

# QC<sub>2</sub>D as a Probe of the Analytic Continuation Methods

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Our goal:

To find the best parametrization of the quark density  
for its analytical continuation  
from imaginary to real quark chemical potential

## Outline

- 1 Simulation settings
- 2 Analytical continuation of the quark density
- 3 Cluster Expansion Model (CEM) vs Rational Fraction Model (RFM)
- 4 Problem of negative probabilities and Lee-Yang zeroes
- 5 Lee-Yang zeroes and the Roberge-Weiss transition
- 6 Conclusions

# Parameters of simulation

- Tree-level improved Symanzik gauge action
- Staggered fermions

Sommer parameter  $r_0 = 0.468\text{fm}$

Lattice spacing  $a \approx 0.062\text{ fm}$

Lattice size  $L \approx 1.74\text{ fm}$

$am_q = 0.0125$ ;  $m_\pi \approx 800\text{ MeV}$

$N_c = 2$ ,  $N_f = 2$

$N_s^3 \times N_t$  lattices:  $N_s = 28$ ;

$N_t = 20, 14, 12$

$T = 159, 227, 265\text{ MeV}$

$$\theta = \frac{\mu_q}{T} = \frac{\mu'_q + i\mu''_q}{T} = \theta_R + i\theta_I$$

$$0 \leq \theta_I \leq \frac{\pi}{N_c}, \quad 0 < \mu'_q < 600\text{ MeV}$$

$$S_G = \beta \left( 1.667 \sum_{\square} \left( 1 - \frac{1}{2} \text{Tr} \square \right) - 0.083 \sum_{\square\square} \left( 1 - \frac{1}{2} \text{Tr} \square\square \right) \right) \quad (1)$$

$$S_F = \sum_{x,y} \bar{\psi}_x D(\mu_q)_{x,y} \psi_y + \frac{\lambda}{2} \sum_x \left( \psi_x^T \tau_2 \psi_x + \bar{\psi}_x \tau_2 \bar{\psi}_x^T \right) \quad (2)$$

where  $\bar{\psi}$ ,  $\psi$  are staggered fermion fields,

$$D(\mu_q)_{xy} = ma\delta_{xy} + \frac{1}{2} \sum_{\nu=1}^4 \eta_\nu(x) \left[ U_{x,\nu} \delta_{x+h_\nu,y} e^{\mu q a \delta_{\nu,4}} - U_{x-h_\nu,\nu}^\dagger \delta_{x-h_\nu,y} e^{-\mu q a \delta_{\nu,4}} \right], \quad (3)$$

$$\eta_1(x) = 1, \quad \eta_\nu(x) = (-1)^{x_1 + \dots + x_{\nu-1}}, \quad \nu = 2, 3, 4.$$

We use  $B = \frac{n_q V}{N_c}$  instead of  $n_q$

$B$  is the baryon number in the lattice volume,

$$\begin{aligned} B(\theta) &= \frac{1}{N_c} \frac{\partial \ln Z_{GC}(\theta)}{\partial \theta} \\ &= \frac{N_f}{4N_c Z_{GC}} \int \mathcal{D}U e^{-S_G} (\det M)^{N_f/4} \text{tr} \left[ M^{-1} \frac{\partial M}{\partial \theta} \right], \end{aligned}$$

where  $M = Q^\dagger(\mu_q)Q(\mu_q) + (ma)^2$ ,  $Q = D_{oe}$  and

$$Z_{GC}(\theta) = \int \mathcal{D}U e^{-S_G} (\det M)^{N_f/4} \quad (4)$$

is the Grand Canonical (GC) partition function.

## Properties of the grand canonical partition function

$$Z_{GC}(\theta, T, V) = \sum_n \langle n | \exp \left( \frac{-\hat{H} + \mu \hat{Q}}{T} \right) | n \rangle \quad (5)$$

meets the fugacity expansion, that is the Laurent series in  $\xi = e^\theta$ :

$$Z_{GC}(\theta, T, V) = \sum_{k=-\infty}^{\infty} Z_C(kN_c, T, V) e^{kN_c \theta}, \quad (6)$$

it involves powers of  $\xi^{N_c}$  owing to the [Roberge-Weiss symmetry](#)

$$Z_{GC}(\theta_l, T, V) = Z_{GC}(\theta_l + 2\pi/N_c, T, V), \quad (7)$$

$$\mathcal{C}\text{-parity} \implies Z_{GC}(\theta_l, T, V) = Z_{GC}(-\theta_l, T, V)$$

- Problem:

The baryon number  $B(\theta)$  cannot be determined in lattice QCD at  $\theta = \theta_R$  because of the sign problem.

- Solution:

Find it at  $\theta = i\theta_I$  and then employ analytical continuation in  $\theta$

- Problem in this way:

Analytical continuation in  $\theta$  depends on parametrization of  $B(\theta)$

- Proposed solution:

Test different parametrizations in the case of  $QC_2D$ , where  $B(\theta)$  can be simulated at both  $\theta = \theta_R$  and  $\theta = i\theta_I$

## Naive analytic continuation

Assuming that

$$B(\theta)\Big|_{\theta_R=0} = i \sum_{n=1}^{\infty} a_n \sin(nN_c\theta_I), \quad (8)$$

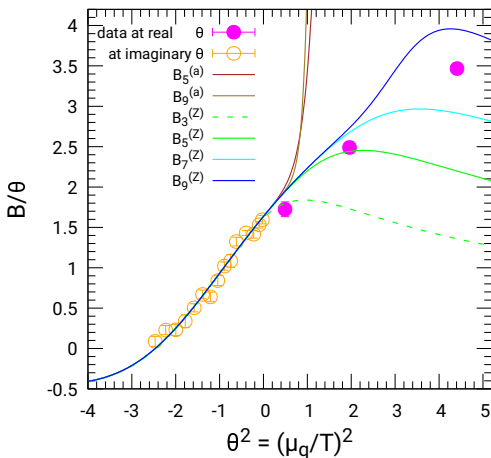
we arrive at

$$B(\theta)\Big|_{\theta_I=0} = \sum_{n=1}^{\infty} a_n \sinh(nN_c\theta_R) \quad (9)$$

Limitations:

- $a_n$  are extracted from a fit over the segment  $0 \leq \theta_I \leq \frac{\pi}{N_c}$   
 $\implies$  only a few of  $a_n$  can be determined.
- Series (9) converges only if  $\lim_{n \rightarrow \infty} \frac{|a_{n+1}|}{|a_n|} = r$  exists  
and  $|\theta_R| < \frac{-\ln r}{N_c}$





$T = 227 \text{ MeV}$

$$B_J^{(a)} = \frac{2 \sum_{n=1}^J n Z_n \sin(n N_c \theta_l)}{1 + 2 \sum_{n=1}^J Z_n \cos(n N_c \theta_l)}$$

# Rational Fraction Model (RFM)

[G. A. Almasi, B. Friman, K. Morita, P. M. Lo, and K. Redlich 2019]

$$B(\theta_l) \Big|_{\theta_R=0} = \sum_{k=1}^{\infty} a_k^{\text{RFM}} \sin(kN_c \theta_l) \quad (10)$$

$$a_n^{\text{RFM}} = (-1)^{n+1} d \frac{1 + \frac{\pi^2(N_c^2 - 1)}{6} n^2}{n^3(1 + n\kappa)}. \quad (11)$$

$a_n^{\text{RFM}} \sim \frac{(-1)^k}{k^2}$  as  $k \rightarrow \infty \implies$  nonanalytic behavior:

$$B(\theta) \sim \left( \theta_l - \frac{\pi}{N_c} \right) \ln \left( \frac{\pi}{N_c} - \theta_l \right) \quad \text{as} \quad \theta_l \rightarrow \frac{\pi}{N_c} \quad (12)$$

$$\begin{aligned}
 B_{RFM}(\theta) = d \left\{ \left( \frac{\pi^2(N_c^2 - 1)}{6} + \kappa^2 \right) \left[ \frac{\theta N_c}{2} - \right. \right. & \quad (13) \\
 - \left( \beta \left( \frac{1}{\kappa} \right) - \frac{\kappa}{2} \right) \sinh \left( \frac{\theta N_c}{\kappa} \right) + \frac{1}{2} \int_0^{\theta N_c} dt \tanh \frac{t}{2} \sinh \frac{\theta N_c - t}{\kappa} & \\
 \left. + \frac{\pi^2}{12} \left( \theta N_c + \frac{(\theta N_c)^3}{\pi^2} \right) - \kappa \int_0^{\theta N_c} \ln \left( 2 \cosh \frac{t}{2} \right) dt \right\} &
 \end{aligned}$$

where

$$\beta(z) = \frac{1}{2} \left( \psi \left( \frac{z+1}{2} \right) - \psi \left( \frac{z}{2} \right) \right), \quad \psi(z) = \frac{1}{\Gamma(z)} \frac{d\Gamma(z)}{dz}$$

# Cluster Expansion Model (CEM)

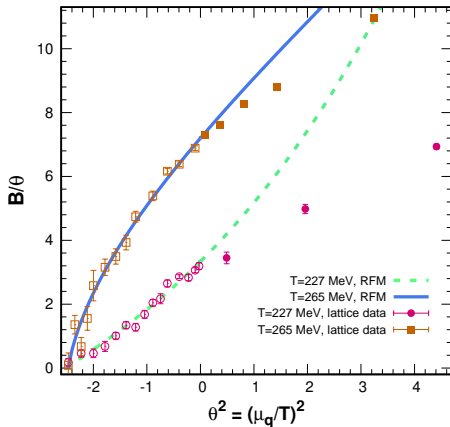
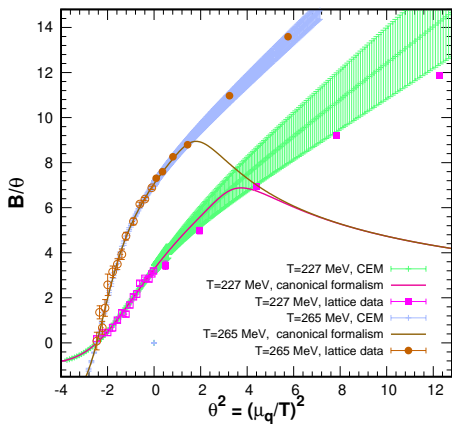
[V. Vovchenko, J. Steinheimer, O. Philipsen and H. Stoecker 2018]

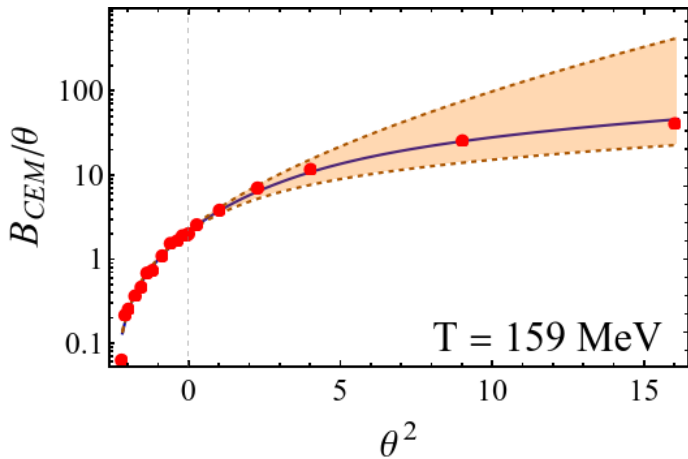
$$B(\theta_l) \Big|_{\theta_R=0} = \sum_{k=1}^{\infty} a_k^{\text{CEM}} \sin(kN_c \theta_l) \quad (14)$$

$$b_k = (-1)^{k+1} \frac{b q^{k-1}}{k} \left[ 1 + \frac{6}{\pi^2(N_c^2 - 1)k^2} \right] \quad (15)$$

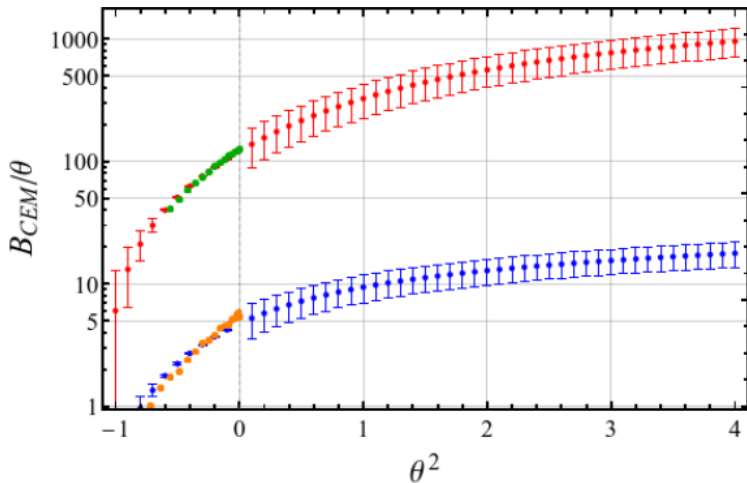
$$B = \frac{b}{2q} \left\{ \ln \frac{1 + q \exp(\theta N_c)}{1 + q \exp(-\theta N_c)} + \right. \\ \left. + \frac{6}{\pi^2(N_c^2 - 1)} \left[ \text{Li}_3(-q e^{-\theta N_c}) - \text{Li}_3(-q e^{\theta N_c}) \right] \right\} . \quad (16)$$

# Comparison of the CEM and RFM with lattice data





$L = 3.5 \text{ fm}$



$SU(3)$   $16^3 \times 4$  lattice,  
 lower curve  $T = 0.8T_C$ ,  
 upper curve -  $T = 1.8T_C$ .

Fugacity expansion

$$\frac{Z_{GC}(\theta, T, V)}{Z_C(0, T, V)} = 1 + \sum_{n=1}^{\infty} Z_n \left( e^{nN_c\theta} + e^{-nN_c\theta} \right) \quad (17)$$

provides a natural parametrization of  $B(\theta)$ ,

$$B(\theta) = \frac{-1}{N_c} \frac{\partial(T \ln Z)}{\partial \mu_q} = \frac{2 \sum_{n=1}^{\infty} n Z_n \sinh(nN_c\theta)}{1 + 2 \sum_{n=1}^{\infty} Z_n \cosh(nN_c\theta)} \quad (18)$$

$$B(\theta_l) \Big|_{\theta_R=0} = i \sum_{n=1}^{\infty} a_n \sin(nN_c\theta_l) \quad (19)$$

$$\sum_{n=1}^{\infty} a_n \sin(nN_c\theta_l) = \frac{2 \sum_{n=1}^{\infty} n Z_n \sin(nN_c\theta_l)}{1 + 2 \sum_{n=1}^{\infty} Z_n \cos(nN_c\theta_l)} \quad (20)$$

Problem: Given  $a_n$ , find  $Z_n$



$$\text{Trigonometric identities} \implies \mathbf{a}_i = \sum_{j=1}^{\infty} W_{ij} Z_j, \quad (21)$$

$$W_{jk} = 2j\delta_{jk} - \mathbf{a}_{j+k} + \mathbf{a}_{|j-k|} \cdot \text{sign}(k-j) \quad [\text{sign}(0) = 0]. \quad (22)$$

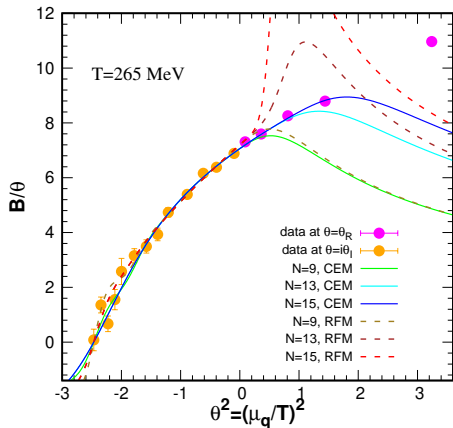
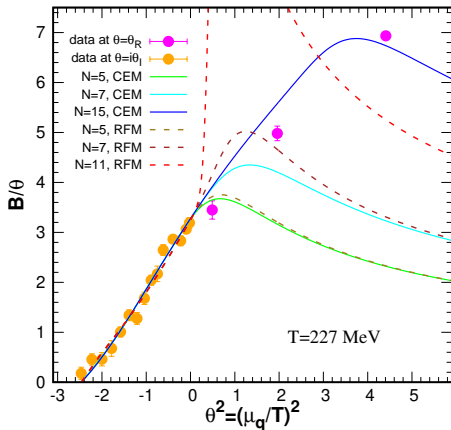
$$\mathbf{Z} = \mathbf{W}^{-1} \mathbf{a}. \quad (23)$$

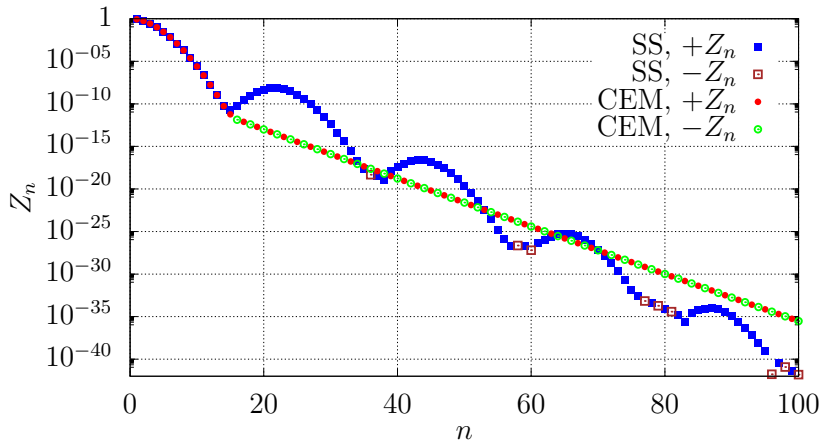
$$Z_{GC}(\theta_l) = \exp \left( N_c \sum_{n=1}^N \frac{a_n}{2n} \left( \cos(nN_c \theta_l) - 1 \right) \right) \quad (24)$$

The inverse of the fugacity expansion has the form

$$Z_C(n, T, V) = \int_0^{2\pi} \frac{d\theta_l}{2\pi} e^{-in\theta_l} Z_{GC}(\theta_l, T, V), \quad (25)$$

# Comparison of the fugacity expansions using CEM and RFM





SS -  $Z_n$  from the truncated Fourier series;  
 CEM -  $Z_n$  found using analytic formula;

Empty symbols:  $Z_n < 0$

We prove numerically the following statement:

At certain values of  $b$  and  $q$ , the coefficients

$$b_k = (-1)^{k+1} \frac{bq^{k-1}}{k} \left[ 1 + \frac{6}{\pi^2(N_c^2 - 1)k^2} \right]$$

yield negative values of  $Z_n$ .

$\implies$  these values of  $b$  and  $q$  are unphysical.

$Z_n \geq 0$ ;  $Z_n = 0 \implies$  absence of  $n$ -particle states.

To study limitations on the parameters in more detail, we consider a simplified formula,

$$b_k = (-1)^{k+1} bq^{k-1}$$

It is helpful to start from the other end:

to consider the simplest possible partition function

$$Z_{GC}(\theta) = (1 + qe^\theta)(1 + qe^{-\theta}).$$

$$Z_0 = 1 + q^2, \quad Z_1 = Z_{-1} = q.$$

$$B = \frac{\partial \ln Z_{GC}(\theta)}{\partial \theta} = \frac{2q \sinh \theta}{1 + 2q \cosh \theta + q^2} \longrightarrow 2 \sum_{n=1}^{\infty} (-1)^{n+1} q^n \sin n\theta,$$

Comparing this with the formula

$$b_k = (-1)^{k+1} b q^{k-1}$$

we arrive at

$$b=2q$$

For example,  $b = 4q$  results in the partition function

$$Z_{GC}(\theta) = (1 + qe^\theta)^2(1 + qe^{-\theta})^2$$

with

$$Z_0 = 1 + 4q^2 + q^4$$

$$Z_1 = Z_{-1} = 2q(1 + q^2)$$

$$Z_2 = Z_{-2} = q^2$$

$$Z_k = Z_{-k} = 0, \quad \text{if } k > 2$$

$b = 2jq$  gives

$$Z_{GC}(\theta) = (1 + qe^\theta)^j(1 + qe^{-\theta})^j$$

and at  $j \in \mathbb{Z}$  we arrive at a finite number of positive  $Z_n$  ( $n \leq j$ );  
 $Z_n = 0$  at  $n > j$ .

$b = jq$  at  $j \notin \mathbb{Z}$  gives rise to negative  $Z_n$

As an example, let us consider  $j = 0.5$ :

$$Z_{GC}(\theta) = \sqrt{1 + qe^\theta} \sqrt{1 + qe^{-\theta}}$$

At  $\ln q < \theta < -\ln q$  it can be expanded in the Taylor series in  $\xi = e^\theta$ .

$$\sqrt{1 + q\xi} = 1 + \frac{q\xi}{2} - \frac{q^2\xi^2}{8} + \frac{q^3\xi^3}{16} - \frac{5q^4\xi^4}{128} + \dots$$

$$\sqrt{1 + \frac{q}{\xi}} = 1 + \frac{q}{2\xi} - \frac{q^2}{8\xi^2} + \frac{q^3}{16\xi^3} - \frac{5q^4}{128\xi^4} + \dots$$

$$Z_0 = 1 + \frac{q^2}{4} - \frac{q^3}{8} + \dots ; \quad Z_1 = \frac{q}{2} - \frac{q^3}{16} + \frac{q^5}{128} - \dots ;$$
$$Z_2 = -\frac{q^2}{8} + \frac{q^4}{32} + \frac{5q^6}{1024} + \dots$$

$b = jq$  at  $j \notin \mathbb{Z}$  and  $j \gg 1$

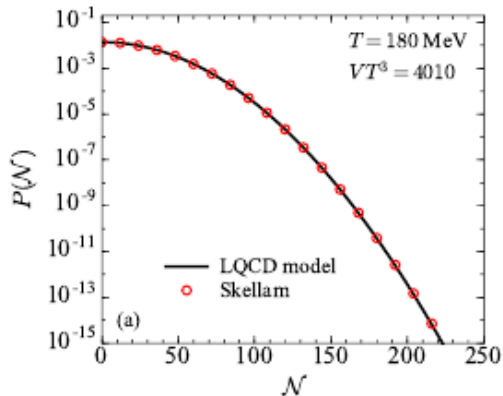
As an example, let us consider  $j = 100.5$ :

$$Z_{GC} = (1 + q\xi)^j \left(1 + \frac{q}{\xi}\right)^j$$

$$(1 + q\xi)^j = 1 + jq\xi + \frac{j(j-1)}{2!} q^2 \xi^2 + \frac{j(j-1)(j-2)}{3!} q^3 \xi^3 + \dots$$

At  $n < 100$  we obtain  $Z_n > 0$





$$\mathcal{P}(n) = \frac{Z_n}{Z_0 + 2 \sum_{j=1}^{\infty} Z_j} \text{ from the paper Koch, Bzdak 2018}$$

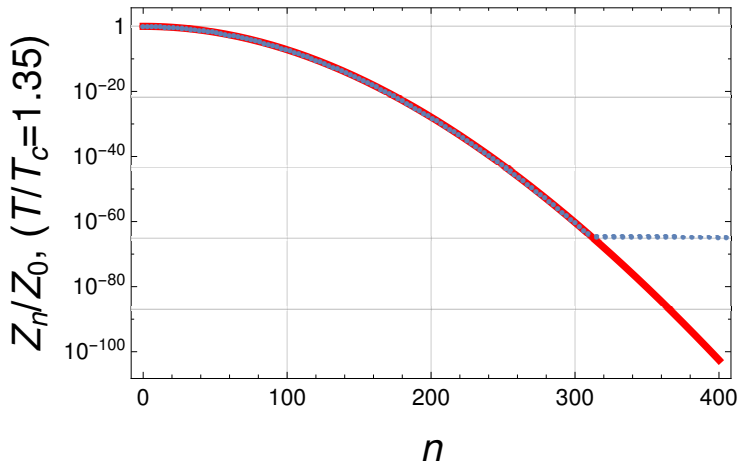
$$Z_{GC}(\theta) = \lim_{N \rightarrow \infty} \sum_{n=-N}^N Z_n \xi^n, \quad \xi = e^\theta$$

$$Z_n = \frac{\int_0^{\pi/3} d\theta e^{-F_n(\theta)}}{\int_0^{\pi/3} d\theta e^{-F_0(\theta)}}$$

where

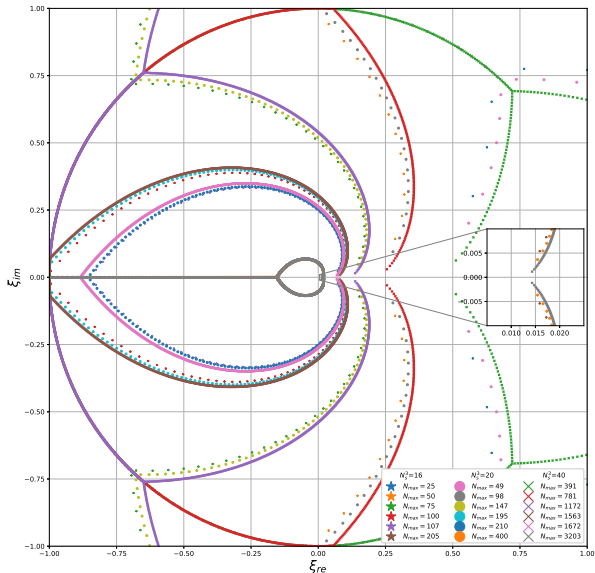
$$F_n(\theta) = -in\theta + VT^3 \left( \frac{1}{2} a_1 \theta^2 - \frac{1}{4} a_3 \theta^4 + \frac{1}{6} a_5 \theta^6 \right)$$

- Numerical high-precision evaluation:  $Z_n \longrightarrow Z_{nN}$
- Asymptotic estimate:  $Z_n \longrightarrow Z_{nA}$



— Analytical

• Numerical



Distribution  
of Lee-Yang  
zeroes in the  
fugacity plane  
at  $T > T_{RW}$   
( $T = 1.35T_C$ )

## Conclusions

We have studied the analytical continuation of the quark density in  $QC_2D$  at  $T < T_{RW}$  using various parametrizations. It was found

theoretical framework of parametrization	Agreement of the respective analytical continuation with lattice data at real $\mu_q$
truncated Fourier series	bad
CEM	excellent
RFM	poor
the grand canonical approach with the CEM	good at $ \mu_q  < 320 \div 390$ MeV

Problem of negative canonical partition functions  $Z_C(n, T, V)$  calls for further work