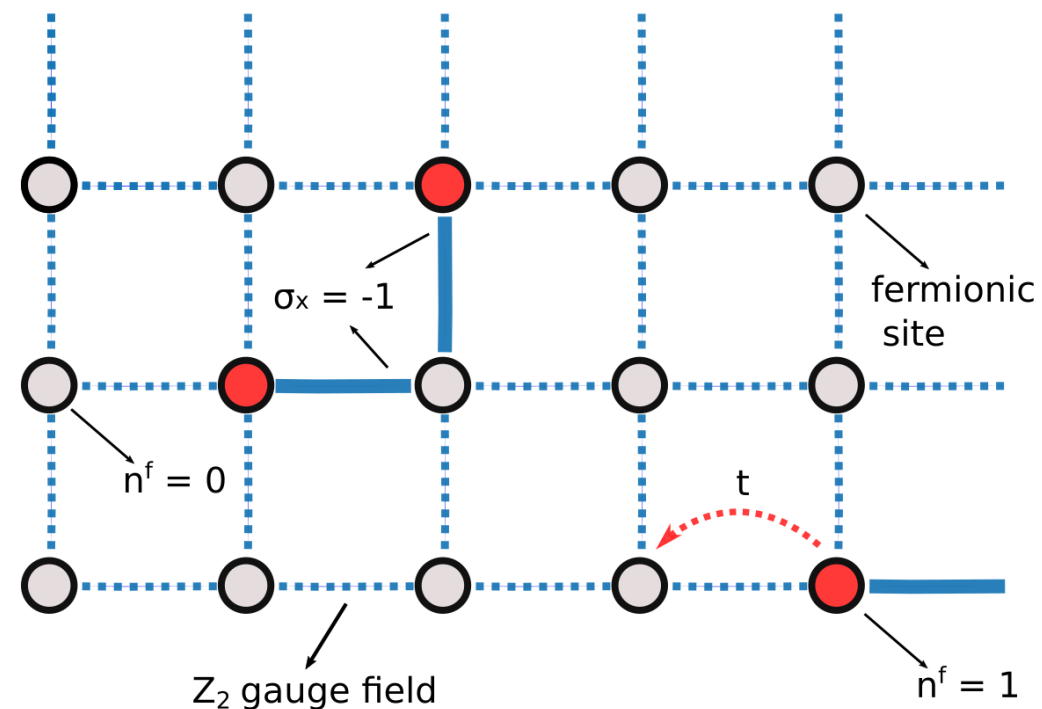


# $Z_2$ gauge theory coupled to fermion matter: *from topological order to confinement and fractons*

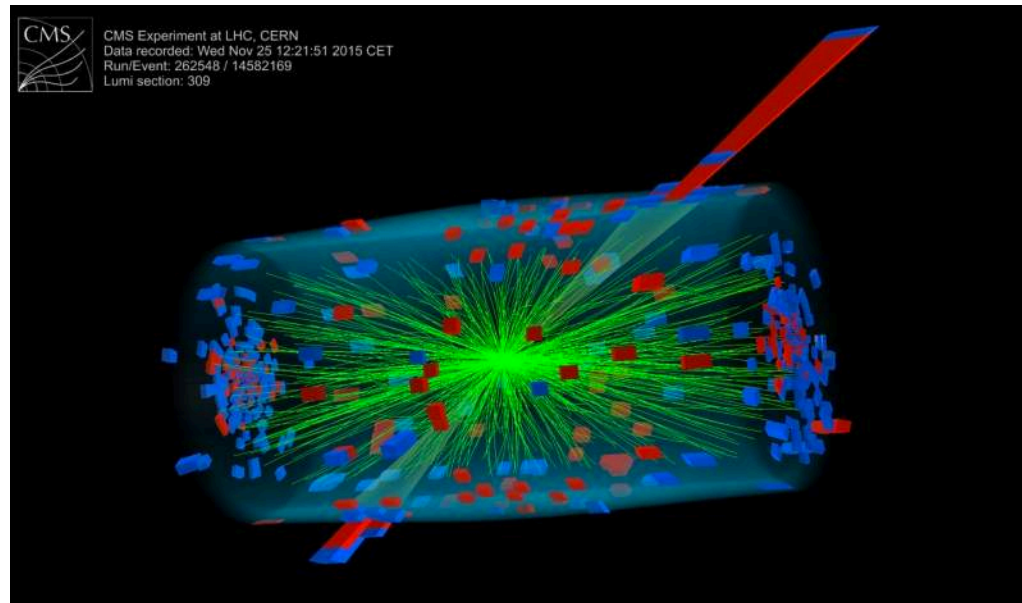


©DFG

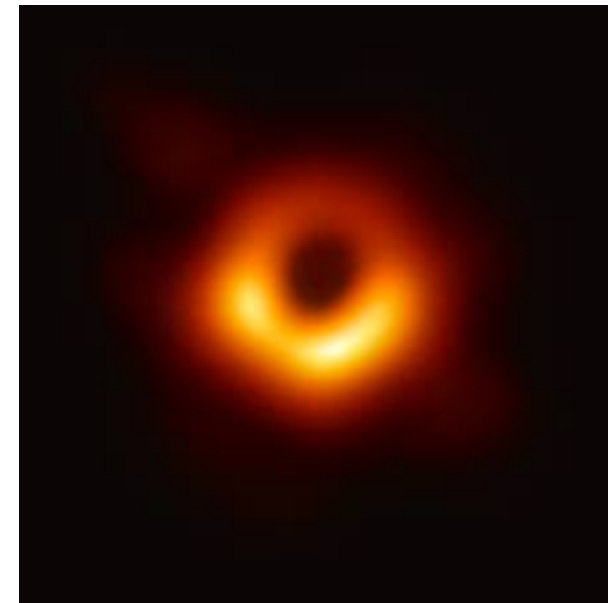
together with  
*Umberto Borla, Bhilahari Jeevanesan and Frank Pollmann*  
*arXiv:2012.08543*

# Gauge theories

- General theory of relativity
- Standard model of particle physics

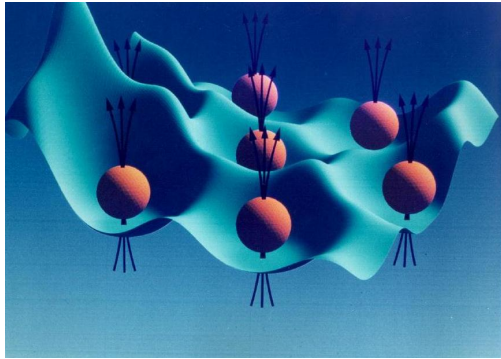


*CMS, LHC*

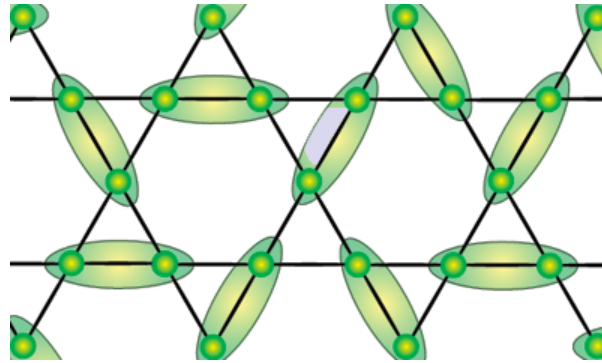


*Event Horizon  
Telescope Team*

# Emergent gauge theories

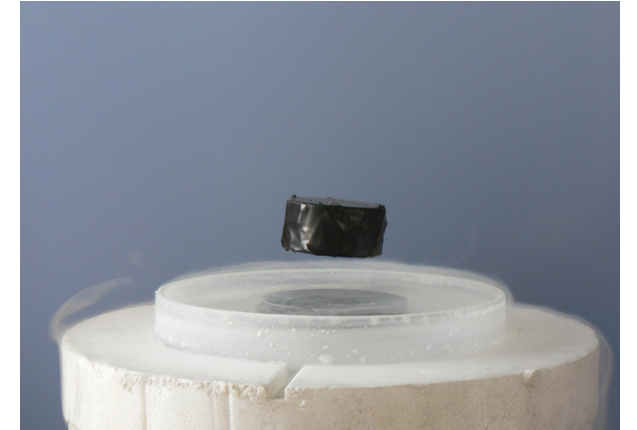


fractional  
quantum Hall  
fluids



*L. Clark*

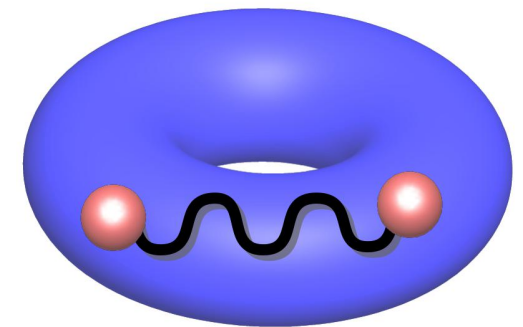
quantum spin  
liquids



*Wikipedia*

superconductors

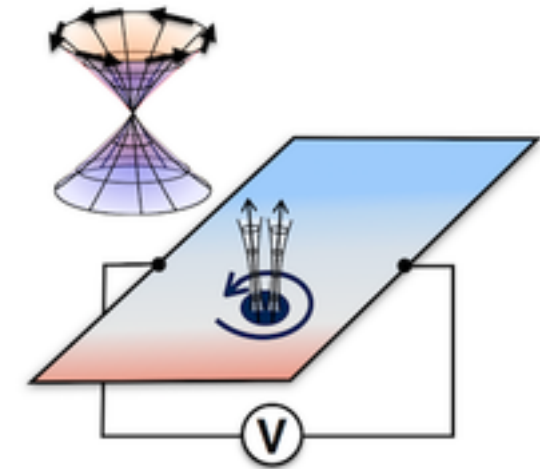
- Anyons
- Ground state degeneracy
- Long-range entanglement



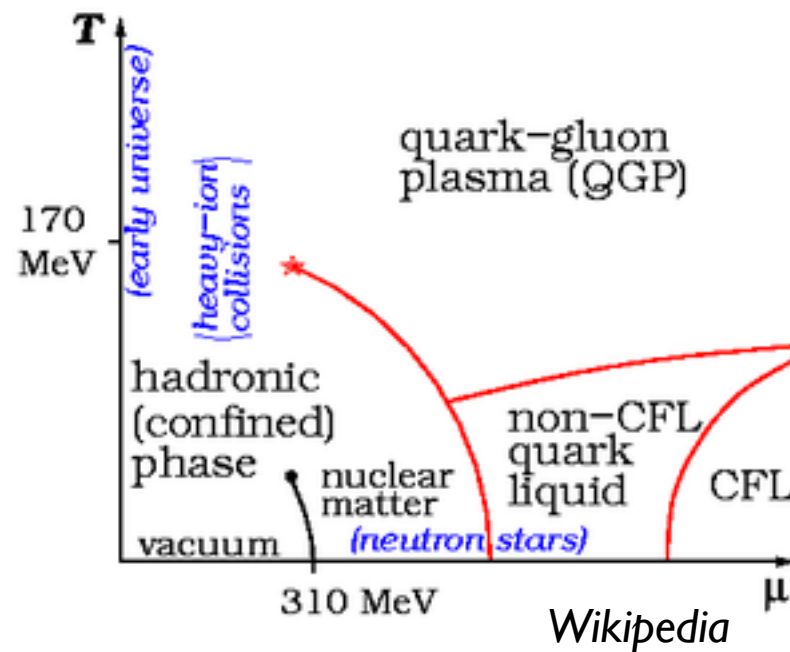
*Wen  
Kitaev*

# Gauge theories coupled to fermion matter

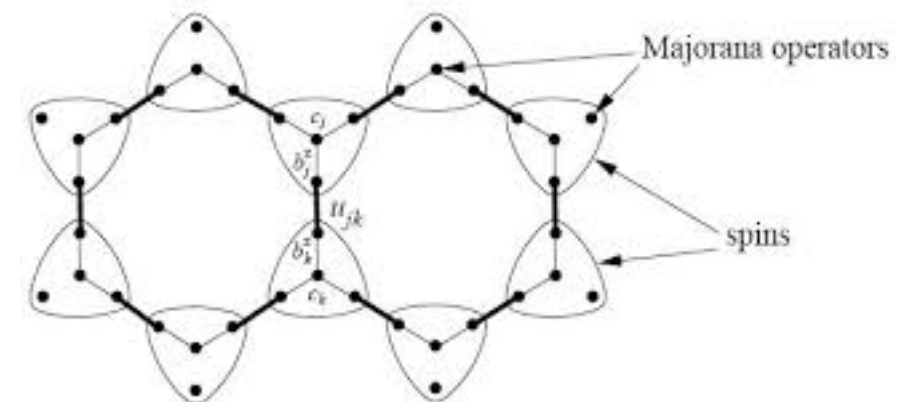
- Half-filled Landau level



- QCD



- Kitaev spin liquids



Kitaev2006



# $Z_2$ gauge theory

JOURNAL OF MATHEMATICAL PHYSICS

VOLUME 12, NUMBER 10

OCTOBER 1971

## Duality in Generalized Ising Models and Phase Transitions without Local Order Parameters\*

Franz J. Wegner †

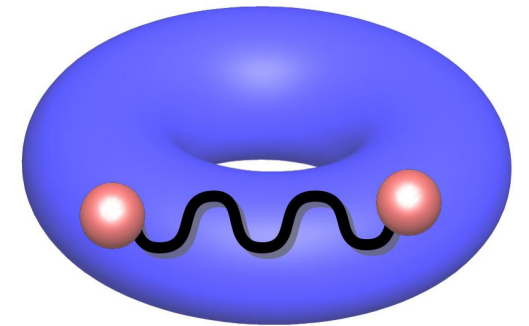
*Department of Physics, Brown University, Providence, Rhode Island 02912*

(Received 29 March 1971)

It is shown that any Ising model with positive coupling constants is related to another Ising model by a duality transformation. We define a class of Ising models  $M_{dn}$  on  $d$ -dimensional lattices characterized by a number  $n = 1, 2, \dots, d$  ( $n = 1$  corresponds to the Ising model with two-spin interaction). These models are related by two duality transformations. The models with  $1 < n < d$  exhibit a phase transition without local order parameter. A nonanalyticity in the specific heat and a different qualitative behavior of certain spin correlation functions in the low and the high temperature phases indicate the existence of a phase transition. The Hamiltonian of the simple cubic dual model contains products of four Ising spin operators. Applying a star square transformation, one obtains an Ising model with competing interactions exhibiting a singularity in the specific heat but no long-range order of the spins in the low temperature phase.

Simplest gauge theory we can define  
on a lattice

# $Z_2$ gauge theory



Discrete cousin of electrodynamics

Wegner 1971  
Kogut 1979

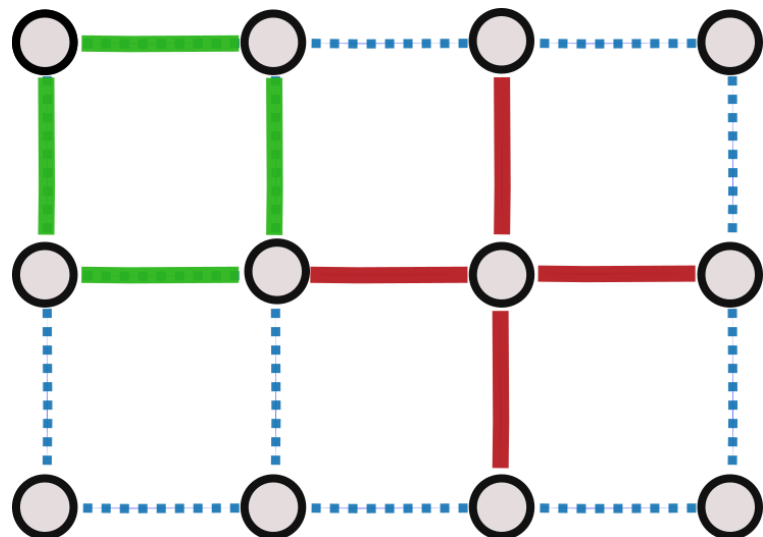
$$H = -J \sum_{\mathbf{r}^*} \prod_{b \in \square_{\mathbf{r}^*}} \sigma_b^z - h \sum_{\mathbf{r}, \eta} \sigma_{\mathbf{r}, \eta}^x$$

Correspondence

$$\sigma^z \sim e^{iA}$$

$$\sigma^x \sim e^{iE}$$

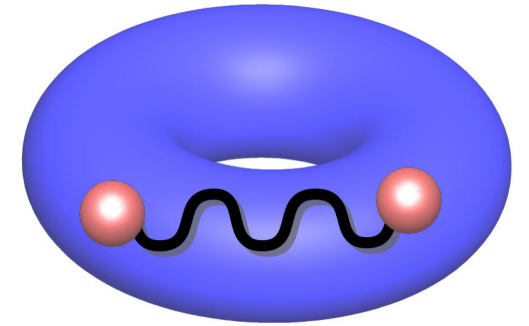
$Z_2$  gauge transformations



$$G_{\mathbf{r}} = \prod_{b \in +_{\mathbf{r}}} \sigma_b^x$$

Gauss' law:  $G_{\mathbf{r}} = 1$   
no static charges

# $Z_2$ gauge theory



Discrete cousin of electrodynamics

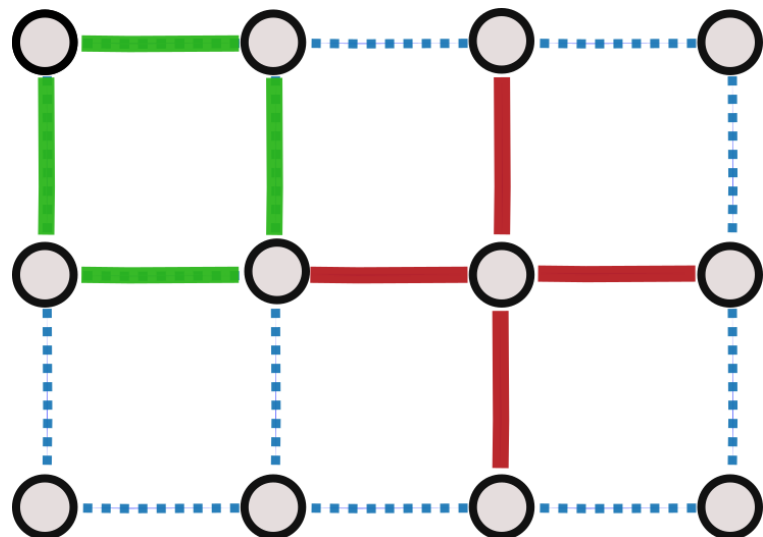
Wegner 1971  
Kogut 1979

$$H = -J \sum_{\mathbf{r}^*} \prod_{b \in \square_{\mathbf{r}^*}} \sigma_b^z - h \sum_{\mathbf{r}, \eta} \sigma_{\mathbf{r}, \eta}^x$$

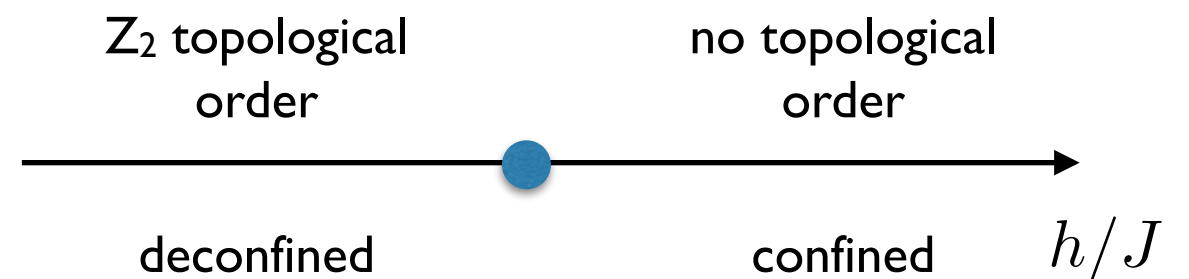
Correspondence

$$\sigma^z \sim e^{iA}$$

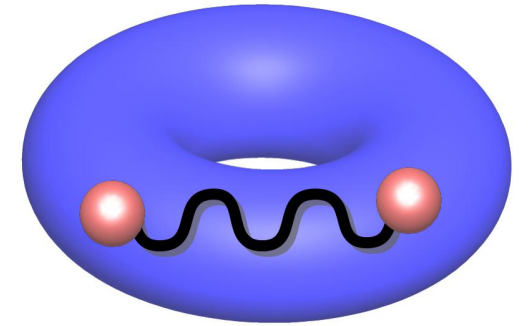
$$\sigma^x \sim e^{iE}$$



Phase transition without local order parameter



# $Z_2$ gauge theory



Discrete cousin of electrodynamics

Wegner 1971  
Kogut 1979

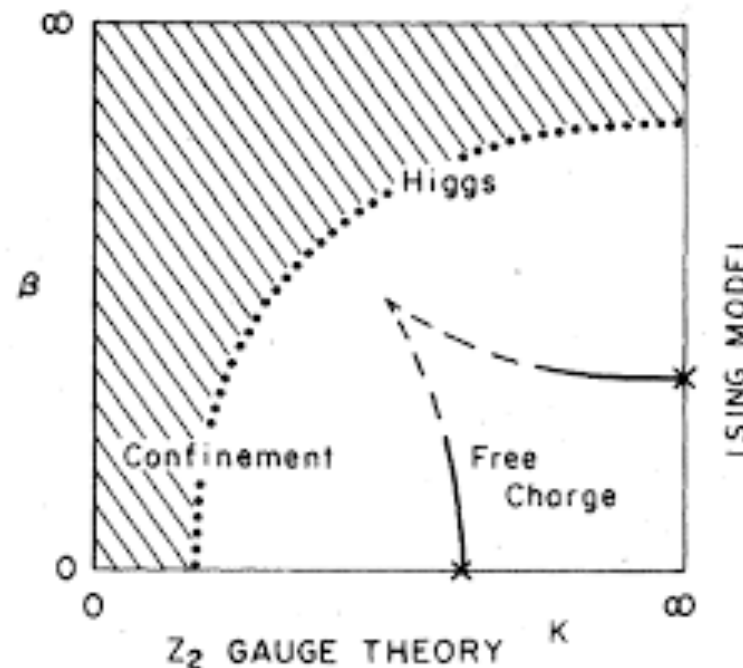
$$H = -J \sum_{\mathbf{r}^*} \prod_{b \in \square_{\mathbf{r}^*}} \sigma_b^z - h \sum_{\mathbf{r}, \eta} \sigma_{\mathbf{r}, \eta}^x$$

Correspondence

$$\sigma^z \sim e^{iA}$$

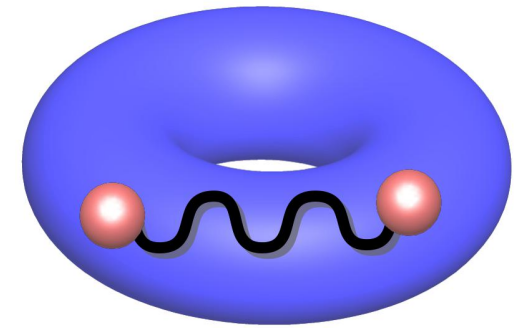
$$\sigma^x \sim e^{iE}$$

Adding dynamical  
Ising matter



Fradkin&Shenker 1979

# Z<sub>2</sub> gauge theory



Discrete cousin of electrodynamics

Wegner 1971  
Kogut 1979

$$H = -J \sum_{\mathbf{r}^*} \prod_{b \in \square_{\mathbf{r}^*}} \sigma_b^z - h \sum_{\mathbf{r}, \eta} \sigma_{\mathbf{r}, \eta}^x$$

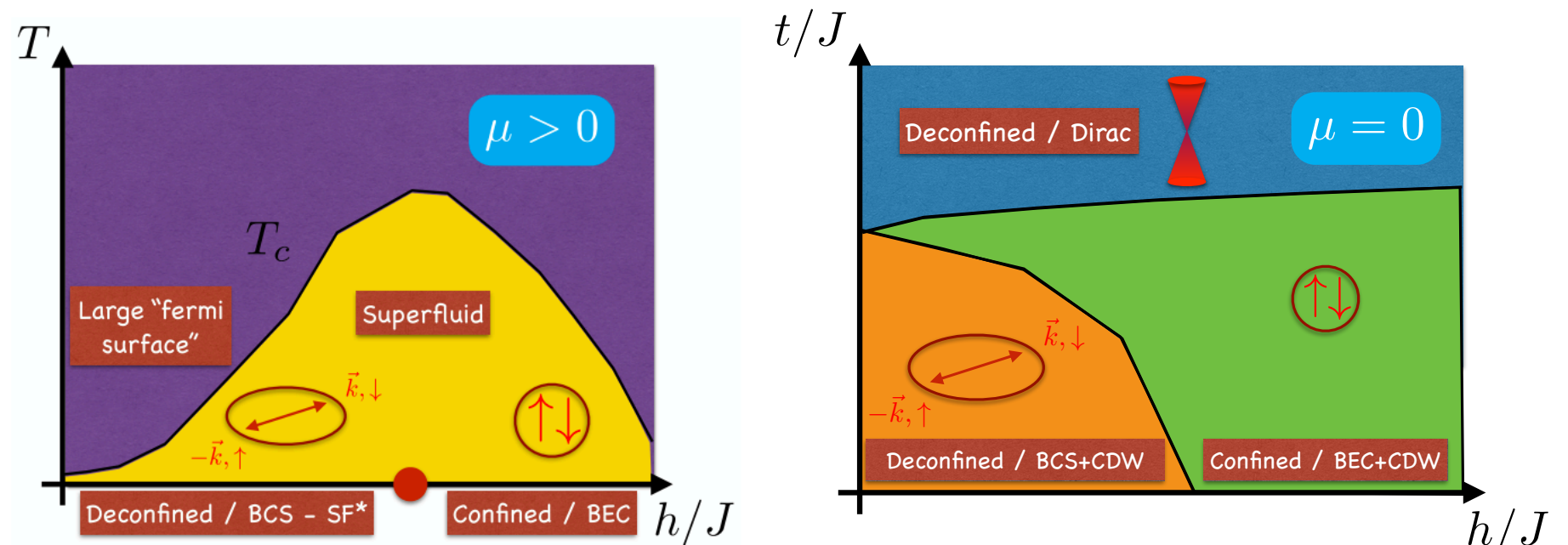
Correspondence

$$\sigma^z \sim e^{iA}$$

$$\sigma^x \sim e^{iE}$$

Adding dynamical two-component fermion matter

Senthil&Fisher 2000  
Assad&Grover 2016  
Gazit et al 2017, 18, 20  
...



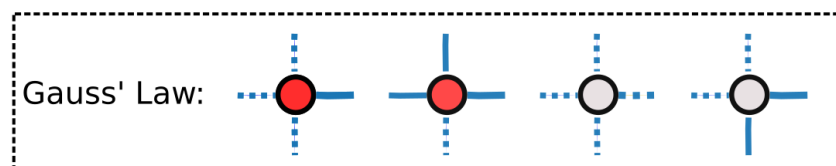
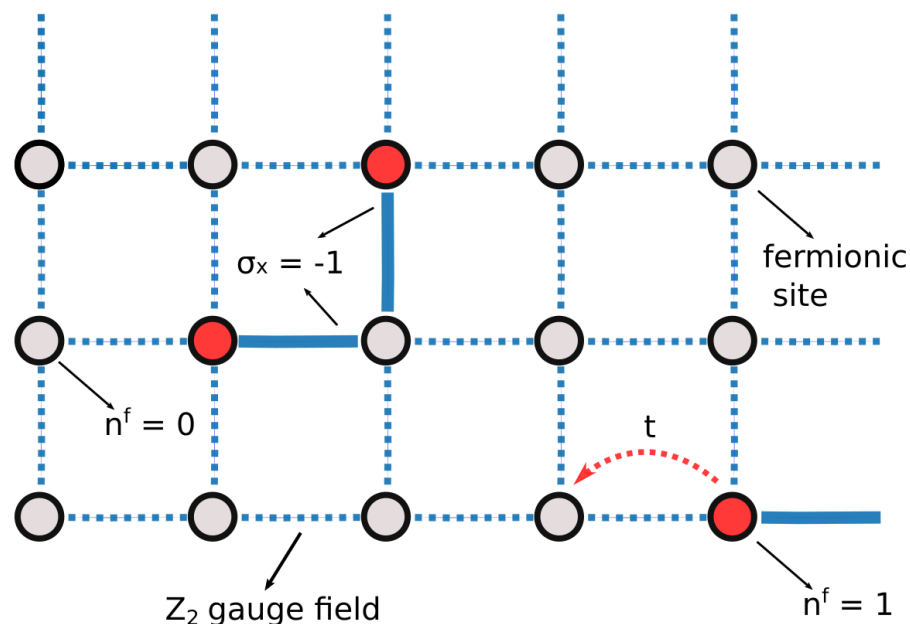
sign-problem-free QMC



# Adding spinless fermion matter

Borla, Jeevanesan,  
Pollmann, Moroz  
2012.08543

$$H_f = -t \sum_{\mathbf{r}, \eta} \left( c_{\mathbf{r}}^\dagger \sigma_{\mathbf{r}, \eta}^z c_{\mathbf{r}+\eta} + \text{h.c.} \right) - \mu \sum_{\mathbf{r}} c_{\mathbf{r}}^\dagger c_{\mathbf{r}}$$



$Z_2$  gauge transformations  
modified

$$G_{\mathbf{r}} = (-1)^{n_{\mathbf{r}}} \prod_{b \in +\mathbf{r}} \sigma_b^x$$

We will set everywhere

$$\prod_{b \in +\mathbf{r}} \sigma_b^x = (-1)^{n_{\mathbf{r}}}$$

# Gauging fermion parity

Any fermionic model has an unbreakable  $\mathbb{Z}_2$  symmetry

$$P = \prod_{\mathbf{r}} (-1)^{n_{\mathbf{r}}} = (-1)^N$$

We gauge this symmetry  $\prod_{b \in +\mathbf{r}} \sigma_b^x = (-1)^{n_{\mathbf{r}}}$

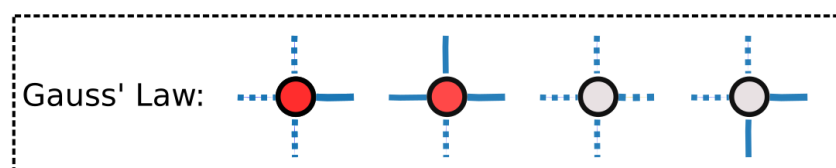
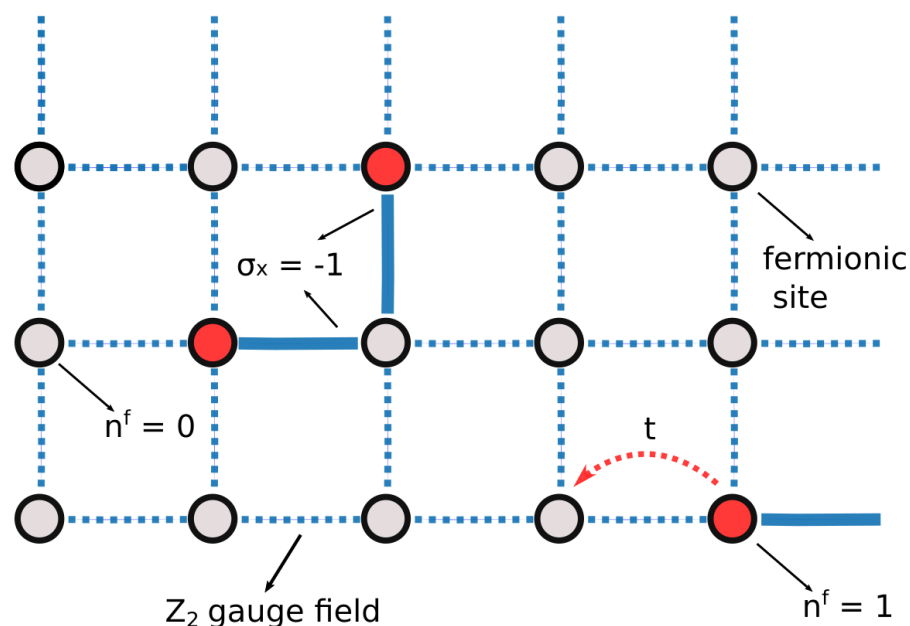
After gauging the model becomes bosonic

$$P = +1$$

# Adding spinless fermion matter

Borla, Jeevanesan,  
Pollmann, Moroz  
2012.08543

$$H_f = -t \sum_{\mathbf{r}, \eta} \left( c_{\mathbf{r}}^\dagger \sigma_{\mathbf{r}, \eta}^z c_{\mathbf{r}+\eta} + \text{h.c.} \right) - \mu \sum_{\mathbf{r}} c_{\mathbf{r}}^\dagger c_{\mathbf{r}}$$



U(1) global symmetry

$$c_{\mathbf{r}} \rightarrow e^{i\alpha} c_{\mathbf{r}}$$

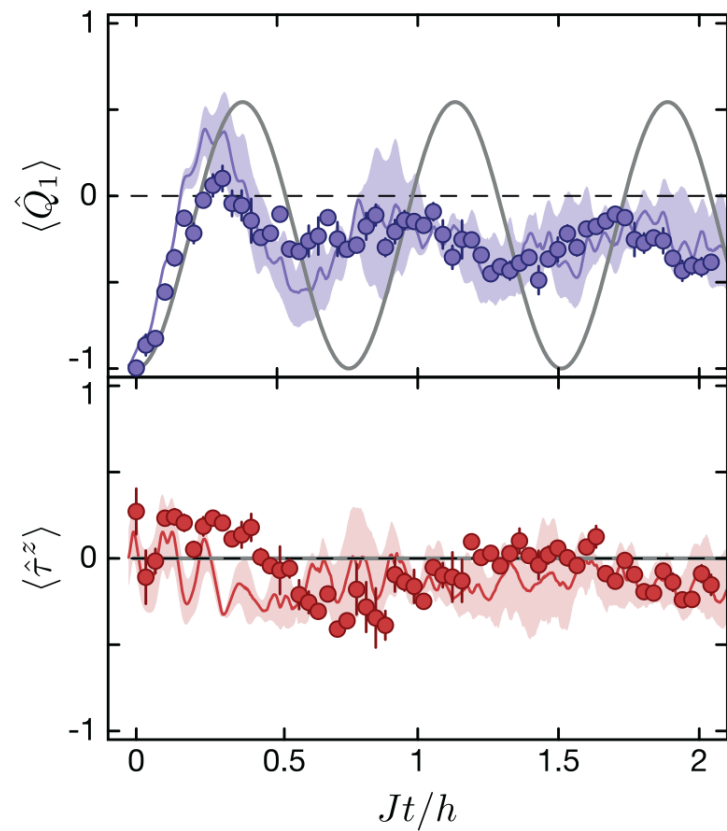
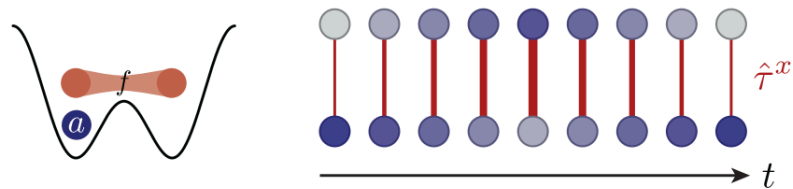
Time-reversal symmetry

No sign-problem-free  
QMC known

related cross-linked ladder study  
Gonzales-Cuadra et al 2020

# Motivations

## Cold atom Floquet engineering



*Bermudez&Porras 2015*

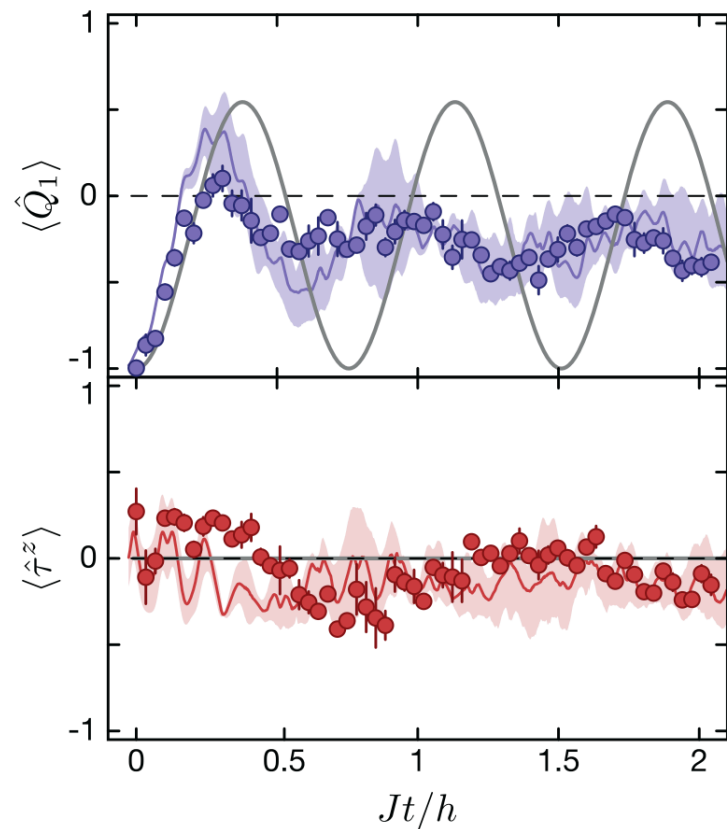
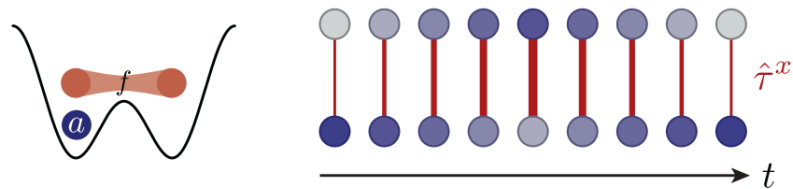
*Barbiero et al 2018*

*Goerg et al 2019*

*Schweizer et al 2019*

# Motivations

## Cold atom Floquet engineering



Bermudez&Porras 2015  
Barbiero et al 2018  
Goerg et al 2019  
Schweizer et al 2019

## Other proposals:

- Digital simulations

Zohar et al  
2017

- Superconducting qubits

Homeir et al  
2020

- Rydberg dressing

Kebric et al  
2021



# Motivations

## Generalized Kitaev models

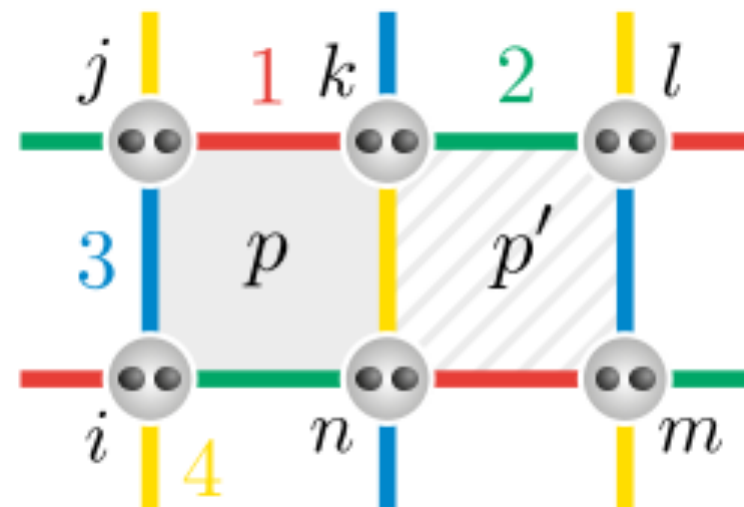
Chulliparambil et al  
PRB 2020, 2021

$$\mathcal{H}_J^{(\nu)} = - \sum_{\langle ij \rangle_\gamma} J_\gamma \left( \Gamma_i^\gamma \Gamma_j^\gamma + \sum_{\beta=\gamma_m+1}^{2q+3} \Gamma_i^{\gamma\beta} \Gamma_j^{\gamma\beta} \right)$$

For  $\nu=2$   
spin-orbital  
Hamiltonian

$$\mathcal{H}_J^{(2)} = - \sum_{\langle ij \rangle_\gamma} J_\gamma (\sigma_i^x \sigma_j^x + \sigma_i^y \sigma_j^y) \otimes \tau_i^\gamma \tau_j^\gamma$$

expressed as  
 $\mathbb{Z}_2$  gauge theory



# Rest of my talk

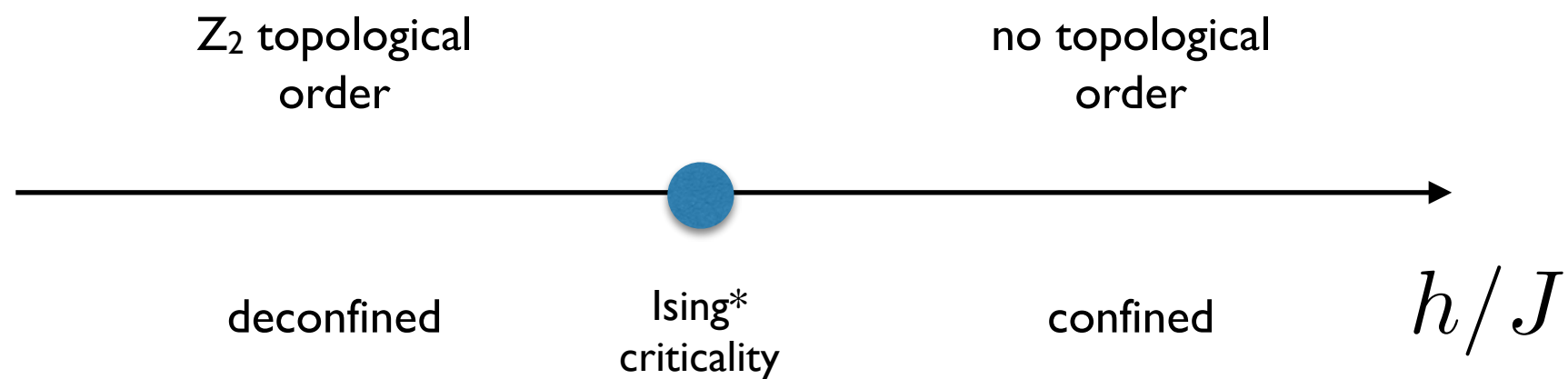
- Four limiting cases
- Eliminating  $Z_2$  gauge redundancy
- iDMRG results

# Pure gauge theory limits

Zero fermion filling for  $\mu \rightarrow -\infty$

even pure gauge theory

$$\prod_{b \in +_r} \sigma_b^x = +1$$

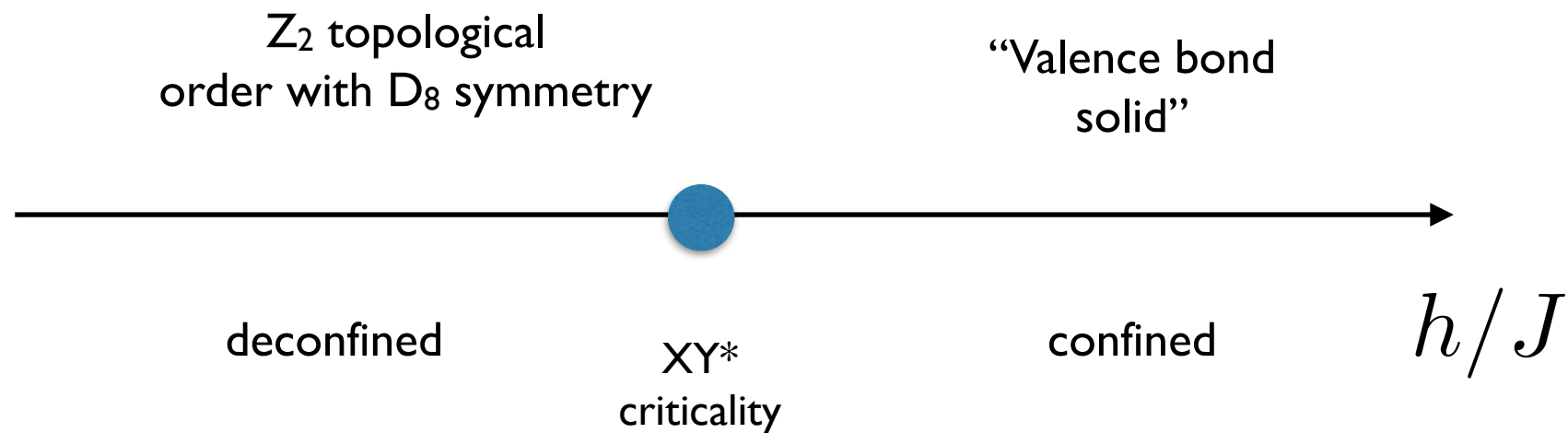


# Pure gauge theory limits

Unit fermion filling for  $\mu \rightarrow +\infty$

odd pure gauge theory

$$\prod_{b \in +_r} \sigma_b^x = -1$$



Sachdev 2018

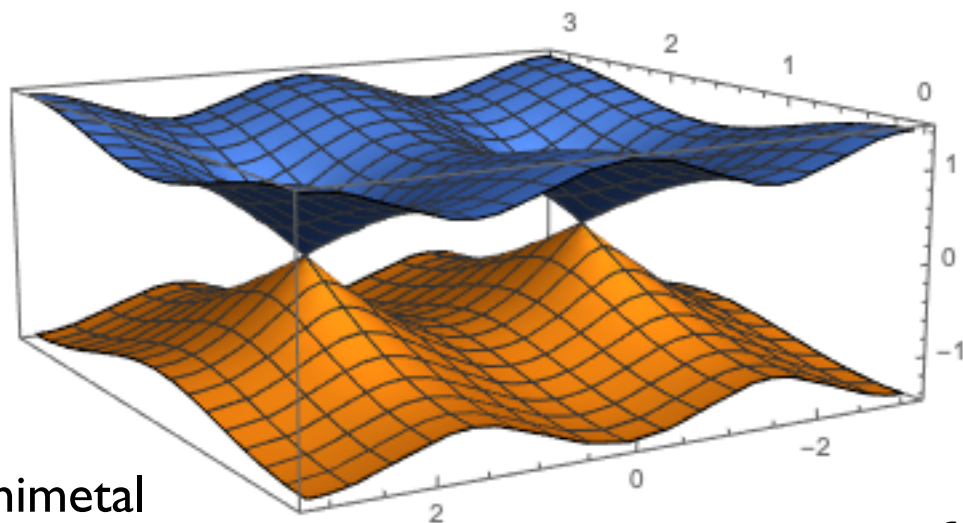
# Zero string tension limit

At  $h=0$ , gauge fields are static,  
fermions in background magnetic flux  
which flux is realized in the ground state?

Half filling

$$t \gg J$$

Lieb theorem:  
 $\pi$ -flux is favored

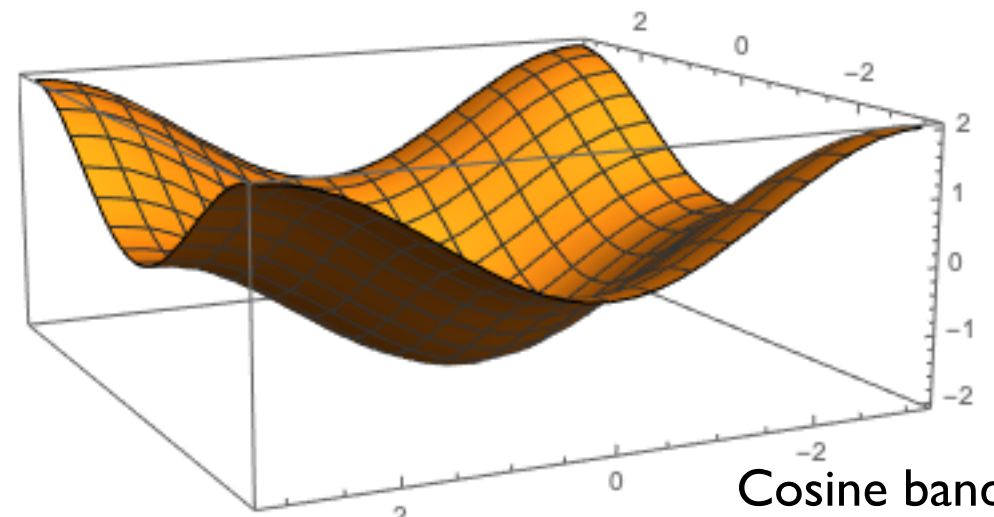


Dirac semimetal  
with deconfined  
 $Z_2$  gauge fields

$t$  vs  $J$   
competition studied in  
*Prosko et al 2017*  
*König et al 2020*

$$t \ll J$$

Plaquette term  
favors 0-flux

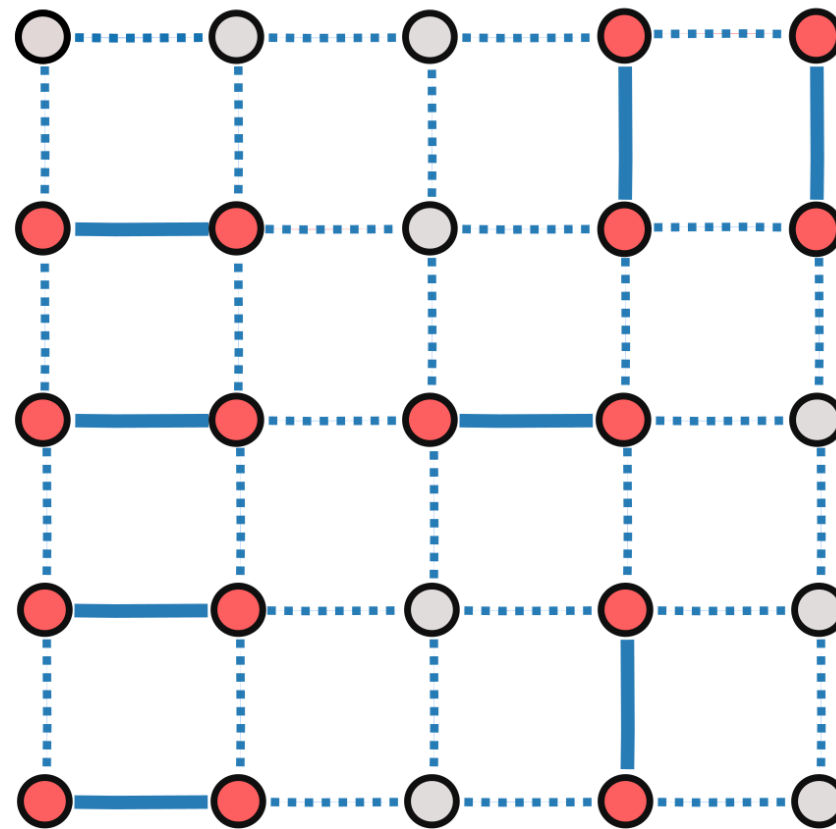


Cosine band  
with deconfined  
 $Z_2$  gauge fields



# Strong tension limit

Fermions pair into dimers with shortest electric strings



$$h \sim \mu \gg t, J$$

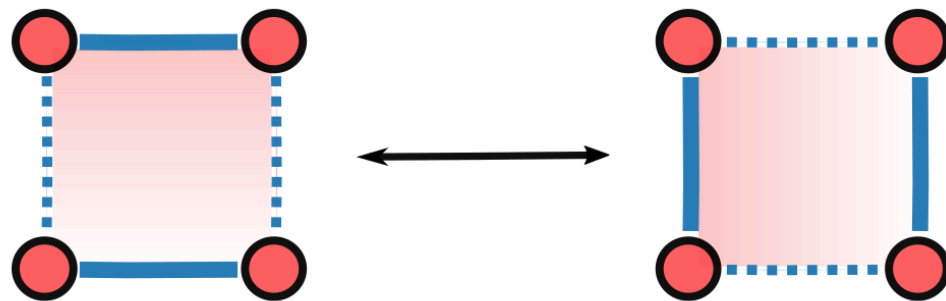
Hard-core condition: Not more than one dimer attached to site

We do degenerate perturbation theory

# Clustering of dimers

Magnetic plaquette term

$$-J \sum_{\mathbf{r}^*} \prod_{b \in \square_{\mathbf{r}^*}} \sigma_b^z$$



first order degenerate  
perturbation theory

Short-range attraction between two dimers

$$H_d^{\text{res}} = -J \sum (| \begin{array}{c} \bullet \\ | \\ \bullet \end{array} \begin{array}{c} \bullet \\ | \\ \bullet \end{array} \rangle \langle \begin{array}{c} \bullet \text{---} \bullet \\ \bullet \text{---} \bullet \end{array} | + \text{h.c.})$$

*Rokhsar&Kivelson  
1988*

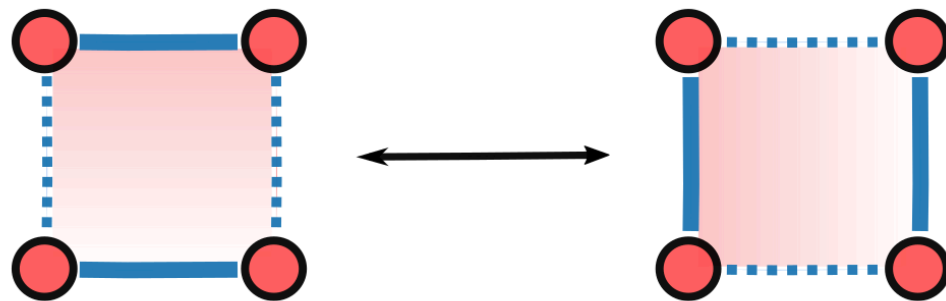
- Full filling- columnar VBS state
- Partial filling- clustering of dimers

*Wenzel et al  
2012*

# Clustering of dimers

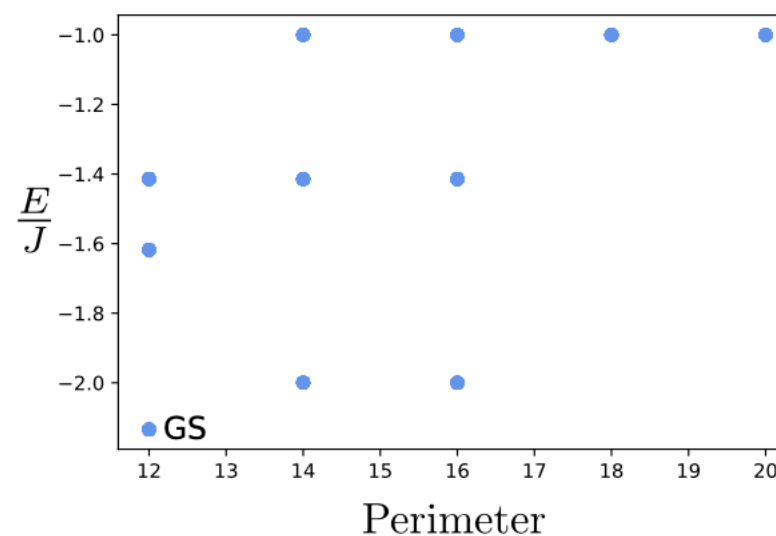
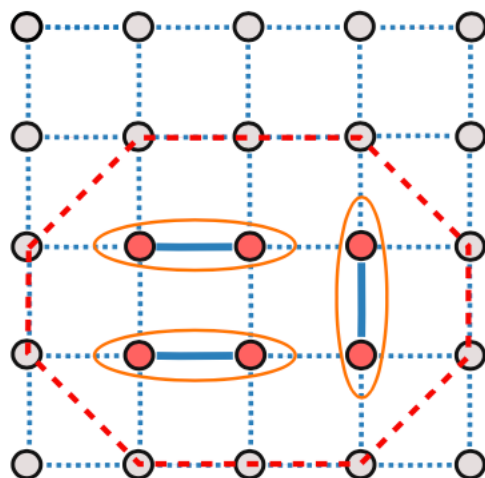
Magnetic plaquette term

$$-J \sum_{\mathbf{r}^*} \prod_{b \in \square_{\mathbf{r}^*}} \sigma_b^z$$



first order degenerate  
perturbation theory

Clusters with smallest perimeter have lowest energy

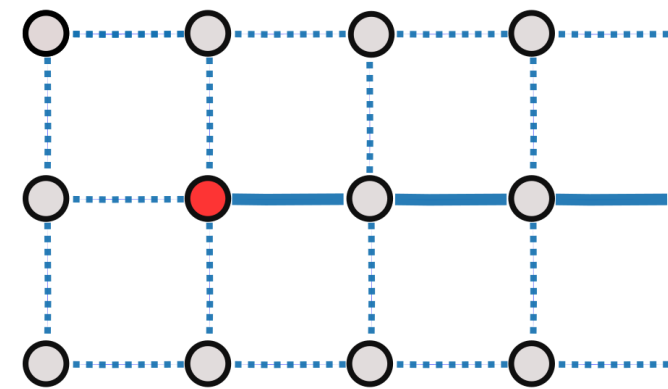


four dimers  
on 5x5  
lattice

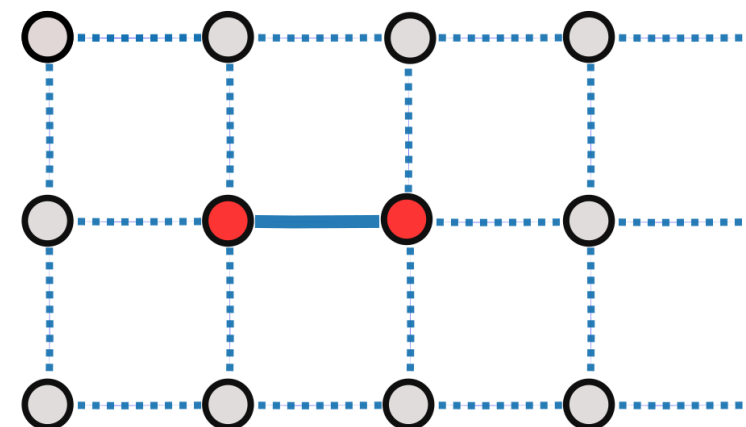
# Emergent fractons

Within second order perturbation theory in  $t$ :

Isolated fermions  
are immobile



Dimers exhibit  
restricted mobility



# Second order hopping

Anisotropic hopping of dimers

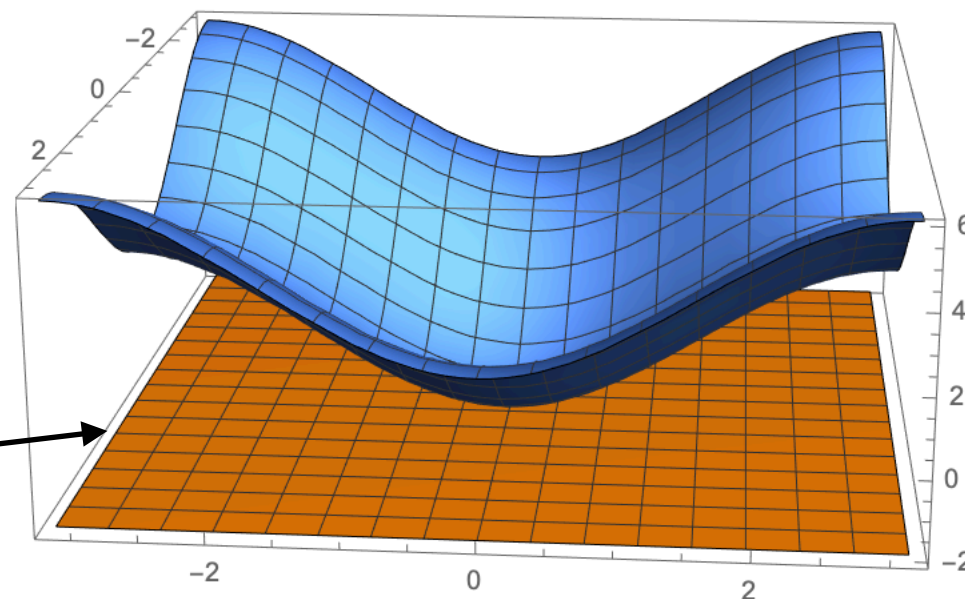
$$H_d^{\text{hop}} = -t_d \sum \left( \begin{array}{l} |\text{---}\bullet\text{---}\bullet\text{---}\rangle \langle \bullet\text{---}\bullet\text{---}\text{---}| + |\text{---}\bullet\text{---}\rangle \langle \text{---}\bullet\text{---}| - |\text{---}\bullet\text{---}\rangle \langle \text{---}\bullet\text{---}| + \\ |\text{---}\bullet\text{---}\rangle \langle \text{---}\bullet\text{---}| + |\text{---}\bullet\text{---}\rangle \langle \text{---}\bullet\text{---}| - |\text{---}\bullet\text{---}\rangle \langle \text{---}\bullet\text{---}| + \text{h.c.} \end{array} \right)$$

$t^2/2h$

Single dimer dispersion:

$$|\psi(\mathbf{r})\rangle = \frac{1}{2} \left[ |\text{---}\bullet\text{---}\rangle - |\text{---}\bullet\text{---}\rangle - |\text{---}\bullet\text{---}\rangle + |\text{---}\bullet\text{---}\rangle \right]$$

frozen dimer  
states



Many-body physics with frozen states...



# Second order processes

Anisotropic hopping of dimers- fracton phenomenology

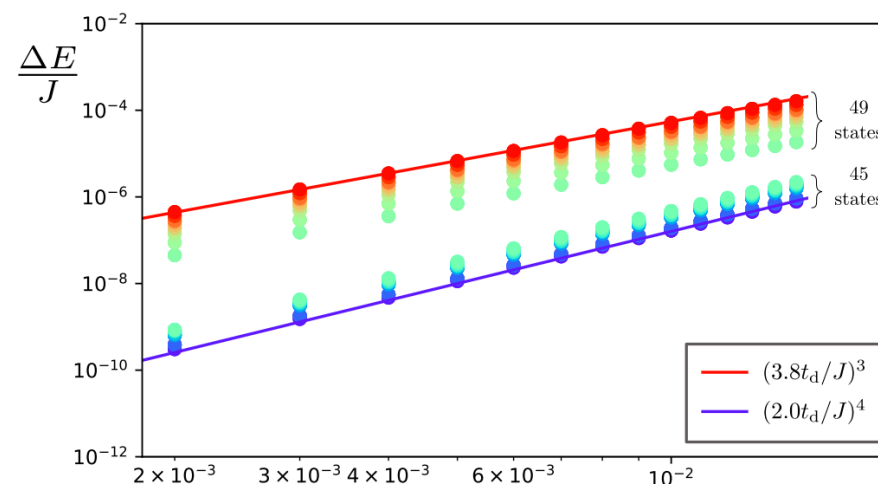
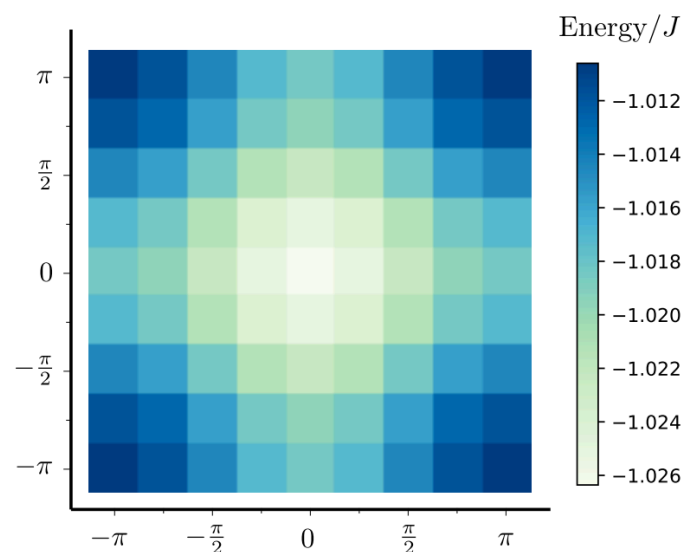
$$H_d^{\text{hop}} = -t_d \sum \left( \begin{array}{l} |\text{---}\bullet\text{---}\bullet\text{---}\rangle \langle \bullet\text{---}\bullet\text{---}\text{---}| + |\text{---}\bullet\text{---}\rangle \langle \text{---}\bullet\text{---}| - |\text{---}\bullet\text{---}\rangle \langle \text{---}\bullet\text{---}| + \\ \text{---}\bullet\text{---}\bullet\text{---}\rangle \langle \text{---}\bullet\text{---}\bullet\text{---}| + |\text{---}\bullet\text{---}\rangle \langle \text{---}\bullet\text{---}\rangle - |\text{---}\bullet\text{---}\rangle \langle \text{---}\bullet\text{---}\rangle + \text{h.c.} \end{array} \right)$$

$t^2/2h$   $\swarrow$

Clusters hopping is exponentially small in their size

two-dimer  
cluster  
hopping

$$t_d^2/J$$



three-dimer  
cluster  
hopping

$$t_d^3/J^2, t_d^4/J^3$$

# Eliminating $Z_2$ gauge redundancy

Introduce Majorana fermions

$$\gamma_{\mathbf{r}} = c_{\mathbf{r}}^{\dagger} + c_{\mathbf{r}}$$

$$\tilde{\gamma}_{\mathbf{r}} = i(c_{\mathbf{r}}^{\dagger} - c_{\mathbf{r}})$$

Gauge-invariant  
Pauli operators

$$X_{\mathbf{r},\eta} = \sigma_{\mathbf{r},\eta}^x$$

$$Z_{\mathbf{r},\hat{x}} = -i\tilde{\gamma}_{\mathbf{r}}\sigma_{\mathbf{r},\hat{x}}^z\gamma_{\mathbf{r}+\hat{x}}\sigma_{\mathbf{r}+\hat{x},-\hat{y}}^x$$

$$Z_{\mathbf{r},\hat{y}} = -i\tilde{\gamma}_{\mathbf{r}}\sigma_{\mathbf{r},\hat{y}}^z\gamma_{\mathbf{r}+\hat{y}}\sigma_{\mathbf{r},\hat{x}}^x$$

*related mappings:*

Wosiek 1982

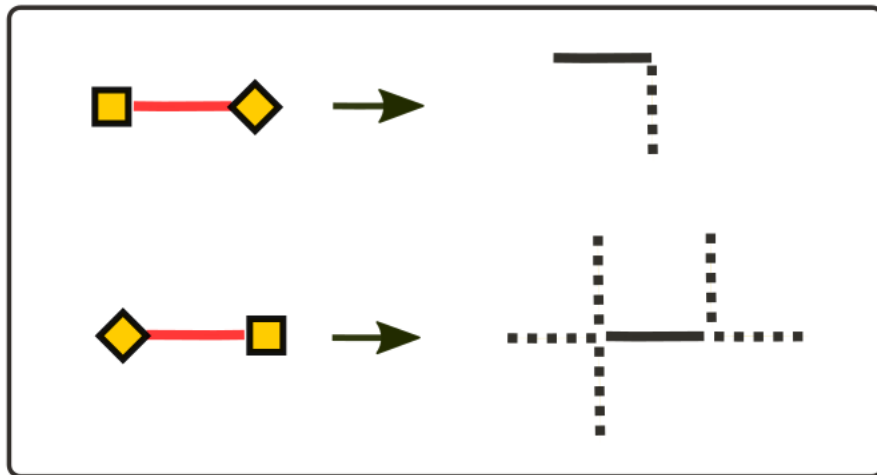
Chen et al 2018

...

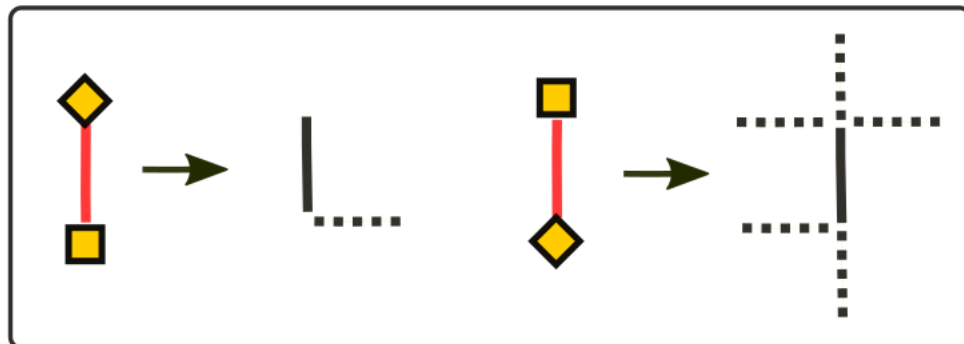
Physical Hilbert space: spins 1/2 on links of the lattice

# Examples

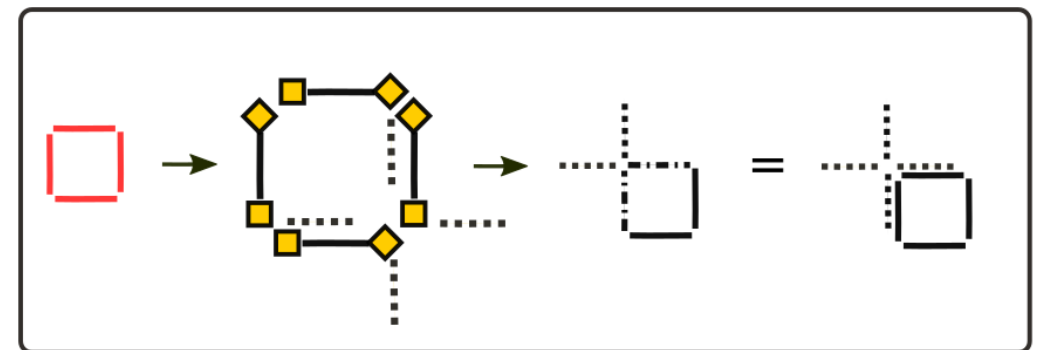
Horizontal:



Vertical:



Hopping



Plaquette

# 2d spin model

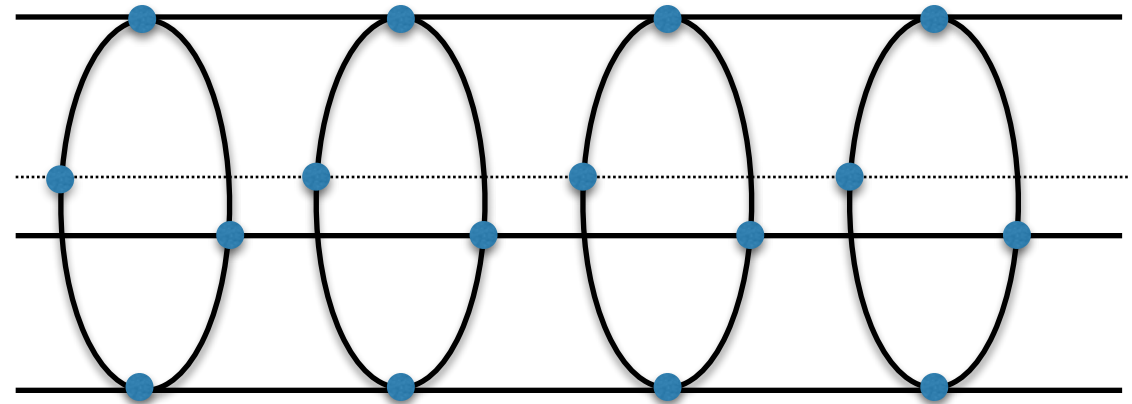
Local model of gauge-invariant spins 1/2 defined on links

$$\begin{aligned} H = & -t \sum_{\mathbf{r}} (Z_{\mathbf{r},\hat{x}} X_{\mathbf{r}+\hat{x},-\hat{y}} \mathcal{P}_{\mathbf{r},\hat{x}} + Z_{\mathbf{r},\hat{y}} X_{\mathbf{r},\hat{x}} \mathcal{P}_{\mathbf{r},\hat{y}}) \\ & - \frac{\mu}{2} \sum_{\mathbf{r}} \left( 1 - \prod_{b \in +_{\mathbf{r}}} X_b \right) \\ & - J \sum_{\mathbf{r}^*} \prod_{b \in \square_{\mathbf{r}^*}} Z \prod_{b \in +_{\mathbf{r}}} X - h \sum_{\mathbf{r},\eta} X_{\mathbf{r},\eta} \end{aligned}$$

We use iDMRG to map out  
quantum phase diagram

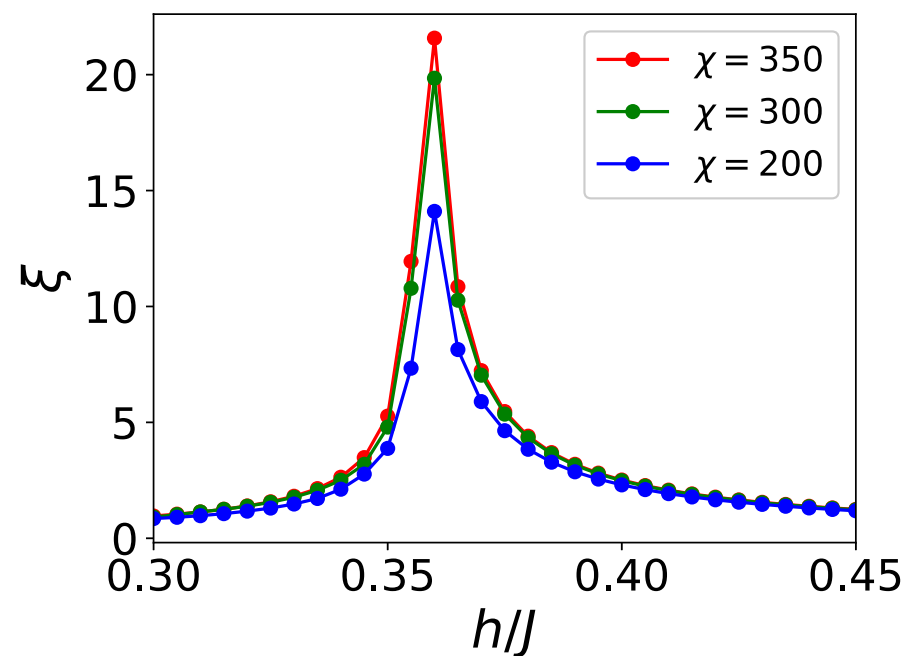
# iDMRG results

Infinite  
cylinder  
geometry



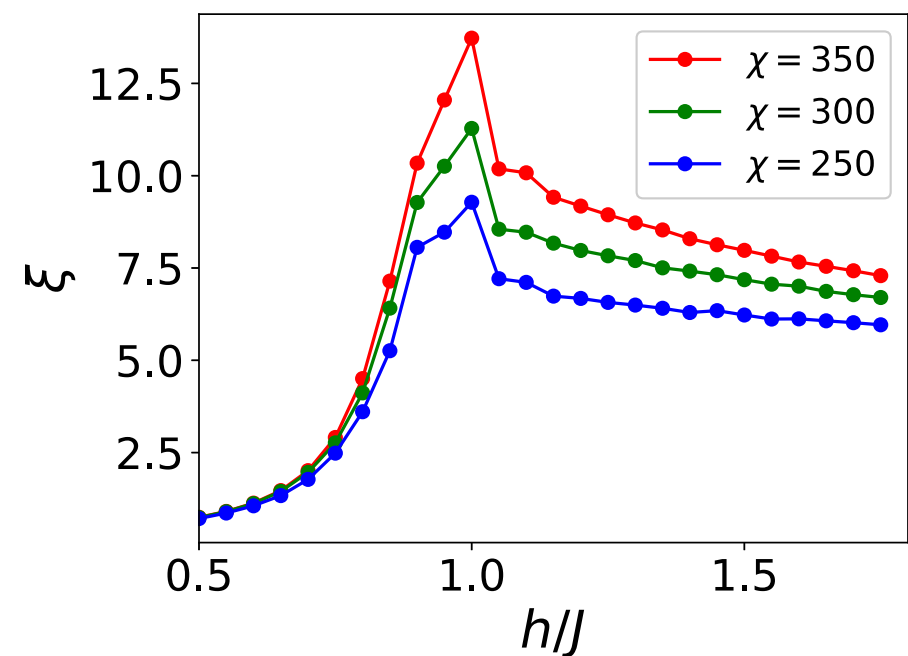
Confinement transitions:

even  $Z_2$



$\mu \rightarrow -\infty$

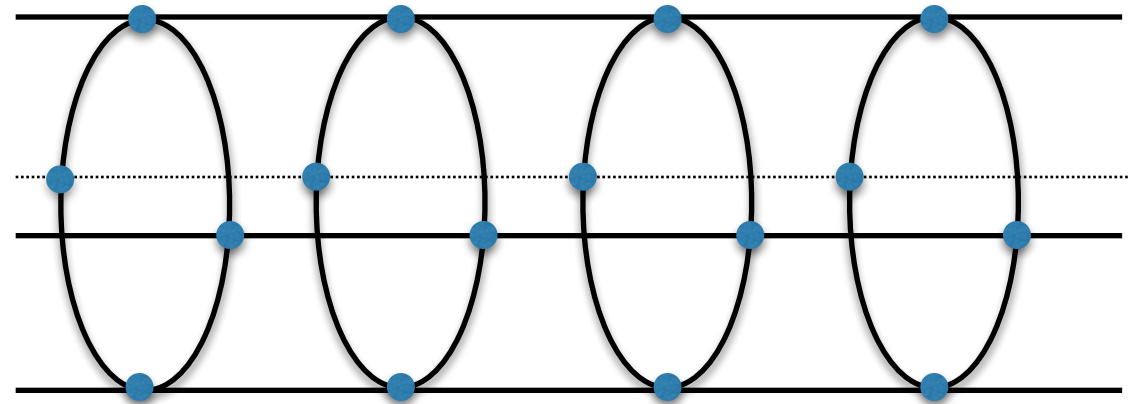
odd  $Z_2$



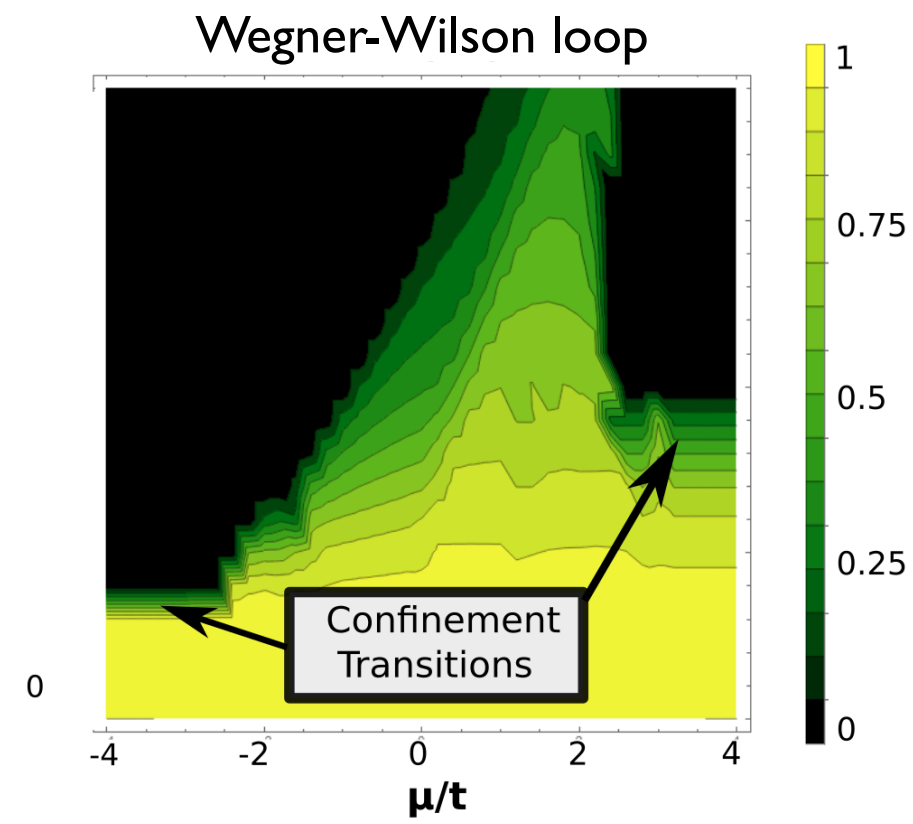
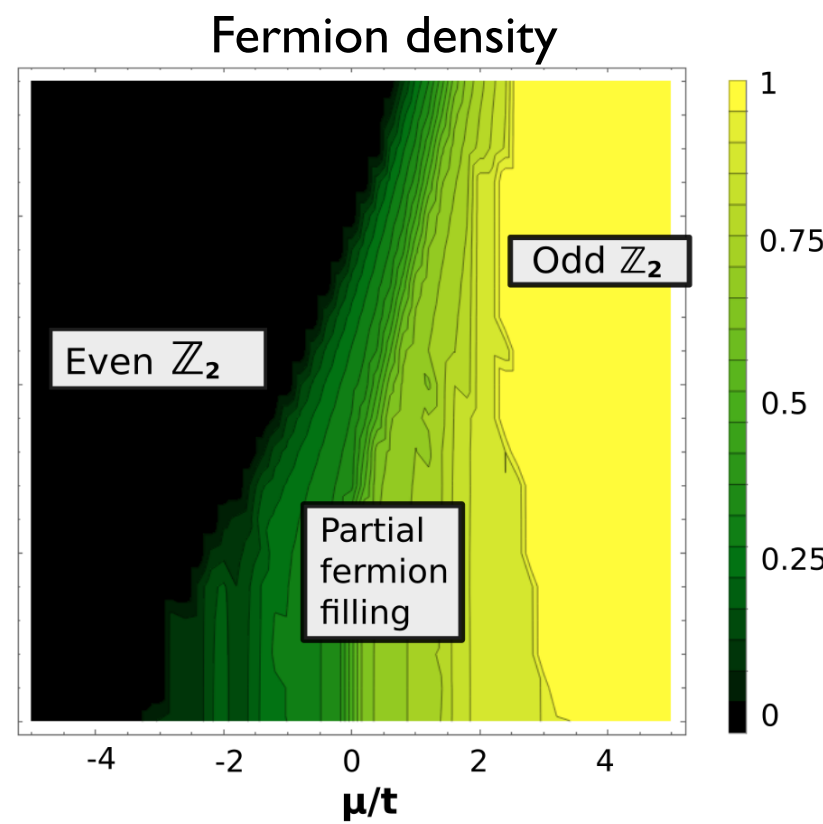
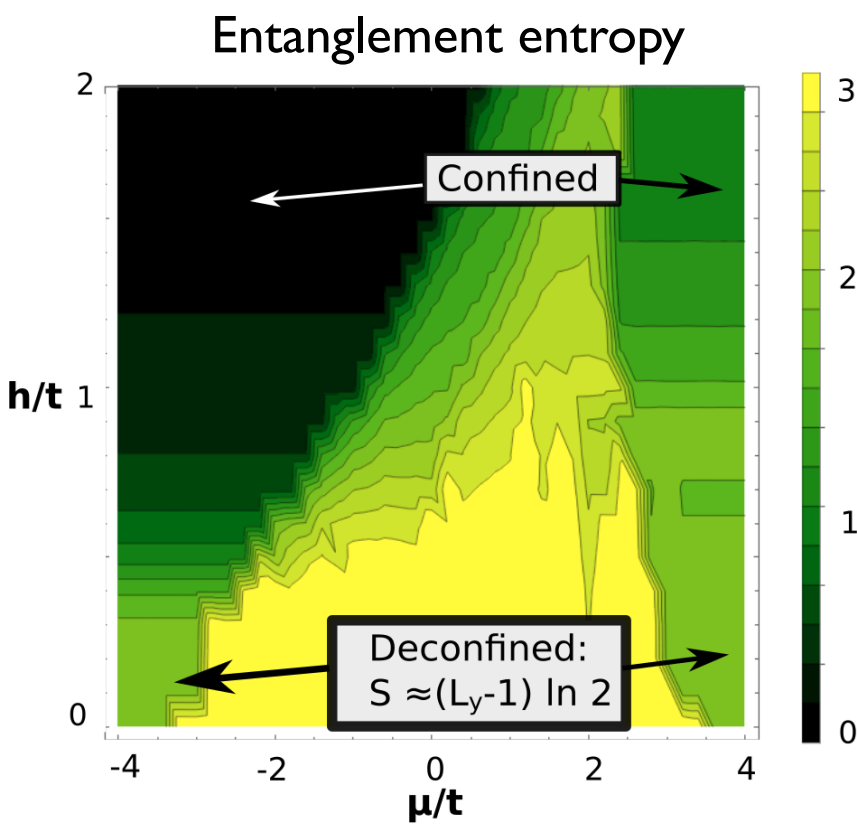
$\mu \rightarrow +\infty$

# iDMRG results

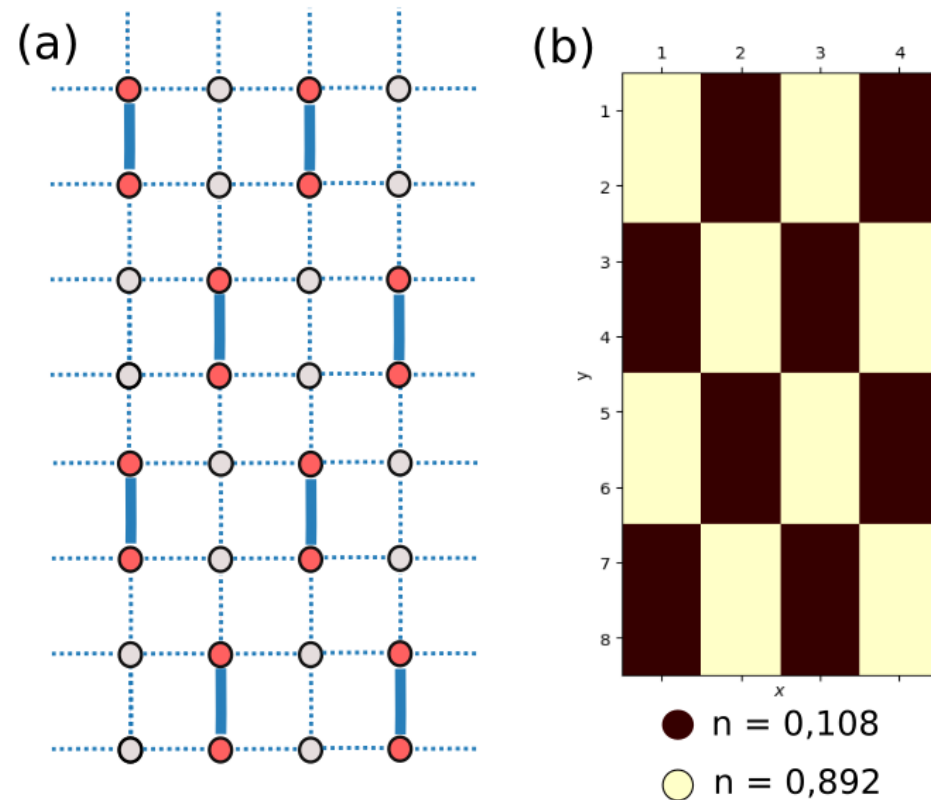
Infinite  
cylinder  
geometry



Set  $J=t$ :



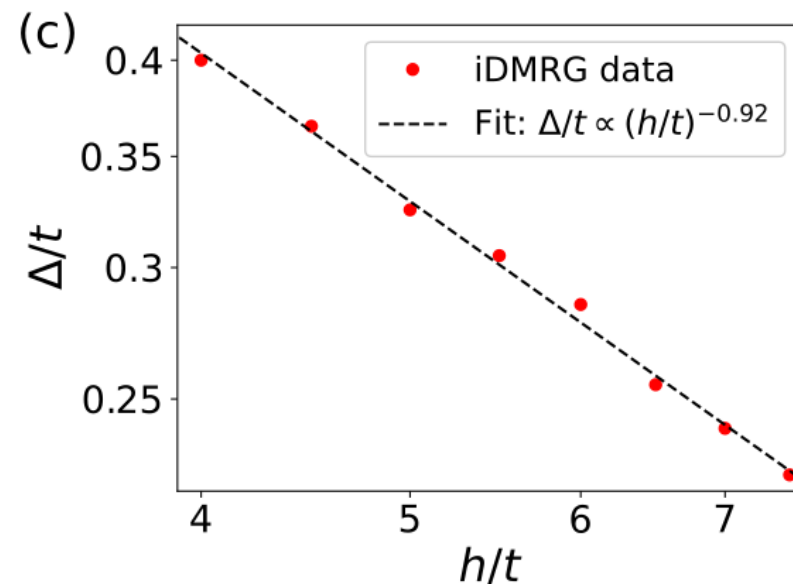
# Dimer Mott state for $J=0$



Half filling

At  $h \gg t$  Mott state  
stabilized by NNN repulsion

iDMRG results for  $L_y=8$

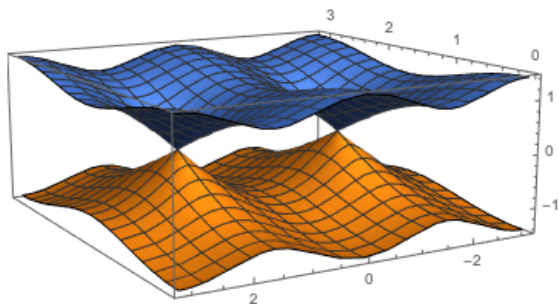


Mott gap

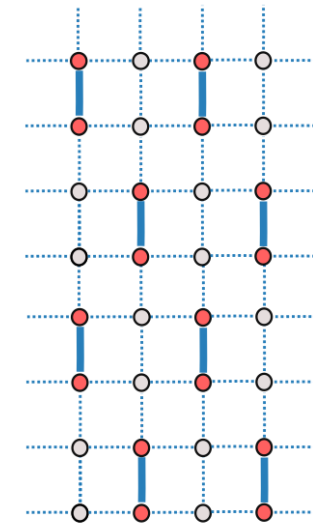
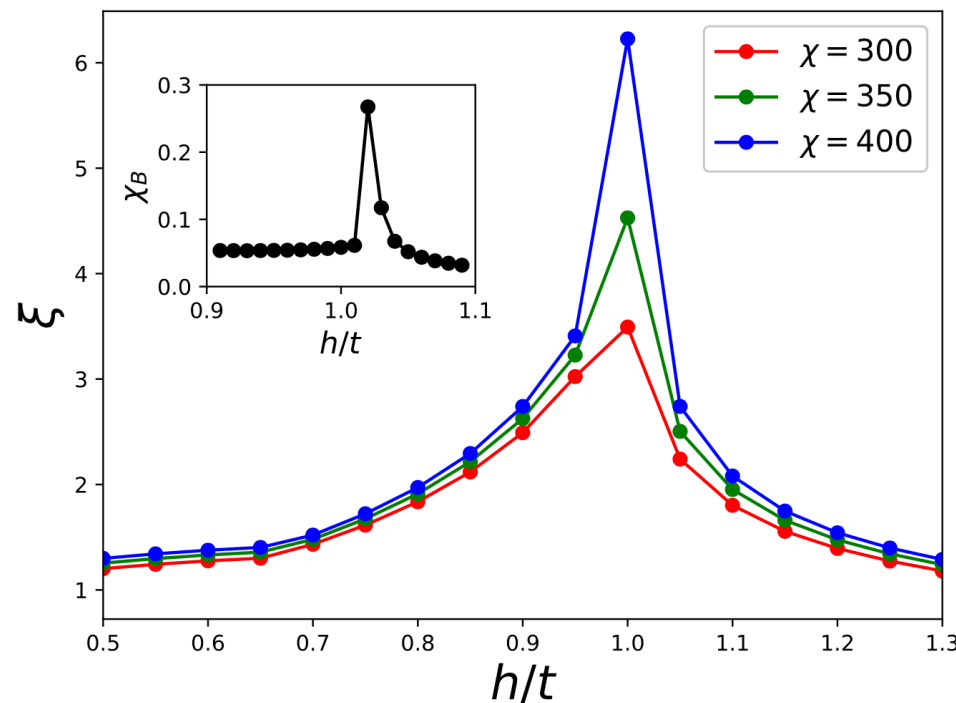
$$\Delta \propto \frac{t^2}{h}$$

# Dirac semimetal-Mott transition for $J=0$

Half filling



Dirac semimetal with deconfined  $Z_2$  gauge fields



Mott phase confined  $Z_2$  gauge fields

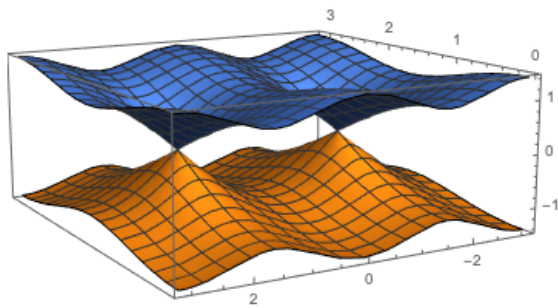
Two diagnostics: DMRG correlation length  $\xi$

magnetic flux susceptibility  $\chi_B = \partial \overline{\langle P_{\mathbf{r}^*} \rangle} / \partial h$

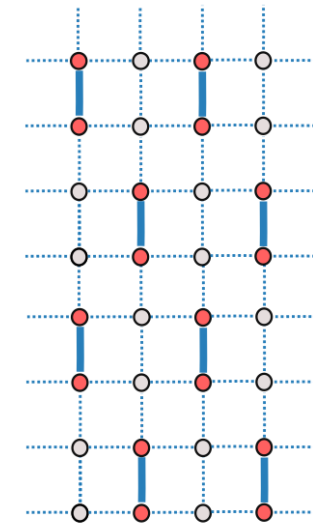
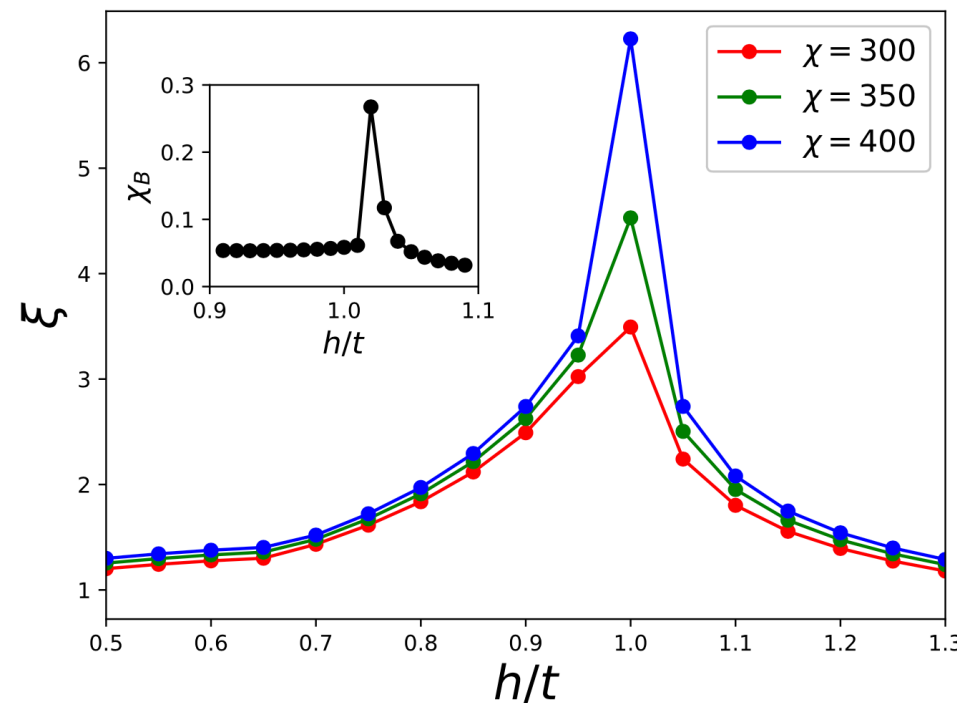


# Dirac semimetal-Mott transition for $J=0$

Half filling



Dirac semimetal with deconfined  $Z_2$  gauge fields



Mott phase confined  $Z_2$  gauge fields

Does confinement transition coincide with translation SSB?

Second order phase transition?

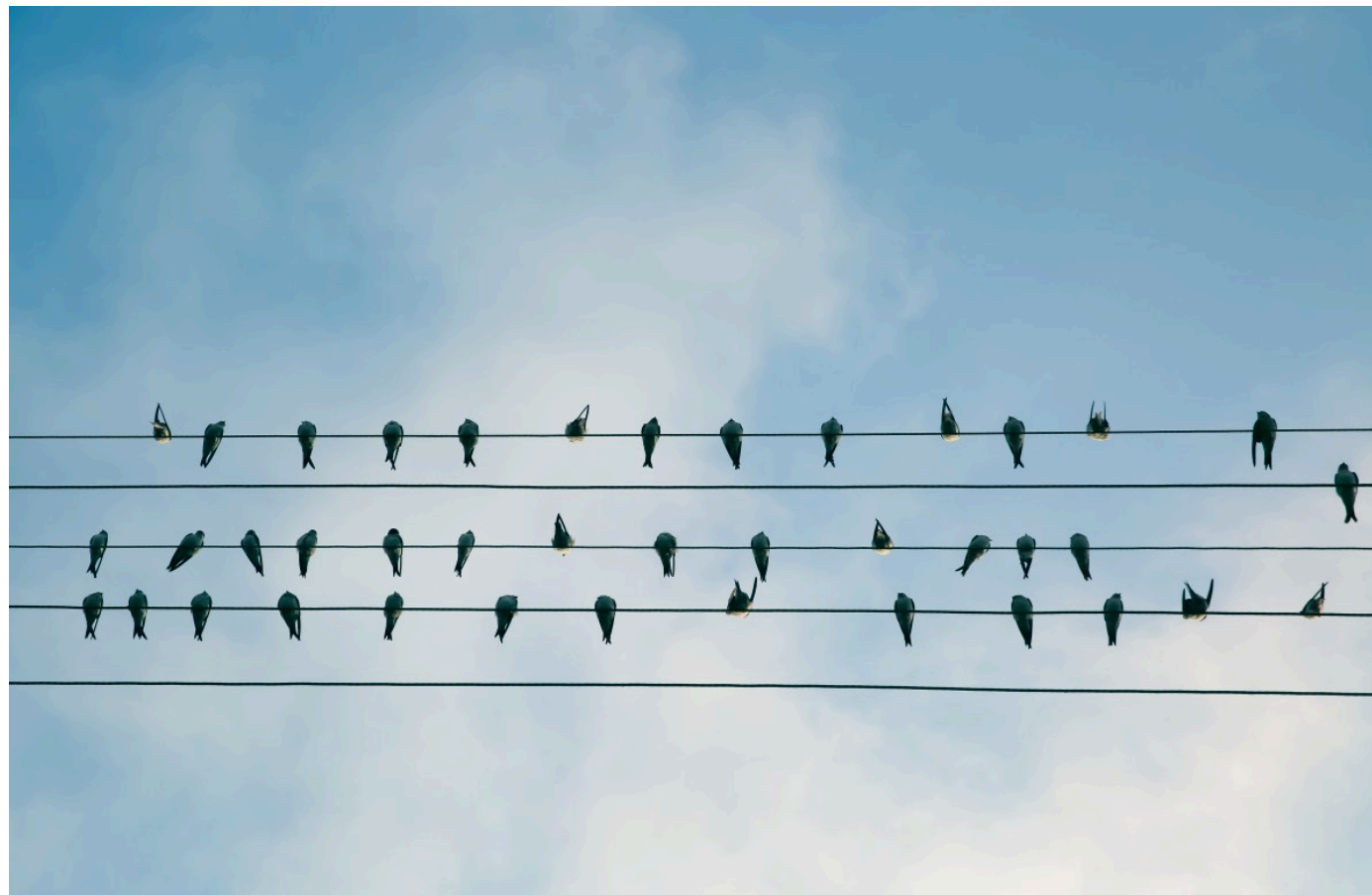
# Outlook

- Fate of  $U(1)$  global symmetry,  $p+ip$  superfluidity?
- Edge physics: role of fermion parity at the edge
- Quantum thermalization, quantum scars?
- Search for different ways to simulate this problem:  
QMC, iPEPS, digital simulations, ...

**Extra slides**

# Gauge principle

Description of Nature does not depend how we calibrate our measurement equipment



# Gauging: from symmetry to redundancy

Particle in 1d periodic potential:

$$\mathcal{H} = \{|x\rangle\}$$

$$H = \frac{1}{2m}p^2 + V \cos(2\pi x/L)$$

Global translation symmetry:

$$T_L^\dagger H T_L = H, \quad T_L |x\rangle = |x + L\rangle$$

  
orthogonal states

# Gauging: from symmetry to redundancy

Gauging translations: particle on a circle

$$\mathcal{H} = \{|\psi\rangle, T_L|\psi\rangle = |\psi\rangle\}$$

$$H = \frac{1}{2m}p^2 + V \cos(2\pi x/L)$$

- New Hilbert space is gauge-invariant
- Gauge transforms are do-nothing transformations
- Global symmetry is lost

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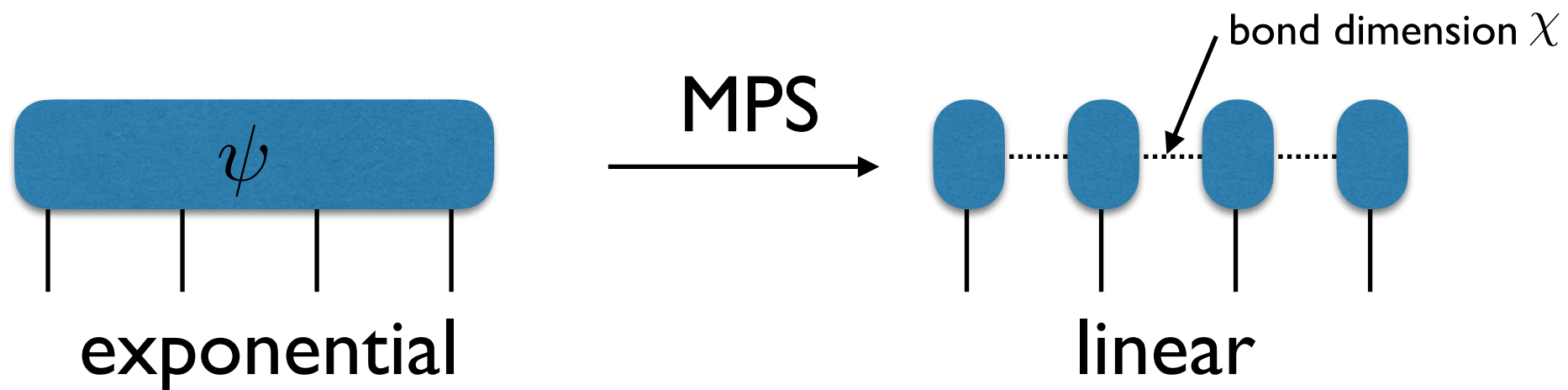
$$H = \frac{1}{2m}p^2 + V \cos(2\pi x/L)$$

- New Hilbert space is gauge-invariant
- Gauge transforms are do-nothing transformations
- Global symmetry is lost

*For some reason Nature likes the gauge principle*

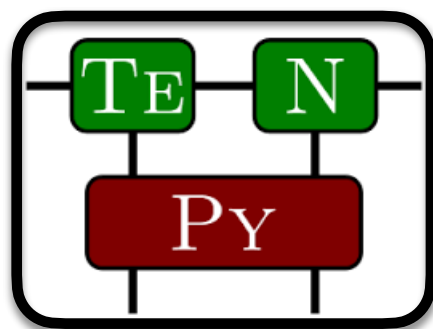
# MPS based DMRG

Our problem: two-dimensional local Hilbert space



Ideal for gapped 1d, but also useful beyond that

- guess for wave-function in MPS form
- quantum Hamiltonian



- GS in MPS form
- Schmidt decomposition
- Entanglement entropy and spectrum
- ...

Hauschild&Pollmann  
2018



# Deconfined Dirac semimetal

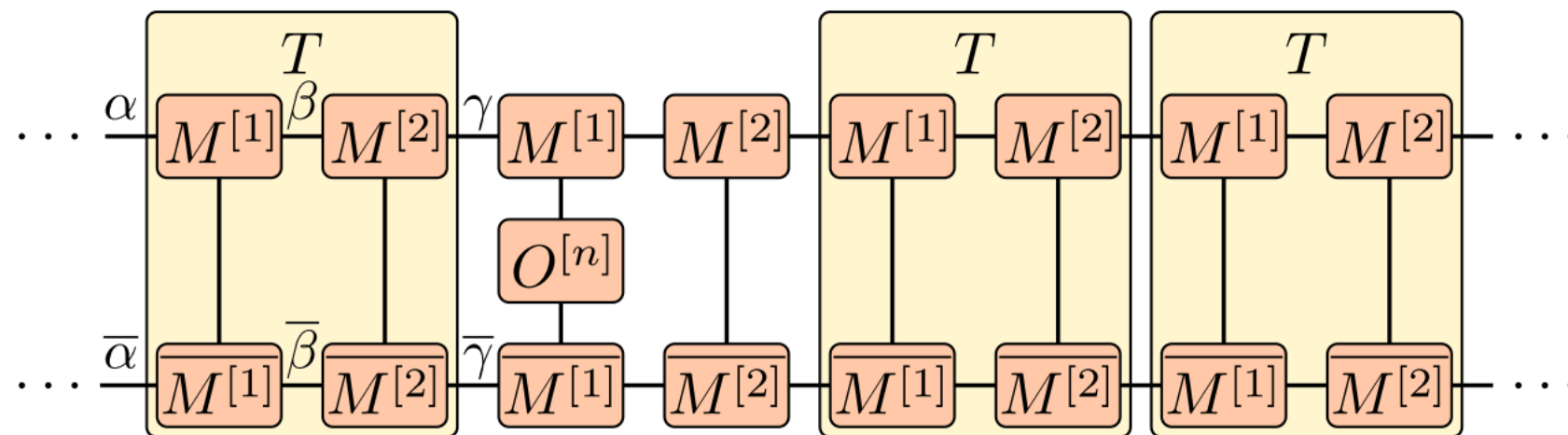
Entanglement entropy at  $J=h=0$

$L_y$	$\chi$	$S_f + S_{\mathbb{Z}_2}$	$S$	Rel. Error
2	400	1.03972	1,03972	0.00
4	1000	3.04080	3.03225	$\approx 0.28\%$
6	2000	5.05664	4.93008	$\approx 2.5\%$

# MPS correlation length

Example for length two unit cell

Hauschild&Pollmann  
2018



Transfer matrix:

$$T_{\alpha\bar{\alpha},\gamma\bar{\gamma}} = \sum_{j_1, j_2, \beta, \bar{\beta}} M_{\alpha\beta}^{[1]j_1} \overline{M_{\bar{\alpha}\bar{\beta}}^{[1]j_1}} M_{\beta\gamma}^{[2]j_2} \overline{M_{\bar{\beta}\bar{\gamma}}^{[2]j_2}}$$

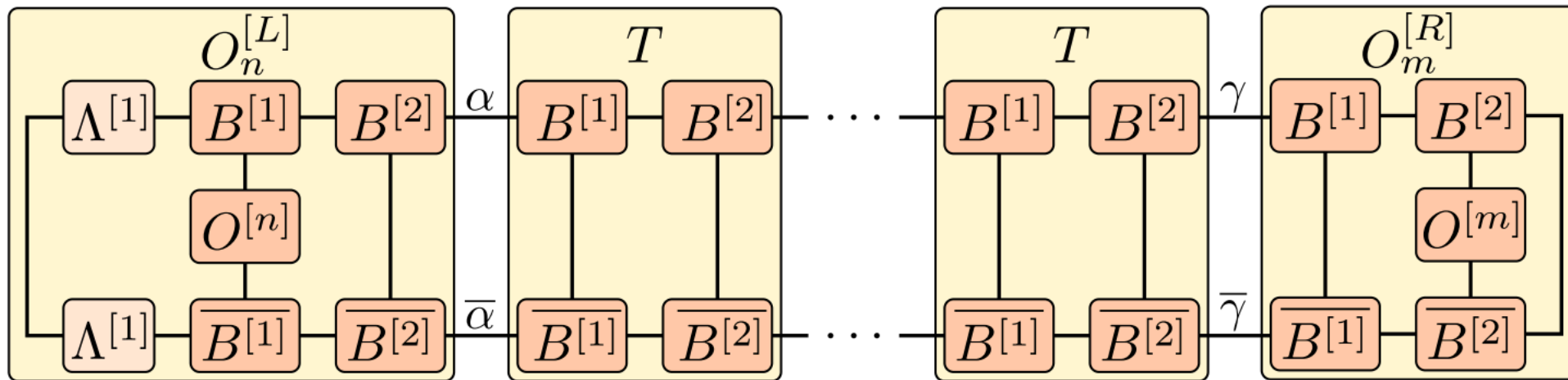
Normalize T such that its largest eigenvalue is unity.

subleading eigenvalues:  $\eta_2, \eta_3, \dots$

# MPS correlation length

## Two-point correlation function

Hauschild&Pollmann  
2018



$$\langle \psi | O_n O_m | \psi \rangle = \langle \psi | O_n | \psi \rangle \langle \psi | O_m | \psi \rangle + (\eta_2)^N C_2 + (\eta_3)^N C_3 + \dots$$

$$C_i = (O_n^{[L]} \eta_i^{[R]})(\eta_i^{[L]} O_n^{[R]})$$

Second largest eigenvalue  
determines the MPS  
correlation length

$$\xi = -\frac{L}{\log |\eta_2|}$$