Neutrinos: from past surprises to current puzzles

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Horizon2020

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Neutrinos are all around and through us.



FACT: about 65 million neutrinos pass through your thumbnail every second.

Least Something New Energ Day LENED.com

Neutrinoscope



NeutrinoScope 4+

Bring neutrinos alive with AR! Cambridge Consultants

★★★★★ 5.0, 7 Ratings

Free



Neutrinoscope is a free App for iPhone and iPad developed by Cambridge Consultants and Durham University. It allows to visualise the neutrinos as they are around us.

Why are neutrinos interesting?

• Neutrino masses imply new physics BSM. Their origin is a necessary ingredient for the newSM.

• The least know of all SM fermions (a window on the BSM?): portal to dark sectors.

• Their nature (and the mass) is related to the fundamental symmetries of nature (lepton number?, link with proton decay).

• The most abundant of all fermions in the Universe with strong impact of its evolution.

• Neutrino mass models can explain the baryon asymmetry of the Universe.

Neutrinos in the SM

Neutrinos are the lightest and most elusive of all the known elementary particles.





• Neutrinos in the SM are described by Weyl spinors with left chirality $(P_L=I-\gamma_5/2)$.

 They come in doublets with the charged leptons. • Neutrinos come in 3 flavours, corresponding to each of the charged leptons.

Normal electron electron antineutrino

They have charge current (CC) and neutral current (NC) interactions

$$\mathcal{L}_{\rm SM} = -\frac{g}{\sqrt{2}} \sum_{\alpha = e, \,\mu, \,\tau} \overline{\nu}_{\alpha L} \gamma^{\mu} \ell_{\alpha L} W_{\mu} - \frac{g}{2\cos\theta_W} \sum_{\alpha = e, \,\mu, \,\tau} \overline{\nu}_{\alpha L} \gamma^{\mu} \nu_{\alpha L} Z_{\mu} + \text{h.c.}$$

They carry lepton number, U(I)_{lepton}

 $\ell \stackrel{U(1)_{
m lepton}}{
ightarrow} e^{ilpha}\ell \qquad \stackrel{U(1)_{
m lepton}}{
u_{I}} \stackrel{ilpha}{
ightarrow} e^{ilpha}
u_{L}$

Neutrino oscillations: a quantum mechanical phenomenon

Astrophysical neutrinos, produced in the Sun, Supernova and in the atmosphere, were studied and presented anomalies.





Photomultiplier Tubes



Nobel prize in 2002



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Nobel Prize in Physics 2015



What was going on?

The first idea of neutrino oscillations forward by B. Pontecorvo in 1957.

In a SM interaction a neutrino of one type (electron, muon or tau) is produced. While travelling it changes its "flavour" and can even become another type of neutrino.

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Бруно Понтекоры

Neutrino mixing

Mixing is described by the *Pontecorvo-Maki*-Nakagawa-Sakata matrix:



which enters in the CC interactions

$$\mathcal{L}_{CC} = -\frac{g}{\sqrt{2}} \sum_{k\alpha} \left(\frac{U_{\alpha k}^*}{\nu_{kL}} \gamma^{\rho} l_{\alpha L} W_{\rho} + \text{h.c.} \right)$$

This implies that with an electron a superposition of different neutrino mass eigenstates is involved.

Positron

, electron neutrino $=\sum U_{ei}^* \nu_i$

$$U = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{-i\delta} & 0 & c_{13} \end{pmatrix}$$
$$\begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & e^{i\alpha_{21}/2} & 0 \\ 0 & 0 & e^{i\alpha_{31}/2} \end{pmatrix}$$

For antineutrinos, $U o U^*$

CP-conservation requires $U \text{ is real} \Rightarrow \delta = 0, \pi$

It is useful to express the CP violating effects in a rephrasing invariant manner (Jarlskog invariant): $J \equiv \Im[U_{\mu3}U_{e2}U_{\mu2}^*U_{e3}^*] = \frac{1}{8}\sin 2\theta_{12}\sin 2\theta_{23}\sin 2\theta_{13}\cos \theta_{13}\sin \delta$



A flavour neutrino is a superposition of different mass states. If their mass is different, then they will evolve in time difference and later their combination can correspond to a different type of neutrino.

This is an eminently quantomechanical effect, similar to other observed ones, such as spin precession. It has an oscillatory behaviour.

(a)Nature



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Let's assume that at t=0 a muon neutrino is produced

$$|\nu, t = 0\rangle = |\nu_{\mu}\rangle = \sum_{i} U_{\mu i}^{*} |\nu_{i}\rangle$$

The time-evolution is given by the solution of the Schroedinger equation with free Hamiltonian:

$$|\nu,t\rangle = \sum_{i} U_{\mu i}^{*} e^{-iE_{i}t} |\nu_{i}\rangle$$

In the same-momentum approximation:

$$E_1 = \sqrt{p^2 + m_1^2}$$
 $E_2 = \sqrt{p^2 + m_2^2}$ $E_3 = \sqrt{p^2 + m_3^2}$

Note: other derivations are also valid (same E formalism, etc).

At detection one projects over the flavour state as these are the states which are involved in the interactions. The probability of oscillation is $P(\nu_{\mu} \to \nu_{\tau}) = |\langle \nu_{\tau} | \nu, t \rangle|^2$ $= \sum_{ij} U_{\mu i}^* U_{\tau j} e^{-iE_i t} \langle \nu_j | \nu_i \rangle$ $= \left| \sum_{i} U_{\mu i}^{*} U_{\tau i} e^{-iE_{i}t} \right|^{2}$ Typically, neutrinos are very relativistic: $E_i \simeq p + \frac{m_i^2}{2n}$ $= \left| \sum_{i} U_{\mu i}^{*} U_{\tau i} e^{-i \frac{m_{i}^{2}}{2E} t} \right|^{2}$ $= \left| \sum_{i} U_{\mu i}^{*} U_{\tau i} e^{-i \frac{m_{i}^{2} - m_{1}^{2}}{2E}t} \right|$

Implications of the existence of neutrino oscillations

The oscillation probability implies that

$$P(\nu_{\alpha} \to \nu_{\beta}) = \left| \sum_{i} U_{\alpha 1}^{*} U_{\beta 1} e^{-i \frac{\Delta m_{i1}^{2}}{2E} L} \right|^{2}$$

 neutrinos have mass (as the different components of the initial state need to propagate with different phases)

• neutrinos mix (as U needs not be the identity. If they do not mix the flavour eigenstates are also eigenstates of the propagation Hamiltonian and they do not evolve) Current knowledge of neutrino properties Neutrinos oscillations in experiments

In CC (NC) SU(2) interactions, the W boson (Z boson) are exchanged leading to the production and detection of neutrinos.



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Neutrino experiments

Solar neutrinos: E~0.1-10 MeV matter effects

LBL Reactor neutrinos exp: E~3 MeV, L~100 Km



Y. Nakajima, for Super-Kamiokande, Neutrino 2020

Neutrino experiments



Current status of neutrino parameters

NuFIT 5.1 (2021)

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		bfp $\pm 1\sigma$	3σ range	bfp $\pm 1\sigma$	3σ range
without SK atmospheric data	$\sin^2 \theta_{12}$	$0.304\substack{+0.013\\-0.012}$	$0.269 \rightarrow 0.343$	$0.304^{+0.012}_{-0.012}$	$0.269 \rightarrow 0.343$
	$\theta_{12}/^{\circ}$	$33.44_{-0.74}^{+0.77}$	$31.27 \rightarrow 35.86$	$33.45_{-0.74}^{+0.77}$	$31.27 \rightarrow 35.87$
	$\sin^2 \theta_{23}$	$0.573\substack{+0.018\\-0.023}$	$0.405 \rightarrow 0.620$	$0.578^{+0.017}_{-0.021}$	$0.410 \rightarrow 0.623$
	$\theta_{23}/^{\circ}$	$49.2^{+1.0}_{-1.3}$	$39.5 \rightarrow 52.0$	$49.5^{+1.0}_{-1.2}$	$39.8 \rightarrow 52.1$
	$\sin^2 \theta_{13}$	$0.02220\substack{+0.00068\\-0.00062}$	$0.02034 \to 0.02430$	$0.02238^{+0.00064}_{-0.00062}$	$0.02053 \rightarrow 0.02434$
	$\theta_{13}/^{\circ}$	$8.57\substack{+0.13\\-0.12}$	$8.20 \rightarrow 8.97$	$8.60\substack{+0.12\\-0.12}$	$8.24 \rightarrow 8.98$
	$\delta_{ m CP}/^{\circ}$	194^{+52}_{-25}	$105 \to 405$	287^{+27}_{-32}	$192 \rightarrow 361$
	$\frac{\Delta m^2_{21}}{10^{-5}~{\rm eV}^2}$	$7.42^{+0.21}_{-0.20}$	$6.82 \rightarrow 8.04$	$7.42^{+0.21}_{-0.20}$	$6.82 \rightarrow 8.04$
	$\frac{\Delta m_{3\ell}^2}{10^{-3} \text{ eV}^2}$	$+2.515\substack{+0.028\\-0.028}$	$+2.431 \rightarrow +2.599$	$-2.498\substack{+0.028\\-0.029}$	$-2.584 \rightarrow -2.413$

M. C. Gonzalez-Garcia et al., 2007.14792

Neutrino properties after July 2019

NuFIT 4.1 (2019)

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Neutrino properties as of October 2021

NuFIT 5.1 (2021)



Current status: • 2 mass squared differences • 3 sizable mixing angles, • mild hints of CPV mild indications in favour of NO

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Current Hints for CP violation?



Current Hints for CP violation? M. C. Gonzalez-Garcia et al., NuFit, 2007.14792 0.7 Normal Hierarchy 0.6 $sin^2 \theta_{23}$ 0.5 0.4 0.01 0.03 0.04 0.02 T2K, Nature 580: ■ BF — ≤ 90% CL ···· ≤ 68% CL $\sin^2 \theta_{13}$ NOvA: + BF ≤ 90% CL \leq 68% CL 0.3 $\frac{\pi}{2}$ $\frac{3\pi}{2}$ 2π NOvA coll., 2108.08219 $\boldsymbol{\delta}_{\text{CP}}$

Some mild preference for CP-violation, mainly due to combining T2K (NOvA) with reactor neutrino data. Significance for NO decreased to about 1.6 sigma (2.7 sigma with inclusion of SK atm data).

Neutrino masses

 $\Delta m_{\rm s}^2 \ll \Delta m_{\rm A}^2$ implies at least 3 massive neutrinos.



Fractional flavour content of massive neutrinos

Using

$$m_2 = \sqrt{m_2^2} = \sqrt{m_2^2 \pm m_1^2} = \sqrt{m_1^2 + \Delta m_{21}^2}$$

we can express the masses in terms of MO and m_{MIN}:

$$m_{1} = m_{\min} \qquad m_{3} = m_{\min} m_{2} = \sqrt{m_{\min}^{2} + \Delta m_{sol}^{2}} \qquad m_{3} = m_{\min} m_{3} = \sqrt{m_{\min}^{2} + \Delta m_{sol}^{2}} \qquad m_{1} = \sqrt{m_{\min}^{2} + |\Delta m_{A}^{2}| - \Delta m_{sol}^{2}/2} m_{1} = \sqrt{m_{\min}^{2} + |\Delta m_{A}^{2}| + \Delta m_{sol}^{2}/2}$$

- What is the nature of neutrinos? Dirac vs Majorana?
- What are the values of the masses? Absolute scale (KATRIN, ...?) and the ordering.
- Is there CP-violation? Its discovery in the next generation of LBL depends on the value of delta.
- What are the precise values of mixing angles? Do they suggest an underlying pattern?
- Is the standard picture correct? Are there NSI? Sterile neutrinos? Other effects?

	2020	2025	2030	2035
LBL osc.	T2K NOvA	LBNF-DU T2HK (T	UNE <mark>E</mark> 2HKK) n	SSnuSB?, ufactory?
SBL osc.	SBL reactor, MicroBooNE SBN	LBNF-DUN T2HK ND ???	IE ND	
Other osc.	SK, Borexino, LBL detectors JUNO	DUNE HK		Theia???
Direct mass	KATRIN	Project	8	
DBD0n u	KamLAND-Zen GERDA CUORE LEGENE NEXT-I	LEGENI CUPID NEXT-F D-200 00. nEXO	D-1000 HD, PANDAX	Next- next . gen?
UHE	IceCube OR	CubeGen2 CA, KM3Net		

Neutrino nature

Neutrinos can be Majorana or Dirac particles. In the SM only neutrinos can be Majorana as they are neutral.

Majorana condition

$$\nu = C \bar{\nu}^T$$

The nature of neutrinos is linked to the conservation of Lepton number (L).

• This is crucial information to unveil the Physics BSM: with or without L-conservation? Lepton number violation is a necessary condition for Leptogenesis.

• Tests of LNV:

- At low energy, neutrinoless double beta decay,
- LNV tau and meson decays, collider searches.

Neutrinoless double beta decay

Neutrinoless double beta decay, $(A, Z) \rightarrow (A, Z+2) + 2e$, will test the nature of neutrinos.



SP, CERN Courier, Jul 2016

The half-life time depends on neutrino properties

$$(T_{0\nu}^{1/2})^{-1} \propto |M_{NME}|^2 |m_{\beta\beta}|^2$$

• The effective Majorana mass parameter:

$$|m_{\beta\beta}| \equiv |m_1|U_{\rm e1}|^2 + m_2|U_{\rm e2}|^2 e^{i\alpha_{21}} + m_3|U_{\rm e3}|^2 e^{i\alpha_{31}} |$$

Mixing angles (known) CPV phases (unknown) M_{NME} are the nuclear matrix elements

Predictions for betabeta decay

The predictions for m_{bb} depend on the neutrino masses:



Wide experimental program which is ongoing. The next generation is well into planning and R&D for future. A positive signal would indicate L violation!

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Experimental searches of betabeta decay

Neutrinoless double beta decay can be tested in nuclei in which single beta decay is kinematically forbidden (⁷⁶Ge, ¹⁰⁰Mo, ¹³⁰Te, ¹³⁶Xe...). It is a very rare process:







News

Roman ingots to shield particle detector

Lead from ancient shipwreck will line Italian neutrino experiment.



The ultimate goal of next generation is $m_{bb} \sim 15-20$ meV.

Measuring neutrino masses

• Absolute mass scale.





Neutrino mass ordering

• Mass ordering via neutrino oscillation in matter or in vacuum (JUNO). Discovery expected within 10 years thanks to relatively large θ_{13} .

Atm neutrinos

Exploit the matter effects in Earth. Without detector magnetisation, require large mass (multi Mton) and excellent angular and energy resolution (ORCA, IceCube

Gen 2, HK, INO).



Long baseline neutrino oscillation experiments



Long baseline oscillations: mass ordering and CPV

Long baseline neutrino oscillation experiments (T2K, NOvA, DUNE, T2HK) study the subdominant channels



Present/Future LBL exp DUNE: 130



NOvA: 810 km off-axis ~14 kton plastic scintillator detector

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Mass ordering and CPV sensitivity



Measurement of oscillation parameters



Beyond 3-neutrino mixing?

Sterile neutrinos

Sterile neutrinos: hypothetical neutral fermionic singlets of the Standard Model. Generically they mix with the light neutrinos:

 $\begin{bmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \\ \nu_e \end{bmatrix} = U_{4\times 4} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \\ \nu_4 \end{pmatrix}$ **Flavour** state **Massive state** Nearly-sterile neutrino, commonly called sterile neutrino

 $\mathcal{L} = \dots + \ell_L U_{\ell 4} \gamma_\mu \nu_{4,L} W^\mu + \mathrm{NC} + \mathrm{h.c.}$

Adding sterile neutrinos to the Standard Model is a minimal extension BSM.

- Theory remains anomaly free.

- Can give origin to neutrino masses and explain their smallness (at least in some cases).

- GUT theories embedding L-R symmetries, e.g. SU(4), SO(10),... predict their existence.

- There is no unique motivation for choosing one mass scale instead of another (except for a naturalness principle: setting their mass to zero restores the lepton number symmetry).

Light (nearly-)sterile neutrinos $\Delta m_s^2 \ll \Delta m_A^2$ implies at least 3 massive neutrinos. $\Delta m_s^2 \ll \Delta m_A^2 \ll \Delta m_{41}^2$ implies at least 4 massive nus.



Fractional flavour content of massive neutrinos

Appearance oscillation probability at short baselines: $P(\nu_{\alpha} \rightarrow \nu_{\beta}) = 4|U_{\alpha 4}|^2|U_{\beta 4}|^2\sin^2\frac{\Delta m_{41}^2L}{4E}$ Oscillation disappearance probability:

$$P(\nu_{\alpha} \to \nu_{\alpha}) = 1 - 4|U_{\alpha 4}|^2 (1 - |U_{\alpha 4}|^2) \sin^2 \frac{\Delta m_{41}^2 L}{4E}$$

Neutrino oscillation appearance channel

There are hints beyond standard 3 neutrino mixing.



$$\mu^+ \to e^+ + \nu_e + \bar{\nu}_\mu$$





LSND reported the appearance of electron antineutrinos (inverse beta decay) at short distance (~30 m) from muon decays (DAR). A 3.8 sigma effect, not confirmed by KARMEN. **MiniBooNE** was designed to test the LSND results. <E>~700 MeV and L~500 m. It found an excess of events at low energy.



MiniBooNE reports a low-E excess which has increased in significance over time $(3.6\sigma -> 4.7\sigma > 4.8\sigma)$.







Muon neutrino disappearance channel

Muon neutrino disappearance studies have reported no hints but only strong bounds: IceCube (possible hint?), CDHS, MiniBooNE, SK, DeepCore, NOvA, MINOS/ MINOS+.



IceCube Coll., PRL 2020

Very short baseline experiments

Reactor anomaly: A deficit of electron antineutrino events. Experiments with very short baselines have been designed to test these anomalies in controlled conditions.





Explained by oscillation disappearance:

$$P(\nu_{\alpha} \to \nu_{\alpha}) = 1 - 4|U_{\alpha 4}|^2 (1 - |U_{\alpha 4}|^2) \sin^2 \frac{\Delta m_{41}^2 L}{4E}$$

Appearance experiments require mixing both with electron neutrinos and muon neutrinos: Tension.

$$P(\nu_{\alpha} \to \nu_{\beta}) = 4|U_{\alpha 4}|^2 |U_{\beta 4}|^2 \sin^2 \frac{\Delta m_{41}^2 L}{4E}$$



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My take: The situation is still rather uncertain. Possibly the appearance results are not due to oscillations??? Major implications for cosmology.

Gariazzo et al., in preparation, TAUP 2021, See also Gariazzo et al., JHEP 1706 (2017)



MicroBooNE and SBN at Fermilab

They use accelerator neutrino experiments with L~100-600m and E~700-800 MeV.



MicroBooNE detector



Accelerator neutrino experiments should provide the definitive answer and can check both the appearance and disappearance channels.

First results from MicroBooNE. - - Is the MiniBooNE LEE is due to SM photons?



NC Δ→Nγ (Neutral Current Δ radiative decay)

NC $\Delta \rightarrow N\gamma$ is a source of photons **not constrained directly** by the MiniBooNE experiment; rather, the rate was predicted by using the measured NC π° and **assuming a theoretical branching fraction** for the radiative decay.

M. Ross-Lonergan's talk at Fermilab, 04/10/2021

First results from MicroBooNE. - Is the MiniBooNE LEE is due to SM photons?



My take: Most likely not.

M. Ross-Lonergan's talk at Fermilab, 04/10/2021 MicroBooNE Coll., 2110.00409

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First results from MicroBooNE. - Is the MiniBooNE LEE is due to SM photons?



My take: Most likely not.

MicroBooNE Coll., 2110.00409

- Is the MiniBooNE LEE is due to electrons?



Electrons would come from nu_e scattering, and would signal neutrino oscillations.

My take: Most likely not.

My interpretation:

- MB LEE is not due to SM Delta photons.
- MicroBooNE results also strongly disfavour a neutrino oscillation explanation. This is compatible with the BEST and other electron neutrino disappearance anomalies.

Then, what is the MiniBooNE LEE due to?

BSM explanations for MB LEE

Due to the WC nature of MB, single electrons can be mimicked by photons and by electronpositron pairs (if overlapping or asymmetric).

Electrons? Or Photons?Or Neither?

Rich phenomenology developing in recent years around the possibility of the MiniBooNE excess being due to e'e' pairs from decays of new exotic particles.

- Decays of new dark gauge bosons (Z')
 - E. Bertuzzo, S. Jana, P. A.N. Machado, R.Zukanovich Funchal <u>Phys RevLett. 121 24, 241801(2018)</u>
 - P. Ballett, S. Pascoli, M. RL Phys. Rev. D 99, 071701 (2019)
 - A. Abdullahi, M, Hostert, S.Pascoli <u>Phys.Lett.B 820 136531(2021</u>)
- General Extended higgs sectors + Decay
 - B. Dutta, S. Ghosh, T. Li Phys. <u>Rev. D 102, 055017 (2020)</u>
 - W. Abdallah, R. Gandhi, S. Roy Phys. Rev. D 104, 055028 (2021)
- Decays of leptophilic axion-like particles
 - C. V. Chang, C, Chen, S. Ho, S. Tseng Phys. Rev. D 104, 015030 (2021)

M. Ross-Lonergan's talk at Fermilab, 04/10/2021





A viable explanation of the MiniBooNE low-E excess is provided by the up-scattering of an HNL N in the detector and its decay into ee nu.





In a dark sector extension of the SM (U(I)') with associated dark photon and 3 heavy neutrinos (for anomaly cancellation) the decay HNL decays to ee can be very fast explaining the data.

Bounds change if additional interactions are allowed, e.g. dark forces (HNL can decay invisibly or semivisibly).

A host of other signatures

There is a longstanding discrepancy between the measured value of a_{μ} and the theoretical prediction, at 3.8 sigma. For the most recent analysis, see

Keshavarzi, Marciano, Passera, Sirlin.

$$\Delta a_{\mu} \equiv a_{\mu}^{exp} - a_{\mu}^{th} = (274 \pm 73) \times 10^{-11}$$

Kinetic mixing and light Z' can explain the anomaly,...



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If this is true, what does it imply?



G. de Jode, 1593

Neutrinos as a window to Dark sectors???

The dark or hidden sector indicate extensions of the SM that are below the electroweak scale.





The dark sector interacts with SM via so-called portals:

FIPs report, 2102.12143

The neutrino portal

The dark sector can interact with SM via an sterile neutrino NR, being neutral, which couples to both: neutrino portal



After EW and U(1)' breaking, the active, sterile and dark neutrinos mix. Neutrinos can interact with the new sector (including DM). Neutrino experiments can play a key role in its exploration. Major implications for cosmology.

Conclusions

Neutrinos are the most elusive and mysterious of the known particles. Neutrino masses only particle physics evidence BSM.

Current status: precise knowledge of most of neutrino properties. Key questions open (nature, CPV) due to be answered in the next decade. Thriving experimental programme.

Surprises in store? MiniBooNE LEE remains a puzzle. New MicroBooNE results point away from sterile neutrinos. Neutrino4 and BEST anomalies?

Are neutrinos pointing towards a new understanding of particles: dark sector?