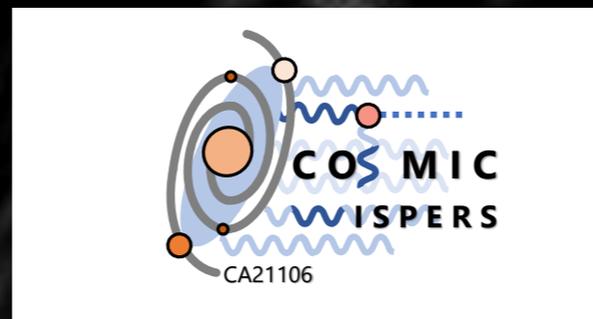


# Axion cosmology

GGI Axions across boundaries ...

Training week 26-28 Apr 2023

Javier Redondo



MAX-PLANCK-GESELLSCHAFT

# outline

- **thermal axion production**
- **isocurvature fluctuations**
- **misalignment, pre/post inflationary**
- **post-inflationary scenario**
- **cosmic strings and walls**
- **axion miniclusters**
- **axion stars**

## Key aspects for cosmology:

- Axion is a (pseudo) Goldstone Boson
- U(1) symmetry:
  - spontaneously broken at high-energy scale  $f_A \gtrsim 10^8$  GeV
  - Axial
  - colour anomalous,
- Axions are low E excitations of some HE theory  
(they are useful dof below  $f_A$ )
- "small" mass
- "feeble" interactions  $\sim 1/f_A$

# "Grand unified" axion spectrum

Most axions would be produced in the Big bang (DM, DR)

*Irastorza 18*

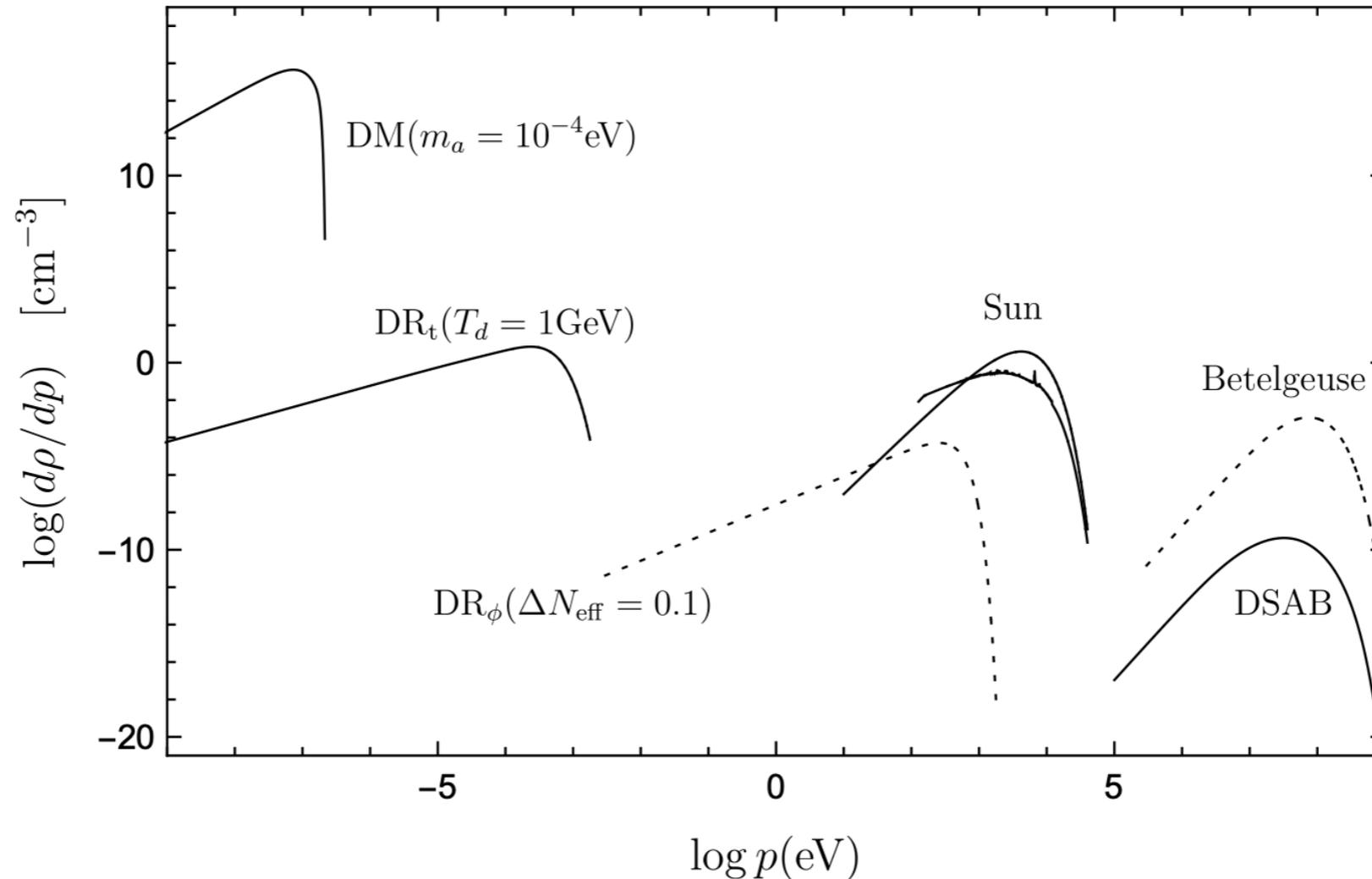
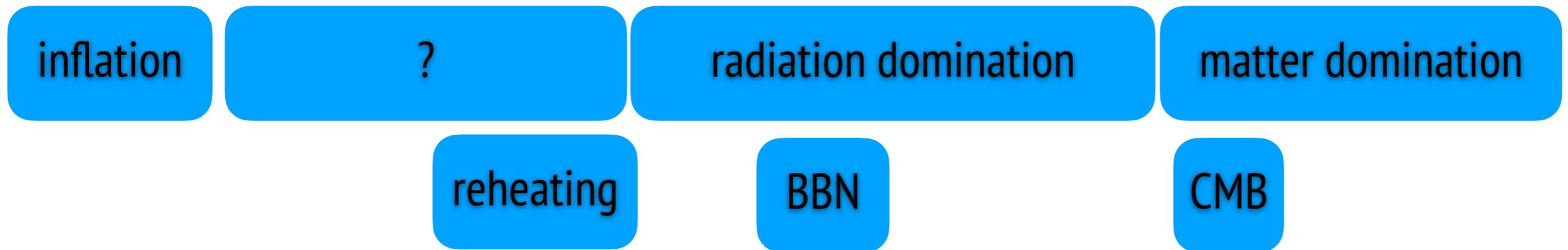


Figure 4: Energy spectrum of natural axions/ALPs as function of momentum at the Earth position. Galactic DM with  $m_a = 10^{-4}$  eV, thermal DR (DR<sub>t</sub>) and from modulus decay (DR<sub>φ</sub>), solar Primakoff and ABC axions saturating the astrophysical bounds (from HB and WDs respectively) and maximum diffuse supernova axion background (DSAB) and axion pulse from Betelgeuse (50% of SN energy into axions).

# Thermal axions

## - Standard cosmological storyline



- After inflation, Universe reheats to a SM plasma ( $\gamma, e, \mu, \tau, g, q, W, H \dots$ )

- Thermal SM particles will produce axions

# Thermal axions

- Most relevant production from strong-interactions (g, pi)
- Most important aspect is  $f_A$  suppression

$$\mathcal{M} \propto \frac{1}{f_A} \rightarrow \sigma(? \rightarrow ? + a) \propto \frac{1}{f_A^2}$$

**Axion Production rate (relativistic, temperature T plasma)**

$$\Gamma(? \rightarrow ? + a) \sim \langle n? \sigma(? \rightarrow ? + a) v \rangle \sim \frac{T^3}{f_A^2}$$

**Thermalisation effective?**

$$\Gamma \gg H \sim g_* \frac{T^2}{m_{\text{Pl}}} \quad T \gg \frac{f_A^2}{m_{\text{Pl}}}$$

# Thermalisation

- Thermalisation is effective for  $T \gg \frac{f_A^2}{m_{\text{Pl}}}$

$$T \gg 10^5 \text{ GeV} \left( \frac{f_A}{10^{12} \text{ GeV}} \right)^2$$

- Thermal Number density of axions, Bose-Einstein distributed in E

$$n_A = \frac{\zeta(3)}{\pi^2} T^3$$

- As Universe expands and cools down, temperature drops below critical, axions decouple  $T_d \sim f_A^2/m_{\text{Pl}}$

- Number density today (assumes entropy conservation)

$$n_A(t_0) = n_A(T_d) \left( \frac{R(T_d)}{R_0} \right)^3 = \frac{\zeta(3)}{\pi^2} T_d^3 \frac{g_S(T_0) T_0^3}{g_S(T_d) T_d^3} \sim \frac{\zeta(3)}{\pi^2} T_0^3 \frac{g_S(T_0)}{g_S(T_d)}$$

# Abundance today

## - Number density today (assumes entropy conservation)

$$n_A(t_0) = n_A(T_d) \left( \frac{R(T_d)}{R_0} \right)^3 = \frac{\zeta(3)}{\pi^2} T_d^3 \frac{g_S(T_0) T_0^3}{g_S(T_d) T_d^3} \sim \frac{\zeta(3)}{\pi^2} T_0^3 \frac{g_S(T_0)}{g_S(T_d)}$$

**R is FRW scale factor (Universe expansion)**

**Entropy "conservation"  $g_S(T) T^3 R^3 = \text{cons.}$**

# Abundance today

## - Thermal Dark matter

$$\rho = m_A n_A(t_0) \sim m_A T_0^3 \frac{g_S(T_0)}{g_S(T_d)}$$

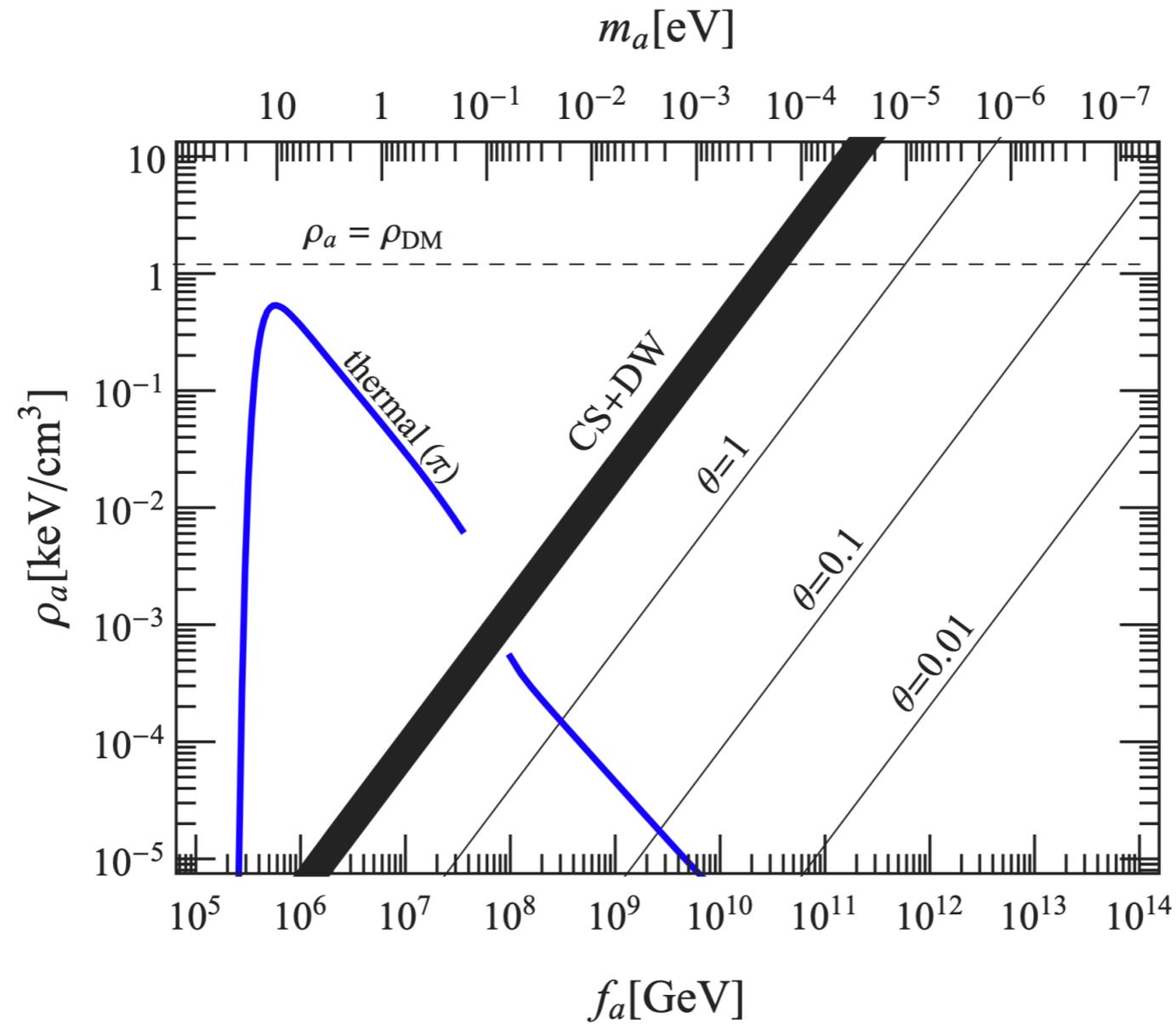
Can only be a subdominant component of DM

$$\rho_r \sim T_0^4 \sim \frac{\rho_m}{z_{\text{eq}}} \sim 10^{-4} \rho_m \sim 10^{-4} \rho_c$$

$$\rho_{A,T} \sim \frac{m_A}{T_0} \frac{1}{g_S(T_d)} \times \rho_r \sim \frac{m_A < 0.06\text{eV}}{10^{-4}\text{eV}} 10^{-4} \rho_c < \rho_c$$

# Abundance today

## - Thermal Dark matter



Archidiacono 2015

# Thermal Axion Dark matter is HOT

## - Free streaming length

- comoving distance travelled up to CMB times

$$\lambda_{\text{FS}} = \int_0^{\text{CMB}} \frac{dt}{R(t)} v = \int_{z_{\text{CMB}}}^{\infty} \frac{dz}{H} v$$

velocity is  $v = \frac{p}{E} = \frac{p}{\sqrt{m^2 + p^2}}$

momentum redshifts!  $xT_0(1+z)$

axions become non-relativistic

$v \sim 1 \rightarrow v \sim xT_0(1+z)/m$  at  $z_{nr} \sim m/xT_0$

$$\lambda_{\text{FS}} \sim \frac{1}{H_0 \sqrt{\Omega_\gamma^0}} \left[ \int \frac{dz}{(1+z)^2} v \right] \sim \frac{1}{H_0 \sqrt{\Omega_\gamma^0}} \left( \frac{1}{1+z_{nr}} + \frac{xT_0}{m} \log(z_{nr}/z_{cmb}) \right) \sim \frac{1}{H_0 \sqrt{\Omega_\gamma^0}} \frac{xT_0}{m}$$

$$\lambda_{\text{FS}} \sim 100 \text{ Mpc} \left( \frac{1 \text{ eV}}{m_\nu} \right) \times x$$

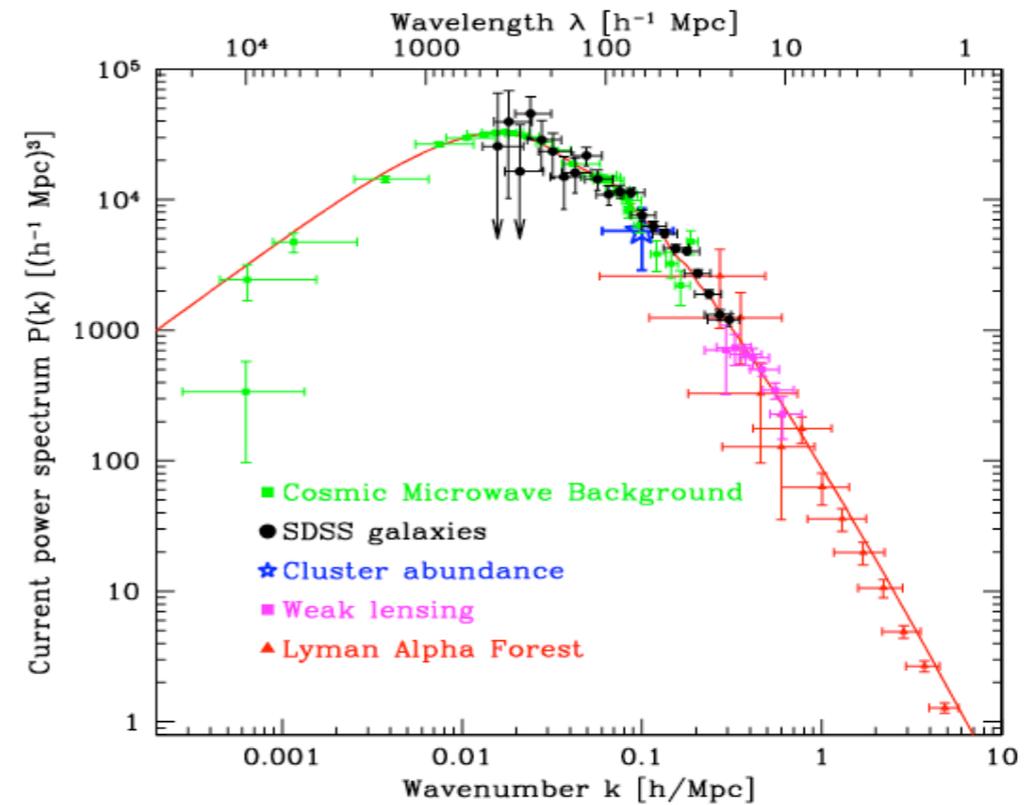
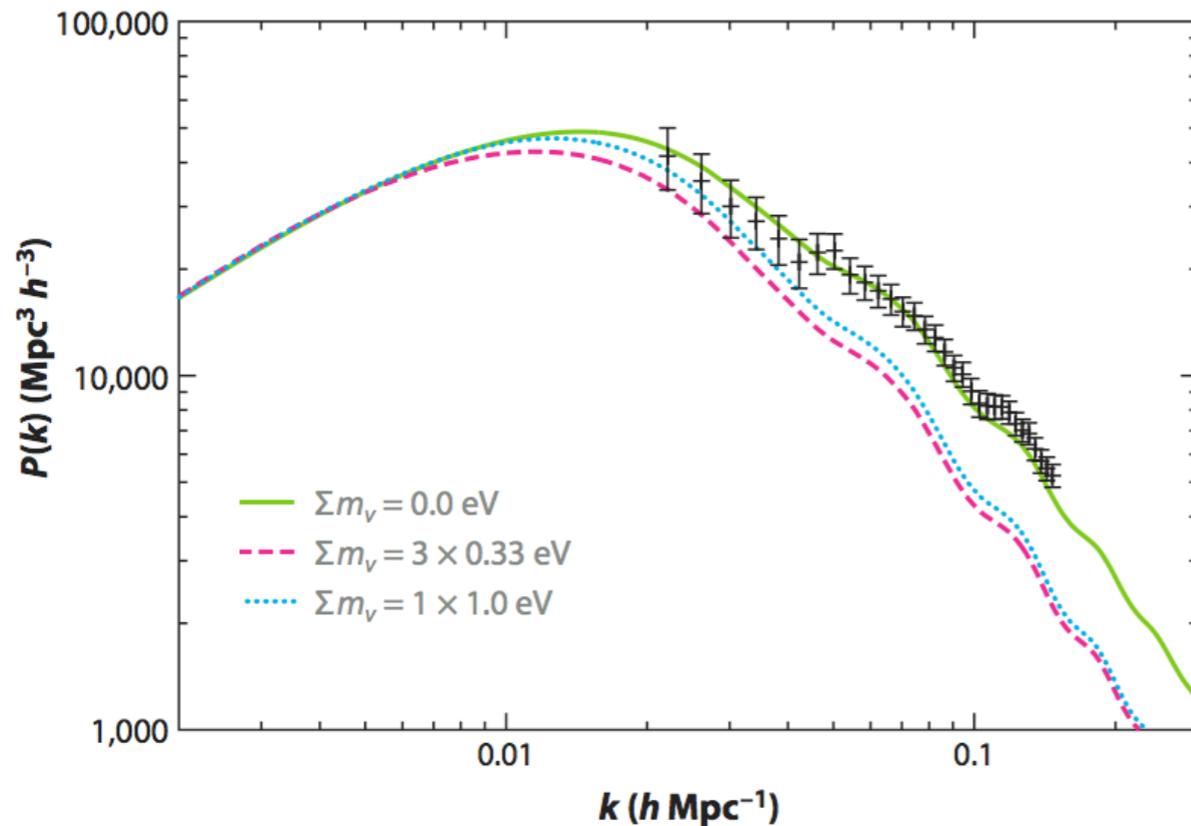
that is huge!!! axions cannot be ALL the dark matter...

would free stream and erase density fluctuations below ~100 Mpc/m!

effects are very similar to massive thermal neutrinos

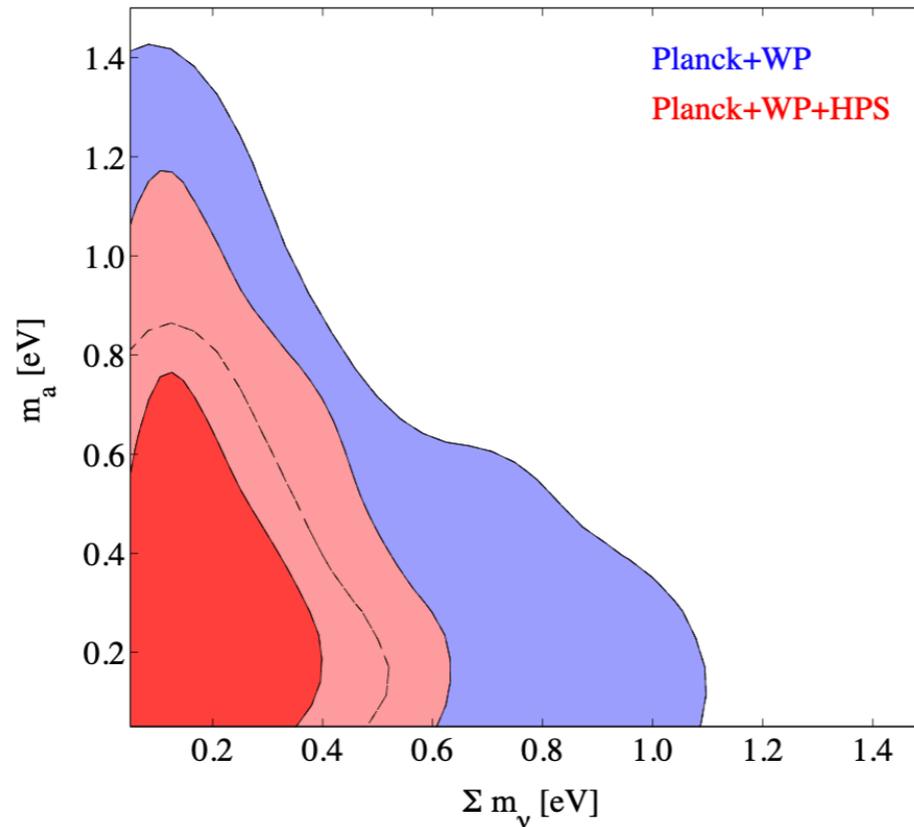
# Hot Dark matter is strongly constrained

- Effects are very similar to massive thermal neutrinos
- Neutrinos stream away from small-scale density fluctuations
- Matter power spectrum at small scales suppression (not observed)



# Hot Dark matter is strongly constrained

## - Planck data

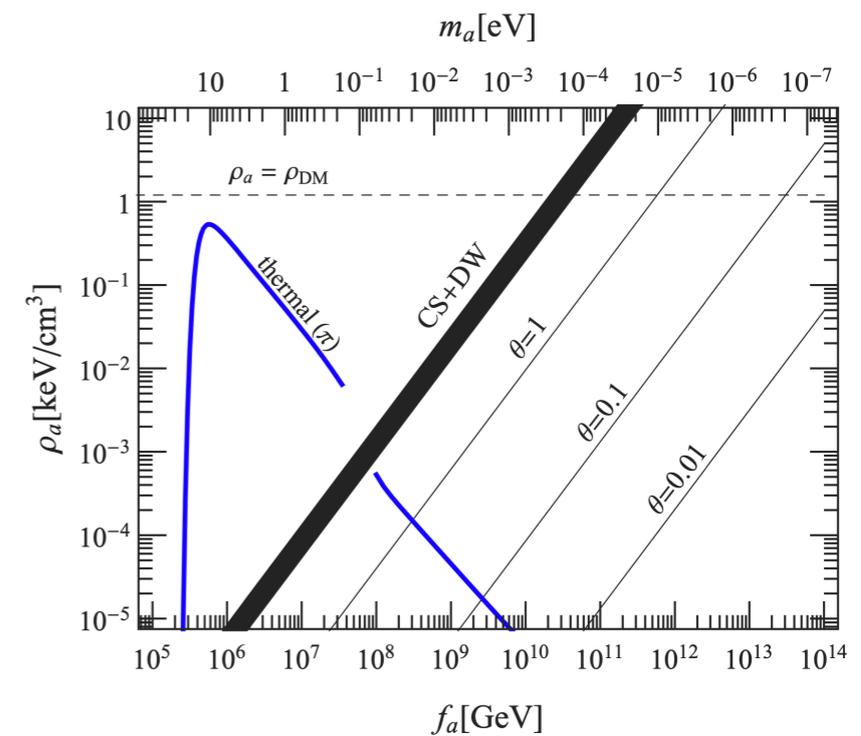


Wong 2013

## - Future surveys

- Euclid + Planck could pinpoint  $m_A > 0.15$  eV
- Below, the axion density is too low

Archidiacono 2015



# Dark radiation

- **Number density today (assumes entropy conservation)**

$$n_A(t_0) = n_A(T_d) \left( \frac{R(T_d)}{R_0} \right)^3 = \frac{\zeta(3)}{\pi^2} T_d^3 \frac{g_S(T_0) T_0^3}{g_S(T_d) T_d^3} \sim \frac{\zeta(3)}{\pi^2} T_0^3 \frac{g_S(T_0)}{g_S(T_d)}$$

R is FRW scale factor (Universe expansion)

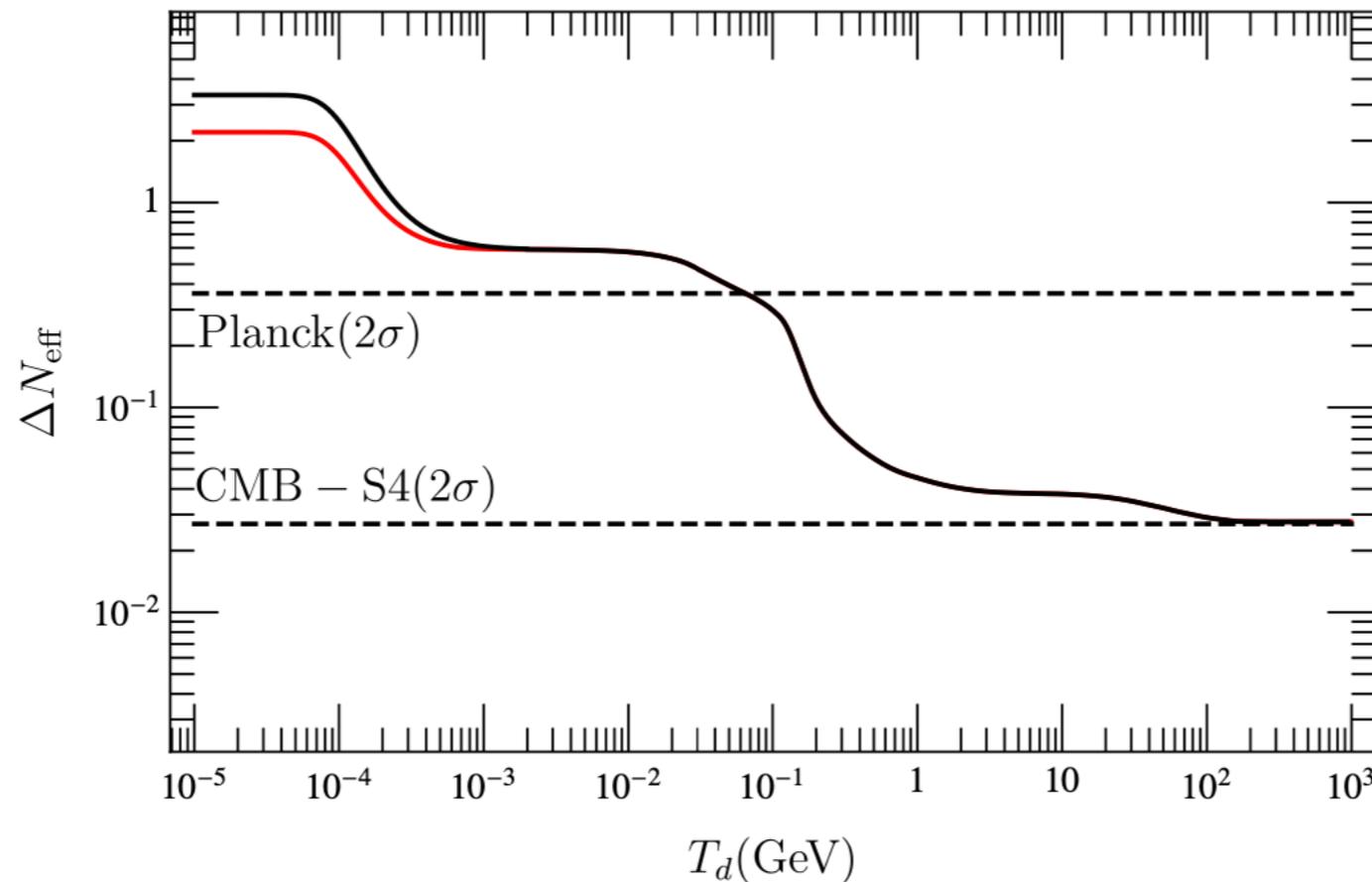
Entropy "conservation"  $g_S(T) T^3 R^3 = \text{cons.}$

- **For much smaller masses, axions behave as dark radiation**

# Dark radiation

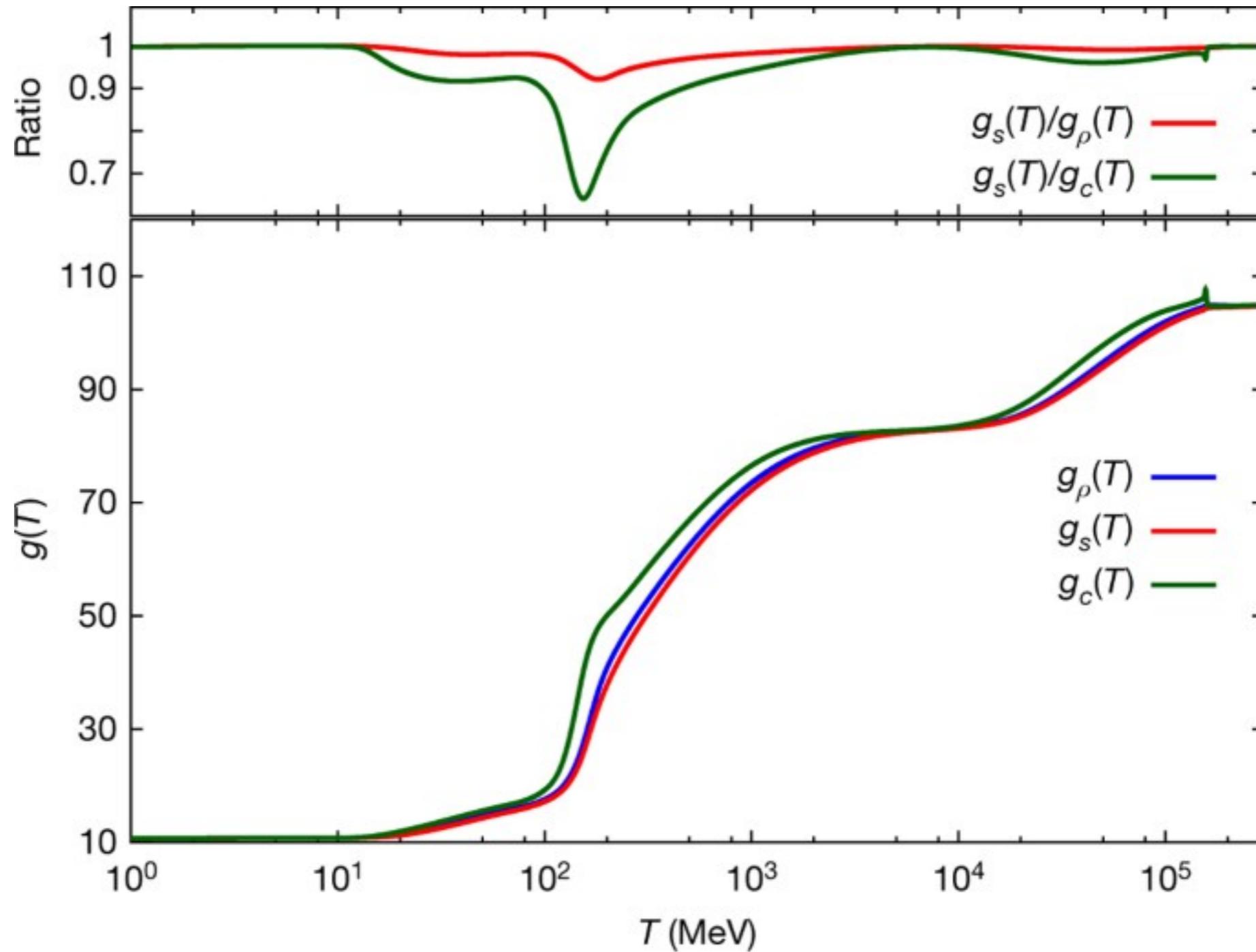
- **Energy density today (Effective number of neutrinos)** *(assumes negligible mass today)*

from IAXO Physics potential 2019



- **Generation 4 CMB satellite has the potential to be sensitive to  $\Delta N_{\text{eff}} \sim 0.03$**
- **Potential for axion discovery is (potentially) huge**

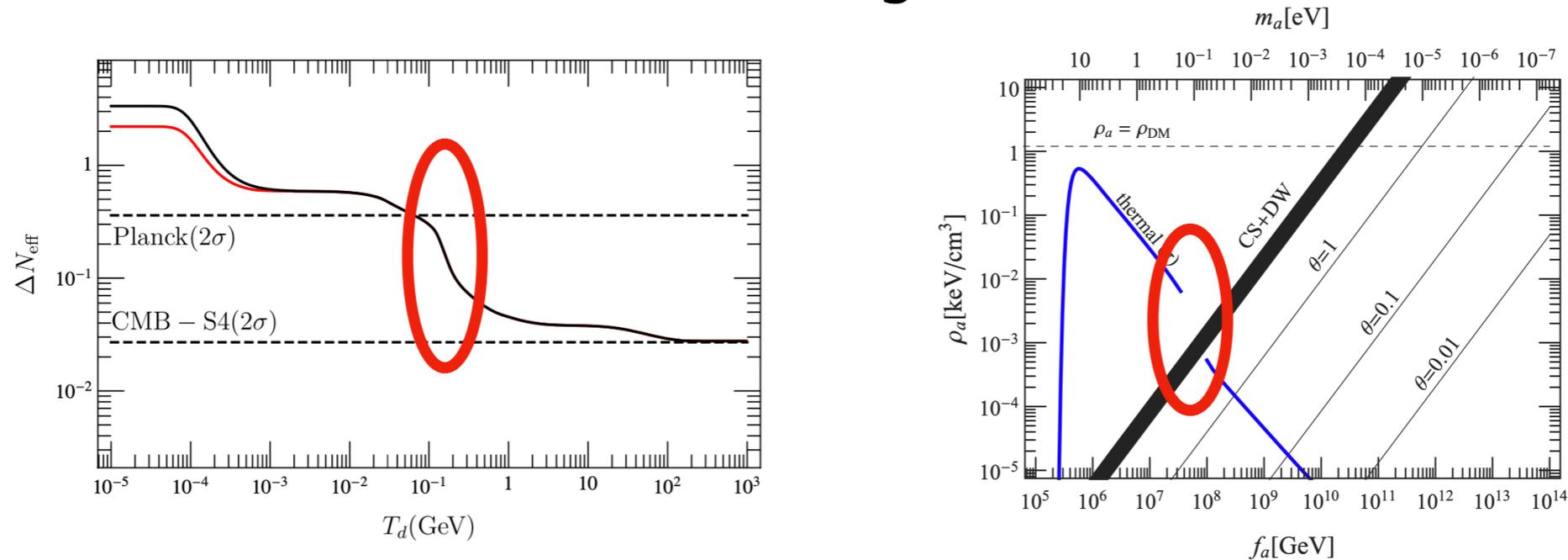
# (degrees of freedom)



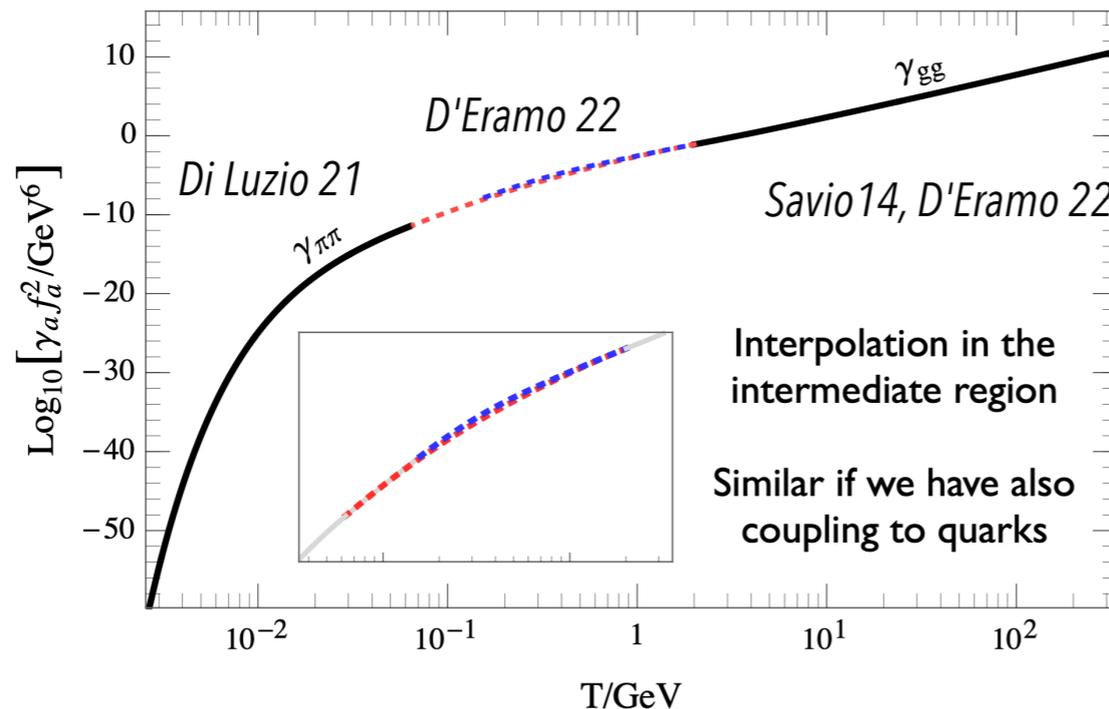
Borsanyi 2016

# The QCD phase transition issue

- Hot DM bound was calculated in a region where ChiPT was not valid



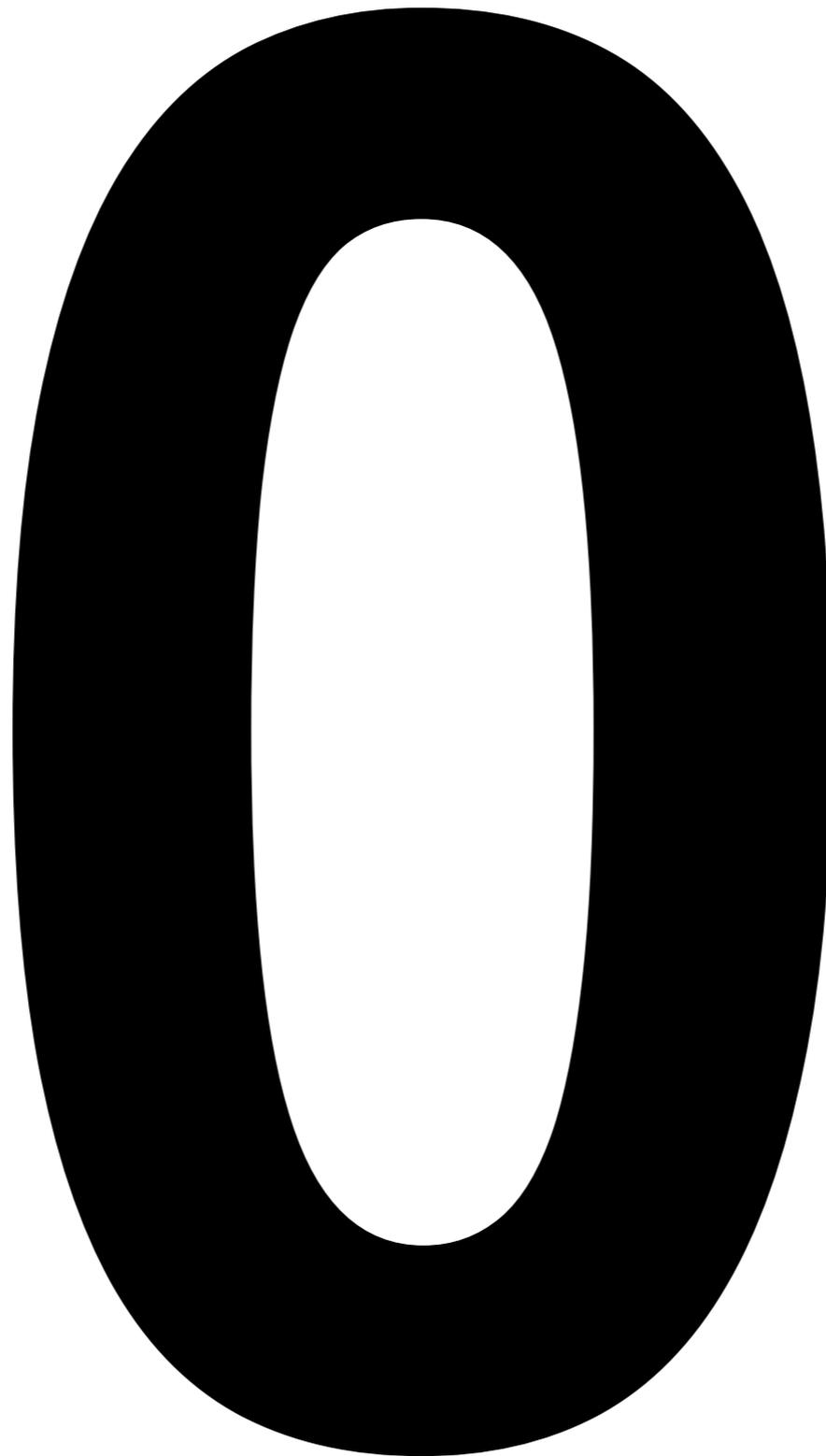
- Recent study "patched" up the ChiPT and HE QCD reliable regions



*D'Eramo 22*

- Educated interpolation,
- Full lattice QCD required in this regime

# Zero modes



$(k/R < H)$

# Zero modes

- The axion thermalisation rate mentioned is for axions of energy  $E \sim T$
- Axions are Goldstone bosons, Goldstone pole!

(most) Axion interactions are "derivative" ... they should vanish in the zero energy limit

$$\mathcal{M}(? \rightarrow ? + a(q^\mu)) \propto q_\mu \dots \xrightarrow{q^\mu \rightarrow 0} 0$$

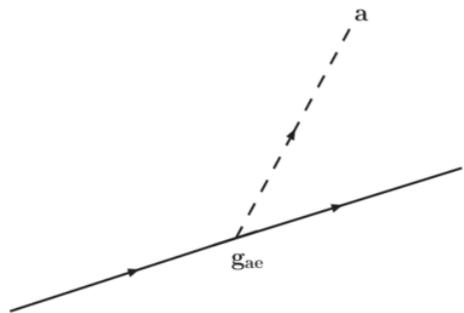
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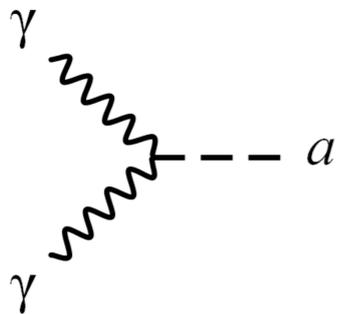
(most) Axion interactions are "derivative" ... they should vanish in the zero energy limit

$$\mathcal{M}(? \rightarrow ? + a(q^\mu)) \propto q_\mu \dots \xrightarrow{q^\mu \rightarrow 0} 0$$

Examples:



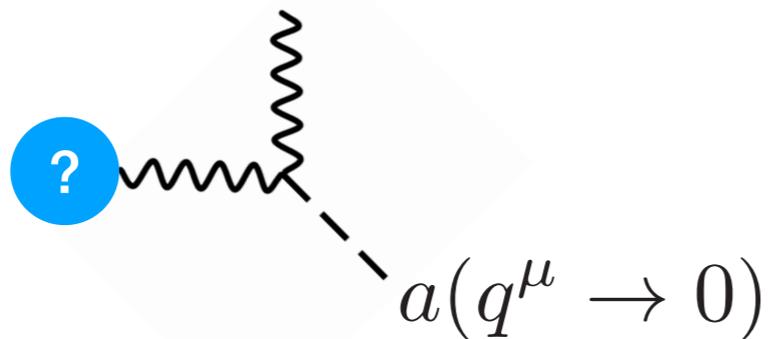
$$(\partial_\mu a) \bar{\psi} \gamma^\mu \gamma_5 \psi \rightarrow \mathcal{M}(\psi \rightarrow \psi + a) \propto q_\mu \dots$$



$$a F_{\mu\nu} \tilde{F}^{\mu\nu} \rightarrow \mathcal{M}(\gamma_1 \rightarrow \gamma_2 + a) \propto k_1^\mu v_1^\nu k_2^\alpha v_2^\beta \epsilon^{\mu\nu\alpha\beta} = k_1^\mu v_1^\nu q^\alpha v_2^\beta \epsilon^{\mu\nu\alpha\beta}$$

# Zero modes

- The axion thermalisation rate mentioned is for axions of energy  $E \sim T$
- I have been cheating you badly (at low energies)
- Rate of absorbing(emitting) a ultralow-energy axion (mass  $m_\phi$ ) from a photon of energy  $\omega$



$$d\Gamma_{\phi C} = \frac{1}{2m_\phi} \Gamma_C(\omega) |\mathcal{M}(\gamma\phi \rightarrow \gamma^*)|^2 \frac{1}{(2\omega m_\phi)^2 + (\omega\Gamma_C(\omega))^2} dn_\gamma(\omega)$$
$$= g^2 m_\phi \beta^2 \frac{\omega^2 \Gamma_C(\omega)}{(2\omega m_\phi)^2 + (\omega\Gamma_C(\omega))^2} dn_\gamma(\omega)$$

$$\Gamma_A \sim \frac{T^3}{f_A^2} \frac{m_a}{\Gamma_\gamma} \quad \Gamma_\gamma \sim \alpha^2 T$$

Cadamuro 2012

suppression of  $m_A/T$  with respect to previous estimates

# Zero modes

- Neglecting g's, suppression of E/T, low-energy modes are E~H

$$\Gamma_A/H \sim \frac{T^2 H / f_A^2}{H} = \left( \frac{T}{f_A} \right)^2$$

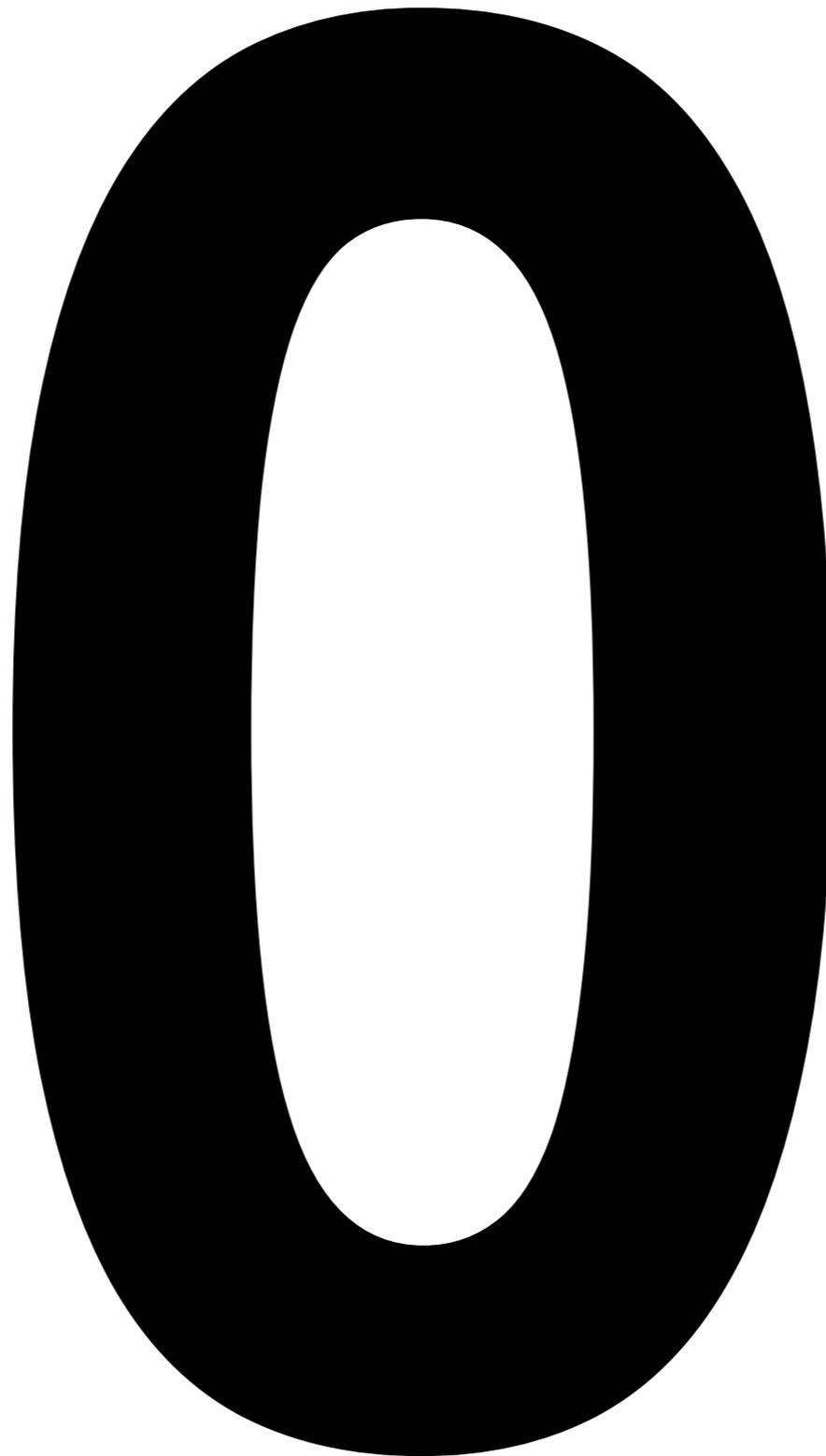
- Below  $T \sim f_A$ , low-energy modes are expected to be decoupled ...

- This is much higher than  $f_A^2/m_{\text{Pl}}$  above which Thermal modes couple to SM

- Another interesting expression, using lowest energy axions,  $E \sim \text{mass}$

$$\Gamma_A/H \sim \frac{T^2 m_A / f_A^2}{T^2 / m_{\text{Pl}}} \sim \frac{m_A m_{\text{Pl}}}{f_A^2} \sim \frac{\Lambda_{\text{QCD}}^2 m_{\text{Pl}}}{f_A^3} \sim 10^{-10} \left( \frac{10^9 \text{ GeV}}{f_A} \right)^3$$

# Zero modes



$(k/R < H)$

# Axion Zero modes

$$\mathcal{L}_A = \frac{1}{2}(\partial_\mu a)^2 + \partial_\mu a j_{\text{SM}}^\mu + a F \tilde{F} + a F \tilde{F} + a G \tilde{G} +$$

- Axion zero modes still interact with GGtilde

GGtilde is not a pure-Goldstone interaction and does not vanish in the  $q \rightarrow 0$  limit  
thanks to it we have the axion mass and potential

- Thus according to this low-energy theory, the most relevant axion zero mode interaction is due to the axion potential

$$V(a) = V_{\text{QCD}}(\theta) = V_{\text{QCD}}(a/f_A) \sim \chi_T (1 - \cos \theta)$$

- But remember that QCD becomes perturbative at high-T and  $\chi_T \sim (\Lambda/T)^8 \dots$   
therefore, this interaction is also irrelevant at very high-T

# Initial conditions

- Axion zero-modes are decoupled as far as the low-E theory is concerned
- Their abundance comes from their initial conditions
- Their initial conditions date back to the very early Universe... when the UV completion is active

**UV**

# U(1) to the rescue

**We do not know anything about the UV completion**

**We can assume however that it respects the U(1) symmetry**

**The axion is a relevant degree of freedom below  $E \sim f_A$**

**Assume a phase transition at temperatures  $E \sim f_A$  (spontaneous PQ breaking)**

**After the phase transition, the axion field takes a VEV**

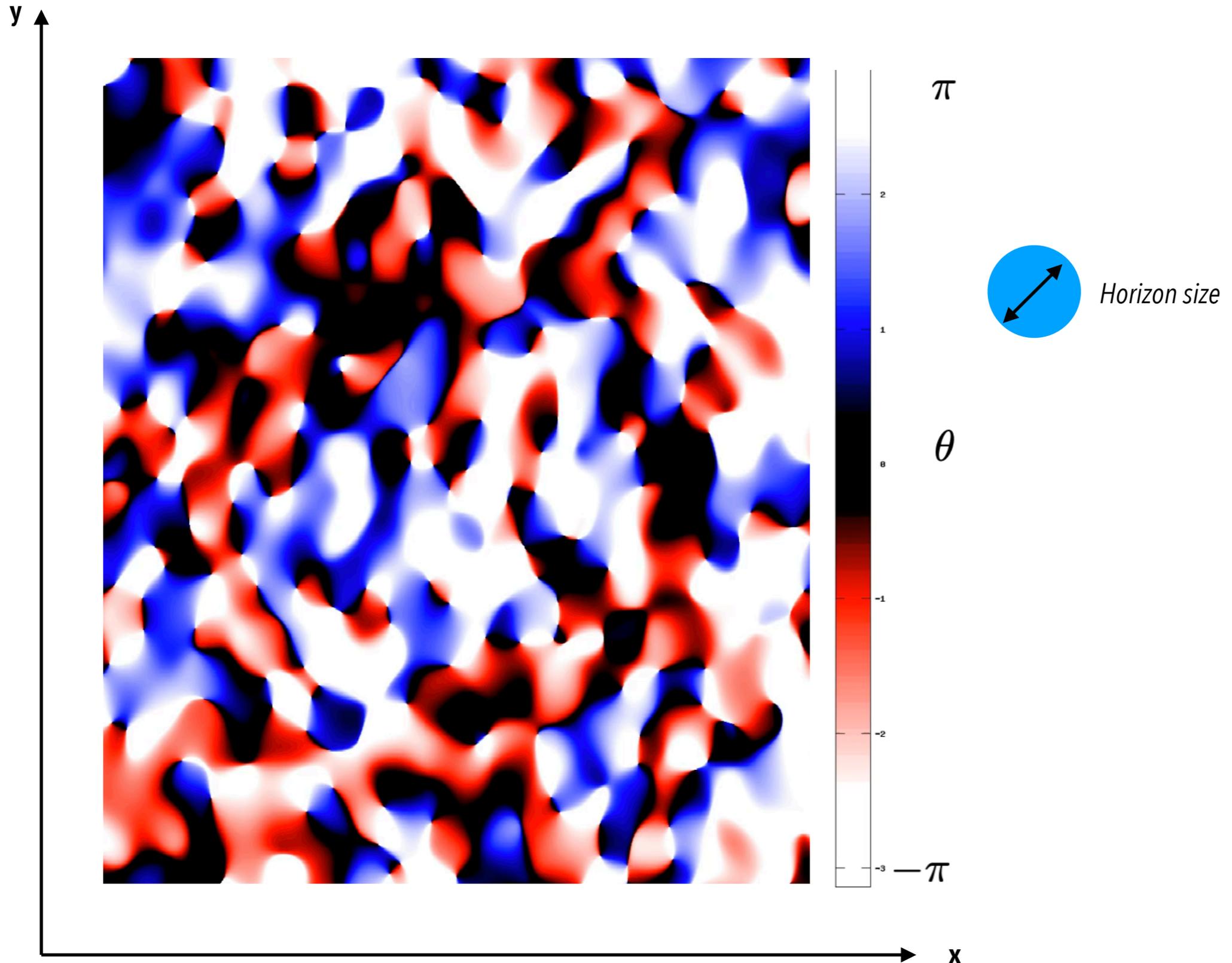
**the VEV cannot be correlated beyond the horizon size**

$$\theta(x) \neq \theta(x + d_H), d_H \sim 1/H$$

**All values of the axion field are equally probable (U(1) symm)**

# After phase transition

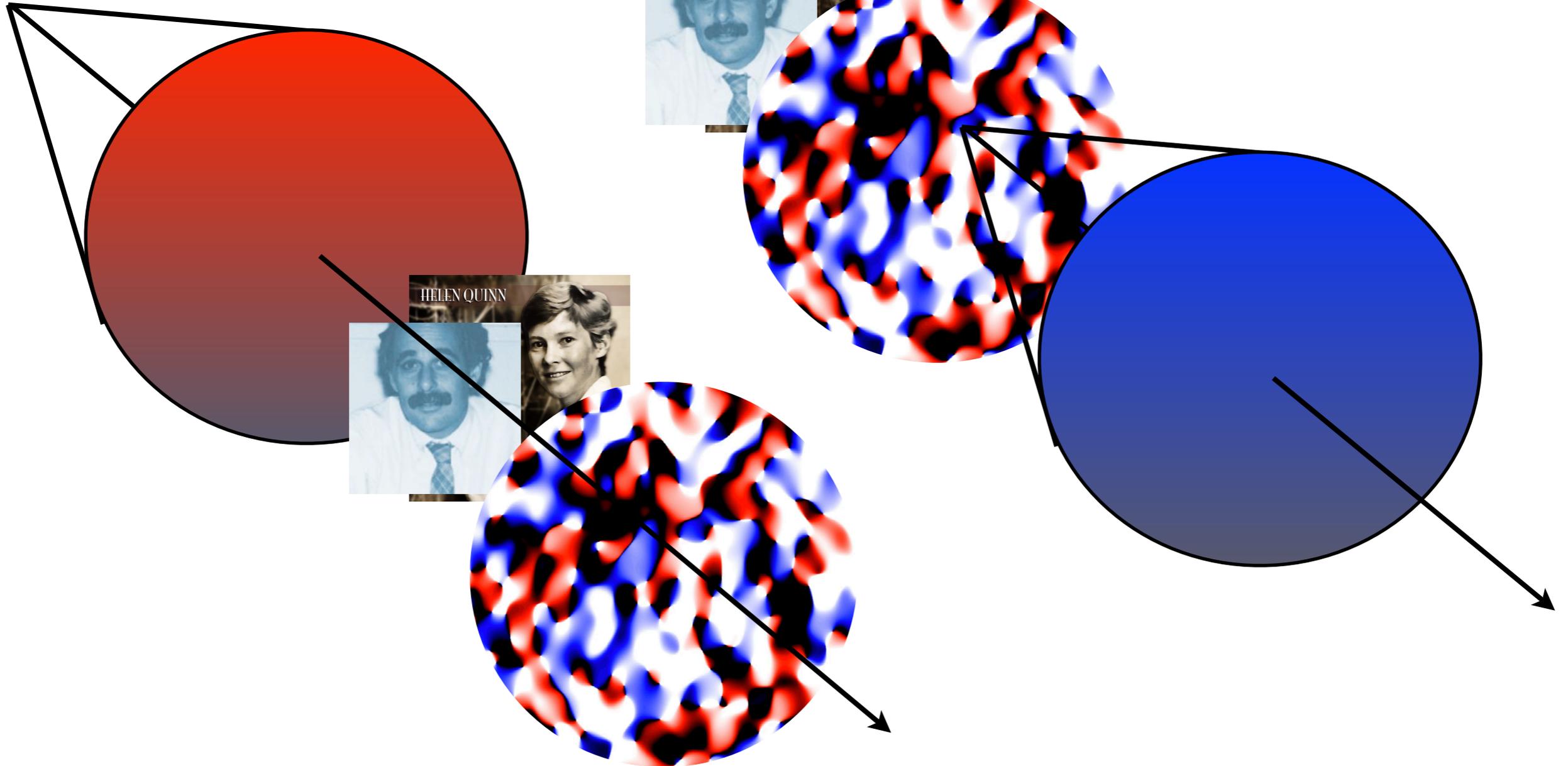
Typical slice of the Universe after phase transition\*



# Two (main) scenarios

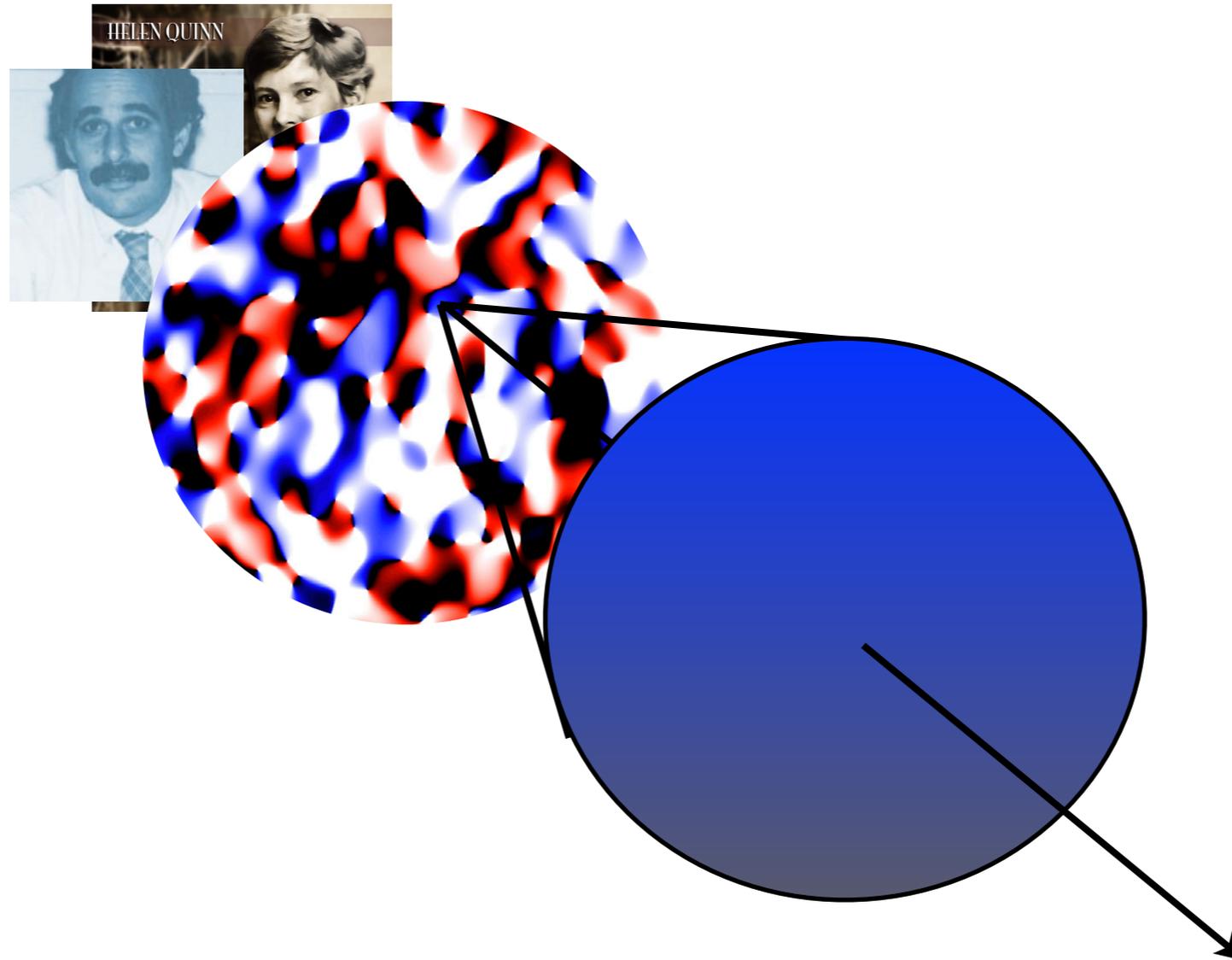
- PQ breaking after inflation

- PQ breaking before inflation



# PQ breaking before inflation

- Inflation: One sub-causal region gets blown up to a size larger than our causal horizon TODAY
- The value of the axion field was  $\sim$ homogeneous in that region
- The initial condition for the axion field is homogeneous (+ quantum fluctuations during inflation)



# Evolution of zero mode

- The zero mode has huge occupation number and evolves classically\*

# Field evolution

$$S = \int d^4x \sqrt{-g} \mathcal{L} = \int d^4x \sqrt{-g} \left( \frac{f_a}{2} (\partial_\mu \theta) (\partial^\mu \theta) - V(\theta) + \mathcal{L}_{int} \right)$$
$$= \int d^4x \sqrt{-g} \left( \frac{1}{2} (\partial_\mu a) (\partial^\mu a) - V(a/f_a) + \mathcal{L}_{int} \right)$$

**Equations of motion**  $\delta S = 0$       **Scale factor is**  $R(t)$  , **Expansion rate**  $H = \dot{R}/R$

$$\left( \frac{\delta \mathcal{L}}{\delta (\partial^\mu a)} \right)_{;\mu} - \frac{\delta \mathcal{L}}{\delta a} = 0$$

$$\ddot{a} + 3H\dot{a} - \frac{1}{R^2} \nabla^2 a + \frac{\partial V}{\partial a} = 0$$

# Effective mass, lattice calculations

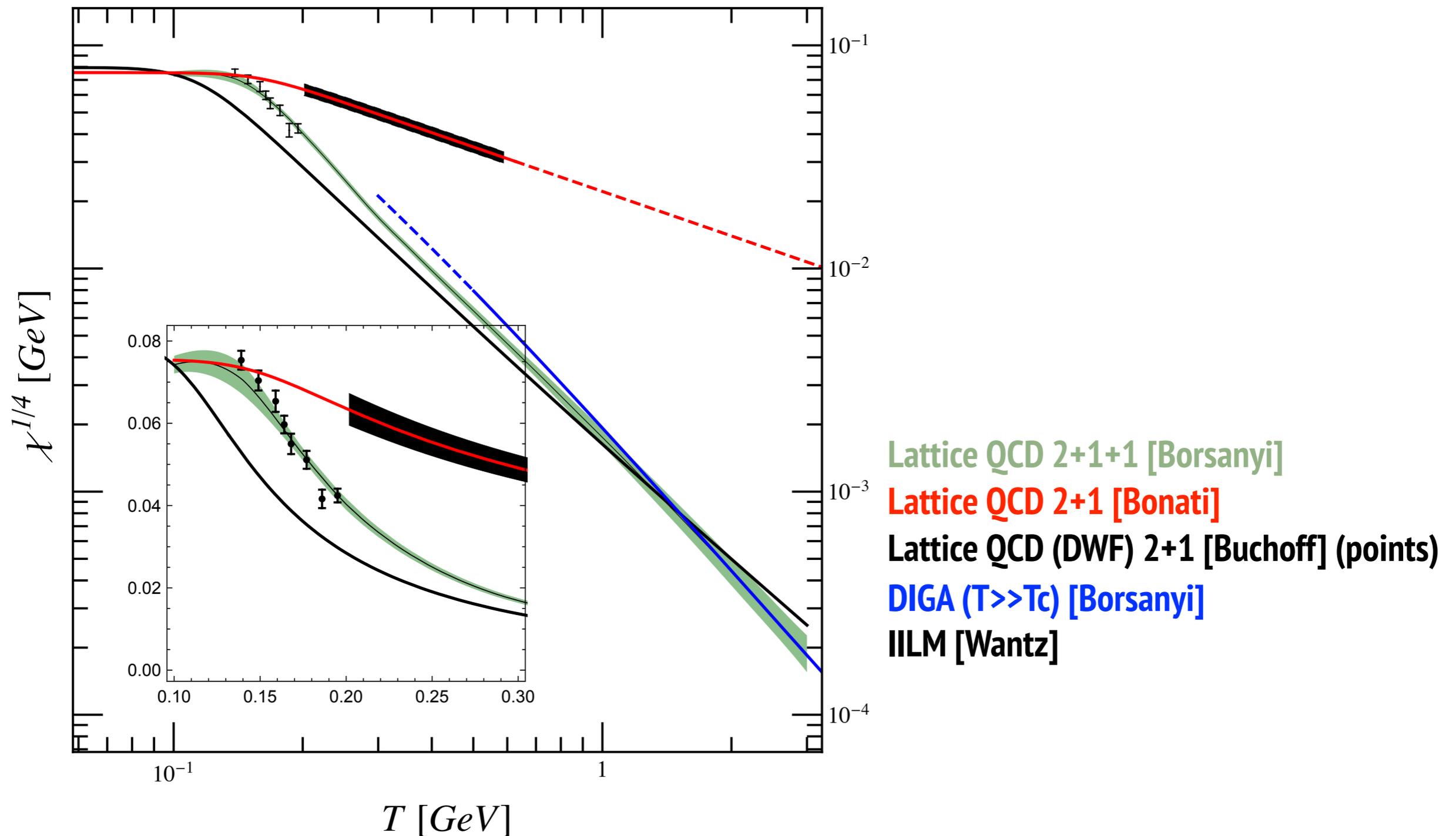
Lattice QCD: we can compute axion mass

$$m_a^2 f_a^2 = \chi(T)$$

At high T (no mesons)

we can analytically compute potential (DIGA)

$$V(\theta) = -\chi(T) \cos \theta$$



# Zero mode evolution

**Damped harmonic oscillator (with a time-varying frequency/mass)**

$$\ddot{a} + 3H\dot{a} + m_a^2 f_A \sin(a/f_A) \simeq 0$$

$$\ddot{\theta} + 3H\dot{\theta} + m_a^2 \sin \theta \simeq 0$$

**H decreases and  $m_A$  increases in time, assuming radiation domination...**

$$H \sim \frac{1}{2t}$$

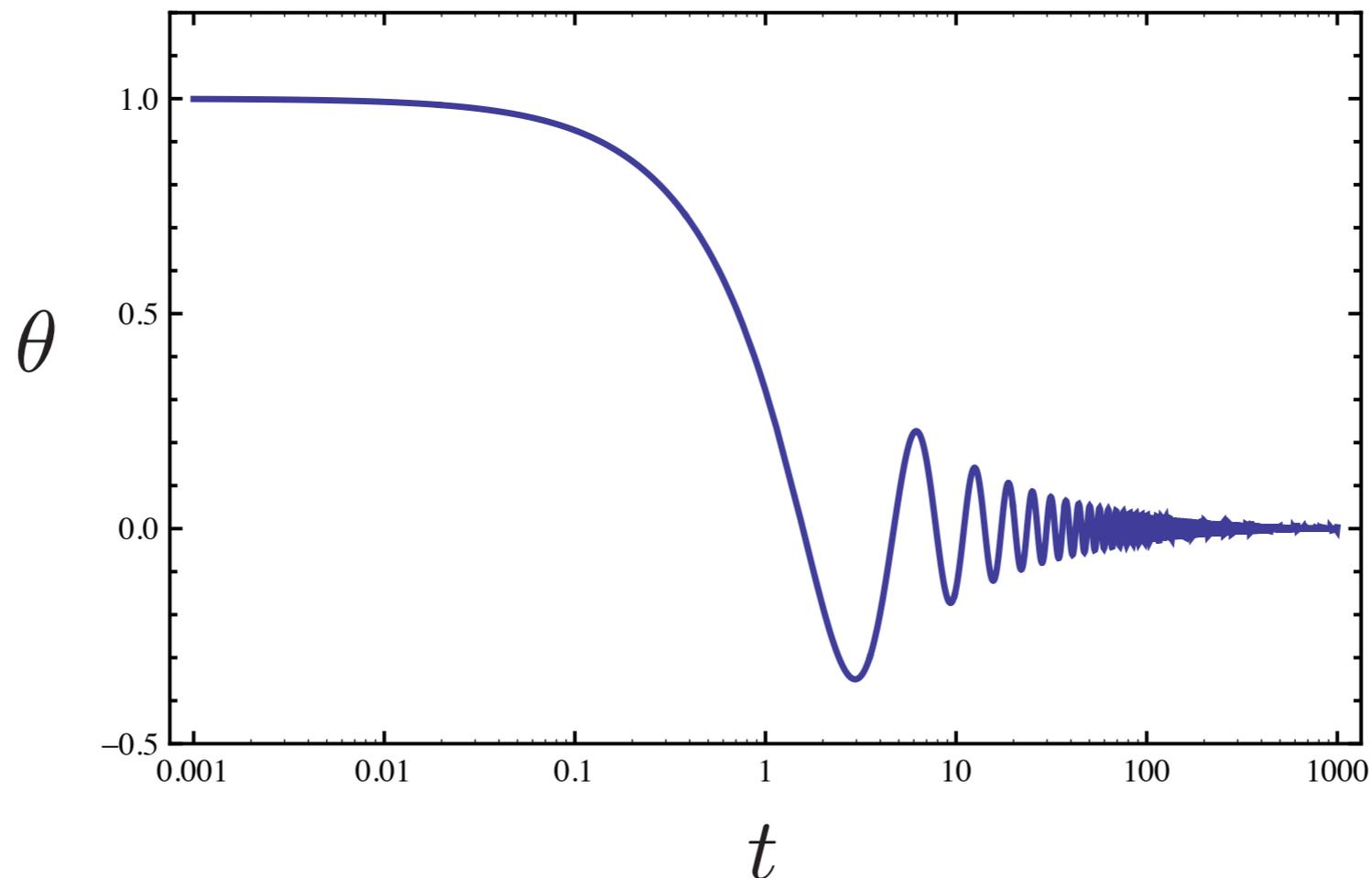
$$m_A \sim \frac{1}{T^{n/2}} \sim R^{n/2} \sim t^{n/4}$$

# Zero mode evolution

**Damped harmonic oscillator (with a time-varying frequency and damping)**

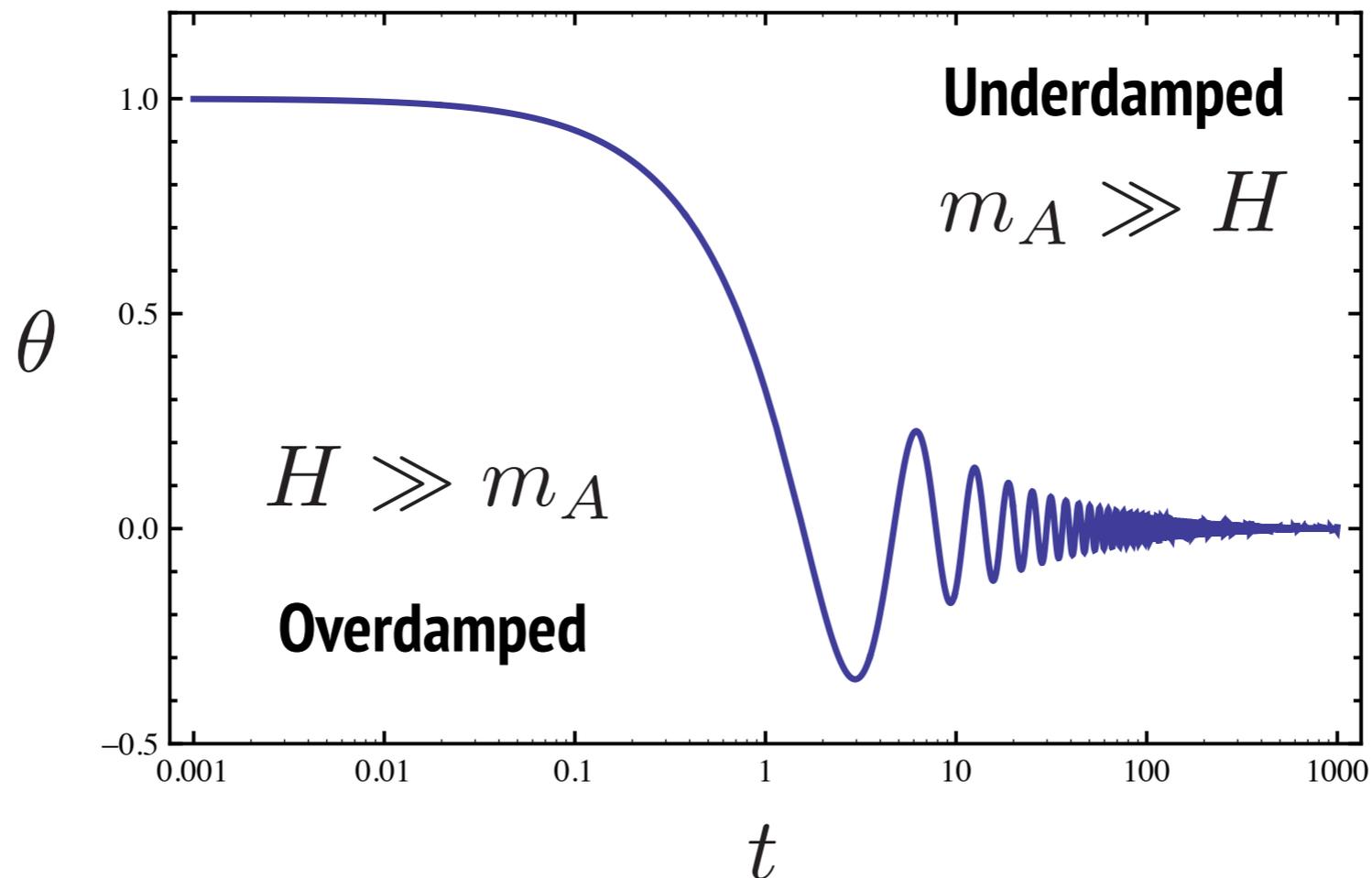
$$\ddot{a} + 3H\dot{a} + m_a^2 f_A \sin(a/f_A) \simeq 0$$

$$\ddot{\theta} + 3H\dot{\theta} + m_a^2 \sin \theta \simeq 0$$



# Zero mode evolution

- Two regimes:
  - Overdamped:  $H \gg m_A$
  - Underdamped  $m_A \ll H$
  - Critical time  $m_A \sim H$



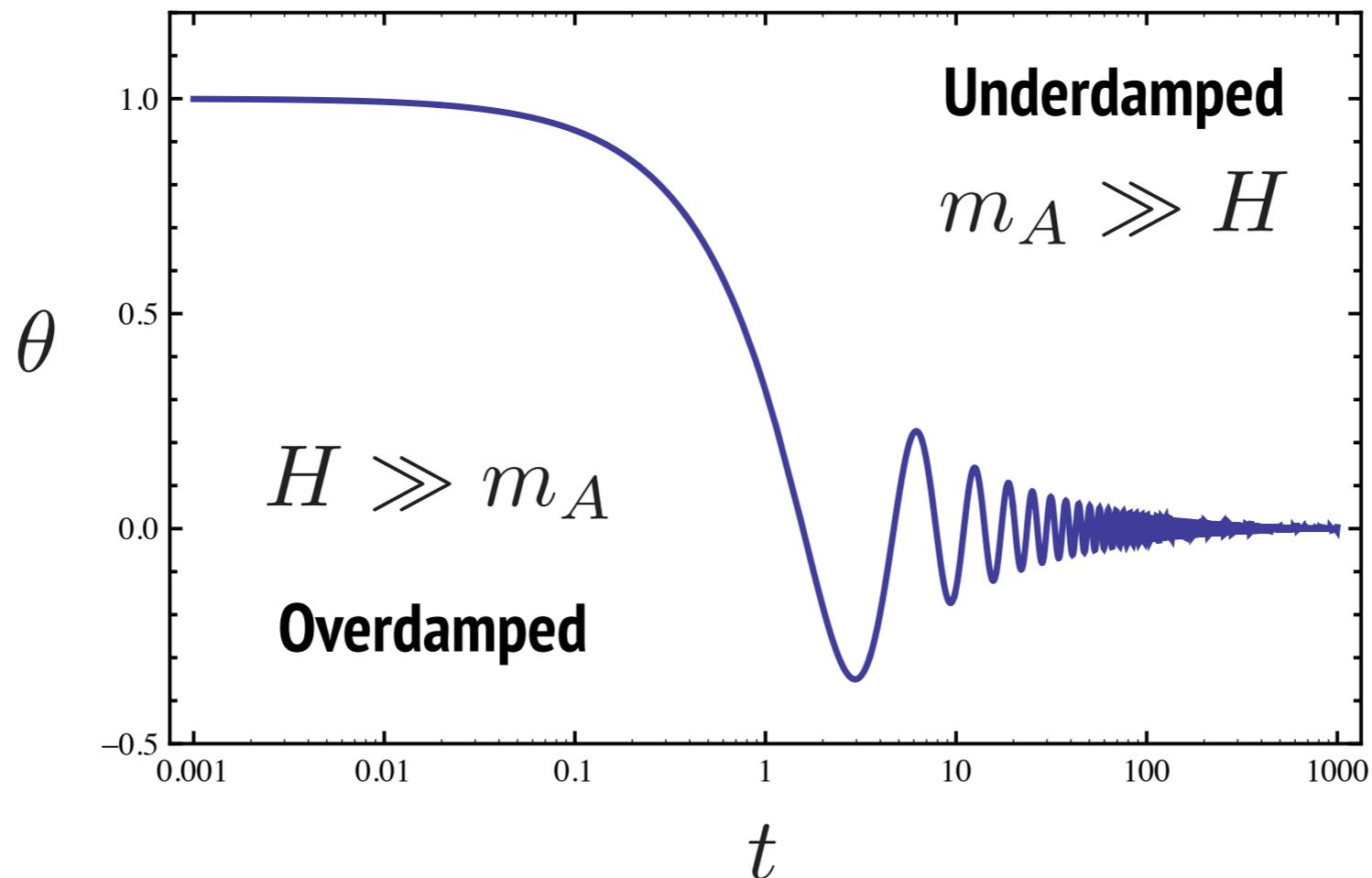
# Zero mode evolution

- Two regimes:

- Overdamped:  $H \gg m_A$
- Underdamped  $m_A \ll H$
- Critical time  $m_A \sim H$

$$\ddot{\theta} + 3H\dot{\theta} \simeq 0$$

2 solutions: 
$$\begin{cases} \dot{\theta} = 0 \\ \dot{\theta} \propto 1/R^3 \end{cases}$$



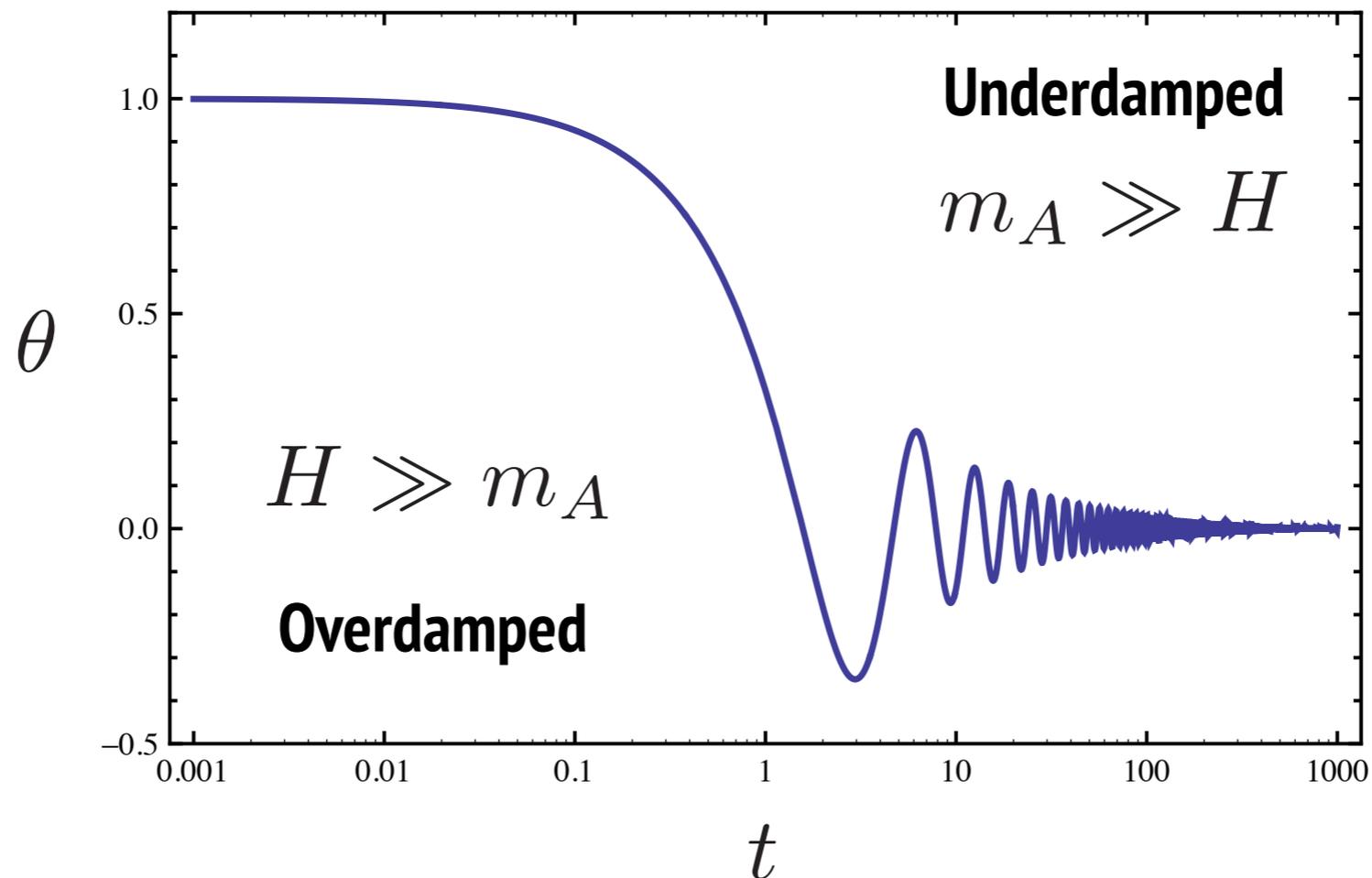
# Zero mode evolution

## - Two regimes:

- Overdamped:  $H \gg m_A$
- Underdamped  $m_A \ll H$
- Critical time  $m_A \sim H$

## WKB approximation

$$\theta(t) = \frac{1}{\sqrt{m_A R^3}} e^{i \int^t m_A(t') dt'}$$



# time-scales (radiation domination) linear regime

## - Two regimes:

- Overdamped:  $H \gg m_A$
- Underdamped  $m_A \ll H$
- Critical time  $m_A \sim H$

$$H(t_1) = m_A(t_1)$$

$$\frac{T_1^2}{m_{\text{Pl}}^2} \sim \chi_0 \left( \frac{\Lambda}{T_1} \right)^{n/2} \quad \text{(RD)}$$

$$T_1 \sim (\chi_0 \Lambda^{n/2} m_{\text{Pl}})^{\frac{2}{4+n}}$$

Vaquero 2019

**Temperature**

$$T_1 \simeq 1.694 \text{ GeV} \left( \frac{m_a}{50 \mu\text{eV}} \right)^{0.1638},$$

**Exp. rate**

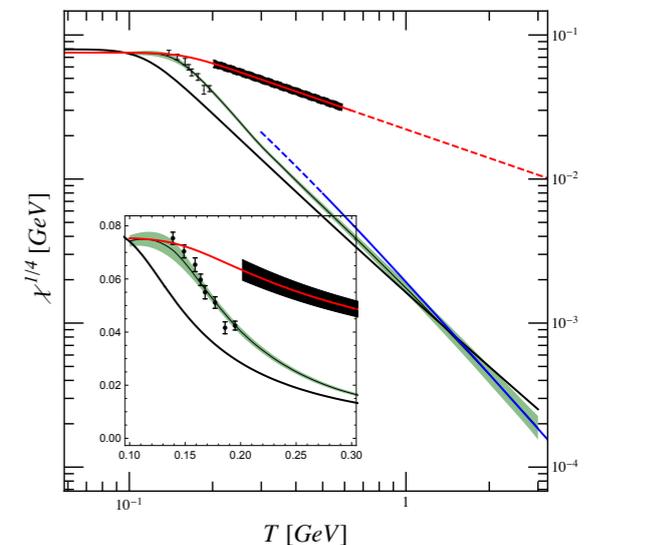
$$H_1 \simeq 3.45 \times 10^{-3} \mu\text{eV} \left( \frac{m_a}{50 \mu\text{eV}} \right)^{0.338},$$

**Redshift**

$$1 + z_1 \simeq R_1^{-1} = 1.956 \times 10^{13} \left( \frac{m_a}{50 \mu\text{eV}} \right)^{0.1712},$$

**Comoving horizon size**

$$L_1 \equiv \frac{1}{H_1 R_1} \simeq 1.116 \times 10^{17} \text{ cm} \left( \frac{50 \mu\text{eV}}{m_a} \right)^{0.167} = 0.0362 \text{ pc} \left( \frac{50 \mu\text{eV}}{m_a} \right)^{0.167}$$



# time-scales non-linear regime

## - Two regimes:

- Overdamped:  $H \gg mA$
- Underdamped  $mA \ll H$

## - Critical time

Close to  $\theta \sim \pi$ , the QCD potential has a maximum,

acceleration decreases as  $\sin \theta / \theta \rightarrow 0$

time-scales increase as  $t \propto \sqrt{\theta / \sin \theta}$

In the limit  $\theta = \pi$ , the axion field evolves as vacuum energy,  
quantum fluctuations drive the relaxation

Such fine-tuned initial conditions might be disfavoured

# Energy density and pressure

$$T^{\mu}_{\nu} = (\partial^{\mu} a)(\partial_{\nu} a) - \mathcal{L}\delta^{\mu}_{\nu} = \text{diag}\{\rho, p, p, p\}$$

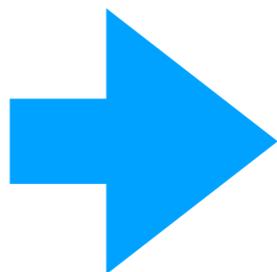
$$\rho = \frac{1}{2}(\dot{a})^2 + \frac{1}{2}(\nabla a)^2 + V(a)$$

$$p = \frac{1}{2}(\dot{a})^2 - \frac{1}{2}(\nabla a)^2 - V(a)$$

# Zero mode evolution

**Vacuum-like\***

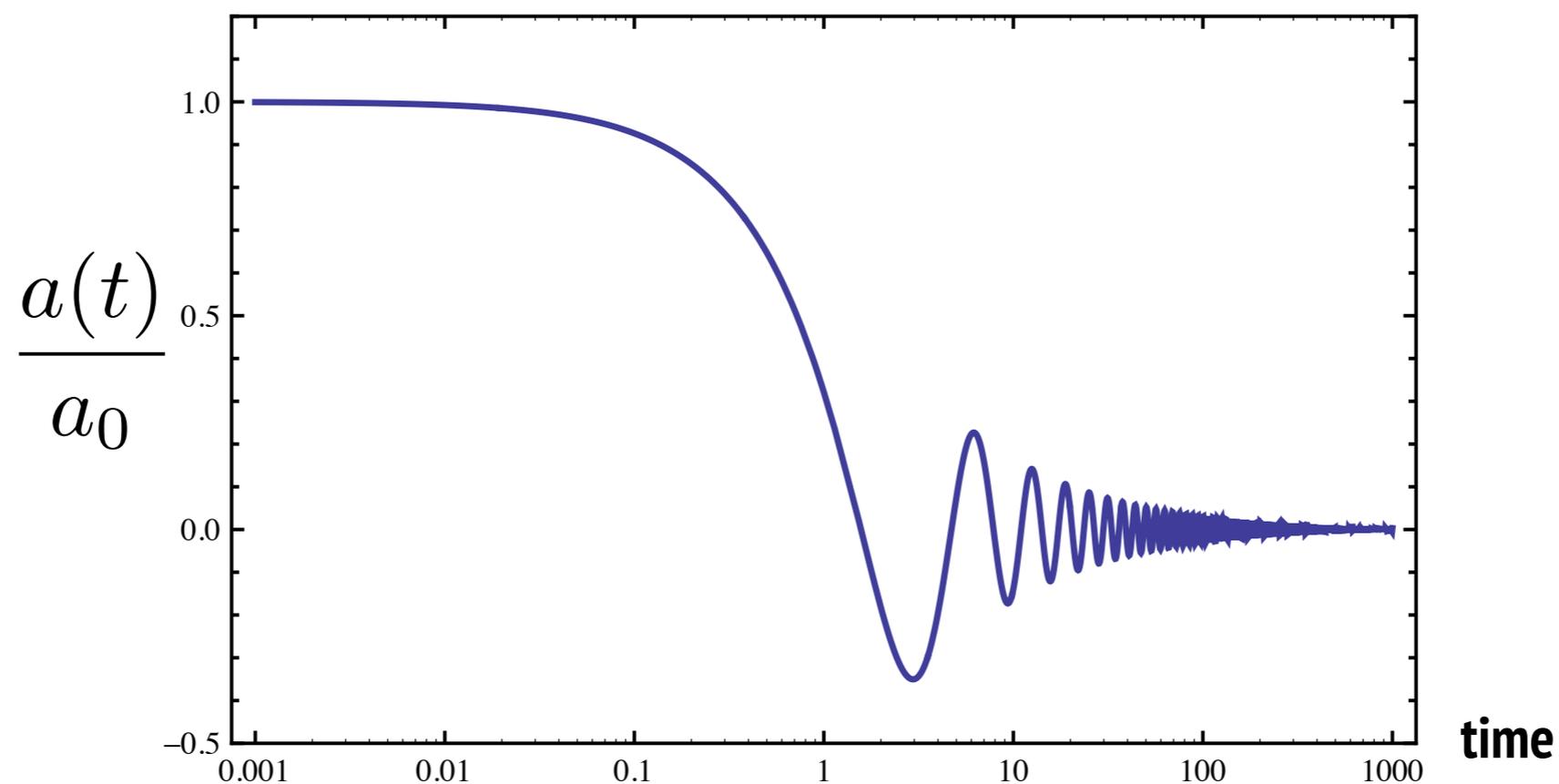
$$\rho \sim V(\theta_0)$$



**DUST-like**

**(axion number conservation)**

$$\rho \sim m_A^2 \theta^2 \sim m_A / R^3$$



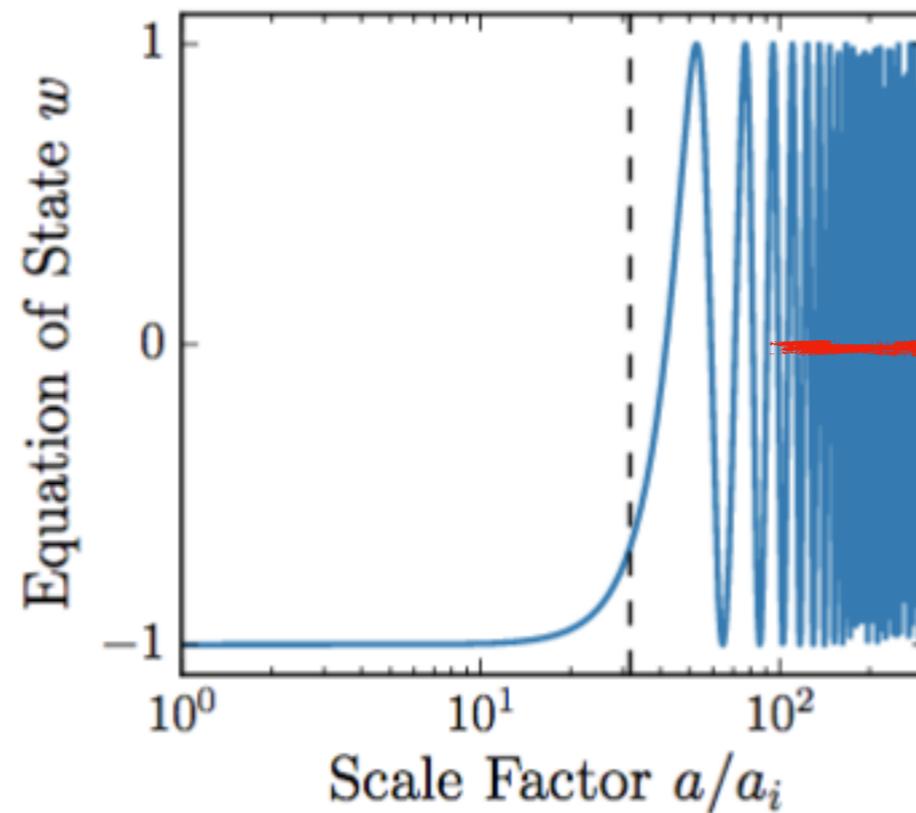
$$t_1 = \frac{1}{2H} \sim \frac{1}{m_a}$$

$$H \sim m_a$$

# Equation of state

- The energy in vacuum axion oscillations behaves like cold-dark-matter

$$\ddot{\theta} + 3H\dot{\theta} + m_a^2 \sin \theta \simeq 0 \quad (\theta < \pi)$$



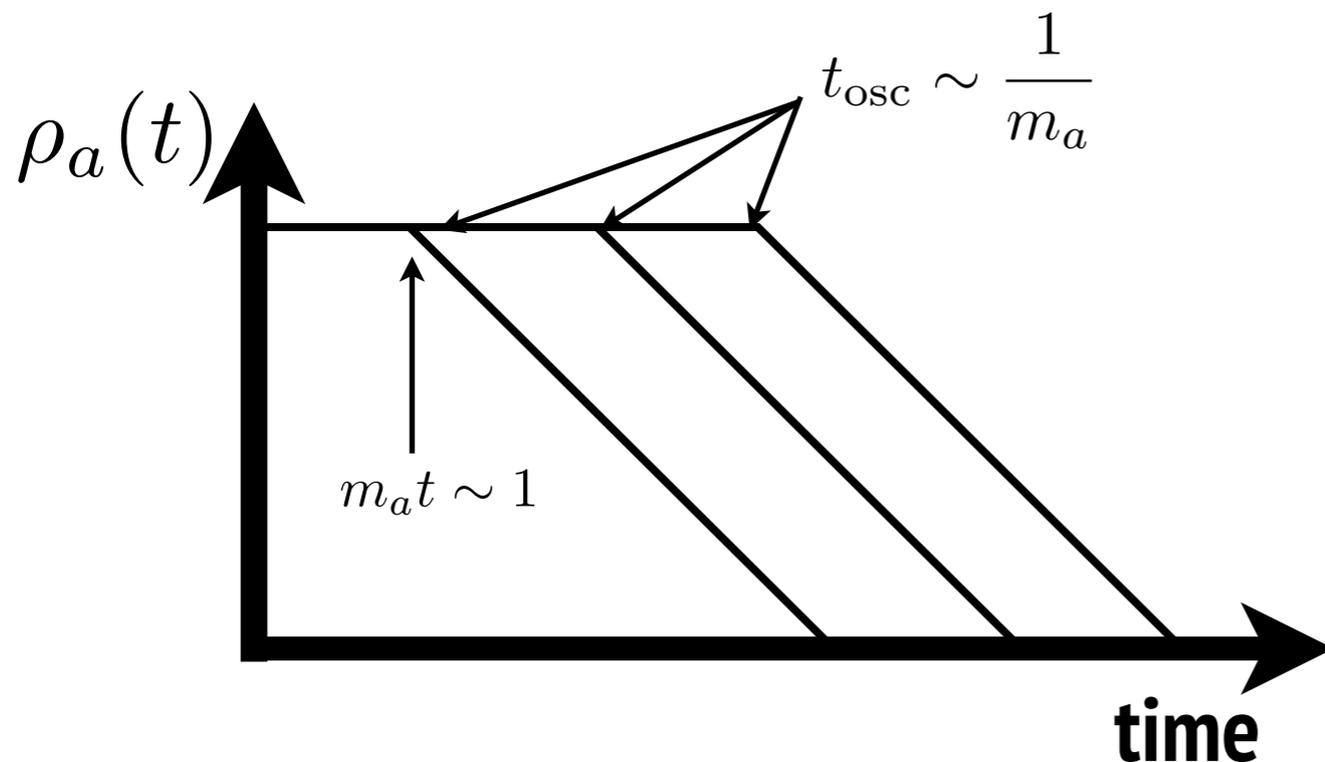
# Energy density today

- Energy density redshifts as matter, from the onset of oscillations  $H(t_1) \sim m_a$

$$\rho_a(t) \sim \theta_I^2 \chi \left( \frac{R_1}{R(t)} \right)^3 \propto \theta_I^2 \chi m_a^{-3/2}$$

- dilution until today  $\left( \frac{R_1}{R_0} \right)^3 \sim \left( \frac{T_0}{T_1} \right)^3 \sim \left( \frac{T_0}{\sqrt{H_1 m_{\text{Pl}}}} \right)^3 \sim \left( \frac{T_0}{\sqrt{m_a m_{\text{Pl}}}} \right)^3 \propto m_a^{-3/2}$

Smaller mass axions, start oscillating later, and get less diluted ...

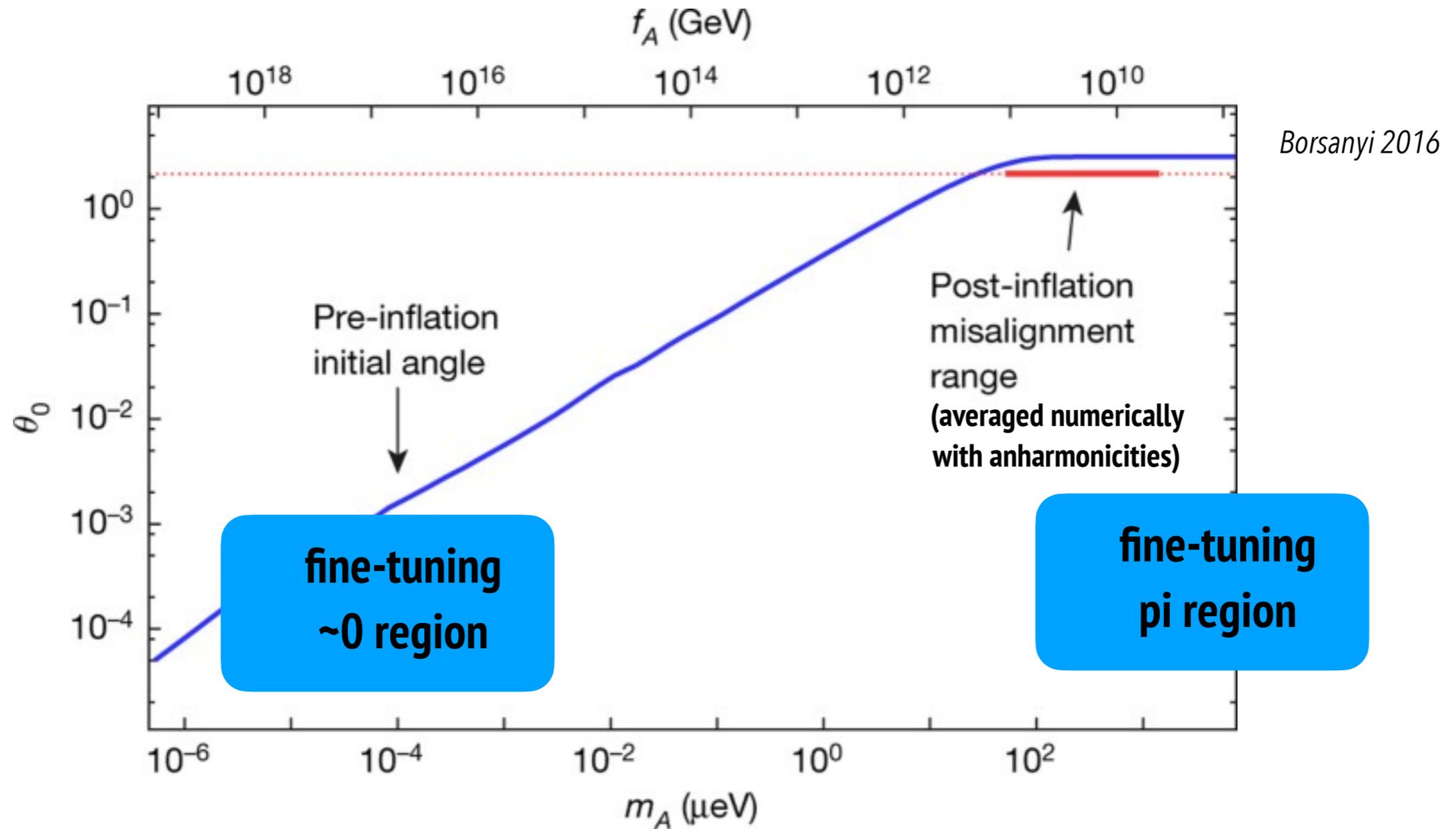


- with  $\chi \propto T^{-n}$

$$\rho_a(t_0) \propto \theta_I^2 m_a^{-\frac{6+n}{4+n}}$$

# Axion cold dark matter

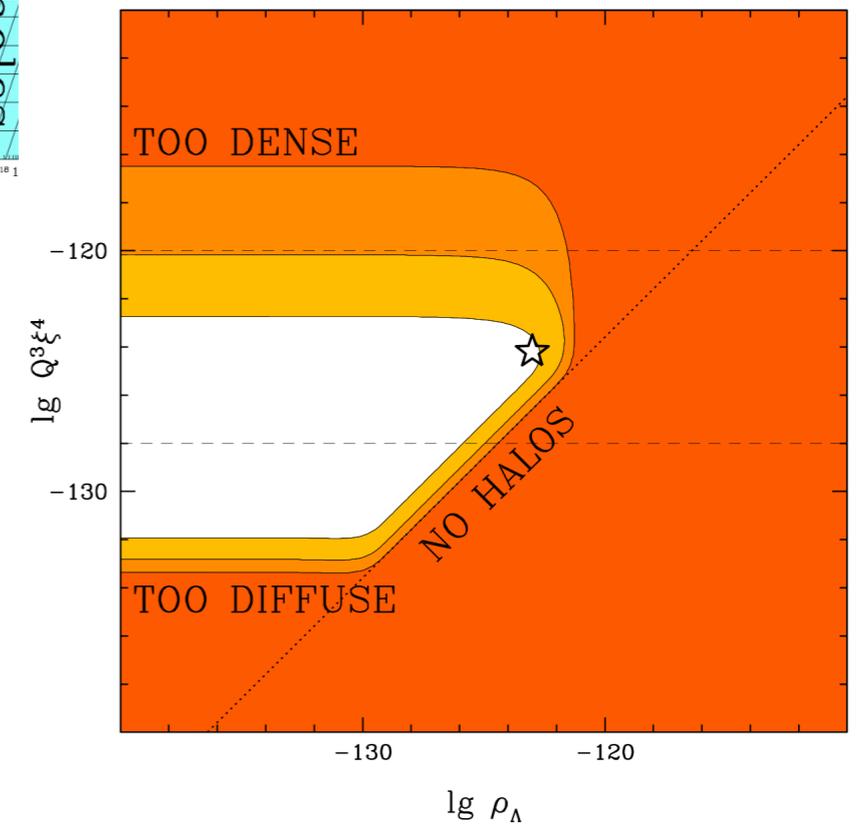
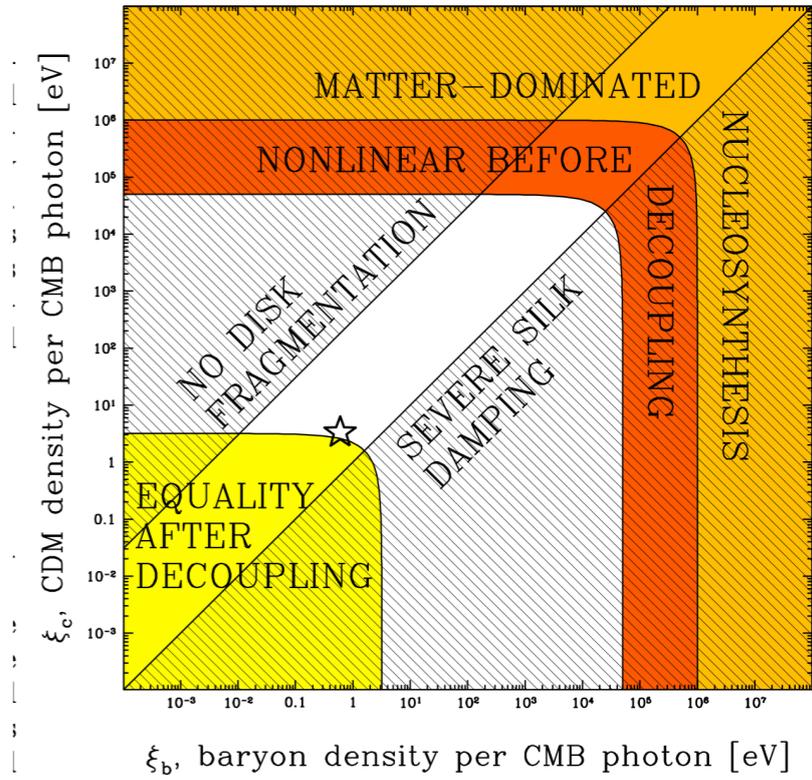
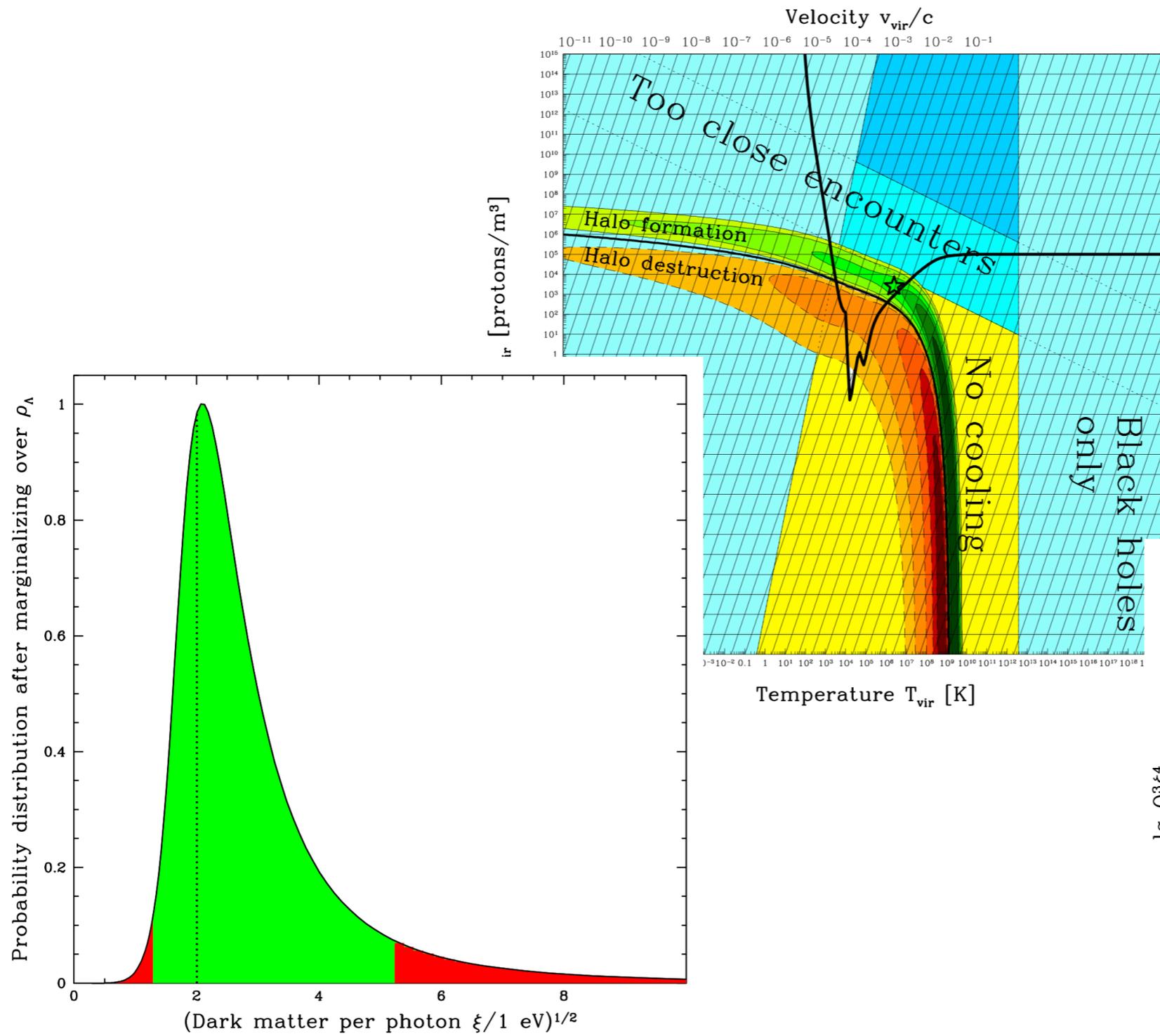
In the pre-inflation scenario, we can have essentially all CDM in axions  
if we are lucky and live in the correct Universe with the right initial misalignment angle



# Anthropic axion

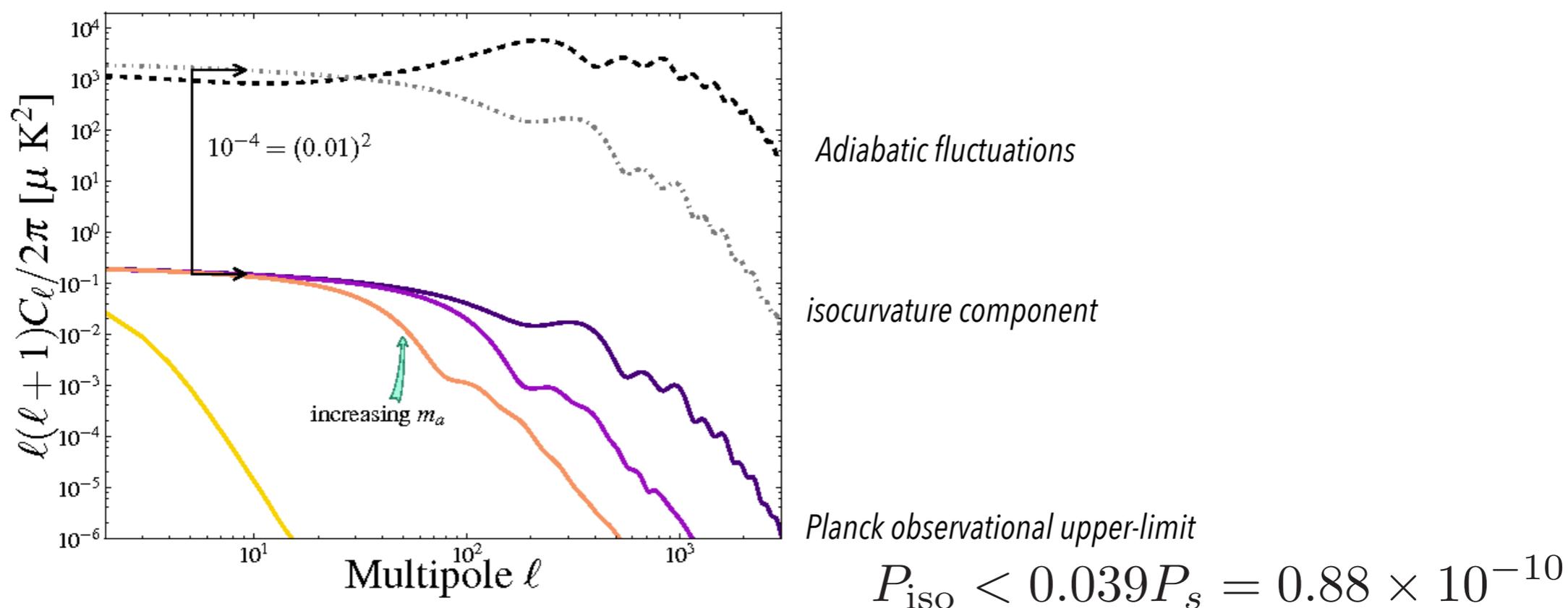
- Anthropic selection arguments make "natural" or "viable" small  $\theta_0$  initial conditions

Tegmark 2006



# Quantum fluctuations ...

- During inflation, quantum fluctuations of the axion field grow and classicalise
- These fluctuations are "independent" of the inflaton fluctuations they are of ISOCURVATURE type
- At  $t_1$ , fluctuations in theta become fluctuations in the number density, i.e. CDM density
- Analysis of CMB anisotropies reveal that CDM fluctuations are ADIABATIC, i.e. correlated with the Temperature fluctuations



# Quantum fluctuations ...

## - Size of axion fluctuations

$$P_{\text{iso}} = \frac{d\langle n_a \rangle}{n_a} \sim \frac{d\langle a^2 \rangle}{a_I^2} = \frac{H_I^2}{\pi^2 a_I^2} = \frac{H_I^2}{\pi^2 f_a^2 \theta_I^2} < 0.039 P_s = 0.88 \times 10^{-10}$$

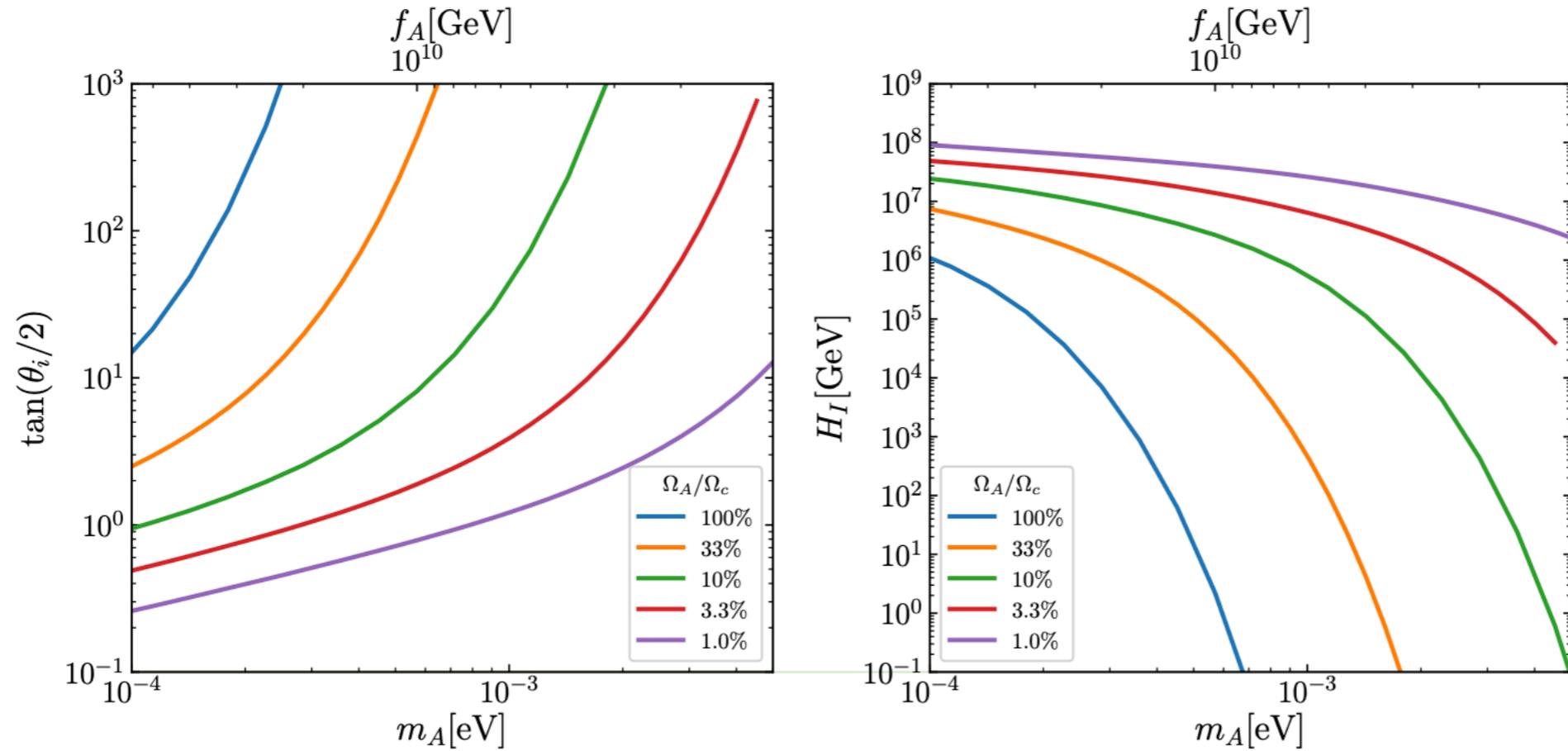
## Fluctuations of a (can. normalised) massless field

$$\langle a^2 \rangle = H_I^2 / \pi^2$$

$\theta_I$  would be the average value during inflation

# Isocurvature bounds

Assume  $\theta_i$  to give a percentage of DM, we have a bound on  $H_I$  as a function of  $f_A$

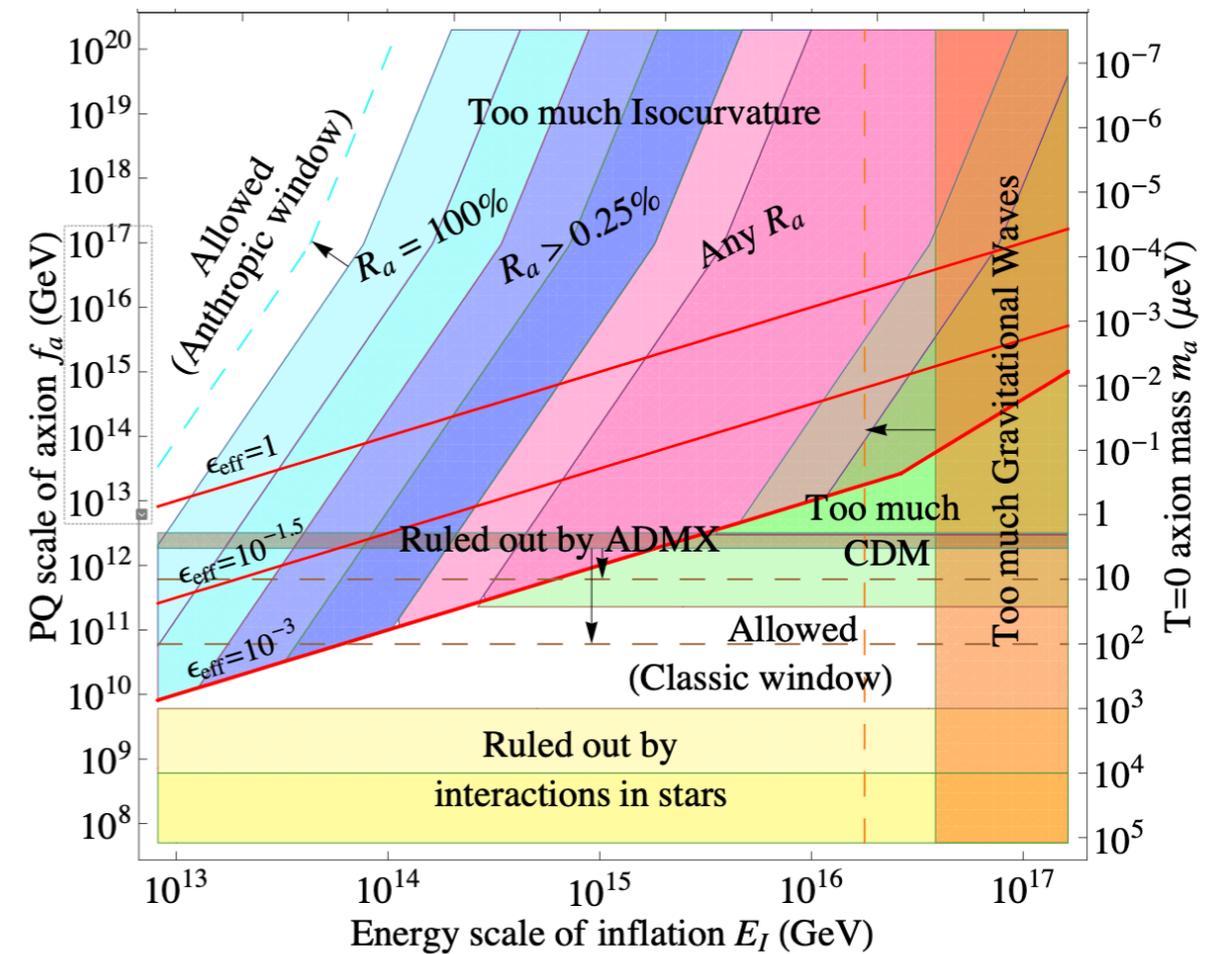
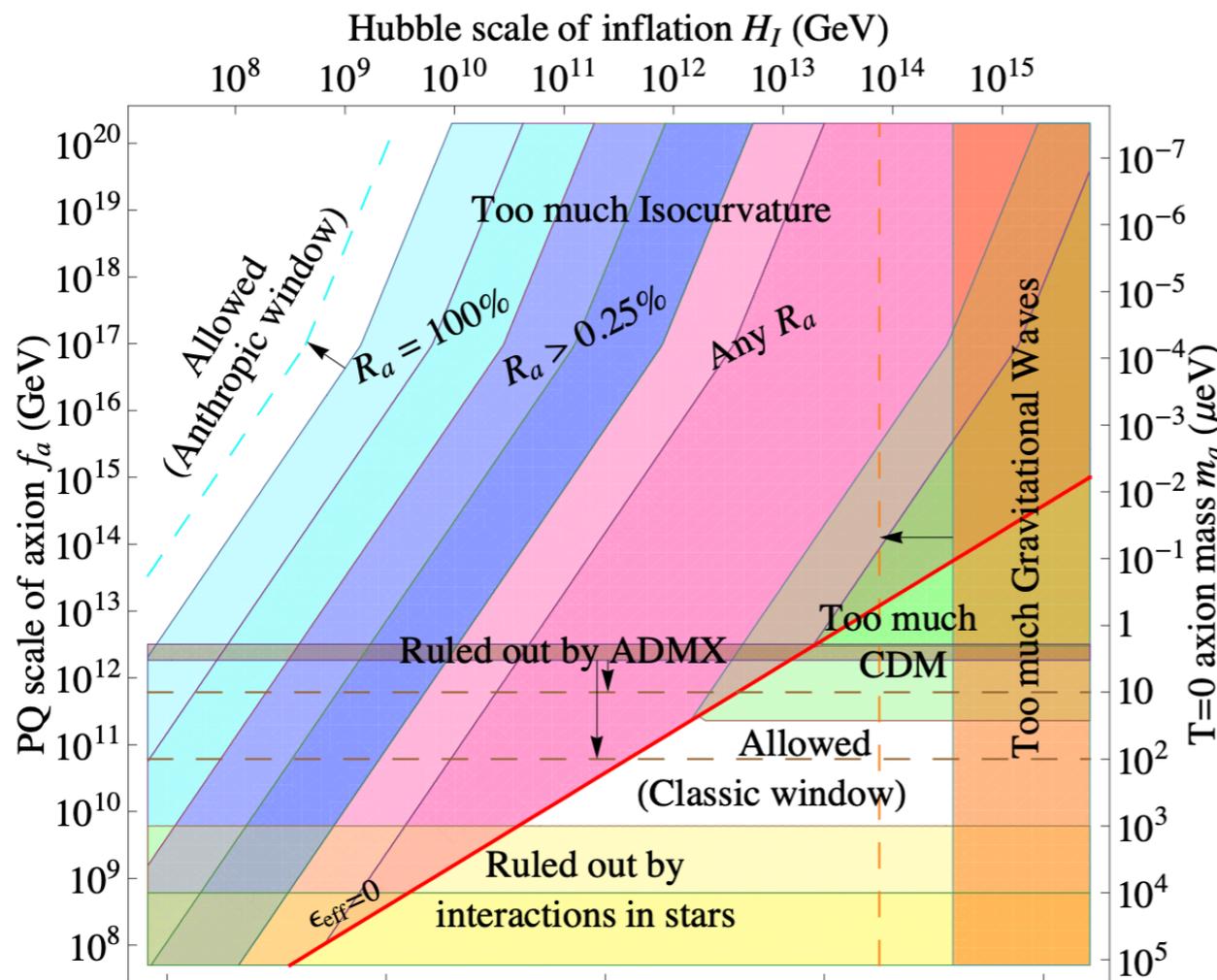


*IAXO Physics potential 2019*

# Isocurvature bounds

Assume  $\theta_1$  to give a percentage of DM, we have a bound on  $H_I$  as a function of  $f_A$

Hertzberg 2010



# Exciting prospects

**A measurement of primordial gravitational waves from inflation pinpoints  $H_I$**

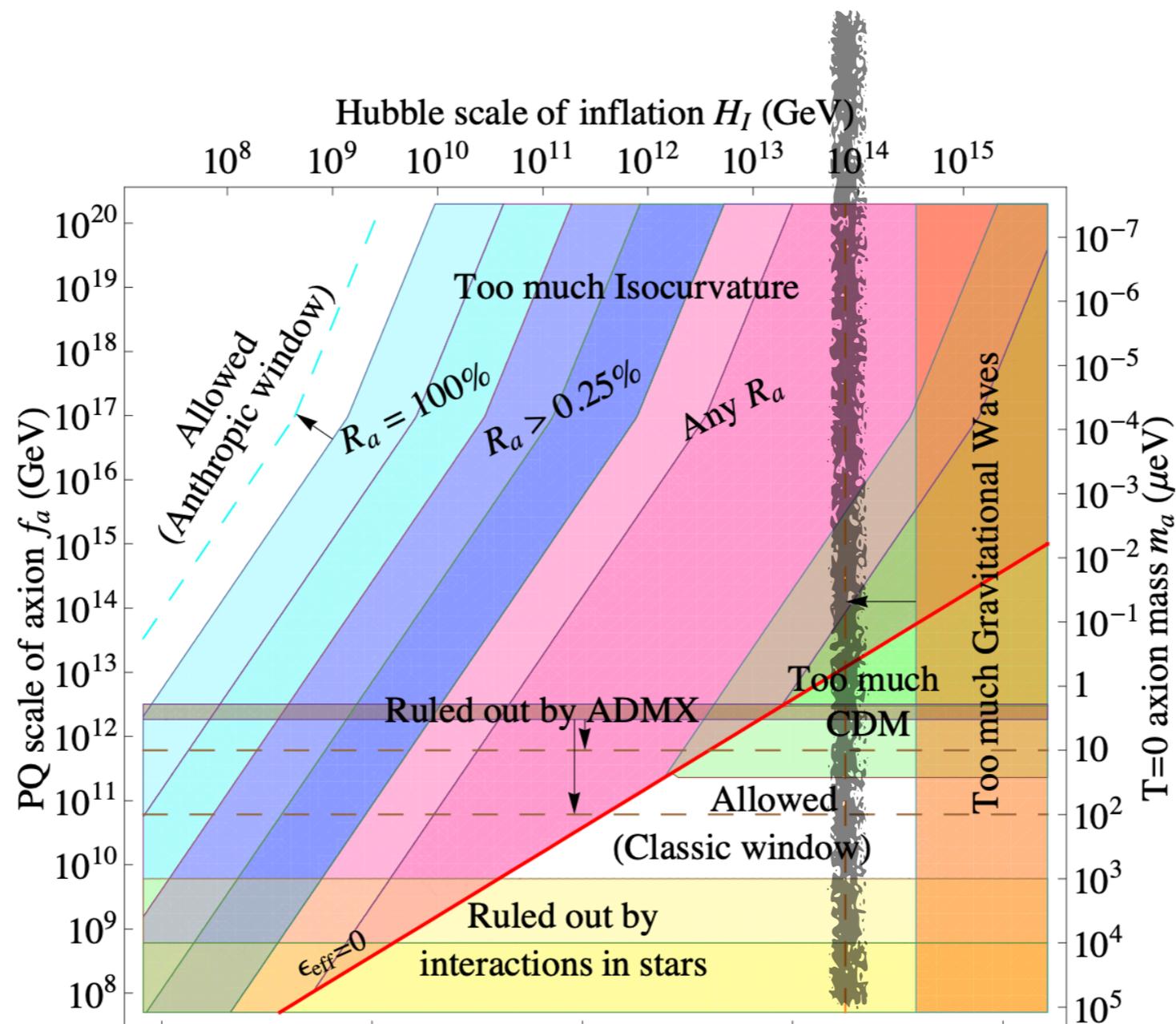
$$P_t \sim \frac{H_I^2}{m_{\text{Pl}}^2}$$

**Next generation experiments could measure down to  $H_I \sim 10^{14} \text{GeV}$**

# Exciting prospects

A positive measurement has potential to exclude very strongly this pre-inflation scenario

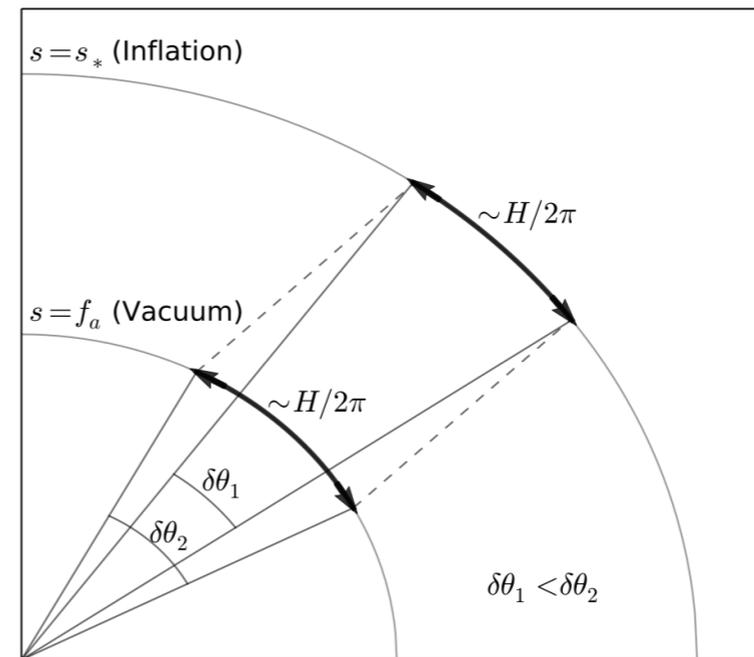
$$H_I \sim 10^{14} \text{ GeV}$$



# Ways out!

- Make  $a_I \gg \theta_I f_A$

- In KDVZ, DFSZ models,  $f_A$  is the vev of a scalar field, make it big during inflation!  
(you can make it the inflaton)



Fairbairn 2014

- Assume non-canonical kinetic terms, non-canonical couplings to gravity

$$\xi_\sigma |\sigma|^2 R$$

as in SMASH model, Ballesteros 2017

$$\frac{1}{2} \left( g^{\alpha\beta} - \frac{G^{\alpha\beta}}{M_a^2} \right) \partial_\alpha a \partial_\beta a$$

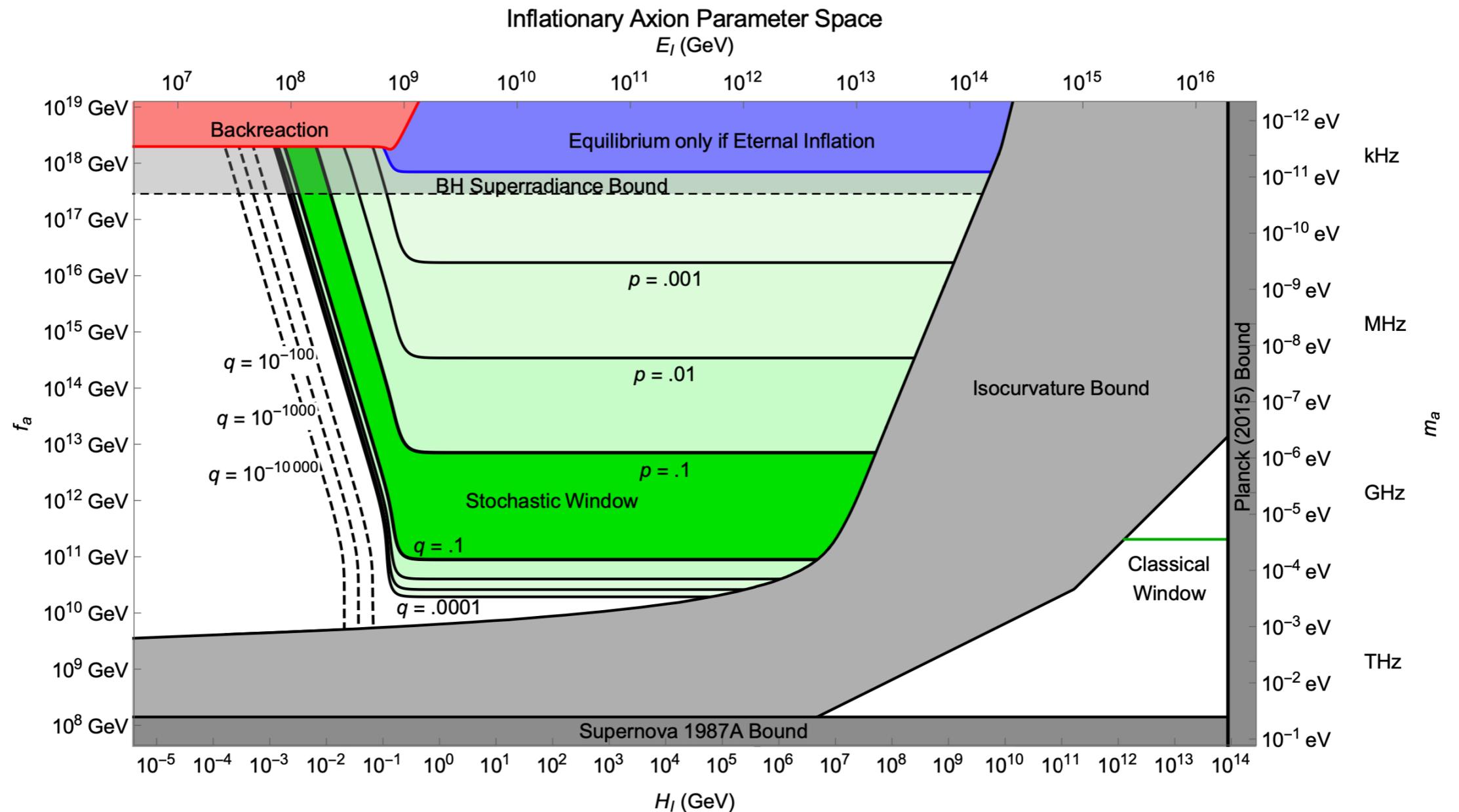
Folkerts 2013

# Related production mechanisms

## - Stochastic axion scenario

Graham 2018

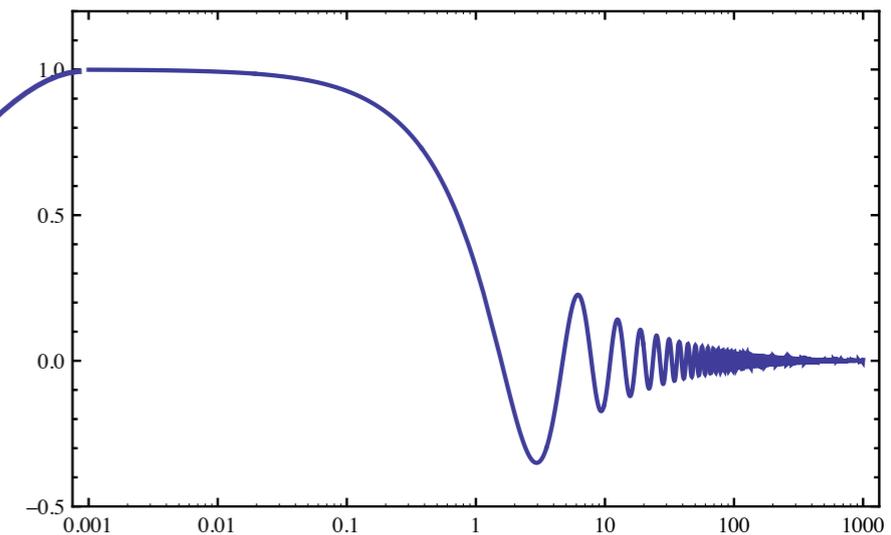
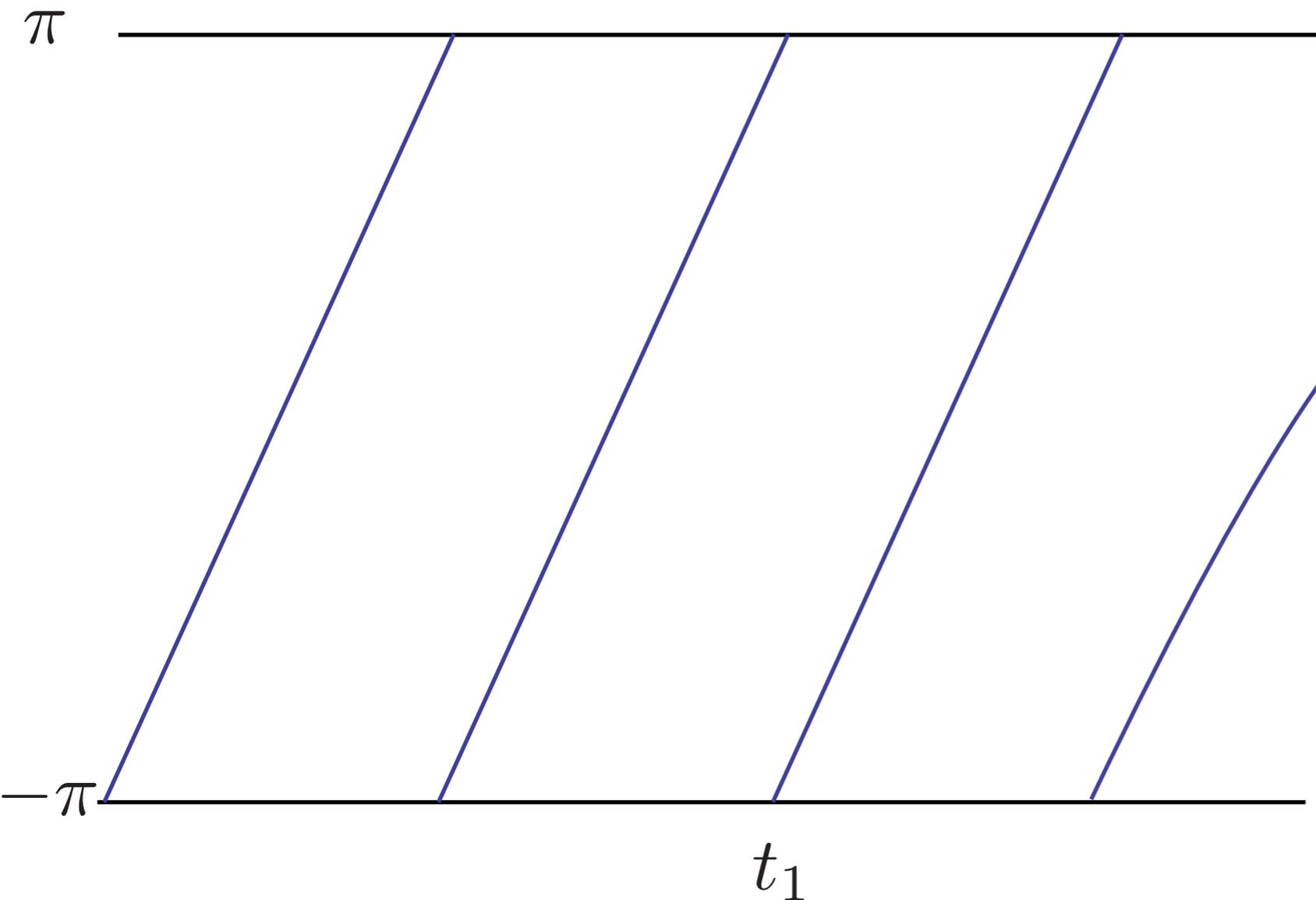
Uses inflationary perturbations to generate DM axions



# Related production mechanisms

## - kinetic misalignment *Co 2019*

- Axion receives a huge kick around inflation, spins around longer than  $t_1$
- Typically increases the axion DM yield for a given  $f_A$



$t_{\text{trapping}}$