

# Precision QCD with heavy quark production

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## Overview:

$c\bar{c}, b\bar{b}$  @ HERA  $ep$   $\rightarrow$   $m_c, m_b$ , PDFs

[Eur. Phys. J. C78 \(2018\) 473](#)

$c\bar{c}, b\bar{b}$  @ LHC  $pp$   $\rightarrow$  PDFs, cosmic rays

[Eur. Phys. J. C75 \(2015\) 396](#) [JHEP05 \(2017\) 004](#) [JHEP04 \(2020\) 118](#)

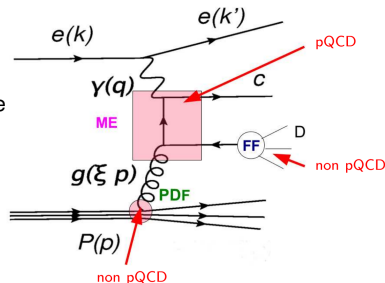
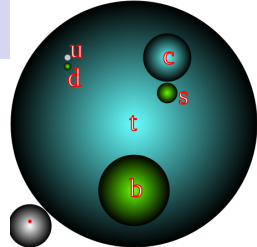
$t\bar{t}$  @ LHC  $pp$   $\rightarrow$   $m_t, \alpha_S$ , PDFs

[Eur. Phys. J. C80 \(2020\) 658](#) [JHEP04 \(2021\) 043](#) [Garzelli, Mazzitelli, Moch, Zenaiev, in preparation](#)

Theory Challenges in the Precision Era of the Large Hadron Collider  
Florence, Italy  
28 August 2023

# What is interesting in heavy quark (HQ) production?

- $m_c, m_b, m_t > \Lambda_{\text{QCD}}$  provides a hard scale
  - ▶ pQCD benchmark (LO, NLO, aNNLO, NNLO...)
- Different HQ masses (1.3 ... 170 GeV)
  - ▶ different impact of radiative corrections and non-perturbative effects
  - ▶  $m_c \approx 1.3$  GeV: fragmentation effects are important
  - ▶  $m_t \approx 170$  GeV: excellent test of pQCD convergence
- Probe of **proton structure**, e.g.  $gg \rightarrow t\bar{t}$ ,  $gs \rightarrow Wc$  etc.
- Production sensitive to  $\alpha_s$  and HQ masses
  - ▶ extraction of **fundamental SM parameters**
- May provide insight into possible new physics



$$\sigma = \text{PDF} \otimes \text{ME} \otimes \text{FF}$$

# Combined HERA charm and beauty data [Eur. Phys. J. C78 (2018) 473]

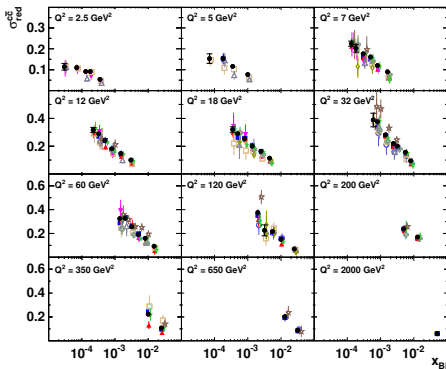
- legacy data on charm and beauty production from HERA
- enable **precise** determination of charm and beauty  $\overline{\text{MS}}$  masses
- reveal tension in describing simultaneously HQ and inclusive HERA data

$$m_c(m_c) = 1290^{+46}_{-41}(\text{fit})^{+62}_{-14}(\text{mod})^{+3}_{-31}(\text{par}) \text{ MeV}$$

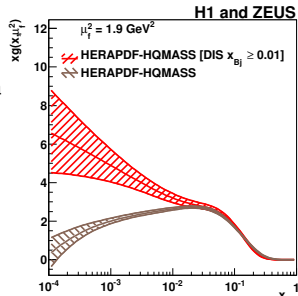
$$m_b(m_b) = 4049^{+104}_{-109}(\text{fit})^{+90}_{-32}(\text{mod})^{+1}_{-31}(\text{par}) \text{ MeV}$$

- HERA
- H1 D\* HERA-I
- ZEUS D\* 96-97
- ZEUS D\* HERA-II
- H1 VTX
- ZEUS  $\mu$  2005
- ZEUS D<sup>0</sup>
- ZEUS D\*
- ZEUS VTX
- H1 D\* HERA-II
- ZEUS D\* 98-00
- ZEUS D<sup>+</sup>

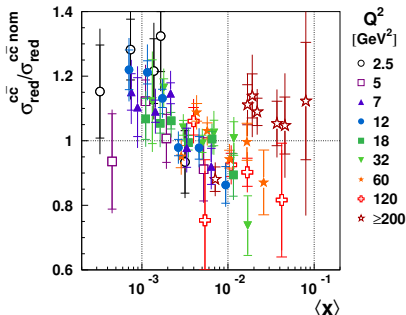
H1 and ZEUS



DESY news highlighting this analysis



H1 and ZEUS



# Charm production at LHC $\rightarrow$ gluon at low $x \rightarrow$ atmosphere $\nu$ fluxes

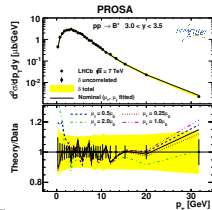
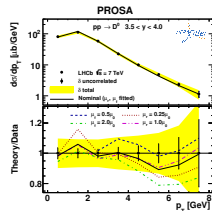
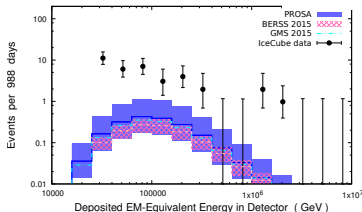
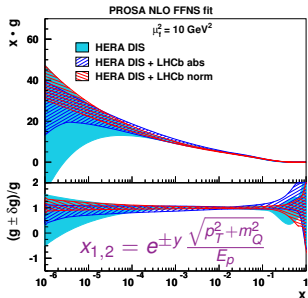
- LHCb measured:

- charm  $0 < p_T < 8 \text{ GeV}$ ,  $2 < y < 2.5$  [NPB871 (2013) 1]
- beauty  $0 < p_T < 40 \text{ GeV}$ ,  $2 < y < 2.5$  [JHEP 1308 (2013) 117]

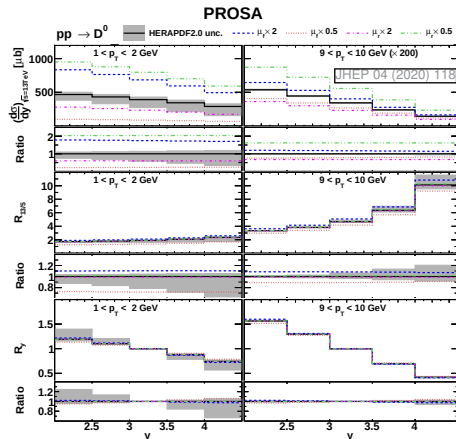
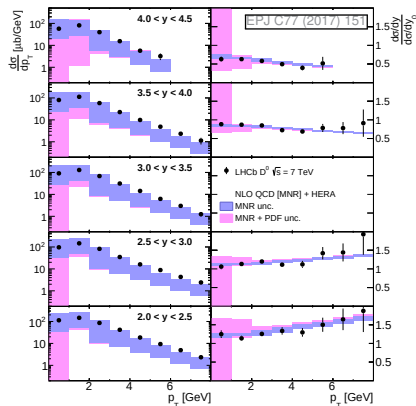
- First QCD analysis of these data: Eur. Phys. J. C75 (2015) 396

- Improved gluon and sea-quark distributions up to  $x \gtrsim 5 \times 10^{-6}$  (not covered by other experimental data)

- used in next paper to predict IceCube background for very high energy cosmic  $\nu$  [JHEP05 (2017) 004]
- further update with ALICE and new LHCb data [JHEP04 (2020) 118]

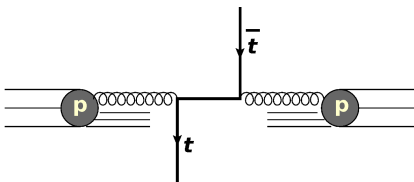


# Charm production at LHC: dealing with large NLO scale variation uncertainties

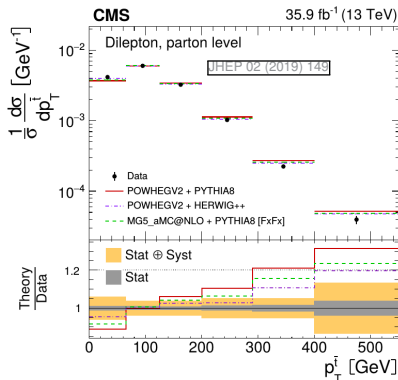


- Taking **ratios** of data → reducing scale uncertainties by one order of magnitude
- Ratio to another kinematic region might be better than (traditional) ratio to another c.m.e.
- This relies on assumption that scale unc. are correlated across different kinematic regions:
  - can be tested e.g. by using another (dynamical) scale choice

## Why measure $t\bar{t}$ production?

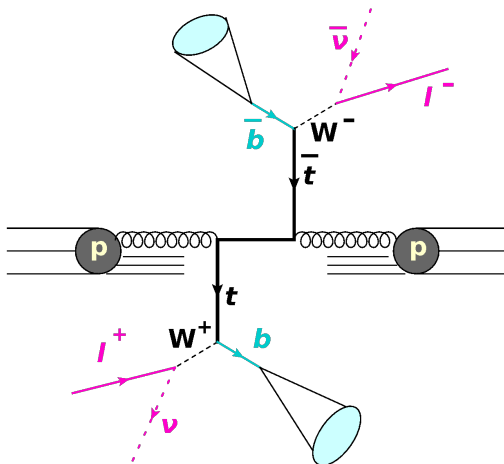


- $m_t$  provides a hard scale  
 $\Rightarrow$  ultimate probe of pQCD  
 (NLO, aNNLO, NNLO, ...)
- Produced mainly via  $gg$   
 $\Rightarrow$  constrain gluon PDF at high  $x$
- Production sensitive to  $\alpha_s$  and  $m_t^{\text{pole}}$
- May provide insight into possible new physics



## Why measure 2D/3D?

- Previous 1D measurements: overall good agreement, but reveal some trends
- 2D: study production dynamics in more detail  
 [EPJ C77 (2017) 459]
- **3D: simultaneously constrain  $\alpha_s$ ,  $m_t^{\text{pole}}$ , PDF**  
 [EPJ C80 (2020) 658]



## Follows 1D measurement:

### • Leptons:

- ▶ 2 isolated  $l^\pm/\bar{l}$
- ▶  $p_T > 20(25)$  GeV
- ▶  $|\eta| < 2.4$

### • Jets:

- ▶ at least 2 jets
- ▶  $p_T > 30$  GeV
- ▶  $|\eta| < 2.4$
- ▶ at least 1  $b$ -tagged

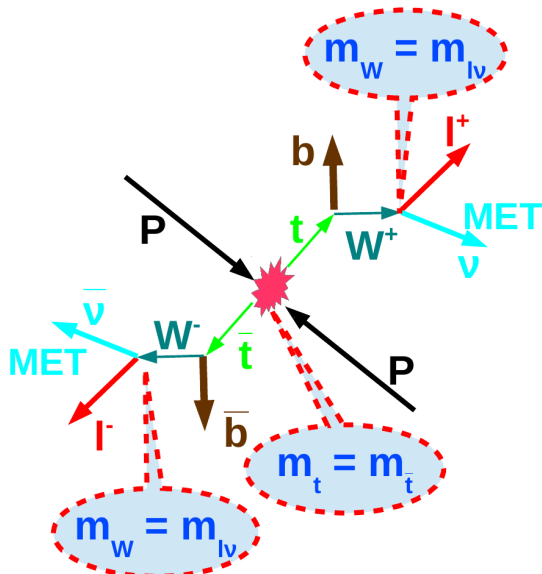
## Kinematic reconstruction:

### (1) Full reconstruction:

- ▶ reconstruct  $t, \bar{t}$
- ▶ use all constraints

### (2) Loose reconstruction (NEW):

- ▶ reconstruct  $t\bar{t}$
- ▶  $m_t$  constraints not used  
→ essential for  $m_t^{\text{pole}}$  extraction



- Measured input:  
leptons, jets, MET
- Unknowns:  $\vec{p}_\nu, \vec{p}_{\bar{\nu}}$  (6)
- Constraints:
  - ▶  $m_t, m_{\bar{t}}$  (2)
  - ▶  $m_{W^+}, m_{W^-}$  (2)
  - ▶  $(\vec{p}_\nu + \vec{p}_{\bar{\nu}})_T = \text{MET}$  (2)

## Two variants:

### (1) Full reconstruction:

- ▶ reconstruct  $t, \bar{t}$
- ▶ use all constraints

### (2) Loose reconstruction:

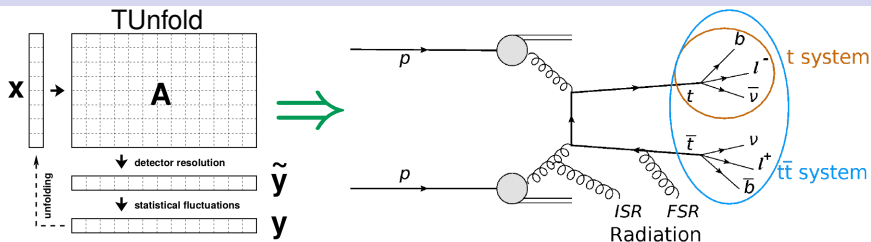
- ▶ reconstruct  $t\bar{t}$  (4 unknowns)
- ▶  $m_t$  constraints not used  
→ reliable for  $M_T$  extraction

Side remark:

- experimentally, it is easier to measure  $t\bar{t}$  rather than  $t$  or  $\bar{t}$  (at least in the dilepton channel)



# Overview of measured cross sections



- **$t$  production:**

- ▶  $[y(t), p_T(t)]$ : most “simple”

- **$t\bar{t}$  production:**

- ▶  $[M(t\bar{t}), y(t\bar{t})]$ : most sensitive to PDFs (at LO  $x_{1,2} = \sqrt{\frac{M(t\bar{t})}{s}} e^{\pm y(t\bar{t})}$ )
  - ▶  $[M(t\bar{t}), p_T(t\bar{t})]$ : sensitive to radiation

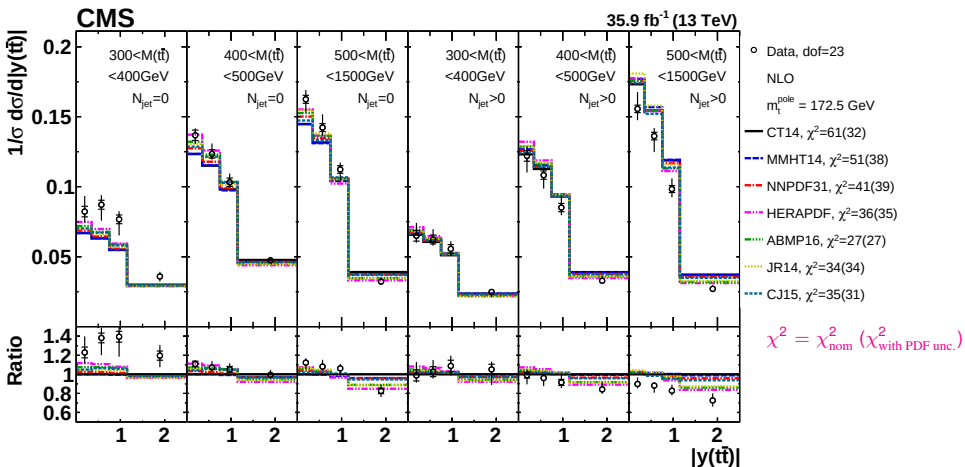
- **$t, \bar{t}$  mixed:**

- ▶  $[M(t\bar{t}), y(t)]$ : sensitive to PDFs
  - ▶  $[M(t\bar{t}), \Delta\phi(t, \bar{t})]$ : sensitive to radiation
  - ▶  $[M(t\bar{t}), \Delta\eta(t, \bar{t})]$ : shed further light on  $p_T(t)$  problem

- **NEW  $t\bar{t}$  production with extra jets:**

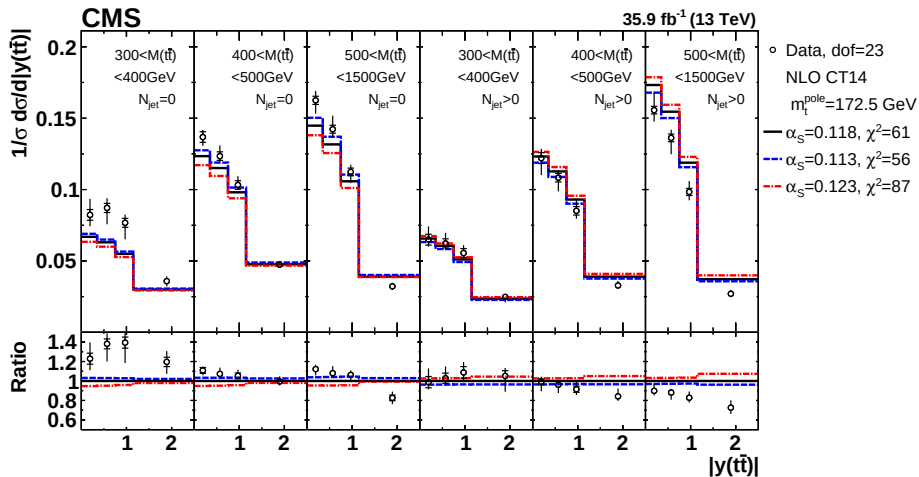
- ▶  $[N_{\text{jet}}^{0,1+}, M(t\bar{t}), y(t\bar{t})]$ : sensitive to  $\alpha_s$ ,  $m_t^{\text{pole}}$  and PDFs (nominal extraction)
  - ▶  $[N_{\text{jet}}^{0,1,2+}, M(t\bar{t}), y(t\bar{t})]$ : sensitive to  $\alpha_s$ ,  $m_t^{\text{pole}}$  and PDFs (cross check)

# Results: $[N_{\text{jet}}^{0,1+}, M(t\bar{t}), y(t\bar{t})]$ compared to NLO pred. with diff. PDFs



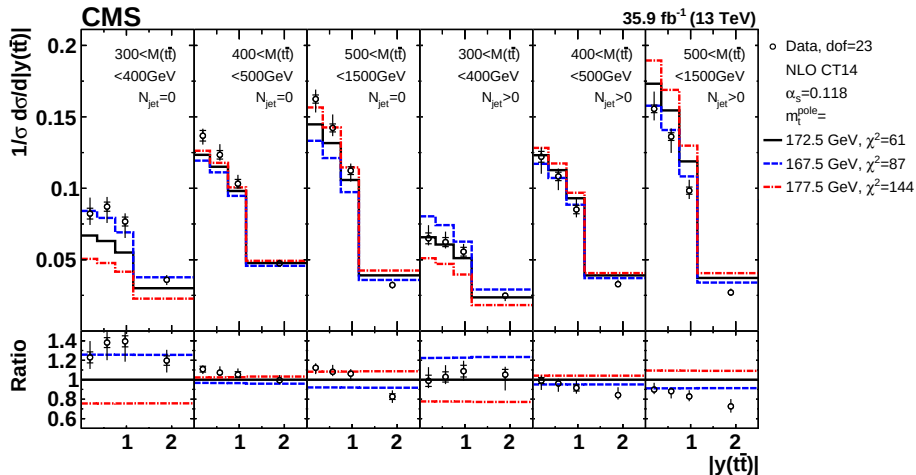
- description depends on PDFs → data are sensitive to PDFs
- all modern PDF sets considered
  - best description given by ABMP16

# Results: $[N_{\text{jet}}^{0,1+}, M(t\bar{t}), y(t\bar{t})]$ compared to NLO pred. with diff. $\alpha_S$



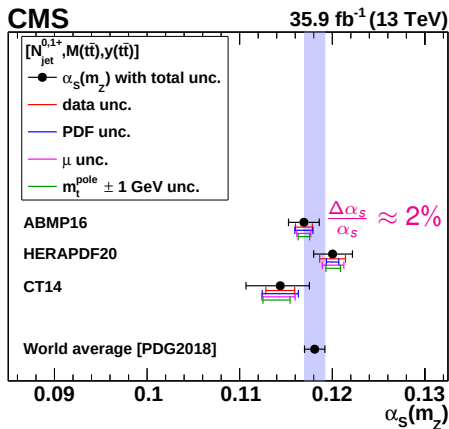
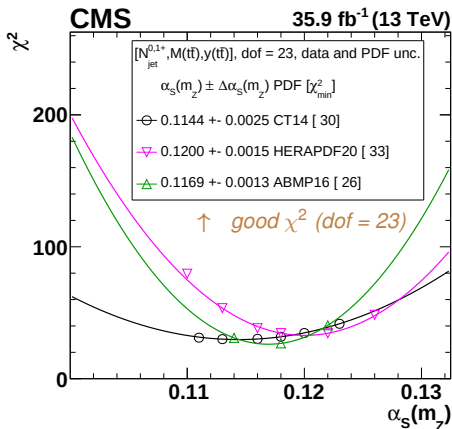
- $\alpha_S$  sensitivity comes from different  $N_{\text{jet}}$  bins
- further (indirect) sensitivity comes from  $[M(t\bar{t}), y(t\bar{t})]$  via sensitivity to PDFs

# Results: $[N_{\text{jet}}^{0,1+}, M(t\bar{t}), y(t\bar{t})]$ compared to NLO pred. with diff. $m_t^{\text{pole}}$



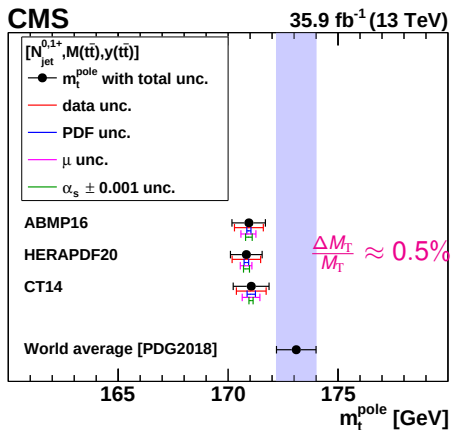
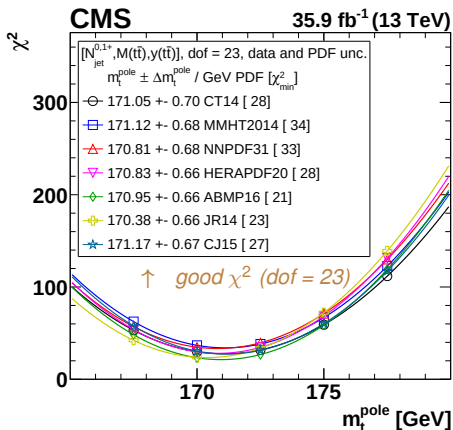
- $m_t^{\text{pole}}$  sensitivity comes from  $M(t\bar{t})$ , mainly 1st bin
- this method differs from extracting  $m_t^{\text{pole}}$  from total  $t\bar{t}$  x-section, and is similar to extracting  $m_t^{\text{pole}}$  from  $t\bar{t}j$  diff. x-section [EPJ C73 (2013) 2438, CMS-PAS-TOP-13-006, JHEP 1510 (2015) 121]
- previous determination using this method: prelim. D0 results [FERMILAB-CONF-16-383-PPD]

# Results: extraction of $\alpha_s$ from $[N_{\text{jet}}^{0,1+}, M(t\bar{t}), y(t\bar{t})]$



- precise determination of  $\alpha_s$  is possible using these data
- significant dependence on PDF set observed (correlation between  $g$  and  $\alpha_s$ )

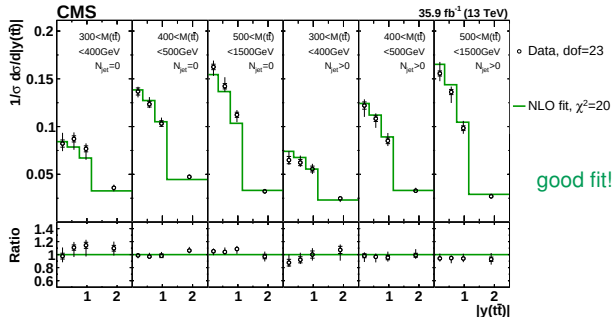
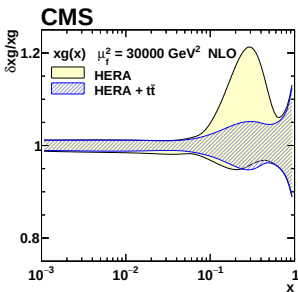
# Results: extraction of $m_t^{\text{pole}}$ from $[N_{\text{jet}}^{0,1+}, M(t\bar{t}), y(t\bar{t})]$



- precise determination of  $m_t^{\text{pole}}$ : better than world average (2019)
- mild dependence on PDF set

# Simultaneous PDF + $\alpha_s$ + $m_t^{\text{pole}}$ fit: results

- followed standard approach: using HERA DIS data only, or HERA +  $t\bar{t}$  data to demonstrate added value from  $t\bar{t}$  on PDF and  $\alpha_s$  determination
- using xFitter: open-source QCD fit framework [xfitter.org]

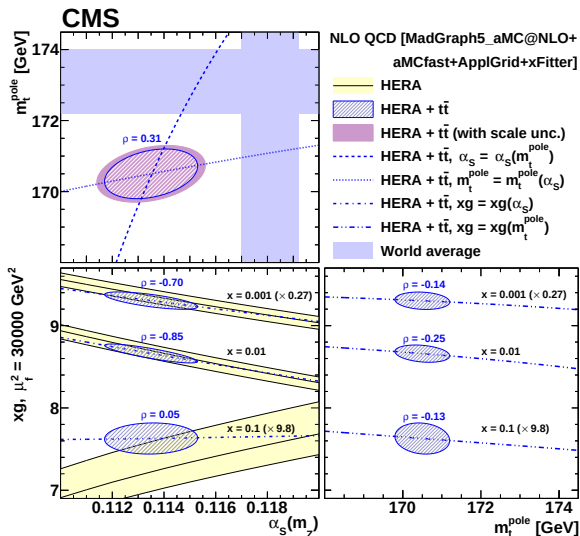


$$\alpha_s(M_Z) = 0.1135 \pm 0.0016(\text{fit})_{-0.0004}^{+0.0002}(\text{mod})_{-0.0001}^{+0.0008}(\text{par})_{-0.0005}^{+0.0011}(\text{scale}) = 0.1135_{-0.0017}^{+0.0021}(\text{total})$$

$$m_t^{\text{pole}} = 170.5 \pm 0.7(\text{fit})_{-0.1}^{+0.1}(\text{mod})_{-0.1}^{+0.0}(\text{par})_{-0.3}^{+0.3}(\text{scale}) \text{ GeV} = 170.5 \pm 0.8(\text{total}) \text{ GeV}$$

→ two SM parameters are simultaneously determined from these data to high precision with only weak correlation between them ( $\rho = 0.3$ ) + constraints on PDFs

# Simultaneous PDF + $\alpha_s$ + $m_t^{\text{pole}}$ fit: correlation



- For the first time studied correlations of  $m_t^{\text{pole}}$ ,  $\alpha_s$  and PDFs
- DESY and CERN CMS news highlighting this analysis



# Pheno analysis of LHC $t\bar{t}$ data at NNLO [MATRIX+PineAPPL+xFitter] (Garzelli, Mazzitelli, Moch, Zenaiev, work in progress)

- NNLO calculations ( $q_T$  subtraction) for fully differential  $t\bar{t}$  are publicly available with MATRIX framework [Catani, Devoto, Grazzini, Kallweit, Mazzitelli Phys.Rev.D 99 (2019) 5, 051501; JHEP 07 (2019) 100]
  - ▶ fully differential NNLO calculations were also published in JHEP 04 (2017) 071 [Czakon, Heymes, Mitov], but no public code available
- Private version of MATRIX:
  - ▶ interfaced to PineAPPL [Carrazza et al., JHEP 12 (2020) 108] to produce PDF interpolation grids further used in xFitter <https://gitlab.com/fitters/xfitter>
    - ★ reproduce NNLO calculations using any PDF and/or varied  $\mu_r, \mu_f$  in  $\sim$  seconds
  - ▶ Improved technical performance for  $t\bar{t}$  to achieve  $\Delta\sigma_{t\bar{t}} = 0.5\%$ 
    - ★ skip calculation of identical things (tailored for  $t\bar{t}$ )
    - ★ adapted to DESY cluster + fixes related to memory and disk space usage etc.
- Runs with  $m_t^{\text{pole}}$  values 165–177.5 GeV with step of 2.5 GeV and  $\Delta\sigma_{t\bar{t}} = 0.5\%$ 
  - ▶  $\approx 60000 \times 6 = 360000$  CPU hours (40 years)
  - ▶ for differential distributions, numerical uncertainties in bins are  $\sim 0.5\%$
  - ▶ this is not negligible compared to data precision  
→ 1% is included as theory uncertainty in  $\chi^2$  calculation
- Differential distributions obtained with fixed  $r_{\text{cut}} = 0.0015$  ( $q_T$  subtraction)
  - ▶ verified vs. JHEP 07 (2019) 100: agreement within 1%
  - ▶ checked distributions with  $r_{\text{cut}} = 0.0005$  (slower convergence) for  $\sigma(t\bar{t})$ :  $< 1\%$
  - ▶ checked extrapolation to  $r_{\text{cut}} = 0$  for  $\sigma(t\bar{t})$ :  $< 1\%$
- $\mu_r = \mu_f = H_T/4$ ,  $H_T = \sqrt{m_t^2 + p_T^2(t)} + \sqrt{m_t^2 + p_T^2(\bar{t})}$ , varied by factor 2 ( $0.5 \leq \mu_r/\mu_f \leq 2$ )



## Welcome to xFitter (former HERAFitter)

Proton parton distribution functions (PDFs) are essential for precision physics at the LHC and other hadron colliders. The determination of the PDFs is a complex endeavor involving several physics process. The main process is the lepton proton deep-inelastic scattering (DIS), with data collected by the HERA ep collider covering a large kinematic phase space needed to extract PDFs. Further processes (fixed target DIS, ppbar collisions etc.) provide additional constraining powers for flavour separation. In particular, the precise measurements obtained or to come from LHC will continue to improve the knowledge of the PDF.

The xFitter project is an open source QCD fit framework ready to extract PDFs and assess the impact of new data. The framework includes modules allowing for a various theoretical and methodological options, capable to fit a large number of relevant data sets from HERA, Tevatron and LHC. This framework is already used in many analyses at the LHC.

## Downloads of xFitter software package

All the xFitter releases can be accessed [HERE](#) including **2.2.0 FutureFreeze** release  
All the former (HERAFitter) releases can be accessed [HERE](#).  
Description: <http://arxiv.org/abs/1410.4412>

## xFitter Meetings

[xFitter Workshop at CERN 2-5 May 2023](#)

- [User's Meetings](#): meetings to enhance communication between users and developers (open access)
- [Developer's Meeting](#): technical weekly meetings to ensure communication among developers (restricted access)
- [Steering Group's Meeting](#) (restricted access)

## xFitter representation

- [Snowmass contribution](#)
- [List of results](#)
- [List of collected talks](#)



## Developers Info (restricted to developers)

- [Internal Developments](#)

## Organisation

- **Release coordinator/Librarian** (revision of the release candidates): Sasha Glazov, Oleksandr Zenaiev
- **DESY IT Contact**: Yves Kemp

## Getting help

See our help forum <https://groups.google.com/forum/#!forum/xfitter-users>

In case of questions or problems, please post a message there (requires a google account) or send it via email [xfitter-users@googlegroups.com](mailto:xfitter-users@googlegroups.com) (no account required)

- **xFitter (HERAFitter before 2015) is a unique open-source QCD fit framework:**

- ▶ extract PDFs and theory parameters
- ▶ assess impact of new data
- ▶ check consistency of experimental data
- ▶ test different theoretical assumptions
- ▶ ... any exercise which involves data vs. theory

- It is widely used by LHC experiments and theorists ( > 100 publications)

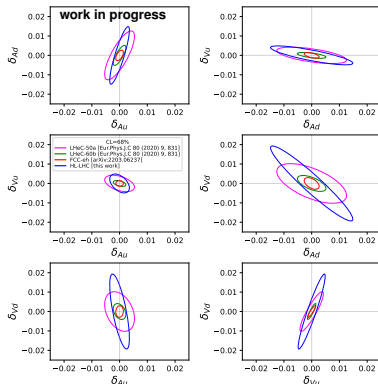
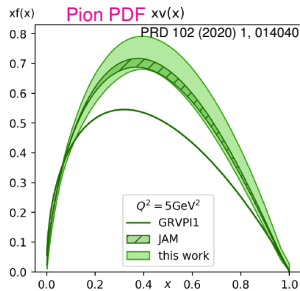
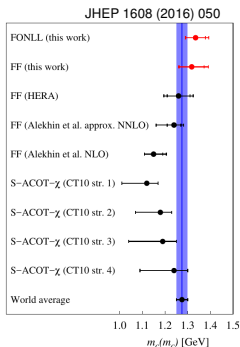
- **Why is xFitter unique and so nice?**

**Because it is fully modular.** E.g., hadron interactions are realized as

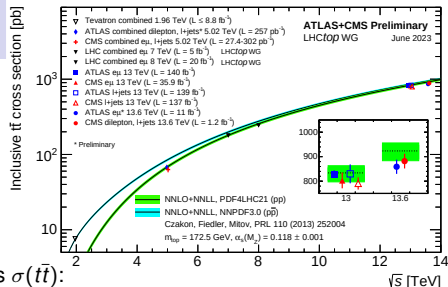
- ▶ PDF parametrisation at starting scale: it is enough to type your favourite formulas
- ▶ PDF decomposition: construct valence, sea and gluon, apply sum rules (automatic integration)
- ▶ PDF evolution: interfaced various codes (QCDNUM, OPENQCDRAD, APFEL, LHAPDF); one can easily interace a new code
- ▶ hard scattering (“reaction”): again, supports various options:
  - ★ various HQ schemes for ep DIS
  - ★ some “simple” calculations, e.g. LO DY
  - ★ interfaced external packages, e.g. HATHOR (NNLO HQ total  $t\bar{t}$  and single  $t$  hadroproduction) and HVQMNR (NLO HQ differential hadroproduction)
  - ★ but main emphasis is put on interfaces to fast interpolation tables, such as fastNLO, ApplGrid, PineAppl: allows us to get recent higher-order calculations (e.g. MCFM, MATRIX etc.) “for free”
- ▶ ... and one can mix all these ingredients freely

# Selected studies by the xFitter team

- “A determination of  $m_c(m_c)$  from HERA data using a matched heavy flavor scheme” [JHEP 1608 (2016) 050]
- “Probing the strange content of the proton with charm production in charged current at LHeC” [Eur. Phys. J. C 79, 864 (2019)]
- “PDF Profiling Using the Forward-Backward Asymmetry in Neutral Current Drell-Yan Production” [JHEP 2019, 176 (2019)]
- “Parton Distribution Functions of the Charged Pion Within The xFitter Framework” [Phys.Rev.D 102 (2020) 1, 014040]
- “Exploring SMEFT Couplings Using the Forward-Backward Asymmetry in Neutral Current Drell-Yan Production at the LHC” [work in progress, [EPS2023 talk](#)]



# Data for pheno analysis (WIP)



## Selection of data:

- all measurements of total  $t\bar{t}$  cross sections  $\sigma(t\bar{t})$ :
  - 10 data points, including recent combined CMS+ATLAS cross section at 7 and 8 TeV
- differential measurements  $\frac{1}{\sigma(t\bar{t})} \frac{d\sigma(t\bar{t})}{dO}$  which satisfy following criteria:
  - as function of  $M(t\bar{t})$  (if available, 2D  $M(t\bar{t})$  and  $y(t\bar{t})$ )
  - at parton level (no cuts on  $p_T$ ,  $y$  of leptons or jets)
  - normalized cross sections (to avoid unknown correlation with total  $\sigma(t\bar{t})$ )
  - bin-by-bin correlations are available

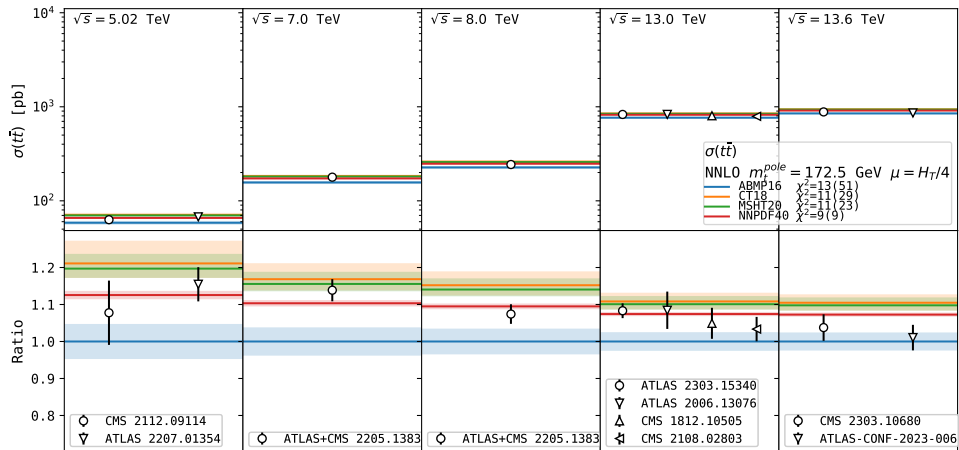
$$\sigma(t\bar{t})$$

$$\frac{1}{\sigma(t\bar{t})} \frac{d\sigma(t\bar{t})}{dO}$$

| Experiment  | decay channel            | dataset   | luminosity             | $\sqrt{s}$ |
|-------------|--------------------------|-----------|------------------------|------------|
| ATLAS & CMS | combined                 | 2011      | $5 \text{ fb}^{-1}$    | 7 TeV      |
| ATLAS & CMS | combined                 | 2012      | $20 \text{ fb}^{-1}$   | 8 TeV      |
| ATLAS       | dileptonic, semileptonic | 2011      | $257 \text{ pb}^{-1}$  | 5.02 TeV   |
| CMS         | dileptonic               | 2011      | $302 \text{ pb}^{-1}$  | 5.02 TeV   |
| ATLAS       | dileptonic               | 2015-2018 | $140 \text{ fb}^{-1}$  | 13 TeV     |
| ATLAS       | semileptonic             | 2015-2018 | $139 \text{ fb}^{-1}$  | 13 TeV     |
| CMS         | dileptonic               | 2016      | $35.9 \text{ fb}^{-1}$ | 13 TeV     |
| CMS         | semileptonic             | 2016-2018 | $137 \text{ fb}^{-1}$  | 13 TeV     |
| ATLAS       | dileptonic               | 2022      | $11.3 \text{ fb}^{-1}$ | 13.6 TeV   |
| CMS         | dileptonic, semileptonic | 2022      | $1.21 \text{ fb}^{-1}$ | 13.6 TeV   |

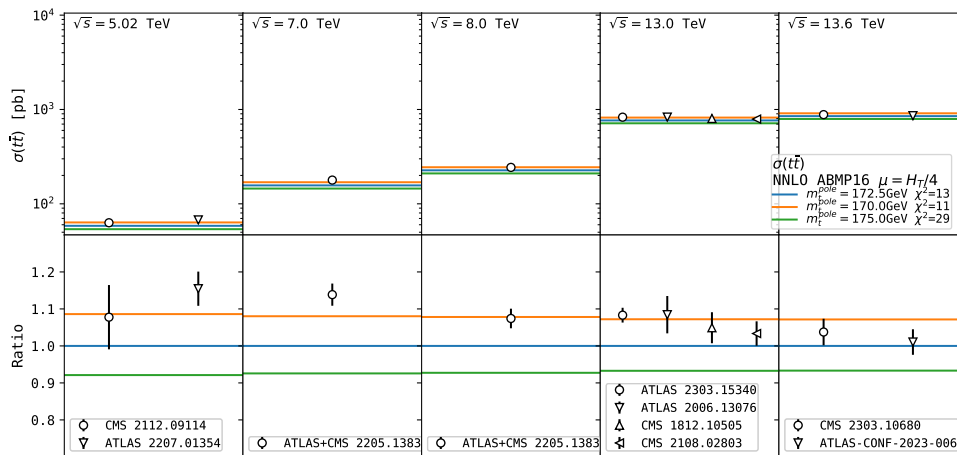
| Experiment | decay channel | dataset   | luminosity             | $\sqrt{s}$ | observable(s)                   | $n$ |
|------------|---------------|-----------|------------------------|------------|---------------------------------|-----|
| CMS        | semileptonic  | 2016-2018 | $137 \text{ fb}^{-1}$  | 13 TeV     | $M(t\bar{t})$ , $ y(t\bar{t}) $ | 34  |
| CMS        | dileptonic    | 2016      | $35.9 \text{ fb}^{-1}$ | 13 TeV     | $M(t\bar{t})$ , $ y(t\bar{t}) $ | 15  |
| ATLAS      | semileptonic  | 2015-2016 | $36 \text{ fb}^{-1}$   | 13 TeV     | $M(t\bar{t})$ , $ y(t\bar{t}) $ | 19  |
| ATLAS      | all-hadronic  | 2015-2016 | $36.1 \text{ fb}^{-1}$ | 13 TeV     | $M(t\bar{t})$ , $ y(t\bar{t}) $ | 10  |
| CMS        | dileptonic    | 2012      | $19.7 \text{ fb}^{-1}$ | 8 TeV      | $M(t\bar{t})$ , $ y(t\bar{t}) $ | 15  |
| ATLAS      | semileptonic  | 2012      | $20.3 \text{ fb}^{-1}$ | 8 TeV      | $M(t\bar{t})$                   | 6   |
| ATLAS      | dileptonic    | 2012      | $20.2 \text{ fb}^{-1}$ | 8 TeV      | $M(t\bar{t})$                   | 5   |
| ATLAS      | dileptonic    | 2011      | $4.6 \text{ fb}^{-1}$  | 7 TeV      | $M(t\bar{t})$                   | 4   |
| ATLAS      | semileptonic  | 2011      | $4.6 \text{ fb}^{-1}$  | 7 TeV      | $M(t\bar{t})$                   | 4   |

# $\sigma(t\bar{t})$ vs NNLO predictions using different PDFs (WIP)



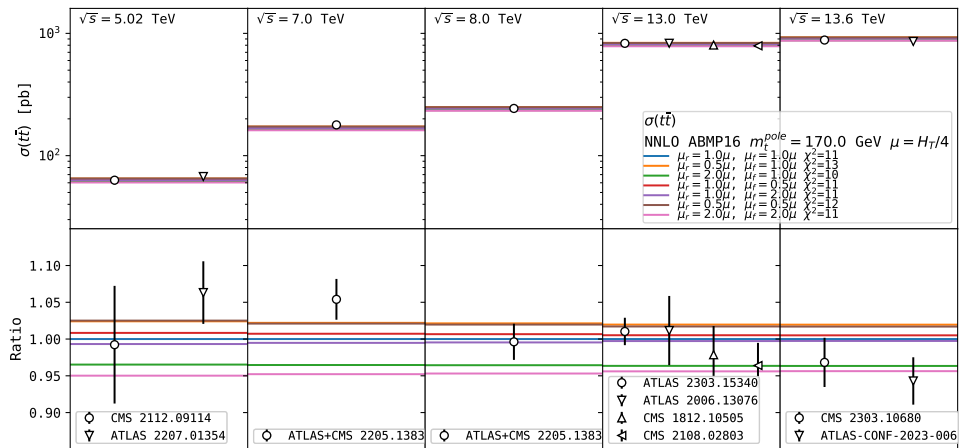
- Fixed  $m_t^{\text{pole}} = 172.5 \text{ GeV}$ ,  $\mu_r = \mu_f = H_T/4$
- Reported  $\chi^2$  values with (and without) PDF uncertainties
- All PDF sets describe data reasonably well (depends on  $m_t^{\text{pole}}$ ,  $\alpha_S$ )
- Sensitivity to PDFs reduces with increasing  $\sqrt{s}$  (lower  $x$  probed)

# $\sigma(t\bar{t})$ vs NNLO predictions using different $m_t^{\text{pole}}$ (WIP)



- ABMP16, fixed  $\mu_r = \mu_f = H_T/4$
- Change of  $m_t^{\text{pole}}$  by 1 GeV  $\rightarrow$  change of  $\sigma(t\bar{t})$  by  $\approx 3\%$
- Preferable  $m_t^{\text{pole}} \sim 170\text{--}172.5$  GeV (depends on PDF and  $\alpha_S$ )

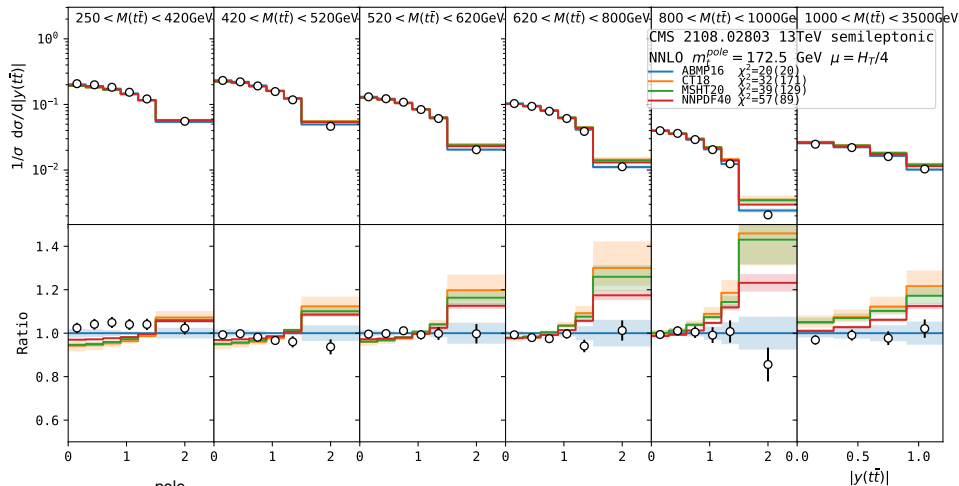
# $\sigma(t\bar{t})$ vs NNLO predictions with scale variations (WIP)



- ABMP16, fixed  $m_t^{\text{pole}} = 172.5$  GeV
- Scale variations  $^{+3\%}_{-5\%}$ :
  - ▶ larger than data uncertainty (best data uncertainty  $\pm 1.9\%$ )
  - ▶ limit precision of  $m_t^{\text{pole}}$  extraction to 1 GeV
  - ▶ can be reduced by using e.g.  $\overline{\text{MS}}$  mass  $m_t(m_t)$  EPJ C74 (2014) 3167, JHEP04 (2021) 043

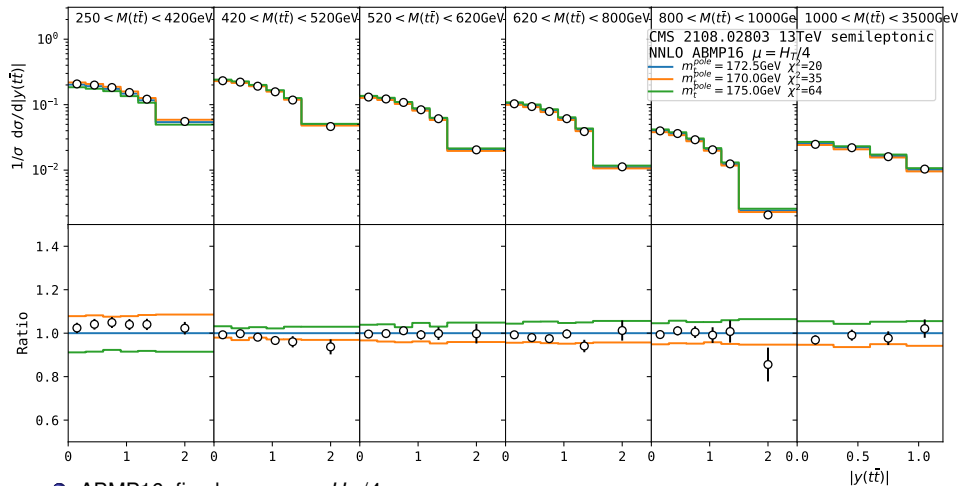


# CMS 2108.02803 vs NNLO predictions using different PDFs (WIP)



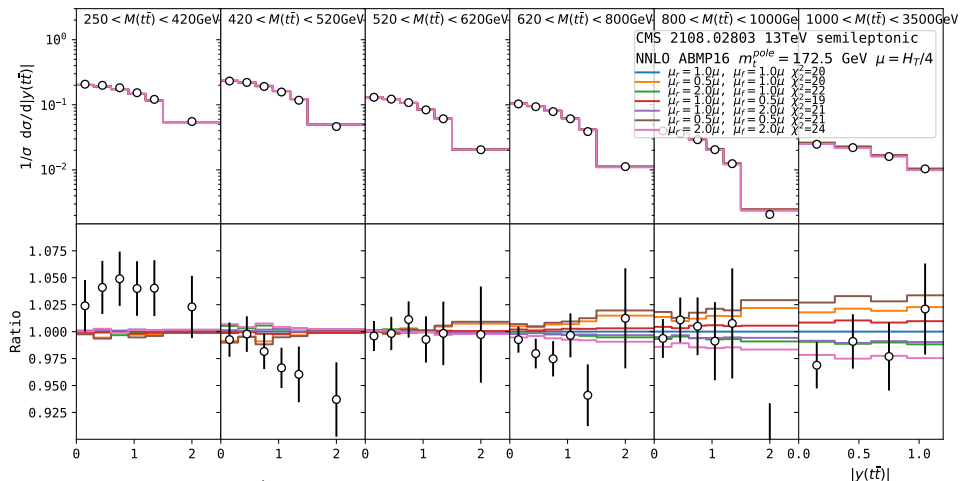
- Fixed  $m_t^{pole} = 172.5 \text{ GeV}$ ,  $\mu_r = \mu_f = H_T/4$
- Reported  $\chi^2$  values with (and without) PDF uncertainties
- All PDF sets describe data reasonably well, with best description by ABMP16
  - ▶ CT18, MSHT20 and NNPDF40 show clear trend w.r.t data at high  $y(t\bar{t})$  (large  $x$ )
- This is most precise currently available data set with finest bins

# CMS 2108.02803 vs NNLO predictions using different PDFs (WIP)



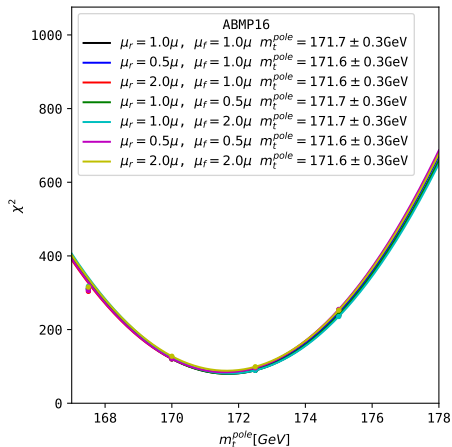
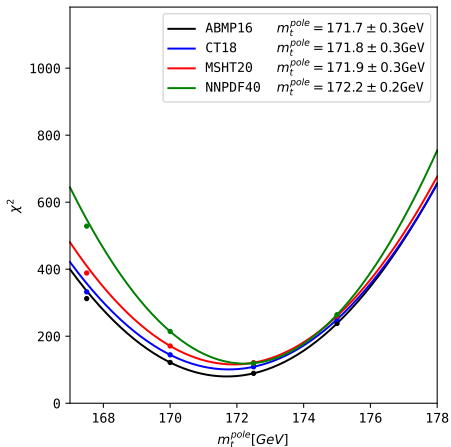
- ABMP16, fixed  $\mu_r = \mu_f = H_T/4$
- Low  $M(t\bar{t})$ : strong dependence on  $m_t^{\text{pole}}$  via threshold effects
- High  $M(t\bar{t})$ : opposite dependence due to cross section normalization
- Preferable  $m_t^{\text{pole}} \approx 172 \text{ GeV}$

# CMS 2108.02803 vs NNLO predictions using different PDFs (WIP)



- ABMP16, fixed  $m_t^{\text{pole}} = 172.5 \text{ GeV}$
- Scale variations  $< 1\%$  at low  $M(t\bar{t})$  (largest cancellation), reach  $\approx 4\%$  at high  $M(t\bar{t})$

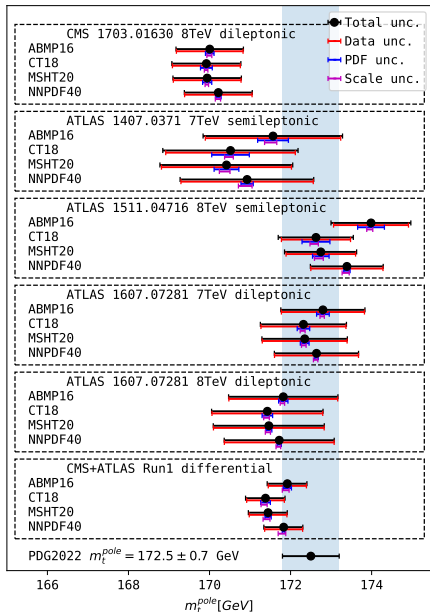
# Extraction of $m_t^{\text{pole}}$ (work in progress)



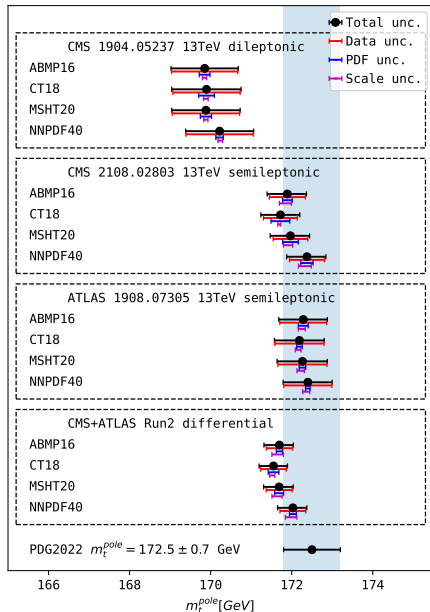
- Experimental, PDF and numerical theory uncertainties are included in  $\chi^2$
- Scale theory variations are not included in  $\chi^2$  but they are done explicitly (offset method) (typically amount to  $\pm 0.2 \text{ GeV}$ )

# Extraction of $m_t^{\text{pole}}$ : differential Run 1, Run 2 (WIP)

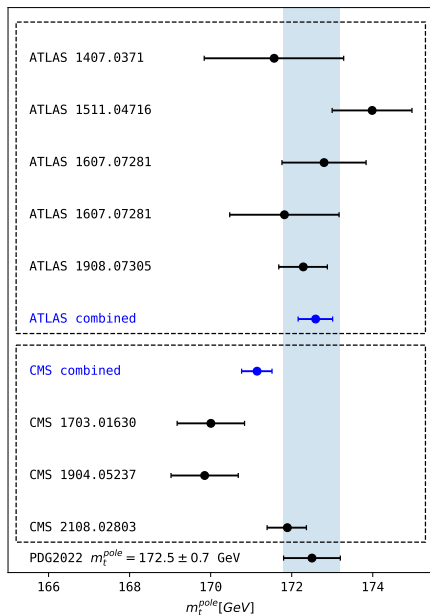
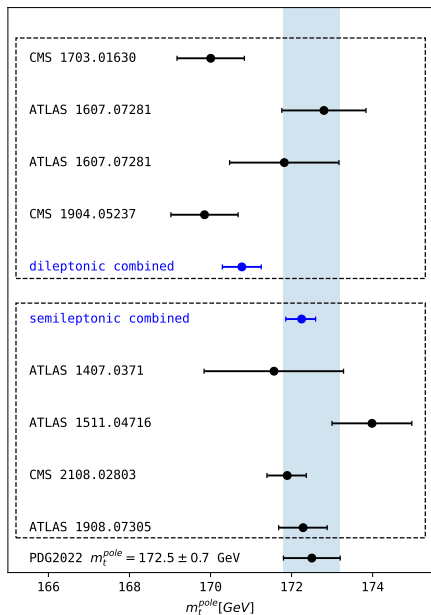
## Run 1 differential



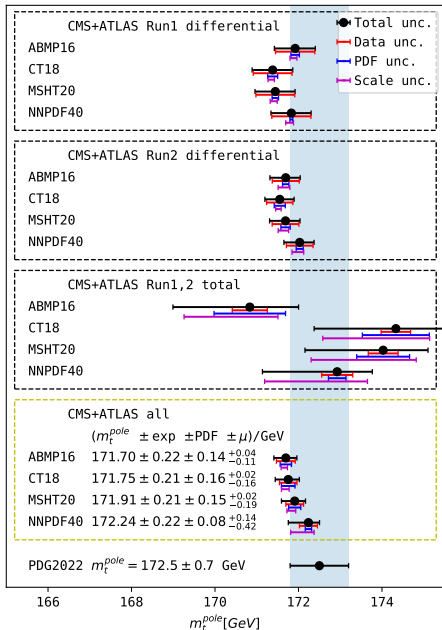
## Run 2 differential



# Extraction of $m_t^{\text{pole}}$ : dilepton vs semileptonic, ATLAS vs CMS (WIP)



# Extraction of $m_t^{\text{pole}}$ : summary (work in progress)



- Extracted  $m_t^{\text{pole}}$  values with precision  $\pm 0.3 \text{ GeV}$  are consistent with PDG value  $172.5 \pm 0.7 \text{ GeV}$

- data uncertainty  $\sim 0.2 \text{ GeV}$
- PDF uncertainty  $\sim 0.1 \text{ GeV}$
- NNLO scale uncertainty  $\sim 0.2 \text{ GeV}$

- Significant dependence on PDFs ( $\sim 0.5 \text{ GeV}$ ):

- different  $m_t^{\text{pole}}$  used in different PDFs
- PDFs,  $m_t^{\text{pole}}$ ,  $\alpha_s$  should be determined simultaneously

- For CMS 1904.05237, NNLO results are consistent with published results obtained at NLO

- good convergence of perturbative series

- Larger sensitivity comes from differential data

- 2D differential x-sections in  $M(t\bar{t})$ ,  $y(t\bar{t})$  constrain  $m_t^{\text{pole}}$ , PDFs and (indirectly)  $\alpha_s$
- ideally, 3D cross section in  $M(t\bar{t})$ ,  $y(t\bar{t})$  and number of extra jets constrain  $\alpha_s$  directly, but NNLO not yet available for  $t\bar{t}$  +jets

- Possible effects from Coulomb and soft-gluon resummation near the  $t\bar{t}$  production threshold are neglected: might be up to  $1 \text{ GeV}$

[CMS Coll. EPJ C80 (2020) 658; Kiyo, Kuhn, Moch, Steinhauser, Uwer EPJ C60 (2009) 375; Mäkelä, Hoang, Lipka, Moch 2301.03546]

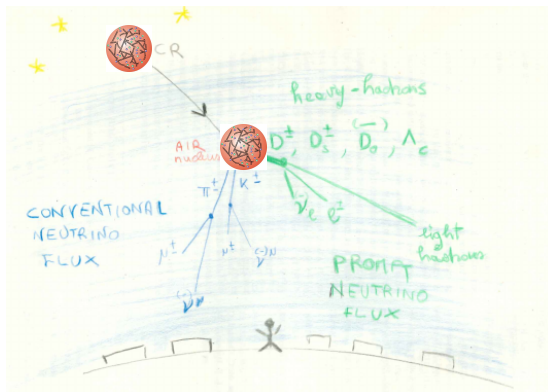
Many phenomenological applications of precision QCD with HQ:

- $m_c, m_b$  extraction from HERA (ep) data + constraints on PDFs
  - ▶ high-precision final HERA data are in some tension with NLO and NNLO
- $c\bar{c}$  and  $b\bar{b}$  production at LHC (pp): application for astrophysics
  - ▶ really need NNLO differential calculations (publicly available only for  $t\bar{t}$ )
- $t\bar{t}$  production at LHC (pp): precise determination of  $m_t^{\text{pole}}$  + constraints on PDFs and  $\alpha_S$ 
  - ▶ a lot of experimental data available: looking forward for ATLAS and CMS combination of differential  $t\bar{t}$  measurements
  - ▶ staying tuned for Run-3 data



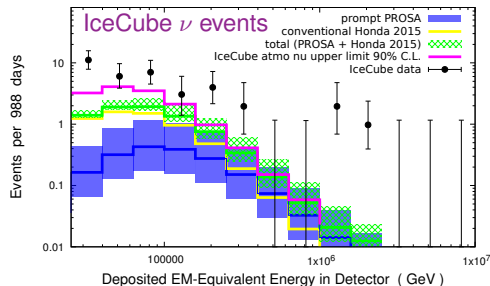
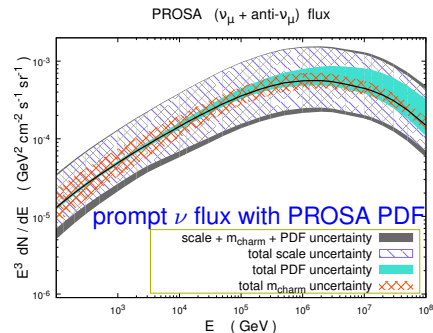
# BACKUP

*Atmospheric  $\nu$  are background for astrophysical  $\nu$ :*



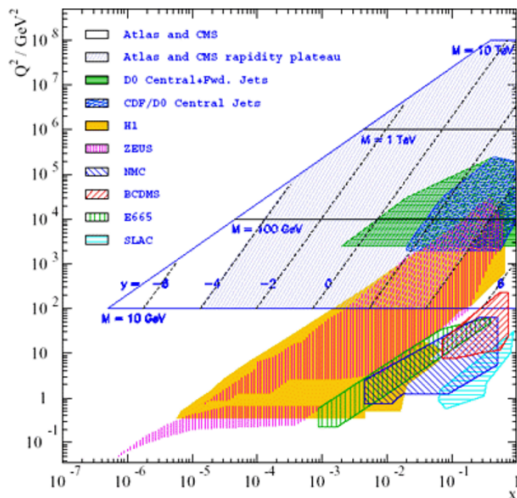
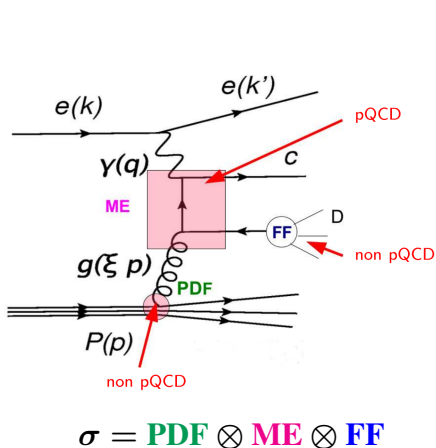
## Production of atmospheric $\nu$ :

- cosmic rays (CR) + **atmospheric nuclei**  
→ **light** and **heavy** hadrons → **conventional** and **prompt**  $\nu$  fluxes
- spectra of **conventional** and **prompt**  $\nu$  fluxes are different because of different hadroproduction cross sections and decay properties
- LHCb heavy-quark data improve predictions of **prompt**  $\nu$  flux



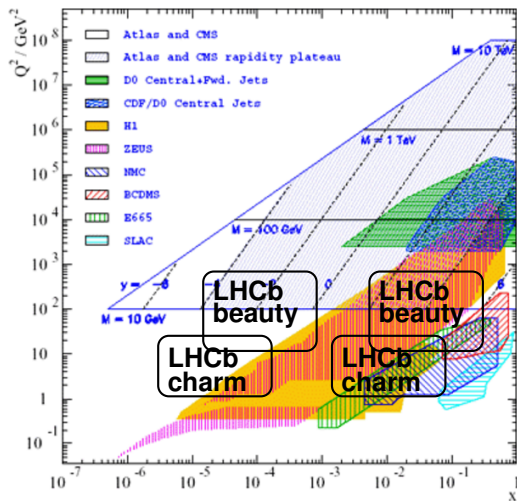
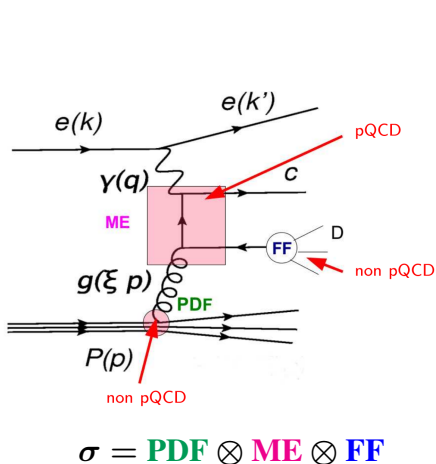
- Uncertainties for prompt  $\nu$  flux are dominated by NLO scale uncertainties
- PDF uncertainties are under control owing to LHCb data in PROSA fit
- Predictions for the number of prompt, conventional and total expected atmospheric neutrino events for the IceCube 988-day HESE analysis, as compared to the IceCube lepton data
- IceCube upper limit lies well inside PROSA uncertainty band at high  $E_\nu$
- LHC data on hadroproduction and their interpretation are of crucial importance for astrophysical measurements
- astrophysical measurements provide complementary information about charm hadroproduction and proton structure

# Parton distribution functions (PDFs)



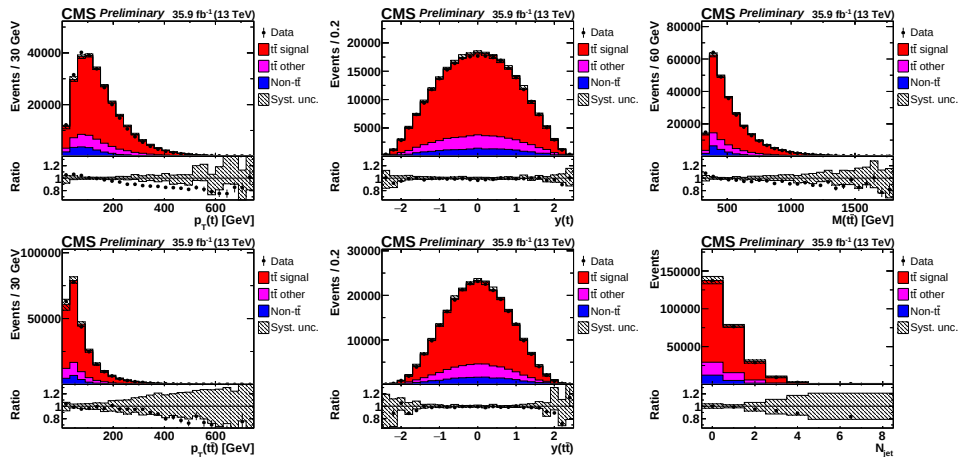
Measure  $\sigma$ , calculate ME  $\Rightarrow$  determine PDF and/or FF

# Parton distribution functions (PDFs)



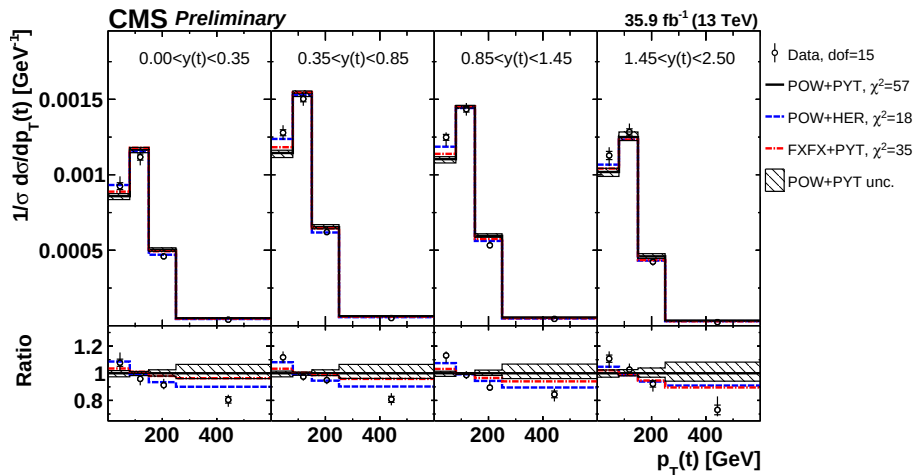
Measure  $\sigma$ , calculate  $ME \Rightarrow$  determine  $PDF$  and/or  $FF$

# Kinematic distributions



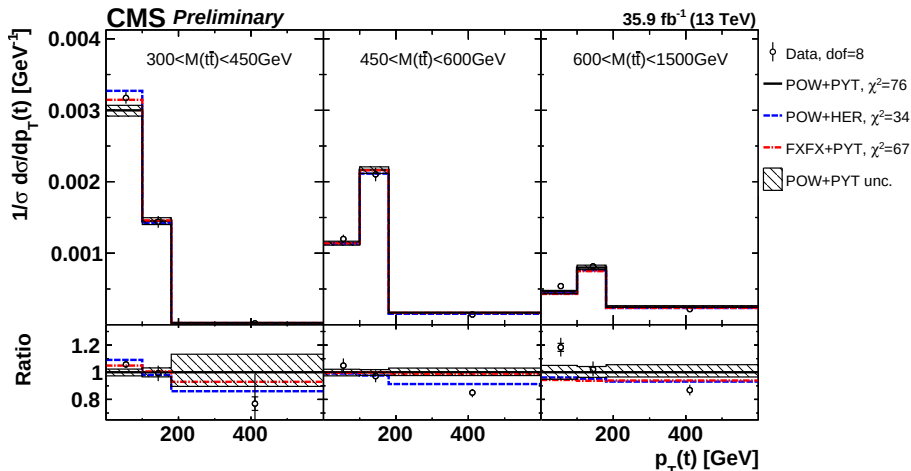
- $t\bar{t}$  signal MC: POWHEGV2 + PYTHIA8
- Overall good description of data within uncertainties
- Central MC predictions for  $p_T(t)$ ,  $p_T(t\bar{t})$ ,  $M(t\bar{t})$ ,  $N_{\text{jet}}$  are softer than data

# Results: 2D x-sections $[y(t), p_T(t)]$



- 'POW-PYT' and 'FXFX-PYT' predict softer  $p_T(t)$  in entire  $y(t)$  range
- better description by 'POW-HER'

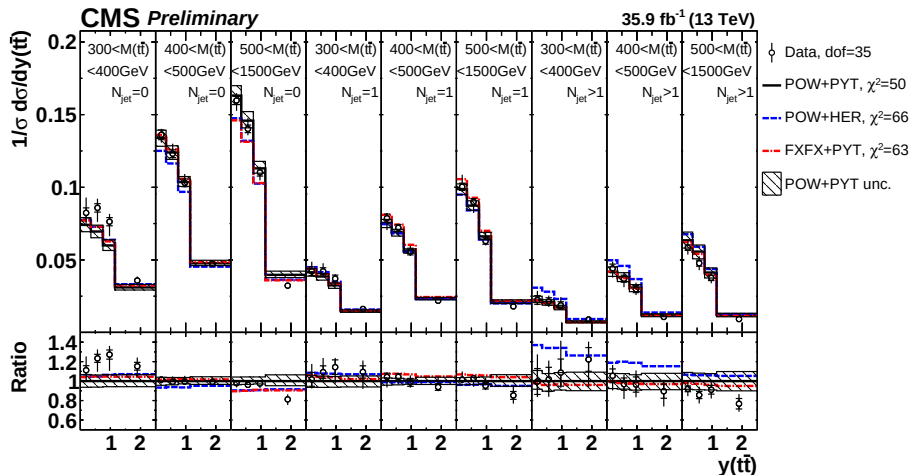
# Results: 2D cross sections $[M(t\bar{t}), p_T(t)]$



- bad description by all MC, strongest disagreement for 'POW-PYT'
- 'POW-HER' describes  $p_T(t)$  in entire  $y(t)$  range, but predicts too hard  $p_T(t)$  at high  $M(t\bar{t})$ 
  - can be observed only in 2D measurement

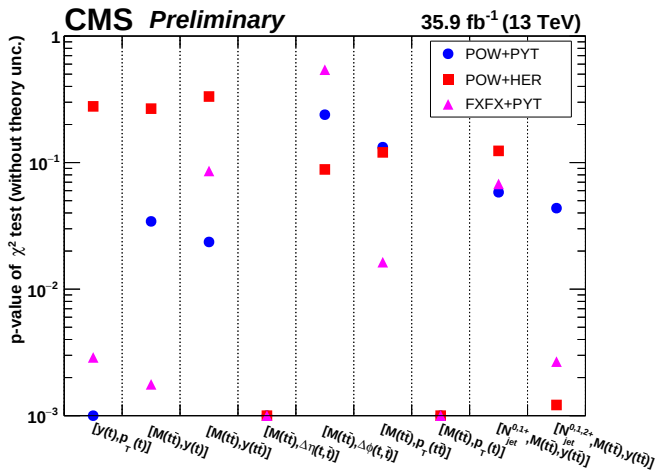


# Results: 3D cross sections $[N_{\text{jet}}^{0,1,2+}, M(t\bar{t}), y(t\bar{t})]$



- 'POW-HER' predicts too high cross section at  $N_{\text{jet}} > 1$
- 'FXFX-PYT' describes worse  $M(t\bar{t})$  at  $N_{\text{jet}} = 1$

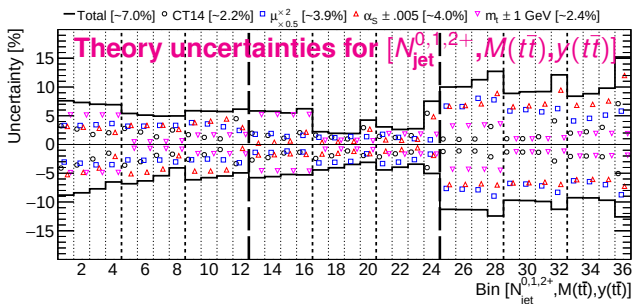
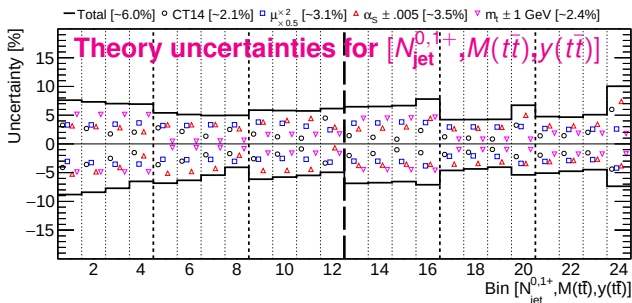
# Results: summary of comparison to MC models



- none of central MC predictions is able to describe all distributions, in particular  $[M(t\bar{t}), \Delta\eta(t, \bar{t})]$ ,  $[M(t\bar{t}), p_T(t)]$
- overall, best description is provided by 'POW-PYT' and 'POW-HER':
  - ▶ 'POW-HER' describes better distributions probing  $p_T(t)$
  - ▶ 'POW-PYT' describes better distributions probing  $N_{jet}$  and radiation

- NLO predictions for inclusive  $t\bar{t}$ ,  $t\bar{t} + 1$  jet and  $t\bar{t} + 2$  jets are computed and compared to data using MadGraph5\_aMC@NLO + aMCfast + ApplGrid + xFitter:
  - ▶  $[N_{\text{jet}}^{0,1+}, M(t\bar{t}), y(t\bar{t})]$  with 2  $N_{\text{jet}}$  bins:
    - ★  $\sigma^{\text{NLO}}(N_{\text{jet}} = 0) = \sigma^{\text{NLO}}(t\bar{t}) - \sigma^{\text{NLO}}(t\bar{t} + 1\text{jet})$
    - ★  $\sigma^{\text{NLO}}(N_{\text{jet}} > 0) = \sigma^{\text{NLO}}(t\bar{t} + 1\text{jet})$
  - ▶  $[N_{\text{jet}}^{0,1,2+}, M(t\bar{t}), y(t\bar{t})]$  with 3  $N_{\text{jet}}$  bins:
    - ★  $\sigma^{\text{NLO}}(N_{\text{jet}} = 0) = \sigma^{\text{NLO}}(t\bar{t}) - \sigma^{\text{NLO}}(t\bar{t} + 1\text{jet})$
    - ★  $\sigma^{\text{NLO}}(N_{\text{jet}} = 1) = \sigma^{\text{NLO}}(t\bar{t} + 1\text{jet}) - \sigma^{\text{NLO}}(t\bar{t} + 2\text{jets})$
    - ★  $\sigma^{\text{NLO}}(N_{\text{jet}} > 1) = \sigma^{\text{NLO}}(t\bar{t} + 2\text{jets})$
- $\mu_r = \mu_f = H'/2$ ,  $H' = \sum_i m_{T,i}$  where the sum runs over all final-state partons ( $t$ ,  $\bar{t}$  and up to three light partons in the  $t\bar{t} + 2$  jets calculations) and  $m_T = \sqrt{m^2 + p_T^2}$ . Uncertainties:
  - ▶  $\mu_r, \mu_f$  are varied by factor 2 (6 variations in total)
  - ▶ alternative functional form  $\mu_r = \mu_f = H/2$ ,  $H = \sum_i m_{T,i}$  with the sum runs over  $t$  and  $\bar{t}$
- $m_t^{\text{pole}} = 172.5 \pm 1$  GeV (sometimes  $\pm 5$  GeV for presentation purposes)
- PDFs and  $\alpha_s$  from several groups via LHAPDF,  $\alpha_s \pm 0.001$  for uncertainties (sometimes  $\pm 0.005$  for presentation purposes)
- multiplied with non-perturbative corrections ( $< 5\%$ ) from parton to particle jet level (BACKUP)

# Data and theory uncertainties $[N_{\text{jet}}^{0,1+}, M(t\bar{t}), y(t\bar{t})]$



- Bins are grouped for  $y(t\bar{t})$ ,  $M(t\bar{t})$  and  $N_{\text{jet}}$  (separated by different vertical lines)
- NLO scale uncertainties are comparable to PDF,  $\alpha_s$  and  $M_T$  uncertainties  
→ data can constrain PDF,  $\alpha_s$  and  $M_T$
- Scale uncertainties are considerably smaller for  $[N_{\text{jet}}^{0,1+}, M(t\bar{t}), y(t\bar{t})]$   
→  $[N_{\text{jet}}^{0,1,2+}, M(t\bar{t}), y(t\bar{t})]$  is used for cross check only

## Data interpretation consists of two parts:

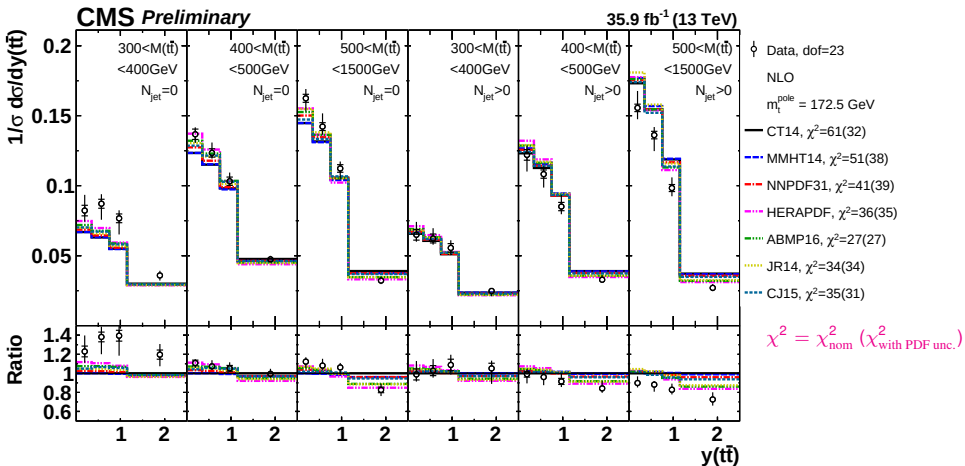
(1) comparison theory vs data using external PDF sets:

- ▶ extracting  $\alpha_s$  keeping  $m_t^{\text{pole}}$  fixed
- ▶ extracting  $m_t^{\text{pole}}$  keeping  $\alpha_s$  fixed

(2) simultaneous fit of PDFs,  $\alpha_s$  and  $m_t^{\text{pole}}$  using  $t\bar{t}$  and HERA DIS:

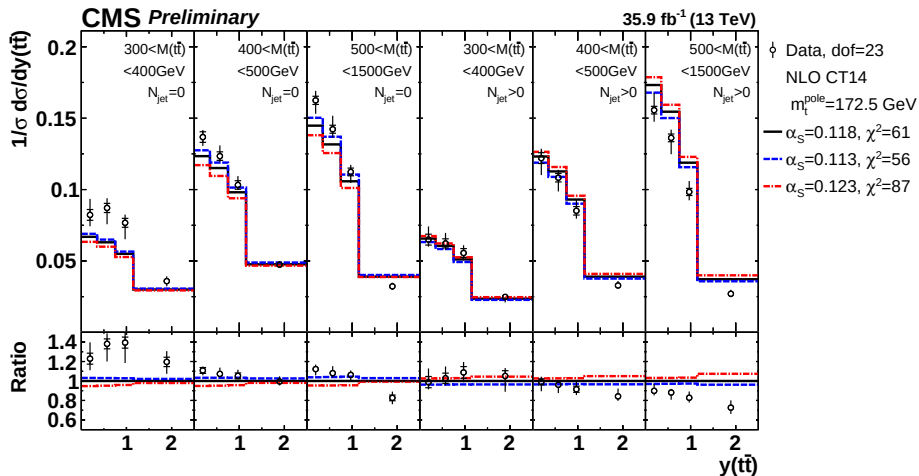
- this presents fully consistent extraction of  $\alpha_s$ ,  $m_t^{\text{pole}}$  and PDFs
- important as exercise to understand new  $t\bar{t}$  data, providing baseline for future global fits

# Results: $[N_{\text{jet}}^{0,1+}, M(t\bar{t}), y(t\bar{t})]$ compared to NLO pred. with diff. PDFs



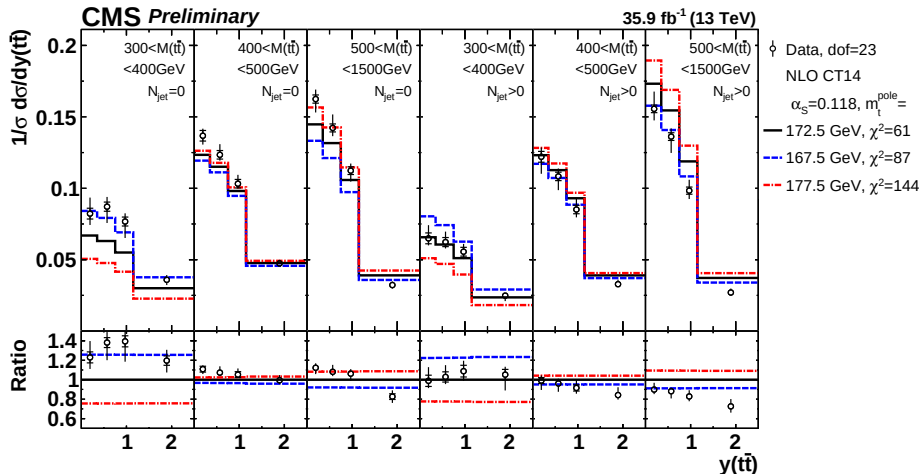
- description depends on PDFs → data are sensitive to PDFs
- all modern PDF sets considered
  - best description given by ABMP16

# Results: $[N_{\text{jet}}^{0,1+}, M(t\bar{t}), y(t\bar{t})]$ compared to NLO pred. with diff. $\alpha_S$



- $\alpha_S$  sensitivity comes from different  $N_{\text{jet}}$  bins
- further (indirect) sensitivity comes from  $[M(t\bar{t}), y(t\bar{t})]$  via sensitivity to PDFs

# Results: $[N_{\text{jet}}^{0,1+}, M(t\bar{t}), y(t\bar{t})]$ compared to NLO pred. with diff. $m_t^{\text{pole}}$

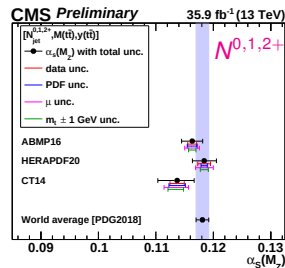
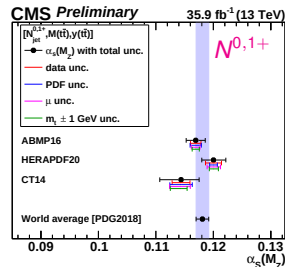


- $M_T$  sensitivity comes from  $M(t\bar{t})$ , mainly 1st bin
- this method differs from extracting  $m_t^{\text{pole}}$  from total  $t\bar{t}$  x-section, and is similar to extracting  $m_t^{\text{pole}}$  from  $t\bar{t}j$  diff. x-section [EPJ C73 (2013) 2438, CMS-PAS-TOP-13-006, JHEP 1510 (2015) 121]
- previous determination using this method: prelim. D0 results [FERMILAB-CONF-16-383-PPD]

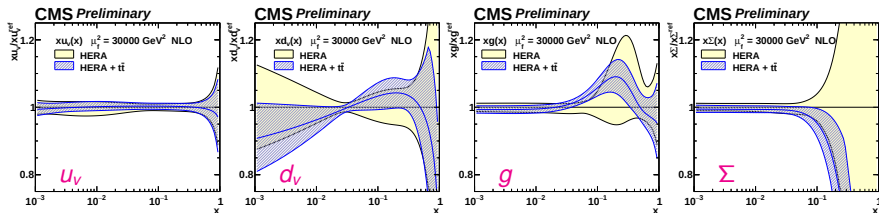


## Cross checks of $\alpha_s$ and $m_t^{\text{pole}}$ extraction (all results in backup):

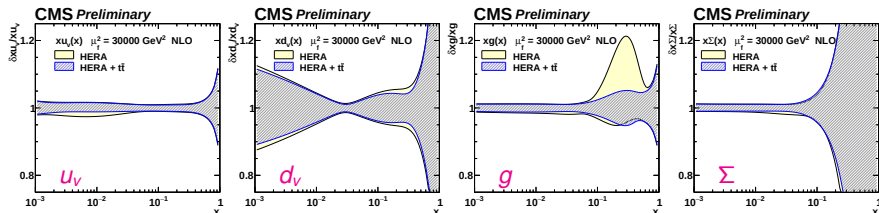
- using  $[N_{\text{jet}}^{0,1,2+}, M(t\bar{t}), y(t\bar{t})]$
  - using single-differential  $N_{\text{jet}}$ ,  $M(t\bar{t})$  or  $y(t\bar{t})$  cross sections
  - using  $[p_T(t\bar{t}), M(t\bar{t}), y(t\bar{t})]$  cross sections with 2  $p_T(t\bar{t})$  bins
  - using unnormalised cross sections
- consistent results obtained in all cross checks
- in this analysis, observables ( $\frac{1}{\sigma} \frac{d\sigma}{d\dots}$ ) have been chosen to have **maximum sensitivity to QCD parameters and minimum experimental and scale uncertainties**



PDFs ( $\alpha_s$  in HERA-only fit set to  $\alpha_s = 0.1135 \pm 0.0016$ )

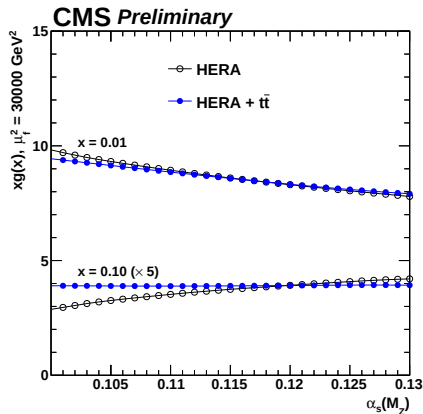
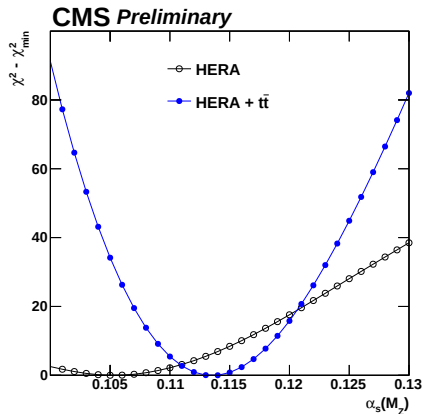


## Relative PDF uncertainties



- reduced  $g$  uncertainty at high  $x$
- smaller impact on other distributions

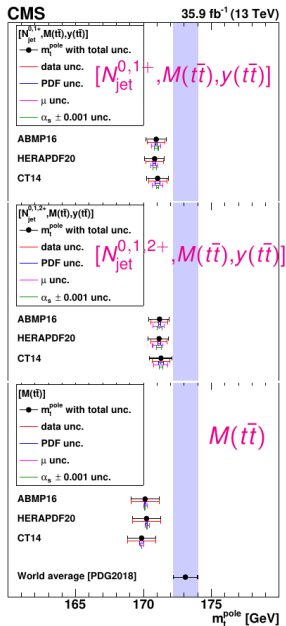
# Simultaneous PDF + $\alpha_s + m_t^{\text{pole}}$ fit: correlation between $\alpha_s$ and gluon



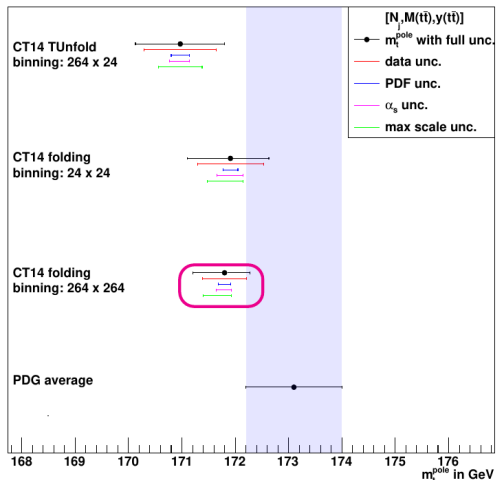
## Adding $t\bar{t}$ data:

- constrain  $\alpha_s$  (left)
- reduce correlation between  $\alpha_s$  and gluon ( $g$ ) (right)
  - ▶ weak correlation ( $\alpha_s, M_T$ )  $\rightarrow$  weak correlation ( $g, M_T$ )

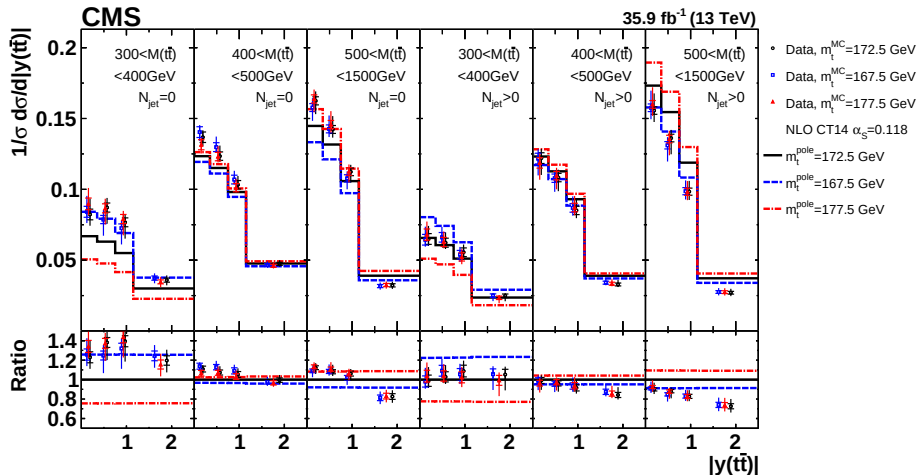
# TOP-18-004 checks



DESY 2018 summer school, L. Materne, bachelor thesis  
 “Differential Top-Pair Production Cross Section with the CMS  
 Detector - Optimization of Measurement Information”,  
 Karlsruher Institut für Technologie (KIT), Bachelorarbeit,  
 2018 [ETP-Bachelor-KA/2018-11]

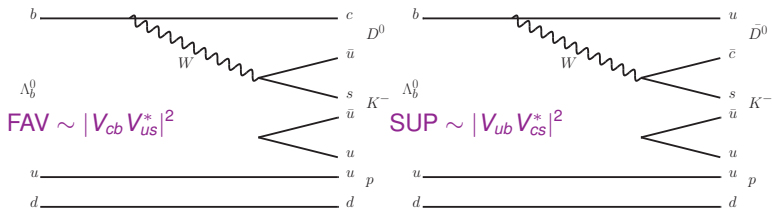


# $m_t$ dependence of measured cross sections



# Observation of suppressed $\Lambda_b^0 \rightarrow [K^+\pi^-]_D p K^-$ decay and measurement of its CP asymmetry [LHCb Coll., Phys. Rev. D104 (2021) 112008]

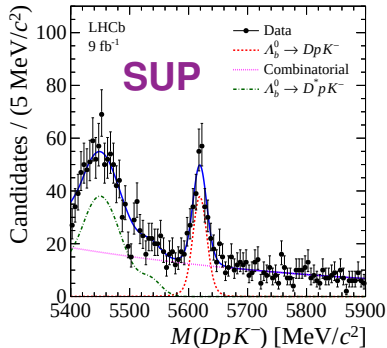
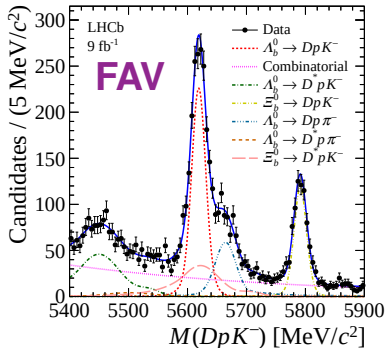
- Few studies of beauty baryon decays to final states involving a single open-charm meson



- We studied singly- and doubly-Cabibbo-suppressed  $\Lambda_b^0 \rightarrow [K^+\pi^-]_D p K^-$  decays:
  - $\Lambda_b^0 \rightarrow [K^-\pi^+]_D p K^- \sim |V_{cb} V_{us}^*|^2$  is relatively favoured (FAV)
  - $\Lambda_b^0 \rightarrow [K^+\pi^-]_D p K^- \sim |V_{ub} V_{cs}^*|^2$  is relatively suppressed (SUP): *not yet observed* (FAV and SUP are defined by the relative charges of  $K$  from  $D$  and  $K$  from  $\Lambda_b^0$ )
  - $R_{\text{pred}} = \left| \frac{V_{cb} V_{us}^*}{V_{ub} V_{cs}^*} \right|^2 \approx 7.4$
- The suppressed decay receives contributions from  $\Lambda_b^0 \rightarrow [K^+\pi^-]_{D^0} p K^-$  and  $\Lambda_b^0 \rightarrow [K^+\pi^-]_{\bar{D}^0} p K^-$ , leading to interference between them, CP violation and  $\gamma$  sensitivity [ADS method, PRL 78 (1997) 3257, PRD 63 (2001) 036005]
- Goals of this analysis:
  - observation of suppressed decay  $\Lambda_b^0 \rightarrow [K^+\pi^-]_D p K^-$
  - measurement of ratio  $R$  and asymmetry  $A$  in full phase space and restricted region  $M^2(pK^-) < 5 \text{ GeV}^2$  with enhanced  $\gamma$  sensitivity

# Observation of suppressed $\Lambda_b^0 \rightarrow [K^+\pi^-]_D p K^-$ decay and measurement of its CP asymmetry [LHCb Coll., Phys. Rev. D104 (2021) 112008]

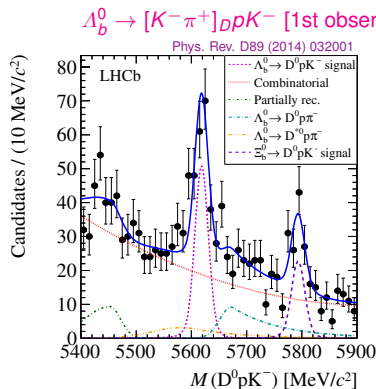
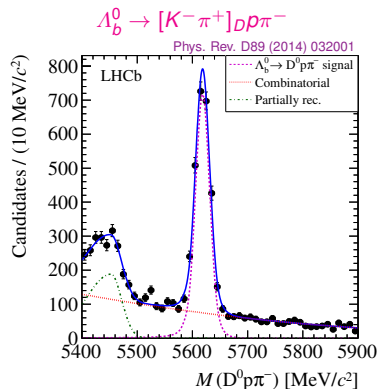
- BDT selection (TMVA), simultaneous unbinned ML fit (RooFit) of favoured and suppressed decays (taking into account several partially reconstructed decays)



- first observation** of **suppressed decay**  $\Lambda_b^0 \rightarrow (K^+\pi^-)_D p K^-$  ( $241 \pm 22$  events)
- $R = \frac{\mathcal{B}(\Lambda_b^0 \rightarrow [K^-\pi^+]_D p K^-)}{\mathcal{B}(\Lambda_b^0 \rightarrow [K^+\pi^-]_D p K^-)} = 7.14 \pm 0.79(\text{stat.})_{-0.33}^{+0.43}(\text{syst.})$  [consistent with  $R_{\text{pred}} \approx 7.4$ ]
- $A = \frac{N_{\text{SUP}}(\Lambda_b^0) - N_{\text{SUP}}(\overline{\Lambda_b^0})}{N_{\text{SUP}}(\Lambda_b^0) + N_{\text{SUP}}(\overline{\Lambda_b^0})} = 0.119 \pm 0.088(\text{stat.})_{-0.026}^{+0.024}(\text{syst.})$  [consistent with 0, but larger data sample is expected to be collected soon, and this mode will contribute to  $\gamma$  determination]

# Introduction

- Few studies of beauty baryon decays to final states involving a single open-charm meson exist

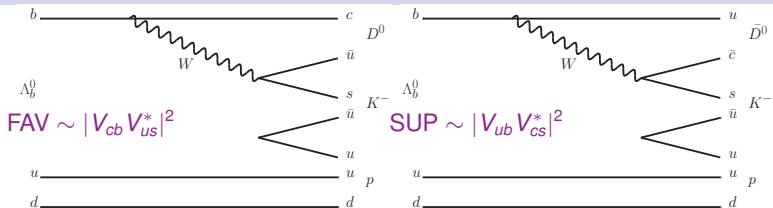


- In this analysis we study even further suppressed  $\Lambda_b^0 \rightarrow [K^+\pi^-]_D p K^-$  decay:

- $\Lambda_b^0 \rightarrow [K^-\pi^+]_D p K^- \sim |V_{cb} V_{us}^*|^2$  is relatively favoured (FAV)
- $\Lambda_b^0 \rightarrow [K^+\pi^-]_D p K^- \sim |V_{ub} V_{cs}^*|^2$  is relatively suppressed (SUP): *not yet observed* (FAV and SUP are defined by the relative charges of  $K$  from  $D$  and  $K$  from  $\Lambda_b^0$ )



# Analysis goals and strategy



- $R_{\text{pred}} = \left| \frac{V_{cb} V_{us}^*}{V_{ub} V_{cs}^*} \right|^2 \approx 7.4$
- The suppressed decay receives contributions from  $\Lambda_b^0 \rightarrow [K^+ \pi^-]_{D^0} p K^-$  and  $\Lambda_b^0 \rightarrow [K^+ \pi^-]_{\bar{D}^0} p K^-$ , leading to interference between them, CP violation and  $\gamma$  sensitivity [ADS method, PRL 78 (1997) 3257, PRD 63 (2001) 036005]
- Goals of this analysis:
  - ▶ observation of suppressed decay  $\Lambda_b^0 \rightarrow [K^+ \pi^-]_{D^0} p K^-$
  - ▶ measurement of ratio  $R = \frac{\mathcal{B}(\Lambda_b^0 \rightarrow [K^- \pi^+]_{D^0} p K^-)}{\mathcal{B}(\Lambda_b^0 \rightarrow [K^+ \pi^-]_{D^0} p K^-)}$
  - ▶ measurement of asymmetry  $A = \frac{\mathcal{B}(\Lambda_b^0 \rightarrow [K^+ \pi^-]_{D^0} p K^-) - \mathcal{B}(\bar{\Lambda}_b^0 \rightarrow [K^- \pi^+]_{\bar{D}^0} \bar{p} K^+)}{\mathcal{B}(\Lambda_b^0 \rightarrow [K^+ \pi^-]_{D^0} p K^-) + \mathcal{B}(\bar{\Lambda}_b^0 \rightarrow [K^- \pi^+]_{\bar{D}^0} \bar{p} K^+)}$
  - ▶ measure R and A in restricted region  $M^2(p K^-) < 5 \text{ GeV}^2$  with enhanced  $\gamma$  sensitivity
- Similar to previous analysis:
  - ▶ study of  $\Lambda_b^0 \rightarrow D p h$ ,  $\Lambda_b^0 \rightarrow \Lambda_c h$  [Phys. Rev. D89 (2014) 032001, LHCb-ANA-2012-096]
  - ▶ AmAn  $\Lambda_b^0 \rightarrow D p \pi^-$  [JHEP 1705 (2017) 030, LHCb-ANA-2015-072]
- All analysis ingredients (selection, fits etc.) developed without using suppressed data events

# BDT selection (TMVA)

- Using ROOT TMVA BDT (adaptive boosting with default settings), 16 variables (distributions in backup):

| Variable                       | $\Lambda_b^0$ | $D^0$ | $\pi_D$ | $K_D$ | $p$ | $K$ |
|--------------------------------|---------------|-------|---------|-------|-----|-----|
| $\chi^2$ of DTF                | x             |       |         |       |     |     |
| $\chi^2$ of vertex             | x             | x     |         |       |     |     |
| $p_T$ asymmetry in cone of 1.5 | x             |       |         |       |     |     |
| $c\tau$ significance           | x             | x     |         |       |     |     |
| IP $\chi^2$                    | x             | x     |         |       |     |     |
| $\Delta LL_{K\pi}$             |               |       | x       | x     |     | x   |
| proNN                          |               |       |         |       | x   |     |
| $p_T$                          | x             | x     | x       | x     |     |     |

► checked also MLP method: similar results

► checked separate training in Run1 and Run2: similar results

- Training samples:

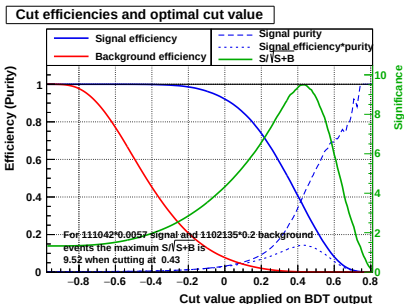
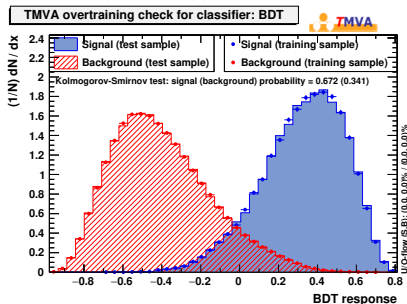
► signal: MC  $\Lambda_b^0 \rightarrow (K^- \pi^+)_{D^0} p K^-$

► background: DATA  $\Lambda_b^0$  mass sidebands  $5300 < M < 5400 \parallel 5900 < M < 6000$  MeV

- Choosing working point (cut on BDT classifier):

► optimise significance ( $S/\sqrt{S+B}$ ) for suppressed decay, assuming  $R = \text{FAV}/\text{SUP} = 7.4$

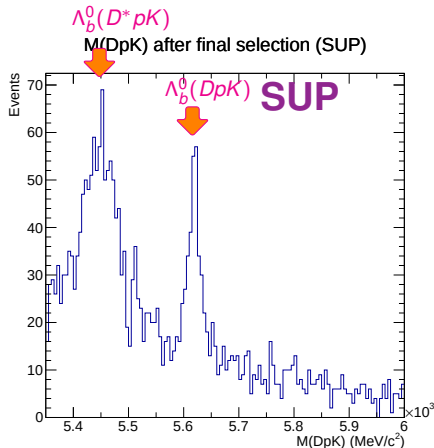
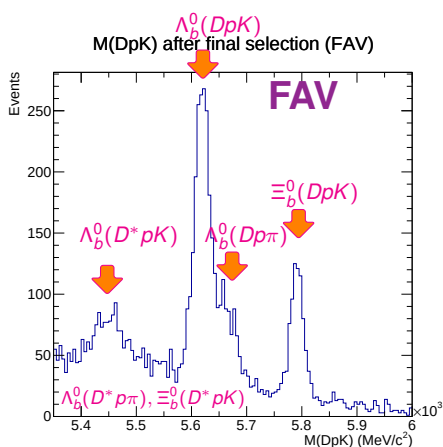
→ expecting suppressed signal  $\sim 200 \pm 20$  ( $S/\sqrt{S+B} \sim 10$ , or 10% stat. unc.)



# Final selection

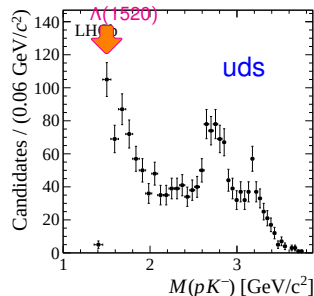
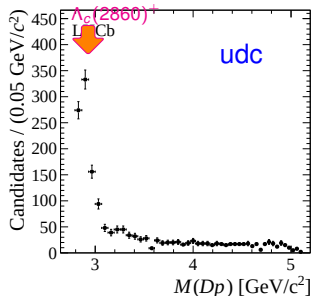
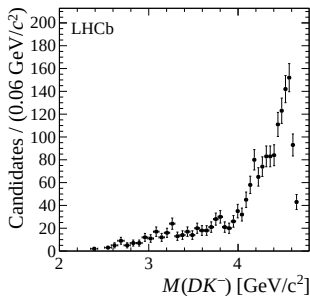
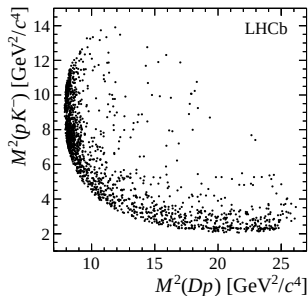
Further cuts applied to suppress charmless background  $\Lambda_b^0 \rightarrow ph^- h^+ h^-$ :

- significance of D decay time  $> 2.5$
- $|M([K\pi]_D) - m(D)| < 15 \text{ MeV}$

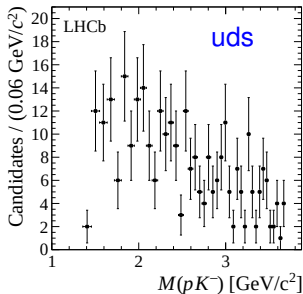
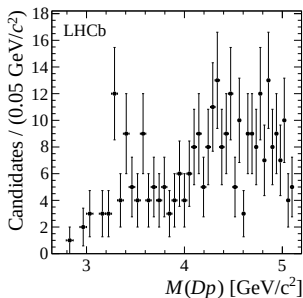
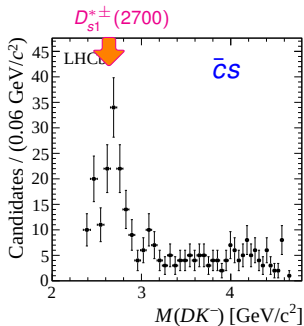
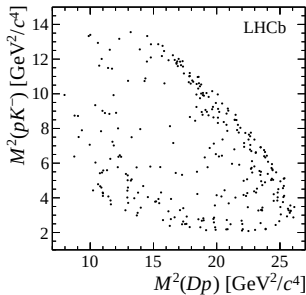


No  $\Xi_b^0 \rightarrow DpK(\pi)$ ,  $\Lambda_b^0 \rightarrow D^{(*)}p\pi$  expected in the suppressed decay (totally negligible)

# Dalitz plot: favoured decay



# Dalitz plot: suppressed decay

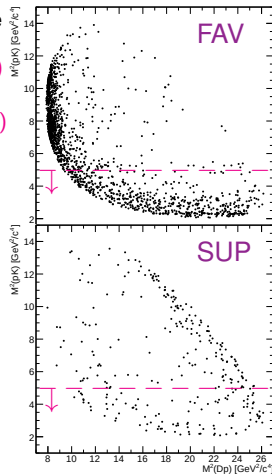
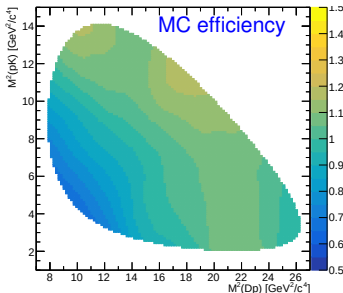


# Efficiency correction and measurement of $R$ and $A$ in full phase space

- Efficiency includes reconstruction, selection and kinematic acceptance
- Relative efficiency is determined across the Dalitz plot variables (with  $D^0$  and  $\Lambda_b^0$  masses constrained), assuming unpolarised  $\Lambda_b^0$ , and parametrised using kernel-based PDF (Meerkat)
  - ▶ overall, relative efficiency is flat, varying within 0.7–1.2
- s-weights from invariant mass fits and efficiency corrections are

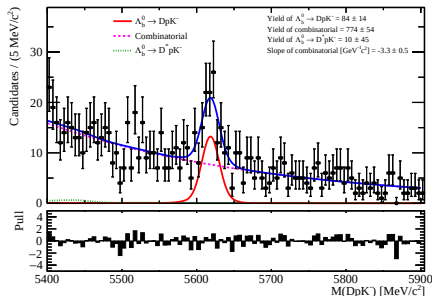
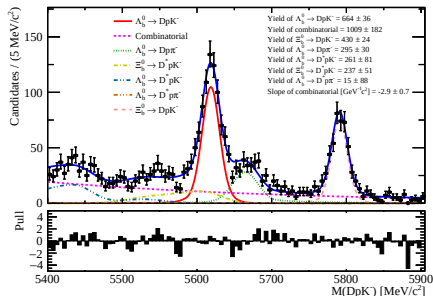
$$\text{▶ } R = \frac{\mathcal{B}(\Lambda_b^0 \rightarrow [K^- \pi^+]_D \rho K^-)}{\mathcal{B}(\Lambda_b^0 \rightarrow [K^+ \pi^-]_D \rho K^-)} = 7.14 \pm 0.79(\text{stat.})_{-0.33}^{+0.43}(\text{syst.})$$

$$\text{▶ } A = \frac{N_{\text{SUP}}(\Lambda_b^0) - N_{\text{SUP}}(\overline{\Lambda_b^0})}{N_{\text{SUP}}(\Lambda_b^0) + N_{\text{SUP}}(\overline{\Lambda_b^0})} = 0.119 \pm 0.088(\text{stat.})_{-0.026}^{+0.024}(\text{syst.})$$



# Measurement of $R$ and $A$ in restricted phase space $M^2(pK) < 5 \text{ GeV}^2$

- sensitivity to CP-violation requires interference between amplitudes involving intermediate  $D^0$  and  $\bar{D}^0$  mesons in a region of the Dalitz plot
- $M^2(pK) < 5 \text{ GeV}^2$  is chosen which contains the observed  $\Lambda(1520)$  contribution, and balances sensitivity and statistics
- the same measurement procedure is used, except
  - ▶ BDT is trained in the  $M^2(pK) < 5 \text{ GeV}^2$  region
  - ▶ another parametrised PDF (bifurcated Gaus + CB) for  $\Lambda_c^0 \rightarrow D^* pK$  is used (backup)



$$R = 8.55 \pm 1.47(\text{stat.})_{-0.33}^{+0.38}(\text{syst.})$$

$$A = 0.01 \pm 0.16(\text{stat.})_{-0.024}^{+0.026}(\text{syst.})$$

## Systematic uncertainties in full phase space: summary

|                                         | $R$            | $A$              |
|-----------------------------------------|----------------|------------------|
| Central value                           | 7.14           | 0.119            |
| Central value w/o efficiency correction | 5.97           | 0.101            |
| Statistical uncertainty                 | $\pm 0.79$     | $\pm 0.088$      |
| Systematic uncertainties                |                |                  |
| fit model                               | +0.37<br>-0.15 | +0.000<br>-0.011 |
| efficiency corrections                  | +0.21<br>-0.24 | +0.010<br>-0.008 |
| PID                                     | +0.08<br>-0.16 | +0.001<br>-0.002 |
| charmless background                    | $\pm 0.08$     |                  |
| double misID background                 | $\pm 0.005$    |                  |
| single misID background                 | $\pm 0.001$    |                  |
| L0 TOS trigger efficiency               | $\pm 0.03$     | $\pm 0.001$      |
| $\Lambda_b^0$ production asymmetry      |                | $\pm 0.015$      |
| $p$ detection asymmetry                 |                | $\pm 0.015$      |
| $\pi$ detection asymmetry               |                | $\pm 0.005$      |
| Total systematic uncertainty            | +0.43<br>-0.33 | +0.024<br>-0.026 |



# Systematic uncertainties in restricted phase space: summary

|                                    | $R_{M^2(pK^-) < 5 \text{ GeV}^2/c^4}$ | $A_{M^2(pK^-) < 5 \text{ GeV}^2/c^4}$ |
|------------------------------------|---------------------------------------|---------------------------------------|
| Central value                      | 8.55                                  | 0.007                                 |
| Statistical uncertainty            | $\pm 1.47$                            | $\pm 0.158$                           |
| Systematic uncertainties           |                                       |                                       |
| fit model                          | +0.27<br>-0.02                        | +0.011<br>-0.007                      |
| efficiency corrections             | +0.25<br>-0.28                        | +0.010<br>-0.008                      |
| PID                                | +0.09<br>-0.19                        | +0.001<br>-0.002                      |
| charmless background               | $\pm 0.10$                            |                                       |
| double misID background            | $\pm 0.006$                           |                                       |
| single misID background            | $\pm 0.001$                           |                                       |
| L0 TOS trigger efficiency          | $\pm 0.03$                            | $\pm 0.001$                           |
| $\Lambda_b^0$ production asymmetry |                                       | $\pm 0.015$                           |
| $p$ detection asymmetry            |                                       | $\pm 0.015$                           |
| $\pi$ detection asymmetry          |                                       | $\pm 0.005$                           |
| Total systematic uncertainty       | +0.38<br>-0.33                        | +0.026<br>-0.024                      |