

The Frustration of being Odd



Fabio Franchini

Rudjer Boskovic Institute, Zagreb, Croatia

with: Salvatore Marco Giampaolo Rudjer Boskovic Institute

> Vanja Marić SISSA/Rudjer Boskovic Institute

Gianpaolo Torre QuantiXLie – Center of Excellence

Alberto Catalano UniStra/Rudjer Boskovic Institute

and more...

Based on:

- J. Phys. Commun. 3, 081001 (2019);
- New J. Phys. 22 083024 (2020);
- Nature's Comm. Phys. 3, 220 (2020);
- J. Phys. A 54 025201 (2020);
- Sci Rep 11, 6508 (2021);
- Phys. Rev. B 103, 014429 (2021);
- arXiv:2101.07276;
- arXiv:2101.08807;
- arXiv:2105.06483; & work in progress

Support: Croatian Science Fund Projects No. IP-2016-6-3347 & IP-2019-04-3321; European Regional Development Fund - the Competitiveness and Cohesion Operational Programme (KK.01.1.1.06 & KK.01.1.1.01.0004)



What's on the menu today...

• We revisit an old problem for spin chains:

the effect of periodic b.c. with an ODD number of sites

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• We revisit an old problem for spin chains:

the effect of periodic b.c. with an ODD number of sites

- We call them: Frustrated Boundary Conditions (FBC)
- Why such interest?
- From one side: b.c. can only matter in finite systems

(are we sure?)

From another side: FBC are special

Geometrical Frustration

- Close loops generate frustration in classical systems:
 - Geometrical (topological) origin
 - > Toulouse Criterion: a classical systems is <u>frustrated</u> if there is a close loop for which $-1^{\mathcal{N}_{AFM}} = -1$



 \succ More loops \Rightarrow more frustration

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- > More loops \Rightarrow more frustration
- <u>Remark</u>: adding one site changes GS degeneracy from 2
 to 2N and vice versa (challenges perturbative picture)

Basics on Frustration

• Frustration:

competing interactions favoring different orders

 \Rightarrow <u>impossible</u> to minimize all energy contributions

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Frustration:

competing interactions favoring different orders

- \Rightarrow <u>impossible</u> to minimize all energy contributions
- Remark: all genuine quantum phases are frustrated (non-commuting terms promote diff. arrangements)
- E.g. Ising Chain: $H_{\text{Ising}} = \sum_{l=1}^{\infty} \left(\sigma_l^x \sigma_{l+1}^x h \sigma_l^z \right)$

 $\left[\sigma_{l}^{x}\sigma_{l+1}^{x},\sigma_{l}^{z}\right] \neq 0$: ground state as a trade-off

 However, typically we mean a conflict between local and global constraints

Frustrated Systems

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- Certain degree of frustration is very common
- In any dimension, due to closed AFM loops
- Typically: extensive frustration (# loops scale with system size)
 - Ordered (ANNNI model, spin-ice...)
 - Disordered (Sherrington-Kirkpatrick model, spin glasses...)
- Peculiar physics: residual entropy, local zero-modes, algebraic decay, artificial EM, monopoles, Dirac strings...
- Hard problem

Frustrated Boundary Conditions

- We consider a simpler problem
- Loop (1D chain, pbc: $\sigma_{l+N}^{\alpha} = \sigma_{l}^{\alpha}$): non-extensive frustration

$$H = \frac{1}{2} \sum_{l=1}^{2M+1} \left[\left(\frac{1+\gamma}{2} \right) \sigma_l^x \sigma_{l+1}^x + \left(\frac{1-\gamma}{2} \right) \sigma_l^y \sigma_{l+1}^y + \frac{\Delta}{2} \sigma_l^z \sigma_{l+1}^z - h \sigma_l^z \right]$$

 Subtle interplay between geometrical frustration and quantum interactions









Perturbative picture $H = \frac{1}{2} \sum_{l=1}^{2M+1} \left(\sigma_l^x \sigma_{l+1}^x + \lambda h_l \right)$

At λ=0: 2N-degenerate GS (2 x Neel with 1 domain wall)
 (compare to 2-degenerate for N even, i.e not frustrated)

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- At λ =0: 2N-degenerate GS (2 x Neel with 1 domain wall) (compare to 2-degenerate for N even, i.e not frustrated)
- Turn on $\lambda \neq 0$: it opens a small gap proportional $\frac{\lambda}{\Lambda T^2}$
- Perturbative picture: low-energy eigenstates as a traveling domain wall with different momenta
 - $\Rightarrow \text{ quantum effects lift the massive degeneracy to a} \\ \text{ band of gapless states} \\ \text{ Laumann et al, PRL (2012)} \\ \end{cases}$

Frustrated Boundary Conditions

 Effects of FBC considered weak against local perturbations, however:

$$H = \frac{1}{2} \sum_{l=1}^{2M+1} \left(\sigma_l^x \sigma_{l+1}^x + \lambda \sigma_l^z \right) + \zeta \sigma_N^x \sigma_1^x$$



Campostrini et al, PRE (2015)

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- A ferro defect ($\zeta < 0$) localizes the domain wall
- An AFM defect stabilizes the traveling excitation
 - ⇒ perfect FBC are a phase transition between a magnet and a kink phase (exponential vs algebraic gap closing)

Campostrini et al, PRE (2015)

Weakly frustrated Chain

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with PBC: $\sigma_{l+N}^{lpha}=\sigma_{l}^{lpha}$. For |h|<1:

- N = 2M: No frustration \Rightarrow SSB of Z₂ symmetry
 - Finite gap to first band
 - > Exp. small gap for 2 GS \rightarrow Spontaneous magnetization
 - > Exponential decay of correlations

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- N = 2M: No frustration ⇒ SSB of Z₂ symmetry
 > Finite gap to first band
 > Exp. small gap for 2 GS → Spontaneous magnetization
 > Exponential decay of correlations
- N = 2M + 1: Weak frustration + Z₂ quantum symmetry ⇒
 > Gapless, but not relativistic (Galilean)
 > Algebraic gap → order parameter?
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- Detect difference in spectrum through a Quantum Quench $H_0 = \sum_{l=1}^{N} \left(\sigma_l^x \sigma_{l+1}^x + h \sigma_l^z \right) \longleftarrow H_1 = H_0 + \lambda \sigma_N^z$
- Loschmidt Echo: $\mathcal{L}(t) = |\langle g|e^{-\imath H_1 t}|g\rangle|^2, |g\rangle \text{ GS of } H_0$

G. Torre, V. Marić, D. Kuić, F. Franchini, S.M. Giampaolo, arXiv:2105.06483

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$$H_0 = \sum_{l=1} \left(\sigma_l^x \sigma_{l+1}^x + h \sigma_l^z \right) \longleftarrow H_1 = H_0 + \lambda \sigma_N^z$$

- Loschmidt Echo: $\mathcal{L}(t) = |\langle g|e^{-iH_1t}|g\rangle|^2, |g\rangle$ GS of H_0
- Perturbative calculation (domain wall basis):

$$\mathcal{L}(t) \simeq \mathcal{F}\left(\frac{2ht}{N^2}\right)$$
$$\mathcal{F}(x) = \lim_{M \to \infty} \left| \frac{1}{2M^2} \sum_{k=1}^M \tan^2 \left[\frac{(2k-1)\pi}{4M} \right] \times \right.$$
$$\times \left. \exp\left\{ -ix(2M+1)^2 \cos\left[\frac{(2k-1)\pi}{2M} \right] \right\} \right|^2$$

• LE continuous, but nowhere differentiable



Torre, Marić, Kuić, Franchini, Giampaolo, arXiv:2105.06483

Fabio Franchini



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- Staggered order not compatible with pbc and odd # sites



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- Alternatively: non perfect staggerization (& modulation)

$$\langle \sigma_j^x \rangle = \operatorname{Re}\left[e^{\pi \left(1 \pm \frac{1}{N}\right)j + \theta} \right] m_x$$

The Frustration of being Odd

Order parameter from "connected component":

$$C^{xx}(R) \equiv \langle \sigma_l^x \sigma_{l+R}^x \rangle = (-1)^R \ m_x^2 \left[1 + c^x \ \frac{e^{-R/\xi}}{R^2} \right] \left(1 - \frac{2R}{N} \right)$$

Dong et al, JSTAT (2016), MPLB (2017), PRE (2018)

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• Order parameter:
$$\langle \sigma^x \rangle = \lim_{N \to \infty} \sqrt{C^{xx} \left(\frac{N-1}{2} \right)} = 0$$

• Conflict between two approaches

Order parameter & Frustration $H_{\text{Ising}} = \frac{1}{2} \sum_{l=1}^{2M+1} \left(\sigma_l^x \sigma_{l+1}^x + \lambda \sigma_l^y \sigma_{l+1}^y \right)$

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 AFM staggered order
- Indeed, seemingly contradict Landau Theory

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- General, recent & old, puzzling arguments against perfect
 AFM staggered order
- Indeed, seemingly contradict Landau Theory
- We develop a new, exact, approach to this problem (and learn an interesting trick along the way)

$$H = \sum_{j=1}^{2M+1} \left[\cos \delta \left(\cos \phi \, \sigma_j^x \sigma_{j+1}^x + \sin \phi \, \sigma_j^y \sigma_{j+1}^y \right) - \sin \delta \, \sigma_j^z \sigma_{j+1}^z \right]$$

• In absence of external fields, H commutes with all 3 parities: $\Pi^{\alpha} \equiv \prod_{j=1}^{N} \sigma_{j}^{\alpha}, \ \alpha = x, y, z$ $[H, \Pi^{\alpha}] = 0$
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- On odd # sites, parities do not commute: $\{\Pi^{\alpha}, \Pi^{\beta}\} = 2\delta_{\alpha,\beta}$
 - $\Rightarrow \text{ every states at least 2-fold degenerate} \\ \Pi^{z} |\Psi\rangle = |\Psi\rangle, H |\Psi\rangle = E_{\Psi} |\Psi\rangle \\ \downarrow$
 - $|\tilde{\Psi}\rangle \equiv \Pi^x |\Psi\rangle \quad \rightarrow \quad \Pi^z |\tilde{\Psi}\rangle = -\Pi^x |\tilde{\Psi}\rangle, H|\tilde{\Psi}\rangle = E_{\Psi}|\tilde{\Psi}\rangle$
- Exact, finite size, degeneracies (Kramer's deg)!

- Usually (finite field along z) unique GS with fixed z-parity
 - \Rightarrow no finite x/y-magnetization at finite sizes
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 $\Rightarrow \text{ can develop finite magnetizations } \langle GS | \sigma_j^{\alpha} | GS \rangle \text{ at finite N}$ $|g_z, \pm \rangle \longrightarrow |GS \rangle \equiv \alpha |g_z, + \rangle + \beta |g_z, - \rangle$ $\Pi^z |g_z, \pm \rangle = \pm |g_z, \pm \rangle \qquad \alpha^2 + \beta^2 = 1$

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- Choosing one GS equivalent to switching on a symmetry breaking field and following its behavior to $N \rightarrow \infty$

- Use z-parity to classify states: $|g_z, \pm \rangle : \Pi^z |g_z, \pm \rangle = \pm |g_z, \pm \rangle$ $|GS \rangle \equiv \alpha |g_z, + \rangle + \beta |g_z, - \rangle, \ \alpha^2 + \beta^2 = 1$
- Normally: $|\langle GS | \sigma_j^x | GS \rangle| = \lim_{r \to \infty} \sqrt{\langle \sigma_j^x \sigma_{j+r}^x \rangle}$

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- Here: $\Pi^x | g_z, +
 angle = | g_z,
 angle$ (up to a phase)
 - $\Rightarrow \quad \langle GS | \sigma_j^x | GS \rangle = \alpha \beta^* \langle g_z, + | \sigma_j^x | g_z, \rangle + \text{c.c.}$ $\langle g_z, + | \sigma_j^x | g_z, \rangle = \langle g_z, + | \prod_{l \neq j}^N \sigma_l^x | g_z, + \rangle$
- Can access directly 1-point function (on mixed states) from a string of operators (on single parity state)

More Degeneracies

- On a ring with odd # sites reflection axes cross a vertex and a bond
- \Rightarrow Only states with **O** or π momentum can be simult. eigenstates M and T
- Other states come in (degenerate) doublets of opposite momentum or mirror
- \Rightarrow Exact <u>finite size</u> degeneracies (for any interaction!)



$$H = \sum_{j=1}^{2M+1} \left[\cos \delta \left(\cos \phi \, \sigma_j^x \sigma_{j+1}^x + \sin \phi \, \sigma_j^y \sigma_{j+1}^y \right) - \sin \delta \, \sigma_j^z \sigma_{j+1}^z \right]$$

- On odd # sites, H has 2 sets of incompatible global symmetries:
 - > Mirror (M) and lattice tranlation (T):

 $[H, M] = [H, T] = 0, MT |\Psi\rangle = TM |\Psi\rangle \text{only if } M |\Psi\rangle = \pm |\Psi\rangle$ > Parity operators: $\Pi^{\alpha} \equiv \prod_{j=1}^{N} \sigma_{j}^{\alpha}, \ \alpha = x, y, z$ $[H, \Pi^{\alpha}] = 0 \qquad \{\Pi^{\alpha}, \Pi^{\beta}\} = 2\delta_{\alpha, \beta}$

 \Rightarrow Exact 2 or 4-fold GS degeneracy at finite N

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 - \Rightarrow Exact 2 or 4-fold GS degeneracy at finite N
- Any GS choice necessarily break a symmetry of H

$$H = \sum_{j=1}^{N} \left[\cos \delta \left(\cos \phi \, \sigma_{j}^{x} \sigma_{j+1}^{x} + \sin \phi \, \sigma_{j}^{y} \sigma_{j+1}^{y} \right) - \sin \delta \, \sigma_{j}^{z} \sigma_{j+1}^{z} \right]$$

• Assume $\delta \in [0, \pi/2]$ (Ferro zz-interaction)







Ferromagnetic Phase

$$H = \sum_{j=1}^{2M+1} \left[\cos \delta \left(\cos \phi \, \sigma_j^x \sigma_{j+1}^x + \sin \phi \, \sigma_j^y \sigma_{j+1}^y \right) - \sin \delta \, \sigma_j^z \sigma_{j+1}^z \right]$$
• y-FM: $\phi \in [-\pi/2, -\pi/4)$



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$$\cdot \mathbf{y} \cdot \mathbf{F} \mathbf{M} : \phi \in \left[-\pi/2, -\pi/4 \right]$$

$$\cdot |g_{\alpha}\rangle \equiv \frac{1}{\sqrt{2}} \left(1 + \Pi^{\alpha} \right) |g_{z}\rangle$$

$$\cdot m_{\alpha} \equiv \langle g_{\alpha} | \sigma_j^{\alpha} | g_{\alpha} \rangle$$

$$= \langle g_{z} | \prod_{l \neq j} \sigma_l^{\alpha} | g_{z} \rangle$$

$$\Rightarrow m_{x} = m_{z} = 0$$

$$m_{y} = \left(1 - \cot^2 \phi \right)^{1/4}$$
in $\mathbf{N} \rightarrow \infty$ limit
Marić, Giampaolo, Kuić, Franchini, New J. Phys. 22 083024 (2020)

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• MFM: $\phi \in (-\pi/4, 0)$



The Frustration of being Odd

$$\begin{split} & \mathsf{Mesoscopic Ferromagnet} \\ H &= \sum_{j=1}^{2M+1} \left[\cos \delta \left(\cos \phi \, \sigma_j^x \sigma_{j+1}^x + \sin \phi \, \sigma_j^y \sigma_{j+1}^y \right) - \sin \delta \, \sigma_j^z \sigma_{j+1}^z \right] \\ & \cdot \, \mathsf{MFM:} \, \phi \in (-\pi/4, 0) \\ & \cdot \, |g_\alpha\rangle \equiv \frac{1}{\sqrt{2}} \left(1 + \Pi^\alpha \right) |g_z\rangle \\ & m_\alpha \equiv \langle g_\alpha | \, \sigma_j^\alpha | g_\alpha \rangle \\ &= \langle g_z | \prod_{l \neq j} \sigma_l^\alpha | g_z \rangle \\ &\Rightarrow m_\alpha \simeq \frac{\tilde{m}_\alpha}{N^\gamma} \overset{N \to \infty}{\to} 0 \end{split}$$

 All magnetizations decay algebraically to zero and are not staggered! Marić, Giampaolo, Kuić, Franchini, New J. Phys. 22 083024 (2020)

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 AFM interactions
- Lowest energy states have finite momentum $\pm \pi/2$

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 AFM interactions
- Lowest energy states have finite momentum $\pm \pi/2$
 - 4-fold degenerate GS (2x parities, 2x chiralities)
 - GS can break transl. Inv.

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- Imafm: $\phi \in (0, \pi/4)$

- $p \equiv \frac{\pi}{2} \left(1 + \frac{1}{N} \right)$
- Chose GS: $\frac{1}{\sqrt{2}} \left[|\pm p, +\rangle + e^{i\theta} |\pm p, -\rangle \right] \implies Mesoscopic FM$

$$H = \sum_{j=1}^{2M+1} \left[\cos \phi \ \sigma_j^x \sigma_{j+1}^x + \sin \phi \ \sigma_j^y \sigma_{j+1}^y \right]$$

- IMAFM: $\phi \in (0, \pi/4)$

- $p \equiv \frac{\pi}{2} \left(1 + \frac{1}{N} \right)$
- Chose GS: $\frac{1}{\sqrt{2}} \left[|\pm p, +\rangle + e^{i\theta} |\pm p, -\rangle \right] \Rightarrow \text{Mesoscopic FM}$
- Chose GS: $|\tilde{g}\rangle \equiv \frac{1}{\sqrt{2}} \left[|\pm p, +\rangle + e^{i\theta} |\mp p, -\rangle \right]$

 $\mathfrak{O} \Lambda I + 1$

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- Chose GS: $|\tilde{g}\rangle \equiv \frac{1}{\sqrt{2}} \left[|\pm p, +\rangle + e^{i\theta} |\mp p, -\rangle \right]$

 $\Omega \Lambda I \perp$

$$\Rightarrow \quad \langle \tilde{g} | \sigma_{j}^{\alpha} | \tilde{g} \rangle = \frac{1}{2} \begin{bmatrix} e^{i\pi \left(1 + \frac{1}{N}\right)j + \theta} \langle \pm p, + | \sigma_{N}^{\alpha} | \mp p, - \rangle + \text{c.c.} \end{bmatrix}$$

$$\text{Use Transl. Inv.} \quad f_{\alpha} \equiv |\langle \pm p, + | \sigma_{N}^{\alpha} | \mp p, - \rangle|$$

$$\alpha = x: \text{ purely real}$$

$$\alpha = y: \text{ purely imaginary} \quad \text{Computable as a string as before}$$

 $\sim 1 \pi$

$$H = \sum_{j=1}^{2M+1} \left[\cos \phi \ \sigma_j^x \sigma_{j+1}^x + \sin \phi \ \sigma_j^y \sigma_{j+1}^y \right]$$

• IMAFM:
$$\phi \in (0, \pi/4)$$

 $p \equiv \frac{\pi}{2} \left(1 + \frac{1}{N} \right)$
 $\langle \tilde{g} | \sigma_j^{\alpha} | \tilde{g} \rangle = (-1)^j \cos \left(\pi \frac{j}{N} + \tilde{\theta}_{\alpha} \right) f_{\alpha}$
 $| \tilde{g} \rangle \equiv \frac{1}{\sqrt{2}} \left[|\pm p, +\rangle + e^{i\theta} |\mp p, -\rangle \right]$

$$H = \sum_{j=1}^{2M+1} \left[\cos \phi \ \sigma_j^x \sigma_{j+1}^x + \sin \phi \ \sigma_j^y \sigma_{j+1}^y \right]$$

$$\mathbf{TMAFM}: \phi \in (0, \pi/4) \qquad p \equiv \frac{\pi}{2} \left(1 + \frac{1}{N} \right)$$
$$\langle \tilde{g} | \sigma_j^{\alpha} | \tilde{g} \rangle = (-1)^j \cos \left(\pi \frac{j}{N} + \tilde{\theta}_{\alpha} \right) f_{\alpha} \qquad | \tilde{g} \rangle \equiv \frac{1}{\sqrt{2}} \left[|\pm p, +\rangle + e^{i\theta} |\mp p, -\rangle \right]$$



011

The Frustration of being Odd

$$H = \sum_{j=1}^{2M+1} \left[\cos \phi \ \sigma_j^x \sigma_{j+1}^x + \sin \phi \ \sigma_j^y \sigma_{j+1}^y \right]$$

- Imafm: $\phi \in (0,\pi/4)$



$$p \equiv \frac{\pi}{2} \left(1 + \frac{1}{N} \right)$$
$$|\tilde{g}\rangle \equiv \frac{1}{\sqrt{2}} \left[|\pm p, +\rangle + e^{i\theta} |\mp p, -\rangle \right]$$
$$\tilde{g}|\sigma_{j}^{\alpha}|\tilde{g}\rangle = (-1)^{j} \cos\left(\pi \frac{j}{N} + \tilde{\theta}_{\alpha}\right) f_{\alpha}$$

The Frustration of being Odd

$$H = \sum_{j=1}^{2M+1} \left[\cos \phi \ \sigma_j^x \sigma_{j+1}^x + \sin \phi \ \sigma_j^y \sigma_{j+1}^y \right]$$



The Frustration of being Odd

$$H = \sum_{j=1}^{2M+1} \left[\cos \phi \ \sigma_j^x \sigma_{j+1}^x + \sin \phi \ \sigma_j^y \sigma_{j+1}^y \right]$$

IMAFM: $\phi \in (0, \pi/4)$ $f_{\alpha} \equiv |\langle \pm p, + |\sigma_{N}^{\alpha}| \mp p, - \rangle|$ $\langle \tilde{g} | \sigma_j^{\alpha} | \tilde{g} \rangle = (-1)^j \cos\left(\pi \frac{j}{N} + \tilde{\theta}_{\alpha}\right) f_{\alpha}$ 0.6 0.4 N=9 N=17 y magn. suppressed in therm. 0.2 N=29 N=485 limit but x remains finite! 0 <u>3π</u> π π π 0 16 16 0.6 0.4 0.4 N=9 $f_{x_i}f_y$ N=17 t_y • f_x *d≃*0.692 0.2 N=290.2 f_v N=117 N=485 Ω π <u>3 π</u> π n 16 8 16 4 0.03 0.06 0.09 0.12 0 φ 1/N

The Frustration of being Odd

Quantum phase transition? $H = \sum_{j=1}^{2M+1} \left[\cos \phi \ \sigma_{j}^{x} \sigma_{j+1}^{x} + \sin \phi \ \sigma_{j}^{y} \sigma_{j+1}^{y} \right]$



- $\phi = 0$ (classical Ising)
- Level crossing (change in
 GS degeneracy: 2 ↔ 4)

Marić, Giampaolo, Franchini, Comm. Phys. 3, 220 (2020)





- derivative of GS energy
- Akin to a 1° order b-QPT
- \Rightarrow Boundary-less b-QPT

Marić, Giampaolo, Franchini, Comm. Phys. 3, 220 (2020)

The Frustration of being Odd

Mesoscopic

Ferromagnet

Beyond integrabilty

• These results generalize to generic spin chains (in zero field)

$$H = \sum_{j=1}^{N} \sigma_j^x \sigma_{j+1}^x + \lambda \sum_{j=1}^{N} H_j$$

 Theorem: No kind of order can exist with FBC in thermodynamic limit, except if GS admits 2 deg states with momenta

$$p_1 - p_2 \stackrel{N \to \infty}{\simeq} \pi \left(1 \pm \frac{1}{N} \right)$$

 \Rightarrow either mesoscopic or incommensurate AFM order is possible

Marić, Giampaolo, Franchini, arXiv:2101.07276

FBC change the nature of QPT

- Local order can be killed (mesoscopic) on both sides of a QPT
- E.g. 2-Cluster-Ising Chain: $H = \cos \phi \sum_{j=1}^{N} \sigma_{j}^{x} \sigma_{j+1}^{x} + \sin \phi \sum_{j=1}^{N} \sigma_{j-1}^{y} \sigma_{j}^{z} \sigma_{j+1}^{z} \sigma_{j+2}^{y}$

Marić, Torre, Franchini, Giampaolo, arXiv:2101.08807

FBC change the nature of QPT

Local order can be killed (mesoscopic) on both sides of a QPT



The Frustration of being Odd

The effects of defects

- $H = \sum_{j=1} \left[\cos \phi \ \sigma_j^x \sigma_{j+1}^x + \sin \phi \ \sigma_j^y \sigma_{j+1}^y \right] + \cos(\phi + \delta_x) \sigma_{2M+1}^x \sigma_1^x + \sin(\phi + \delta_y) \sigma_{2M+1}^y \sigma_1^y$
 - Physics discussed often dismissed as fragile
 - Indeed a ferromagnetic defect simply pins one domain wall
 - \rightarrow split classical point degeneracy and select one state
 - → far from defect standard AFM order is recovered



Fabio Franchini

Torre, Marić, Franchini, Giampaolo, Phys. Rev. B 103, 014429 (2021)

2M



- $H = \sum_{j=1} \left[\cos \phi \ \sigma_j^x \sigma_{j+1}^x + \sin \phi \ \sigma_j^y \sigma_{j+1}^y \right] + \cos(\phi + \delta_x) \sigma_{2M+1}^x \sigma_1^x + \sin(\phi + \delta_y) \sigma_{2M+1}^y \sigma_1^y$
 - Physics discussed so far often dismissed as fragile
 - A single AFM defect stabilizes the incommensurate AFM order! N = 120



Torre, Marić, Franchini, Giampaolo, Phys. Rev. B 103, 014429 (2021)

The Frustration of being Odd

2M

Defects

- $H = \sum_{j=1} \left[\cos \phi \ \sigma_j^x \sigma_{j+1}^x + \sin \phi \ \sigma_j^y \sigma_{j+1}^y \right] + \cos(\phi + \delta_x) \sigma_{2M+1}^x \sigma_1^x + \sin(\phi + \delta_y) \sigma_{2M+1}^y \sigma_1^y$
 - Physics discussed so far often dismissed as fragile
 - However, other defects give rise to ever different orders



The Frustration of being Odd

2M



- We studied the effect of frustrated boundary conditions on the local order of quantum spin chains
- Frustration knonw to give new physics in quantum systems
- FBC destroy perfect AFM order and replace it with:
 - Mesoscopic Ferromagnetic order for 1 AFM interaction
 - Incommensurate Modulated AFM order for 2 AFM int.
- Boundary-less b-QPT between the two


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<u>Outlook:</u> how can boundary conditions influence bulk properties?



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<u>Outlook:</u> how can boundary conditions influence bulk properties? Thank you!

FBC at criticality
Li & He, PRE (2019)
• Consider 2-point function of chains at criticality

$$C_{R,N} \equiv \langle \sigma_{j}^{x} \sigma_{j+R}^{x} \rangle \begin{cases} C_{R,\infty} \equiv C_{R,\lim_{N \to \infty} N} & \text{Usual} \\ C_{(O)}(\alpha) \equiv \lim_{L \to \infty} C_{\alpha(2L+1),2L+1} & \text{PBC on odd } \# \text{ (frustrated)} \\ C^{(E)}(\alpha) \equiv \lim_{L \to \infty} C_{\alpha 2L,2L} & \text{PBC on even } \# \end{cases}$$

$$R^{(O)}(\alpha) \equiv C^{(O)}(\alpha)/C_{R,\infty} \qquad R^{(E)}(\alpha) \equiv C^{(E)}(\alpha)/C_{R,\infty} \qquad R(\alpha) \equiv C^{(O)}(\alpha)/C^{(E)}(\alpha)$$

$$I_{0}^{0} = \int_{\alpha \neq 0}^{\alpha \neq 0} \int_$$

The Frustration of being Odd

$$XY Chain$$
$$H = \sum_{j=1}^{2M+1} \left[\cos \phi \ \sigma_j^x \sigma_{j+1}^x + \sin \phi \ \sigma_j^y \sigma_{j+1}^y \right]$$

• Jordan-Winger transformation turns spins into spinless fermions:

$$\sigma_l^+ = e^{i\pi \sum_{j < l} \psi_j^\dagger \psi_j} \psi_l , \qquad \sigma_l^z = 1 - 2\psi_l^\dagger \psi_l$$

• Separate Hilbert space according to z-parity:

$$H = \frac{1 + \Pi^z}{2} H^+ \frac{1 + \Pi^z}{2} + \frac{1 - \Pi^z}{2} H^- \frac{1 - \Pi^z}{2} \qquad \Pi^z \equiv \prod_{l=1}^N \sigma_l^z$$

• Rotation in Fourier space (Bogoliubov rotation) to get:

$$H^{\pm} = \sum_{q \in \Gamma_{\pm}} \varepsilon \left(\frac{2\pi}{N} q\right) \left\{ \chi_{q}^{\dagger} \chi_{q} - \frac{1}{2} \right\}, \qquad \Gamma_{P} = \left\{ n + \frac{1 + \Pi^{z}}{4} \right\}_{n=0}^{N-1}$$
$$\varepsilon(\alpha) \equiv 2 \left| \cos \phi \ e^{i2q} + \sin \phi \right|, \qquad \varepsilon(0) = -\epsilon(\pi) = 2 \left(\cos \phi + \sin \phi \right)$$
$$can \text{ be negative!}$$

AT

The Frustration of being Odd

$$\begin{aligned} & \textbf{XY Chain: FM phase} \\ & H = \sum_{j=1}^{2M+1} \left[\cos \phi \ \sigma_j^x \sigma_{j+1}^x + \sin \phi \ \sigma_j^y \sigma_{j+1}^y \right] \\ & \textbf{phase: } \phi \in \left[-\pi/2, -\pi/4 \right) \end{aligned} \qquad \begin{aligned} & \varepsilon(\alpha) \equiv 2 \left| \cos \phi \ e^{i2q} + \sin \phi \right| \\ & \varepsilon(0) = -\epsilon(\pi) = 2 \left(\cos \phi + \sin \phi \right) \end{aligned}$$

- $\epsilon(0) < 0$: belongs to odd parity sector Bogoliubov vacuum $\Rightarrow |0\rangle$: lowest energy state in even parity sector $\chi_0^{\dagger}|0'\rangle$: lowest energy state in odd parity sector
- Energy gap exponentially small in M (zero for h=0)
- Finite gap with other states

The Frustration of being Odd

FM

$$\begin{aligned} & \text{XY Chain: AFM phases} \\ & H = \sum_{j=1}^{2M+1} \left[\cos \phi \ \sigma_j^x \sigma_{j+1}^x + \sin \phi \ \sigma_j^y \sigma_{j+1}^y \right] \\ & \epsilon(\alpha) \equiv 2 \left| \cos \phi \ e^{i2q} + \sin \phi \right| \\ & \epsilon(0) \equiv -\epsilon(\pi) = 2 \left(\cos \phi + \sin \phi \right) \\ & \epsilon(\pi) < 0 \ : \text{ belongs to even parity sector} \\ & \text{Bogoliubov vacuum} \\ & \Rightarrow \chi_{\pi}^{\dagger} | 0 \rangle : \text{ lowest energy state in even parity sector} \\ & | 0' \rangle \\ & \text{Not assumed to be parity sector} \end{aligned}$$

$$\begin{array}{l} \textbf{XY Chain: MFM} \\ H = \sum_{j=1}^{2M+1} \left[\cos\phi \ \sigma_{j}^{x}\sigma_{j+1}^{x} + \sin\phi \ \sigma_{j}^{y}\sigma_{j+1}^{y}\right] \\ \epsilon(\alpha) \equiv 2 \left|\cos\phi \ e^{i2q} + \sin\phi\right| \\ \epsilon(\alpha) \equiv 2 \left|\cos\phi \ e^{i2q} + \sin\phi\right| \\ \epsilon(0) = -\epsilon(\pi) = 2 \left(\cos\phi + \sin\phi\right) \\ \epsilon(0) = -\epsilon(\pi) = 2 \left(\cos\phi + \sin\phi\right) \\ \epsilon(\alpha) = 0 \\ \epsilon(\alpha) = 2 \left|\cos\phi \ e^{i2q} + \sin\phi\right| \\ \epsilon(\alpha) = 2 \left|\cos\phi \ e^{i2q} + \sin\phi\right| \\ \epsilon(\alpha) = -\epsilon(\pi) = 2 \left(\cos\phi + \sin\phi\right) \\ \epsilon(\alpha) = 0 \\$$

 $\frac{1}{(2M+1)^2}$ closing gap with other states •

• $\epsilon(\pi$

$$\begin{array}{l} \textbf{XY Chain: IMAFM} \\ H = \sum_{j=1}^{2M+1} \left[\cos \phi \ \sigma_j^x \sigma_{j+1}^x + \sin \phi \ \sigma_j^y \sigma_{j+1}^y \right] \\ \epsilon(\alpha) \equiv 2 \left| \cos \phi \ e^{i2q} + \sin \phi \right| \\ \epsilon(\alpha) \equiv 2 \left| \cos \phi \ e^{i2q} + \sin \phi \right| \\ \epsilon(0) = -\epsilon(\pi) = 2 \left(\cos \phi + \sin \phi \right) \\ \epsilon(\pi) < 0 \ : \text{ belongs to even parity sector} \\ \hline \textbf{Bogoliubov vacuum} \\ \Rightarrow \chi^{\dagger}_{\pm \frac{\pi}{2} \left(1 + \frac{1}{N}\right)} \chi^{\dagger}_{\pi} | 0 \rangle : 2 \text{ deg. GS in even parity sector} \\ \chi^{\dagger}_{\pm \frac{\pi}{2} \left(1 - \frac{1}{N}\right)} | 0' \rangle \quad : 2 \text{ deg GS in odd parity sector} \\ \cdot \text{ Energy gap algebraically small in M (zero for h=0)} \end{array}$$

 $rac{1}{(2M+1)^2}$ closing gap with other states ۲

•

Even Parity

- Absolute GS \rightarrow Bogoliubov vacuum: $\chi_q |GS\rangle = 0, \forall q \in \mathbb{N} + \frac{1}{2}$ lowest energy <u>allowed</u> stat in even parity sector (P=1):
- For h<1, occupation of π -mode lowers the energy $|GS\rangle \rightarrow E_0 = -\frac{1}{2} \sum_{q=0}^{2M} \varepsilon \left[\frac{2\pi}{N} \left(q + \frac{1}{2}\right)\right] + 1 - h$
 - excited states with P=1 lie arbitrarily close in energy to GS, forming a band with quadratic dispersion:

$$\chi_{M+1/2}^{\dagger}\chi_{p+1/2}^{\dagger}|GS\rangle \to E_p = -\frac{1}{2} \sum_{q=0}^{2M} \varepsilon \left[\frac{2\pi}{N} \left(q + \frac{1}{2}\right)\right] + \varepsilon \left[\frac{2\pi}{N} \left(p + \frac{1}{2}\right)\right]$$

$$E(k) \simeq E_0 + \frac{1}{2} \left(\frac{h}{1-h}\right) (k-\pi)^2 + \dots$$

Odd Parity

- Vacuum does not belong to odd parity sector (P=-1): $\chi_q |0'\rangle = 0, \forall q \in \mathbb{N}$
- Low energy states have one excitation: $\chi_p^\dagger |0'
 angle$
- Lowest energy state(s) for p=M/M+1:

$$\chi_{M,M+1}^{\dagger}|0'\rangle = |GS'\rangle \to E'_{0} = -\frac{1}{2} \sum_{q=0}^{2M} \varepsilon \left[\frac{2\pi}{N} \left(q + \frac{1}{2}\right)\right] + \varepsilon \left(\pi \pm \frac{\pi}{N}\right)$$

which is bigger than E_0 , closing in <u>polynomially</u>!

- Low energy states also form a band above |GS'> with quadratic dispersion, intertwining with that of the even parity sector
- In total: Even + Odd produce a gapless band of 2N states

Frustrated Ising Chain: Hilbert Space

- Ising Hilbert space exactly mappable into a FF Fock space
- In each parity sector: lowest energy state surmounted by N-1 state separated by a gap propotional to N⁻²
- States in the two sectors intertwined with a similar energy splitting
 - \Rightarrow GS part of a band of 2N gapless states in N $\rightarrow \infty$ limit
 - ⇒ polynomial (not exp. !) energy split between parities
 - \Rightarrow no SSB!

$$\begin{array}{l} \textbf{Order Parameter} \\ H_{\text{Ising}} = \frac{1}{2} \sum_{l=1}^{2M+1} \left(\sigma_l^x \sigma_{l+1}^x - h \; \sigma_l^z \right) \\ P \equiv \prod_{l=1}^N \sigma_l^z \;, [H, P] = 0 \end{array}$$

- Parity eigenstates have vanishing order parameter: $\langle \sigma^x \rangle = \langle \sigma^+ + \sigma^- \rangle = 0$
- Non-zero magnetization only for degenerate GS of mixed parities: impossible at finite N
- Spontaneous Symmetry breaking by
 - Symmetry breaking field (not possible for gapless phases)
 - > Long-range order in 2-point function:

$$C^{xx}(R) \equiv \langle \sigma_l^x \sigma_{l+R}^x \rangle$$

 $\lim_{R \to \infty} C^{xx}(R) = \langle \sigma^x \rangle^2$

Correlation functions

$$H_{\text{Ising}} = \frac{1}{2} \sum_{l=1}^{2M+1} \left(\sigma_l^x \sigma_{l+1}^x - h \, \sigma_l^z \right) \qquad \qquad \sigma_l^+ = e^{i\pi \sum_{j < l} \psi_j^{\dagger} \psi_j} \, \psi_l \\ \sigma_l^z = 1 - 2\psi_l^{\dagger} \psi_l$$

- Correlation functions can be calculated starting from FF picture
- Introduce Majorana Fermions: $A_l \equiv \psi_l^{\dagger} + \psi_l$, $B_l \equiv i(\psi_l \psi_l^{\dagger})$ $\langle A_l A_m \rangle = \langle B_l B_m \rangle = \delta_{l,m}$, $\langle A_{l+R} B_l \rangle = iG(R, J, h)$, $\nu(h, R) = \begin{cases} (-1)^R & h > 0 \\ -1 & h < 0 \end{cases}$ $G(R, J = 1, h) = -G(R, J = -1, -h) + \frac{2}{N}\nu(h, R)$
- Compared to the standard case, the frustrated GS correlators have 1 additional contribution as for 1 (π -)mode excited state

Local and Quasi-Local Correlators $(A = B) = iC(B = Lb) = C(B = Lb) = C(B = Lb) = \frac{2}{2} (L = D)$

 $\langle A_{l+R}B_l \rangle = iG(R, J, h), \ G(R, 1, h) = -G(R, -1, -h) + \frac{2}{N}\nu(h, R)$

"Local" Correlation functions have a finite number of Majoranas

$$\sigma_{l+R}^{z} \sigma_{l}^{z} \rangle = \langle A_{l+R} B_{l+R} A_{l} B_{l} \rangle$$

= $m_{z}^{2} - \frac{c_{1}^{z}(h)}{R^{2}} \left(\frac{h^{2}}{J^{2}}\right)^{R} + \frac{4m_{z}}{N} \left[1 + c_{2}^{z}(h)(-1)^{R} \left|\frac{h}{J}\right|^{R}\right]$

• "Quasi-local" one have # of Majorana growing with distances (Dong et al. JSTAT '16) $\langle \sigma_{l+R}^x \sigma_l^x \rangle = \langle B_{l+R} A_{l+R-1} B_{l+R-1} \dots A_{l-1} B_{l-1} A_l \rangle$

$$= (-1)^{R} \left(1 - \frac{h^{2}}{J^{2}}\right)^{1/4} \left[1 + \frac{c^{x}(h)}{R^{2}} \left(\frac{h^{2}}{J^{2}}\right)^{R}\right] \left(1 - \frac{2R}{N}\right)$$

- The $\frac{1}{N}$ contributions add up to be finite at large distances
- Locality w.r.t Jordan-Wigner fermions

Correlation Functions

"Local" correlators:

$$C^{zz}(R) \equiv \langle \sigma_l^z \sigma_{l+R}^z \rangle = m_z^2 - \frac{c_1^z(h)}{R^2} \left(\frac{h^2}{J^2}\right)^R + \frac{4m_z}{N} \left[1 + c_2^z(h)(-1)^R \left|\frac{h}{J}\right|^R\right]$$

"Quasi-Local" correlators

$$C^{xx}(R) \equiv \langle \sigma_l^x \sigma_{l+R}^x \rangle = (-1)^R \left(1 - \frac{h^2}{J^2} \right)^{1/2} \left[1 + \frac{c^x}{R} \right]^{1/2}$$

- Locally: indistinguishable from non-frustrated ones
- Order parameter/
 Spontaneous Magnetization

 $\frac{2R}{N}$)

 $\left(\frac{h^2}{J^2}\right)$

Correlation Functions

"Local" correlators:

$$C^{zz}(R) \equiv \langle \sigma_l^z \sigma_{l+R}^z \rangle = m_z^2 - \frac{c_1^z(h)}{R^2} \left(\frac{h^2}{J^2}\right)^R + \frac{4m_z}{N} \left[1 + c_2^z(h)(-1)^R \left|\frac{h}{J}\right|^R\right]$$

"Quasi-Local" correlators

$$C^{xx}(R) \equiv \langle \sigma_l^x \sigma_{l+R}^x \rangle = (-1)^R \left(1 - \frac{h^2}{J^2} \right)^{1/4} \left[1 + \frac{c^x(h)}{R^2} \left(\frac{h^2}{J^2} \right)^R \right] \left(1 - \frac{2R}{N} \right)^R$$

Locally: indistinguishable from non-frustrated ones

• Order parameter: $\langle \sigma^x \rangle = \lim_{N \to \infty} \sqrt{C^{xx} \left(\frac{N-1}{2} \right)} = 0$

• Inconsistent with thermodynamic Limit ($N \rightarrow \infty$)

The Frustration of being Odd

Scaling Thermodynamic Limit $C^{xx}(R) \equiv \langle \sigma_l^x \sigma_{l+R}^x \rangle = (-1)^R \left(1 - \frac{h^2}{J^2} \right)^{1/4} \left[1 + \frac{c^x(h)}{R^2} \left(\frac{h^2}{J^2} \right)^R \right] \left(1 - \frac{2R}{N} \right)$

- Local behavior cannot depend on even/oddness of large chain
- Yet, the order parameter does

$$\langle \sigma^x \rangle = \lim_{N \to \infty} \sqrt{C^{xx} \left(\frac{N-1}{2}\right)} = 0$$

- Traditional therm. limit restores finite order parameter
- To account for the frustrated behavior we consider a <u>Scaling Thermodynamic Limit</u>: $N \to \infty$ as $r \equiv \frac{R}{N} = \text{const}$
- In this way, signatures of a new "pseudo-phase"
- · Let us look at the entanglement entropy in the STL



2-point function



 Behavior of 2-point function in regions A & B analogue to Ising

Phase A: mesoscopic magnetization

- Finite magnetization in finite system
- Clearly different from non-frustrated



Phase Phase

Phase

B

Phase

B

Phase B: Lost Translational Inv.

• 4-fold deg. GS:

$$|GS\rangle \equiv \sum_{l=1}^{2} \alpha_{l} |GS_{l}, +\rangle + \beta_{l} |GS_{l}, -\rangle, \ \sum_{l=1}^{2} \alpha_{l}^{2} + \beta_{l}^{2} = 1$$
$$P|GS_{l}, \pm\rangle = e^{\pm \frac{i\pi}{2} \left(1 \pm \frac{1}{N}\right)} |GS_{l}, \pm\rangle$$



• Magnetization for $\alpha_l = \beta_l$



The Frustration of being Odd

Phase B: Finite-Size Scaling





Finite

 intercept in
 therm. limit:
 single
 particle?!?

The Frustration of being Odd

Order Parameter?



• $\max\langle \sigma_j^x \rangle$ (in therm. limit) acts as an order parameter

Magnetization: Summary



Phase B: GS deg = 4 (broken translational invariance)

$$\max_{j} \langle \sigma_{j}^{x} \rangle \sim a + \frac{b}{N}$$
$$\max_{j} \langle \sigma_{j}^{y} \rangle \sim \frac{1}{N}$$

Quantifying Frustration

- First quantify "quantum" frustration:
 - > Write Hamiltonian as sum of local terms
 - Find GS of H and of all the H_j separately and construct projectors

$$H = \sum_{j} H_{j} \longrightarrow \begin{cases} H \to \Pi \equiv |GS\rangle \langle GS| \\ H_{j} \to \Pi_{j} \equiv \sum_{\alpha} |GS_{j}^{\alpha}\rangle \langle GS_{j}^{\alpha}| \end{cases}$$

> Measure Hilbert-Schmidt distance between them

$$F_j \equiv Tr\left(\Pi_j \Pi\right)$$

> If translational invariance: $F \equiv F_j$

(Giampaolo et al. PRL '11)

Quantifying Frustration

• Consider frustration of Ferromagnetic (J=-1) F(J = -1)

and AFM system (J=1) F(J = 1)Geometrical frustration: $g_F = \sum_{i=1}^{N} [F(J = 1) - F(J - 1)]$



Approaching $h \rightarrow 1$

• CFT behavior up to (non-frustrated) correlation length scale & deviation beyond it



The Frustration of being Odd