

Kekulé spirals in twisted bilayer graphene

arxiv: 2105.05857

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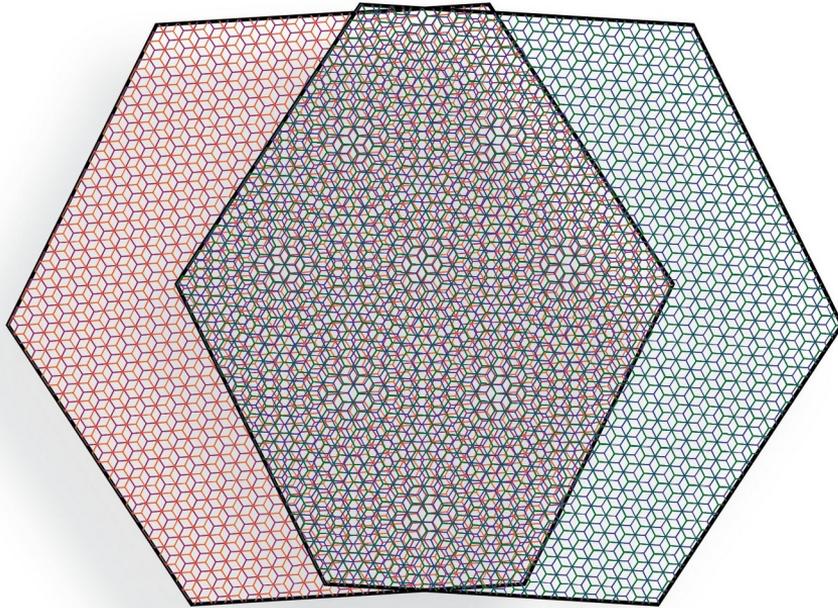


Content

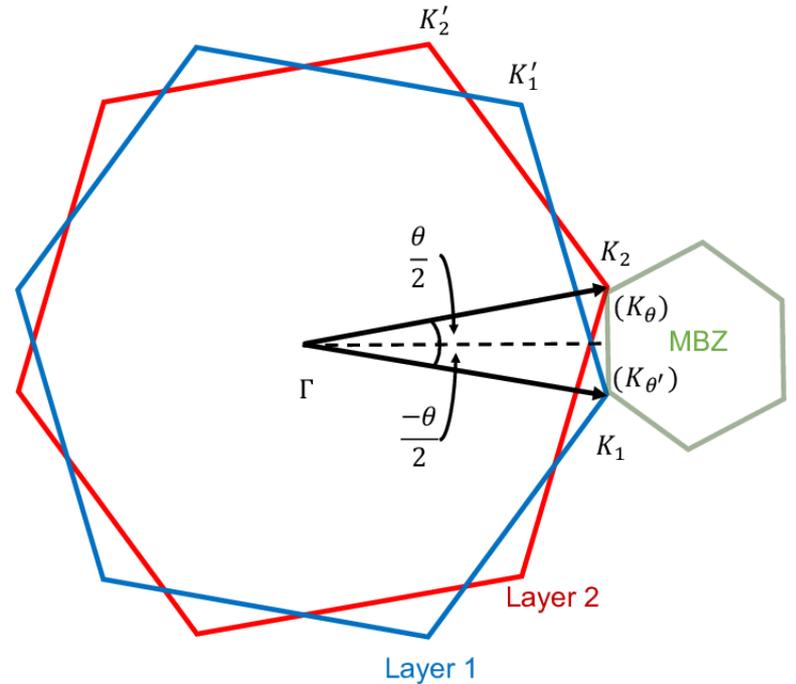
- Continuum model
- Strong coupling phases
- Incommensurate Kekulé spiral
- Experimental features

Continuum model

Moiré pattern



Graphene unit cell expands to moiré unit cell



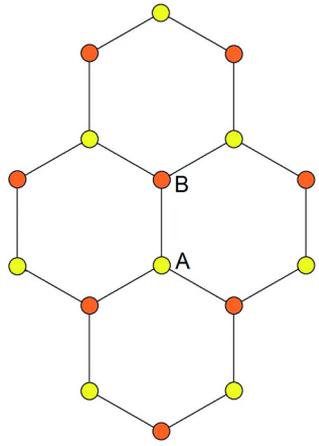
Graphene BZ shrinks to moiré BZ

Continuum model

- Rotate Dirac cones $\langle \mathbf{k}, 1 | H | \mathbf{k}', 1 \rangle = \hbar v_0 \boldsymbol{\sigma}_{\theta/2}^* \cdot (\mathbf{k} - \mathbf{K}^1) \delta_{\mathbf{k}, \mathbf{k}'}$
 $\langle \mathbf{k}, 2 | H | \mathbf{k}', 2 \rangle = \hbar v_0 \boldsymbol{\sigma}_{-\theta/2}^* \cdot (\mathbf{k} - \mathbf{K}^2) \delta_{\mathbf{k}, \mathbf{k}'}$

- Interlayer tunneling

$$\langle \mathbf{k}, 1 | H | \mathbf{k}', 2 \rangle = T_1 \delta_{\mathbf{k} - \mathbf{k}', \mathbf{0}} + T_2 \delta_{\mathbf{k} - \mathbf{k}', \mathbf{b}_1^M} + T_3 \delta_{\mathbf{k} - \mathbf{k}', \mathbf{b}_2^M}$$

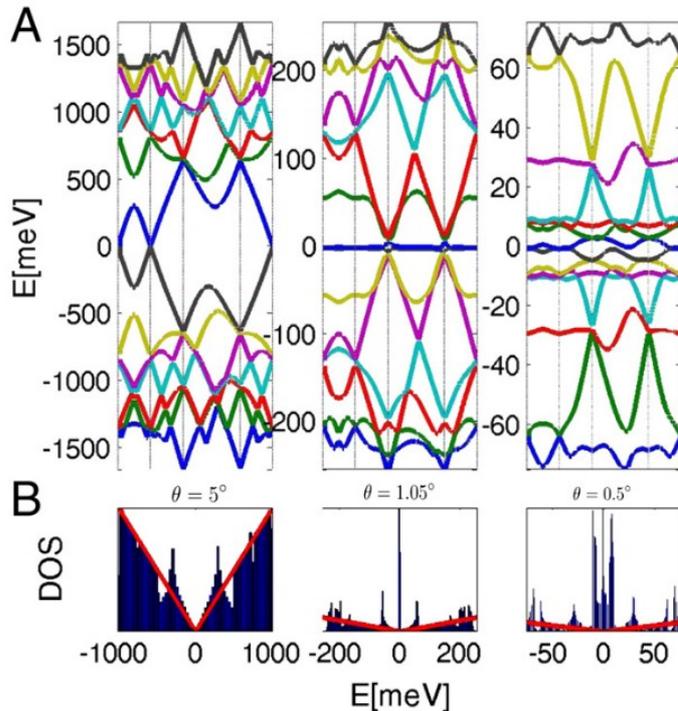


$$T_1 = \begin{pmatrix} w_{AA} & w_{AB} \\ w_{AB} & w_{AA} \end{pmatrix}$$

$$w_{AA} = 0 \text{ "chiral limit"}$$

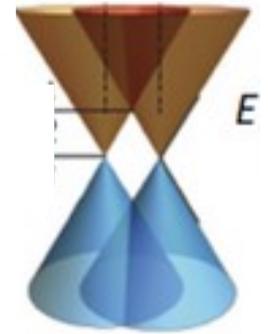
The magic angle

- Flat bands near magic angle of $\sim 1^\circ$



$$v_F \frac{\theta}{a} \sim w_{AB}$$

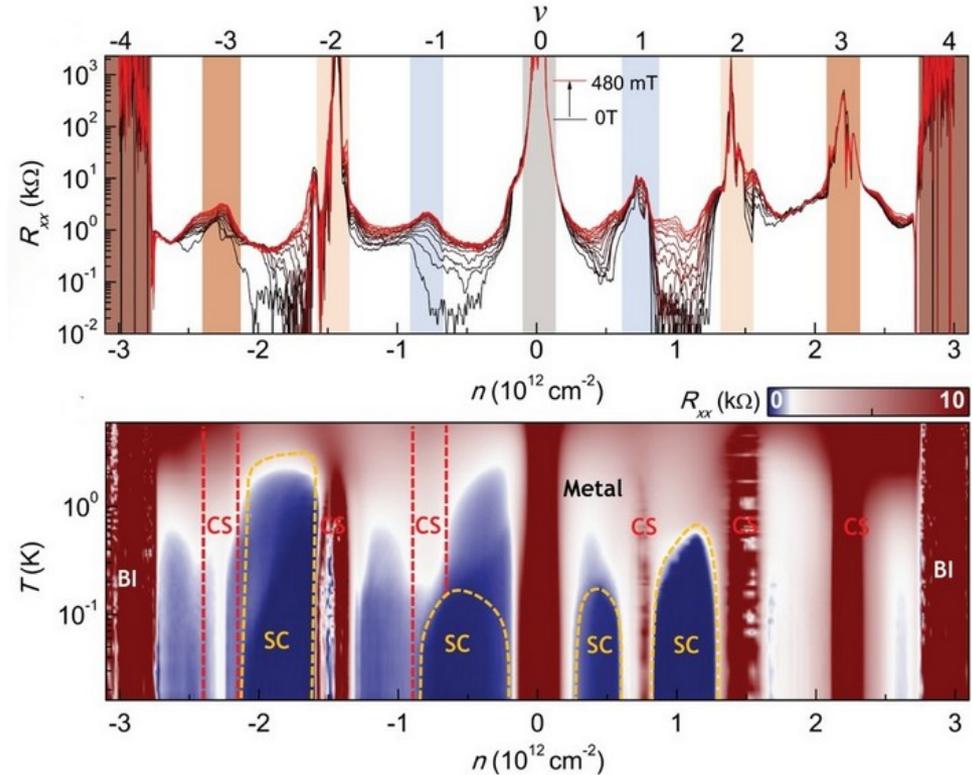
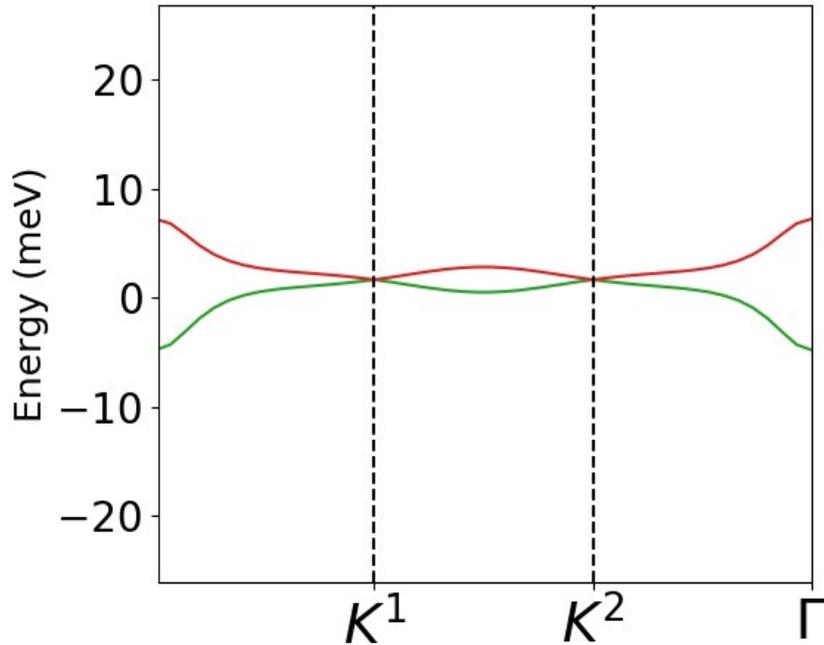
$$\theta \sim \frac{w_{AB}}{v_F/a} \sim 1^\circ$$



Bistrizer, MacDonald, **PNAS** (2011)

Central bands

$\nu = \text{electrons/moiré unit cell}$



Lu, Stepanov, ..., Efetov, **Nature** (2019)

Interacting model

$$\mathcal{H}_{\text{eff}} = \sum_{k \in \text{BZ}} c_k^\dagger h(\mathbf{k}) c_k + \frac{1}{2A} \sum_q V_q \rho_q \rho_{-q},$$

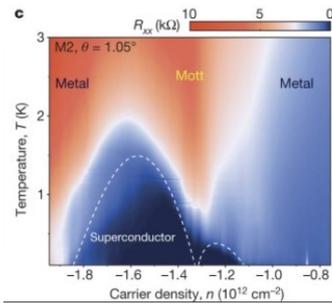
$$\rho_q = \sum_{k \in \text{BZ}} c_k^\dagger \Lambda_q(\mathbf{k}) c_{k+q}, \quad [\Lambda_q(\mathbf{k})]_{\alpha,\beta} = \langle u_{\alpha,k} | u_{\beta,k+q} \rangle,$$

$$V_q = \frac{e^2}{2\epsilon_0 \epsilon_r q} \tanh(qd)$$

Strong coupling phases

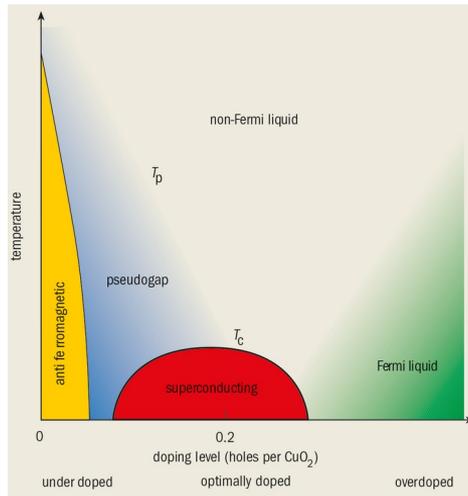
Two paradigms of strong correlations: Hubbard model

Superconducting Domes in TBG

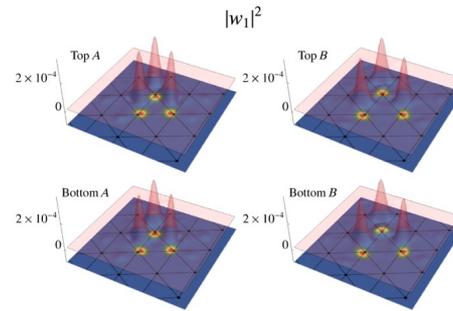


Cao, ..., Jarillo-Herrero
Nature (2018)

cf. cuprates phase diagram



Wannier states



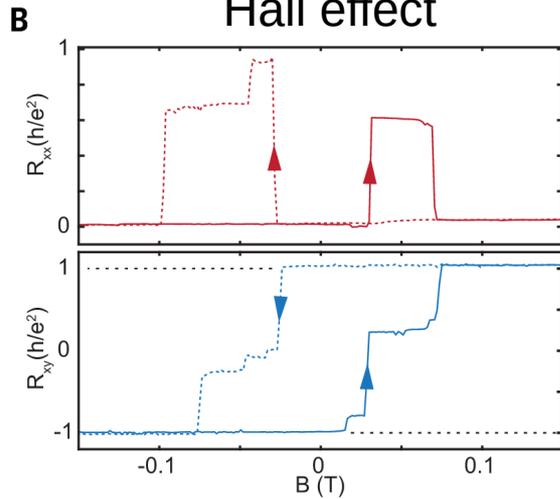
Kang, Vafeek, **PRX (2018)**

Doping + further range interactions lead to translational symmetry breaking:

- Charge-density waves
- Spin-density waves
- Pair-density waves

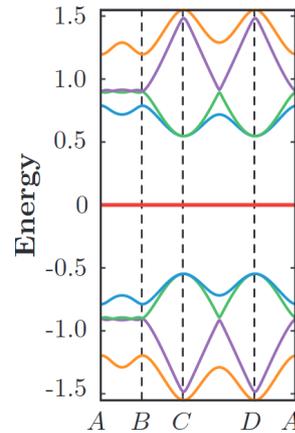
Two paradigms of strong correlations: Quantum Hall effect

Quantized anomalous Hall effect



Serlin, Tschirhart..., Young
Science (2020)

Flat Chern bands



Tarnopolsky, Kruchkov,
Vishwanath,
PRL (2019)

Hartree potential in high Landau levels leads to translational symmetry breaking:

- Striped phases
- Bubble phases

Quantum Hall systems are in extreme limit of Stoner criterion: Quantum Hall ferromagnetism (QHFM)

$$|\Psi_{QHFM}\rangle = \prod_k \left(\hat{c}_{k\uparrow}^\dagger + e^{i\varphi} \hat{c}_{k\downarrow}^\dagger \right) |0\rangle$$

Idealized limit

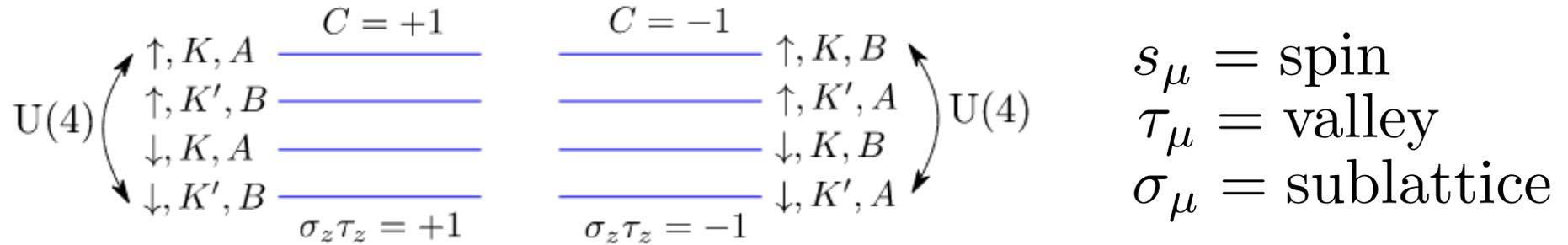
- Full model has

$$U(2)_K \times U(2)_{K'} \simeq U_C(1) \times U_V(1) \times SU(2)_K \times SU(2)_{K'}$$

- Neglect single-particle dispersion \rightarrow ph symmetry
- Neglect incomplete sublattice polarization \rightarrow chiral symmetry
- Enhanced $U(4) \times U(4)$ symmetry

Bultinck, Khalaf,...,Zalatel, **PRX** (2020)

Idealized limit



- Two copies of the zeroth Landau level of graphene
- Quantum Hall ferromagnetism

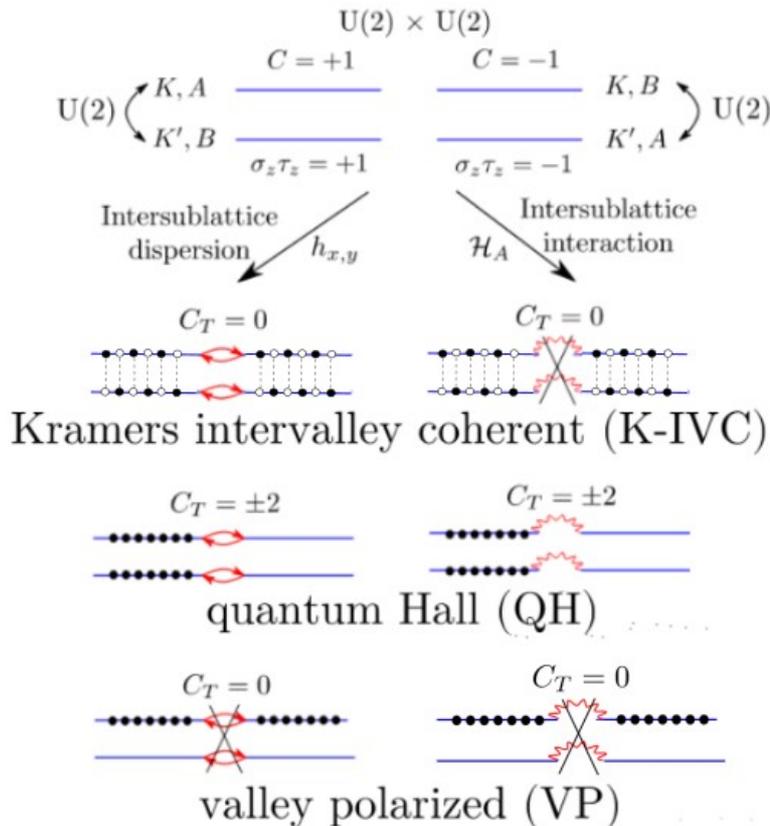
Hierarchy of energy scales

$$\mathcal{H}_{\text{eff}} = \sum_k c_k^\dagger \tilde{h}(\mathbf{k}) c_k + \frac{1}{2A} \sum_q V_q \delta\rho_q \delta\rho_{-q}$$

Term	Symmetry	Energy scale
U_S	$U(4) \times U(4)$	15-25 meV
t_S	$U(4)_R$	4-6 meV
U_A	$U(4)_{PT}$	4-6 meV
t_A	$U(2)_K \times U(2)_{K'}$	0.5-1 meV

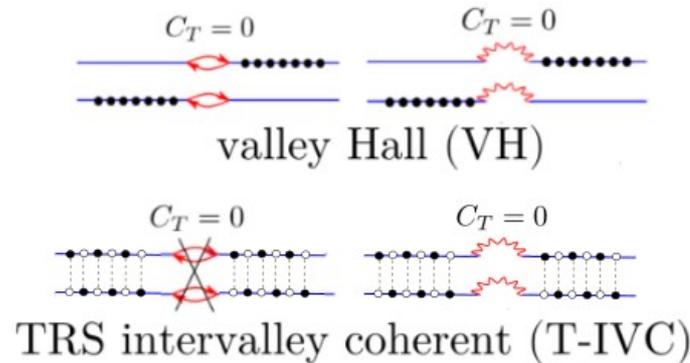
Intrasublattice interaction
 Intersublattice hopping
 Intersublattice interaction
 Intrasublattice hopping

Strong coupling orders



Order	Q	Energy
\mathcal{T} -IVC	$\sigma_x \tau_+ e^{i\phi} + \text{h.c.}$	λ
QH	$\sigma_z \tau_z$	$\lambda - J$
VH	σ_z	$\lambda - J$
VP	τ_z	0
K-IVC	$\sigma_y \tau_+ e^{i\phi} + \text{h.c.}$	$-J$

$$J \sim \frac{t_S^2}{U_S}, \quad \lambda \sim \frac{U_A^2}{U_S}$$

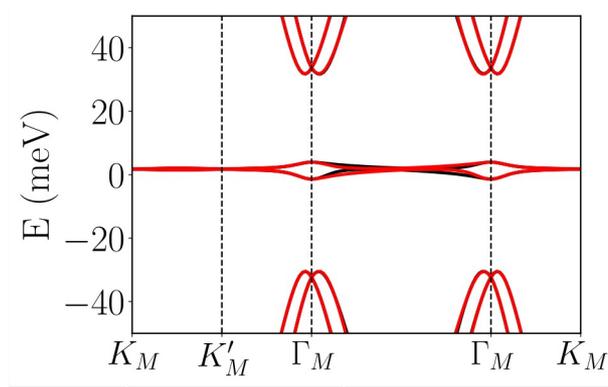


$$\mathcal{T} = \tau_x \mathcal{K}$$

$$\mathcal{T}' = \tau_y \mathcal{K}$$

Incommensurate Kekulé spiral

Realistic perturbations

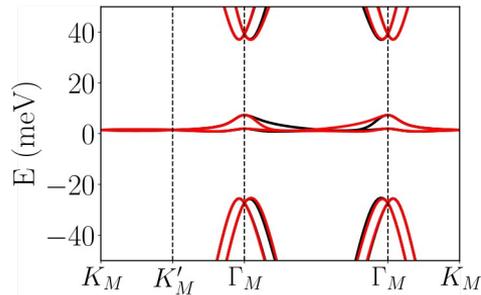


Continuum model
("standard model" of
twisted bilayer graphene)

Bistritzer, MacDonald, **PNAS** (2011)

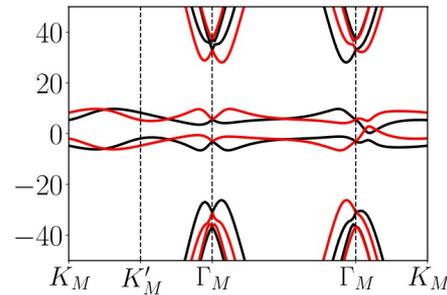
non-local tunneling

~~PHS~~



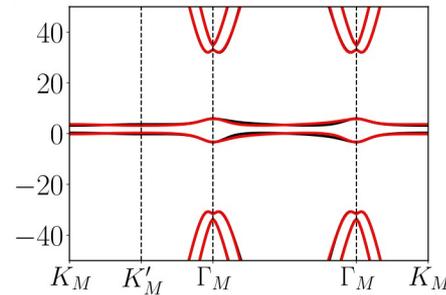
strain

~~\hat{C}_{3z}~~ ~~\hat{M}~~



substrate

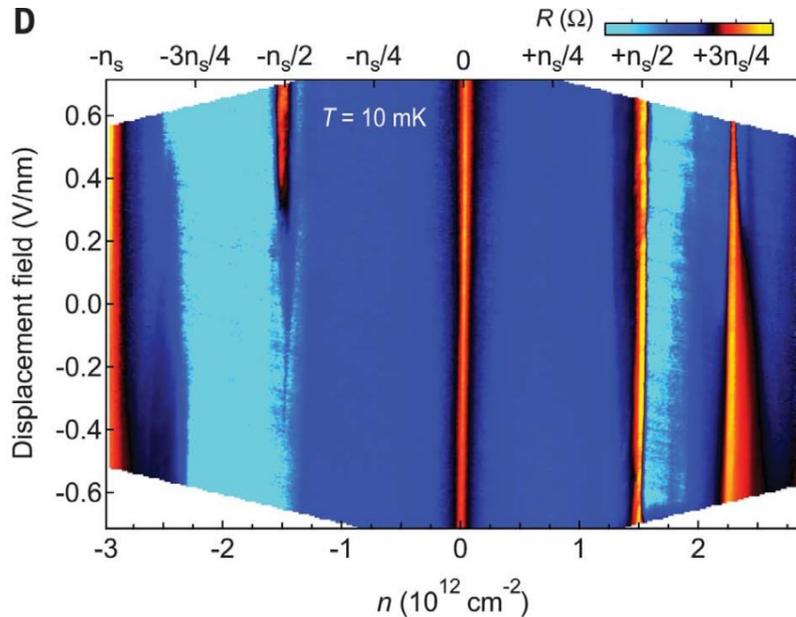
~~\hat{C}_{2z}~~



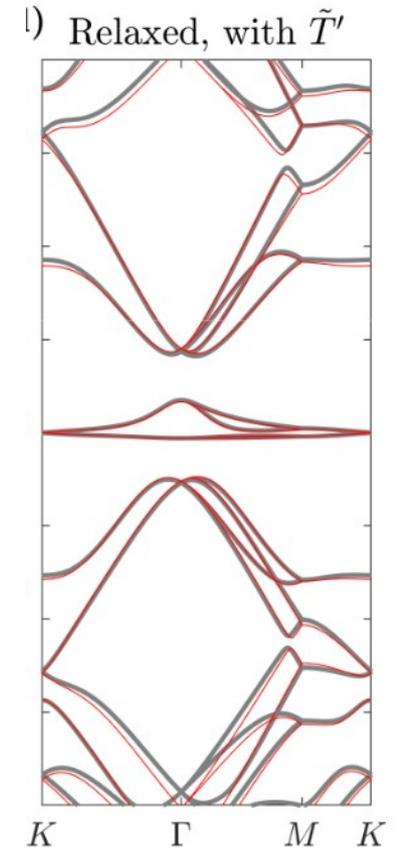
+Coulomb
(Hartree-Fock)

Importance of ph breaking

- BM model almost ph symmetric
- ph broken by non-local tunneling



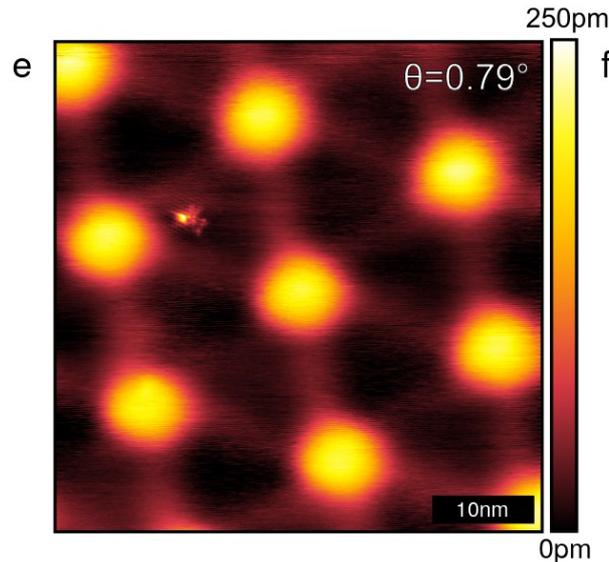
Yankowitz, ..., Dean,
Science (2019)



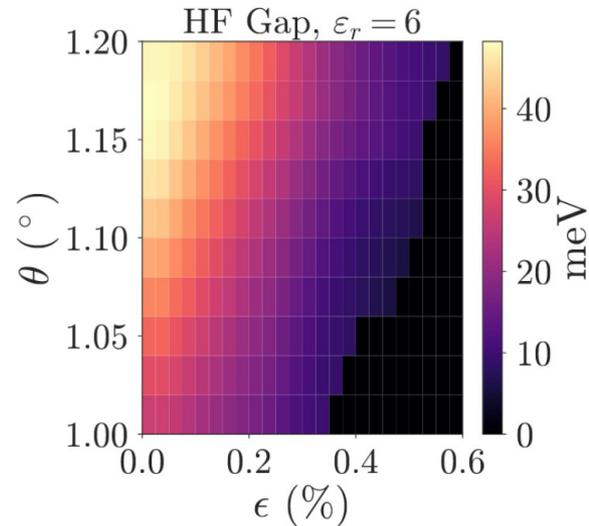
Fang, ..., Kaxiras, **arxiv** (2019)

Importance of strain

- STM observes strain in many samples, 0.1-0.7%
- Strain explains presence of semimetal at $\nu = 0$



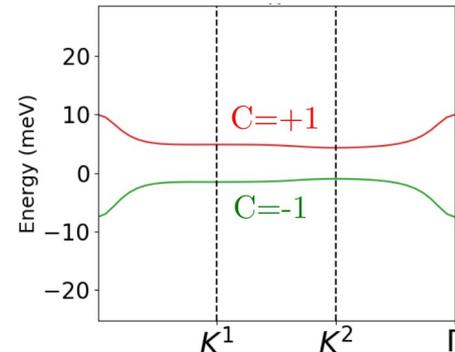
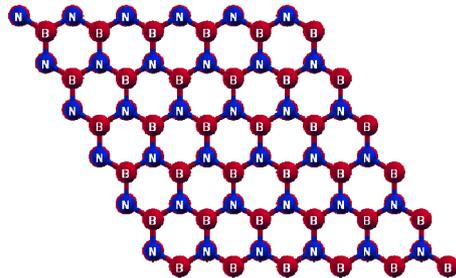
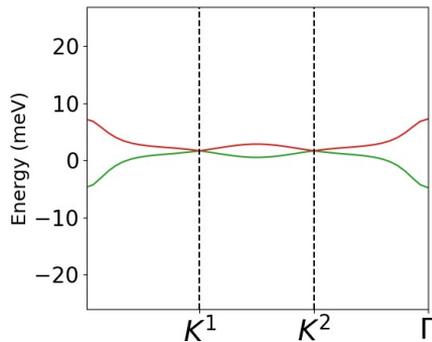
Kerelsky, ...,
Pasupathy,
Nature (2019)



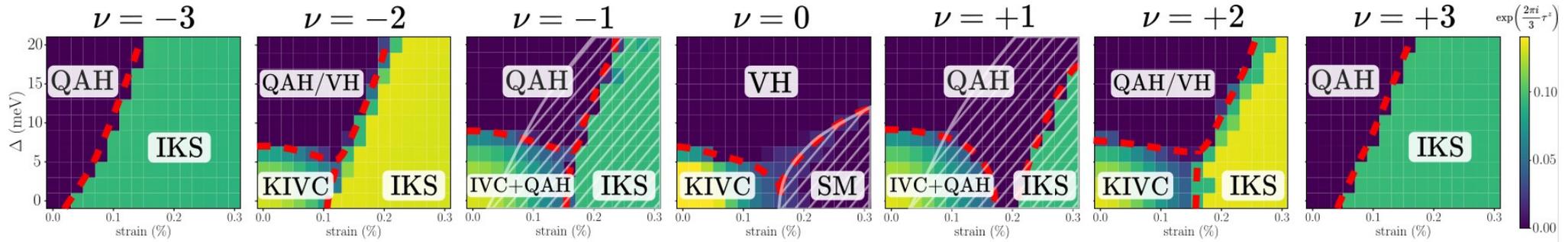
Parker, ...,
Bultinck, **PRL**
(2021)

Importance of substrate

- hBN substrate acts as a sublattice potential
- Breaks C_2 and gaps out Dirac points
- Experiments see QAH at $\nu = +3$ in cases where hBN is aligned with graphene



Phase diagram

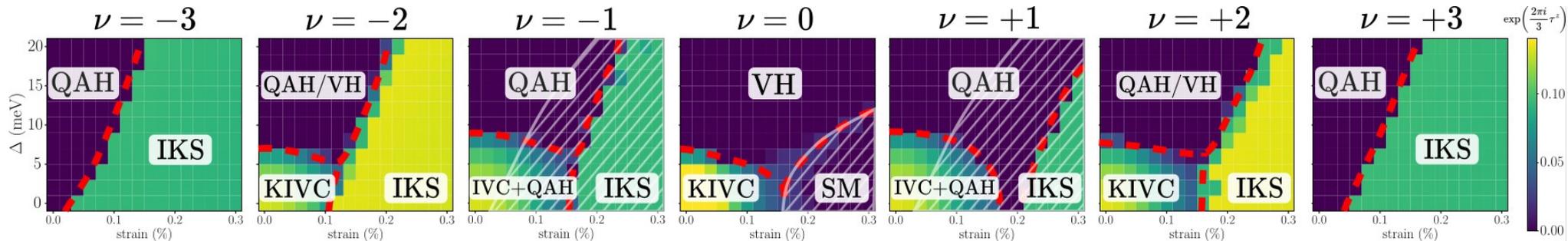


IKS: incommensurate Kekulé spiral
QAH: quantized anomalous Hall state
KIVC: Kramers intervalley coherent state
VH: valley Hall state
IVC: intervalley coherence
SM: semi-metal

- Self-consistent HF with Coulomb interactions
- Allowing for completely general translational symmetry breaking

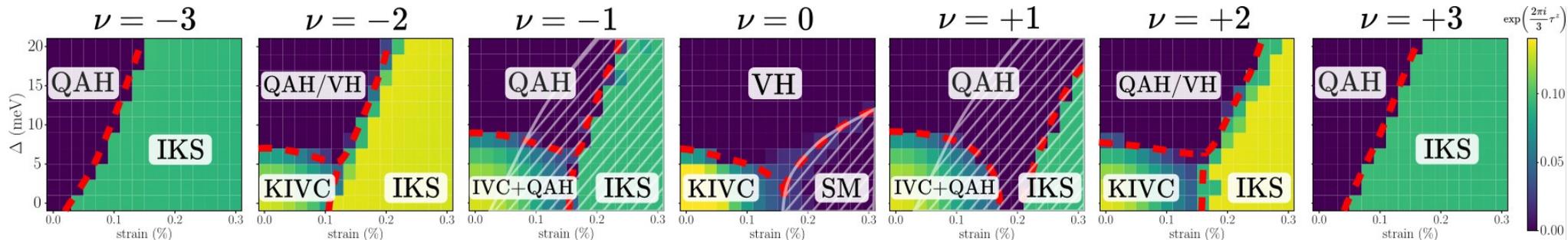
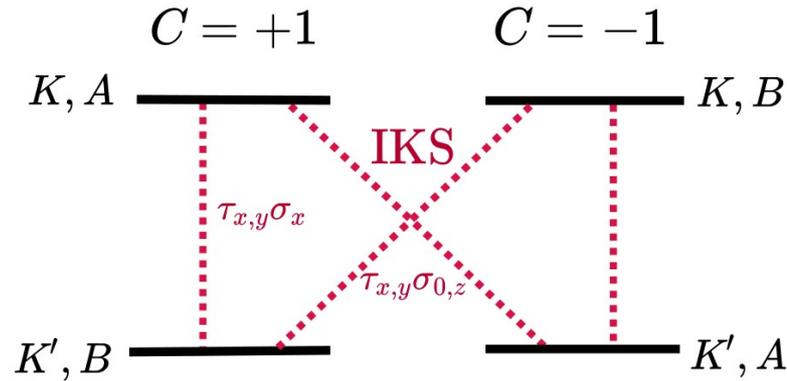
SM (semi-metal)

- No spontaneous symmetry breaking

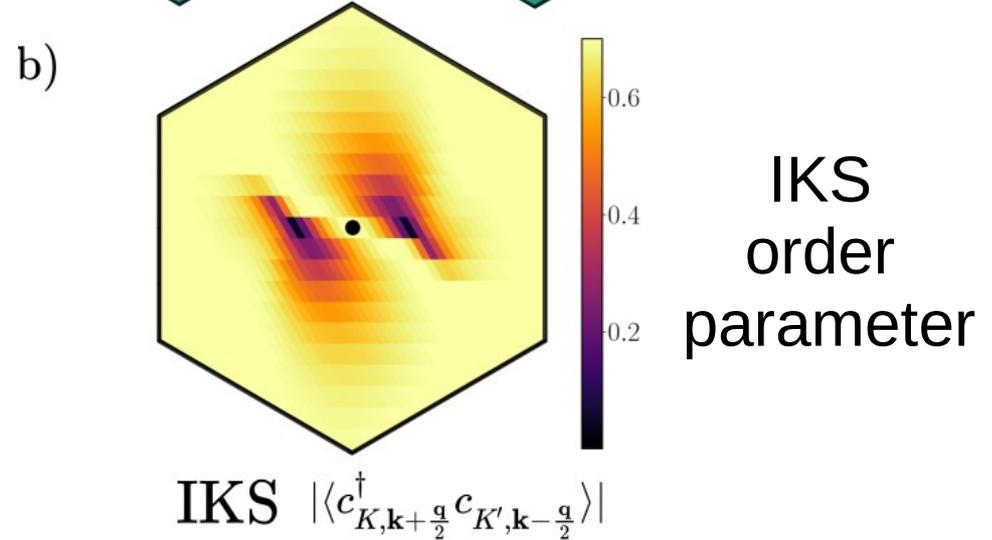
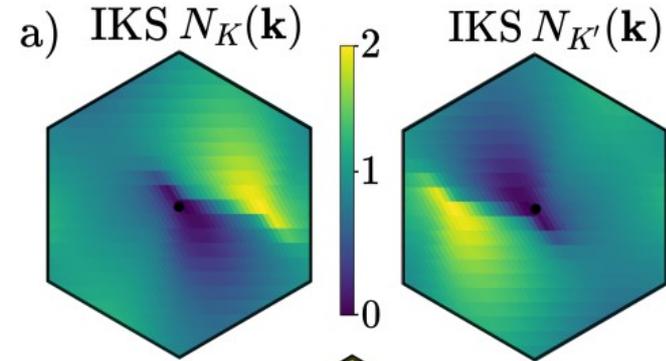
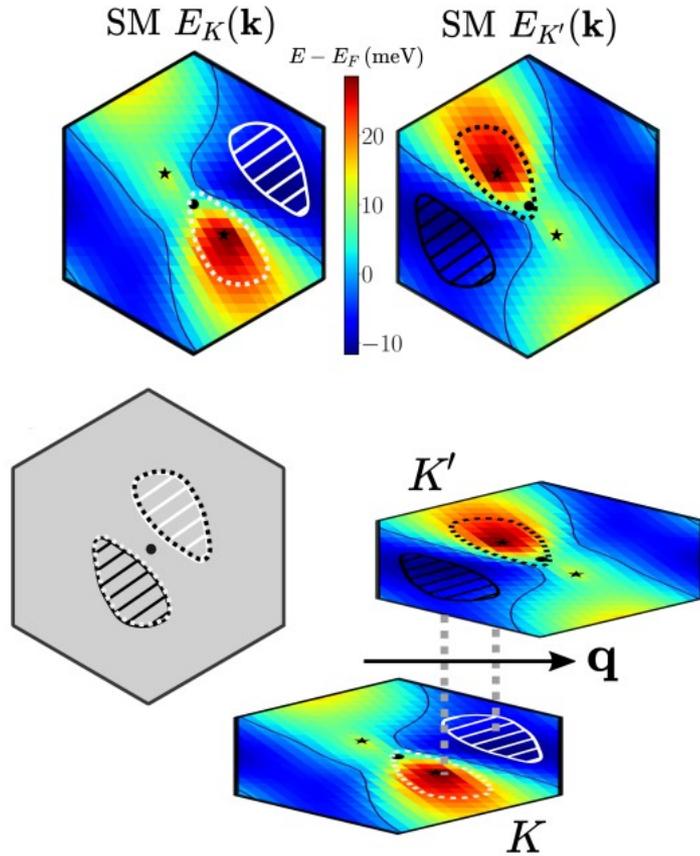


IKS (incommensurate Kekulé spiral)

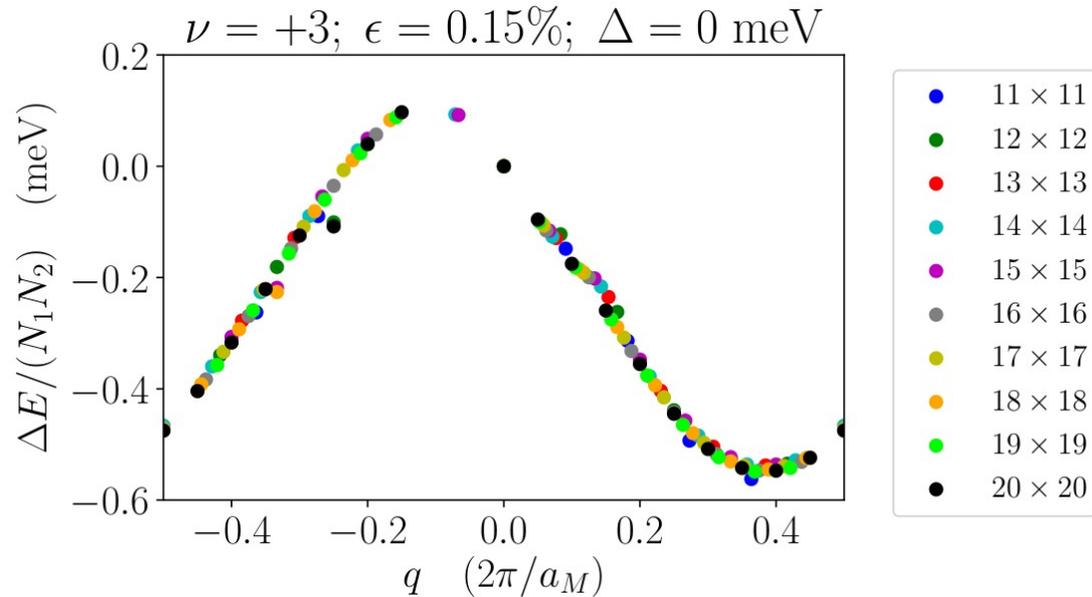
- Intervalley coherence at a finite wavevector



Physical mechanism



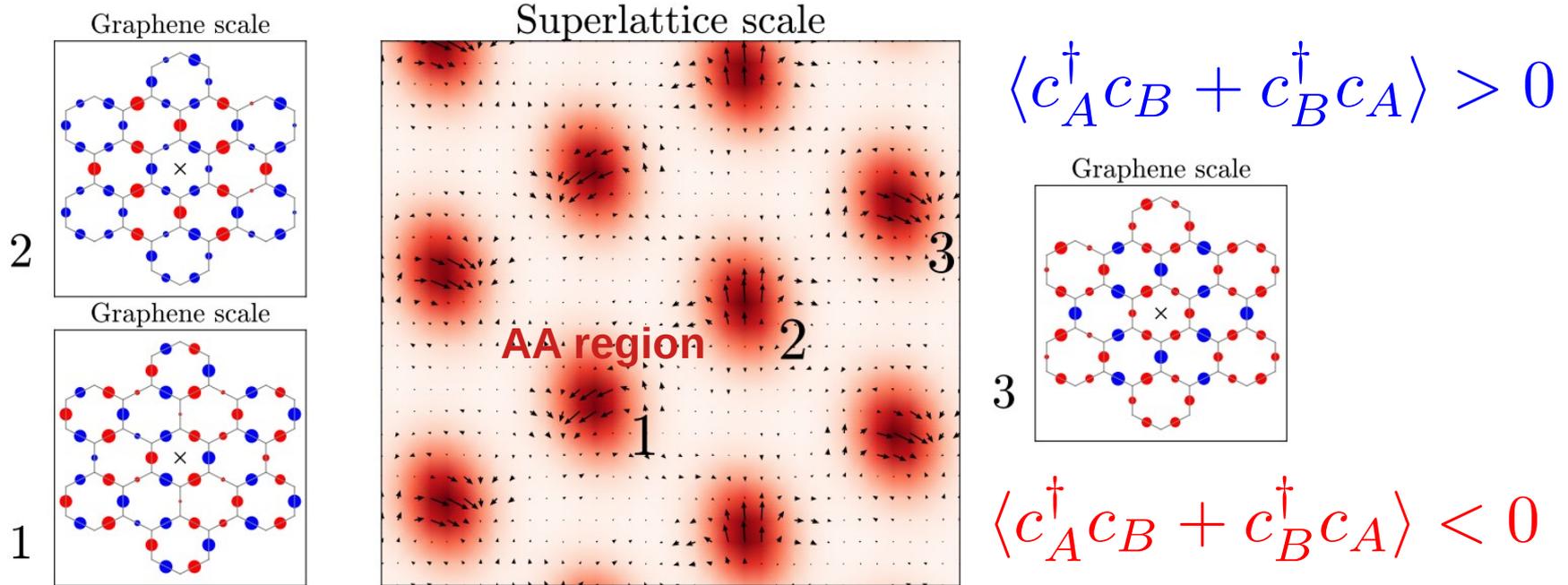
Dispersion relation



$$T_{\text{BKT}} \sim 7\text{K}$$

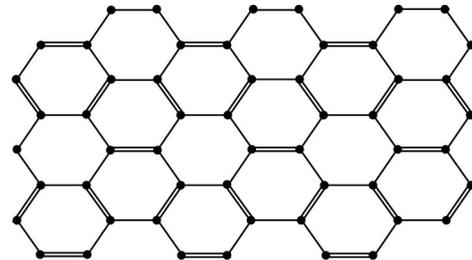
Incommensurate!

Real space picture



KIVC=Kekulé distortion (tripling of graphene unit cell)

IKS=spatially modulating Kekulé distortion



Kekulé pattern!

Properties

- Satisfies generalized translation symmetry

$$\hat{T}'_{\mathbf{a}_i} \equiv \hat{T}_{\mathbf{a}_i} e^{i\mathbf{a}_i \cdot \mathbf{q} \tau_z / 2}$$

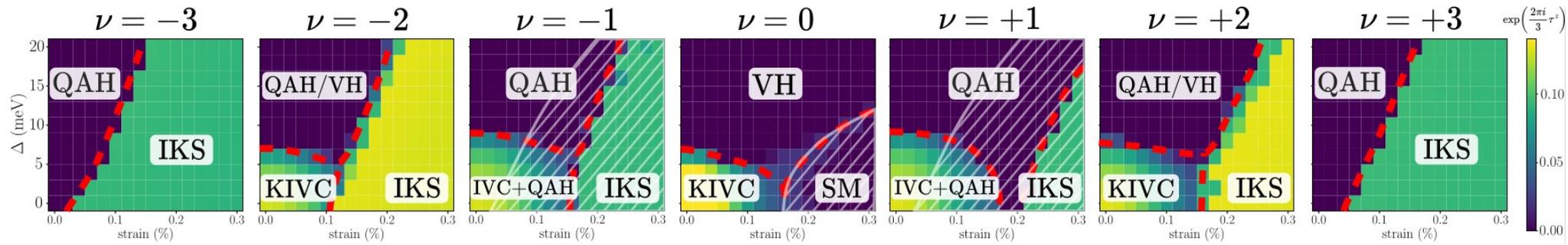
- Generalized Bloch theorem $\psi_{\tilde{\mathbf{k}}}(\mathbf{r}) = e^{i\mathbf{r} \cdot (\tilde{\mathbf{k}} - \tau_z \mathbf{q} / 2)} u_{\tilde{\mathbf{k}}}(\mathbf{r})$
- Generalized LSM theorem:

IKS state only gapped at integer ν unless there is additional topological or symmetry breaking order

Experimental features

Experiments

- Semimetal at $\nu = 0$
- Metallic at $\nu = \pm 1$
- Spin-unpolarized insulators at $\nu = \pm 2$
- C=0 insulators at $\nu = +3$
- Nematic superconductivity between $\nu = -3, -2$



Direct Experimental observations?

- First-order strain induced transition from KIVC to IKS at $\nu = \pm 2$ visible in spin moment
- Detect Kekulé pattern using STM
- Interplay between IKS eccentricity and charge order

Summary: Incommensurate Kekulé spiral

- Candidate for insulators seen in TBG
- Stabilized by strain
- Pseudospin spiral at incommensurate wavevector
- Real space: Kekulé distortion

