

# Gravitational Radiation, BMS, Soft Theorems, Memory and All That (Part II)

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Gravitational Scattering, Inspiral and Radiation Training Week

Galileo Galilei Institute

# Outline

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## 1. **Soft Theorems (for Scattering Amplitudes)**

- *Universal behavior of low-frequency radiation*

## 2. **Ward Identities**

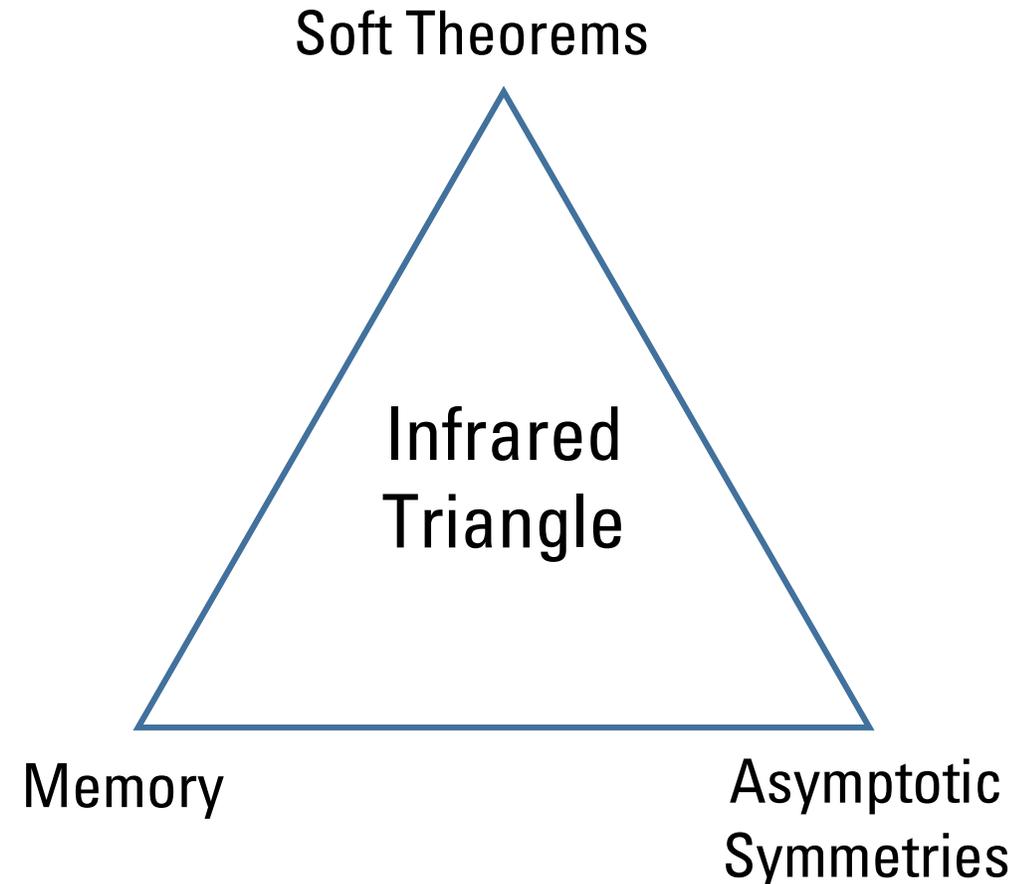
- *Universal behavior due to symmetry*

## 3. **Asymptotic Symmetries**

- *Identification of symmetries implied by soft theorems*

## 4. **IR Divergences & Faddeev-Kulish States**

- *Role of vacuum transitions in scattering amplitudes*



# QFT approach to Gravitational Radiation

- QFT approach: **Scattering Amplitudes** involving gravitons

$$\mathcal{A}(p_1, p_2, \dots) \equiv \langle \text{out} | \mathcal{S} | \text{in} \rangle$$

$$\text{initial/final state : } |\text{in/out}\rangle = \underbrace{|p_1, p_2, \dots\rangle}_{\text{particles}} = a^\dagger(p_1) a^\dagger(p_2) \dots |0\rangle$$

- Behavior of radiation at low-frequency?  
→ Amplitudes with emission of **low-energy graviton**
- Universality of infrared behavior = Soft Theorems

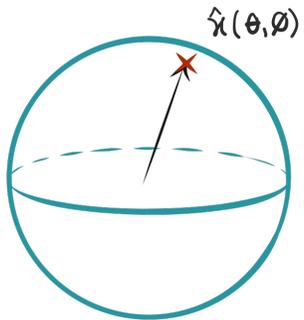
$$\mathcal{A}(p_1, \dots, p_n; q) \xrightarrow{\omega \rightarrow 0} \left[ \frac{1}{\omega} S_{(0)} + \log \omega S_{(1)}^{\log} + S_{(1)} + \omega S_{(2)} \right] \mathcal{A}(p_1, \dots, p_n)$$

- **Soft Theorems**: universal relation among scattering amplitudes

# Weinberg's Soft Graviton Theorem

➤ Scattering amplitude for the emission of a soft graviton has a pole in the energy of that graviton with a universal residue:

$$\lim_{\omega \rightarrow 0} \omega \langle \text{out} | a_+(\omega \hat{x}) \mathcal{S} | \text{in} \rangle = \sum_{k=1}^n S_k(\hat{x}) \langle \text{out} | \mathcal{S} | \text{in} \rangle$$



Graviton Momentum:

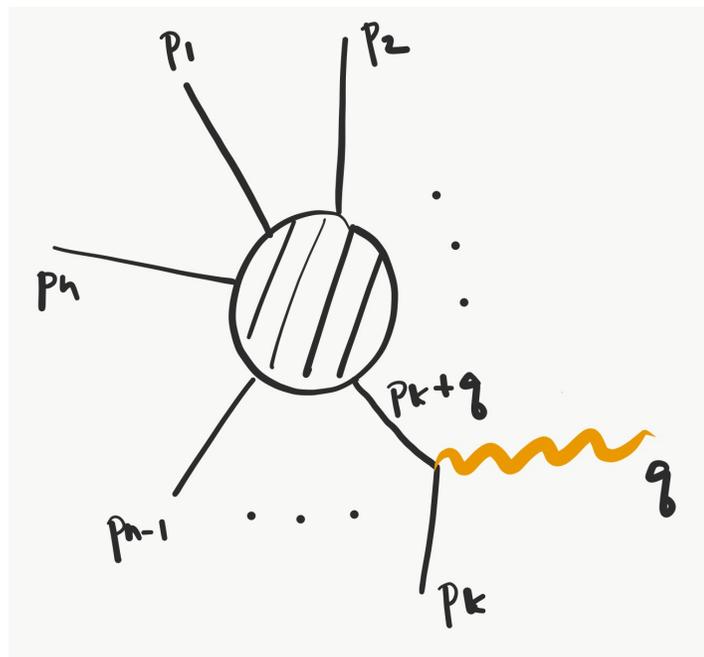
$$q^\mu = \omega \hat{q}^\mu = \omega (1, \hat{x}(\theta, \phi))$$

Soft Factor:

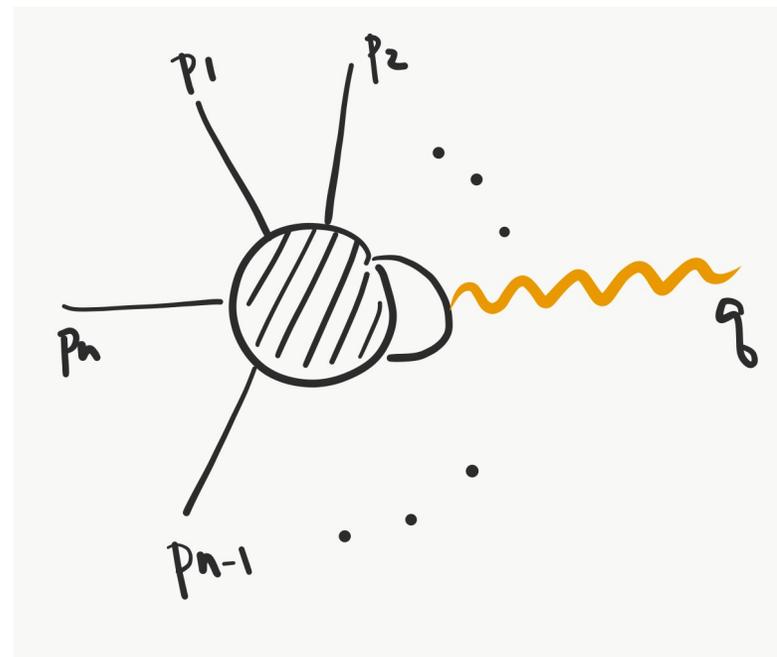
$$S_k(\hat{x}) = \frac{\kappa}{2} \frac{\varepsilon_{\mu\nu}^+ p_k^\mu p_k^\nu}{\hat{q} \cdot p_k}$$

# Feynman Diagrammatic Derivation

- Consider  $S$ -matrix for emission of outgoing graviton of momentum  $q$  in the limit  $q \rightarrow 0$ .
- There are two classes of diagrams which contribute:



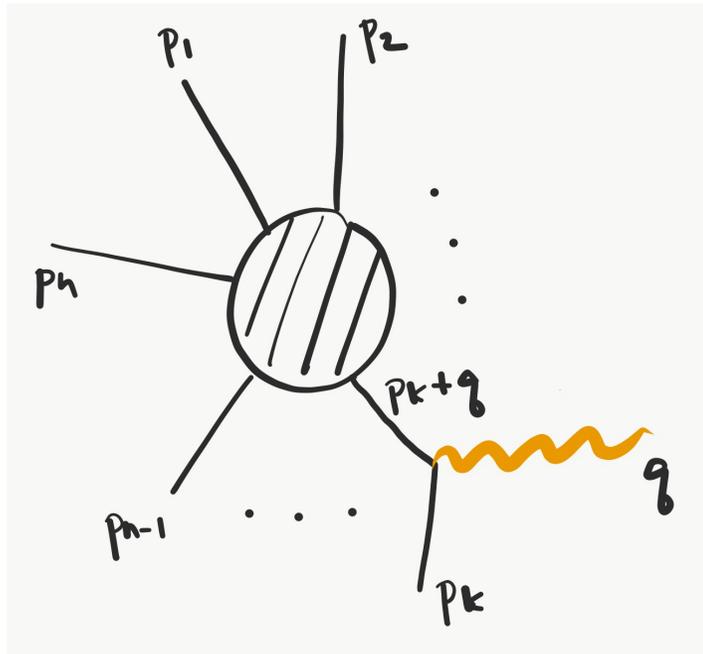
Graviton emitted from external line



Graviton emitted from internal line

# Graviton emission from external line

➤ Focus on the first diagram:



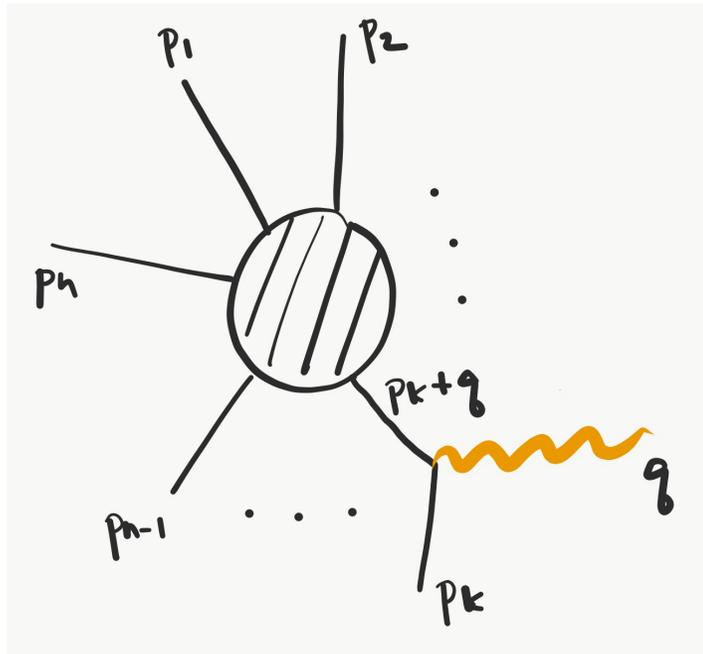
$$= (\text{vertex}) (\text{propagator}) \mathcal{A}(p_1, \dots, p_k + q, \dots, p_n)$$

for particle of  
type  $k$

matrix element without  
graviton & with particle  
 $k$ 's momentum shifted

# Graviton emission from external line

➤ Consider for concreteness, emission from a scalar particle of mass  $m_k$ :



$$\begin{aligned}
 &= (\text{vertex}) (\text{propagator}) \mathcal{A}(p_1, \dots, p_k + q, \dots, p_n) \\
 &= (i\kappa \varepsilon_{\mu\nu} p_k^\mu p_k^\nu) \frac{-i}{(p_k + q)^2 + m_k^2 - i\epsilon} \\
 &\quad \times \mathcal{A}(p_1, \dots, p_k + q, \dots, p_n)
 \end{aligned}$$

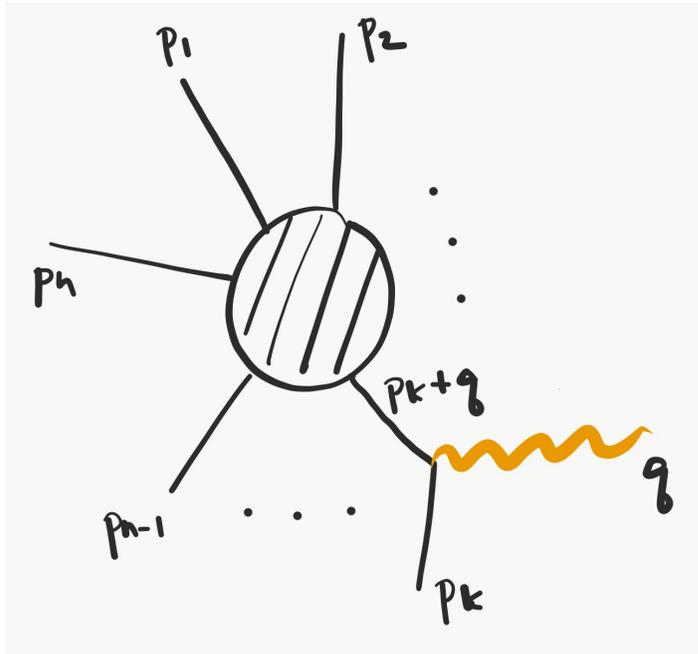
$$\left( \begin{aligned} \mathcal{L}_{\text{int}} &= \frac{\kappa}{2} h^{\mu\nu} T_{\mu\nu} \\ T_{\mu\nu} &\sim \partial_\mu \varphi \partial_\nu \varphi \end{aligned} \right)$$

$$\approx \frac{\kappa}{2} \frac{\varepsilon_{\mu\nu} p_k^\mu p_k^\nu}{p_k \cdot q} \mathcal{A}(p_1, \dots, p_n)$$

# Graviton emission from external line

➤ The net contribution from graviton emission from external line is just given by the sum:

$$\sum_{k=1}^n$$



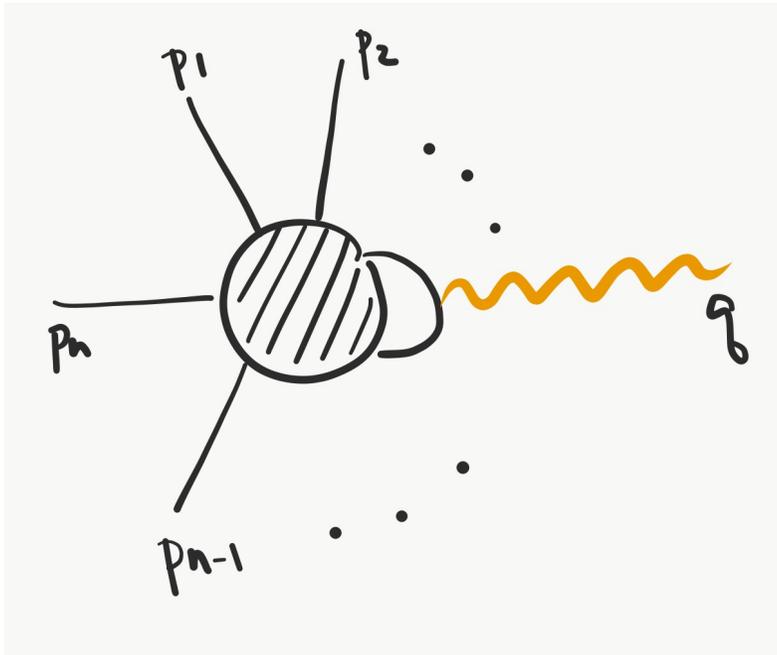
$$\approx \sum_{k=1}^n \frac{\kappa}{2} \frac{\varepsilon_{\mu\nu} p_k^\mu p_k^\nu}{p_k \cdot q} \mathcal{A}(p_1, \dots, p_n)$$



Leading behavior in  $q \rightarrow 0$  limit involves a **simple pole** in  $q$

# Graviton emission from internal line

➤ Next, consider second class of diagrams:



Emission from internal line carrying momentum  $\ell$

$$\sim \frac{-i}{\ell^2 + m^2 - i\epsilon} i\kappa \varepsilon_{\mu\nu} \ell^\mu \ell^\nu \underbrace{\frac{-i}{(\ell + q)^2 + m^2 - i\epsilon}}_{\approx \frac{-i}{\ell^2 + m^2 - i\epsilon}}$$

Internal momentum  $\ell$  is off-shell ( $\ell^2 \neq -m^2$ ) so no pole in  $q \rightarrow 0$  limit.

# Weinberg's Soft Graviton Theorem

$$\lim_{\omega \rightarrow 0} \omega \langle \text{out} | a_+(\omega \hat{x}) \mathcal{S} | \text{in} \rangle = \sum_{k=1}^n S_k(\hat{x}) \langle \text{out} | \mathcal{S} | \text{in} \rangle$$

$$S_k(\hat{x}) = \frac{\kappa}{2} \frac{\varepsilon_{\mu\nu}^+ p_k^\mu p_k^\nu}{\hat{q} \cdot p_k}$$

Soft Factor

- **Infrared:** *Low-energy graviton* probes long-distance properties of scattering process.
- **Universality:** Soft factor is *independent* of precise theory.
  - Only depends on momenta of external particles
  - Same contribution for each external particle
- **Symmetry:** Implies *exact* relationship between scattering amplitudes.

$$\langle \text{out} | U^{-1} \mathcal{S} U | \text{in} \rangle = \langle \text{out} | \mathcal{S} | \text{in} \rangle \quad \xrightarrow{U = e^{iQ}} \quad \langle \text{out} | [Q, \mathcal{S}] | \text{in} \rangle = 0$$

# Soft Theorems Imply Symmetries

- Can always interpret **soft theorems** as **statements of invariance** of the  $\mathcal{S}$ -matrix under an **infinite-dimensional symmetry**

Weinberg's Soft Graviton Theorem:

$$\lim_{\omega \rightarrow 0} \omega \langle \text{out} | a_+(\omega \hat{x}) \mathcal{S} | \text{in} \rangle = \sum_{k=1}^n S_k(\hat{x}) \langle \text{out} | \mathcal{S} | \text{in} \rangle$$

- Regard soft factor  $S_k$  as eigenvalue of single particle state under operator  $Q_H$

$$S_k(\hat{x}) = \frac{\kappa}{2} \frac{\varepsilon_{\mu\nu}^+ p_k^\mu p_k^\nu}{\hat{q} \cdot p_k}$$

$$S_k(\hat{x}) |p_k\rangle = Q_H(\theta, \phi) |p_k\rangle = -i \delta_{(\theta, \phi)} |p_k\rangle$$

- RHS gives transformation of single particle states under  $\delta_{(\theta, \phi)}$

$$\sum_{k=1}^n S_k \langle \text{out} | \mathcal{S} | \text{in} \rangle = \langle \text{out} | [Q_H, \mathcal{S}] | \text{in} \rangle$$

- Soft theorem implies that the  $\mathcal{S}$ -matrix is invariant under the transformation  $\delta_{(\theta, \phi)}$  of single particle states provided that *a soft particle is added*.

# Soft Theorems Imply Symmetries

Weinberg's Soft Graviton Theorem:

$$\lim_{\omega \rightarrow 0} \langle \text{out} | \omega a_+(\omega \hat{x}) \mathcal{S} | \text{in} \rangle = \sum_{k=1}^n S_k \langle \text{out} | \mathcal{S} | \text{in} \rangle = \langle \text{out} | [Q_H, \mathcal{S}] | \text{in} \rangle$$

➤ Denote operator which **adds soft particles**  $Q_S$

$$Q_S(\theta, \phi) \sim - \lim_{\omega \rightarrow 0} \omega \left[ a_+(\omega \hat{x}) + a_-^\dagger(\omega \hat{x}) \right]$$

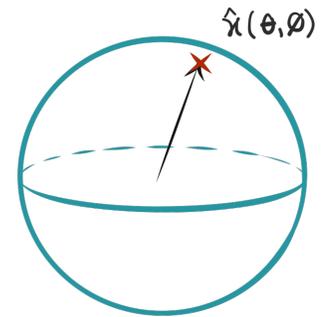
➤ Then, the LHS can be written as

$$\lim_{\omega \rightarrow 0} \langle \text{out} | \omega a_+(\omega \hat{x}) \mathcal{S} | \text{in} \rangle = - \langle \text{out} | [Q_S, \mathcal{S}] | \text{in} \rangle$$

➤ Rearranging the soft theorem

$$\langle \text{out} | [Q, \mathcal{S}] | \text{in} \rangle = 0, \quad Q = Q_H + Q_S$$

⇒ Obtain statement of invariance under symmetry generated by  $Q$



➤ Can always interpret **soft theorems** as statements of **invariance** of the  $\mathcal{S}$ -matrix under an **infinite-dimensional symmetry**.

# Supertranslations & Weinberg's Soft Theorem

- To identify symmetry transformation, parametrize by **functions**  $f(\theta, \phi)$  rather than **points**  $(\theta, \phi)$ .
- Identify soft factor for **massless**  $p_k$  as Green's function on  $S^2$

$$S_k(\hat{x}) = \frac{\kappa \varepsilon_{\mu\nu}^+ p_k^\mu p_k^\nu}{2 \hat{q} \cdot p_k}$$

$$\hat{q}^\mu = (1, \hat{x})$$

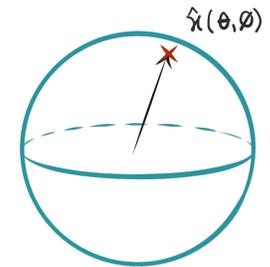
$$p_k^\mu = \omega_k (1, \hat{x}_k)$$

$$\mathcal{D}_{(\hat{x})} S_k(\hat{x}) \sim \omega_k \delta^{(2)}(\hat{x}, \hat{x}_k)$$

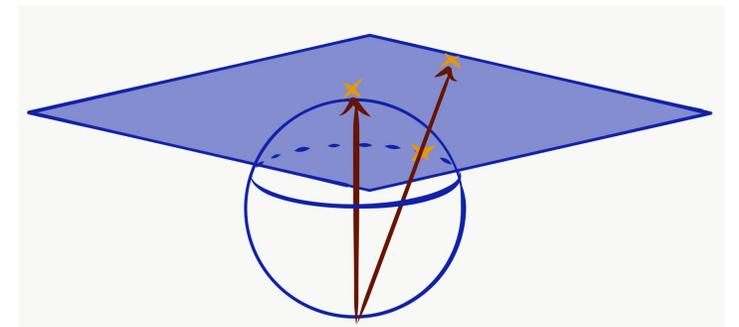
$$\hat{x}(z, \bar{z}) = \left( \frac{z + \bar{z}}{1 + z\bar{z}}, \frac{-i(z - \bar{z})}{1 + z\bar{z}}, \frac{1 - z\bar{z}}{1 + z\bar{z}} \right)$$

$$S_k(z, \bar{z}) \sim \frac{\kappa}{2} \omega_k \frac{\bar{z} - \bar{z}_k}{z - z_k}$$

$$\mathcal{D}_{(\hat{x})} \sim \frac{2}{\kappa} \partial_{\bar{z}}^2$$



$$z = e^{i\phi} \tan\left(\frac{1}{2}\theta\right)$$



# Supertranslations & Weinberg's Soft Theorem

- Construct charges with *local* action (*i.e.* action only depends on  $f$  at point  $(\theta_k, \phi_k)$ )

$$Q[f] = \int d^2 \hat{x} f(\hat{x}) \mathcal{D}_{(\hat{x})} Q(\hat{x})$$

- Action on massless particles:

$$-i\delta_f |p_k\rangle = \underbrace{Q_H[f]} |p_k\rangle = \omega_k f(\theta_k, \phi_k) |p_k\rangle$$

$$\int d^2 \hat{x} f(\hat{x}) \mathcal{D}_{(\hat{x})} Q_H(\hat{x}) \quad \mathcal{D}_{(\hat{x})} S_k(\hat{x}) \sim \omega_k \delta^{(2)}(\hat{x}, \hat{x}_k)$$

$$Q_H(\hat{x}) |p_k\rangle = S_k(\hat{x}) |p_k\rangle \quad S_k(\hat{x}) = \frac{\kappa}{2} \frac{\varepsilon_{\mu\nu}^+ p_k^\mu p_k^\nu}{\hat{q} \cdot p_k}$$

$\Rightarrow$  Charges act *locally* on massless particles.

# Supertranslations & Weinberg's Soft Theorem

- Having identified transformation  $\delta_f$  as the symmetry implied by the soft theorem, can now determine physical interpretation

$$Q[f] = \int d^2\hat{x} f(\hat{x}) \mathcal{D}_{(\hat{x})} Q(\hat{x})$$

$$-i\delta_f |p_k\rangle = Q_H[f] |p_k\rangle = \omega_k f(\theta_k, \phi_k) |p_k\rangle$$

- When  $f = 1$ , find total energy

$$-i\delta_{f=1} |p_k\rangle = \omega_k |p_k\rangle$$

$\Rightarrow Q[f = 1]$  generates *ordinary* time translations

# Supertranslations & Weinberg's Soft Theorem

- Generic  $f = f(\theta, \phi)$ , find energy *weighted* by  $f$

$$-i\delta_f |p_k\rangle = \omega_k f(\hat{x}_k) |p_k\rangle$$

⇒  $Q[f]$  generates translation *weighted* at each angle by  $f$

- $f$  is arbitrary

⇒ *Independent* translation symmetry at every angle (“Supertranslations”)

⇒  $Q_H$  characterizes *local* energy flux at every angle

# Locality on the Celestial Sphere

- To understand why transformations that act **independently at every angle** in **momentum space** also act **locally at every angle** in **position space**, consider the **saddle point approximation**:

$$e^{ix \cdot p_k} = e^{-i\omega u - i\omega r(1 - \hat{x} \cdot \hat{x}_k)} \underset{r \rightarrow \infty}{\sim} \frac{1}{i\omega r} e^{-i\omega u} \gamma^{z\bar{z}} \delta^{(2)}(z - z_k)$$

⇒ Plane waves of massless particles localize to the point on the sphere in the direction of propagation.

$$u = t - r, \quad \vec{x} = r\hat{x}(z, \bar{z}),$$

$$\gamma_{z\bar{z}} = \frac{2}{(1 + z\bar{z})^2}$$

$$\begin{aligned} ds^2 &= \eta_{\mu\nu} x^\mu x^\nu \\ &= -du^2 + 2dudr + 2r^2 \gamma_{z\bar{z}} dz d\bar{z} \end{aligned}$$

# Locality on the Celestial Sphere

- Expansion of massless scalar field in plane wave modes

$$\Phi(x) = \int \frac{d^3\vec{p}}{(2\pi)^3} \frac{1}{2p^0} [e^{ip \cdot x} a(\vec{p}) + e^{-ip \cdot x} a^\dagger(\vec{p})]$$

- Saddle point approximation  $\Rightarrow$  localization in angles at large  $r$

$$\Phi(x) = \frac{1}{r} \phi(u, z, \bar{z}) + \dots, \quad \phi(u, z, \bar{z}) \sim \int d\omega e^{i\omega u} a^\dagger(\omega, z, \bar{z}) + c.c.$$

- Transformation under **angle-dependent time translation**

$$\delta_f \phi \sim f \partial_u \phi \sim \int d\omega e^{i\omega u} \underbrace{f \omega a^\dagger(\omega, z, \bar{z})}_{\text{Transformation of momentum state}} + c.c. \quad \xrightarrow{\quad} \quad -i\delta_f |p_k\rangle = \omega_k f(\hat{x}_k) |p_k\rangle$$

# BMS Symmetries

**Method:** Asymptotic symmetry analysis

$$\text{asymptotic symmetries} = \frac{\text{allowed diffeomorphisms}}{\text{trivial diffeomorphisms}}$$

$$ds^2 = -du^2 - 2dudr + 2r^2\gamma_{z\bar{z}}dzd\bar{z} + \frac{2m_B}{r}du^2 + rC_{zz}dz^2 + rC_{\bar{z}\bar{z}}d\bar{z}^2 + D^z C_{zz}dudz + D^{\bar{z}} C_{\bar{z}\bar{z}}dud\bar{z} + \dots$$

**Minkowski:**

$$u = t - r, \quad \vec{x} = r\hat{x}(z, \bar{z}), \quad \gamma_{z\bar{z}} = \frac{2}{(1 + z\bar{z})^2}$$

# BMS Symmetries

**Result:** Supertranslations + Lorentz transformations

$$\xi = f(z, \bar{z})\partial_u + \dots$$

- Independent translation symmetry at **every angle** on the celestial sphere
- These are *precisely* the symmetries implied by Weinberg's soft graviton theorem.

# Construction of Hard Charge

- With result from saddle point approximation can also give explicit operator expression for hard charge

$$Q_H[f] = \int du \int d^2 \hat{x} f(\hat{x}) T_{uu}(u, \hat{x}) \quad T_{uu} \sim \partial_u \phi \partial_u \phi$$

- Use canonical commutation relations to verify its action:

$$[\partial_u \phi(u, \hat{x}), \phi(u', \hat{x}')] \sim \delta(u - u') \delta^{(2)}(\hat{x} - \hat{x}')$$

Position space:  $[Q_H[f], \phi(u, \hat{x})] \sim f(\hat{x}) \partial_u \phi(u, \hat{x}) \sim \delta_f \phi(u, \hat{x})$

Momentum space:  $Q_H[f] |p_k\rangle = \omega f(\hat{x}_k) |p_k\rangle = -i \delta_f |p_k\rangle$

$$\phi(u, z, \bar{z}) \sim \int d\omega e^{i\omega u} a^\dagger(\omega, z, \bar{z}) + c.c.$$

⇒  $Q_H$  characterizes *local* energy flux at every angle

# Massive Particles

- Previous analysis for construction of charge  $Q[f]$  assumed scattering of massless particles.
- Used property of soft factor:

$$\mathcal{D}_{(\hat{x})} S_k(\hat{x}) \sim \omega_k \delta^{(2)}(\hat{x}, \hat{x}_k) \quad S_k(\hat{x}) = \frac{\kappa \varepsilon_{\mu\nu}^+ p_k^\mu p_k^\nu}{2 \hat{q} \cdot p_k} \quad \begin{aligned} p_k^\mu &= \omega_k (1, \hat{x}_k) \\ \hat{q}^\mu &= (1, \hat{x}) \end{aligned}$$

- Found  $Q_H[f]$  characterized local energy flux through null infinity.
- Massive particles in momentum eigenstates do not reach null infinity
- There is no canonical way to associate massive momenta to points on the celestial sphere

# Massive Particles

- On the other hand, argument that soft theorem implies infinite-dimensional symmetries applied *regardless* of whether massive or massless.

Charges parametrized by points  $(\theta, \phi)$ :

$$S_k(\hat{x})|p_k\rangle = Q_H(\theta, \phi)|p_k\rangle = -i\delta_{(\theta, \phi)}|p_k\rangle$$

$$S_k(\hat{x}) = \frac{\kappa}{2} \frac{\varepsilon_{\mu\nu}^+ p_k^\mu p_k^\nu}{\hat{q} \cdot p_k}$$

$$\hat{q}^\mu = (1, \hat{x})$$

- ⇒ This is the transformation of massive particles under the symmetry generated by  $Q(\hat{x})$
- What is the transformation of massive particles under the symmetry generated by  $Q[f]$ ?

# Massive Particles

➤ Recall relation between  $Q(\hat{x})$  and  $Q[f]$ :

$$Q[f] = \int d^2 \hat{x} f(\hat{x}) \mathcal{D}_{(\hat{x})} Q(\hat{x})$$

Localizes soft factor  
for massless  $p_k^\mu$



⇒ Implies the following transformation under the symmetry generated by  $Q[f]$

$$\begin{aligned} -i\delta_f |p_k\rangle &\equiv Q_H[f] |p_k\rangle \\ &= \int d^2 \hat{x} f(\hat{x}) \mathcal{D}_{(\hat{x})} Q_H(\hat{x}) |p_k\rangle \\ &= \left( \int d^2 \hat{x} f(\hat{x}) \mathcal{D}_{(\hat{x})} \frac{\kappa \varepsilon_{\mu\nu}^+ p_k^\mu p_k^\nu}{p_k \cdot \hat{q}} \right) |p_k\rangle \end{aligned}$$

# Massive Particles

- To further elucidate the action on massive particles, identify momenta with points on 3D hyperboloid

$$p_k^\mu = m_k \hat{p}_k^\mu, \quad \hat{p}_k^2 = -1, \quad \hat{p}_k^\mu = \frac{1}{2\rho_k} (n^\mu + \rho_k^2 \hat{q}(z_k, \bar{z}_k))$$

$$n^\mu = (1, 0, 0, -1), \quad \hat{q}^\mu(z, \bar{z}) = (1 + z\bar{z}, z + \bar{z}, -i(z - \bar{z}), 1 - z\bar{z})$$

- Similar saddle point analysis shows massive particles in momentum eigenstates localize to this point on unit hyperboloid in *spacetime*.

$$x^\mu = \frac{\tau}{2\rho} (n^\mu + \rho^2 \hat{q}^\mu(z, \bar{z})), \quad x^2 = -\tau^2$$

(Covers points inside the lightcone of the origin)

# Massive Particles

➤ Then, symmetry transformation takes the form:

$$-i\delta_f |p_k\rangle = \left( \int d^2\hat{x} f(\hat{x}) \underbrace{\mathcal{D}_{(\hat{x})} \frac{\kappa \varepsilon_{\mu\nu}^+ p_k^\mu p_k^\nu}{p_k \cdot \hat{q}}}_{\sim m_k \mathcal{G}_{(3)}(\hat{p}_k; \hat{x})} \right) |p_k\rangle$$

Bulk-to-boundary propagator on AdS<sub>3</sub>

$$\sim \frac{m_k}{(\hat{p}_k \cdot \hat{q})^3}$$

⇒ 
 $-i\delta_f |p_k\rangle = m_k \tilde{f}(\hat{p}_k) |p_k\rangle$

$$\tilde{f}(\hat{p}_k) \equiv \int d^2\hat{x} f(\hat{x}) \mathcal{G}_{(3)}(\hat{p}_k; \hat{x})$$

Extension of  $f$  from null infinity to timelike infinity under de Donder gauge condition

# Recap

- Introduced soft theorems as quantum theoretic characterization of the universality of gravitational radiation in the infrared.
- By showing soft theorems are equivalent to Ward identities of infinite-dimensional symmetries, demonstrated that this universal behavior in the infrared was equivalent to universal behavior due to symmetry.
- Studied the action of the hard charge on single particle states to identify the physical interpretation of the symmetry.
- Recall needed to introduce soft charge to interpret the soft theorem as the Ward identity.
  - What is the role of the soft charge?
  - What transformation does it implement?
  - What transforms non-trivially under its action?

# Soft Modes & Infinite-Dimensional Symmetries

- Form of hard charge  $Q_H[f]$  fixes the form of the soft charge:

$$Q_S[f] \sim \int d^2\hat{x} f(\hat{x}) \mathcal{D}_{(\hat{x})} \lim_{\omega \rightarrow 0} \omega [a(\omega\hat{x}) + a^\dagger(\omega\hat{x})]$$

- For some choices  $\hat{f}$  of  $f$ ,

$$\mathcal{D}_{(\hat{x})}\hat{f} = 0 \quad \Rightarrow \quad Q_S[\hat{f}] = 0$$

- In the case of supertranslations, soft charge vanishes for four ordinary translations.

$$\mathcal{D}_{(\hat{x})} \sim D^z D^{\bar{z}} \quad \Rightarrow \quad \hat{f} = Y_{\ell m}, \quad \ell = 0, 1.$$

- *Finitely many* symmetry transformations preserve particle number.
- *Infinitely many* require addition of soft particles.

- Addition of soft particles was crucial to obtain **infinite-dimensional symmetry**
- If restrict to charges with no soft contributions, only find four translational symmetries.

# Construction of Soft Charge

➤ As for hard charge, can identify field operator expression for soft charge

$$Q_S(\theta, \phi) \sim \lim_{\omega \rightarrow 0} \omega [a(\omega \hat{x}) + a^\dagger(\omega \hat{x})] \sim \lim_{\omega \rightarrow 0} \int dt \varepsilon^{\mu\nu} e^{i\omega t} \partial_t h_{\mu\nu}^{\text{rad}}$$

- The soft charge given is the **zero-frequency component** of the **radiative gravitational field**.

$$Q_S(\theta, \phi) \sim \varepsilon^{\mu\nu} \underbrace{\Delta h_{\mu\nu}}_{h_{\mu\nu}(t_f) - h_{\mu\nu}(t_i)}$$

$$\left( \begin{array}{c} \text{Follows from} \\ \int d\omega \frac{e^{i\omega t}}{\omega} \sim \Theta(t) \end{array} \right)$$

- Equivalently, the soft charge can be written as a **permanent net shift** in the **asymptotic metric**.

# Gravitational Memory from Soft Theorems

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## Gravitational-wave bursts with memory and experimental prospects

Vladimir B. Braginsky\* & Kip S. Thorne†

permanent change in the gravitational-wave field (the burst's memory)  $\delta h_{ij}^{\text{TT}}$  is equal to the 'transverse, traceless (TT) part'<sup>36</sup> of the time-independent, Coulomb-type,  $1/r$  field of the final system minus that of the initial system. If  $\mathbf{P}^A$  is the 4-momentum of mass  $A$  of the system and  $P_i^A$  is a spatial component of that 4-momentum in the rest frame of the distant observer, and if  $\mathbf{k}$  is the past-directed null 4-vector from observer to source, then  $\delta h_{ij}^{\text{TT}}$  has the following form:

$$\delta h_{ij}^{\text{TT}} = \delta \left( \sum_A \frac{4P_i^A P_j^A}{\mathbf{k} \cdot \mathbf{P}^A} \right)^{\text{TT}}$$

$$\lim_{\omega \rightarrow 0} \omega \langle \text{out} | a_+(\omega \hat{x}) \mathcal{S} | \text{in} \rangle = \sum_{k=1}^n S_k(\hat{x}) \langle \text{out} | \mathcal{S} | \text{in} \rangle$$

$$\langle \text{out} | \varepsilon_+^{\mu\nu} \Delta h_{\mu\nu} \mathcal{S} | \text{in} \rangle$$

$$S_k(\hat{x}) = \frac{\kappa}{2} \frac{\varepsilon_{\mu\nu}^+ p_k^\mu p_k^\nu}{\hat{q} \cdot p_k}$$

$$\begin{aligned} \varepsilon_+^{\mu\nu} \langle \Delta h_{\mu\nu} \rangle &\equiv \frac{\langle \text{out} | \varepsilon_+^{\mu\nu} \Delta h_{\mu\nu} \mathcal{S} | \text{in} \rangle}{\langle \text{out} | \mathcal{S} | \text{in} \rangle} \\ &= \frac{\kappa}{2} \sum_{k=1}^n \frac{\varepsilon_{\mu\nu}^+ p_k^\mu p_k^\nu}{\hat{q} \cdot p_k} \end{aligned}$$

# Soft Charges & Degenerate Vacua

In what sense does the soft charge implement an infinitesimal symmetry transformation?

**Action on vacuum state:**

$$Q_S(\theta, \phi)|\Omega\rangle = |\Omega'\rangle$$

$$Q_S(\theta, \phi) \sim - \lim_{\omega \rightarrow 0} \omega \left[ a_+(\omega \hat{x}) + a_-^\dagger(\omega \hat{x}) \right]$$

- $Q_S$  carries no energy
  - $\Rightarrow Q_S$  maps vacuum states to vacuum states
  - $\Rightarrow Q_S$  implements symmetry transformation *on the vacuum*

[Kapec, Perry, Raclariu, Strominger, hep-th/1703.05448;  
Choi, Kol, Akhoury, hep-th/1708.05717;  
Choi, Akhoury, hep-th/1712.04551]

# Soft Charges & Degenerate Vacua

- Revisit Ward identity:

$$\langle \text{out} | [Q, \mathcal{S}] | \text{in} \rangle = 0, \quad Q(\hat{x}) = Q_H(\hat{x}) + Q_S(\hat{x})$$

- Label vacuum states by eigenvalue under  $Q_S$

$$Q_S(\hat{x})|\alpha\rangle = \alpha(\hat{x})|\alpha\rangle$$

- Consider scattering states composed of finite-energy particles, built from these vacuum states

$$|\text{out}; \alpha\rangle \equiv a^\dagger(p_1)a^\dagger(p_2)\cdots|\alpha\rangle$$

- Finite energy particles do not affect action of the soft charge

$$Q_S(\hat{x})|\text{out}; \alpha\rangle = \alpha(\hat{x})|\text{out}; \alpha\rangle$$

$$Q_S(\hat{x}) \sim \lim_{\omega \rightarrow 0} \omega [a(\omega \hat{x}) + a^\dagger(\omega \hat{x})]$$

$$[a(\vec{p}), a^\dagger(\vec{p}')] \sim p^0 \delta^{(3)}(\vec{p} - \vec{p}')$$

# Soft Charges & Degenerate Vacua

➤ Consider the Ward identity between the following scattering states:

$$\langle \text{out}; \alpha^{\text{out}} | [Q_H, \mathcal{S}] | \text{in}; \alpha^{\text{in}} \rangle = - \langle \text{out}; \alpha^{\text{out}} | [Q_S, \mathcal{S}] | \text{in}; \alpha^{\text{in}} \rangle$$

$Q_H$  acts non-trivially on  
finite-energy particles

$Q_S$  acts non-trivially on  
vacuum states



$$\left( \sum_{k=1}^n S_k(\hat{x}) \right) \langle \text{out}; \alpha^{\text{out}} | \mathcal{S} | \text{in}; \alpha^{\text{in}} \rangle$$



$$(\alpha^{\text{in}}(\hat{x}) - \alpha^{\text{out}}(\hat{x})) \langle \text{out}; \alpha^{\text{out}} | \mathcal{S} | \text{in}; \alpha^{\text{in}} \rangle$$



$$\left( \sum_{k=1}^n S_k(\hat{x}) + \alpha^{\text{out}}(\hat{x}) - \alpha^{\text{in}}(\hat{x}) \right) \langle \text{out}; \alpha^{\text{out}} | \mathcal{S} | \text{in}; \alpha^{\text{in}} \rangle = 0$$

# Soft Charges & Degenerate Vacua

$$\left( \sum_{k=1}^n S_k(\hat{x}) + \alpha^{\text{out}}(\hat{x}) - \alpha^{\text{in}}(\hat{x}) \right) \langle \text{out}; \alpha^{\text{out}} | \mathcal{S} | \text{in}; \alpha^{\text{in}} \rangle = 0$$

**Solution:**

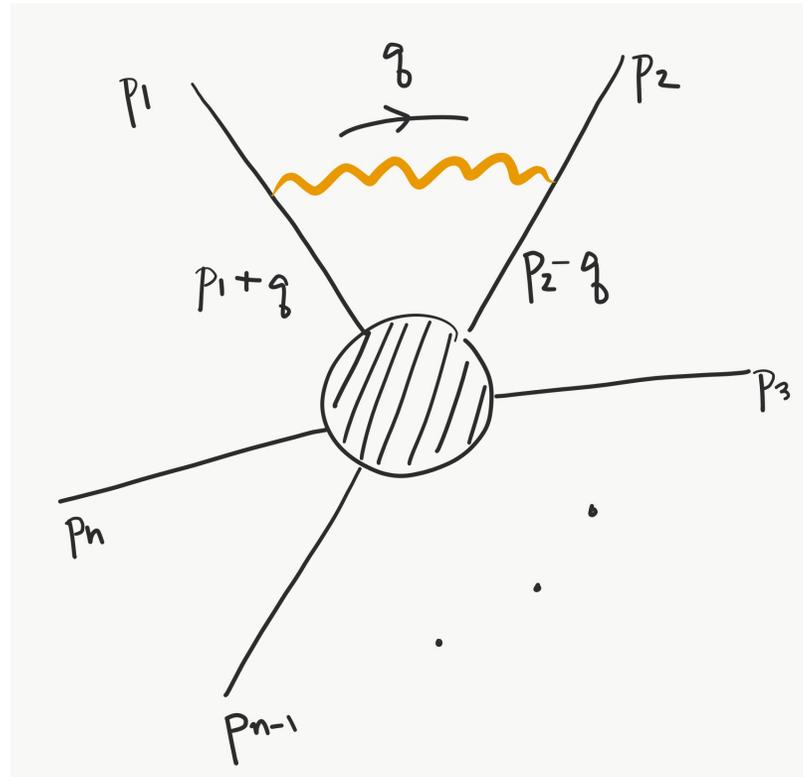
$$\langle \text{out}; \alpha^{\text{out}} | \mathcal{S} | \text{in}; \alpha^{\text{in}} \rangle = 0 \quad \text{and/or} \quad \alpha^{\text{in}}(\hat{x}) - \alpha^{\text{out}}(\hat{x}) = \sum_{k=1}^n S_k(\hat{x})$$

⇒ A degeneracy of vacuum states is *necessary* for non-trivial scattering!

- Assuming a unique vacuum state, symmetry constraint implies all S-matrix elements vanish.
- Allowing for vacuum transitions, shift between 'in' and 'out' vacua is determined by the soft factor.
- Do standard scattering states account for this degeneracy?

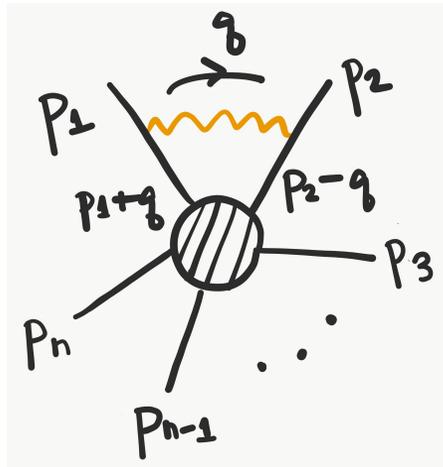
# Infrared Divergences

- Recall the story of infrared divergences due to exchange of soft virtual graviton.
- Consider contribution from virtual graviton exchange between external lines.



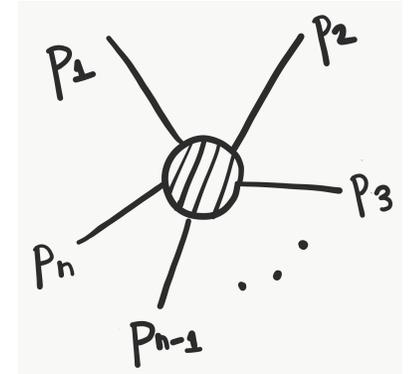
# Infrared Divergences

- To approximate contribution to loop integral from  $q \approx 0$  region, Taylor-expand integrand about  $q = 0$ :



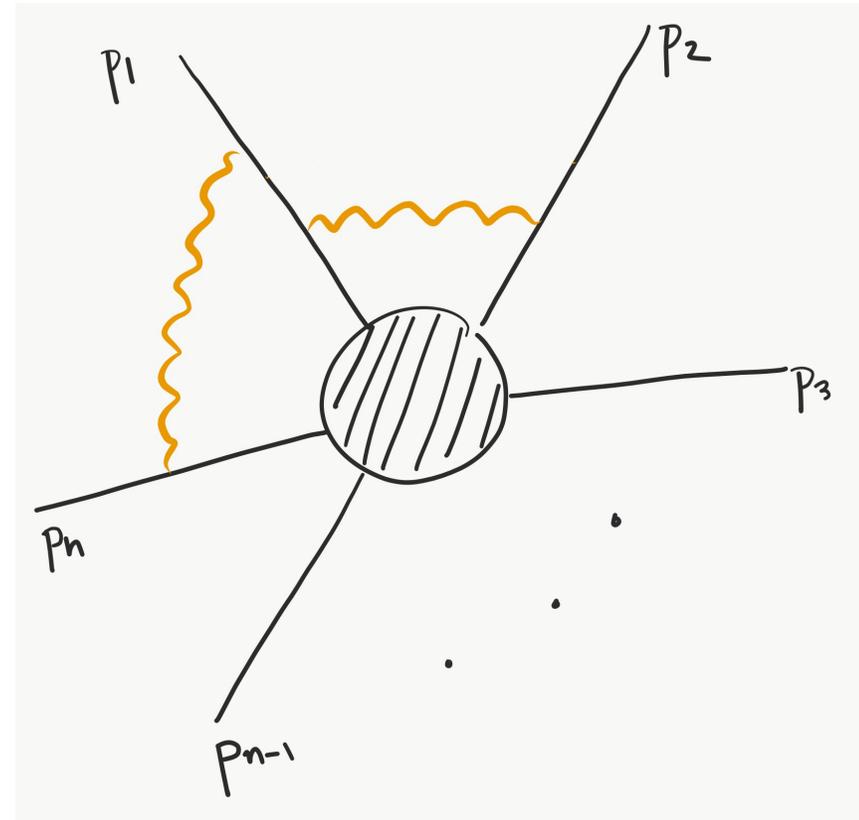
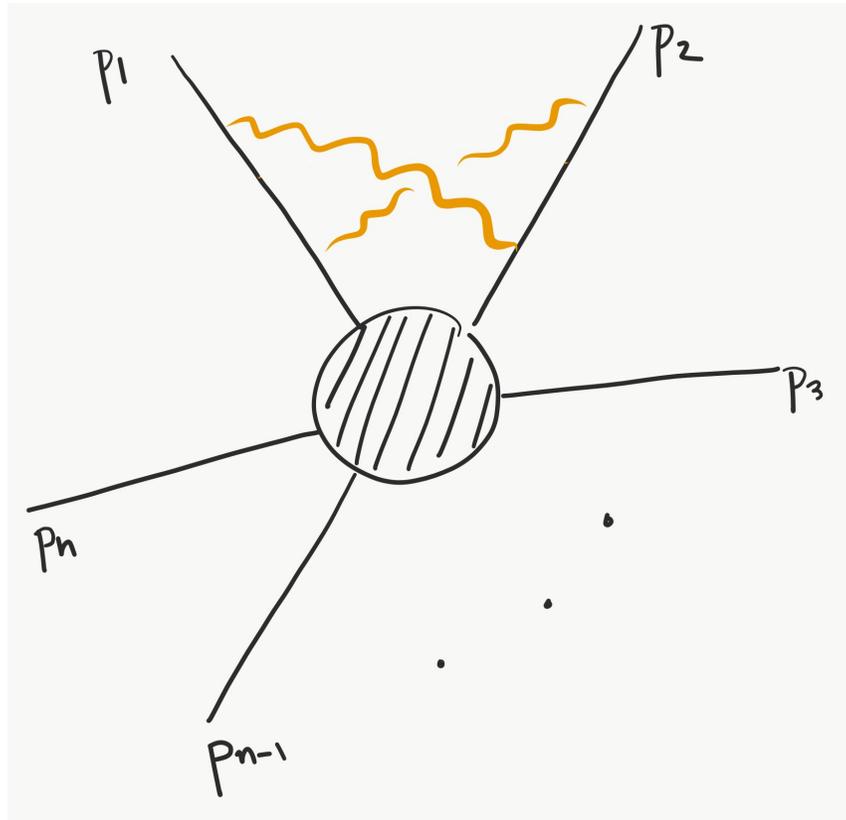
$$= \int \frac{d^4 q}{(2\pi)^4} \left[ \frac{\kappa}{2} \frac{p_1^\mu p_1^\nu}{p_1 \cdot q - i\epsilon} \right] \frac{-i \frac{1}{2} (\eta_{\mu\rho} \eta_{\nu\sigma} + \eta_{\mu\sigma} \eta_{\nu\rho} - \eta_{\mu\nu} \eta_{\rho\sigma})}{q^2 - i\epsilon} \left[ -\frac{\kappa}{2} \frac{p_2^\rho p_2^\sigma}{p_2 \cdot q + i\epsilon} \right] \times$$

+ ...

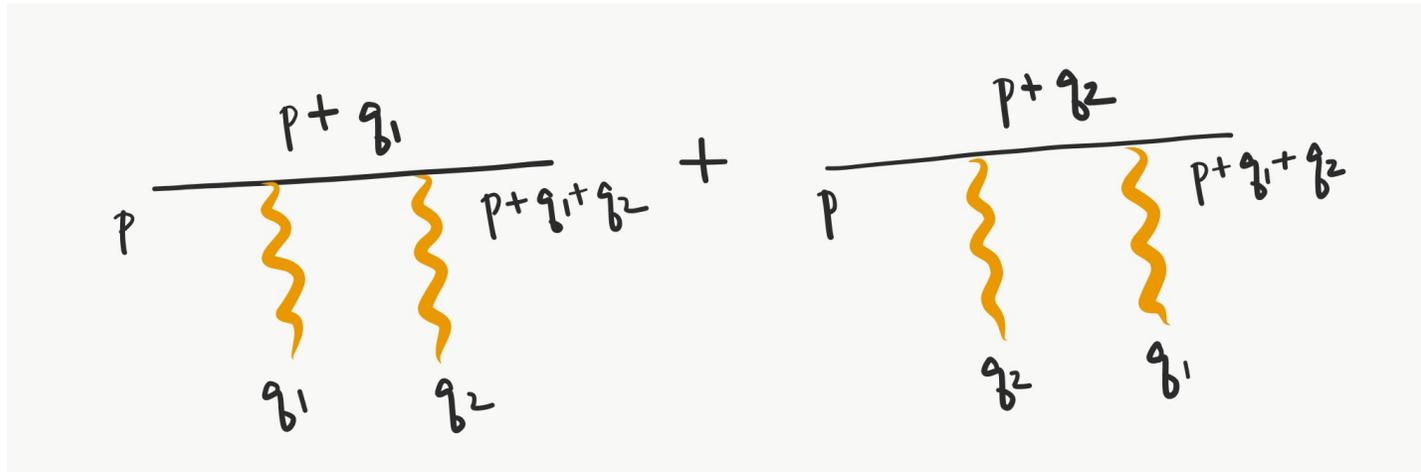


- Leading contribution contains logarithmic divergence from  $q \approx 0$  region of integration.
- Logarithmic divergence factorizes.
- Vertex rule is soft factor from soft graviton theorem.

# Multiple Soft Exchanges?



# Multiple Soft Emissions



$$\frac{p^\mu p^\nu}{p \cdot q_1} \frac{p^\rho p^\sigma}{p \cdot (q_1 + q_2)} + \frac{p^\mu p^\nu}{p \cdot q_2} \frac{p^\rho p^\sigma}{p \cdot (q_1 + q_2)} = \frac{p^\mu p^\nu}{p \cdot q_1} \frac{p^\rho p^\sigma}{p \cdot q_2}$$

⇒ Contributions from multiple soft exchanges are *multiplicative*.

# All Soft Exchanges

- An efficient way to sum all soft exchanges is to note that Wilson lines operators reproduce the sum over soft emissions/absorptions.

$$\exp \left[ i \int_0^\infty d\tau p^\mu p^\nu h_{\mu\nu}(p\tau) \right] = \exp \left[ \frac{\kappa}{2} \int \frac{d^3 \vec{q}}{(2\pi)^3} \frac{1}{2q^0} \frac{p^\mu p^\nu}{p \cdot q} \sum_{\alpha=\pm} (\varepsilon_{\mu\nu}^{*\alpha} a_\alpha(\vec{q}) - \varepsilon_{\mu\nu}^\alpha a_\alpha^\dagger(\vec{q})) \right]$$

$$h_{\mu\nu}(x) = \frac{\kappa}{2} \int \frac{d^3 \vec{q}}{(2\pi)^3} \frac{1}{2q^0} \sum_{\alpha=\pm} (\varepsilon_{\mu\nu}^{*\alpha} a_\alpha(\vec{q}) e^{iq \cdot x} - \varepsilon_{\mu\nu}^\alpha a_\alpha^\dagger(\vec{q}) e^{-iq \cdot x})$$

# Factorization of Virtual IR Divergences

- The  $\mathcal{S}$ -matrix factorizes into piece that contains all IR divergences and a piece that is IR-safe (IR-finite).

$$\mathcal{A}(p_1, \dots, p_n) = \langle W_1 \cdots W_n \rangle_{\lambda_{\text{IR}}, \Lambda} \mathcal{A}_\Lambda(p_1, \dots, p_n)$$

$$W_k = \exp \left[ i \int_0^\infty d\tau p_k^\mu p_k^\nu h_{\mu\nu}(p_k \tau) \right]$$

$$\langle W_1 \cdots W_n \rangle_{\lambda_{\text{IR}}, \Lambda}$$

$$= \exp \left[ \frac{1}{2} \sum_{k, \ell} \int_{\lambda_{\text{IR}}}^\Lambda \frac{d^4 q}{(2\pi)^4} \left[ \frac{\kappa}{2} \frac{p_k^\mu p_k^\nu}{p_k \cdot q - i\epsilon} \right] \frac{-i \frac{1}{2} (\eta_{\mu\rho} \eta_{\nu\sigma} + \eta_{\mu\sigma} \eta_{\nu\rho} - \eta_{\mu\nu} \eta_{\rho\sigma})}{q^2 - i\epsilon} \left[ -\frac{\kappa}{2} \frac{p_\ell^\rho p_\ell^\sigma}{p_\ell \cdot q + i\epsilon} \right] \right]$$

# Factorization of Virtual IR Divergences

- Taking the infrared cutoff  $\lambda_{\text{IR}} \rightarrow 0$ ,

$$\begin{aligned} & \langle W_1 \cdots W_n \rangle_{\lambda_{\text{IR}}, \Lambda} \\ &= \exp \left[ \frac{1}{2} \sum_{k, \ell} \int_{\lambda_{\text{IR}}}^{\Lambda} \frac{d^4 q}{(2\pi)^4} \left[ \frac{\kappa}{2} \frac{p_k^\mu p_k^\nu}{p_k \cdot q - i\epsilon} \right] \frac{-i \frac{1}{2} (\eta_{\mu\rho} \eta_{\nu\sigma} + \eta_{\mu\sigma} \eta_{\nu\rho} - \eta_{\mu\nu} \eta_{\rho\sigma})}{q^2 - i\epsilon} \left[ -\frac{\kappa}{2} \frac{p_\ell^\rho p_\ell^\sigma}{p_\ell \cdot q + i\epsilon} \right] \right] \\ &= \left( \frac{\lambda_{\text{IR}}}{\Lambda} \right)^A \end{aligned}$$

$$\langle W_1 \cdots W_n \rangle_{\lambda_{\text{IR}}, \Lambda} \xrightarrow{\lambda_{\text{IR}} \rightarrow 0} 0$$

- ⇒ Virtual IR divergences cause all exclusive scattering amplitudes vanish.
- Is this same vanishing of scattering amplitudes when the vacuum is taken to be unique?

# Dressing by Coherent Clouds of Soft Gravitons

- First, consider how to **change** the soft charge carried by the vacuum.
- Can be achieved by finding an operator satisfying:

$$[Q_S(\hat{x}), \mathcal{W}_\alpha] = \alpha(\hat{x})\mathcal{W}_\alpha$$

$$\Rightarrow Q_S(\hat{x})\mathcal{W}_\alpha|\alpha_0\rangle = (\alpha + \alpha_0)\mathcal{W}_\alpha|\alpha_0\rangle$$

$$\Rightarrow \mathcal{W}_\alpha|\alpha_0\rangle \propto |\alpha + \alpha_0\rangle$$

# Dressing by Coherent Clouds of Soft Gravitons

➤ To find  $\mathcal{W}_\alpha$ , write as:

$$\mathcal{W}_\alpha = \exp \left[ i \int d^2 \hat{x} \alpha(\hat{x}) C(\hat{x}) \right]$$

$$[Q_S(\hat{x}), \mathcal{W}_\alpha] = \alpha(\hat{x}) \mathcal{W}_\alpha \quad \longrightarrow \quad [Q_S(\hat{x}), C(\hat{x}')] = -i\delta^{(2)}(\hat{x}, \hat{x}')$$

Since  $C$  is conjugate to  $Q$ , it is natural to interpret it as a Goldstone boson.

$$[Q_S[f], C(\hat{x})] \sim -if(\hat{x}) \sim -i\delta_f C(\hat{x})$$

# Dressing by Coherent Clouds of Soft Gravitons

➤ To find an expression for  $C$ , recall the soft charge:

$$Q_S(\hat{x}) \sim \lim_{\omega \rightarrow 0} \omega [a(\omega \hat{x}) + a^\dagger(\omega \hat{x})]$$

$$\Rightarrow C(\hat{x}) \sim i \int_0^\infty d\omega f(\omega, \hat{x}) [a(\omega \hat{x}) - a^\dagger(\omega \hat{x})] \quad f(\omega = 0, \hat{x}) = 1$$

➤ Can verify from standard commutation relations:

$$[a(\vec{p}), a^\dagger(\vec{p}')] = 2p^0 (2\pi)^3 \delta^{(3)}(\vec{p} - \vec{p}') \quad \Leftrightarrow \quad [a(\omega \hat{x}), a^\dagger(\omega' \hat{x}')] \sim \frac{\delta(\omega - \omega')}{\omega} \delta^{(2)}(\hat{x}, \hat{x}')$$

$$\begin{aligned} [Q_S(\hat{x}), C(\hat{x}')] &\sim -i \lim_{\omega \rightarrow 0} \omega \int_0^\infty d\omega' f(\omega', \hat{x}) [a(\omega \hat{x}), a^\dagger(\omega' \hat{x}')] \\ &\sim -i \lim_{\omega \rightarrow 0} \omega \int_0^\infty d\omega' f(\omega', \hat{x}) \frac{\delta(\omega - \omega')}{\omega} \delta^{(2)}(\hat{x}, \hat{x}') \\ &\sim -i f(0, \hat{x}) \delta^{(2)}(\hat{x}, \hat{x}') \end{aligned}$$

# Dressing by Coherent Clouds of Soft Gravitons

- Can change the value of the soft charge by applying

$$\mathcal{W}_\alpha = \exp \left[ i \int d^2 \hat{x} \alpha(\hat{x}) C(\hat{x}) \right]$$

$$C(\hat{x}) \sim i \int_0^\infty d\omega f(\omega, \hat{x}) [a(\omega \hat{x}) - a^\dagger(\omega \hat{x})] \quad f(\omega = 0, \hat{x}) = 1$$

- Recall constraint required:

$$\alpha^{\text{in}}(\hat{x}) - \alpha^{\text{out}}(\hat{x}) = \sum_{k=1}^n S_k(\hat{x})$$

- Satisfy constraint by applying  $\mathcal{W}_\alpha$  with  $\alpha = -\sum S_k$  to “out” state.

# Dressing by Coherent Clouds of Soft Gravitons

➤ Evaluating the dressing:

$$\begin{aligned}
 \mathcal{W}_\alpha \Big|_{\alpha = -\sum_k S_k} &= \exp \left[ i \int d^2 \hat{x} \alpha(\hat{x}) C(\hat{x}) \right] \\
 &= \exp \left[ \underbrace{-\frac{\kappa}{2} \int \frac{d^3 \vec{q}}{(2\pi)^3} \frac{f(\vec{q})}{2q^0} \frac{p^\mu p^\nu}{p \cdot q} \sum_{\alpha=\pm} (\varepsilon_{\mu\nu}^{*\alpha} a_\alpha(\vec{q}) - \varepsilon_{\mu\nu}^\alpha a_\alpha^\dagger(\vec{q}))}_{f \stackrel{=}{=} 1} \right] \\
 &\stackrel{f \stackrel{=}{=} 1}{=} W_k^\dagger
 \end{aligned}$$

$$C(\hat{x}) \sim i \int_0^\infty d\omega f(\omega, \hat{x}) [a(\omega \hat{x}) - a^\dagger(\omega \hat{x})]$$

$$f(\omega = 0, \hat{x}) = 1 \quad S_k(\hat{x}) = \frac{\kappa}{2} \frac{\varepsilon_{\mu\nu} p_k^\mu p_k^\nu}{p_k \cdot q}$$

⇒ Contribution from a soft virtual graviton emitted/absorbed from an external line is *precisely cancelled* by emission/absorption from dressing.

⇒ Amplitudes which satisfy the constraint are infrared finite!

# Infrared Divergences & Vacuum Degeneracy

- Infrared divergences which set amplitudes to zero are a consequence of violating the constraint due to symmetry.
- Physically, the dressings needed to satisfy the constraints represent the gravitational radiation emitted during non-trivial scattering.
- In quantum scattering process, the soft sector is required *by symmetry* to agree with the classical result.

# Summary

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## 1. **Soft Theorems (for Scattering Amplitudes)**

- *Universal behavior of low-frequency radiation captured by exact relations between scattering amplitudes.*

## 2. **Soft Theorems = Ward Identities**

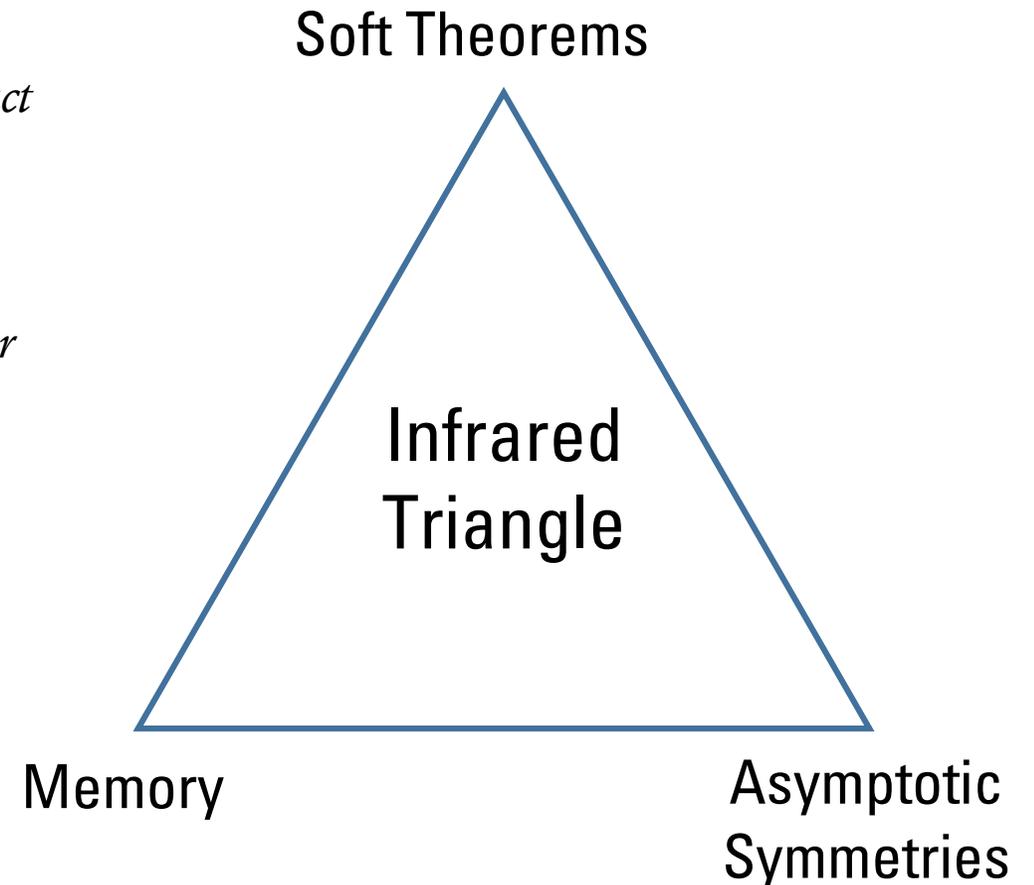
- *Universal behavior in the IR is equivalent to universal behavior due to symmetry.*

## 3. **Asymptotic Symmetries**

- *Identification of symmetries implied by soft theorems from the action of the hard charge.*

## 4. **IR Divergences & Vacuum Degeneracy**

- *Soft charge implements symmetry transformation on vacuum.*
- *IR divergences are the consequence of scattering states that violate the symmetry constraint.*



# Thank You!

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