

Non-compact spin chains and integrable particle systems

Rouven Frassek

University of Modena and Reggio Emilia, Italy



UNIMORE
UNIVERSITÀ DEGLI STUDI DI
MODENA E REGGIO EMILIA

GGI Workshop, 28. April 2022

Based on collaborations with C. Giardinà (Unimore) and J. Kurchan (Ensa Paris)

Content

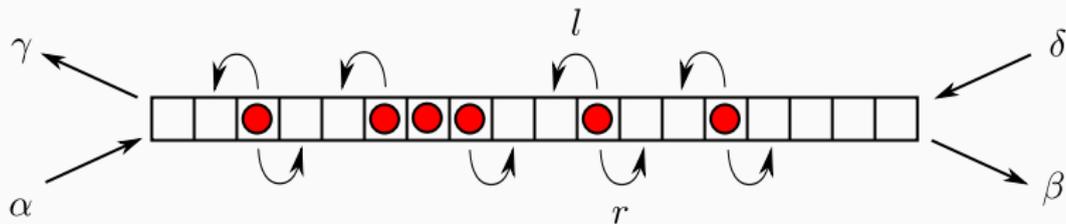
1. Review: Integrable simple exclusion models (ASEP/SSEP)
2. Quantum inverse scattering method
3. Non-compact spin chains as stochastic particle process
 - Non-compact XXX chain
 - Non-compact XXZ chain
 - Non-compact XXX chain with open boundaries
4. Construction of steady state
5. Outlook

Integrable simple exclusion models

Simple Exclusion Process

Most famous stochastic particle processes are: **ASEP** and **SSEP**

- Integrable
- Nearest-neighbor hopping model
- One particle per site (exclusion)
- Closed or open boundary conditions



Hopping rates: r and l and α, β, γ and δ

SSEP: $r = l = 1$

Some great reviews: [Derrida], [Schütz], [Blythe,Evans], [Crampé,Ragoucy,Vanicat], ...

Markov matrix of ASEP/SSEP

Exclusion process is generated by Markov matrix

$$M = \mathcal{B}_1 + \sum_{i=1}^{N-1} \omega_{i,i+1} + \mathcal{B}_N$$

Bulk:

$$\omega = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & -l & r & 0 \\ 0 & l & -r & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix}$$

Boundary:

$$\mathcal{B}_1 = \begin{pmatrix} -\alpha & \gamma \\ \alpha & -\gamma \end{pmatrix}, \quad \mathcal{B}_N = \begin{pmatrix} -\delta & \beta \\ \delta & -\beta \end{pmatrix}$$

Stochastic process:

Sum over rows vanishes

Off-diagonal entries have opposite sign of diagonal entries

Relation to integrable spin chains

Stochastic process can be mapped to **integrable spin chain**

- ASEP \leftrightarrow XXZ spin chain
- SSEP \leftrightarrow XXX spin chain

Hamiltonian is related to Markov generator

$$M = SHS^{-1}$$

Particle process can be studied using integrability tools:
Coordinate Bethe ansatz, algebraic Bethe ansatz, ...

Multi-species generalisations from higher rank spin chains

ASEP/SSEP produces traffic jams!



Lot of effort to avoid traffic jams...

Multi-particle generalisations

Put particles on top of each other



Naïve observation:

- Higher spin **integrable** model: Hamiltonian **not stochastic**
- Higher spin **stochastic** model: Hamiltonian **not integrable**

→ **Non-compact integrable spin chains** [RF, Giardinà, Kurchan '19]

SSEP within the quantum inverse scattering method

Starting point: Yang-Baxter equation

$$R_{12}(z_1 - z_2)R_{13}(z_1 - z_3)R_{23}(z_2 - z_3) = R_{23}(z_2 - z_3)R_{13}(z_1 - z_3)R_{12}(z_1 - z_2)$$

- Fundamental relation underlying integrable systems
- Each R-matrix R_{ij} acts on the tensor product of three spaces $V_1 \otimes V_2 \otimes V_3$ with

$$R_{12}(z) = R(z) \otimes I, \dots$$

- Fundamental R-matrix for SSEP / XXX Heisenberg spin chain

$$R(z) = z + P \quad \text{with} \quad P = \sum_{a,b=1}^2 e_{ab} \otimes e_{ba}$$

where $(e_{ab})_{cd} = \delta_{ac}\delta_{bd}$, $z \in \mathbb{C}$ and P acts as a permutation

Graphical notation

- R-matrix:

$$R_{ij}(z_i - z_j) = i \begin{array}{c} | \\ \hline | \\ j \end{array}$$

- Multiplication of R-matrices:

$$R_{12}(z_1 - z_2)R_{13}(z_1 - z_3) = 1 \begin{array}{c} | \quad | \\ \hline | \quad | \\ 2 \quad 3 \end{array}$$

- Yang-Baxter equation:

The diagram illustrates the Yang-Baxter equation with three strands labeled 1, 2, and 3. On the left, strand 1 is on the left, strand 2 is in the middle, and strand 3 is on the right. Strand 1 crosses over strand 2, and strand 2 crosses over strand 3. On the right, after an equals sign, the strands are rearranged: strand 1 is on the left, strand 3 is in the middle, and strand 2 is on the right. Strand 1 crosses over strand 3, and strand 3 crosses over strand 2.

Spin chain monodromy

Spin chain monodromy

$$\mathcal{M}(z) = R_{a,1}(z)R_{a,2}(z)\cdots R_{a,N}(z) = a \begin{array}{c} | \quad | \quad \cdots \quad | \\ \hline | \quad | \quad \cdots \quad | \\ 1 \quad 2 \quad \cdots \quad N \end{array}$$

- Multiplication of 2×2 matrices in auxiliary space and tensor product in quantum space
- Satisfies RTT-relation

$$R(z_1 - z_2)(\mathcal{M}(z_1) \otimes \mathbb{I})(\mathbb{I} \otimes \mathcal{M}(z_2)) = (\mathbb{I} \otimes \mathcal{M}(z_2))(\mathcal{M}(z_1) \otimes \mathbb{I})R(z_1 - z_2)$$

- Pictorially

The diagram illustrates the RTT relation pictorially. On the left, two lines labeled a_1 and a_2 cross each other. After the crossing, they enter a sequence of vertical lines representing sites 1, 2, ..., N. On the right, the sequence of vertical lines is shown first, followed by the crossing of the two lines. The two diagrams are separated by an equals sign, indicating that the crossing commutes with the sequence of sites.

Transfer matrix

Transfer matrix

$$T(z) = \text{tr}_a \mathcal{M}(z) = \begin{array}{c} \text{---} \text{---} \text{---} \text{---} \text{---} \\ | \quad | \quad \dots \quad | \\ 1 \quad 2 \quad \dots \quad N \end{array}$$

Markov generator / Hamiltonian

$$M_{SSEP}^{cl.} = \frac{\partial}{\partial z} \log T(z)|_{z=0} + \text{const}$$

Commuting family of operators (common eigenstates)

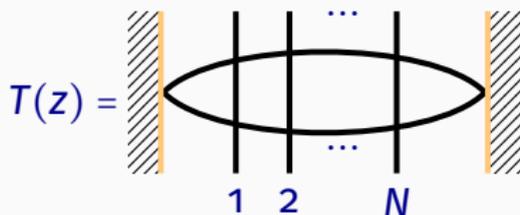
$$[T(z), T(z')] = 0, \quad [T(z), M_{SSEP}^{cl.}] = 0$$

How to describe process with reservoir?

Transfer Matrix

$$T(z) = \text{tr} \mathcal{K}_a(z) \underbrace{R_{a,1}(z) R_{a,2}(z) \cdots R_{a,N}(z)}_{\mathcal{M}(z)} \hat{\mathcal{K}}_a(z) \underbrace{R_{a,N}(z) \cdots R_{a,2}(z) R_{a,1}(z)}_{\hat{\mathcal{M}}(z)}$$

Graphically

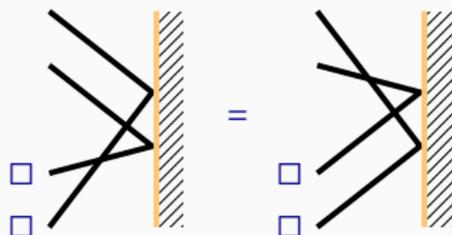


K-matrices

$$\mathcal{K}(z) = \left\langle \begin{array}{c} \text{hatched bar} \\ \text{orange bar} \end{array} \right\rangle$$

$$\hat{\mathcal{K}}(z) = \left. \begin{array}{c} \text{orange bar} \\ \text{hatched bar} \end{array} \right\rangle$$

Boundary Yang-Baxter equation



$$R_{12}(z_1 - z_2) \hat{\mathcal{K}}_1(z_1) R_{12}(z_1 + z_2) \hat{\mathcal{K}}_2(z_2) = \hat{\mathcal{K}}_2(z_2) R_{12}(z_1 + z_2) \hat{\mathcal{K}}_1(z_1) R_{12}(z_1 - z_2)$$

And analogously for other boundary involving $\mathcal{K}(z)$

- Most general K-matrices

$$\mathcal{K}(z) = \begin{pmatrix} p_1 + p_2(z+1) & p_3(z+1) \\ p_4(z+1) & p_1 - p_2(z+1) \end{pmatrix}, \quad \hat{\mathcal{K}}(z) = \begin{pmatrix} q_1 + q_2 z & z q_3 \\ z q_4 & q_1 - q_2 z \end{pmatrix}$$

Adjust boundary parameters

$$q_1 = 1, \quad q_2 = \beta - \delta, \quad q_3 = 2\beta, \quad q_4 = 2\delta$$

$$p_1 = 1, \quad p_2 = \gamma - \alpha, \quad p_3 = 2\gamma, \quad p_4 = 2\alpha$$

Markov generator / Hamiltonian

$$M_{SSEP} = \frac{\partial}{\partial z} \log T(z)|_{z=0} + \text{const.}$$

Commuting transfer matrices

$$[T(z), T(z')] = 0, \quad [T(z), M_{SSEP}] = 0$$

Expansion of $T(z)$ generates commuting charges

$$[M_{SSEP}, Q_k] = 0$$

Will become handy later...

Non-compact integrable spin chains

Non-compact spin chains

Quantum space of non-compact chains with hws

$$V = |m_1\rangle \otimes |m_2\rangle \otimes \dots \otimes |m_N\rangle, \quad m_i = 0, 1, 2, \dots$$

For spin s generators of $\mathfrak{sl}(2)$ act locally as

$$S_+|m\rangle = (m + 2s)|m + 1\rangle, \quad S_-|m\rangle = m|m - 1\rangle \quad S_0|m\rangle = (m + s)|m\rangle$$

Nearest-neighbor Hamiltonian density [Faddeev et al.]

$$\mathcal{H} = 2(\psi(\mathbb{S}) - \psi(2\mathbb{S}))$$

where $\psi(x)$ is **Digamma function** and \mathbb{S} is related to the **two-site Casimir operator** via $C_{[2]} = \mathbb{S}(\mathbb{S} - 1)$

- First studied in high energy QCD [Lipatov;Faddeev,Korchemsky]
- Important subsector of the $\mathcal{N} = 4$ SYM spin chain! ($s = \frac{1}{2}$)
- Integrable models [Derkachov]

The operator \mathbb{S}

Consider tensor product decomposition

$$D_S \otimes D_S = \bigoplus_{j=0}^{\infty} D_{2S+j}$$

Operator \mathbb{S} acts diagonally on the irreps on the rhs

$$\mathbb{S}|D_{2S+j}\rangle = (2S + j)|D_{2S+j}\rangle$$

Eigenvalues of Hamiltonian density are harmonic numbers h_S

$$\mathcal{H}|D_{2S+j}\rangle = 2 \sum_{k=1}^j \frac{1}{2S + k - 1} |D_{2S+j}\rangle$$

- Can't tell if process is stochastic from eigenvalues
- A priori not known how \mathcal{H} acts on the lhs...
 \leadsto Clebsch Gordan decomposition

Harmonic action as stochastic process

Nearest neighbor hopping model for $s = \frac{1}{2}$

[Beisert; Braun, Derkachov, Manashov; Lipatov; Faddeev, Korchemsky]

$$\begin{aligned}\mathcal{H}|m\rangle \otimes |m'\rangle &= (h(m) + h(m'))|m\rangle \otimes |m'\rangle - \sum_{k=1}^m \frac{1}{k} |m-k\rangle \otimes |m'+k\rangle \\ &\quad - \sum_{k=1}^{m'} \frac{1}{k} |m+k\rangle \otimes |m'-k\rangle\end{aligned}$$

with the harmonic numbers $h(m) = \sum_{k=1}^m \frac{1}{k}$.

Hamiltonian density \mathcal{H} is generator of Markov process!

[Giardinà, Kurchan, RF '19]

E.g. $m + m' = 2$:

$$\mathcal{H}_2 = \begin{pmatrix} \frac{3}{2} & -1 & -\frac{1}{2} \\ -1 & 2 & -1 \\ -\frac{1}{2} & -1 & \frac{3}{2} \end{pmatrix}$$

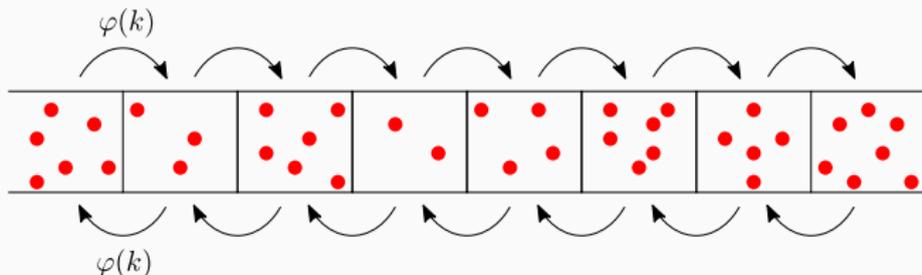
Harmonic action as stochastic process

Hamiltonian defined on N sites as

$$H = \sum_{i=1}^{N-1} \mathcal{H}_{i,i+1}$$

Symmetric stochastic process without exclusion!

→ k particles jump with the rate $\varphi(k) = \frac{1}{k}$



Hopping rates generalise to arbitrary spin $s > 0$ [Martins,Melo '09]

$$\varphi_s(m, k) = \frac{1}{k} \frac{\Gamma(m+1)\Gamma(m-k+2s)}{\Gamma(m-k+1)\Gamma(m+2s)}$$

Again we find a symmetric particle process!

↪ Rates depend on number of particles at departing site

Up to now only reinterpreting results of others...

Add a particle current (non-equilibrium models):

- q-analog/XXZ-analog → asymmetric (drift) process
- Rational case with boundary reservoirs

Non-compact XXZ spin chain as stochastic particle process

Non-compact $\mathcal{U}_q(\mathfrak{sl}_2)$ invariant XXZ chain

Commutation relations $\mathcal{U}_q(\mathfrak{sl}_2)$

$$[S_+, S_-] = -[2S_0], \quad [S_0, S_{\pm}] = \pm S_{\pm}$$

with q -number $[x] = \frac{q^x - q^{-x}}{q - q^{-1}}$.

Generators of $\mathcal{U}_q(\mathfrak{sl}_2)$ act locally as

$$S_+|m\rangle = [m + 2s]|m + 1\rangle, \quad S_-|m\rangle = [m]|m - 1\rangle \quad S_0|m\rangle = (m + s)|m\rangle$$

Hamiltonian density of XXZ chain with $|q| < 1$ [Bytsko]

$$\mathcal{H} = \frac{\psi_q(\mathbb{S}) - \psi_q(2\mathbb{S})}{-q^{4s} \log(q)}$$

with q -Digamma function ψ_q and \mathbb{S} is related to the co-product of the Casimir operator via $\Delta(C) = [\mathbb{S}][\mathbb{S} - 1]$.

Some definitions and special functions...

Co-product

$$\Delta(S_0) = S_0 \otimes 1 + 1 \otimes S_0, \quad \Delta(S_{\pm}) = S_{\pm} \otimes q^{-S_0} + q^{S_0} \otimes S_{\pm}$$

q-Gamma function

$$\Gamma_q(x) = q^{\frac{1}{2}x(1-x)} (q^{-1} - q)^{1-x} \frac{(q^2; q^2)_{\infty}}{(q^{2x}; q^2)_{\infty}}$$

with $(a; q)_n = \prod_{k=0}^{n-1} (1 - aq^k)$

q-Digamma function

$$\psi_q(x) = \partial_x \log \Gamma_q(x)$$

Harmonic action for XXZ chain

Use Clebsch-Gordan decomposition to obtain nearest neighbor hopping action on two sites [RF '19]

$$\mathcal{H}|m\rangle \otimes |m'\rangle = (\alpha_+(m) + \alpha_-(m')) |m\rangle \otimes |m'\rangle - \sum_{k=1}^m \rho(m, k) |m-k\rangle \otimes |m'+k\rangle - \sum_{k=1}^{m'} \rho(m', k) |m+k\rangle \otimes |m'-k\rangle$$

with diagonal entries

$$\alpha_{\pm}(m) = \frac{\psi_q(m+2s) - \psi_q(2s) \pm m \log(q)}{-2q^{4s} \log(q)}$$

and off-diagonal entries

$$\rho(m, k) = \frac{q^{2ks}}{q^{4s}(1-q^{2k})} \frac{(q^2; q^2)_m (q^{4s}; q^2)_{m-k}}{(q^2; q^2)_{m-k} (q^{4s}; q^2)_m}$$

Relation to stochastic q-Hahn process

As in ASEP, Hamiltonian density \mathcal{H} is **not a Markov matrix!**

Similarity transformation yields Markov matrix

$$\mathcal{M} = \begin{pmatrix} \alpha_+(n) + \alpha_-(0) & -\beta_-(1,1) & -\beta_-(2,2) & \cdots & -\beta_-(n,n) \\ -\beta_+(n,1) & \alpha_+(n-1) + \alpha_-(1) & -\beta_-(2,1) & \cdots & -\beta_-(n,n-1) \\ -\beta_+(n,2) & -\beta_+(n-1,1) & \alpha_+(n-2) + \alpha_-(2) & \cdots & -\beta_-(n,n-2) \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ -\beta_+(n,n) & -\beta_+(n-1,n-1) & -\beta_+(n-2,n-2) & \cdots & \alpha_+(0) + \alpha_-(n) \end{pmatrix}.$$

with

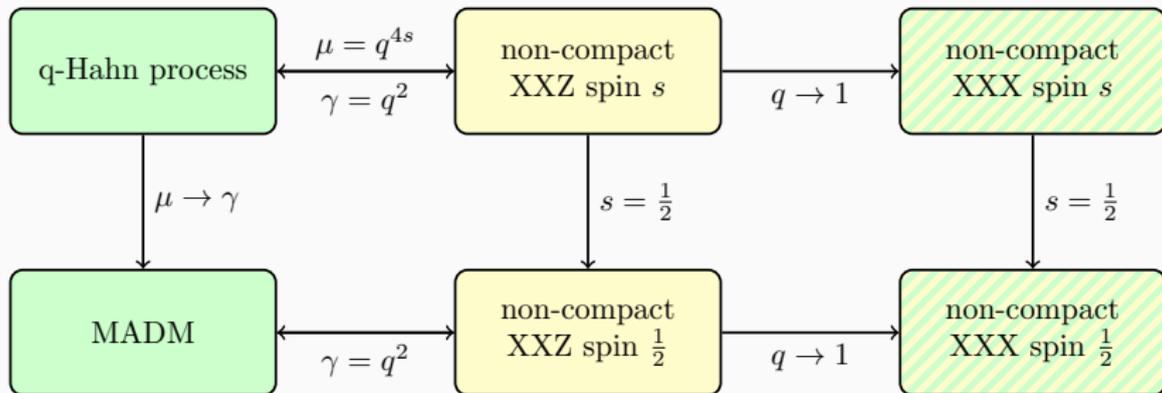
$$\beta_{\pm}(m, k) = \frac{\mu^{\frac{1}{2}k(1\pm 1)}}{\mu(1-\gamma^k)} \frac{(\gamma; \gamma)_m (\mu; \gamma)_{m-k}}{(\gamma; \gamma)_{m-k} (\mu; \gamma)_m},$$

where $\gamma = q^2$ and $\mu = q^{4s}$

Coincides with rates of q-Hahn process introduced by

[Povolotsky; Barraquand-Corwin; Sasamoto-Wadati] **without reference to XXZ chain!**

Non-compact spin chains and stochastic particle processes



Harmonic processes

Non-compact XXX chain with boundaries

Stochastic process with boundary reservoirs

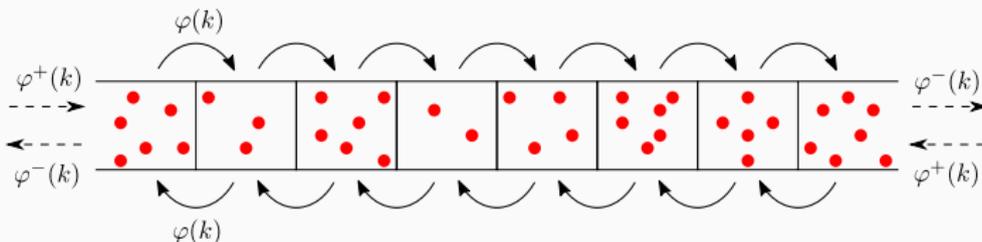
Add stochastic boundary conditions to **rational process**

$$H = \mathcal{B}_1 + \sum_{i=1}^{N-1} \mathcal{H}_{i,i+1} + \mathcal{B}_N.$$

Guess boundary terms for $0 < \beta_i < 1$ and $s = \frac{1}{2}$ [RF, Giardinà, Kurchan '19]

$$\mathcal{B}_i |m_i\rangle = \left(h(m_i) + \sum_{k=1}^{\infty} \frac{\beta_i^k}{k} \right) |m_i\rangle - \sum_{k=1}^{m_i} \frac{1}{k} |m_i - k\rangle - \sum_{k=1}^{\infty} \frac{\beta_i^k}{k} |m_i + k\rangle$$

Introduces reservoirs at left and right end of the chain:



Is this process integrable?

Construct the fundamental transfer matrix

$$T(x) = \text{tr } \mathcal{K}(x) \mathcal{M}(x) \hat{\mathcal{K}}(x) \hat{\mathcal{M}}(x)$$

with the monodromies

$$\mathcal{M}(x) = \mathcal{R}_1(x) \cdots \mathcal{R}_N(x), \quad \hat{\mathcal{M}}(x) = \mathcal{R}_N(x) \cdots \mathcal{R}_1(x)$$

where

$$\mathcal{R}(x) = (-1)^{\mathbb{S}} \frac{\Gamma(2s - x) \Gamma(\mathbb{S} + x)}{\Gamma(2s + x) \Gamma(\mathbb{S} - x)}$$

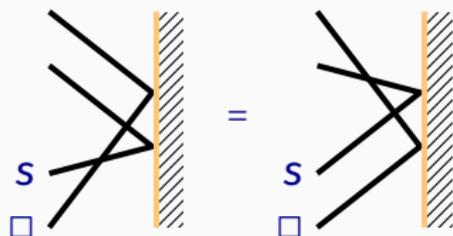
Hamiltonian is logarithmic derivative of $T(x)$ at permutation point

$$H = \partial_x \log T(x)|_{x=0}$$

But: **Closed expression of K-matrix unknown!**

Quantum Inverse Scattering Method

Derive the universal K-matrix from BYBE [RF, Giardinà, Kurchan '19]



$$\mathcal{L}(x-y)\hat{\mathcal{K}}(x)\mathcal{L}(x+y)\hat{\mathcal{K}}(y) = \hat{\mathcal{K}}(y)\mathcal{L}(x+y)\hat{\mathcal{K}}(x)\mathcal{L}(x-y)$$

Lax matrix and K-matrix in fundamental representation

$$\mathcal{L}(x) = \begin{pmatrix} x + \frac{1}{2} + S_0 & -S_- \\ S_+ & x + \frac{1}{2} - S_0 \end{pmatrix}, \quad \hat{\mathcal{K}}(x) = \begin{pmatrix} q_1 + xq_2 & xq_3 \\ xq_4 & q_1 - xq_2 \end{pmatrix}$$

Solve for $\hat{\mathcal{K}}(x)$...

Universal solution to BYBE

1. Introduce useful parametrisation of boundary variables

$$q_1 = \delta, \quad q_2 = \frac{1}{2}(1 + 2\alpha\beta)\gamma, \quad q_3 = -(1 + \alpha\beta)\beta\gamma, \quad q_4 = \alpha\gamma$$

2. Make the ansatz

$$\hat{\mathcal{K}}(x) = e^{\beta S_+} e^{-\alpha S_-} \hat{\mathcal{K}}_0(S_0; x) e^{\alpha S_-} e^{-\beta S_+}$$

Yields difference equation for $\hat{\mathcal{K}}_0(S_0; x)$ which can be solved

$$\hat{\mathcal{K}}_0(S_0; x) = \frac{\Gamma\left(\frac{1}{2} + s + 2\frac{\delta}{\gamma} - x\right) \Gamma\left(\frac{1}{2} + S_0 + 2\frac{\delta}{\gamma} + x\right)}{\Gamma\left(\frac{1}{2} + s + 2\frac{\delta}{\gamma} + x\right) \Gamma\left(\frac{1}{2} + S_0 + 2\frac{\delta}{\gamma} - x\right)}$$

Other boundary obtained via

$$\mathcal{K}(x) = \frac{1}{\hat{\mathcal{K}}(x+1)}$$

Relation to stochastic boundary

To derive **stochastic boundary conditions for Hamiltonian** fix

$$2\frac{\delta}{\gamma} = s - \frac{1}{2}, \quad \alpha = \frac{1}{1-\beta}$$

and compute the logarithmic derivative of the transfer matrix

$$\frac{\partial}{\partial x} \ln T(x)|_{x=0} = \frac{\text{tr}_a \mathcal{K}'_a(0)}{\text{tr}_a \mathcal{K}_a(0)} + 2 \frac{\text{tr}_a \mathcal{K}_a(0) \mathcal{H}_{a,1}}{\text{tr}_a \mathcal{K}_a(0)} + \frac{\hat{\mathcal{K}}'_N(0)}{\hat{\mathcal{K}}_N(0)} + 2 \sum_{k=1}^{N-1} \frac{\partial}{\partial x} \ln \mathcal{R}_{k,k+1}(x)|_{x=0},$$

Full Hamiltonian

$$H = \mathcal{B}_1 + \sum_{i=1}^{N-1} \mathcal{H}_{i,i+1} + \mathcal{B}_N$$

with algebraic expression for boundaries

$$\mathcal{B}_i = e^{-S_-^{[i]}} e^{\rho_i S_+^{[i]}} \left(\psi(S_0^{[i]} + s) - \psi(2s) \right) e^{-\rho_i S_+^{[i]}} e^{S_-^{[i]}} \quad \text{for } i \in \{1, N\}.$$

where $\rho_i = \frac{\beta_i}{1-\beta_i}$.

A longer computation shows that we obtain the spin s version of desired boundary terms!

$$\begin{aligned} \mathcal{B}_i |m_i\rangle = & \left(h^{(s)}(m_i) + \sum_{k=1}^{\infty} \frac{\beta_i^k}{k} \right) |m_i\rangle - \sum_{k=1}^{m_i} \frac{1}{k} \frac{\Gamma(m_i + 1) \Gamma(m_i - k + 2s)}{\Gamma(m_i - k + 1) \Gamma(m_i + 2s)} |m_i - k\rangle \\ & - \sum_{k=1}^{\infty} \frac{\beta_i^k}{k} |m_i + k\rangle, \end{aligned}$$

- Process is integrable!
- Derived stochastic boundaries for arbitrary spin s

Steady state of harmonic process with boundaries

Derrida solution

SSEP solved in 1993 using matrix product ansatz [Derrida et al.]

Representation of steady state $H|\mu\rangle = 0$

$$|\mu\rangle = \frac{1}{\langle W|(E+D)^N|V\rangle} \begin{pmatrix} \langle W|E\dots EEE|V\rangle \\ \langle W|E\dots EED|V\rangle \\ \langle W|E\dots EDE|V\rangle \\ \vdots \\ \langle W|D\dots DDD|V\rangle \end{pmatrix}$$

DEHP algebra

- Bulk relation: $DE - ED = D + E$
- Boundary relations:

$$\langle W|(\alpha E - \gamma D) = \langle W|, \quad (\beta D - \delta E)|V\rangle = |V\rangle$$

MPA difficult as there are **not only two** operators E and D

Steady state

Follow alternative route applied for SSEP in [RF '19; RF, Giardinà, Kurchan '20], inspired by [Alcaraz,Droz,Henkel,Rittenberg], [Melo,Ribeiro,Martins], [Essler,de Gier], [Crampé,Ragoucy,Vanicat]

1. SSEP generator can be brought to a block triangular form

$$H_{\Delta} = G^{-1}HG = \begin{pmatrix} -\alpha - \gamma & \Delta \\ 0 & 0 \end{pmatrix}_1 + \sum_{i=1}^{N-1} \omega_{i,i+1} + \begin{pmatrix} -\beta - \delta & 0 \\ 0 & 0 \end{pmatrix}_N$$

with $\Delta = \frac{(\alpha+\gamma)(\alpha\beta-\gamma\delta)}{\beta+\delta}$ and G only depends on S_a^{tot} .

2. H_{Δ} is isospectral to diagonal Hamiltonian $H^{\circ} = H_{\Delta=0}$ with $\Delta = 0$
3. Determine non-local transformation W_{Δ} s.t.

$$H^{\circ} = W_{\Delta}^{-1}H_{\Delta}W_{\Delta}$$

4. Obtain closed-form of steady state from pseudovacuum

$$|\Psi\rangle = GW_{\Delta}|\Omega\rangle$$

Same logic works for non-compact boundary model [Frassek,Giardinà '21]

Transformations for the non-compact model

Local transformation that block triangularises H :

$$G = \prod_{i=1}^N e^{-S_-^{[i]}} e^{\rho_N S_+^{[i]}}$$

Non-local transformation that block diagonalises H_Δ :

$$W_\Delta = \sum_{k=0}^{\infty} \Delta^k \frac{Q_+^k}{k!} \frac{\Gamma(2(S_0^{\text{tot}} + s))}{\Gamma(k + 2(S_0^{\text{tot}} + s))}$$

with

$$Q_+ = s S_+^{\text{tot}} + \sum_{i=1}^N S_+^{[i]} \left(S_0^{[i]} + 2 \sum_{j=i+1}^N S_0^{[j]} \right)$$

Q_+ is obtained from the transfer matrix at leading order in spectral parameter

Evaluation of the steady state

Steady state

$$\langle m|\mu\rangle = \langle m|GW_{\Delta}|\Omega\rangle = \sum_{n \geq m} F(n) \left[\prod_{i=1}^N \frac{(-1)^{n_i - m_i}}{n_i!} \binom{n_i}{m_i} \frac{\Gamma(2s + n_i)}{\Gamma(2s)} \right]$$

with factorial moments

$$F(n) = \sum_{k=0}^{|n|} \rho_N^{|n|-k} (\rho_1 - \rho_N)^k f_n(k)$$

where

$$f_n(k) = \sum_{|w|=k} \prod_{i=1}^N \binom{n_i}{w_i} \prod_{j=1}^{w_i} \frac{2s(N+1-i) - j + \sum_{k=i}^N w_k}{2s(N+1) - j + \sum_{k=i}^N w_k}.$$

Steady state for length $N=1$

$N = 1$ and $s = 1/2$

$$\langle m_1 | \mu \rangle = \frac{(\beta_L - 1)(\beta_R - 1)}{\beta_L - \beta_R} (\gamma_{\beta_L}(m_1 + 1) - \gamma_{\beta_R}(m_1 + 1)).$$

with $\beta_L = \beta_1$ and $\beta_R = \beta_N$ and

$$\gamma_\beta(n) = \sum_{k=n}^{\infty} \frac{\beta^k}{k}$$

Steady state for length $N=2$

$N = 2$ and $s = 1/2$

$$\langle m_1, m_2 | \mu \rangle = 2 \frac{(\beta_L - 1)^2 (\beta_R - 1)^2}{(\beta_L - \beta_R)^2} (\phi_{\beta_L}(m_1, m_2) - \kappa(m_1, m_2) + \phi_{\beta_R}(m_2, m_1))$$

where

$$\phi_{\beta}(m_1, m_2) = \frac{1}{2} \gamma_{\beta}^2 (1 + m_1) - \sum_{k=m_1+1}^{m_2} \frac{1}{k} \gamma_{\beta} (m_1 + k + 1) + \sum_{k=m_2+1}^{m_1} \frac{1}{k} \gamma_{\beta} (m_1 + k + 1)$$

and

$$\kappa(m_1, m_2) = \gamma_{\beta_L} (1 + m_1) \gamma_{\beta_R} (1 + m_2).$$

Eigenstates and mapping to equilibrium

- Other eigenstates of H can be obtained from standard Bethe ansatz for H° :

$$|\Psi\rangle = GW_\Delta|\Psi^\circ\rangle$$

- Process can be mapped to equilibrium H^{eq} with $\rho = \rho_1 = \rho_N$ such that

$$H = G_{\rho_N} W_\Delta \underbrace{G_\rho^{-1} H^{eq} G_\rho}_{H^\circ} W_\Delta^{-1} G_{\rho_N}^{-1}$$

Observed macroscopically in [Tailleur, Kurchan, Lecomte '07]

Conclusion & Outlook

Conclusion

- Interesting connections between high energy physics, quantum groups, statistical mechanics and probability theory
- QISM is powerful tool to study integrable stochastic processes

Work in progress

- Boundary K-matrices for non-compact XXZ
- W_{Δ} for ASEP? Interesting works by [Nichols,Rittenberg,de Gier]
- Role of Baxter Q-operator and relation to [Lazarescu, Pasquier]
- Generalisation to $\mathfrak{su}_q(n, 1)$ and relation to stochastic R-matrix [Kuniba,Mangazeev,Maruyama,Okado]

Implications for AdS/CFT? [Olivucci,Vieira '21]

Thank you!

References

- arXiv:1904.01048 *“Non-compact quantum spin chains as integrable stochastic particle processes”*
with C. Giardinà and J. Kurchan
- arXiv:1904.02191 *“The non-compact XXZ spin chain as stochastic particle process”*
- arXiv:1910.13163 *“Eigenstates of triangularisable open XXX spin chains and closed-form solutions for the steady state of the open SSEP”*
- arXiv:2004.12796 *“Duality and hidden equilibrium in transport models”*
with C. Giardinà and J. Kurchan
- arXiv:2107.01720 *“Exact solution of an integrable non-equilibrium particle systems”*
with C. Giardinà
- arXiv:2205.xxxxx *“An integrable heat conduction model”*
with C. Franceschini and C. Giardinà