\text{their KK} \\ \text{modes}}} (a_r^I)^2 + \dots$$

⇒ The higher  $\mathcal{V}_{1\text{-loop}}$  is, the more tachyonic it is.

■ We are interested in models where  $n_F = n_B$  and tachyon free at 1-loop to preserve flatness of spacetime (at this order).

[Abel, Dienes, Mavroudi,'15][Kounnas, H.P.,'15][Florakis, Rozos,'16]

= “Super No-scale Models in String Theory” : The no-scale structure exact at tree level is preserved at 1-loop, up to exponentially suppressed terms

i.e. the 1-loop potential is locally positive, with minimum at 0, and with a flat direction  $M$ .

NB:  $n_F$ ,  $n_B$  count observable and hidden sectors d.o.f.

■ In this talk : We show that tachyon free models with  $\mathcal{V}_{1\text{-loop}} = 0$  (or  $> 0$ ) exist at 1-loop, for  $d \leq 5$ .

• In 9 dimensions : We find the models stable with respect to the open string Wilson lines.

$$\implies \mathcal{V}_{1\text{-loop}} < 0 \implies \text{runaway of } M$$

• In  $d$  dimensions : We have

- Open string Wilsons lines

- Closed string moduli (which also WLS) : NS-NS metric  $G_{IJ}$  and RR 2-form  $C_{IJ}$

■ Note that in Type II and orientifold theories, there exist non-susy models with

$$\mathcal{V}_{1\text{-loop}} = 0 \quad i.e. \quad N_F = N_B \text{ are any mass level !}$$

[Kachru, Kumar, Silverstein,'98]

[Harvey,'98]

[Shiu, Tye,'98] [Blumenhagen, Gorlich,'98]

[Angelantonj, Antoniadis, Forger,'99]

[Satoh, Sugawara, Wada,'15]

However

- Moduli stability has not been studied ( $\Rightarrow$  tachyonic at 1-loop).
- There are no exponentially suppressed terms at 1-loop, but this does not change the fact that  $\mathcal{V}_{2\text{-loops}}$  has no reason to vanish.  
[Iengo, Zhu,'00][Aoki, D'Hoker, Phong,'03]
- When a perturbative heterotic dual is known, it only has  $n_F = n_B$ .  
[Harvey,'98][Angelantonj, Antoniadis, Forger,'99]

## In 9 dimensions

### ■ Type I compactified on $S^1(R_9)$ with **Sherk-Schwarz susy breaking**

- **Closed string sector** : The states with non-trivial winding  $n_9$  are heavier than the string scale  $\implies$  exponentially suppressed

$$\text{For } n_9 = 0, \quad \text{the momentum} \quad \frac{m_9}{R_9} \longrightarrow \frac{m_9 + \frac{F}{2}}{R_9}$$

- **Open string sector** : 32 D9-branes generate  $SO(32)$  on their world volume. **Switch on generic Wilson lines (=Coulomb branch)**

$$\mathcal{W} = \text{diag}(e^{2i\pi a_1}, e^{-2i\pi a_1}, e^{2i\pi a_2}, e^{-2i\pi a_2}, \dots, e^{2i\pi a_{16}}, e^{-2i\pi a_{16}})$$

$$\text{momentum} \quad \frac{m_9}{R_9} \longrightarrow \frac{m_9 + \frac{F}{2} + a_r - a_s}{R_9}$$

(The Chan-Paton charges are absorbed in the WLs :  $Q_r a_r \rightarrow a_r$ )

■ T-duality  $R_9 \rightarrow \tilde{R}_9 = \frac{\alpha'}{R_9}$  yields a geometric picture in Type I', where WLs become positions along  $\tilde{X}^9$  :

- $S^1(R_9)$  becomes  $S^1(\tilde{R}_9)/\mathbb{Z}_2$  i.e. a segment with 2 O8-orientifold planes at  $\tilde{X}^9 = 0$  and  $\tilde{X}^9 = \pi\tilde{R}_9$ .
- The D9-branes become 32 D8 “half”-branes :  
16 at  $\tilde{X}^9 = 2\pi a_r \tilde{R}_9$  and 16 mirror  $\frac{1}{2}$ -branes at  $\tilde{X}^9 = -2\pi a_r \tilde{R}_9$ .
- $\frac{1}{2}$ -branes and mirrors  $\frac{1}{2}$ -branes can be coincident on an O8-plane,  
 $a_r = 0$  or  $\frac{1}{2} \implies SO(p), p \text{ even}$
- Elsewhere, a stack of  $q$   $\frac{1}{2}$ -branes and the mirror stack  $\implies U(q)$

■ We look for **stable brane configurations**.

• **A sufficient condition for  $\mathcal{V}_{1\text{-loop}}$  to be extremal** with respect to the  $a_r$  is that **there is no mass scale between 0 and  $M$** .

Thus, we may concentrate on  **$a_r = 0$  or  $\frac{1}{2}$  only**, i.e. no brane in the bulk.

• Moreover, **this special case yields massless fermions** because

$$m_9 + \frac{F}{2} + a_r - a_s = m_9 + \frac{1}{2} + \frac{1}{2} - 0 \quad \text{can vanish}$$

(where  $m_9$  is a winding number in the T-dual picture)

**i.e. Super-Higgs and Higgs compensate**

This is a good point to have  $n_F - n_B \geq 0$ .

■ We may also consider the configurations with some  $a_r = \pm \frac{1}{4}$ .

This introduces a mass scale  $= \frac{M}{2} \implies$  Such a background does not yield automatically an extremum of  $\mathcal{V}_{1\text{-loop}}$

These WLs are special because :

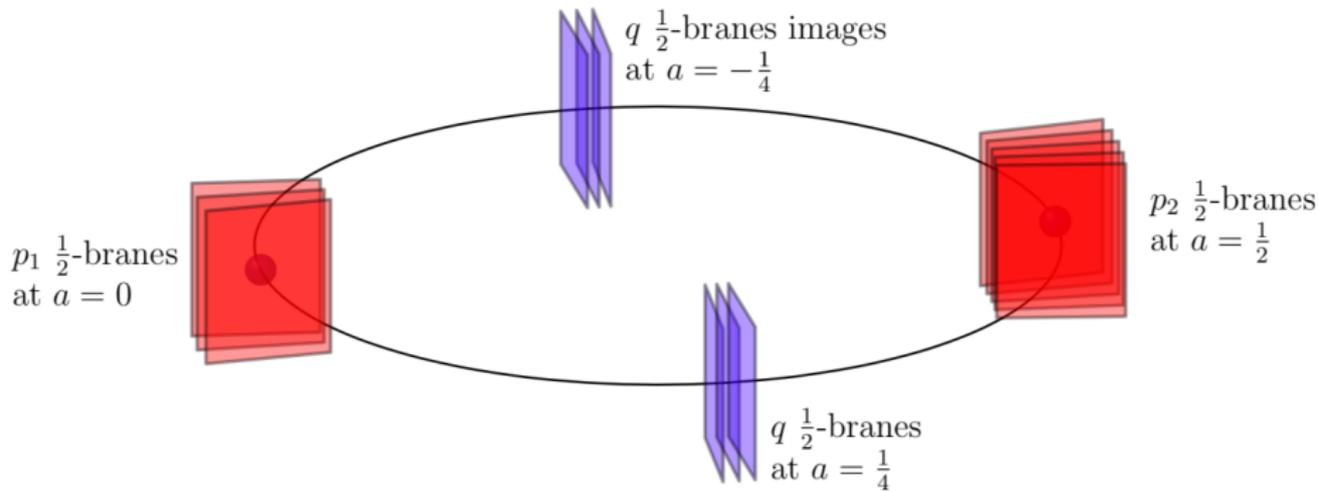
- $m_9 + \frac{1}{2} + \frac{1}{4} - (-\frac{1}{4})$  can vanish  $\implies$  **massless fermions**

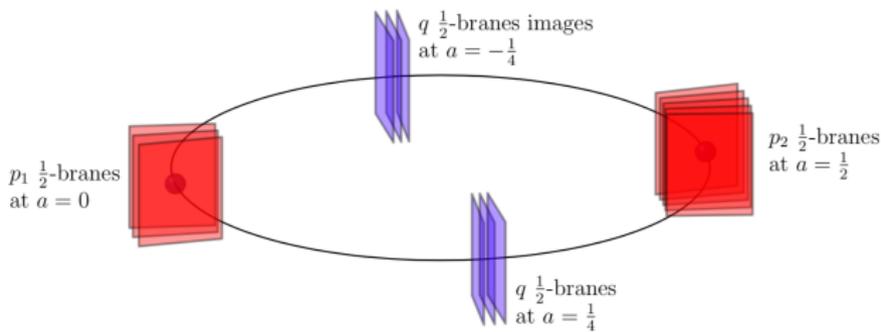
NB : WL's  $= 0, \frac{1}{2}, \pm \frac{1}{4}$  are the only ones that can yield massless fermions.

- Bosons  $m_9 + 0 + \frac{1}{4} - 0$  and Fermions  $m_9 + \frac{1}{2} + 0 - \frac{1}{4}$  have degenerate masses  $M/2$ . They cancel exactly in

$$\mathcal{V} \propto \int \frac{d\tau_2}{\tau_2^{1+\frac{d}{2}}} \text{Str} \frac{1 + \Omega}{2} e^{-\pi\tau_2 \mathcal{M}^2} = (n_F - n_B) \xi M^d + \text{exp. suppressed}$$

**This formula remains true in such a background, but the argument for extremality does not apply, and we have to see.**



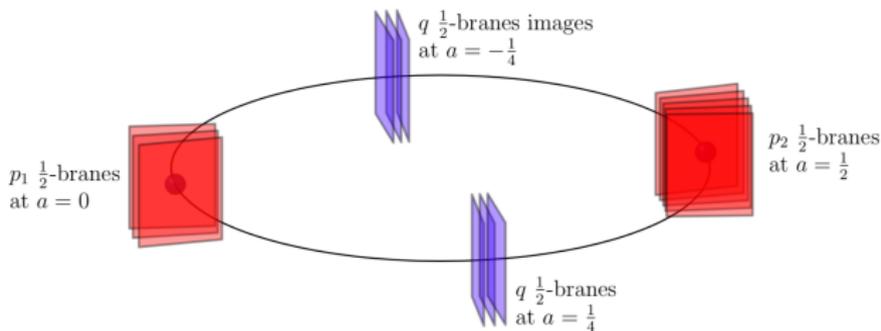


- $SO(p_1) \times SO(p_2) \times U(q) \times U(1)^2$  for  $G_{\mu 9}$ , RR-2-form  $C_{\mu 9}$

$$n_B = 8 \left( 8 + \frac{p_1(p_1 - 1)}{2} + \frac{p_2(p_2 - 1)}{2} + q^2 \right)$$

- **Closed string sector :** dilaton,  $G_{MN}$  in NS-NS sector + RR 2-form  $C_{MN}$

- **The open strings start and end at the same stack of  $\frac{1}{2}$ -branes.**



- The massless fermions arise from open string only, which are stretched between “opposite stacks” (WLs and Scherk-Schwarz compensate)

$$n_F = 8 \left( p_1 p_2 + \frac{q(q-1)}{2} + \frac{q(q-1)}{2} \right)$$

Bifundamental  $(p_1, p_2)$  and antisymmetric  $\oplus$  antisymmetric

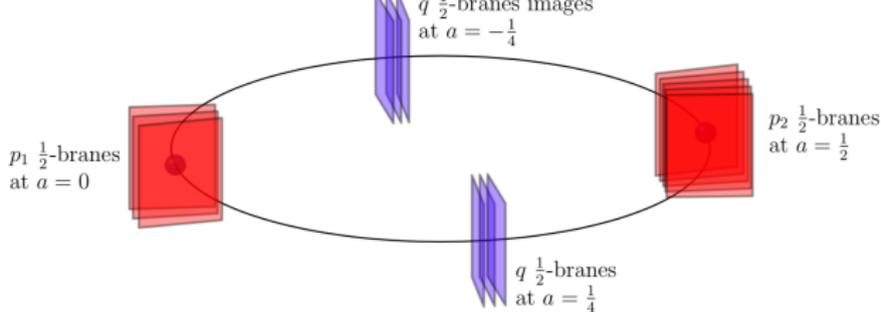
■ Compute  $n_F - n_B$ , with  $p_1 + p_2 + 2q = 32$

$\implies n_F - n_B$  is minimal for  $p_1 = 32, p_2 = 0, q = 0$

• This suggests that this configuration (which yields an extremum of  $\mathcal{V}_{1\text{-loop}}$  because there are no brane in the bulk) yields an absolute minimum.

This will be seen by explicit computation of  $\mathcal{V}_{1\text{-loop}}$ .

• Moreover, we will see that the other extrema with higher  $n_F - n_B$  are not minima.



■ We have described the moduli space where  $p_1, p_2$  are even.

The moduli space admits a second, disconnected part, where  $p_1, p_2$  are odd

If one  $\frac{1}{2}$ -brane is frozen at  $a = 0$ , and another one frozen at  $a = \frac{1}{2}$ , the configuration is still allowed on  $S^1(\tilde{R}_9)/\mathbb{Z}_2$

[Schwarz, '99]

$$\mathcal{W} = \text{diag}(e^{2i\pi a_1}, e^{-2i\pi a_1}, e^{2i\pi a_2}, e^{-2i\pi a_2}, \dots, e^{2i\pi a_{15}}, e^{-2i\pi a_{15}}, \mathbf{1}, -\mathbf{1})$$

Only 15 dynamical WLs.

All previous formula remain identical, with  $p_1, p_2$  odd.

$\implies n_F - n_B$  is minimal for  $p_1 = 31, p_2 = 1, q = 0$

- This suggests that this brane configuration is also stable and yields an absolute minimum of  $\mathcal{V}_{1\text{-loop}}$  (in its own moduli space).

- It has an open string gauge group  $SO(31) \times SO(1)$ , with 8 fermions in the “bifundamental”  $(p_1, 1)$ .

**NB** : In our notations,  $SO(1)$  is a trivial group  $\{e\}$  : Not a gauge symmetry. This notation is to remind the frozen brane at  $a = \pi\tilde{R}_9$  which yields stretched strings which are fermions in the fundamental of  $SO(p_1)$ .

■ To demonstrate these expectations, we compute the **1-loop potential**

It involves the **torus + Klein bottle + annulus + Möbius** amplitudes :

$$\mathcal{T} = \frac{1}{2} \int_{\mathcal{F}} \frac{d^2\tau}{\tau_2^{\frac{11}{2}}} \frac{\sum_{m_9, n_9}}{\eta^8 \bar{\eta}^8} \left\{ (V_8 \bar{V}_8 + S_8 \bar{S}_8) \Lambda_{m_9, 2n_9} - (V_8 \bar{S}_8 + S_8 \bar{V}_8) \Lambda_{m_9 + \frac{1}{2}, 2n_9} \right. \\ \left. + (O_8 \bar{O}_8 + C_8 \bar{C}_8) \Lambda_{m_9, 2n_9 + 1} - (O_8 \bar{C}_8 + C_8 \bar{O}_8) \Lambda_{m_9 + \frac{1}{2}, 2n_9 + 1} \right\}$$

$$\mathcal{K} = \frac{1}{2} \int_0^{+\infty} \frac{d\tau_2}{\tau_2^{\frac{11}{2}}} \frac{1}{\eta^8} \sum_{m_9} (V_8 - S_8) P_{m_9}$$

$$\mathcal{A} = \frac{1}{2} \int_0^{\infty} \frac{d\tau_2}{\tau_2^{\frac{11}{2}}} \frac{1}{\eta^8} \sum_{m_9} \sum_{\alpha, \beta} (V_8 P_{m_9 + a_\alpha - a_\beta} - S_8 P_{m_9 + \frac{1}{2} + a_\alpha - a_\beta})$$

$$\mathcal{M} = -\frac{1}{2} \int_0^{\infty} \frac{d\tau_2}{\tau_2^{\frac{11}{2}}} \frac{1}{\hat{\eta}^8} \sum_{m_9} \sum_{\alpha} (\hat{V}_8 P_{m_9 + 2a_\alpha} - \hat{S}_8 P_{m_9 + \frac{1}{2} + 2a_\alpha})$$

$$\mathcal{V}_{1\text{-loop}} = \frac{\Gamma(5)}{\pi^{14}} M^9 \sum_{l_9} \frac{\mathcal{N}_{2l_9+1}(\mathcal{W})}{(2l_9+1)^{10}} + \mathcal{O}((M_s M)^{\frac{9}{2}} e^{-\pi \frac{M_s}{M}})$$

where  $\mathcal{W} = \text{diag}(e^{2i\pi a_1}, e^{-2i\pi a_1}, e^{2i\pi a_2}, e^{-2i\pi a_2}, \dots, e^{2i\pi a_{16}}, e^{-2i\pi a_{16}})$

$$\mathcal{W} = \text{diag}(e^{2i\pi a_1}, e^{-2i\pi a_1}, e^{2i\pi a_2}, e^{-2i\pi a_2}, \dots, e^{2i\pi a_{15}}, e^{-2i\pi a_{15}}, \mathbf{1}, \mathbf{-1})$$

$$\begin{aligned} \mathcal{N}_{2l_9+1}(\mathcal{W}) &= 4(-16 - 0 - (\text{tr } \mathcal{W}^{2l_9+1})^2 + \text{tr } (\mathcal{W}^{2(2l_9+1)})) \\ &= -16 \left( \sum_{\substack{r,s=1 \\ r \neq s}}^N \cos(2\pi(2l_9+1)a_r) \cos(2\pi(2l_9+1)a_s) + N - 4 \right) \end{aligned}$$

where  $N = 16$  or  $15$

$$\mathcal{V}_{1\text{-loop}} = \frac{\Gamma(5)}{\pi^{14}} M^9 \sum_{l_9} \frac{\mathcal{N}_{2l_9+1}(\mathcal{W})}{(2l_9+1)^{10}} + \mathcal{O}((M_s M)^{\frac{9}{2}} e^{-\pi \frac{M_s}{M}})$$

■ For  $a_r = 0, \frac{1}{2}, \pm \frac{1}{4}$

$$\mathcal{N}_{2l_9+1}(\mathcal{W}) = n_F - n_B \implies \mathcal{V}_{1\text{-loop}} = (n_F - n_B) \xi M^d + \mathcal{O}((M_s M)^{\frac{9}{2}} e^{-\pi \frac{M_s}{M}})$$

● For  $a_r = \pm \frac{1}{4}$

$$\left. \frac{\partial \mathcal{V}_{1\text{-loop}}}{\partial a_r} \right|_{a_r = \pm \frac{1}{4}} \propto (p_1 - p_2)$$

Tadpole if  $p_1 \neq p_2$  : The bulk branes are attracted to the largest of the  $p_1$ -stack or  $p_2$ -stack.

Extremum if  $p_1 = p_2$  : But the WL of  $U(1)$  in  $U(q) = U(1) \times SU(q)$  is tachyonic. One brane moves towards  $\tilde{X}^0 = 0$  or  $\pi \tilde{R}_9$ , regenerating the tadpole.

**The branes in the bulk are highly unstable (tadpoles).**

- **When all branes at  $a_r = 0$  or  $\frac{1}{2}$  ( $\implies$  No tadpole)**

For  $p_1 \geq 2$ ,  $SO(p_1)$  has WLs. Their masses are  $\geq 0$  if  $p_1 - p_2 \geq 2$ .

For  $p_2 \geq 2$ ,  $SO(p_2)$  has WLs. Their masses are  $\geq 0$  if  $p_2 - p_1 \geq 2$ .

Both cannot be satisfied simultaneously !

$\implies$  one stack must not have WLs  $\implies$   **$p_2$  must be 0 or 1.**

## ■ Conclusion in 9 dim :

- $SO(32)$  and  $SO(31) \times SO(1)$  are the only stable brane configurations in their respective moduli spaces.
- $M$  is running away.

**NB :**  $0 - n_B = -8 \times 504$  and  $n_F - n_B = -8 \times 442$ , which is higher because

- the dimension of  $SO(31)$  is lower
- the frozen  $\frac{1}{2}$ -brane at  $a = \frac{1}{2}$  induces a fermionic bifundam  $(p_1, 1)$ .

**NB :** In lower dim, we have more O-planes on which we can freeze more  $\frac{1}{2}$ -branes  $\implies n_F - n_B \geq 0$ .

## In $d$ dimensions

- **Type I** on  $T^{10-d}$  with metric  $G_{IJ}$  and **Scherk-Schwarz along  $X^9$**

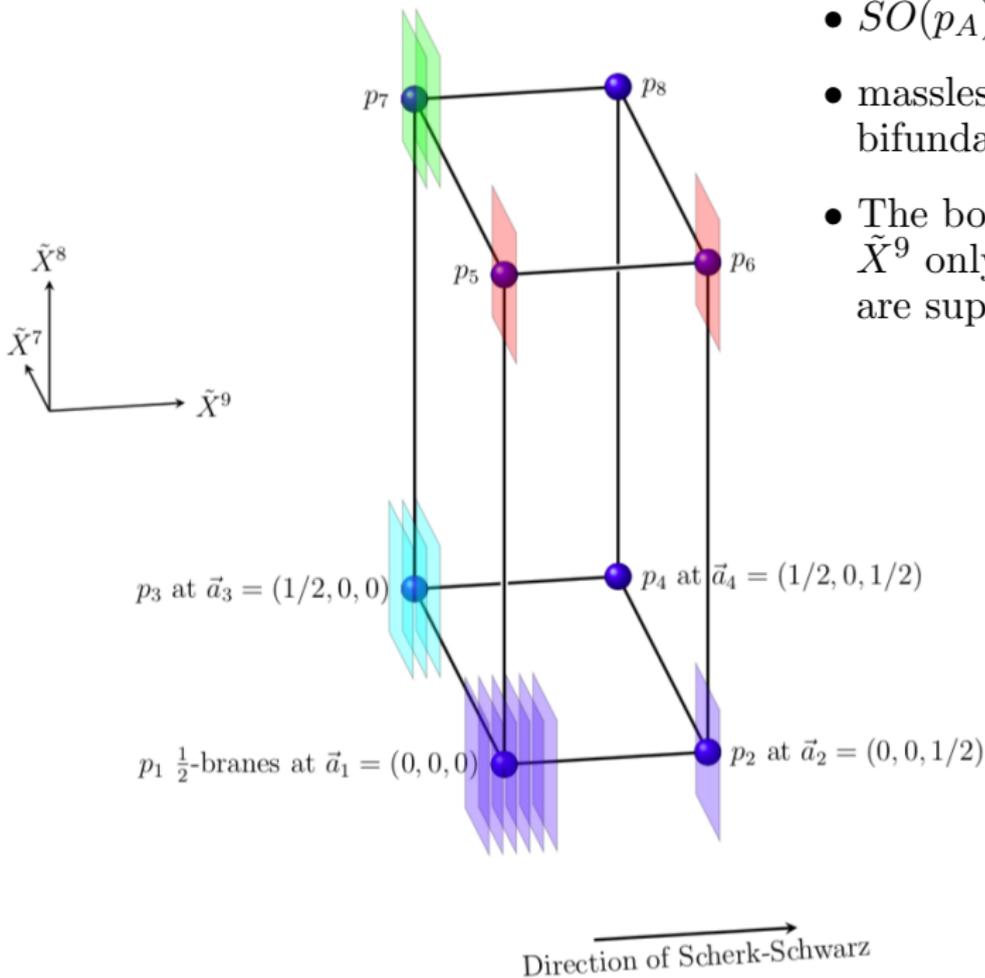
$$M = \frac{\sqrt{G^{99}}}{2} M_s$$

- **Type I'** picture obtained by **T-dualizing  $T^{10-d}$**  :

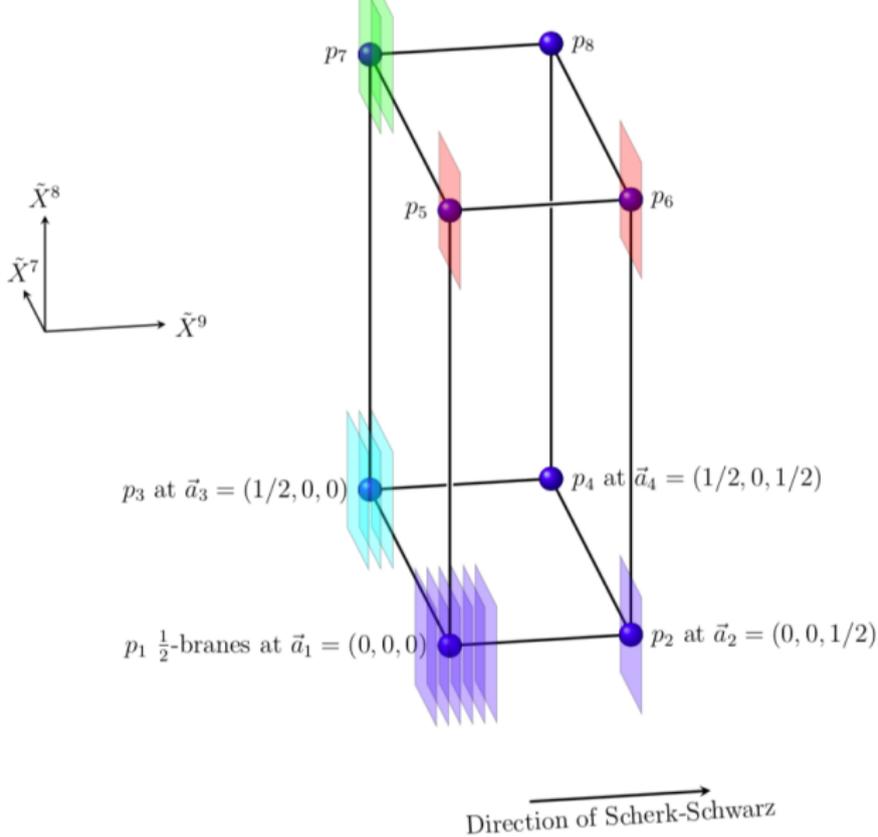
- $2^{10-d}$  **O( $d-1$ )-planes** located at the corners of a  $(10-d)$ -dimensional box.

- **32 “half” D( $d-1$ )-branes.**

- $\mathcal{V}_{1\text{-loop}}$  is extremal when the **32  $\frac{1}{2}$ -branes** are located on the **O-planes**.



- $SO(p_A)$  at corner  $A$
- massless fermionic bifundamental  $(p_{2A-1}, p_{2A})$
- The box is squeezed along  $\tilde{X}^9$  only (the other strings are super heavy)



$$n_B = 8 \left( 8 + \sum_{A=1}^{2^{10-d}} \frac{p_A(p_A - 1)}{2} \right), \quad n_F = 8 \sum_{A=1}^{2^{10-d}/2} p_{2A-1} p_{2A}$$

■ The WL masses can be found from the potential, or

$$\text{mass}^2 \propto \left( \sum_{\substack{\text{massless} \\ \text{bosons}}} Q_r^2 - \sum_{\substack{\text{massless} \\ \text{fermions}}} Q_r^2 \right) \propto T_{\mathcal{R}_B} - T_{\mathcal{R}_F}$$

where  $T_{\mathcal{R}}$  is the Dynkin index of the representation  $\mathcal{R}$  of a group  $G$

$$T_{\mathcal{R}} \delta_{ab} = \frac{1}{2} \text{tr} T_a T_b, \quad (a, b=1, \dots, \dim G)$$

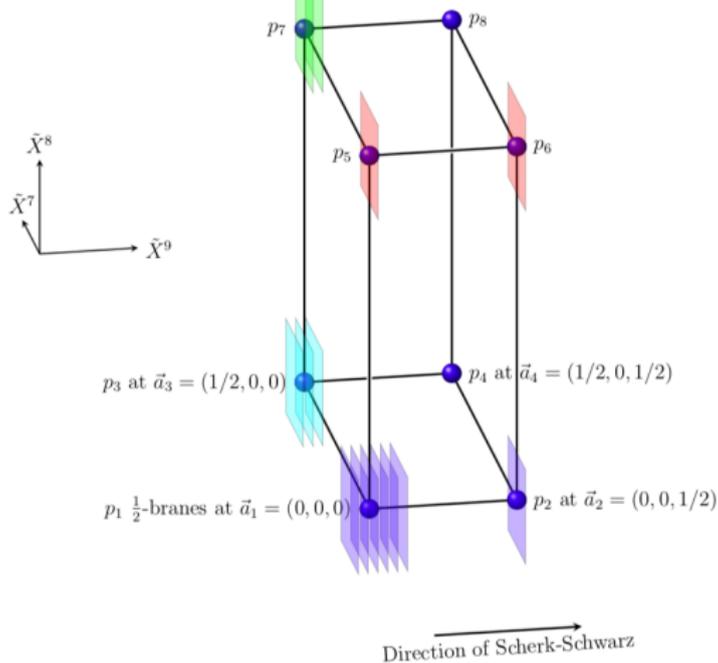
When  $p_{2A-1}$  and  $p_{2A} \geq 2$ , both  $SO(p_{2A-1})$  and  $SO(p_{2A})$  have WLs :

- For  $SO(p_{2A-1})$ , we have 8 bosons in the Adjoint and  $8 \times p_{2A}$  fermions in the Fundamental  $\implies \text{mass}^2 \propto (p_{2A-1} - 2) - p_{2A}$

- For  $SO(p_{2A})$ ,  $\implies \text{mass}^2 \propto (p_{2A} - 2) - p_{2A-1}$

Incompatible !  $\implies$  One stack must not have WLs :

**$SO(p_{2A-1})$  with 0 or 1 frozen  $\frac{1}{2}$ -brane at corner  $2A$**



For  $SO(p_{2A-1})$ ,  $\text{mass}^2 \propto p_{2A-1} - 2 - 0 \text{ or } 1$

- It is  $> 0$  i.e. the **WLs are stabilized**
- **Except for  $SO(2)$  and  $SO(3) \times SO(1)$  where mass = 0.**

In that case, we need to see if the quartic terms (or higher) in  $\mathcal{V}_{1\text{-loop}}$  introduce instabilities.

■ **For the non-tachyonic brane configurations** what is the sign of  $n_F - n_B$  ?

- **Many models have  $n_F - n_B < 0$**

Lowest value for  $SO(32)$  :  $n_F - n_B = -8 \times 504$

- **23 models have  $n_F - n_B = 0$** . Need enough O-planes  $\implies d \leq 5$

-  $SO(4) \times [SO(1) \times SO(1)]^{14}$  : There are  $8 \times 14$  neutral fermions

-  $[SO(5) \times SO(1)] \times [SO(1) \times SO(1)]^{13}$  :  $SO(5)$  + 8 fermions in the Fundamental +  $8 \times 13$  neutral fermions

- Other models with  $SO(4)$ ,  $SO(3)$ ,  $SO(2)$ 's.

- **The maximal value of  $n_F - n_B = 8 \times 8$**

-  $[SO(1) \times SO(1)]^{16}$  : No gauge group,  $8 \times 16$  neutral fermions

NB: All these models have an Abelian gauge group

$U(1)^{10-d} \times U(1)^{10-d}$  generated by  $G_{\mu J}, C_{\mu J}$ .

■ We can compute  $\mathcal{V}_{1\text{-loop}}$

- $\mathcal{V}_{1\text{-loop}}$  depends on open string WLs

$$a_\alpha^I = \langle a_\alpha^I \rangle + \varepsilon_\alpha^I, \quad \langle a_\alpha^I \rangle \in \left\{0, \frac{1}{2}\right\}, \quad \alpha = 1, \dots, 32, \quad I = d, \dots, 9$$

NB :  $\varepsilon_\alpha^I$  are not small.  $\mathcal{V}_{1\text{-loop}}$  will be the full answer.

NB : The  $\varepsilon_\alpha^I$  go by pairs (mirrors), or are frozen to 0.

- $\mathcal{V}_{1\text{-loop}}$  depends on  $G_{IJ}$
- $\mathcal{V}_{1\text{-loop}}$  does not depend on the Ramond-Ramond moduli  $C_{IJ}$  because they are also WLs, but there are no perturbative states charged under the associated  $U(1)$ 's,  $C_{\mu I}$ .

■ For the metric not to introduce a mass scale  $< M$ , we assume

$$G^{99} \ll |G_{ij}| \ll G_{99}, \quad |G_{9j}| \ll \sqrt{G_{99}}, \quad i, j = d, \dots, 8$$

$$\mathcal{V}_{1\text{-loop}} = \frac{\Gamma\left(\frac{d+1}{2}\right)}{\pi^{\frac{3d+1}{2}}} M^d \sum_{l_9} \frac{\mathcal{N}_{2l_9+1}(\varepsilon, G)}{|2l_9+1|^{d+1}} + \mathcal{O}\left((cM_s M)^{\frac{d}{2}} e^{-cM_s/M}\right)$$

$$\begin{aligned} \mathcal{N}_{2l_9+1}(\varepsilon, G) = & 4 \left\{ -16 - \sum_{(\alpha, \beta) \in L} (-1)^F \cos\left[2\pi(2l_9+1)\left(\varepsilon_\alpha^9 - \varepsilon_\beta^9 + \frac{G^{9i}}{G^{99}}(\varepsilon_\alpha^i - \varepsilon_\beta^i)\right)\right] \right. \\ & \times \mathcal{H}_{\frac{d+1}{2}}\left(\pi|2l_9+1| \frac{(\varepsilon_\alpha^i - \varepsilon_\beta^i) \hat{G}^{ij} (\varepsilon_\alpha^j - \varepsilon_\beta^j)}{\sqrt{G^{99}}}\right) \\ & \left. + \sum_\alpha \cos\left[4\pi(2l_9+1)\left(\varepsilon_\alpha^9 + \frac{G^{9i}}{G^{99}} \varepsilon_\alpha^i\right)\right] \mathcal{H}_{\frac{d+1}{2}}\left(4\pi|2l_9+1| \frac{\varepsilon_\alpha^i \hat{G}^{ij} \varepsilon_\alpha^j}{\sqrt{G^{99}}}\right) \right\} \end{aligned}$$

where  $\hat{G}^{ij} = G^{ij} - \frac{G^{i9}}{G^{99}} G^{99} \frac{G^{9j}}{G^{99}}$  and  $\mathcal{H}_\nu(z) = \frac{2}{\Gamma(\nu)} z^\nu K_\nu(2z)$

$$\mathcal{V}_{1\text{-loop}} = \frac{\Gamma\left(\frac{d+1}{2}\right)}{\pi^{\frac{3d+1}{2}}} M^d \sum_{l_9} \frac{\mathcal{N}_{2l_9+1}(\varepsilon, G)}{|2l_9 + 1|^{d+1}} + \mathcal{O}\left((cM_s M)^{\frac{d}{2}} e^{-cM_s/M}\right)$$

- From  $\mathcal{N}_{2l_9+1}(\varepsilon, G)$ , we recover the masses  $\propto p_{2A-1} - 2 - p_{2A}$  of the  $\varepsilon_\alpha^I$

- The **SO(2) and SO(3) × SO(1) WLs are massless**

$\mathcal{N}_{2l_9+1}(\varepsilon, G)$  turns out to be totally independent of these WLs !

**They are flat directions at 1-loop** (up to exp. supp. terms)

- Setting the massive ones at  $\varepsilon_\alpha^I = 0$

$$\mathcal{N}_{2l_9+1}(0, G) = n_F - n_B \quad \Longrightarrow \quad \mathcal{V}_{1\text{-loop}} = (n_F - n_B) \xi M^d + \dots$$

**Independent of  $G_{IJ} \implies$  flat directions !**

(Except  $M = M_s \sqrt{G^{99}}/2$  unless  $n_F - n_B = 0$ )

- The fact that the NS-NS moduli  $G_{IJ}$  are massless was obvious because

*i)* they are WLs of the  $G_{\mu J}$ 's which generate  $U(1)^{10-d}$

*ii)* there are no charged perturbative states :

$$\implies \sum_{\text{massless bosons}} Q_r^2 - \sum_{\text{massless fermions}} Q_r^2 = 0$$

- Same thing for the RR moduli  $C_{IJ}$ , which are WLs of the  $C_{\mu J}$ 's which generate  $U(1)^{10-d}$

- **They should be stabilized in the heterotic dual**

$$(G + C)_{IJ}|_{\text{Type I}} = (G + B)_{IJ}|_{\text{heterotic}}$$

at enhanced gauge symmetry points, where there are additional massless states with non-trivial  $Q_r$ .

These states have winding numbers  $\implies$  they are **D-string in Type I**.

- We expect only very few WLs such as those of  $SO(2)$  and  $SO(3) \times SO(1)$  to require an analysis at higher genus to see if they are stabilized or not.

# Conclusion

■ **In open string theory compactified on a torus**, we have found **at the quantum level but weak coupling**, backgrounds

- where **all open string moduli are stabilized**.

(Additional models with  $SO(2)$  or  $SO(3) \times SO(1)$  factors require 2-loops analysis)

- **If  $n_F \neq n_B$ , all closed string moduli except  $M$  are flat directions at 1-loop.**

However they are expected to be stabilized at 1-loop in an heterotic framework.

- **The “Super No-Scale Models”,  $n_F = n_B$ , provide consistent Minkowski vacua at 1-loop** (up to exponentially suppressed terms).

Even if non-trivial, it is modest, since

- Higher loops constraints are expected for maintaining flatness.
- The dilaton is expected not be stabilized in perturbation theory.