

Lattice Field Theory

a primer of methods and Results

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Summary :

- ① LECTURE 1 : Theoretical Background
- ② LECTURE 2 : MonteCarlo Methods + Results

References : Montvay, Münster
Smit
Göttingen, Long
Rothe
Weinberg
Wightman, Streater

} Lattice Field Theory

} QFT

+ Research Papers

QFT and Path Integrals

- ① Ingredients :
- 1) A Hilbert space \mathcal{H}
 - 2) A unitary rep. of Poincaré group (Λ, a)
 - 3) $\hat{\phi}(x)$ op. valued distributions
- 3a) $U(\Lambda, a)\hat{\phi}(x)U^{-1}(\Lambda, a) = \hat{\phi}(\Lambda x + a)$
- 3b) $[\phi(x), \phi(y)] = 0, \quad (x-y)^2 < 0$
- 2a) $U(\Lambda, a) = \exp(i a \cdot P)$
with spectrum of \hat{P}^μ in the forward light cone
- 2b) $\{ |0\rangle \} / U(\Lambda, a)|0\rangle = |0\rangle \quad \forall \Lambda, a$

- ② Theorem : Given $W(x_1, \dots, x_n) = \langle 0 | \hat{\phi}(x_1) \dots \hat{\phi}(x_n) | 0 \rangle$, we can reconstruct the whole field theory $(\mathcal{H}, P_\mu, \dots)$ [The W are called Wightman functions.]

[See PCT, spin, statistics and all that - Streater-Wightman]

QFT and Path Integrals

$$W(x_1, \dots, x_n) = (-i)^n \prod_{i=1}^n \frac{\delta}{\delta J(x_i)} Z[J] \Big|_{J=0}$$

$$Z[J] = \int \mathcal{D}\phi e^{\frac{i}{\hbar} S[\phi] + i \int dx J(x) \phi(x)}$$

Example: Källen-Lehman representation of the 2pts function [QFT vol 1 - Weinberg]

- Problems:
- 1) " $\mathcal{D}\phi e^{iS[\phi]/\hbar}$ " needs to be precisely defined
 - 2) A perturbative computation might not capture the interesting physics
 - 3) UV divergences

Lattice Regularization solves all these problems !

Euclidean QFT

- Under the assumptions above, W can be continued analytically to the whole complex plane. In particular to euclidean space-time : $\begin{cases} x_E^a = i x^0 \\ \vec{x}_E = \vec{x} \end{cases}$
where euclidean Green functions are defined:

$$G_E(\vec{x}_1, x_1^a, \dots, \vec{x}_n, x_n^a) = W((-i x_1^a, \vec{x}_1), \dots)$$

- How to go back to Minkowsky space? \rightarrow Positivity conditions
of Osterwalder and Schröder [Axioms for Eucl. Green functions, Osterwalder
Schröder]
- Path integral rep.

$$G_E(x_1^E, \dots, x_n^E) = \frac{1}{Z_E} \int \mathcal{D}\phi e^{-S_E/\hbar} \phi(x_1^E) \dots \phi(x_n^E), \quad Z_E = \int \mathcal{D}\phi e^{-\frac{1}{\hbar} S_E[\phi]}$$

S_E euclidean action

Fundamental P.I.

$$G_E(x_1, \dots, x_n) = \frac{1}{Z_E} \int d\phi e^{-S_E/\hbar} \quad , \quad Z_E = \int d\phi e^{-\frac{1}{\hbar} S_E[\phi]}$$

① Analogy with Stat. Mech : $Z_E = \int d\phi e^{-\frac{1}{\hbar} S_E[\phi]}$ is formally equal to a classical canonical partition function of a system with (reduced) Hamiltonian S_E/\hbar

$$\textcircled{2} \text{ At finite temperature } Z = \text{Tr} \left\{ e^{-\beta \hat{H}} \right\} = \int d\phi \langle \phi | e^{-\beta \hat{H}} | \phi \rangle = \int d\phi \exp \left\{ - \int_0^{\beta \hbar_E} d\tilde{x}_i L_E \right\}$$

$\phi(\tilde{x}_i 0) = \pm \phi(\tilde{x}_i \beta \hbar)$

→ Monte Carlo simulation of QFT at temperature $T = \frac{1}{\beta \hbar} = \frac{1}{L_t}$

Lattice Regularization

Define a hypercubic lattice: $\Lambda = \{n_\mu a / n_\mu \in \mathbb{Z}, \mu = 1, \dots, 4\}$, a lattice spacing

Then:

$$1) \int d^4x^E \rightarrow a^4 \sum_{n \in \Lambda}$$

$$2) (f, g) = a^4 \sum_{n \in \Lambda} f(n) g(n)$$

$$3) \partial_\mu^E \rightarrow \Delta_\mu^+ f = \frac{1}{a} (f(n+a\hat{\mu}) - f(n)) , \quad \Delta_\mu^- f = \frac{1}{a} (f(n+a\hat{\mu}) - f(n))$$

forward derivative backwards derivative

$$4) f(x) = \int_{-\infty}^{+\infty} \frac{dk}{2\pi} e^{ikx} \tilde{f}(k) \rightarrow f(n) = \int_{-\pi/a}^{\pi/a} \frac{dk}{2\pi} e^{ikna} \tilde{f}(k) \quad (1^{\text{st}} \text{ Brillouin Zone}), \tilde{f}\left(\frac{k}{a}\right) = \tilde{f}\left(-\frac{k}{a}\right)$$

Note: $\tilde{f}(k) = a \sum_{n=-\infty}^{\infty} f(n) e^{-ikna}$

\triangle

$$5) \partial \phi \rightarrow \prod_n d\phi(n)$$

Path Integration
well defined!

Useful: $(\Delta^+ f, \Delta^+ g) = (-\Delta^- \Delta^+ f, g)$ [Exercise for the reader]

Lattice Regularization - Free scalar field

$$S_E = \int d^4x^E \left\{ \frac{1}{2} (\partial_\mu^E \phi) \partial_\mu^E \phi + \frac{1}{2} m_o^2 \phi^2 \right\}$$

① Discretize :

$$S_E^L = \frac{1}{2} \sum_\mu (\Delta_\mu^+ \phi, \Delta_\mu^+ \phi) + \frac{1}{2} m_o^2 (\phi, \phi)$$

$$(\Delta_\mu^+ \phi, \Delta_\mu^+ \phi) = - (\Delta_\mu^- \Delta_\mu^+ \phi, \phi)$$

$$\Rightarrow \Delta_\mu^- \Delta_\mu^+ \phi = \Delta_\mu^- \frac{1}{a} (\phi(n+a\hat{\mu}) - \phi(n)) = \frac{1}{a} \frac{1}{a} \left\{ \phi(n+a\hat{\mu}) - \phi(n) - \phi(n) + \phi(n-a\hat{\mu}) \right\}$$

$$= \frac{1}{a^2} \left\{ \phi(n+a\hat{\mu}) + \phi(n-a\hat{\mu}) - 2\phi(n) \right\}$$

Therefore :

$$S_E^L = a^4 \sum_n \left\{ -\frac{1}{2a^2} \sum_\mu (\phi(n+a\hat{\mu}) + \phi(n-a\hat{\mu})) \phi(n) + \frac{1}{2} \left(\frac{\delta}{a^2} + m_o^2 \right) \phi(n)^2 \right\}$$

$$= a^2 \frac{1}{2} \sum_n \left(- \sum_\mu (\phi(n+a\hat{\mu}) + \phi(n-a\hat{\mu})) \phi(n) + \frac{1}{2} \left(\frac{\delta}{a^2} + m_o^2 \right) \phi(n)^2 \right)$$

Lattice Regularization - Free Scalar field

① Since $[S_E] = [\hbar] = 1$ $\phi = \frac{\hat{\phi}}{a}$, $m_0 = \frac{\hat{m}_0}{a}$

Then

$$S_E^L = \frac{1}{2} \sum_{n,m} \hat{\phi}(n) K(n,m) \hat{\phi}(m)$$

where:

$$K(n,m) = - \sum_p (\delta(n+a\hat{m}, m) + \delta(n-a\hat{m}, m)) + (\delta + \hat{m}_0^2) \delta(m,n)$$

$$\Rightarrow Z_E = \int \prod_n d\hat{\phi}(n) \exp \left\{ -\frac{1}{2} \sum_{n,m} \hat{\phi}(n) K(n,m) \hat{\phi}(m) \right\} \propto \frac{1}{(\det K)^{1/2}}$$

and

$$G(n,m) = \langle \phi(n) \phi(m) \rangle = \frac{1}{a^2} \langle \hat{\phi}(n) \hat{\phi}(m) \rangle = \frac{1}{a^2} K^{-1}(n,m)$$

From $K^{-1}(n,m) : 1$ particle spectrum !

Lattice Regularization - The Free propagator

$$\textcircled{1} \quad \sum_m K(n,m) K^{-1}(m,\ell) = \delta(n,\ell)$$

$$K^{-1}(m,\ell) = \int_{-\pi/a}^{\pi/a} \frac{dk}{(2\pi)^4} e^{ik(m-\ell)a} \hat{G}(k)$$

Then

$$\int_{-\pi/a}^{\pi/a} \frac{dk}{(2\pi)^4} \sum_m K(n,m) e^{ik(m-\ell)a} \hat{G}(k) = \int_{-\pi/a}^{\pi/a} \frac{dk}{(2\pi)^4} e^{ik(n-\ell)a}$$

Now

$$K(n,m) e^{ik(m-\ell)a} = \left[- \sum_m \delta(n+a\hat{k}_\mu, m) + \delta(n-a\hat{k}_\mu, m) + (\delta + \hat{m}^2) \delta(n,m) \right] e^{ik(m-\ell)a}$$

$$= \left[- \sum_\mu e^{ik(n+a\hat{k}_\mu - \ell)} + e^{ik(n-a\hat{k}_\mu - \ell)} + (\delta + \hat{m}_0^2) e^{ik(n-\ell)} \right]$$

$$= e^{ik(n-\ell)} \left[- \sum_\mu \left(e^{ik_\mu a} + e^{-ik_\mu a} - 2 \right) + \hat{m}_0^2 \right] = e^{ik(n-\ell)a} \left[2 \sum_\mu (1 - \cos k_\mu a) + \hat{m}_0^2 \right]$$

Thus

$$\hat{G}(k) = \left[4 \sum_\mu \sin^2 \frac{k_\mu a}{2} + \hat{m}_0^2 \right]^{-1} = \frac{1}{a^2} \tilde{G}(k)$$

$$\delta(n,m) = \int_{-\pi/a}^{\pi/a} \frac{dk}{(2\pi)^4} e^{ik(n-m)a}$$

Euclidean QFT on the Lattice

$$\hat{\tilde{G}}(k) = \left[4 \sum_n \sin^2 \frac{k_n a}{2} + \hat{m}_0^2 \right]^{-1} = \frac{1}{a^2} \tilde{G}(k)$$

④ Naïve continuum limit : $\lim_{a \rightarrow 0} \tilde{G}(k) = \lim_{a \rightarrow 0} \frac{a^2}{4 \sin^2 \frac{k a}{2} + 4 \sum_n \sin^2 \frac{k_n a}{2} + \hat{m}_0^2}$

$$\left(\frac{2}{a}\right) \sin^2 \frac{k a}{2} = k_\mu^2 \left(\frac{2}{k_\mu a}\right)^2 \sin^2 \frac{k_\mu a}{2} \rightarrow k_\mu^2$$

$$\Rightarrow \lim_{a \rightarrow 0} \tilde{G}(k) = \frac{1}{k_\mu^2 + k_\nu^2 + \hat{m}_0^2} \quad \text{as expected}$$

⑤ Interacting case : Use K-L rep. to obtain $m_k = f(\hat{m}_0, a)$

$$\tilde{G}(x^\mu, \vec{k}) = \int_{-\pi/a}^{\pi/a} \frac{dk_n}{2\pi} e^{-ik_n x^\mu} \tilde{G}(k_n, \vec{k}) \underset{x^\mu \rightarrow \infty}{\sim} e^{-E_R(\hat{m}_0, a, \vec{k})}$$

[Exercise in contour integration, Smi!]

The continuum limit

- ① Naïve continuum limit : $a \rightarrow 0$, bare quantities fixed

Then $O = \hat{O} a^{d_0} \rightarrow \begin{cases} +\infty & \text{if } d_0 < 0 \\ 0 & \text{if } d_0 > 0 \end{cases}$ TRIVIAL

- ② Proper continuum limit : $a \rightarrow 0$, physical quantities fixed.

Example: Free theory : $m_R = \frac{\hat{m}_R}{a} = m_0$, Interacting theory : $m_R = \frac{\hat{m}_R}{a} = a^{-1} f(\hat{m}_0)$

If m_R finite as $a \rightarrow 0$, then $\hat{m}_R \rightarrow 0$ as $a \rightarrow 0$.

Since $\hat{m}_R = 1/\hat{\xi}$, $\hat{\xi}$ correlation length, $\hat{m}_R \rightarrow 0 \Leftrightarrow \hat{\xi} \rightarrow \infty$ 2nd order phase transition

Note : 1) A priori we do not know if and where (in bare parameter space) the transition takes place.

2) Neither do we know to what theory we flow!

- ③ How do we compute m_R ? 1) PERTURBATION THEORY

- 2) NUMERICALLY (LECT. 2)

The continuum limit

- ① w.r.t. the continuum: Regularization with cutoff $\frac{1}{\alpha}$ \Rightarrow discretization
Renormalization \Rightarrow continuum limit

Note: The lattice regularization breaks at least Poincaré symmetry (But not gauge symmetry)

- ② Relation between a and bare parameters: $O = a^{d_0} \hat{O}(\hat{m}_0) \Rightarrow a = F(O, \hat{m}_0)$

Example: $m_R = a^{-1} \hat{m}_R(m_0) \Rightarrow a = F(m_R, \hat{m}_0)$

\rightarrow Scale setting: knowledge of O allows knowledge of a !

- ③ Then $O' = a^{d_0} \hat{O}'(\hat{m}_0, a) \Rightarrow \frac{O'}{a^{d_0}} = \hat{O}'(\hat{m}_0, a) \stackrel{a \ll 1}{=} \hat{O}'(\hat{m}_0, 0) + \underbrace{\left. \frac{\partial \hat{O}'}{\partial a} \right|_{a=0} a + \frac{1}{2} \left. \frac{\partial^2 \hat{O}'}{\partial a^2} \right|_{a=0} a^2 + \dots}_{\Delta_a O}$

$\hat{O}'(\hat{m}_0, 0)$ continuum limit, $\Delta_a O$ discretization error.

- ④ Another systematic error: Finite size effects

Fermion Fields - Regularization

$$\left\{ \gamma_\mu^E, \gamma_\nu^E \right\} = 2 \delta_{\mu\nu}$$

① Proceed as in the case of the scalar field, except that now $\psi, \bar{\psi}$ are GRASSMANN $\gamma_\mu^E = \gamma_\mu^E +$

$$S_E[\psi, \bar{\psi}] = \int d^4x_E \bar{\psi} (\gamma_\mu \partial_\mu + m_0) \psi , \quad \psi(n) \rightarrow \psi(n)$$

$$S_E^L[\psi, \bar{\psi}] = a^4 \sum_n \bar{\psi}(n) \left(\gamma_\mu \frac{\Delta_r^+ + \Delta_\mu^-}{2} + m_0 \right) \psi(n) \quad \bar{\psi}(n) \rightarrow \bar{\psi}(n)$$

$$\frac{1}{2} (\Delta_\mu^+ + \Delta_\mu^-) \psi(n) = \frac{1}{2a} (\psi(n+a\hat{\mu}) - \psi(n) + \psi(n) - \psi(n-a\hat{\mu})) = \frac{1}{2a} (\psi(n+a\hat{\mu}) - \psi(n-a\hat{\mu}))$$

$$S_E^L[\psi, \bar{\psi}] = a^4 \sum_n \bar{\psi}(n) \left[\sum_\mu \gamma_\mu (\psi(n+a\hat{\mu}) - \psi(n-a\hat{\mu}) \frac{1}{2a} + m_0 \psi(n)) \right]$$

$$= a^3 \sum_n \bar{\psi}(n) \sum_\mu \gamma_\mu (\psi(n+a\hat{\mu}) - \psi(n-a\hat{\mu})) \frac{1}{2} + m_0 a \bar{\psi}(n) \psi(n)$$

$$\psi(n) = a^{-3/2} \hat{\psi}(n), \quad \bar{\psi}(n) = a^{-3/2} \hat{\bar{\psi}}(n), \quad m_0 = \frac{m_0}{a}$$

$$= \sum_{n,m} \hat{\bar{\psi}}(n) D(n,m) \hat{\psi}(m), \quad D(n,m) = \frac{1}{2} \sum_\mu \gamma_\mu \{ \delta(n+a\hat{\mu}, m) + \delta(n-a\hat{\mu}, m) \} + \hat{m}_0 \delta(n,m)$$

② As a result $Z = \int \partial \bar{\psi} \partial \psi e^{-\sum_{nm} \hat{\bar{\psi}} D \hat{\psi}} \propto \det D$ and $\langle \hat{\psi}(n) \hat{\bar{\psi}}(m) \rangle = \hat{D}^{-1}(n,m) = a^3 D^{-1}(n,m)$

Fermion Fields - Propagator

⑤ $\sum_m D(n,m) G(m,e) = \delta(n,e)$

$$\int_{-\pi/a}^{\pi/a} \frac{d^4 k}{(2\pi)^4} \sum_m D(n,m) e^{ik(m-e)a} \tilde{G}(k) = \int_{-\pi/a}^{\pi/a} \frac{d^4 k}{(2\pi)^4} e^{ik(n-e)a}$$

[Exercise: Perform the calculation]. Solution :

$$\begin{aligned} \tilde{G}(k) &= \frac{\tilde{m}_0 - i\gamma_\mu \sin k_\mu a}{\tilde{m}_0^2 + \sum_\mu \sin^2 k_\mu a} \\ &= \frac{i\gamma_\mu \sin(k_\mu a)}{\sin^2(k_\mu a) + \sum_i \sin^2(k_i a)} \end{aligned}$$

The pole of the propagator is at $k_\mu = 0, \pm \pi/a$!

⑥ In the naive continuum limit, we have a theory of $2^4 = 16$ fermions

This is an example of **Fermion Doubling** : We flow to a different theory than expected!

Fermion Fields - Fermion Doubling

- ① The doubling also happens for $m \neq 0$, One can see it from the large x^4 behaviour of $G(x^4; k)$, as before [Exercise! See Smit]
- ② Other discretization are possible: ok if naive cont. lim correct
- ③ Nielsen-Ninomiya Theorem: Given $S_E^L [\psi, \bar{\psi}] = \sum_{nm} \bar{\psi}(n) D(n,m) \psi(m)$, we cannot have simultaneously
 - 1) D local \rightarrow D^{-1} periodic analytic function of p_m
 - 2) $\tilde{D}(p) = i \gamma_\mu p_\mu + O(\alpha p^2)$
 - 3) $D(p)$ invertible for $p \neq 0$

Violation means singular derivatives and singular Ward Id.
- ④ Examples: Naïve Fermions \rightarrow violate 3
 Wilson Fermions \rightarrow violate 5
 Staggered Fermions \rightarrow violate 1
 \vdots

Fermion Fields - Wilson Fermions

- ① Add to the naive action the term $\Delta S_E^L = \frac{r}{2} \sum_n \hat{\bar{\psi}}(n) \Delta_\mu^- \Delta_\mu^+ \psi(n)$

Then:

$$D^W(n, m) = (\hat{m}_0 + \gamma_r) \delta(n, m) - \frac{1}{2} \sum_\mu (r - \gamma_\mu) \delta(m, n + a\hat{\mu}) + (r + \gamma_\mu) \delta(m, n - a\hat{\mu})$$

→ Chiral symmetry is broken even for $\hat{m}_0 = 0$

- ② After a short calculation one has: $M(\vec{p}) = M + \frac{2r}{a} \sum_n \sin^2\left(\frac{p_n a}{2}\right)$

So at fixed p_n :

- 1) $\lim_{a \rightarrow 0} M(p) = M$

DECOUPLING OF THE

- 2) If $p_n = \pm \frac{\pi}{a}$, $\lim_{a \rightarrow 0} M(p) = +\infty$

DOUBLERS

- ③ However, chiral sym. explicitly broken even at $\hat{m}_0 = 0$.

Gauge invariance

- The action $S[\psi, \bar{\psi}, A_\mu] = \int d^4x \left\{ \bar{\psi} (\not{D} + m_0) \psi - \frac{1}{4g_0^2} \text{Tr}(F_{\mu\nu} F^{\mu\nu}) \right\}$ is invariant under the gauge transformation, where $D_\mu = \partial_\mu - iA_\mu$ and $\psi'(x) = \Omega(x)\psi(x)$, $\bar{\psi}'(x) = \bar{\psi}(x)\Omega^\dagger(x)$, $A'_\mu(x) = \Omega(x)(A_\mu(x) - i\partial_\mu)\Omega^\dagger(x)$.
 $\Omega(x) = \exp(i\alpha^a(x)T^a) \in \mathfrak{g}$, $T^a \in \mathfrak{g}$, $[T^a, T^b] = if^{abc}_b T^c$
 and

$$F^{\mu\nu} = [D^\mu, D^\nu] = \partial_\mu A_\nu - \partial_\nu A_\mu + i g_0 [A_\mu, A_\nu]$$

- Gauge invariance \leftrightarrow parallel transport

$\psi(x)$ transforms in an IRREP of \mathfrak{g} at x .

To compute $\psi(x)$ and $\psi(y)$ and $U(\rho_{yx})\psi(x)$ can be computed



Parallel Transport and the Wilson action

- Parallel Transporters:

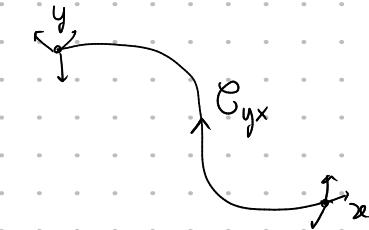
$$U(C_{yx}) = \text{P exp} \left\{ i \int_{C_{yx}} A_\mu dx^\mu \right\}$$

path adjoined

Properties: $U(\emptyset) = \mathbb{1}$

$$U(C_2 \circ C_1) = U(C_2) U(C_1)$$

$$U(-C) = U^{-1}(C) \quad (= U^*(C))$$



Moreover, under a gauge transformation: $U(C_{yx}) = \Omega(y) U(C_{yx}) \Omega(x)^{-1}$

- We can build several gauge invariant

- $\bar{\psi}(x) U(x, y) \psi(y)$

- Wilson loops: $W(T) = \text{Tr} \{ U(T) \} = \text{Tr} \left\{ \text{P exp} \left\{ i \int_T A_\mu dx^\mu \right\} \right\}$

and gauge covariant objects

- $D_\mu \psi(x) = \lim_{h \rightarrow 0} \frac{1}{h} (U(x, x+h) \psi(x+h) - \psi(x))$

[Ex. demonstrate
gauge invariance]

Gauge invariant Fermions

② Parallel transporters are easily discretized : $U_\mu(n) = U(n, n+a\hat{\mu}) = \exp \left\{ i g_0 \int_n^{n+a\hat{\mu}} A^\alpha dx^\alpha \right\}$

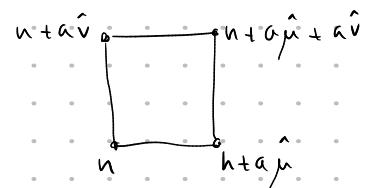
One can then easily discretize the DIRAC + gauge action:

$$\rightarrow U_\mu(n) \hat{\psi}(n+a\hat{\mu}) - U_\mu^\dagger(n) \hat{\psi}(n-a\hat{\mu}) \text{ instead of } \hat{\psi}(n+a\hat{\mu}) - \hat{\psi}(n-a\hat{\mu})$$

Therefore :

$$D^\nu(n, m) = -\frac{1}{2} \sum_\mu \left[U_\mu(n)(r - \gamma_\mu) \delta(m, n+a\hat{\mu}) + U_\mu^\dagger(n)(r + \gamma_\mu) \delta(m, n-a\hat{\mu}) \right] + (\hat{m}_0 + \hbar r)$$

The Gauge Action



- ① The gauge system by itself is interesting!
- ② What is the gauge action? Consider the simplest (non-trivial) gauge invariant object with only $\{U_\mu(n)\}$: $U(\partial_\mu) = U_{\mu\nu}(n) = U_\mu(n) U_\nu(n+a\hat{\mu}) U_\mu^\dagger(n+a\hat{\mu}+a\hat{\nu}) U_\nu^\dagger(n+a\hat{\nu})$
- The Wilson action is given by: $S_W = \beta \sum_{n, \mu > \nu} \left(1 - \frac{1}{2N} \text{Re} \text{Tr } U_{\mu\nu}(n) \right)$

③ Naïve continuum limit: $U_\mu(n) = e^{ia A_\mu} = e^{iB_\mu(n)}$

$$B_\nu(n+\mu) \sim B_\nu(n) + a \Delta_\mu^+ B_\nu(n),$$

$$B_{-\mu}(n+\hat{\mu}+\hat{\nu}) \sim -B_\mu(n+\nu) \sim -B_\mu(n) - a \Delta_\nu^+ B_\mu(n)$$

$$B_{-\nu}(n+\hat{\nu}) \sim B_\nu(n)$$

$$U_{\mu\nu}(n) \sim e^{iB_\mu(n)} e^{iB_\nu(n)} e^{ia \Delta_\mu^+ B_\nu(n)} e^{-iB_\nu(n)} e^{-ia \Delta_\nu^+ B_\mu(n)} e^{iB_\nu(n)}$$

Using the BCS formula: $e^x e^y = e^{x+y+\frac{1}{2}[xy]}$ we obtain [Exercise!]

$$U_{\mu\nu}(n) \sim \exp \left\{ ia (\Delta_\mu^+ B_\nu - \Delta_\nu^+ B_\mu) - [B_\mu, B_\nu] \right\} \sim \exp \left\{ ia^2 F_{\mu\nu} \right\}, \quad F_{\mu\nu} = F_{\mu\nu}^i \gamma^i$$

This allow us to compute the "map" between a and g_0

The Gauge action - Naïve Continuum Limit

④ Expanding S_W in powers of a :

$$S_W \approx \beta \sum_{\mu, \nu} \left(1 - \underbrace{\text{Re Tr} \left(1 + ia^2 F_{\mu\nu} - \frac{1}{2} a^4 F_{\mu\nu}^2 + \dots \right)}_0 \right) \approx \beta a^4 \frac{1}{2} \frac{1}{2} \frac{1}{2} \frac{1}{N} \sum_{\mu, \nu} \text{Tr} (F_{\mu\nu} F^{\mu\nu})$$

$$\rightarrow \frac{1}{4g_0^2} \int d^3x \text{Tr} (F_{\mu\nu} F^{\mu\nu}) \quad \text{iff} \quad \frac{\beta}{8N} = \frac{1}{4g_0^2} \Rightarrow \beta = \frac{2N}{g_0^2}$$

⑤ The parallel transports are elements of the gauge group: $Z_{YM} = \int \mathcal{D}U e^{-S_W[U]}$

Where $\mathcal{D}U = \prod U_\mu(n) \leftarrow \text{Haar (invariant) measure on } G : d(\Omega U_\mu(n)) = d(U_\mu(n))$

\rightarrow The path integral is a multidimensional integral on $G \otimes \dots \otimes G \quad \forall \Omega \in G$

\rightarrow No need for F.P. ghosts

Gauge fields - The Wilson action

- In a theory of fermions and gauge fields:

$$\langle O(\psi, \bar{\psi}, U) \rangle = \frac{1}{Z} \int dU d\psi d\bar{\psi} O(\psi, \bar{\psi}, U) e^{-S_W - S_D}$$

This is the object that is usually computed with MC simulations [Lecture 2]

- The lattice regularization is a **nonperturbative** regularization of euclidean QFT
Scalar, Fermion, Gauge fields

↳ **A** Nielsen-Ninomiya Theorem

- The lattice introduce two systematic errors

- 1) Finite lattice spacing a
 - 2) Finite Volume
- } In any numerical calculation, these must be "sent" to 0.

LATTICE FIELD THEORY: A survey of methods and Results

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LECTURE 2.

- ① Montecarlo methods [Fermions Schematically]
- ② Glueballs in $Sp(2N)$ gauge theory

Introduction

$$\langle \mathcal{O} \rangle = \frac{1}{Z} \int d\bar{\psi} d\psi dU \mathcal{O}(\psi, \bar{\psi}, U) e^{-S_W - S_{\text{Sym}}}$$

- ① Several methods to compute $\langle \mathcal{O} \rangle$:
 - i) Strong Coupling Approximation ($B = \frac{2N}{g_0^2} \ll 1$) \rightarrow Not analytically connected to continuum limit
 - ii) Weak Coupling Approximation (Lattice Perturbation Theory)
 - iii) Markov Chain Monte Carlo Simulation [MCM]

- ② MCM: Family

$$\langle \mathcal{O} \rangle = \lim_{N \rightarrow \infty} \sum_{i=1}^N \mathcal{O}(c_i) \quad c_i \text{ config of the system: } \{\psi, \bar{\psi}, U\}$$

if $\{c_i\}$ are distributed according to $\pi(c)dc = e^{-E(c)}dc$ where $E(c) = S_W + S_{\text{Sym}}$.

- ③ How to sample $e^{-E(c)}dc$? $\{c\}$ VERY high dimensional space

Dynamic MCM: Define a stochastic process p s.t. $\{\dots \xrightarrow{P} c_i \xrightarrow{P} \dots \xrightarrow{P} c_0 \xrightarrow{P} \dots\}$
with $\{c_i\}$ distributed with $\pi(c)$.

Markov Chains

- Consider a discrete (for simplicity) state space \mathcal{C}

Markov chain on \mathcal{C} : A sequence $\{C_i\}_{i=1,\dots,N}$ such that State C_{t+1} only depends on state C_t .

- Not any Markov chain: Let $p_{ij} = \text{probability}(C_i \rightarrow C_j)$

1) Ergodicity: $\forall C_i, C_j, \exists n \geq 0$ such that $p_{ij}^n > 0 \rightarrow$ From each state you can get to any other state.

1) distribution $\pi(c) = e^{-E(c)}$ must be equilibrium distribution: $\pi(c_i) = \sum_j p_{ij} \pi(c_j)$

Note: 1) The stochastic process needs not be physical, the "simulation time" is not physical time.

2) Irrespective of $\pi(c)$, averages will have fluctuations that behave as $\sim \frac{1}{\sqrt{N}}$ for $N \rightarrow \infty$

3) What about Autocorrelations?
Efficiency? The algorithm may produce the correct distribution
but may be terribly inefficient

⊗ Central limit Theorem

Autoconrelations

① In general: $\langle \sigma_o \rangle \pm \sigma_{\sigma_o} = \langle \sigma_o \rangle + \frac{\sigma_o}{\sqrt{N}}$ where $\langle \sigma_o \rangle = \sum_j w_j \sigma_o(l_j)$
 $\sigma_o^2 = \sum_j (\sigma_{lj} - \langle \sigma_o \rangle)^2$

→ estimators: $\bar{o} = \frac{1}{N} \sum_i o_i$, $\text{var}(\bar{o}) = \frac{1}{N-1} \sum_i (o_i - \bar{o})^2$, $\{o_i\}$ obtained in simulations

② Autocorrelations: $\text{var}(\bar{o}) = \langle (\bar{o} - \langle \sigma_o \rangle)^2 \rangle = \langle \left[\frac{1}{N} \sum_i (o_i - \langle \sigma_o \rangle) \right]^2 \rangle$

$$= \langle \frac{1}{N^2} \sum_i (o_i - \langle \sigma_o \rangle) \sum_j (o_j - \langle \sigma_o \rangle) \rangle = \frac{1}{N^2} \sum_{ij} \langle (o_i - \langle \sigma_o \rangle)(o_j - \langle \sigma_o \rangle) \rangle$$

→ If data is not correlated: $\langle o_i o_j \rangle = \langle o_i \rangle \langle o_j \rangle$ and $\sigma_{\bar{o}} = \frac{\sigma_o}{\sqrt{N}}$

→ If data is correlated,
[Ex.: do the calculation]

$$\sigma_{\bar{o}} \approx \sigma_o \sqrt{\frac{2 \tau_{\text{int}}}{N}} = \frac{\sigma_o}{\sqrt{N_{\text{eff}}}}$$

[
Integrated
Time
autocorrelation
]

$$\tau_{\text{int}} = \frac{1}{2} + \sum_{t=1}^N \frac{\langle (o_i - \langle \sigma_o \rangle)(o_{i+t} - \langle \sigma_o \rangle) \rangle}{\langle \sigma_o^2 \rangle - \langle \sigma_o \rangle^2}$$

Note: Each observable has its own τ_{int}

Monte Carlo Simulations - The Metropolis-Hastings algorithm

[No sinks, No sources]

- Sufficient condition for equilibrium : $\pi_{\text{left}} = \pi_{\text{right}}$ $\pi_{\text{left}} = \pi_{\text{right}}$
 "enters l" "exits l" (1) Detailed Balance
 - Metropolis-Hastings : General Framework : propose + accept

- ② Plugging (2) in (1): $a_{ij} = \frac{\pi_j p_{ji}^{(0)}}{\pi_i p_{ij}^{(0)}} a_{ji}$. In general $a_{ij} = f\left(\frac{\pi_j p_{ji}^{(0)}}{\pi_i p_{ij}^{(0)}}\right) = f(z)$
 so this is equivalent to $f(z) = z f\left(\frac{1}{z}\right)$. Possible (not unique) solution: $f(z) = \min(1, z)$

MH algorithm - The Wilson Gauge Action

For a pure gauge theory: $Z_{YM} = \int dU e^{-S_W}$ with $S_W = \beta \sum_{pl.} (\mathbb{1} - \frac{1}{2N} \text{Re} \text{Tr } U_p)$

Local variables are U_{link} and $\mathcal{G} = \bigotimes_{i=1}^{Nlinks} G_i$.

The action is local: If only U_e changes, then $\Delta S_e = \Delta S(U_e)$

$$\Delta S_e = -\frac{1}{2N} \text{Re} \text{Tr} \{ \Delta U_e \Sigma \} \quad \text{where } \Sigma = \text{staple}$$

Proposed link?

$$\begin{cases} U^{\text{new}} = (\mathbb{1} + \varepsilon^\alpha T^\alpha) U^{\text{old}} & \varepsilon^\alpha \text{ random, small} \\ a(U^{\text{new}}, U) = \min(1, e^{-\Delta S_e}) \end{cases}$$



Heuristically: "propose a random $U^{\text{new}} = X U^{\text{old}}$, with X due to $\mathbb{1} \in \mathcal{G}$, then accept or reject with probability $\min(1, e^{-\Delta S_e})$ "

\rightarrow Note that $\langle \frac{\# \text{accepted}}{\# \text{proposed}} \rangle \downarrow$ when $\|\varepsilon\| \uparrow$: compromise!

Monte Carlo Simulations - Fermion Fields

We have seen that

$$S_F(\psi, \bar{\psi}) = \alpha' \sum_{x,y \in A} \bar{\psi}(x) D(x,y) \psi(y)$$

where ψ and $\bar{\psi}$ are independent gaussian variables, thus

$$Z = \int \mathcal{D}\psi \mathcal{D}\bar{\psi} e^{-S_F[\psi, \bar{\psi}]} = \det D$$

In a theory with both fermions and gauge fields:

$$\langle O[\psi, \bar{\psi}, U] \rangle = \frac{1}{Z} \int \mathcal{D}U \mathcal{D}\psi \mathcal{D}\bar{\psi} O[\psi, \bar{\psi}, U] e^{-S_F[\psi, \bar{\psi}, U] - S_W[U]}$$

Ex. n-pts function

$$= \frac{1}{Z} \int \mathcal{D}U D^{-1}[U] \dots D^{-1}[U] \det D[U] e^{-S_W[U]}$$

Remember $Z[\eta, \bar{\eta}] = \det D e^{+\int d^4x \bar{\eta} D^{-1} \eta}$

Monte Carlo Simulations - The sign Problem

- Highly expensive to compute $\det D$, D^{-1} , ... because every pair of site is coupled and D is an enormous matrix. [Moreover, $\det D$ highly fluctuating]
- $\det D$ is real but not necessarily positive: $D^+ = \gamma_5 D \gamma_5$
 - $\det D^+ = \det(\gamma_5 D \gamma_5) = \det D$ since $\gamma_5^2 = 1$
 - [\rightarrow Sign Problem]
 - Cannot use it as a statistical weight

Note: For 2 deg. fermions, $N_f = 2$

$$Z = \int \mathcal{D}\psi_1 \mathcal{D}\bar{\psi}_1 \mathcal{D}\psi_2 \mathcal{D}\bar{\psi}_2 \mathcal{D}U e^{-\sum_{i=1,2} \bar{\psi}_i D \psi_i - S_w[U]} = \int \mathcal{D}U (\det D)^2 e^{-S_w[U]}$$

Commonly used updating scheme:

- $\det(DD^+) \propto \int \mathcal{D}[\phi_R] \mathcal{D}[\phi_I] e^{-\phi^T (DD^+)^{-1} \phi}$ $\phi = \phi_{n+1} + i\phi_I$ PSEUDO FERMIONS
- $\langle O \rangle_Q = \frac{1}{Z_Q} \int \mathcal{D}Q e^{-S[Q]} O(Q) = \frac{1}{Z_{QP}} \int \mathcal{D}Q \mathcal{D}P e^{-\frac{1}{2} P^2 - S[Q]} O(Q) = \langle O \rangle_{PQ}$

- Integrate $(Q, P) = (U, P)$ with $H(P, Q) = \frac{1}{2} P^2 + S[Q]$ for a time Δt , then use as new proposed configuration in a Metropolis step.

MonteCarlo Simulations

Some general observations

- 1) In general, simulations with dynamical fermions are **expensive**.
- 2) Quenched limit \rightarrow it is not possible to extract fermions from the Dirac sea. **Only active fermions**
- 3) Local Algorithms \rightarrow Critical slowing down : $T_{\text{int}} \sim \min(g, L)^z$
 z dynamical critical exponent

Example: Topological Freezing



- 4) Sign Problem : If $\mu \neq 0$, $\gamma_5 D(\mu) \gamma_5 = D^*(-\mu)$
 \rightarrow use a different μ_f for each flavour? $\begin{bmatrix} D(\mu_f) & 0 \\ 0 & D(-\mu_f) \end{bmatrix}$
 \rightarrow use Imaginary μ ... OPEN PROBLEM

A few notes on Confinement,
strings and the glueballs spectrum.

Confinement

- So far, no explanation from first principles

→ But many pheno models and some

success: $(2+1)$ $U(1)$ LGT

and Supercond. (monopole condensation ...)

:

- Lattice: perfect tool!

→ Static $q\bar{q}$ potential

→ Gluon spectrum

→ Universal quantities

$$\rightarrow \frac{m(2^{++})}{m(0^{++})}$$

} Clues to understand confining mechanism

Lot of material for $SU(N)$

theoris [Teppe, Lucini, Athenodorou, ...]

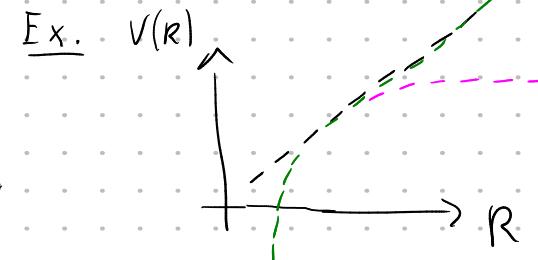
Confinement, strings & glueballs

Regge Traj. } $\Rightarrow V_{q\bar{q}}(R) \underset{R \rightarrow \infty}{\sim} \sigma R$, σ string tension. Might give insight into
Sp. of Quarkonia } CONFINING MECHANISM

- ① Effective String theory : String fermion breaks transverse translational symmetry
 → Constraints on an action in power of $(\frac{1}{\sigma RL})$. Finite R correction to σ [Aharony]
- ② Rich spectrum of pure glue states : GLUEBALLS + mixing with $q\bar{q}$ states [P. D. G]
- ③ On the lattice :

- 1) Produce confined config.
- 2) Compute at finite a, L [and set the scale]
- 3) Extrapolate to $L \rightarrow \infty, a \rightarrow 0$

- ④ Quenched Approximation : Sea quarks introduce :
 - noise
 - String breaking
 - glueball-meson mixing



Measurement of Masses

• $|\psi\rangle = \hat{O}|\Omega\rangle$, \hat{O} with desired quantum numbers : Ex. $\bar{\psi}U\psi$, $W(T)$, ...

$$C(t) = \langle \Omega | O^+(t) O(t) | \Omega \rangle = \sum_n \langle \Omega | \hat{O}^+(0) | n \rangle \langle n | \hat{O}(0) | \Omega \rangle e^{-E_n t} \hookrightarrow \square, \square, \square, \dots$$

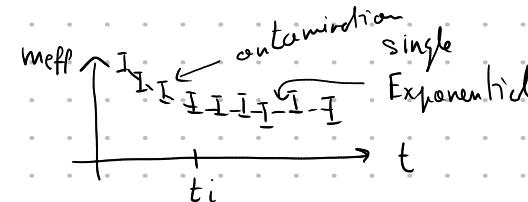
$$C(t) \sim |c_0|^2 e^{-E_0 t} \left(1 + \frac{|c_1|}{|c_0|} e^{-(E_1 - E_0)t} + \dots \right), \quad c_n = \langle n | \hat{O}(0) | \Omega \rangle$$

Note: 2) Noise is constant with t , signal $\sim e^{-E_0 t}$; $\frac{\text{signal}}{\text{noise}} \sim e^{-E_0 t}$

3) C_n : Overlaps between $|n\rangle$ and $\hat{O}(0)|\Omega\rangle$

↳ At finite t , contaminations from $E_i > E_0$

$$M_{\text{eff}} = -\frac{1}{\alpha} \log \frac{C(t+a)}{C(t)}$$



$\Rightarrow \begin{bmatrix} \text{to find } E_0, \text{ fit} \\ |c_0|^2 e^{-E_0 t} \\ f_a(t) t_i \end{bmatrix}$

The Variational Method

$$W_{\text{var}}^{RP} = -\frac{1}{\alpha} \log \left\{ \max_{|\psi\rangle} \frac{\langle \psi | \hat{T} | \psi \rangle}{\langle \psi | \psi \rangle} \right\} \quad \text{where} \quad \hat{T} = e^{-\alpha \hat{H}}$$

① To find $|\psi\rangle$, define variational basis $\{O_i^{RP}\}_i$, $C_{ij}(t) = \sum_a v_i^a * v_j^a e^{-\lambda_a (RP)t}$

② C_{ij} is real and symmetric, can be diagonalized. $C(t) \vec{v} = \lambda(t) \vec{v}$
 ↳ We take C eigenstates : Re and Im

③ At large t , diagonalization unstable, so instead solve the G.E.V.P

$$C(t) \vec{\xi} = \lambda(t, t_0) C(t_0) \vec{\xi}$$

where $\vec{\xi}$ are the optimal eigenfunctions. $D(t, t_0) = C(t) - \lambda C(t_0) = \text{diag} \left\{ e^{-E_n t} - \lambda e^{-E_n t_0} \right\}$

$$\text{So } \lambda_n(t, t_0) = \exp \{-E_n(t-t_0)\}$$

④ Let $t_0 = 1$, $t=0$ and diagonalize.

$$\tilde{C}_{ii}(t) = A \cosh E_0 \left(t - \frac{L+a}{2} \right)$$

(cosh because of Periodic boundary conditions)

L_t time extent
 a lattice spacing

$\vec{\xi}$ "Ferm Factors"

Pure Gauge States

$$W(T) = \text{Tr} (U_T)$$

- ① 2 types: contractible:



Glueballs

- noncontractible



Tadpoles



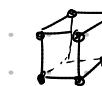
p.b.c

[Exercise: $U_h \rightarrow z U_h$]

$\rightarrow C$ and T do not mix because they belong to diff rep. of $C(g)$

- ② Broken Poincaré invariance: Subduced representations.

\rightarrow The symmetries of



[London-Lifschitz QM]

\rightarrow Discrete translations and finite volume: momenta $\in (-\frac{\pi}{a}, \frac{\pi}{a})$ and quantized

\rightarrow Octahedral group O_h , IRREPs

$$A_1 \rightarrow J = 0, 4, \dots$$

$$A_2 \rightarrow J = 3, \dots$$

$$E \rightarrow J = 2, \dots$$

$$T_1 \rightarrow J = 1, 3, 4, \dots$$

$$T_2 \rightarrow J = 2, 3, 4, \dots$$

\rightarrow Add P and C 20 states $A_1^{\pm\pm}, A_2^{\pm\pm}, E^{\pm\pm}, T_1^{\pm\pm}, T_2^{\pm\pm}$ [channels]

- ③ Tadpoles: focus on g.s. Then $m(\text{Tadpole}) \underset{L \rightarrow \infty}{\sim} \sigma L \rightarrow \text{extract } \sigma$

[Value for scale setting]

\uparrow for finite L corrections see Eff. string Theory [Aharony]

Glueball Operators

○ Glueball operators: $O_T(\vec{x}, t) = W(T)$

→ Definite momentum: $O_P(\vec{p}, t) = \sum_{\vec{x}} e^{i p \vec{x}} O_T(\vec{x}, t) \Rightarrow O_P(t) = \sum_{\vec{x}} O_T(\vec{x}, t)$

→ Under O_h , O_T has the same properties as T :

$$U(r) \hat{O}_T U^{-1}(r) = \hat{O}_{TrT} \quad r \in O_h$$

$$\vec{p} = \vec{0}$$

where $T: [\hat{f}_1, \dots, \hat{f}_L]$, $\sum_{i=1}^L \hat{f}_i = 0$

Ex. \square , $\begin{smallmatrix} \square \\ | \end{smallmatrix}$, $\begin{smallmatrix} \square & | \\ | & \square \end{smallmatrix}$, ...
 $L=4$ $L=6$ $L=8$

Ex. $R \hat{f}_2 \left(\begin{smallmatrix} \square \\ \diagup \\ \diagdown \end{smallmatrix} \right) = \begin{smallmatrix} \square \\ \diagup \\ \diagdown \end{smallmatrix}$

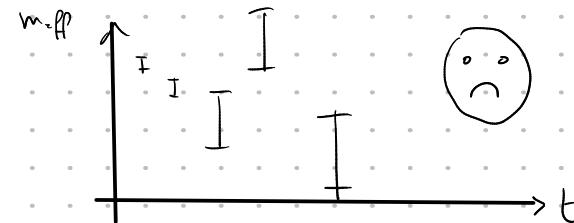
○ Let M be a Rep of O_h : $M T = R[\hat{f}_1, \dots, \hat{f}_L] = [R\hat{f}_1, \dots, R\hat{f}_L]$

○ To find basis of IRREP of O_h use projector method [Hameresh] or other [Burg-Billaire]

Result: $0^{++}: (\square + \diagup + \diagdown) + \text{parity and charge conj.}$

$$2^{++}: (-2\square + \diagup + \diagdown) + 11$$

UV fluctuations & Smearing



"Signal drowned into noise before single exponential behaviour kicks in"

- Measurement dominated by UV fluctuations \rightarrow improve overlap with large scale fluctuations
- Solution: SHEARING

$$\begin{cases} X_i(n) = \alpha U_i(n) + \frac{1-\alpha}{6} \sum_{j \neq i} U_j(n) U_i(n+j) U_j(n+i) \\ \tilde{U}_i(n) = \text{Proj}_g \{ X_i(n) \}_{i=1}^N \end{cases}$$

$$f_g = P_g \left(\alpha f + \frac{1-\alpha}{6} \boxed{f} \right)$$

Smearing is a smoothening operation \rightarrow It removes UV fluctuations

Example Variational basis for gluballs



Blocking is similar

$$\boxed{\square} = \alpha \boxed{\square} + \frac{1-\alpha}{6} \boxed{\square\square\square\square}$$

Example:

Variational basis: $N \times N(\text{smearing}) \times N(\text{blocking})$

operators $\sim 10^2$

[Can be automated]

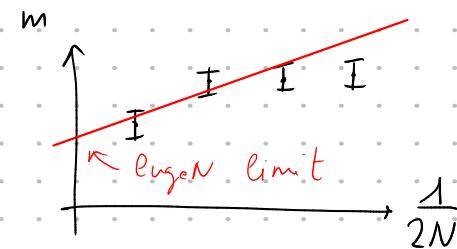
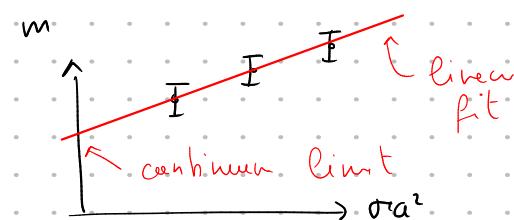
Sp(2N) Glueballs

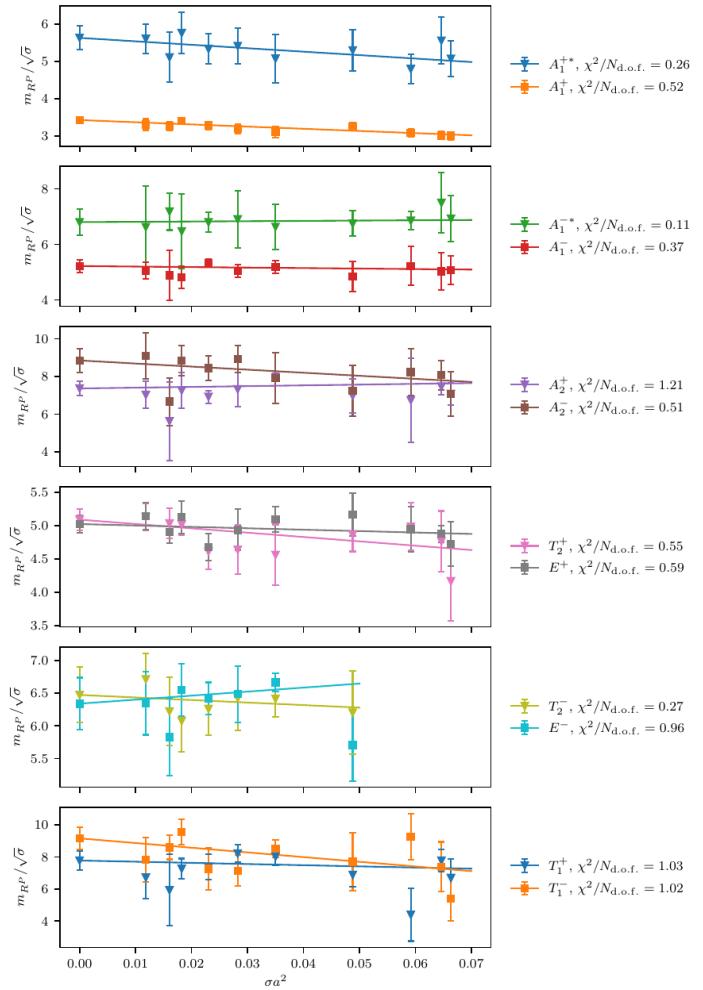
- ① Sp(2N) gauge theory's interesting for | Composite Higgs
Large-N → [Lovelace] | Potentially UV complete C.H.
[Ferretti-Korachev]
- ② Heatbath Algorithm [See Gottringen-Lang]
- ③ The Spectrum was obtained for N = 1, 2, 3, 4
- ④ The σ was used to set the scale. [Tunnel + E.F.T]

$$\frac{m(R^P)}{\sqrt{\sigma}}(a) = \frac{m(R^P)}{\sqrt{\sigma}}(\infty) + C_1(R^P) \sigma a^2$$

↑
continuum limit ↑ discretization error

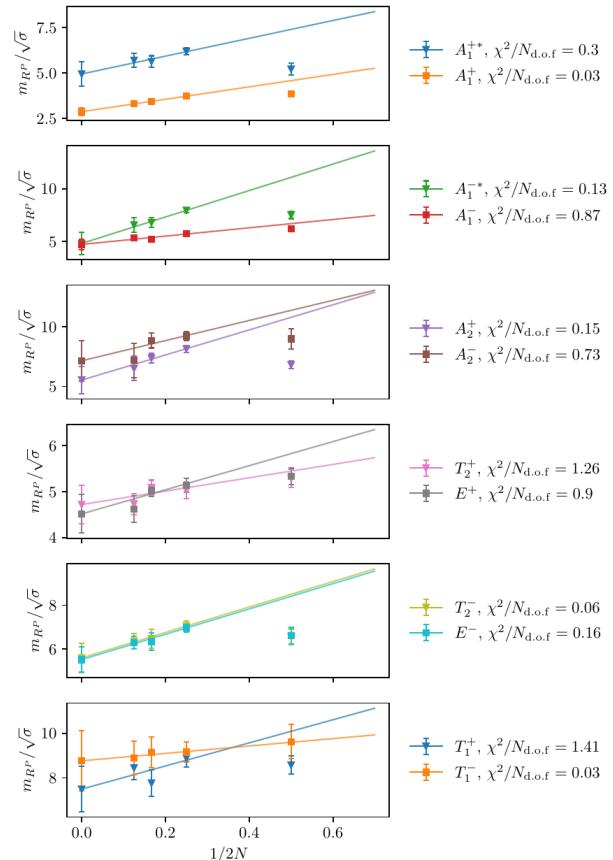
$$\frac{m(R^P)}{\sqrt{\sigma}}(N) = \frac{m(R^P)}{\sqrt{\sigma}}(\infty) + C_2(R^P) \frac{1}{N}$$





$\leftarrow S_p(6), \text{ continuum limits}$

Large N \rightarrow



degenerate ω $a \rightarrow 0$

Find Result :

