

Correlation functions of the XXZ open spin chain with unparallel boundary fields

Véronique TERRAS

CNRS - LPTMS, Univ. Paris Saclay

based on joined work with G. Niccoli (ENS Lyon)

Workshop on Randomness, Integrability and Universality

Galileo Galilei Institute — April 22, 2022

The open XXZ chain with boundary fields

$$H_{\text{XXZ}}^{\text{open}} = \sum_{m=1}^{N-1} \{ \sigma_m^x \sigma_{m+1}^x + \sigma_m^y \sigma_{m+1}^y + \Delta \sigma_m^z \sigma_{m+1}^z \} \\ + h_-^x \sigma_1^x + h_-^y \sigma_1^y + h_-^z \sigma_1^z + h_+^x \sigma_N^x + h_+^y \sigma_N^y + h_+^z \sigma_N^z$$

- space of states: $\mathcal{H} = \otimes_{n=1}^N \mathcal{H}_n$ with $\mathcal{H}_n \simeq \mathbb{C}^2$
- $\sigma_m^{x,y,z} \in \text{End}(\mathcal{H}_n)$: local spin-1/2 operators (Pauli matrices) at site m
- anisotropy parameter $\Delta = \cosh \eta$
- boundary fields $h_{\pm}^{x,y,z}$ parametrised in terms of 6 boundary parameters $\varsigma_{\pm}, \kappa_{\pm}, \tau_{\pm}$, or alternatively $\varphi_{\pm}, \psi_{\pm}, \tau_{\pm}$:

$$h_{\pm}^x = 2\kappa_{\pm} \sinh \eta \frac{\cosh \tau_{\pm}}{\sinh \varsigma_{\pm}}, \quad h_{\pm}^y = 2i\kappa_{\pm} \sinh \eta \frac{\sinh \tau_{\pm}}{\sinh \varsigma_{\pm}}, \quad h_{\pm}^z = \sinh \eta \coth \varsigma_{\pm} \\ \sinh \varphi_{\pm} \cosh \psi_{\pm} = \frac{\sinh \varsigma_{\pm}}{2\kappa_{\pm}}, \quad \cosh \varphi_{\pm} \sinh \psi_{\pm} = \frac{\cosh \varsigma_{\pm}}{2\kappa_{\pm}}$$

The open XXZ chain with boundary fields

$$H_{\text{XXZ}}^{\text{open}} = \sum_{m=1}^{N-1} \{ \sigma_m^x \sigma_{m+1}^x + \sigma_m^y \sigma_{m+1}^y + \Delta \sigma_m^z \sigma_{m+1}^z \} \\ + h_-^x \sigma_1^x + h_-^y \sigma_1^y + h_-^z \sigma_1^z + h_+^x \sigma_N^x + h_+^y \sigma_N^y + h_+^z \sigma_N^z$$

- space of states: $\mathcal{H} = \otimes_{n=1}^N \mathcal{H}_n$ with $\mathcal{H}_n \simeq \mathbb{C}^2$
- $\sigma_m^{x,y,z} \in \text{End}(\mathcal{H}_n)$: local spin-1/2 operators (Pauli matrices) at site m
- anisotropy parameter $\Delta = \cosh \eta$
- boundary fields $h_{\pm}^{x,y,z}$ parametrised in terms of 6 boundary parameters $s_{\pm}, \kappa_{\pm}, \tau_{\pm}$, or alternatively $\varphi_{\pm}, \psi_{\pm}, \tau_{\pm}$:

$$h_{\pm}^x = 2\kappa_{\pm} \sinh \eta \frac{\cosh \tau_{\pm}}{\sinh s_{\pm}}, \quad h_{\pm}^y = 2i\kappa_{\pm} \sinh \eta \frac{\sinh \tau_{\pm}}{\sinh s_{\pm}}, \quad h_{\pm}^z = \sinh \eta \coth s_{\pm} \\ \sinh \varphi_{\pm} \cosh \psi_{\pm} = \frac{\sinh s_{\pm}}{2\kappa_{\pm}}, \quad \cosh \varphi_{\pm} \sinh \psi_{\pm} = \frac{\cosh s_{\pm}}{2\kappa_{\pm}}$$

Remark: Invariance of the Hamiltonian under the changes

- $\{\eta, s_{\pm}\} \rightarrow \{-\eta, -s_{\pm}\}$
- $\begin{cases} n \rightarrow N - n + 1, & 1 \leq n \leq N, \\ \{s_{\pm}, \kappa_{\pm}, \tau_{\pm}\} \rightarrow \{s_{\mp}, \kappa_{\mp}, \tau_{\mp}\}. \end{cases}$

The open XXZ chain with boundary fields

$$H_{\text{XXZ}}^{\text{open}} = \sum_{m=1}^{N-1} \{ \sigma_m^x \sigma_{m+1}^x + \sigma_m^y \sigma_{m+1}^y + \Delta \sigma_m^z \sigma_{m+1}^z \} \\ + h_-^x \sigma_1^x + h_-^y \sigma_1^y + h_-^z \sigma_1^z + h_+^x \sigma_N^x + h_+^y \sigma_N^y + h_+^z \sigma_N^z$$

- space of states: $\mathcal{H} = \otimes_{n=1}^N \mathcal{H}_n$ with $\mathcal{H}_n \simeq \mathbb{C}^2$
- $\sigma_m^{x,y,z} \in \text{End}(\mathcal{H}_n)$: local spin-1/2 operators (Pauli matrices) at site m
- anisotropy parameter $\Delta = \cosh \eta$
- boundary fields $h_{\pm}^{x,y,z}$ parametrised in terms of 6 boundary parameters $\varsigma_{\pm}, \kappa_{\pm}, \tau_{\pm}$, or alternatively $\varphi_{\pm}, \psi_{\pm}, \tau_{\pm}$

Question: Correlation functions $\langle \prod_{j=1}^m \sigma_j^{\alpha_j} \rangle = \langle \text{G.S.} | \prod_{j=1}^m \sigma_j^{\alpha_j} | \text{G.S.} \rangle$ at zero temperature ?

$\rightsquigarrow \exists$ exact formulas for $h_-^{x,y} = h_+^{x,y} = 0$ [Jimbo et al. 95; Kitanine et al. 07]
(multiple integral representations in the half-infinite chain limit)

\rightsquigarrow generalize these formulas to a special case of unparallel boundary fields [Niccoli, VT 22] :

- $h_-^{x,y,z}$ arbitrary
- $h_+^{x,y} = 0$ and h_+^z fixed to a specific value

A reminder of the periodic case (1)

- The periodic XXZ chain is solvable in the framework of the **Quantum Inverse Scattering Method** (QISM) [Faddeev, Sklyanin, Takhtajan, 1979]

↪ solution based on the representation theory of the **Yang-Baxter algebra**:

- generators: elements of the **monodromy matrix** $T(\lambda) = \begin{pmatrix} A(\lambda) & B(\lambda) \\ C(\lambda) & D(\lambda) \end{pmatrix}$

- commutation relations given by the **R-matrix** of the model:

$$R(\lambda - \mu) (T(\lambda) \otimes 1) (1 \otimes T(\mu)) = (1 \otimes T(\mu)) (T(\lambda) \otimes 1) R(\lambda - \mu)$$

- abelian subalgebra generated by the **transfer matrix** $t(\lambda) = \text{tr } T(\lambda)$ such that $[H, t(\lambda)] = 0$

- The eigenstates of the transfer matrix $t(\lambda)$ (and of the Hamiltonian) are constructed by means of ABA as **Bethe states**:

$$|\{\lambda\}\rangle = \prod_{k=1}^n B(\lambda_k) |0\rangle \in \mathcal{H}, \quad \langle \{\lambda\} | = \langle 0 | \prod_{k=1}^n C(\lambda_k) \in \mathcal{H}^*$$

on a **reference state** $|0\rangle \equiv |\uparrow\uparrow \dots \uparrow\rangle$ such that

$$C(\lambda)|0\rangle = 0, \quad A(\lambda)|0\rangle = a(\lambda)|0\rangle, \quad D(\lambda)|0\rangle = d(\lambda)|0\rangle$$

→ eigenstates (“on-shell” Bethe states) if $\{\lambda\}$ solution of the **Bethe equations**

→ “off-shell” Bethe states otherwise

A reminder of the periodic case (2)

- Correlation functions can be computed in the ABA framework
 - numerical results [Caux et al. 2005...]
 - analytical derivation of the large distance asymptotic behavior at the thermodynamic limit... [Kitanine, Kozłowski, Maillet, Slavnov, VT 2008, 2011...]

Both approaches are based

- on the form factor decomposition of the correlation functions:

$$\langle \psi_g | \sigma_n^\alpha \sigma_{n'}^\beta | \psi_g \rangle = \sum_{\substack{\text{eigenstates} \\ |\psi_i\rangle}} \langle \psi_g | \sigma_n^\alpha | \psi_i \rangle \cdot \langle \psi_i | \sigma_{n'}^\beta | \psi_g \rangle$$

- on the **exact determinant representations for the form factors** $\langle \psi_i | \sigma_n^\alpha | \psi_j \rangle$ in **finite volume** [Kitanine, Maillet, VT 1999], obtained from
 - the action of local operators on Bethe states (using the **solution of the quantum inverse problem**, e.g. $\sigma_n^- = t(0)^{n-1} B(0) t(0)^{-n}$)
 - the use of **Slavnov's determinant representation** for the scalar products of Bethe states [Slavnov 89]

$$\langle \{\mu\}_{\text{off-shell}} | \{\lambda\}_{\text{on-shell}} \rangle \propto \det_{1 \leq j, k \leq n} \left[\frac{\partial \tau(\mu_j | \{\lambda\})}{\partial \lambda_k} \right]$$

where $t(\mu_j | \{\lambda\}) = \tau(\mu_j | \{\lambda\}) | \{\lambda\} \rangle$

The reflection algebra for the XXZ open spin chain

The open spin chains are solvable in the framework of the representation theory of the **reflection algebra** (or **boundary Yang-Baxter algebra**) [Sklyanin 88]

- generators $\mathcal{U}_{ij}(\lambda)$, $1 \leq i, j \leq n$ ← elements of the **boundary monodromy matrix** $\mathcal{U}(\lambda)$

- commutation relations given by the **reflection equation**:

$$R_{12}(\lambda - \mu) \mathcal{U}_1(\lambda) R_{12}(\lambda + \mu - \eta) \mathcal{U}_2(\mu) = \mathcal{U}_2(\mu) R_{12}(\lambda + \mu - \eta) \mathcal{U}_1(\lambda) R_{12}(\lambda - \mu)$$

↪ most general 2×2 solution of the refl. eq [de Vega, Gonzalez-Ruiz; Ghoshal, Zamolodchikov 93] :

$$K(\lambda; \varsigma, \kappa, \tau) = \frac{1}{\sinh \varsigma} \begin{pmatrix} \sinh(\lambda - \frac{\eta}{2} + \varsigma) & \kappa e^{\tau} \sinh(2\lambda - \eta) \\ \kappa e^{-\tau} \sinh(2\lambda - \eta) & \sinh(\varsigma - \lambda + \frac{\eta}{2}) \end{pmatrix}$$

↪ boundary matrices $K^{-}(\lambda) \equiv K(\lambda; \varsigma_{+}, \kappa_{+}, \tau_{+})$ and $K^{+}(\lambda) \equiv K(\lambda + \eta; \varsigma_{-}, \kappa_{-}, \tau_{-})$ describing most general boundary fields in left/right boundaries:

$$h_{\pm}^x = 2\kappa_{\pm} \sinh \eta \frac{\cosh \tau_{\pm}}{\sinh \varsigma_{\pm}}, \quad h_{\pm}^y = 2i\kappa_{\pm} \sinh \eta \frac{\sinh \tau_{\pm}}{\sinh \varsigma_{\pm}}, \quad h_{\pm}^z = \sinh \eta \coth \varsigma_{\pm}$$

↪ $\mathcal{U}(\lambda) = T(\lambda) K^{-}(\lambda) \hat{T}(\lambda) = \begin{pmatrix} \mathcal{A}(\lambda) & \mathcal{B}(\lambda) \\ \mathcal{C}(\lambda) & \mathcal{D}(\lambda) \end{pmatrix}$ with $\hat{T}(\lambda) \propto \sigma^y T^t(-\lambda) \sigma^y$

↪ transfer matrix: $t(\lambda) = \text{tr}\{K^{+}(\lambda)\mathcal{U}(\lambda)\}$ $[t(\lambda), t(\mu)] = 0$

$$H_{\text{XXZ}}^{\text{open}} \propto \frac{d}{d\lambda} t(\lambda) \Big|_{\lambda=\eta/2}$$

Solution by ABA in the diagonal case

When both boundary matrices K^\pm are **diagonal** ($\kappa_\pm = 0$, i.e. boundary fields along σ_1^z and σ_N^z only):

- the state $|0\rangle$ can still be used as a reference state to construct the eigenstates as Bethe states in the ABA framework [Sklyanin 88]

$$|\{\lambda\}\rangle_{\mathcal{B}} = \prod_{k=1}^n \mathcal{B}(\lambda_k) |0\rangle \in \mathcal{H}, \quad {}_{\mathcal{B}}\langle\{\lambda\}| = \langle 0| \prod_{k=1}^n \mathcal{C}(\lambda_k) \in \mathcal{H}^*$$

- \exists **generalization of Slavnov's determinant representation** for the scalar products of Bethe states $\langle\{\mu\}_{\text{off-shell}}|\{\lambda\}_{\text{on-shell}}\rangle$ [Tsuchiya 98; Wang 02]
- but a simple generalization of the **quantum inverse problem** to the boundary case (i.e. expressions of σ_n^α in terms of elements of the boundary monodromy matrix dressed by the boundary transfer matrix) is missing (except at site 1)
 \rightsquigarrow no simple closed formula for the form factors
- correlation functions for the half-infinite chain can be computed as **multiple integrals** [Kitanine et al. 07] (recovering the results of [Jimbo et al. 95] from q -vertex operators):
 - decompose boundary Bethe states into bulk Bethe states
 - use the bulk inverse problem to compute the action of local operators
 - reconstruct the result in terms of boundary Bethe states \rightsquigarrow multiple sums over scalar products. . .

The non-diagonal case ?

- It is possible to generalize usual Bethe ansatz equations to the case of non-longitudinal boundary fields with one **constraint on the boundary parameters** $\varphi_{\pm}, \psi_{\pm}, \tau_{\pm}$ [Nepomechie 03] :

$$\begin{aligned} & \cosh(\tau_+ - \tau_-) \\ = & \epsilon_{\varphi_+} \epsilon_{\varphi_-} \cosh(\epsilon_{\varphi_+} \varphi_+ + \epsilon_{\varphi_-} \varphi_- + \epsilon_{\psi_+} \psi_+ - \epsilon_{\psi_-} \psi_- + (N - 1 - 2M)\eta) \end{aligned}$$

with $M \in \mathbb{N}$ (numbers of Bethe roots), $\epsilon_{\varphi_{\pm}}, \epsilon_{\psi_{\pm}} \in \{+, -\}$

↪ **incomplete** in general (except for $M = N$)

- + construction of the Bethe states by means of a **Vertex-IRF transformation** [Cao et al 03; Yang, Zhang 07; Filali, Kitanine 11] (cf. the solution of the 8-vertex model by [Baxter 73; Faddeev, Takhtajan 79]) but problems in the ABA construction of a complete set of Bethe states both in \mathcal{H} and \mathcal{H}^*
 - ↪ scalar products and correlation functions could not be computed
- most general boundaries ? a usual ABA solution is missing...

Alternative proposals:

- Off-diagonal Bethe Ansatz [Cao et al 13...]
- Modified Bethe Ansatz [Belliard et al 13...]
- **Separation of Variables** [Frahm et al 10, Niccoli 12, Faldella et al 13...]

Solution by SOV in the general case

Goal: identify a basis of the space of state which "separates the variables" for the transfer matrix spectral problem

Sklyanin's method [Sklyanin 85,90]: construct this basis by means of the "operator roots" X_j of a one-parameter family of commuting operators $\mathbb{X}(\lambda)$

- $\mathbb{X}(\lambda)$ should be diagonalizable with simple spectrum

↪ the N commuting "operators roots" X_j (with $S_j \cap S_k = \emptyset$ if $j \neq k$, $S_j \equiv \text{Spec}(X_j)$) can be used to define a basis of the space of states \mathcal{H} :

$$X_n |x_1, \dots, x_N\rangle = x_n |x_1, \dots, x_N\rangle, \quad (x_1, \dots, x_N) \in S_1 \times \dots \times S_N$$

- such that the transfer matrix $t(\lambda)$ at $\lambda = X_n$ acts as simple shifts on the basis elements:

$$t(X_n) |x_1, \dots, x_n, \dots, x_N\rangle = \Delta_+(x_n) |x_1, \dots, x_n + \eta, \dots, x_N\rangle \\ + \Delta_-(x_n) |x_1, \dots, x_n - \eta, \dots, x_N\rangle$$

↪ For the XXZ chain with non-diagonal b.c., such an operator $\mathbb{X}(\lambda)$ can be obtained as an entry of the monodromy matrix of a **generalized gauge transformed model** with **inhomogeneities** ξ_1, \dots, ξ_N

Generalized method [Maillet, Niccoli 19]: use the **multiple action of the transfer matrix** $t(\lambda)$ itself, evaluated in distinguished points related to the inhomogeneities ξ_n , on a generically chosen vector

Solution by Sklyanin's SOV approach: more details

- 1 simplify the expression of $t(\lambda) = \text{tr}\{K^+(\lambda)\mathcal{U}(\lambda)\}$: use (a trigonometric version of) Baxter's Vertex-IRF transformation to diagonalize K^+

$$R_{12}(\lambda-\mu) S_1(\lambda|\alpha, \beta) S_2(\mu|\alpha, \beta+\sigma_1^z) = S_2(\mu|\alpha, \beta) S_1(\lambda|\alpha, \beta+\sigma_2^z) R_{12}^{\text{SOV}}(\lambda-\mu|\beta)$$

$$\text{with } S(\lambda|\alpha, \beta) = \begin{pmatrix} e^{\lambda-\eta(\beta+\alpha)} & e^{\lambda+\eta(\beta-\alpha)} \\ 1 & 1 \end{pmatrix} \quad \begin{cases} \beta : \text{dynamical parameter} \\ \alpha : \text{arbitrary shift} \end{cases}$$

↪ gauged transformed boundary/bulk monodromy matrices and boundary K^\pm matrices:

$$\begin{aligned} \mathcal{U}(\lambda|\alpha, \beta) &= S^{-1}(\eta/2 - \lambda|\alpha, \beta) \mathcal{U}(\lambda) S(\lambda - \eta/2|\alpha, \beta) \\ &= T(\lambda|(\alpha, \beta), (\gamma, \delta)) K_-(\lambda|(\gamma, \delta), (\gamma', \delta')) \hat{T}(\lambda|(\gamma', \delta'), (\alpha, \beta)) \\ &= \begin{pmatrix} \mathcal{A}(\lambda|\alpha, \beta) & \mathcal{B}(\lambda|\alpha, \beta) \\ \mathcal{C}(\lambda|\alpha, \beta) & \mathcal{D}(\lambda|\alpha, \beta) \end{pmatrix} \end{aligned}$$

↪ choice of α, β such that

$$t(\lambda) = \bar{a}_+(\lambda) \mathcal{A}(\lambda|\alpha, \beta - 1) + \bar{a}_+(-\lambda) \mathcal{A}(-\lambda|\alpha, \beta - 1)$$

2 construct a SOV basis which quasi-diagonalises $\mathcal{B}(\lambda|\alpha, \beta)$:

$$|\mathbf{h}, \alpha, \beta + 1\rangle_{\text{Sk}} \propto \prod_{j=1}^N \mathcal{D}(\xi_j + \eta/2|\alpha, \beta + 1)^{h_j} \mathcal{S}_{1\dots N}(\{\xi\}|\alpha, \beta) | \underline{0} \rangle$$

$${}_{\text{Sk}}\langle \alpha, \beta - 1, \mathbf{h} | \propto \langle 0 | \mathcal{S}_{1\dots N}(\{\xi\}|\alpha, \beta)^{-1} \prod_{j=1}^N \mathcal{A}(\eta/2 - \xi_j|\alpha, \beta - 1)^{1-h_j}$$

for $\mathbf{h} \equiv (h_1, \dots, h_N) \in \{0, 1\}^N$, $\langle 0 | = \otimes_{n=1}^N (1, 0)_n$, $| \underline{0} \rangle = \otimes_{n=1}^N \begin{pmatrix} 0 \\ 1 \end{pmatrix}_n$ and

$$\mathcal{S}_{1\dots N}(\{\xi\}|\alpha, \beta) = \prod_{n=1 \rightarrow N} \mathcal{S}_n \left(-\xi_n \middle| \alpha, \beta + \sum_{j=1}^{n-1} \sigma_j^z \right)$$

such that

$$\begin{aligned} \mathcal{B}(\lambda|\alpha, \beta - 1) |\mathbf{h}, \alpha, \beta - 1\rangle_{\text{Sk}} &= \mathbf{b}_R(\lambda|\alpha, \beta) \mathbf{a}_\mathbf{h}(\lambda) \mathbf{a}_\mathbf{h}(-\lambda) |\mathbf{h}, \alpha, \beta + 1\rangle_{\text{Sk}}, \\ {}_{\text{Sk}}\langle \alpha, \beta + 1, \mathbf{h} | \mathcal{B}(\lambda|\alpha, \beta + 1) &= \mathbf{b}_L(\lambda|\alpha, \beta) \mathbf{a}_\mathbf{h}(\lambda) \mathbf{a}_\mathbf{h}(-\lambda) {}_{\text{Sk}}\langle \alpha, \beta - 1, \mathbf{h} |, \end{aligned}$$

where $\mathbf{a}_\mathbf{h}(\lambda) = \prod_{n=1}^N \sinh(\lambda - \xi_n^{(h_n)})$ with $\xi_n^{(h_n)} = \xi_n + \eta/2 - h_n\eta$

2 construct a SOV basis which quasi-diagonalises $\mathcal{B}(\lambda|\alpha, \beta)$:

$|\mathbf{h}, \alpha, \beta + 1\rangle_{\text{Sk}}$ and ${}_{\text{Sk}}\langle \alpha, \beta - 1, \mathbf{h}|$ for $\mathbf{h} \equiv (h_1, \dots, h_N) \in \{0, 1\}^N$
such that

$$\begin{aligned} \mathcal{B}(\lambda|\alpha, \beta - 1) |\mathbf{h}, \alpha, \beta - 1\rangle_{\text{Sk}} &= \mathbf{b}_R(\lambda|\alpha, \beta) \mathbf{a}_{\mathbf{h}}(\lambda) \mathbf{a}_{\mathbf{h}}(-\lambda) |\mathbf{h}, \alpha, \beta + 1\rangle_{\text{Sk}}, \\ {}_{\text{Sk}}\langle \alpha, \beta + 1, \mathbf{h} | \mathcal{B}(\lambda|\alpha, \beta + 1) &= \mathbf{b}_L(\lambda|\alpha, \beta) \mathbf{a}_{\mathbf{h}}(\lambda) \mathbf{a}_{\mathbf{h}}(-\lambda) {}_{\text{Sk}}\langle \alpha, \beta - 1, \mathbf{h} |, \end{aligned}$$

where $\mathbf{a}_{\mathbf{h}}(\lambda) = \prod_{n=1}^N \sinh(\lambda - \xi_n^{(h_n)})$ with $\xi_n^{(h_n)} = \xi_n + \eta/2 - h_n\eta$

+ orthogonality conditions:

$${}_{\text{Sk}}\langle \alpha, \beta - 1, \mathbf{h} | \mathbf{k}, \alpha, \beta + 1\rangle_{\text{Sk}} \propto \delta_{\mathbf{h}, \mathbf{k}} \frac{e^{2 \sum_{j=1}^N h_j \xi_j}}{V_{\mathbf{h}}(\boldsymbol{\xi})}$$

with $V_{\mathbf{h}}(\boldsymbol{\xi}) = V(\xi_1^{(h_1)}, \dots, \xi_N^{(h_N)}) = \det_N [\sinh^{2(j-1)}(\xi_i^{(h_i)})]$

Remarks: This construction

→ works only on an **inhomogeneous deformation** of the model:

$$T(\lambda) \longrightarrow T(\lambda; \xi_1, \dots, \xi_N)$$

such that $\xi_i \neq \xi_k \pm \eta \pmod{i\pi}$ if $i \neq k$

→ needs $[K^-(\lambda|\alpha, \beta)]_{12} \neq 0$

The new SOV approach [Maillet, Niccoli 19]

Under the hypothesis that

- $\xi_i \neq \xi_k \pm \eta \pmod{i\pi}$ if $i \neq k$
- the two boundary matrices K^\pm are not both proportional to the identity

one can construct, for almost any choice of the co-vector $\langle S |$, the following SOV basis:

$${}_S \langle \mathbf{h} | \propto \langle S | \prod_{n=1}^N t(\xi_n - \eta/2)^{1-h_n}, \quad \mathbf{h} \equiv (h_1, \dots, h_N) \in \{0, 1\}^N$$

$$|\mathbf{h}\rangle_S \propto \prod_{n=1}^N t(\xi_n + \eta/2)^{h_n} |R\rangle, \quad \mathbf{h} \in \{0, 1\}^N$$

where $|R\rangle$ is uniquely fixed by adequate orthogonality conditions:

$${}_S \langle \mathbf{h} | R \rangle = N(\{\xi\}) \delta_{\mathbf{h}, \mathbf{0}}$$

They satisfy the following orthogonality conditions (same as previous basis):

$${}_S \langle \mathbf{h} | \mathbf{h}' \rangle_S \propto \delta_{\mathbf{h}, \mathbf{h}'} \frac{e^{2 \sum_{j=1}^N h_j \xi_j}}{V_{\mathbf{h}}(\xi)}$$

Spectrum and eigenstates by SOV

In both types of SOV basis ($|\mathbf{h}\rangle \equiv |\mathbf{h}, \alpha, \beta + 1\rangle_{\text{sk}}$ or $|\mathbf{h}\rangle_S$):

- the multi-dimensional spectral problem for the transfer matrix $t(\lambda)$ can be reduced to a set of N one-dimensional ones:

$$t(\lambda) |\Psi_\tau\rangle = \tau(\lambda) |\Psi_\tau\rangle \quad \text{with} \quad |\Psi_\tau\rangle = \sum_{\mathbf{h} \in \{0,1\}^N} \psi_\tau(\mathbf{h}) |\mathbf{h}\rangle,$$

is solved by

$$\psi_\tau(\mathbf{h}) = \prod_{n=1}^N Q_\tau(\xi_n^{(h_n)}) \cdot V_{\mathbf{h}}(\xi)$$

where Q_τ and τ are solution of a **discrete version of Baxter's T-Q equation**:

$$\tau(x) Q_\tau(x) = \mathbf{A}(x) Q(x + \eta) + \mathbf{A}(-x) Q_\tau(x - \eta), \quad x \in \cup_{n=1}^N \{\xi_n^{(0)}, \xi_n^{(1)}\}$$

- The scalar products of separate states can be expressed as determinants:

$$\langle P | = \sum_{\mathbf{h}} \prod_{n=1}^N [V_n^{h_n} P(\xi_n^{(h_n)})] V_{1-\mathbf{h}}(\xi) \langle \mathbf{h} |, \quad | Q \rangle = \sum_{\mathbf{h}} \prod_{n=1}^N Q(\xi_n^{(h_n)}) V_{\mathbf{h}}(\xi) |\mathbf{h}\rangle$$

where P and Q are arbitrary and

$$\langle \mathbf{h} | \mathbf{k} \rangle \propto \frac{\delta_{\mathbf{h}, \mathbf{k}}}{V_{\mathbf{h}}(\xi)} \quad \text{with} \quad V_{\mathbf{h}}(\xi) = \det_N [\sinh^{2(j-1)}(\xi_i^{(h_j)})]$$

$$\rightsquigarrow \langle P | Q \rangle = \det_{1 \leq i, j \leq N} \left[\sum_{\mathbf{h} \in \{0,1\}^N} f(\xi_i^{(h_j)}) P(\xi_i^{(h_j)}) Q(\xi_i^{(h_j)}) \sinh^{2(j-1)}(\xi_i^{(1-h_j)}) \right]$$

Spectrum and eigenstates by SOV

In both types of SOV basis ($|\mathbf{h}\rangle \equiv |\mathbf{h}, \alpha, \beta + 1\rangle_{\text{sk}}$ or $|\mathbf{h}\rangle_S$):

- the multi-dimensional spectral problem for the transfer matrix $t(\lambda)$ can be reduced to a set of N one-dimensional ones:

$$t(\lambda) |\Psi_\tau\rangle = \tau(\lambda) |\Psi_\tau\rangle \quad \text{with} \quad |\Psi_\tau\rangle = \sum_{\mathbf{h} \in \{0,1\}^N} \psi_\tau(\mathbf{h}) |\mathbf{h}\rangle,$$

is solved by

$$\psi_\tau(\mathbf{h}) = \prod_{n=1}^N Q_\tau(\xi_n^{(h_n)}) \cdot V_{\mathbf{h}}(\xi)$$

where Q_τ and τ are solution of a **discrete version of Baxter's T-Q equation**:

$$\tau(x) Q_\tau(x) = \mathbf{A}(x) Q(x + \eta) + \mathbf{A}(-x) Q_\tau(x - \eta), \quad x \in \cup_{n=1}^N \{\xi_n^{(0)}, \xi_n^{(1)}\}$$

- The scalar products of separate states can be expressed as determinants:

$$\langle P | = \sum_{\mathbf{h}} \prod_{n=1}^N [V_n^{h_n} P(\xi_n^{(h_n)})] V_{1-\mathbf{h}}(\xi) \langle \mathbf{h} |, \quad | Q \rangle = \sum_{\mathbf{h}} \prod_{n=1}^N Q(\xi_n^{(h_n)}) V_{\mathbf{h}}(\xi) |\mathbf{h}\rangle$$

where P and Q are arbitrary and

$$\langle \mathbf{h} | \mathbf{k} \rangle \propto \frac{\delta_{\mathbf{h}, \mathbf{k}}}{V_{\mathbf{h}}(\xi)} \quad \text{with} \quad V_{\mathbf{h}}(\xi) = \det_N [\sinh^{2(j-1)}(\xi_i^{(h_i)})]$$

$$\rightsquigarrow \langle P | Q \rangle = \det_{1 \leq i, j \leq N} \left[\sum_{h \in \{0,1\}} f(\xi_i^{(h)}) P(\xi_i^{(h)}) Q(\xi_i^{(h)}) \sinh^{2(j-1)}(\xi_i^{(1-h)}) \right]$$

From discrete to continuous T-Q equations

Question: Can we characterize a class of (entire ?) functions Σ_Q such that

$$\tau(\lambda) \text{ eigenvalue of } t(\lambda) \text{ (+ simple conditions on } \tau(\lambda) \text{ ?)}$$
$$\exists! Q \in \Sigma_Q \text{ s.t. } \tau(\lambda) Q(\lambda) = \mathbf{A}(\lambda) Q(\lambda + \eta) + \mathbf{A}(-\lambda) Q(\lambda - \eta)$$

→ not known in general

but this SOV characterisation of the spectrum can be equivalently reformulated in terms of **polynomials** (in $\cosh(2\lambda)$) Q-solutions of a functional T-Q equation **with an inhomogeneous term** [Kitanine et al 13] , (see also [Cao et al. 13; Belliard, Crampé 13...]):

An entire function $\tau(\lambda)$ is an eigenvalue of the antiperiodic transfer matrix iff **there exists a unique function** $Q(\lambda) \in \Sigma_Q$ such that

$$\tau(\lambda) Q(\lambda) = \mathbf{A}(\lambda) Q(\lambda - \eta) + \mathbf{A}(-\lambda) Q(\lambda + \eta) + \mathbf{F}(\lambda),$$

where $\mathbf{A}(\lambda) \equiv \mathbf{A}_{\zeta_{\pm}, \kappa_{\pm}}(\lambda)$ and $\mathbf{F}(\lambda) \equiv \mathbf{F}_{\zeta_{\pm}, \kappa_{\pm}, \tau_{\pm}}(\lambda)$ depend on the boundary parameters, with $\mathbf{F}(\xi_n^{(0)}) = \mathbf{F}(\xi_n^{(1)}) = 0$, $n = 1, \dots, N$.

$\mathbf{F} = 0$ identically \iff **constraint** on the boundary param. (cf [Nepomechie 03])

More precisions on the spectrum

$$\mathbf{F}_\varepsilon(\lambda) = \frac{2\kappa_+ \kappa_-}{\sinh \varsigma_+ \sinh \varsigma_-} \mathbf{g}_\varepsilon^{(M)} a(\lambda) a(-\lambda) d(\lambda) d(-\lambda) [\cosh^2(2\lambda) - \cosh^2 \eta]$$

with, for $M \in \mathbb{N}$ and $\varepsilon \in \{+, -\}^4$,

$$\mathbf{g}_\varepsilon^{(M)} \equiv \mathbf{g}_{\varepsilon, \tau_\pm, \varphi_\pm, \psi_\pm}^{(M)} = \cosh(\tau_+ - \tau_-) \\ - \varepsilon_{\varphi_+} \varepsilon_{\varphi_-} \cosh(\varepsilon_{\varphi_+} \varphi_+ + \varepsilon_{\varphi_-} \varphi_- + \varepsilon_{\psi_+} \psi_+ - \varepsilon_{\psi_-} \psi_- + (N - 1 - 2M)\eta)$$

and set

$$\Sigma_Q^M = \left\{ Q(\lambda) = \prod_{j=1}^M \frac{\cosh(2\lambda) - \cosh(2\lambda_j)}{2} \mid \cosh(2\lambda_j) \neq \cosh(2\xi_n^{(h)}), \quad \forall (j, n, h) \right\}$$

- 1 complete description of the spectrum in terms of $Q(\lambda) \in \Sigma_Q^N$ solution of

$$\tau(\lambda) Q(\lambda) = \mathbf{A}_\varepsilon(\lambda) Q(\lambda - \eta) + \mathbf{A}_\varepsilon(-\lambda) Q(\lambda + \eta) + \mathbf{F}_\varepsilon(\lambda),$$

if $\frac{2\kappa_+ \kappa_-}{\sinh \varsigma_+ \sinh \varsigma_-} \neq 0$ and $\mathbf{g}_\varepsilon^{(M)} \neq 0 \forall M \in \{0, \dots, N-1\}$

- 2 incomplete description of the spectrum in terms of $Q(\lambda) \in \Sigma_Q^M$ solution of

$$\tau(\lambda) Q(\lambda) = \mathbf{A}_\varepsilon(\lambda) Q(\lambda - \eta) + \mathbf{A}_\varepsilon(-\lambda) Q(\lambda + \eta) \quad (1)$$

if $\frac{2\kappa_+ \kappa_-}{\sinh \varsigma_+ \sinh \varsigma_-} \neq 0$ and $\mathbf{g}_\varepsilon^{(M)} = 0$ for some $M \in \{0, \dots, N-1\}$

- 3 complete description of the spectrum in terms of $Q(\lambda) \in \Sigma_Q = \cup_{M=0}^N \Sigma_Q^M$ solution of (1) if $\frac{2\kappa_+ \kappa_-}{\sinh \varsigma_+ \sinh \varsigma_-} = 0$. This is the case for our special boundary conditions which can be reached as $\varsigma_+ \rightarrow +\infty$

More precisions on the spectrum

$$\mathbf{F}_\varepsilon(\lambda) = \frac{2\kappa_+ \kappa_-}{\sinh \zeta_+ \sinh \zeta_-} \mathbf{g}_\varepsilon^{(M)} a(\lambda) a(-\lambda) d(\lambda) d(-\lambda) [\cosh^2(2\lambda) - \cosh^2 \eta]$$

with, for $M \in \mathbb{N}$ and $\varepsilon \in \{+, -\}^4$,

$$\mathbf{g}_\varepsilon^{(M)} \equiv \mathbf{g}_{\varepsilon, \tau_\pm, \varphi_\pm, \psi_\pm}^{(M)} = \cosh(\tau_+ - \tau_-) \\ - \varepsilon_{\varphi_+} \varepsilon_{\varphi_-} \cosh(\varepsilon_{\varphi_+} \varphi_+ + \varepsilon_{\varphi_-} \varphi_- + \varepsilon_{\psi_+} \psi_+ - \varepsilon_{\psi_-} \psi_- + (N - 1 - 2M)\eta)$$

and set

$$\Sigma_Q^M = \left\{ Q(\lambda) = \prod_{j=1}^M \frac{\cosh(2\lambda) - \cosh(2\lambda_j)}{2} \mid \cosh(2\lambda_j) \neq \cosh(2\xi_n^{(h)}), \quad \forall (j, n, h) \right\}$$

- 1 **complete** description of the spectrum in terms of $Q(\lambda) \in \Sigma_Q^N$ solution of

$$\tau(\lambda) Q(\lambda) = \mathbf{A}_\varepsilon(\lambda) Q(\lambda - \eta) + \mathbf{A}_\varepsilon(-\lambda) Q(\lambda + \eta) + \mathbf{F}_\varepsilon(\lambda),$$

if $\frac{2\kappa_+ \kappa_-}{\sinh \zeta_+ \sinh \zeta_-} \neq 0$ and $\mathbf{g}_\varepsilon^{(M)} \neq 0 \forall M \in \{0, \dots, N-1\}$

- 2 **incomplete** description of the spectrum in terms of $Q(\lambda) \in \Sigma_Q^M$ solution of

$$\tau(\lambda) Q(\lambda) = \mathbf{A}_\varepsilon(\lambda) Q(\lambda - \eta) + \mathbf{A}_\varepsilon(-\lambda) Q(\lambda + \eta) \quad (1)$$

if $\frac{2\kappa_+ \kappa_-}{\sinh \zeta_+ \sinh \zeta_-} \neq 0$ and $\mathbf{g}_\varepsilon^{(M)} = 0$ for some $M \in \{0, \dots, N-1\}$

- 3 **complete** description of the spectrum in terms of $Q(\lambda) \in \Sigma_Q = \cup_{M=0}^N \Sigma_Q^M$ solution of (1) if $\frac{2\kappa_+ \kappa_-}{\sinh \zeta_+ \sinh \zeta_-} = 0$. This is the case for our special boundary conditions which can be reached as $\zeta_\pm \rightarrow +\infty$

More precisions on the spectrum

$$F_\varepsilon(\lambda) = \frac{2\kappa_+ \kappa_-}{\sinh \varsigma_+ \sinh \varsigma_-} g_\varepsilon^{(M)} a(\lambda) a(-\lambda) d(\lambda) d(-\lambda) [\cosh^2(2\lambda) - \cosh^2 \eta]$$

with, for $M \in \mathbb{N}$ and $\varepsilon \in \{+, -\}^4$,

$$g_\varepsilon^{(M)} \equiv g_{\varepsilon, \tau_\pm, \varphi_\pm, \psi_\pm}^{(M)} = \cosh(\tau_+ - \tau_-) \\ - \varepsilon_{\varphi_+} \varepsilon_{\varphi_-} \cosh(\varepsilon_{\varphi_+} \varphi_+ + \varepsilon_{\varphi_-} \varphi_- + \varepsilon_{\psi_+} \psi_+ - \varepsilon_{\psi_-} \psi_- + (N - 1 - 2M)\eta)$$

and set

$$\Sigma_Q^M = \left\{ Q(\lambda) = \prod_{j=1}^M \frac{\cosh(2\lambda) - \cosh(2\lambda_j)}{2} \mid \cosh(2\lambda_j) \neq \cosh(2\xi_n^{(h)}), \quad \forall (j, n, h) \right\}$$

1 complete description of the spectrum in terms of $Q(\lambda) \in \Sigma_Q^N$ solution of

$$\tau(\lambda) Q(\lambda) = \mathbf{A}_\varepsilon(\lambda) Q(\lambda - \eta) + \mathbf{A}_\varepsilon(-\lambda) Q(\lambda + \eta) + \mathbf{F}_\varepsilon(\lambda),$$

if $\frac{2\kappa_+ \kappa_-}{\sinh \varsigma_+ \sinh \varsigma_-} \neq 0$ and $g_\varepsilon^{(M)} \neq 0 \quad \forall M \in \{0, \dots, N - 1\}$

2 incomplete description of the spectrum in terms of $Q(\lambda) \in \Sigma_Q^M$ solution of

$$\tau(\lambda) Q(\lambda) = \mathbf{A}_\varepsilon(\lambda) Q(\lambda - \eta) + \mathbf{A}_\varepsilon(-\lambda) Q(\lambda + \eta) \quad (1)$$

if $\frac{2\kappa_+ \kappa_-}{\sinh \varsigma_+ \sinh \varsigma_-} \neq 0$ and $g_\varepsilon^{(M)} = 0$ for some $M \in \{0, \dots, N - 1\}$

(Nepomechie's constraint)

3 complete description of the spectrum in terms of $Q(\lambda) \in \Sigma_Q = \cup_{M=0}^N \Sigma_Q^M$ solution of (1) if $\frac{2\kappa_+ \kappa_-}{\sinh \varsigma_+ \sinh \varsigma_-} = 0$. This is the case for our special boundary conditions which can be reached as $\varsigma_+ \rightarrow +\infty$

More precisions on the spectrum

$$\mathbf{F}_\varepsilon(\lambda) = \frac{2\kappa_+ \kappa_-}{\sinh \varsigma_+ \sinh \varsigma_-} \mathbf{g}_\varepsilon^{(M)} a(\lambda) a(-\lambda) d(\lambda) d(-\lambda) [\cosh^2(2\lambda) - \cosh^2 \eta]$$

with, for $M \in \mathbb{N}$ and $\varepsilon \in \{+, -\}^4$,

$$\begin{aligned} \mathbf{g}_\varepsilon^{(M)} &\equiv \mathbf{g}_{\varepsilon, \tau_\pm, \varphi_\pm, \psi_\pm}^{(M)} = \cosh(\tau_+ - \tau_-) \\ &\quad - \varepsilon_{\varphi_+} \varepsilon_{\varphi_-} \cosh(\varepsilon_{\varphi_+} \varphi_+ + \varepsilon_{\varphi_-} \varphi_- + \varepsilon_{\psi_+} \psi_+ - \varepsilon_{\psi_-} \psi_- + (N - 1 - 2M)\eta) \end{aligned}$$

and set

$$\Sigma_Q^M = \left\{ Q(\lambda) = \prod_{j=1}^M \frac{\cosh(2\lambda) - \cosh(2\lambda_j)}{2} \mid \cosh(2\lambda_j) \neq \cosh(2\xi_n^{(h)}), \quad \forall (j, n, h) \right\}$$

- 1 complete** description of the spectrum in terms of $Q(\lambda) \in \Sigma_Q^N$ solution of

$$\tau(\lambda) Q(\lambda) = \mathbf{A}_\varepsilon(\lambda) Q(\lambda - \eta) + \mathbf{A}_\varepsilon(-\lambda) Q(\lambda + \eta) + \mathbf{F}_\varepsilon(\lambda),$$

if $\frac{2\kappa_+ \kappa_-}{\sinh \varsigma_+ \sinh \varsigma_-} \neq 0$ and $\mathbf{g}_\varepsilon^{(M)} \neq 0 \forall M \in \{0, \dots, N - 1\}$

- 2 incomplete** description of the spectrum in terms of $Q(\lambda) \in \Sigma_Q^M$ solution of

$$\tau(\lambda) Q(\lambda) = \mathbf{A}_\varepsilon(\lambda) Q(\lambda - \eta) + \mathbf{A}_\varepsilon(-\lambda) Q(\lambda + \eta) \quad (1)$$

if $\frac{2\kappa_+ \kappa_-}{\sinh \varsigma_+ \sinh \varsigma_-} \neq 0$ and $\mathbf{g}_\varepsilon^{(M)} = 0$ for some $M \in \{0, \dots, N - 1\}$

- 3 complete** description of the spectrum in terms of $Q(\lambda) \in \Sigma_Q = \cup_{M=0}^N \Sigma_Q^M$ solution of (1) if $\frac{2\kappa_+ \kappa_-}{\sinh \varsigma_+ \sinh \varsigma_-} = 0$. This is the case for our special boundary conditions which can be reached as $\varsigma_+ \rightarrow +\infty$

Eigenstates as generalised Bethe states

- In the range of Sklyanin's approach, separate states can be reformulated as **generalised Bethe states**:

$$|Q\rangle_{\text{Sk}} \propto \prod_{j=1 \rightarrow M} \mathcal{B}(\lambda_j | \alpha, \beta - 2j + 1) |\Omega_{\alpha, \beta+1-2M}\rangle_{\text{Sk}}$$

$${}_{\text{Sk}}\langle Q| \propto {}_{\text{Sk}}\langle \Omega_{\alpha, \beta-1+2M}| \prod_{j=1 \rightarrow M} \mathcal{B}(\lambda_j | \alpha, \beta + 2M - 2j + 1)$$

for any $Q(\lambda) = \prod_{j=1}^M \frac{\cosh(2\lambda) - \cosh(2\lambda_j)}{2}$

with $|\Omega_{\alpha, \beta+1-2M}\rangle_{\text{Sk}}$ and ${}_{\text{Sk}}\langle \Omega_{\alpha, \beta-1+2M}|$ special separate states

Remark: if $|Q\rangle$ and $\langle Q|$ are eigenstates obtained via the new SOV approach, we have also $|Q\rangle_{\text{Sk}} = c_Q^{\text{Sk}} |Q\rangle$, ${}_{\text{Sk}}\langle Q| = \langle Q| / c_Q^{\text{Sk}}$

- With the special choice of α, β diagonalising K^+ , and under the constraint

$$[K^-(\lambda | (\alpha, \beta + N - 1 - 2M), (\alpha, \beta + N - 1 - 2M))]_{21} = 0$$

(which implies **Nepomechie's constraint** $\mathbf{g}_\epsilon^{(M)} = 0$), the reference state $|\Omega_{\alpha, \beta+1-2M}\rangle$ can be identified as (cf. [Cao et al 03])

$$|\eta, \alpha + \beta + N - 1 - 2M\rangle \equiv \prod_{n=1}^N S_n(-\xi_n | \alpha, \beta + n - 1 - 2M) |0\rangle$$

up to a proportionality coefficient which only depends on M

Spectrum and eigenstates in the limit $\zeta_+ \rightarrow +\infty$

$$K^-(\lambda; \zeta_+ = -\infty, \kappa_+, \tau_+) = e^{(\eta/2 - \lambda)\sigma^z}$$

- out of the range of Sklyanin's SOV approach but still in the range of the new SOV approach

↪ the transfer matrix is diagonalizable with simple spectrum and the **complete** set of eigenstates is given by the separate states $|Q\rangle$ and $\langle Q|$ with

$$Q(\lambda) = \prod_{j=1}^M \frac{\cosh(2\lambda) - \cosh(2\lambda_j)}{2} \quad (1 \leq M \leq N)$$

solution with the corresponding eigenvalue $\tau(\lambda)$ of the **homogeneous TQ-equation**

- with the special choice of α, β diagonalizing K^+ , it can be shown by direct computation that the Bethe state

$$\prod_{j=1 \rightarrow M} \mathcal{B}(\lambda_j | \alpha, \beta - 2j + 1) | \eta, \alpha + \beta + N - 1 - 2M \rangle$$

is an eigenstate of $t(\lambda)$ with eigenvalue $\tau(\lambda)$ (cf. [Cao et al 03]), and hence should be proportional to $|Q\rangle$

- the transfer matrix is isospectral to the transfer matrix of an open spin chain with diagonal boundary conditions with boundary parameters $\zeta_{\pm}^{(D)}$:

$$\zeta_{\epsilon}^{(D)} = \epsilon_{\varphi_-} \varphi_-, \quad \zeta_{-\epsilon}^{(D)} = -\epsilon_{\varphi_-} \psi_- + i\pi/2, \quad \text{for } \epsilon_{\varphi_-} = 1 \text{ or } -1.$$

Computation of the scalar products [Kitanine, Maillet, Niccoli, VT 18]

$$\langle P | Q \rangle \propto \det_{1 \leq i, j \leq N} \left[\sum_{\epsilon = \pm} f_{\{a\}}(\epsilon \xi_i) P\left(\xi_i - \epsilon \frac{\eta}{2}\right) Q\left(\xi_i - \epsilon \frac{\eta}{2}\right) \cosh^{j-1}(2\xi_i + \epsilon\eta) \right]$$

with arbitrary $P(\lambda) = \prod_{j=1}^p (\cosh 2\lambda - \cosh 2p_j)$, $Q(\lambda) = \prod_{j=1}^q (\cosh 2\lambda - \cosh 2q_j)$,

where $f_{\{a\}}(\lambda)$ depends on combinations $\{a\}$ of the \pm boundary parameters $\zeta_{\pm}, \kappa_{\pm}$

↪ not convenient for the consideration of the homogeneous/thermodynamic limit

- When $p + q = N$, can be transformed into a new determinant in which the role of the set of variables $\{\xi_j\}$ and $\{\gamma_j\} \equiv \{p_j\} \cup \{q_j\}$ has been exchanged **at the price of modifying the last column:**

$$\langle P | Q \rangle \propto \det_{1 \leq i, j \leq p+q} \left[\sum_{\epsilon = \pm} f_{\{\frac{\eta}{2}-a\}}(\epsilon \gamma_i) \prod_{\ell=1}^L (\cosh(2\gamma_i - \epsilon\eta) - \cosh 2\xi_{\ell}) \cosh^{j-1}(2\gamma_i + \epsilon\eta) + \delta_{j,L} g_{\{a\}}^{(p+q)}(\gamma_i) \right]$$

- Generalization to $p + q \neq N$ by considering limits of the previous result
- In its turn, this new determinant can be transformed into a generalized (and much more complicated !) version of **Slavnov's determinant**
- In the **case with a constraint**, the determinant simplifies drastically if one of the state is an eigenstate thanks to Bethe equations

↪ usual Slavnov formula if $p = q$!

Generalized Slavnov determinant for open XXZ

Example: the case $p = q$

$$\langle P | Q \rangle \propto \det_p \mathcal{S}$$

$$S_{i,k} = \sum_{\epsilon \in \{+, -\}} f(\epsilon q_i) P(q_i + \epsilon \eta) \left[\frac{f(-p_k)}{\varsigma(q_i + \epsilon \frac{\eta}{2}) - \varsigma(p_k + \frac{\eta}{2})} - \frac{f(p_k) \varphi(p_k)}{\varsigma(q_i + \epsilon \frac{\eta}{2}) - \varsigma(p_k - \frac{\eta}{2})} \right. \\ \left. + \frac{f(-p_k) - f(p_k) \varphi(p_k)}{1 + \sum_{\ell=1}^p P_{f,\ell}^g} \sum_{j=1}^p \frac{P_{f,j}^g}{\varsigma(q_i + \epsilon \frac{\eta}{2}) - \varsigma(p_j - \frac{\eta}{2})} \right] + \frac{g(q_i)}{P(q_i)} \frac{f(-p_k) - f(p_k) \varphi(p_k)}{1 + \sum_{\ell=1}^p P_{f,\ell}^g}.$$

with $\varsigma(\lambda) = \frac{\cosh(2\lambda)}{2}$

and $P_{f,k}^g = \frac{g(p_k) \sinh(2p_k - \eta)}{f(-\alpha_k) P'(p_k) P(p_k - \eta)}$, $\varphi(\lambda) = \frac{\sinh(2\lambda - \eta) P(\lambda + \eta)}{\sinh(2\lambda + \eta) P(\lambda - \eta)}$.

The functions f and g depend on the boundary parameters.

Generalized Slavnov determinant for open XXZ

Example: the case $p = q$

$$\langle P | Q \rangle \propto \det_p \mathcal{S}$$

$$S_{i,k} = \sum_{\epsilon \in \{+, -\}} f(\epsilon q_i) P(q_i + \epsilon \eta) \left[\frac{f(-p_k)}{\varsigma(q_i + \epsilon \frac{\eta}{2}) - \varsigma(p_k + \frac{\eta}{2})} - \frac{f(p_k) \varphi(p_k)}{\varsigma(q_i + \epsilon \frac{\eta}{2}) - \varsigma(p_k - \frac{\eta}{2})} \right. \\ \left. + \frac{f(-p_k) - f(p_k) \varphi(p_k)}{1 + \sum_{\ell=1}^p P_{f,\ell}^g} \sum_{j=1}^p \frac{P_{f,j}^g}{\varsigma(q_i + \epsilon \frac{\eta}{2}) - \varsigma(p_j - \frac{\eta}{2})} \right] + \frac{g(q_i)}{P(q_i)} \frac{f(-p_k) - f(p_k) \varphi(p_k)}{1 + \sum_{\ell=1}^p P_{f,\ell}^g}.$$

In the case with a constraint, the **Bethe equations** are

$$f(-p_k) - f(p_k) \varphi(p_k) = 0, \quad k = 1, \dots, p$$

\rightsquigarrow if $|P\rangle$ is an eigenstate the determinant simplifies into

$$S_{i,k} = \sum_{\epsilon \in \{+, -\}} f(\epsilon q_i) P(q_i + \epsilon \eta) \left[\frac{f(-p_k)}{\varsigma(q_i + \epsilon \frac{\eta}{2}) - \varsigma(p_k + \frac{\eta}{2})} - \frac{f(q_k) \varphi(q_k)}{\varsigma(p_i + \epsilon \frac{\eta}{2}) - \varsigma(p_k - \frac{\eta}{2})} \right] \\ \propto \frac{\partial \tau(q_j | \{p\})}{\partial p_k}$$

Computation of correlation functions: general strategy

Compute $\langle O_{1 \rightarrow m} \rangle \equiv \frac{\langle Q | O_{1 \rightarrow m} | Q \rangle}{\langle Q | Q \rangle}$ for $|Q\rangle =$ ground state and $O_{1 \rightarrow m} \in \text{End}(\otimes_{n=1}^m \mathcal{H}_n)$ acts on sites 1 to m ?

- 1 rewrite $|Q\rangle$ as a generalized Bethe state

$$\prod_{j=1 \rightarrow M} \mathcal{B}(\lambda_j | \alpha, \beta - 2j + 1 | \eta, \alpha + \beta + N - 1 - 2M \rangle$$

- 2 use a similar strategy as in the diagonal case [Kitanine et al. 07] to act with $O_{1 \rightarrow m}$ on this Bethe state, i.e.
 - decompose the boundary Bethe state as a sum of bulk Bethe states
 - use the solution of the bulk inverse problem to act with local operators on bulk Bethe states
 - reconstruct the result of this action as sums over boundary Bethe states, and hence as a sum over separate states
- 3 compute the resulting scalar products using the determinant representation for the scalar products of separate states issued from SOV

but **difficulties** due to the use in all the steps of 2 of a gauged transformed boundary/bulk YB algebra !

Difficulties due to use of the gauged algebra

- the action of the usual basis of local operators given by $E_n^{i,j} \in \text{End}(\mathcal{H}_n)$ (such that $(E^{i,j})_{k,\ell} = \delta_{i,k} \delta_{j,\ell}$) is very intricate on the gauged bulk Bethe states

↪ identification of a basis of $\text{End}(\otimes_{n=1}^m \mathcal{H}_n)$ whose action is simpler to compute:

$$\mathbb{E}_m(\alpha, \beta) = \left\{ \prod_{n=1}^m E_n^{\epsilon'_n, \epsilon_n}(\xi_n | (a_n, b_n), (\bar{a}_n, \bar{b}_n)) \mid \epsilon, \epsilon' \in \{1, 2\}^m \right\},$$

where $E_n^{\epsilon'_n, \epsilon_n}(\lambda | (a_n, b_n), (\bar{a}_n, \bar{b}_n)) = S_n(-\lambda | \bar{a}_n, \bar{b}_n) E_n^{\epsilon'_n, \epsilon_n} S_n^{-1}(-\lambda | a_n, b_n)$ and the gauge parameters $a_n, \bar{a}_n, b_n, \bar{b}_n$, $1 \leq n \leq m$, are fixed in terms of α, β and of the m -tuples $\epsilon \equiv (\epsilon_1, \dots, \epsilon_m)$ and $\epsilon' \equiv (\epsilon'_1, \dots, \epsilon'_m)$ as

$$a_n = \alpha + 1, \quad b_n = \beta - \sum_{r=1}^n (-1)^{\epsilon_r},$$

$$\bar{a}_n = \alpha - 1, \quad \bar{b}_n = \beta + \sum_{r=n+1}^m (-1)^{\epsilon'_r} - \sum_{r=1}^m (-1)^{\epsilon_r} = b_n + 2\tilde{m}_{n+1},$$

with $\tilde{m}_n = \sum_{r=n}^m (\epsilon'_r - \epsilon_r)$.

↪ compute "elementary building blocks" $\langle \prod_{n=1}^m E_n^{\epsilon'_n, \epsilon_n}(\xi_n | (a_n, b_n), (\bar{a}_n, \bar{b}_n)) \rangle$

- the action of $\prod_{n=1}^m E_n^{\epsilon'_n, \epsilon_n}(\xi_n | (a_n, b_n), (\bar{a}_n, \bar{b}_n))$ for

$$\sum_{r=1}^m (\epsilon'_r - \epsilon_r) \neq 0$$

on the Bethe state

$$\prod_{j=1 \rightarrow M} \mathcal{B}(\lambda_j | \alpha, \beta - 2j + 1 | \eta, \alpha + \beta + N - 1 - 2M)$$

produces a state written on a SOV basis with **shifted gauge parameters** β

↪ the expression of the resulting scalar product is not known in that case

↪ we had to restrict our study to the computation of "elementary blocks" $\langle \prod_{n=1}^m E_n^{\epsilon'_n, \epsilon_n}(\xi_n | (a_n, b_n), (\bar{a}_n, \bar{b}_n)) \rangle$ for which

$$\sum_{r=1}^m (\epsilon'_r - \epsilon_r) = 0$$

Result

As in the diagonal case, the result is given as a multiple sum over scalar products, which turn in the half-infinite chain limit into multiple integrals over the Fermi zone $[-\Lambda, \Lambda]$ on which the Bethe roots condensate with density $\rho(\lambda)$ + possible contribution of two (instead of one in the diagonal case) isolated complex roots (the boundary roots $\check{\lambda}_{\pm}$ converging towards $\eta/2 - \zeta_{\pm}^{(D)}$):

$$\langle \prod_{n=1}^m E_n^{\epsilon'_n, \epsilon_n}(\xi_n | (a_n, b_n), (\bar{a}_n, \bar{b}_n)) \rangle = \prod_{n=1}^m \frac{e^{\eta}}{\sinh(\eta b_n)} \frac{(-1)^s}{\prod_{j < i} \sinh(\xi_i - \xi_j) \prod_{i \leq j} \sinh(\xi_i + \xi_j)}$$

$$\times \int_{\mathcal{C}} \prod_{j=1}^s d\lambda_j \int_{\mathcal{C}_{\xi}} \prod_{j=s+1}^m d\lambda_j \underbrace{H_m(\{\lambda_j\}_{j=1}^M; \{\xi_k\}_{k=1}^m)}_{\substack{\text{similar to the diagonal case} \\ \text{except that it depends on both parameters } \check{\zeta}_{\pm}^{(D)}}} \underbrace{\det_{1 \leq j, k \leq m} [\Phi(\lambda_j, \xi_k)]}_{\text{determinant of densities}},$$

The contours \mathcal{C} and \mathcal{C}_{ξ} are defined as

$$\mathcal{C} = \begin{cases} [-\Lambda, \Lambda] & \text{if the GS has no boundary roots} \\ [-\Lambda, \Lambda] \cup \Gamma(\check{\zeta}_{\sigma}^{(D)} - \eta/2) & \text{if the GS contains the b.r. } \check{\lambda}_{\sigma} \end{cases}$$

$$\mathcal{C}_{\xi} = \mathcal{C} \cup \Gamma(\{\xi_k^{(1)}\}_{k=1}^m)$$

where $\Gamma(\check{\zeta}_{\sigma}^{(D)} - \eta/2)$ (respectively $\Gamma(\{\xi_k^{(1)}\}_{k=1}^m)$) surrounds the point $\check{\zeta}_{\sigma}^{(D)} - \eta/2$ (respectively the points $\xi_1^{(1)}, \dots, \xi_m^{(1)}$) with index 1, all other poles being outside.

Perspectives and open problems

- generalize this study to a general boundary field on site N (case with a constraint)
- generalize this study to (some particular case of) the open XYZ chain ?
- compute more general matrix elements with $\sum_{r=1}^m (\epsilon'_r - \epsilon_r) \neq 0$?
- case without constraint ?
 - form of the (homogeneous) functional T-Q equation for the general open chain (\rightsquigarrow Q not a polynomial) ?
 - transformation of the determinant of the scalar product in the non-polynomial case (cf antiperiodic XXZ \rightsquigarrow difficult) ?
- Form factor of a local operator at distance m from the boundary (even in the diagonal case) ?
- Temperature case ?