

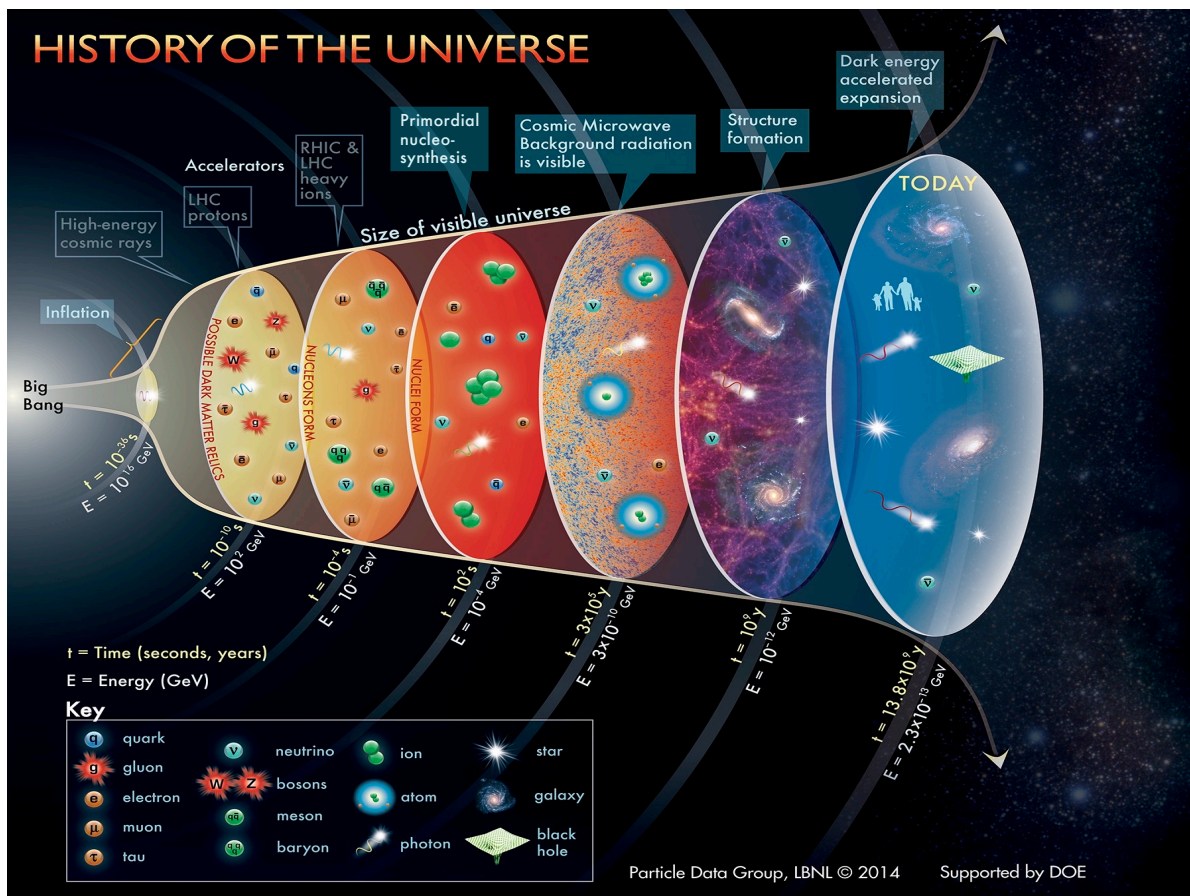
Neutrinos in cosmology



Yvonne Y. Y. Wong, UNSW Sydney

Neutrino Frontiers Training Week, Galileo Galilei Institute, Florence,
June 25 – 28, 2024

Or, how neutrinos fit into this grand scheme?



The grand lecture plan...

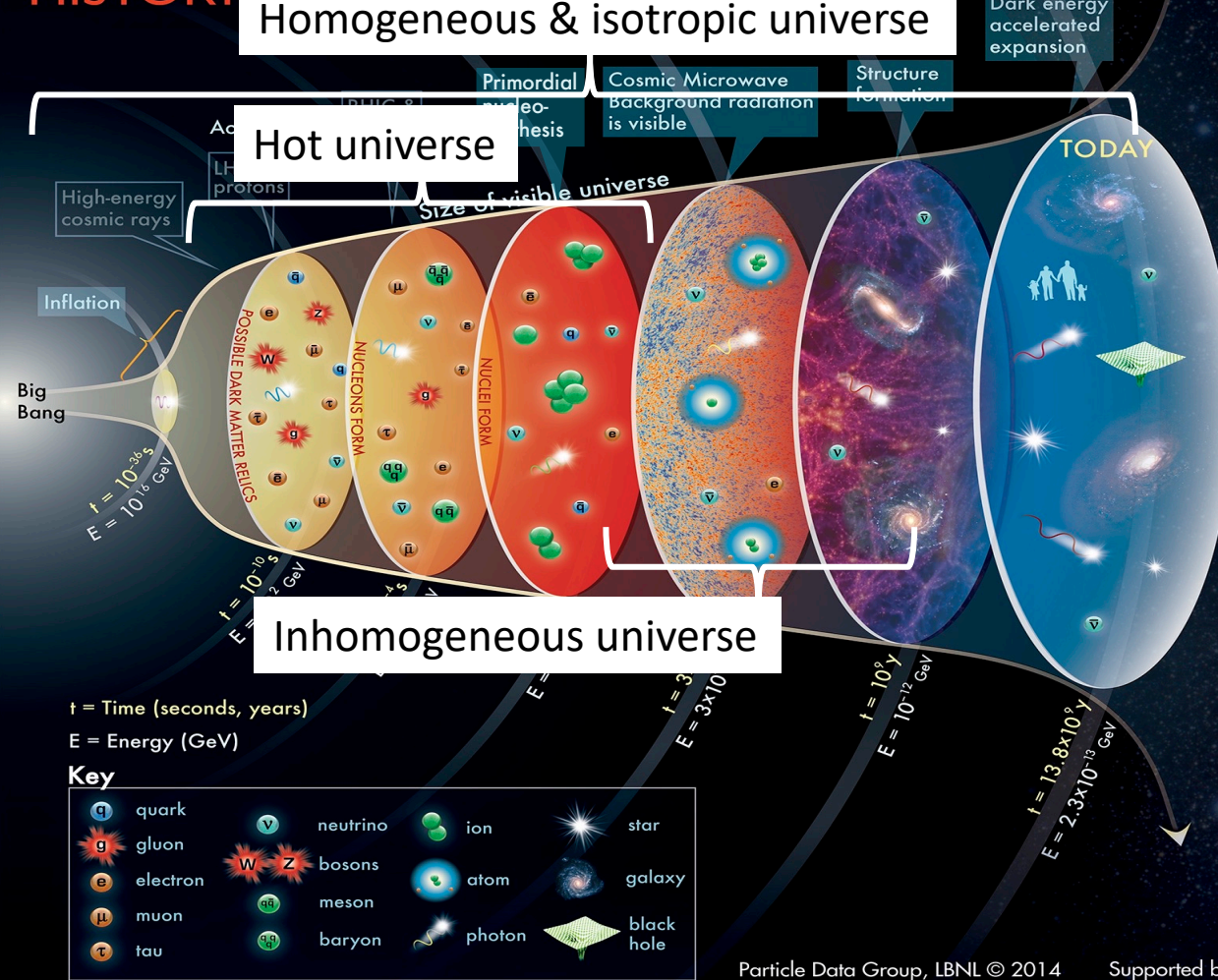
Part 1: Neutrinos in homogeneous cosmology

1. The homogeneous and isotropic universe
2. The hot universe and the cosmic neutrino background
3. Precision $C\nu B$

Part 2: Neutrinos in inhomogeneous cosmology

1. Theory of inhomogeneities
2. Neutrinos and structure formation
3. Relativistic neutrino free-streaming and non-standard interactions

HISTORY OF THE UNIVERSE



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Useful references...

- **Textbook**

- J. Lesgourgues, G. Mangano, G. Miele & S. Pastor, *Neutrino cosmology*

- **Lecture notes**

- Baumann, *Cosmology* (many different versions)
- Seljak, *Lectures on dark matter*, ICTP Lect. Notes Ser. **4** (2001) 33
- Hu, *Covariant linear perturbation formalism*

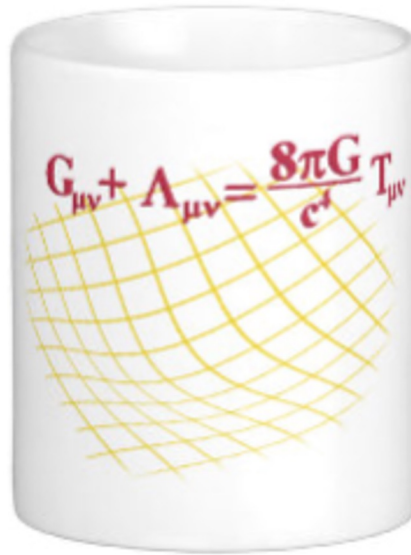
- **Reviews**

- A. D. Dolgov, *Neutrinos in cosmology*, Phys. Rept. **370** (2002) 333 [hep-ph/0202122]
- J. Lesgourgues & S. Pastor, *Massive neutrinos and cosmology*, Phys. Rept. **429** 307 [astro-ph/0603494]

Part 1: Neutrinos in homogeneous cosmology

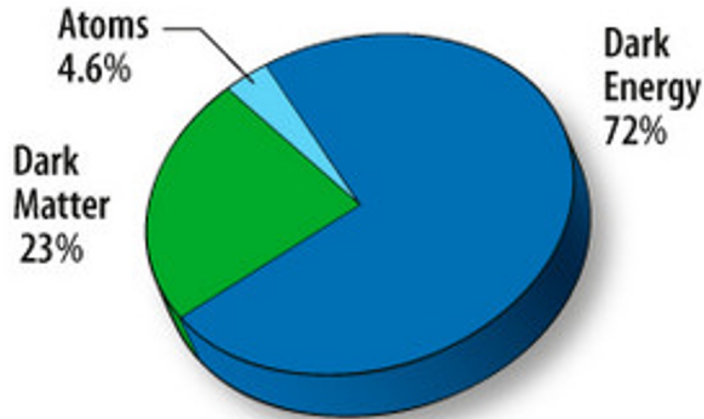
1. The homogeneous and isotropic universe
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1. The homogeneous and isotropic universe...



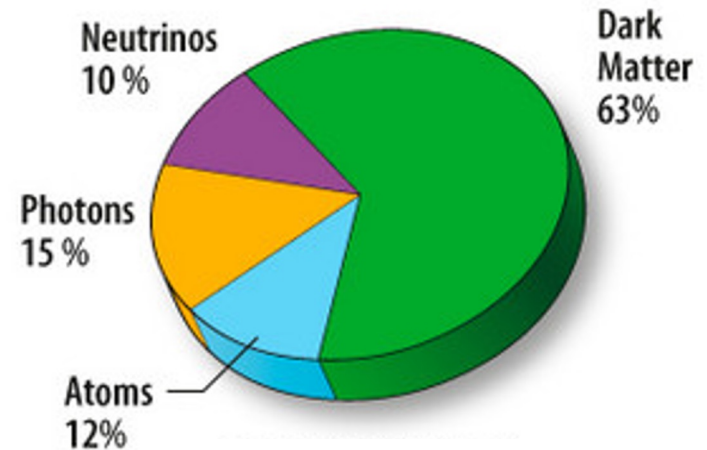
The concordance flat Λ CDM model...

The **simplest** model consistent with **present observations**.



Composition today

Plus flat spatial geometry+initial conditions
from single-field inflation



13.4 billion years ago
(at photon decoupling)

FLRW universe...

FLRW = Friedmann-Lemaître-Robertson-Walker

Cosmological principle: our universe is spatially **homogeneous** and **isotropic** on sufficiently **large length scales** (i.e., we are not special).

- Homogeneous → same everywhere
- Isotropic → same in all directions
- Sufficiently large scales → $> O(100)Mpc$



Isotropic but
not homogeneous

Homogeneous but
not isotropic

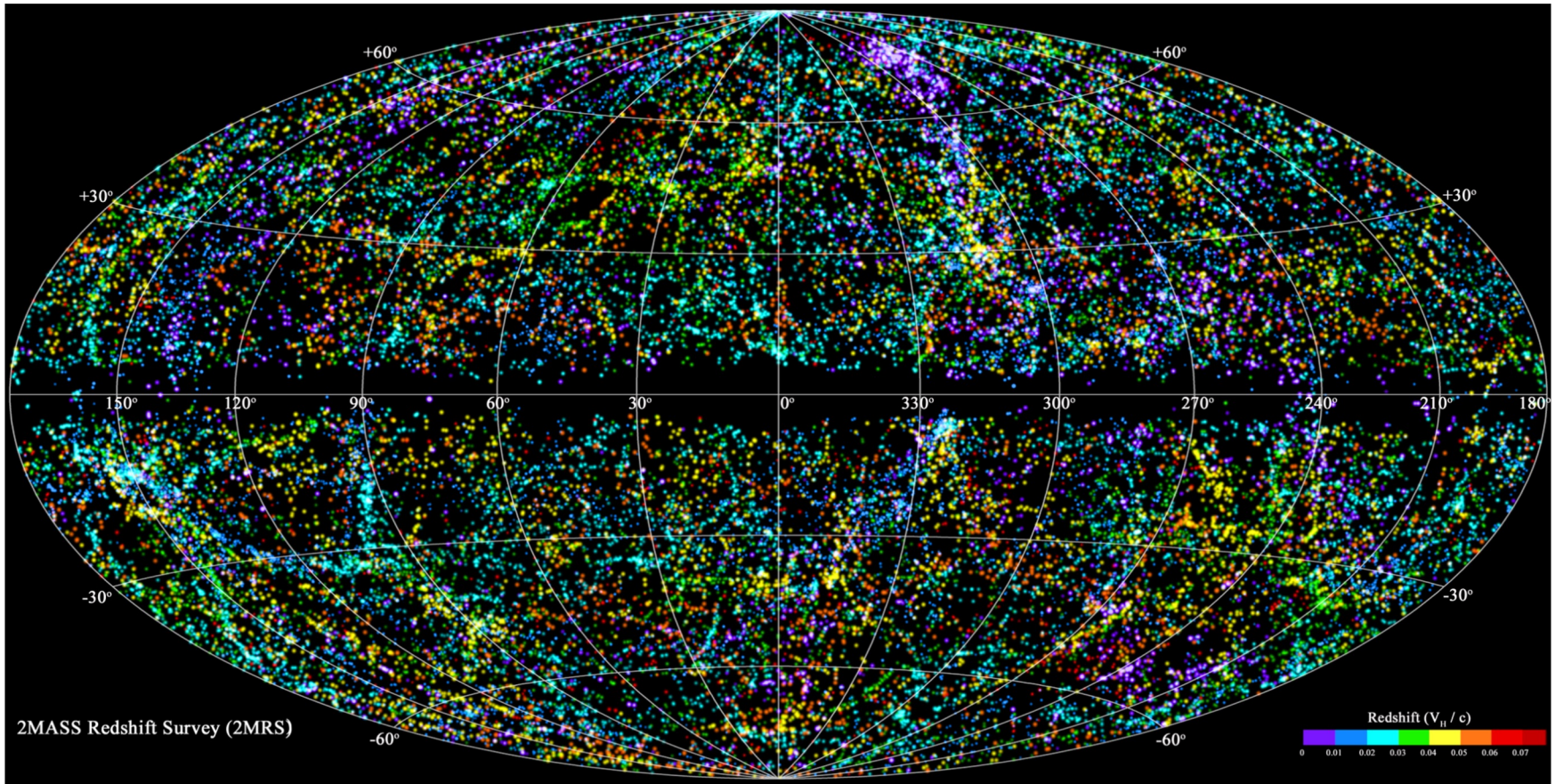
Homogeneous
and isotropic

FLRW universe...

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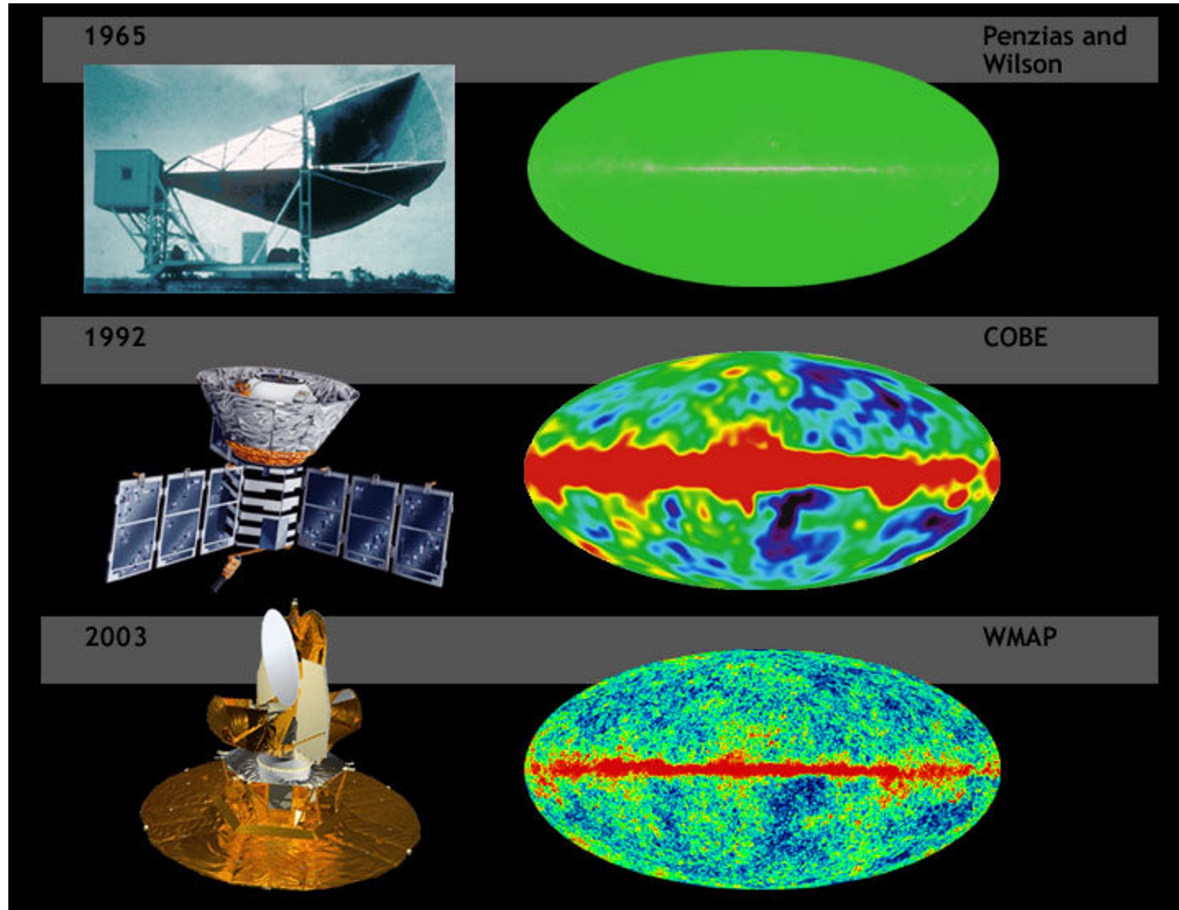
- Homogeneous → same everywhere
- Isotropic → same in all directions
- Sufficiently large scales → $> O(100)Mpc$
- $1 \text{ pc} = 1 \text{ parsec} = 3.0856 \times 10^{18} \text{ cm}$
 - Distance from Sun to Galactic centre $\sim 10 \text{ kpc}$
 - Distance to the Virgo cluster $\sim 20 \text{ Mpc}$
 - Size of the visible universe $\sim O(10 \text{ Gpc})$

Evidence for large-scale homogeneity and isotropy:



Local galaxy distribution as measured by the 2Mass Redshift Survey

Evidence for large-scale homogeneity and isotropy:



← 2.73 K background



(1978)

← $\sim 10^{-5}$ temperature fluctuations
($\sim 7^\circ$ resolution)

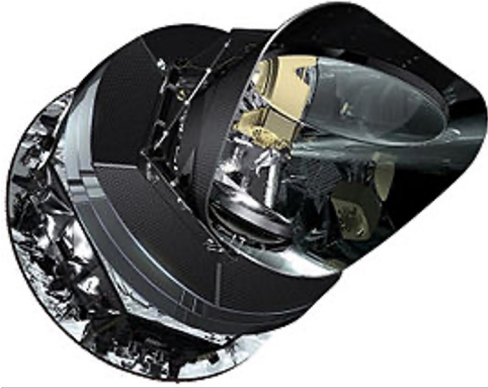


(2006)

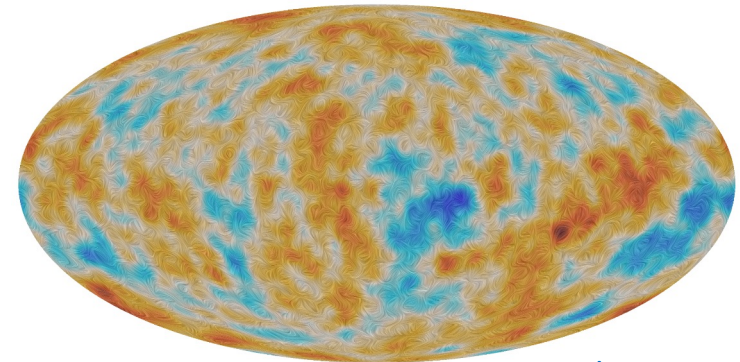
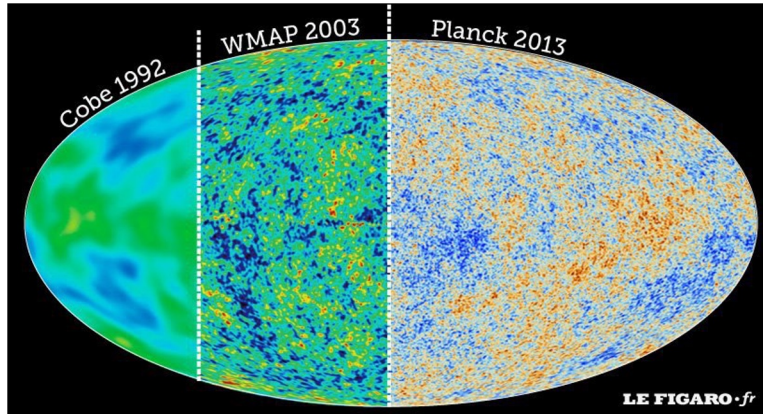
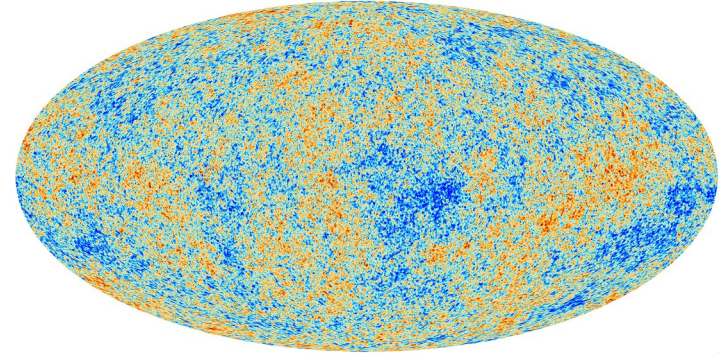
← $\sim 0.2^\circ$ resolution

Cosmic microwave background (temperature)

State-of-the-art: **Temperature and polarisation fluctuations** in the **cosmic microwave background** as seen by Planck. (Latest results 2018)



Temperature



Polarisation

FLRW universe...

Homogeneity and isotropy imply **maximally symmetric 3-spaces** (3 translational and 3 rotational symmetries).

- A spacetime geometry that satisfies these requirements is the Friedmann-Lemaître-Robertson Walker (FLRW) geometry:

$$ds^2 = -dt^2 + a^2(t) \left[\frac{dr^2}{1 - Kr^2} + r^2(d\theta^2 + \sin^2\theta d\phi^2) \right]$$

a(t) = scale factor

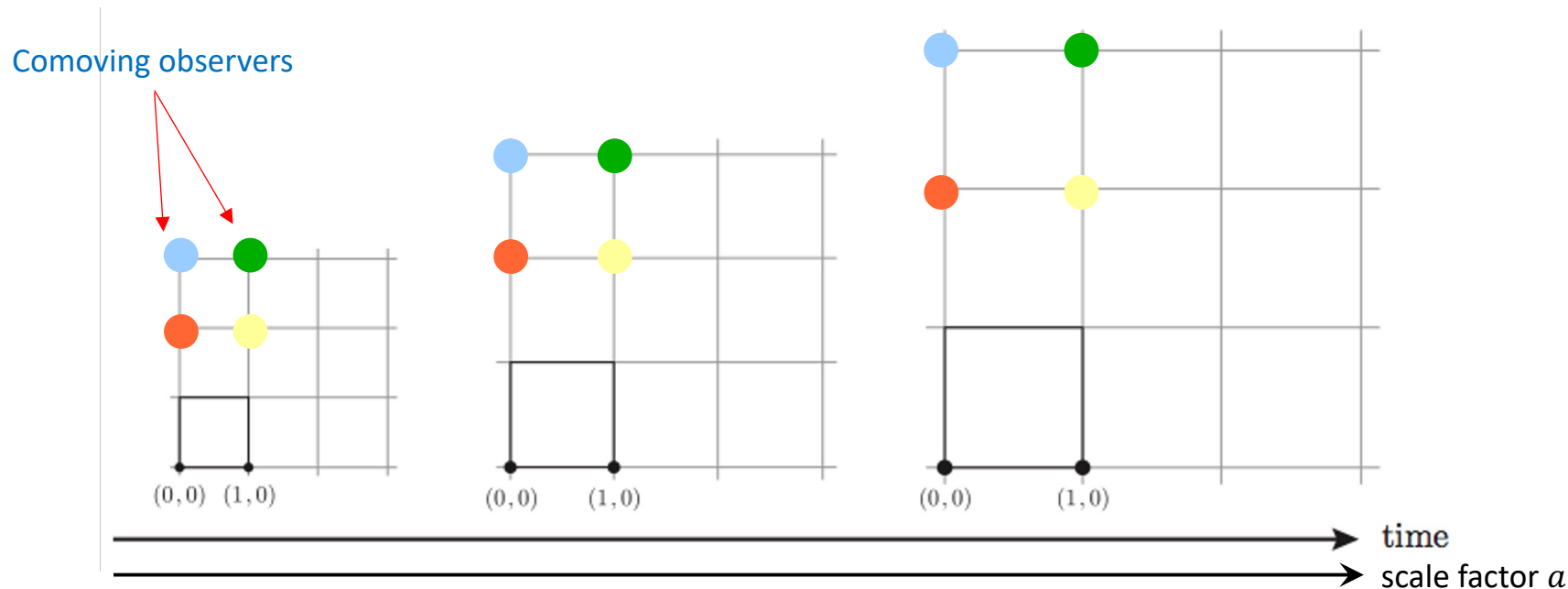
Spatial geometry
 $K = -1$ (hyperbolic), 0 (flat), $+1$ (spherical)

FLRW metric

- $\frac{a(t_2)}{a(t_1)}$ = factor by which a physical length scale increases between time t_1 and t_2 .

An observer at rest with the FLRW spatial coordinates is a **comoving observer**.

$$ds^2 = -dt^2 + a^2(t) \left[\frac{dr^2}{1 - Kr^2} + r^2(d\theta^2 + \sin^2\theta d\phi^2) \right]$$

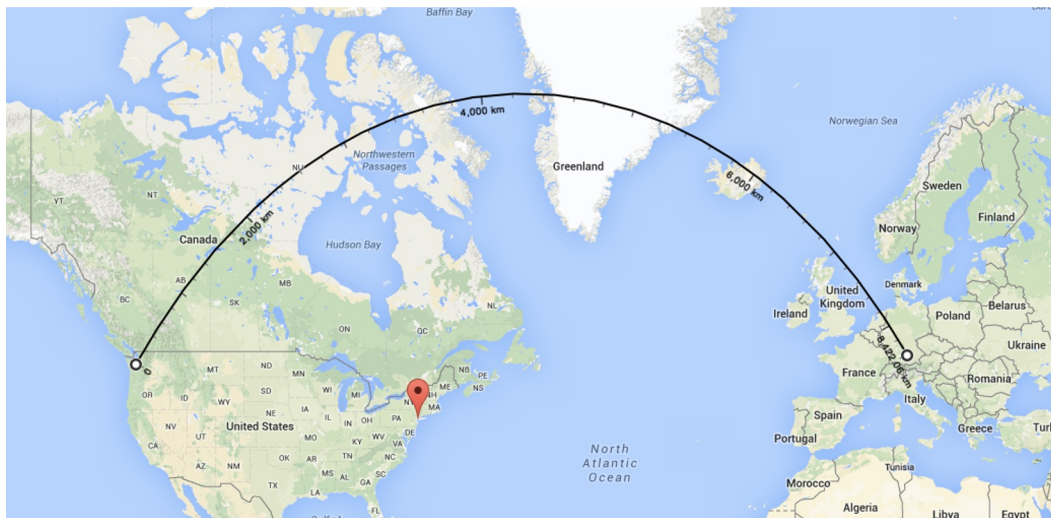
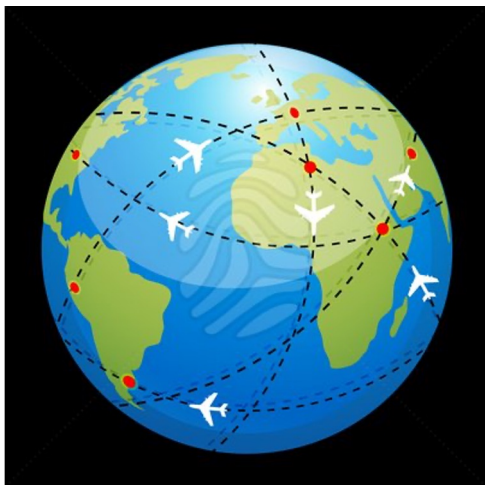


→ The **physical distance** between two comoving observers increases with time, but the **coordinate distance between them remains unchanged**.

Geodesics...

In the **absence of other forces**, test particles move on the **geodesics** of a spacetime geometry, i.e., the “straight lines” of a curved spacetime.

- It's like flight paths, which follow (more or less) the geodesics on the surface of the Earth.

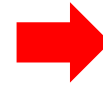


Geodesics and cosmological redshift...

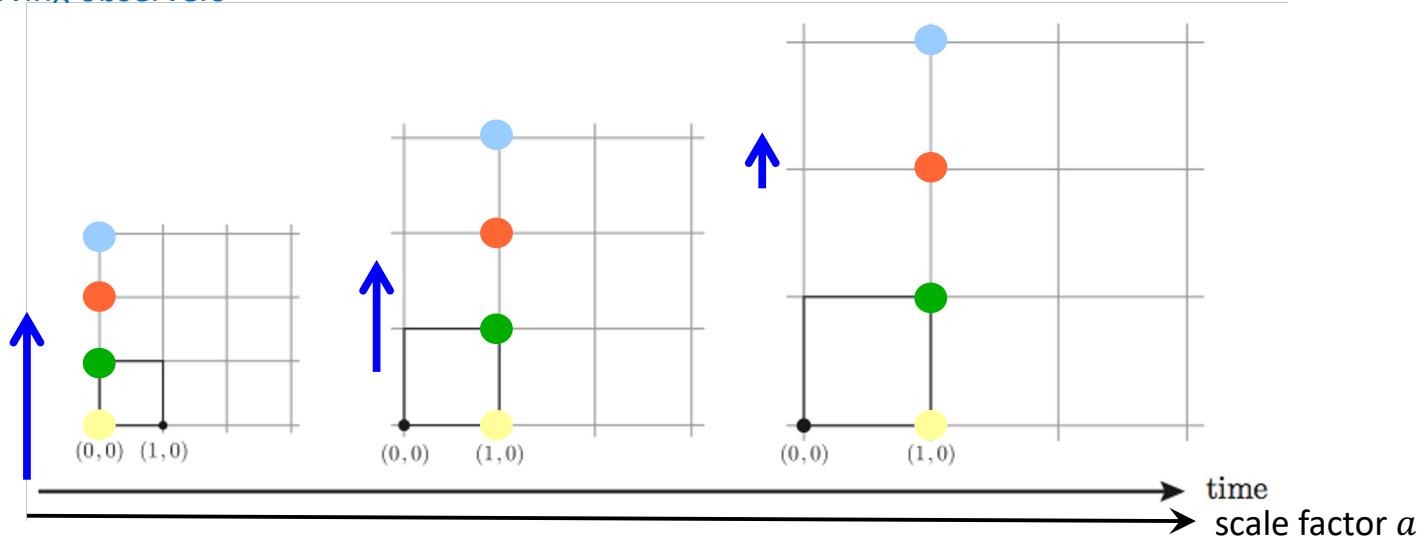
All test particles (massive or massless) moving on geodesics of an FLRW universe suffer **cosmological redshift** of its momentum:

Momentum of a point particle measured by comoving observers

$$|\vec{p}| \propto a^{-1}$$



Momentum of a particle decreases with expanding space.



Or in terms of wavelength: $\lambda \propto 1/|\vec{p}|$

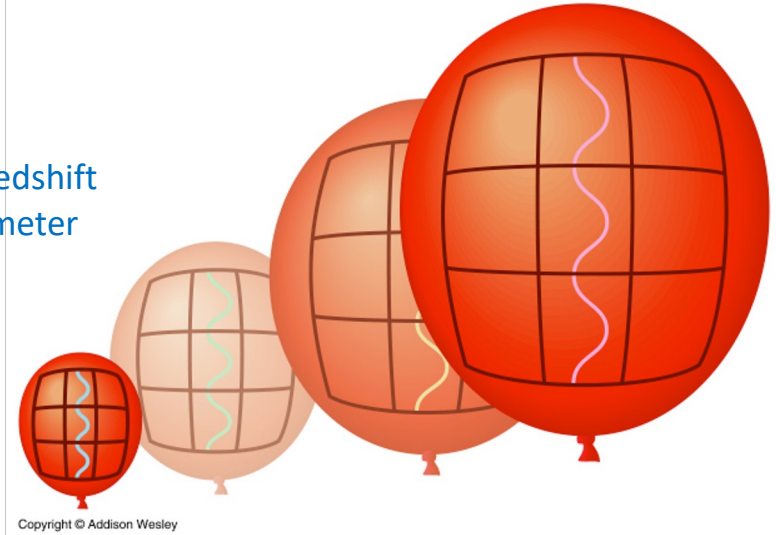
Wavelength measured
by comoving observer

$t_0 = \text{today}$

$z = \text{Redshift}$
parameter

$$\frac{\lambda_0}{\lambda_e} = \frac{a(t_0)}{a(t_e)} \equiv 1 + z$$

Wavelength of particle
(usually photon) emitted
by comoving emitter



- A particle emitted at a very early time t when the scale factor a was very small would be observed today with a very large redshift z

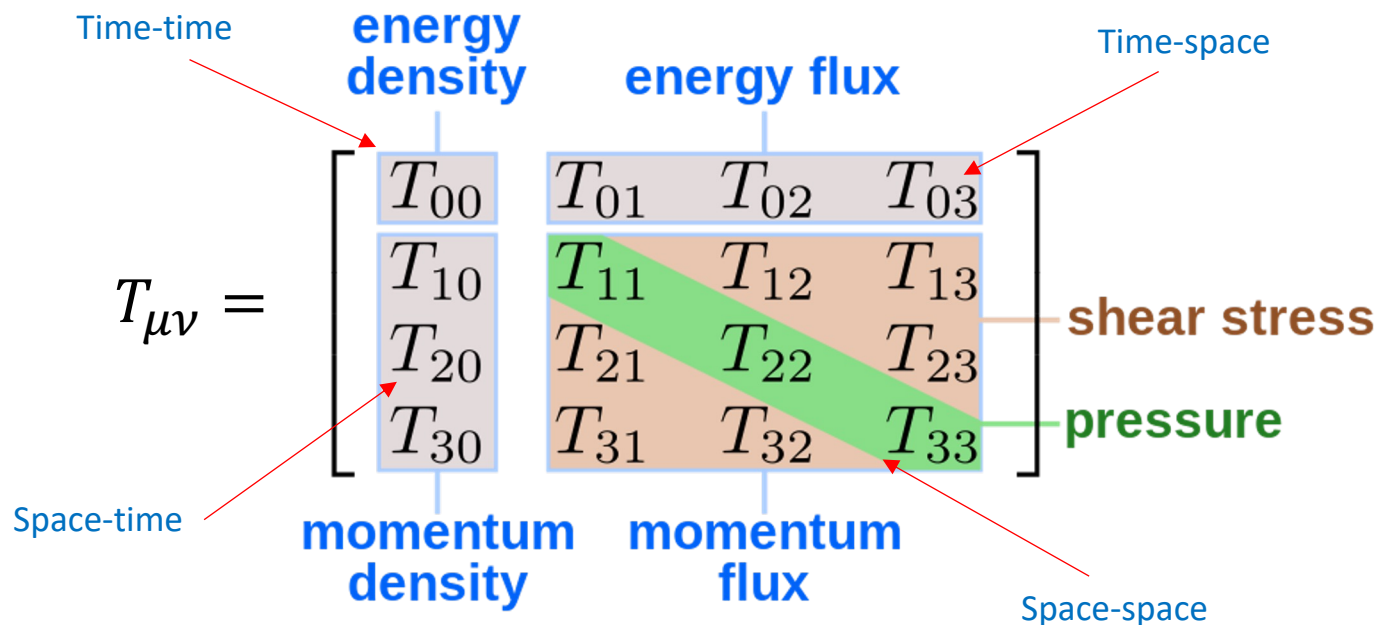
→ There is a one-to-one correspondence between t , a , and z :



→ We use them interchangeably as a measure of time.

Matter/energy content (stuff in the universe).

In GR, the **stress-energy tensor** $T_{\mu\nu}$ encodes the matter/energy content.



Matter/energy content (stuff in the universe).

In GR, the **stress-energy tensor** $T_{\mu\nu}$ encodes the matter/energy content.

- Homogeneity and isotropy imply **only one viable form**:

ρ_α = Energy density
(energy per unit volume)
of substance α in its
rest frame

$$T^\mu_{\nu(\alpha)} = \begin{pmatrix} -\rho_\alpha(t) & 0 & 0 & 0 \\ 0 & P_\alpha(t) & 0 & 0 \\ 0 & 0 & P_\alpha(t) & 0 \\ 0 & 0 & 0 & P_\alpha(t) \end{pmatrix}$$

P_α = Pressure of
substance α in
its rest frame

- $\rho(t)$ and $P(t)$ can depend on time, but **not** on the spatial coordinates.

→ How do they evolve with time?

Matter/energy content: conservation law...

Local conservation of energy-momentum in an FLRW universe implies:

Energy density \rightarrow

$$\frac{d\rho_\alpha}{dt} + 3\frac{\dot{a}}{a}(\rho_\alpha + P_\alpha) = 0$$

Pressure \rightarrow

Continuity equation
(from $\nabla_\mu T_{(\alpha)}^{\mu\nu} = 0$)

- There is **one such continuity equation for each substance α** .
- We need in addition to specify a **relation between $\rho(t)$ and $P(t)$** , i.e., the **equation of state** of the substance α , which is a property of the substance.

- It's common to use an **equation of state parameter w** : $w_\alpha(t) \equiv \frac{P_\alpha(t)}{\rho_\alpha(t)}$

- Assuming a constant w : $\rho_\alpha(t) \propto a^{-3(1+w_\alpha)}$



How energy density evolves with the scale factor.

Matter/energy content: what's there?

$$\rho_\alpha(t) \propto a^{-3(1+w_\alpha)}$$

- **Non-relativistic matter**

- Atoms (or constituents thereof)
- Dark matter (does not emit light but feels gravity); GR people call it “dust”

$$w_m \simeq 0$$

$$\Rightarrow \rho_m \propto a^{-3}$$

Volume expansion

- **Ultra-relativistic radiation**

- Photons (main the CMB)
- Relic neutrinos (at early times at least)
- Gravitational waves

$$w_r = 1/3$$

$$\Rightarrow \rho_r \propto a^{-4}$$

Volume expansion
+ momentum redshift

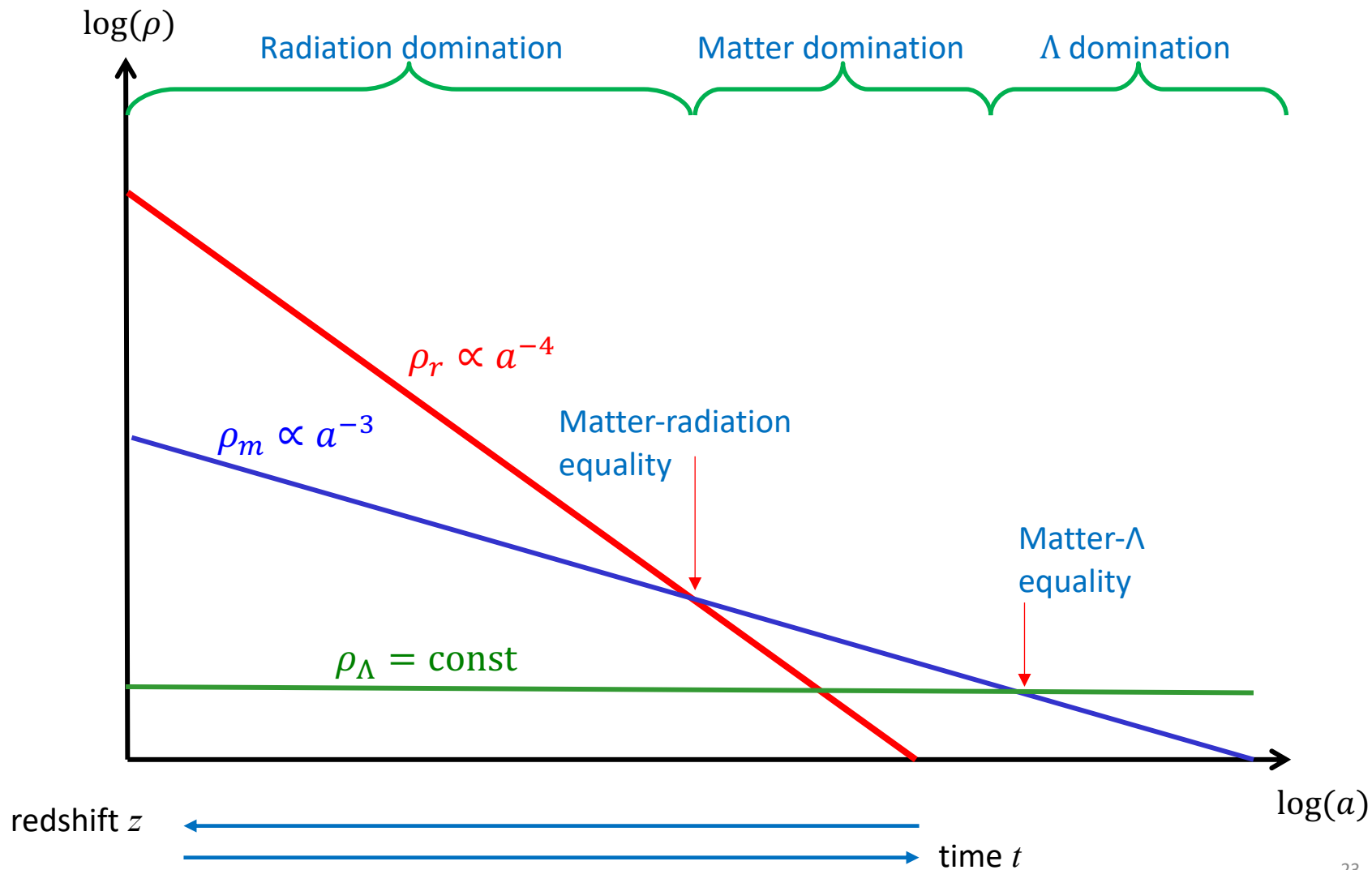
- **Other funny things**

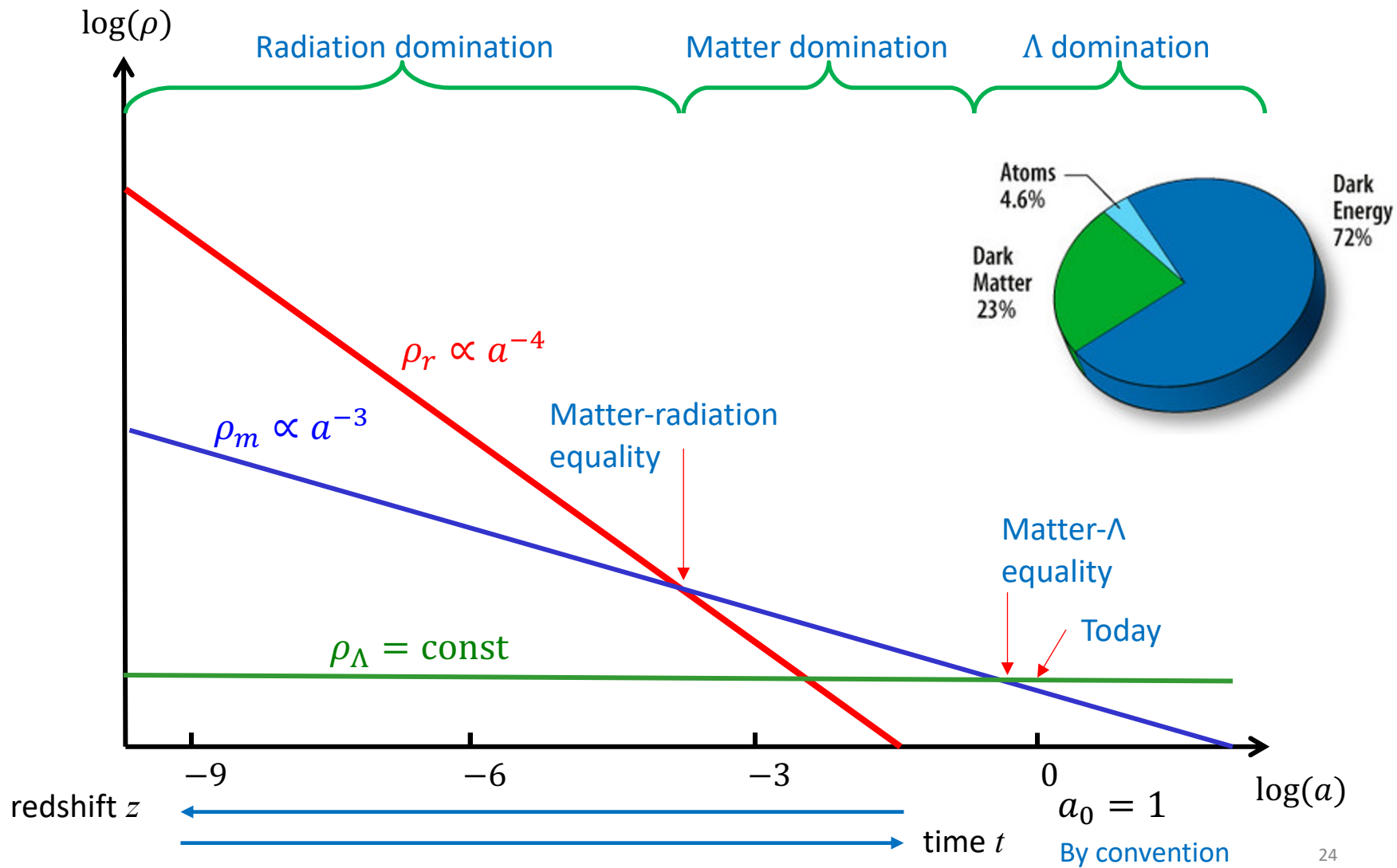
- **Cosmological constant/vacuum energy**
- ??

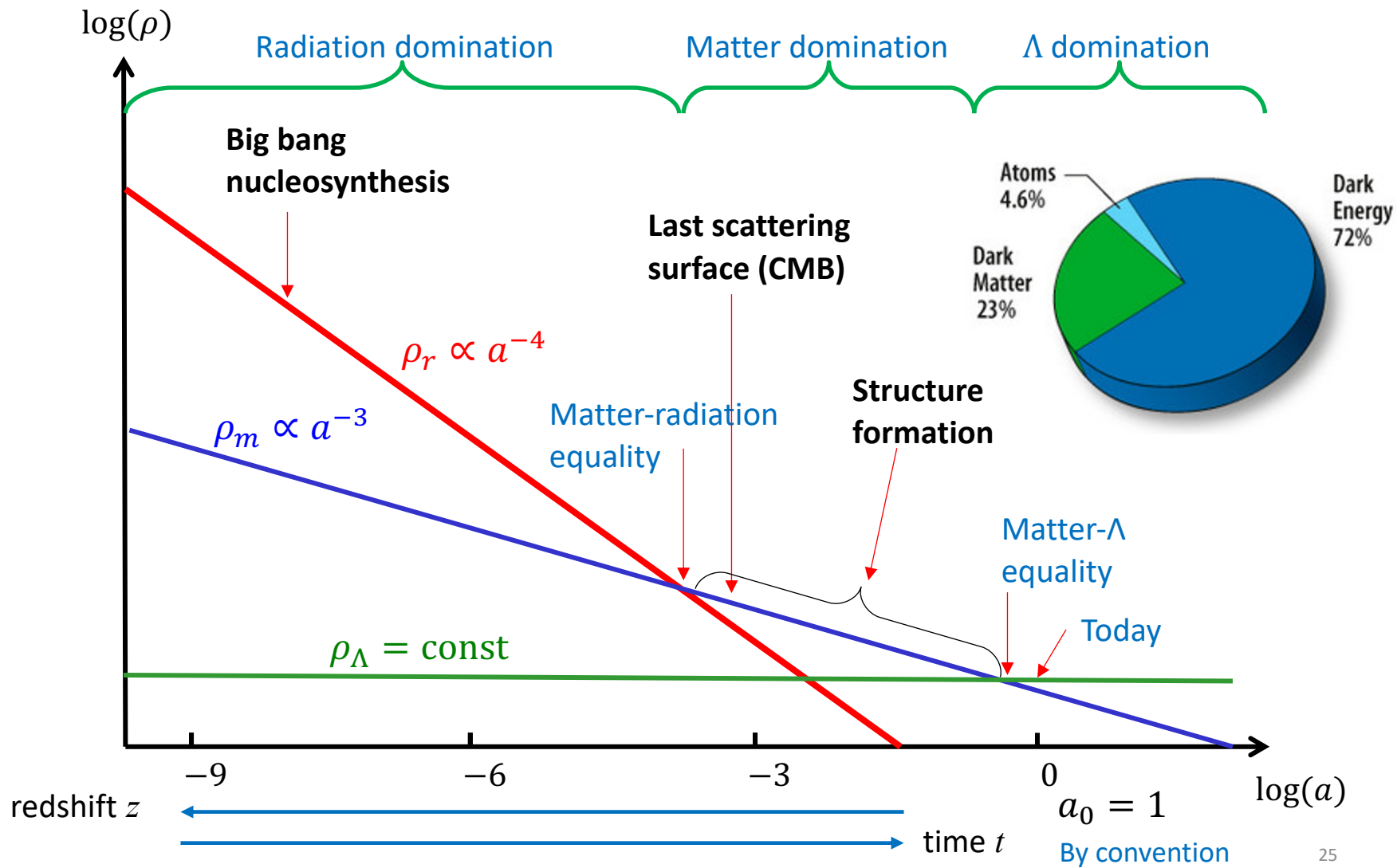
$$w_\Lambda = -1$$

$$\Rightarrow \rho_\Lambda \propto \text{constant}$$

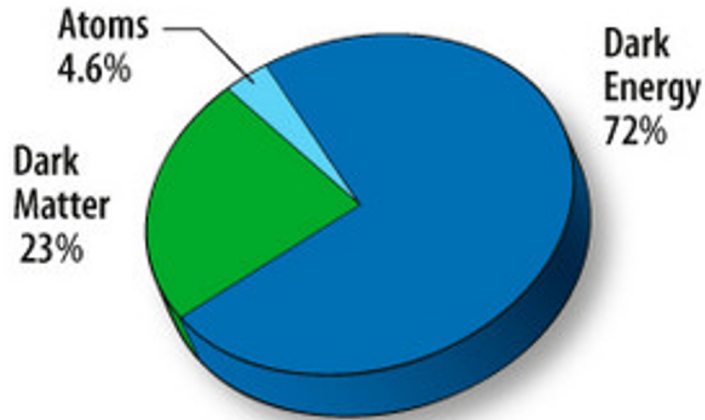
More space,
more energy



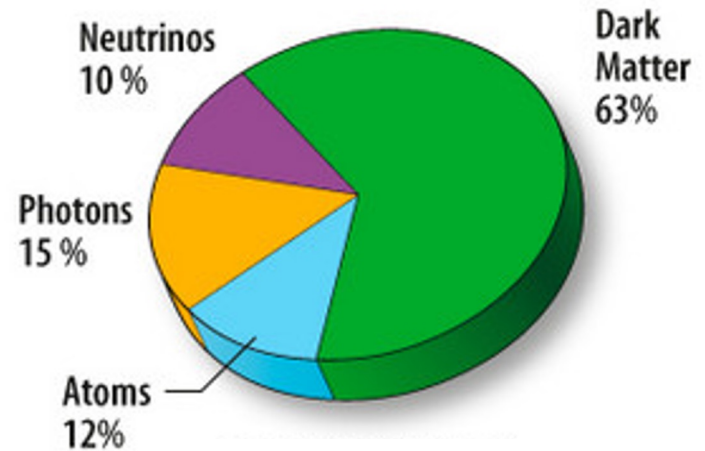




Different evolution for different forms of energy densities means that radiation dominated in the early universe, while dark energy was unimportant.



Composition today



13.4 billion years ago
(at photon decoupling)

Friedmann equation...

The Friedmann equation describes the **evolution of the scale factor** $a(t)$.

$$H^2(t) \equiv \left(\frac{\dot{a}}{a}\right)^2 = \frac{8\pi G}{3} \sum_{\alpha} \rho_{\alpha} - \frac{K}{a^2}$$

$H(t)$ = Hubble parameter

G = Gravitational constant

Some over all forms of energy density

Spatial curvature:
 $K = 0, +1, -1$

- The Friedman equation is itself derived from Einstein's equation:

$$R_{\mu\nu} - \frac{1}{2} g_{\mu\nu} R = 8\pi G T_{\mu\nu}$$

R = Ricci scalar and tensor
(nonlinear functions of the
2nd derivative of the
spacetime metric)

Stress-energy tensor

Friedmann equation...

You may also have seen the Friedmann equation in this form:

$$H^2(t) = H^2(t_0)[\Omega_m a^{-3} + \Omega_r a^{-4} + \Omega_\Lambda + \Omega_K a^{-2}]$$

Present-day
reduced energy
density

$$\Omega_\alpha = \frac{\bar{\rho}_\alpha(t_0)}{\rho_{\text{crit}}(t_0)},$$

$$\rho_{\text{crit}}(t) \equiv \frac{3H^2(t)}{8\pi G},$$

$$\Omega_K \equiv -\frac{K}{H^2(t_0)}$$

Critical density

- A **flat universe** means

$$\Omega_K = 0 \quad \longrightarrow \quad \Omega_m + \Omega_r + \Omega_\Lambda \simeq \Omega_m + \Omega_\Lambda = 1$$

Radiation energy density is negligibly small today:

From measuring
the CMB temperature a
and energy spectrum:

$$\Omega_r \sim 10^{-5}$$

Friedmann equation...

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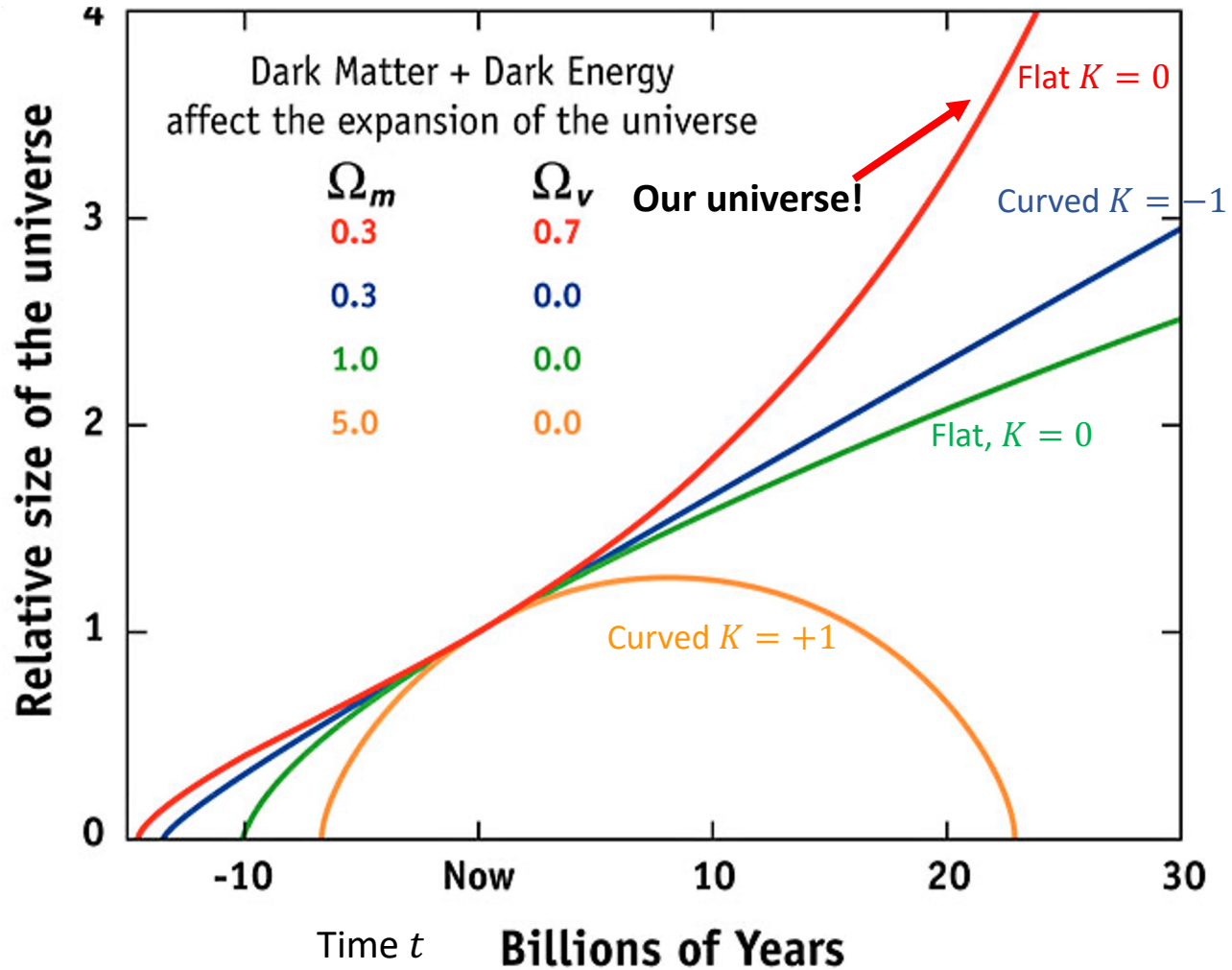
Critical density

- From **current observations**:

$$\Omega_m \sim 0.3, \quad \Omega_\Lambda \sim 0.7, \quad |\Omega_K| < 0.01$$
$$H_0 \equiv H(t_0) \sim 70 \text{ kms}^{-1} \text{Mpc}^{-1}$$

e.g., Aghanim et al.
[Planck collaboration] 2019


Scale
factor
 $a(t)$



Friedmann equation: accelerated expansion...

Yet another form of the Friedmann equation:

Obtained by combining the usual Friedmann equation for $H(t)$ and the continuity equation.

Acceleration of the scale factor 

$$\frac{\ddot{a}}{a} = -\frac{4\pi G}{3} \sum_{\alpha} (\rho_{\alpha} + 3P_{\alpha})$$

Compare with

$$H^2(t) \equiv \left(\frac{\dot{a}}{a}\right)^2 = \frac{8\pi G}{3} \sum_{\alpha} \rho_{\alpha} - \frac{K}{a^2}$$

- **Accelerated or decelerated expansion** happens when:

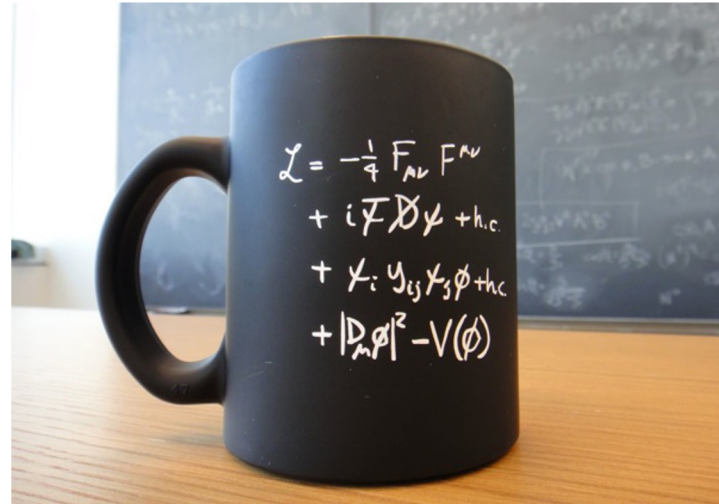
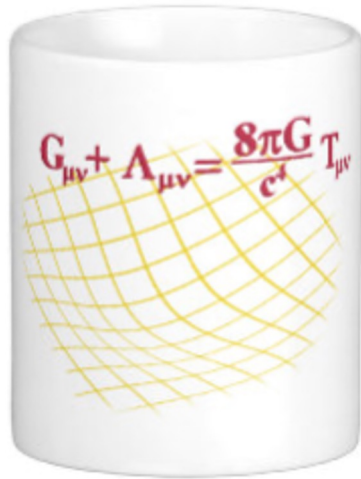
Acceleration $\sum_{\alpha} (\rho_{\alpha} + 3P_{\alpha}) < 0$ 

$$w_{\text{eff}} = \frac{\sum_{\alpha} P_{\alpha}}{\sum_{\alpha} \rho_{\alpha}} < -\frac{1}{3}$$

Deceleration $\sum_{\alpha} (\rho_{\alpha} + 3P_{\alpha}) > 0$ 

$$w_{\text{eff}} = \frac{\sum_{\alpha} P_{\alpha}}{\sum_{\alpha} \rho_{\alpha}} > -\frac{1}{3}$$

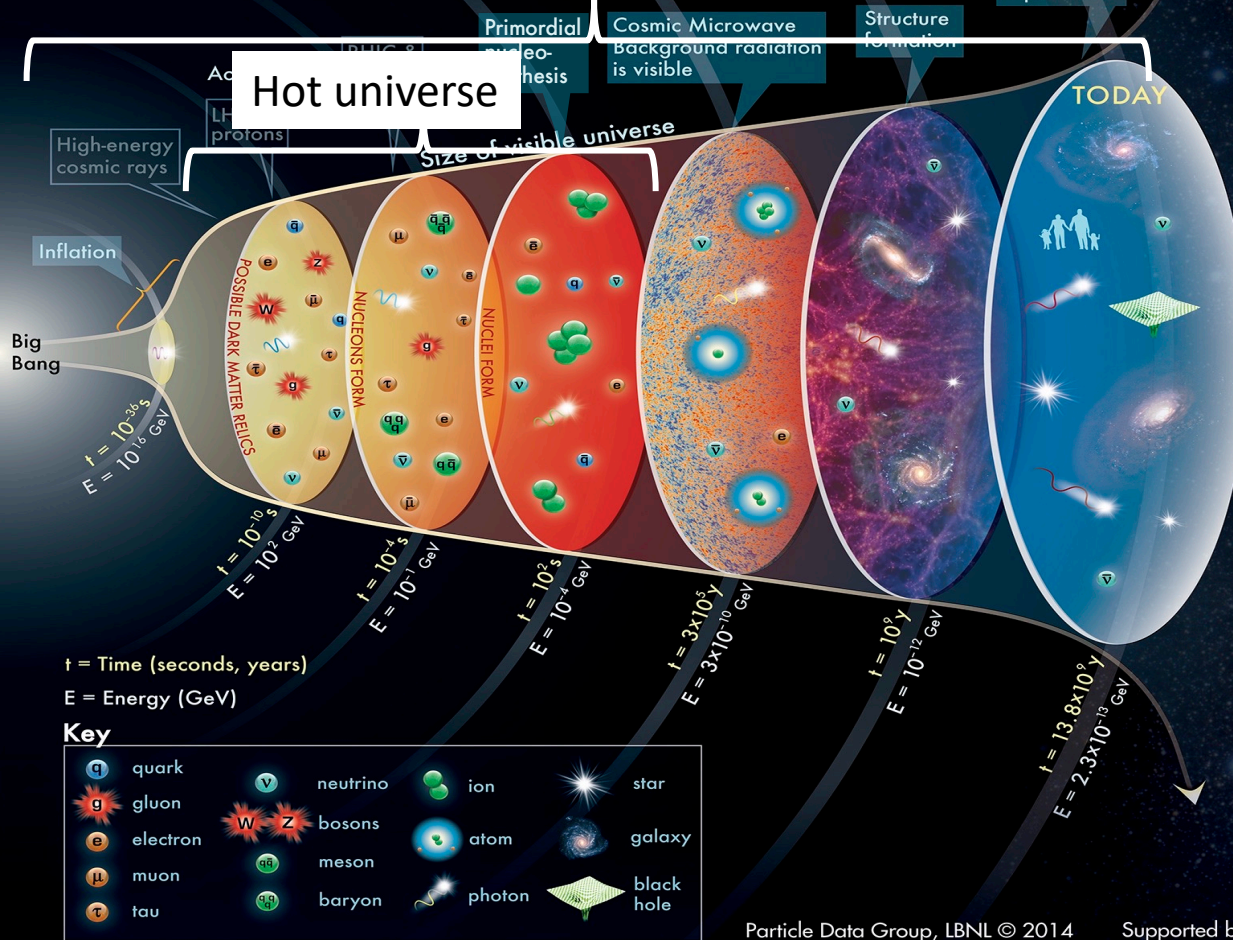
2. The hot universe and the cosmic neutrino background...



HISTORY OF THE UNIVERSE

Homogeneous & isotropic universe

Hot universe



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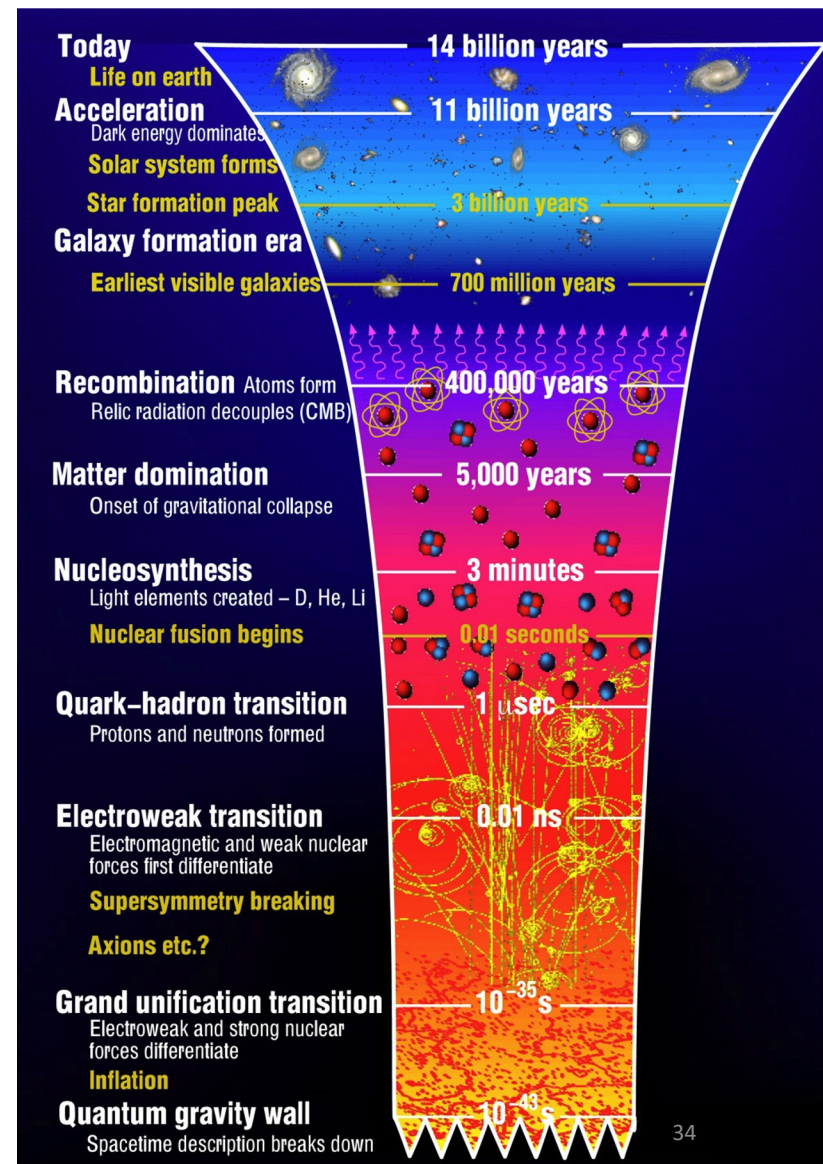
The hot universe...

The early universe was a **very hot and dense place**.

- Particle **interactions** (e.g., scattering) can happen very frequently.
- What interactions are available depends on the particle physics theory.
- But if an **interaction rate** (per particle) far **exceeds the Hubble expansion rate**,

$$\Gamma_{\text{int}} \gg H$$

the interaction can be taken to be in a **state of equilibrium**.



Classic example: weak interaction...

Also the most relevant
example for neutrinos!

Say you have a gas of **ultra-relativistic** particles with **temperature** T .

- The **Weak interaction rate** per particle is estimated to be

$$\Gamma_{\text{int}} = n \langle \sigma v \rangle \sim G_F^2 T^5$$

Number density of scattering centres $n \sim T^3$

Relative velocity $v \sim 1$

Cross-section $\sigma \sim G_F^2 T^2$

Fermi constant

- The Hubble expansion rate is

$$H = \sqrt{\frac{8\pi G}{3} \sum_{\alpha} \rho_{\alpha}} \sim \frac{T^2}{m_{\text{planck}}}$$

Planck mass

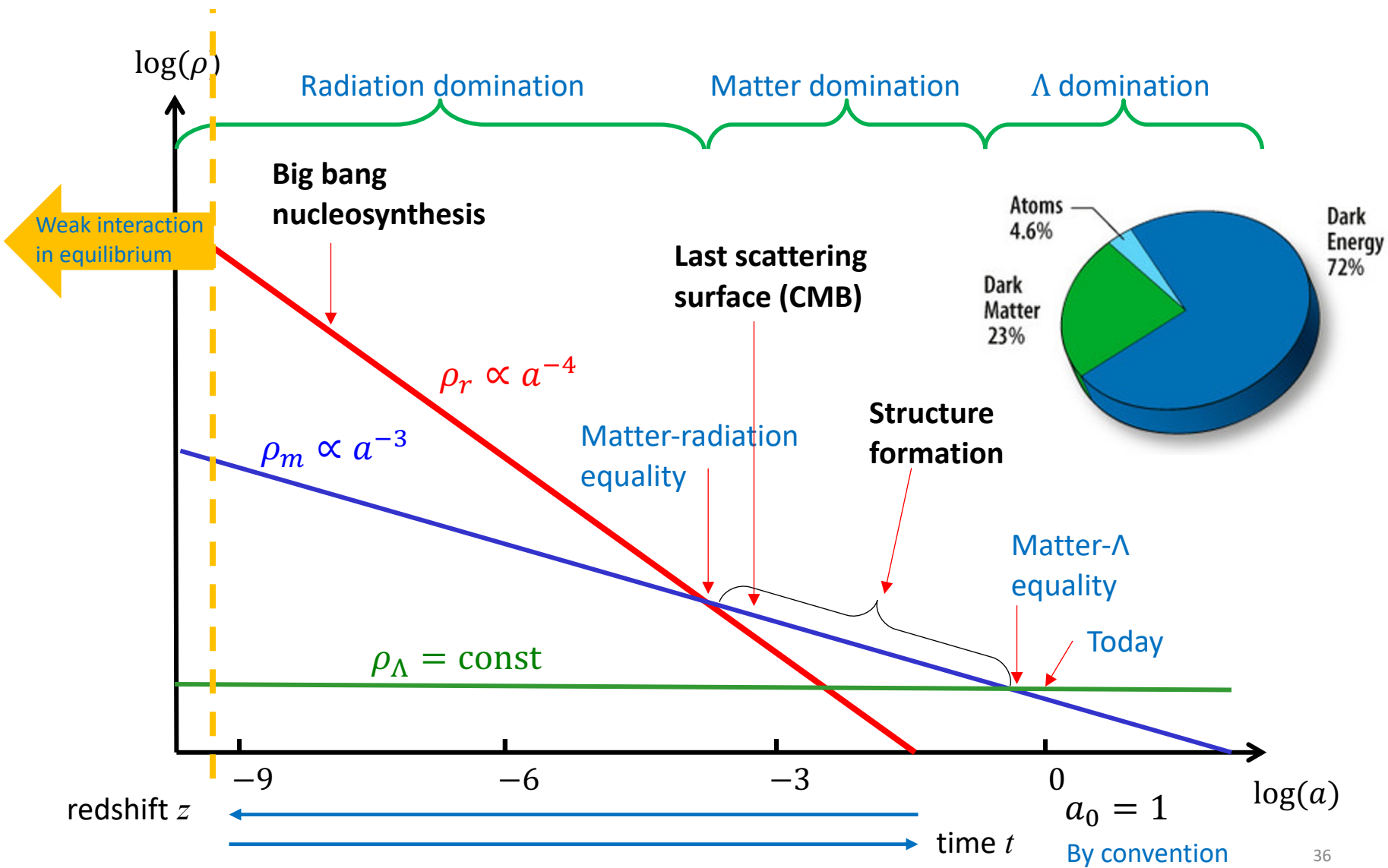
$$G_F \sim 10^{-5} \text{ GeV}^{-2}$$
$$m_{\text{pl}} \sim 10^{19} \text{ GeV}$$

Ratio increases
with temperature

$$\frac{\Gamma_{\text{int}}}{H} \sim m_{\text{planck}} G_F^2 T^3 \sim \left(\frac{T}{1 \text{ MeV}} \right)^3$$



**Weak interactions are in
equilibrium at $T \gg 1 \text{ MeV}$.**



Equilibrium thermodynamics...

In the ideal gas limit, when an interaction is in equilibrium, all participating particles have phase space distributions described by one of the **equilibrium forms**:

$f(p)$ = Phase space
distribution

$$f_{\text{eq}}(p) = \frac{1}{\exp[(E(p) - \mu)/T] \pm 1}$$

+ Fermi-Dirac
- Bose-Einstein

μ = Chemical potential

T = Temperature

- All participating particles in that interaction have the **same temperature** T .
- Their **chemical potentials** satisfy $\sum_{\text{initial}} \mu_i = \sum_{\text{final}} \mu_i$.
- In standard cosmology μ is generally related to the $\sim 10^{-10}$ **matter-antimatter asymmetry**; for most applications, it suffices to set $\mu = 0$.

Equilibrium thermodynamics...

Given its phase space distribution $f(p)$, it is straightforward to find a particle species' **bulk properties**:

Number density: $n_\alpha = \frac{g_\alpha}{(2\pi)^3} \int d^3 p f_\alpha(\vec{p})$

Internal d.o.f.

Energy density:

$$\rho_\alpha = \frac{g_\alpha}{(2\pi)^3} \int d^3 p E f_\alpha(\vec{p})$$

Pressure:

$$P_\alpha = \frac{g_\alpha}{(2\pi)^3} \int d^3 p \frac{|\vec{p}|^2}{3E} f_\alpha(\vec{p})$$

$$\sim T^4$$

Ultra-relativistic $T \gg m$

$$\sim m(mT)^{3/2} e^{-m/T}$$

Non-relativistic
 $T \ll m$

The energy density of a non-relativistic particle species is highly suppressed!

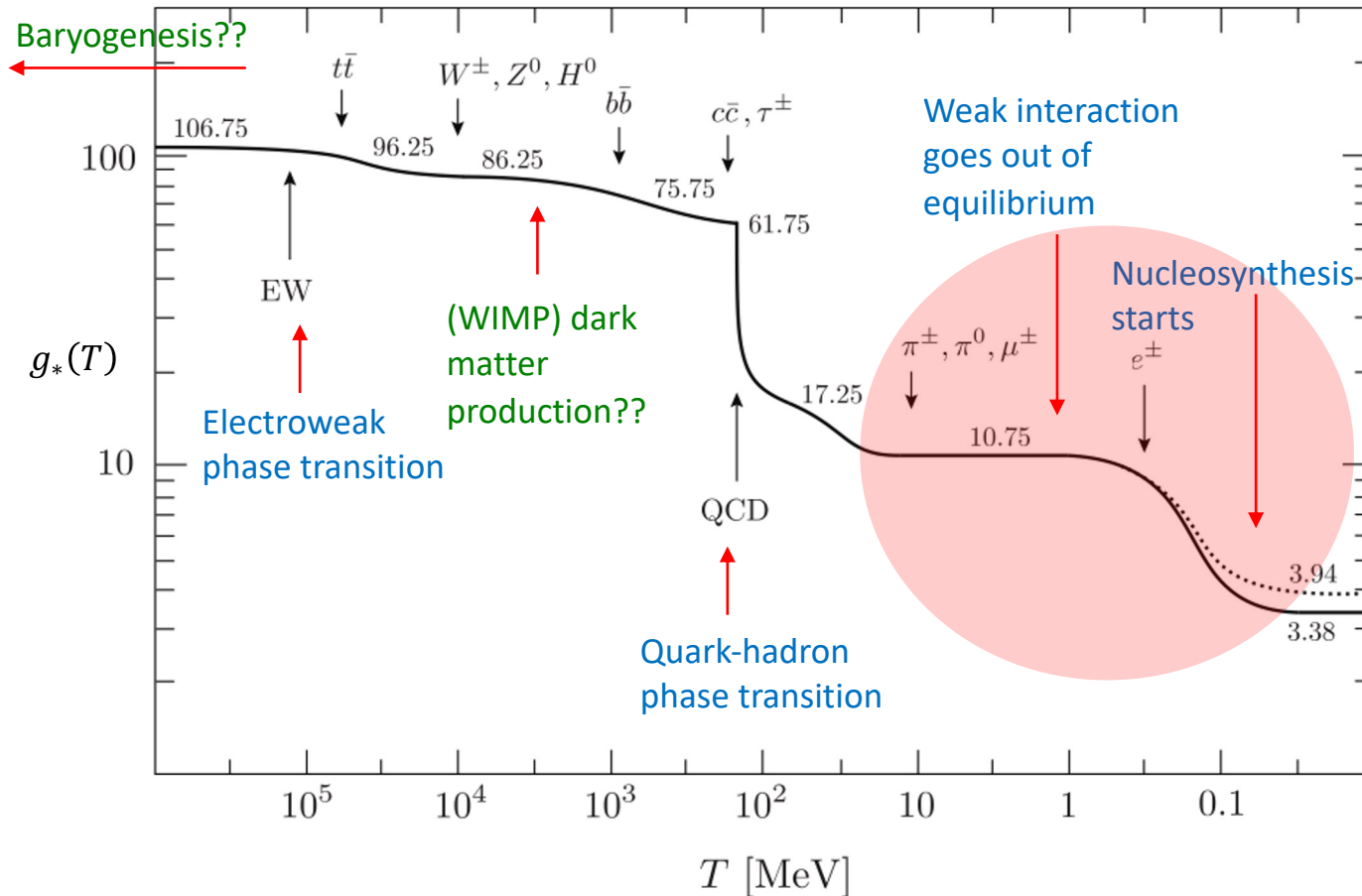
- We can therefore express the **Hubble expansion rate** in the early universe as:

$$H^2(t) = \frac{8\pi G}{3} \sum_\alpha \rho_\alpha \equiv \frac{8\pi G}{3} \frac{\pi^2}{30} g_*(T_\gamma) T_\gamma^4$$

Photon temperature

g_* is a temperature-dependent function, dominated by relativistic species, specific to a particle physics theory.

g_* of the standard model of particle physics:



What's left?

Mainly

- Photons
- Neutrinos

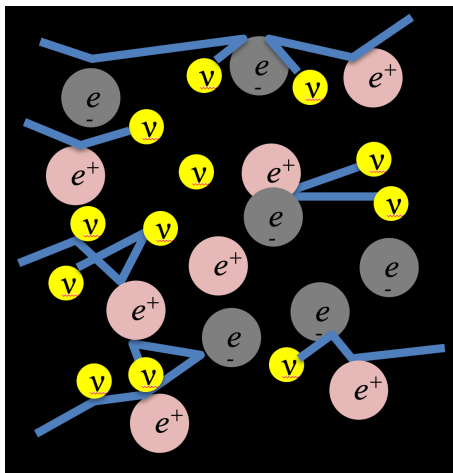
Small amounts* of

- Electrons
- Nucleons
- Nuclei

* Small means $< 10^{-9} n_\gamma$

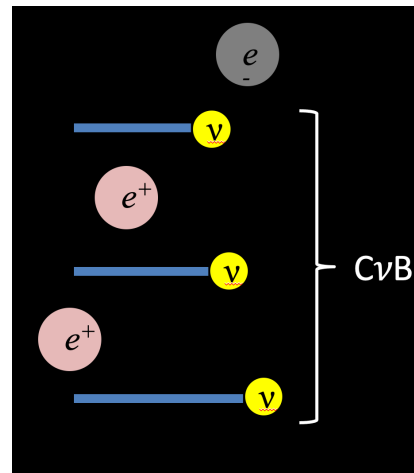
Cosmic neutrino background ...

The CνB is formed when neutrinos **decouple** from the cosmic plasma.



($T_{\odot \text{core}} \sim 1 \text{ keV}$)

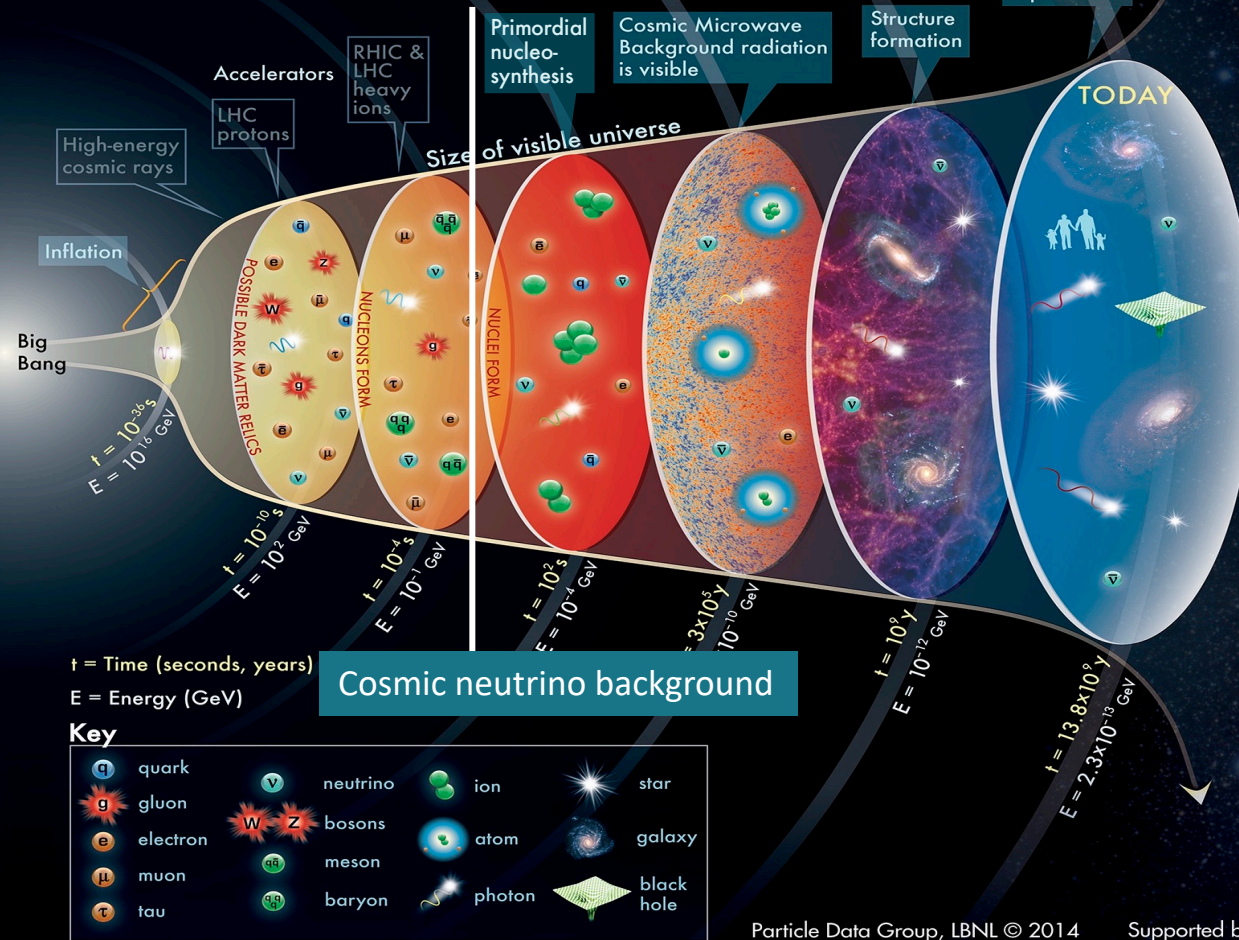
Above $T \sim 1 \text{ MeV}$, even weakly-interacting neutrinos can be produced, scatter off e^+e^- and other neutrinos, and attain **thermodynamic equilibrium**



Neutrinos
"free-stream"
to infinity.

Below $T \sim 1 \text{ MeV}$, expansion dilutes plasma, and reduces interaction rate: the universe becomes **transparent to neutrinos**.

HISTORY OF THE UNIVERSE



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Particle content at $0.1 < T < 10$ MeV...

The particle content and interactions at $0.1 < T < 10$ MeV determine the **properties of the CνB**.

• **QED plasma:** e^\pm, γ

• **3 families of $\nu + \bar{\nu}$:** $\nu_e, \bar{\nu}_e,$
 $\nu_\mu, \bar{\nu}_\mu,$
 $\nu_\tau, \bar{\nu}_\tau$

EM interactions (always in equilibrium
 @ $0.1 < T < 10$ MeV):

$$\begin{aligned} e^+e^- &\leftrightarrow \gamma\gamma \\ e^+e^- &\leftrightarrow e^+e^- \\ e^\pm e^\mp &\leftrightarrow e^\pm e^\mp \\ e^\pm e^\pm &\leftrightarrow e^\pm e^\pm \\ \gamma e^\pm &\leftrightarrow \gamma e^\pm \end{aligned}$$

Weak interactions (in equilibrium @
 $T > O(1)$ MeV):

$$\begin{aligned} \nu_\alpha \nu_\beta &\leftrightarrow \nu_\alpha \nu_\beta \\ \nu_\alpha \bar{\nu}_\beta &\leftrightarrow \nu_\alpha \bar{\nu}_\beta \\ \bar{\nu}_\alpha \bar{\nu}_\beta &\leftrightarrow \bar{\nu}_\alpha \bar{\nu}_\beta \end{aligned} \quad \alpha, \beta = e, \mu, \tau$$

Coupled @ $T > O(1)$ MeV

$$\begin{aligned} \nu_\alpha e^\pm &\leftrightarrow \nu_\alpha e^\pm \\ \nu_\alpha \bar{\nu}_\alpha &\leftrightarrow e^+e^- \end{aligned}$$

Weak interactions (in equilibrium @
 $T > O(1)$ MeV)

Particle content at $0.1 < T < 10$ MeV...

The particle content and interactions at $0.1 < T < 10$ MeV determine the **properties of the CνB**.

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 $\nu_\mu, \bar{\nu}_\mu,$
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Weak interactions (**not** in equilibrium @
 $T \ll O(1)$ MeV):

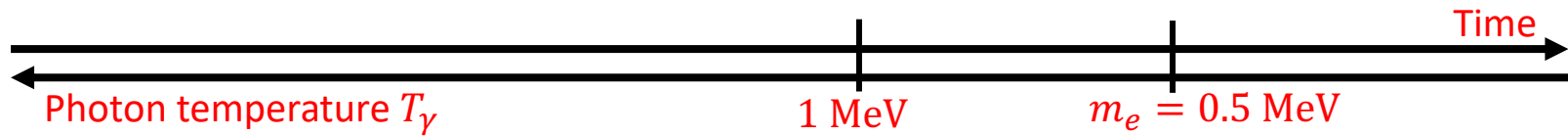
Decoupled @ $T \ll O(1)$ MeV

$$\begin{aligned} \cancel{\nu_\alpha \nu_\beta &\leftrightarrow \nu_\alpha \nu_\beta} \\ \cancel{\nu_\alpha \bar{\nu}_\beta &\leftrightarrow \nu_\alpha \bar{\nu}_\beta} \\ \cancel{\bar{\nu}_\alpha \bar{\nu}_\beta &\leftrightarrow \bar{\nu}_\alpha \bar{\nu}_\beta} \end{aligned} \quad \alpha, \beta = e, \mu, \tau$$

Weak interactions (**not** in equilibrium @
 $T \ll O(1)$ MeV)

Thermal history of neutrinos...

Events



Neutrino
temperature

Phase space
distribution

Thermal history of neutrinos...

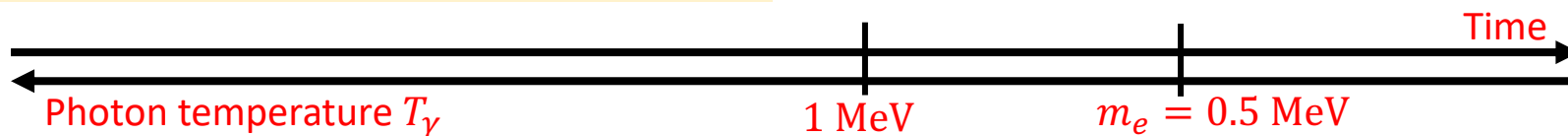
Events

Neutrinos coupled
to QED plasma

Weak interaction: $\Gamma_{\text{int}} \sim G_F^2 T^5$

Expansion: $H \sim \frac{T^2}{m_{\text{planck}}}$

$$\left. \begin{array}{l} \Gamma_{\text{int}} \sim G_F^2 T^5 \\ H \sim \frac{T^2}{m_{\text{planck}}} \end{array} \right\} \Gamma_{\text{int}} > H$$



Neutrino temperature

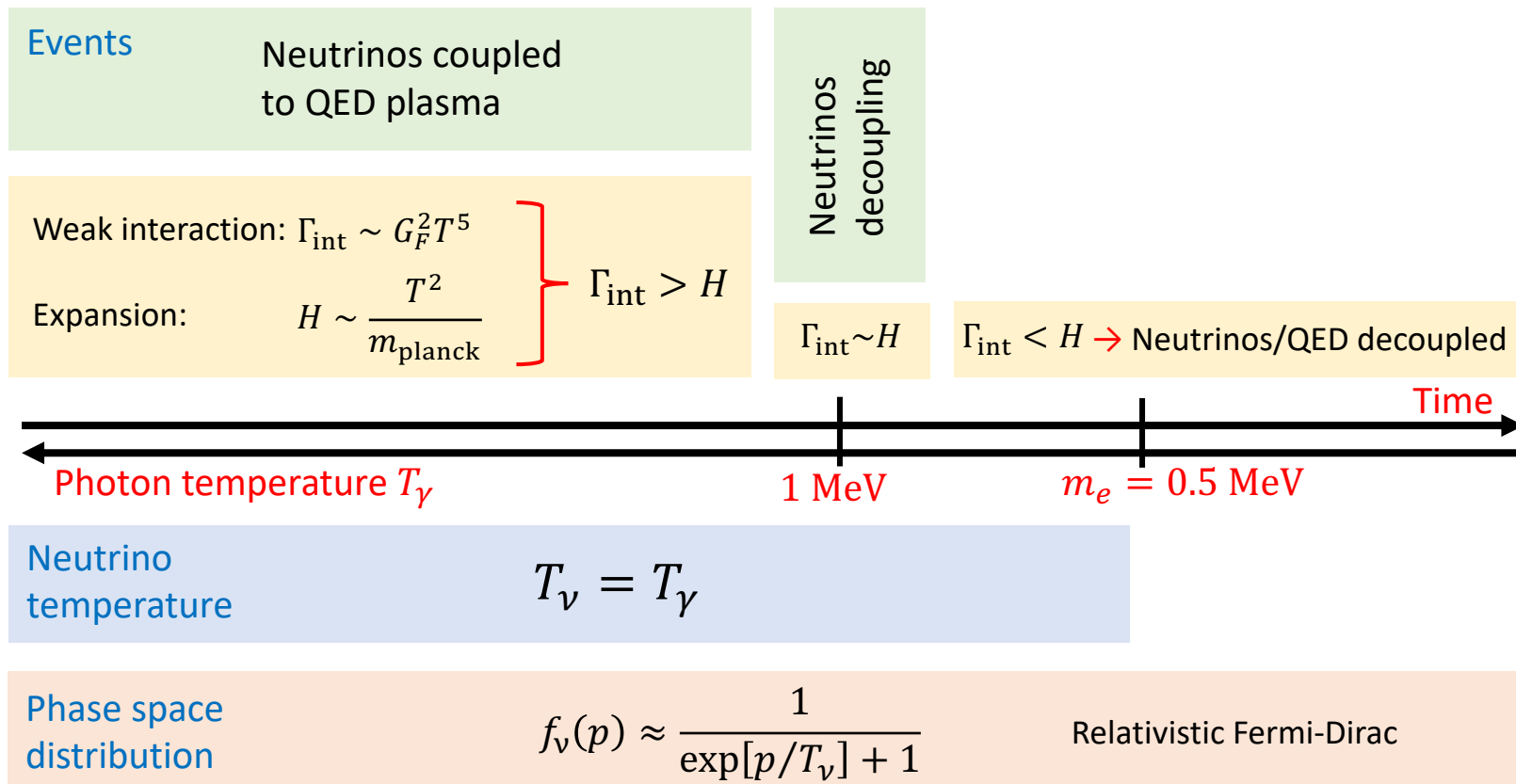
$$T_\nu = T_\gamma$$

Phase space distribution

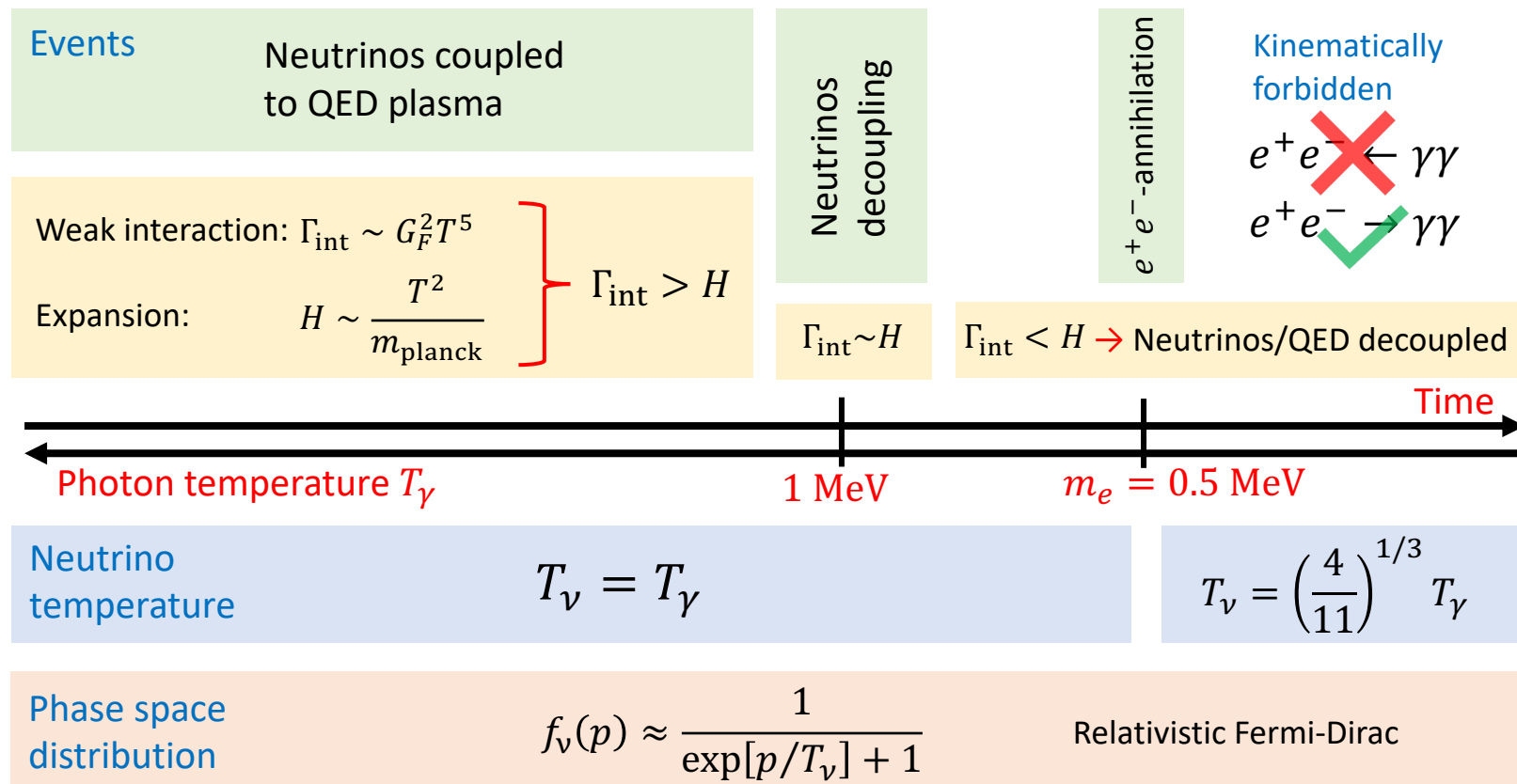
$$f_\nu(p) \approx \frac{1}{\exp[p/T_\nu] + 1}$$

Relativistic Fermi-Dirac

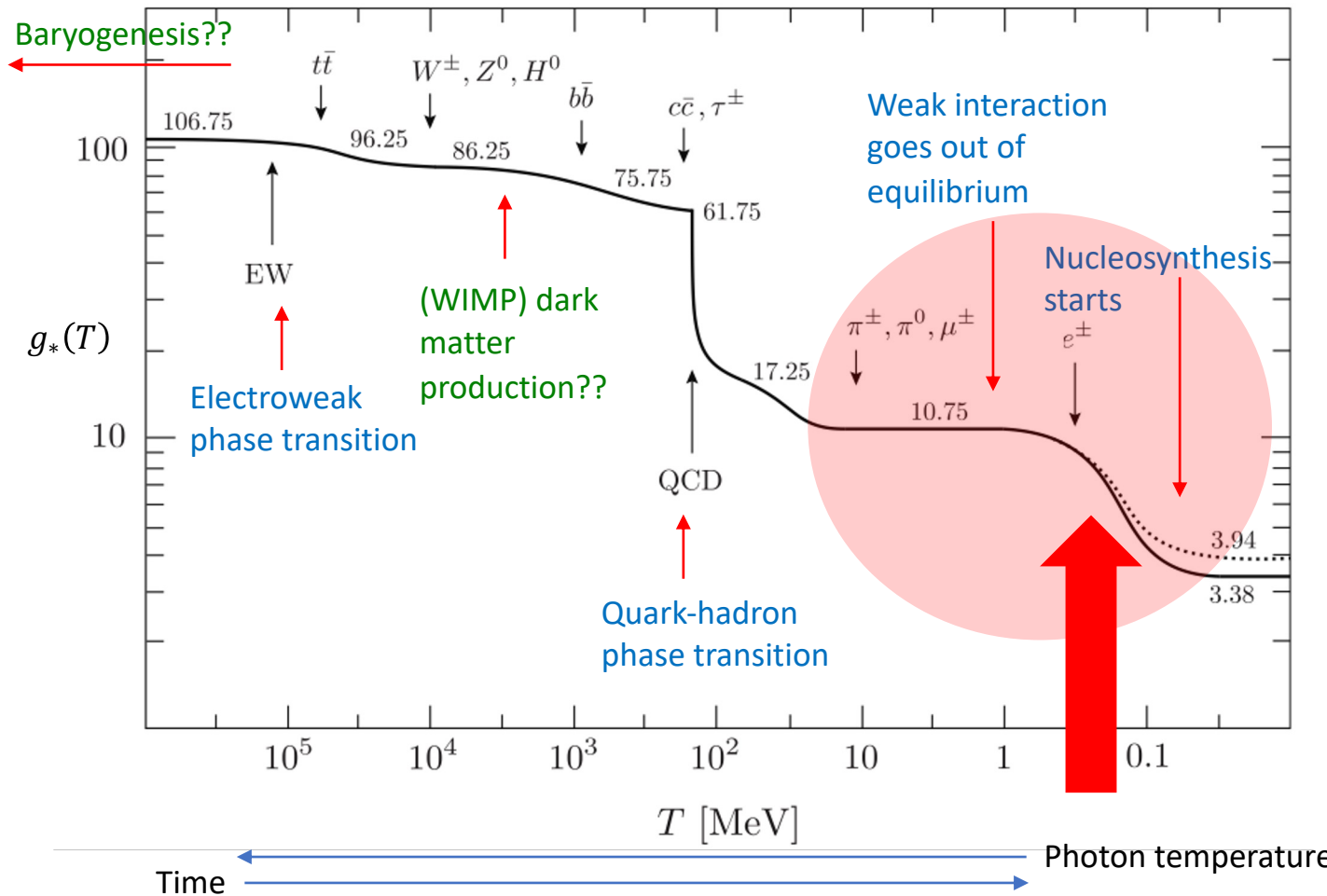
Thermal history of neutrinos...



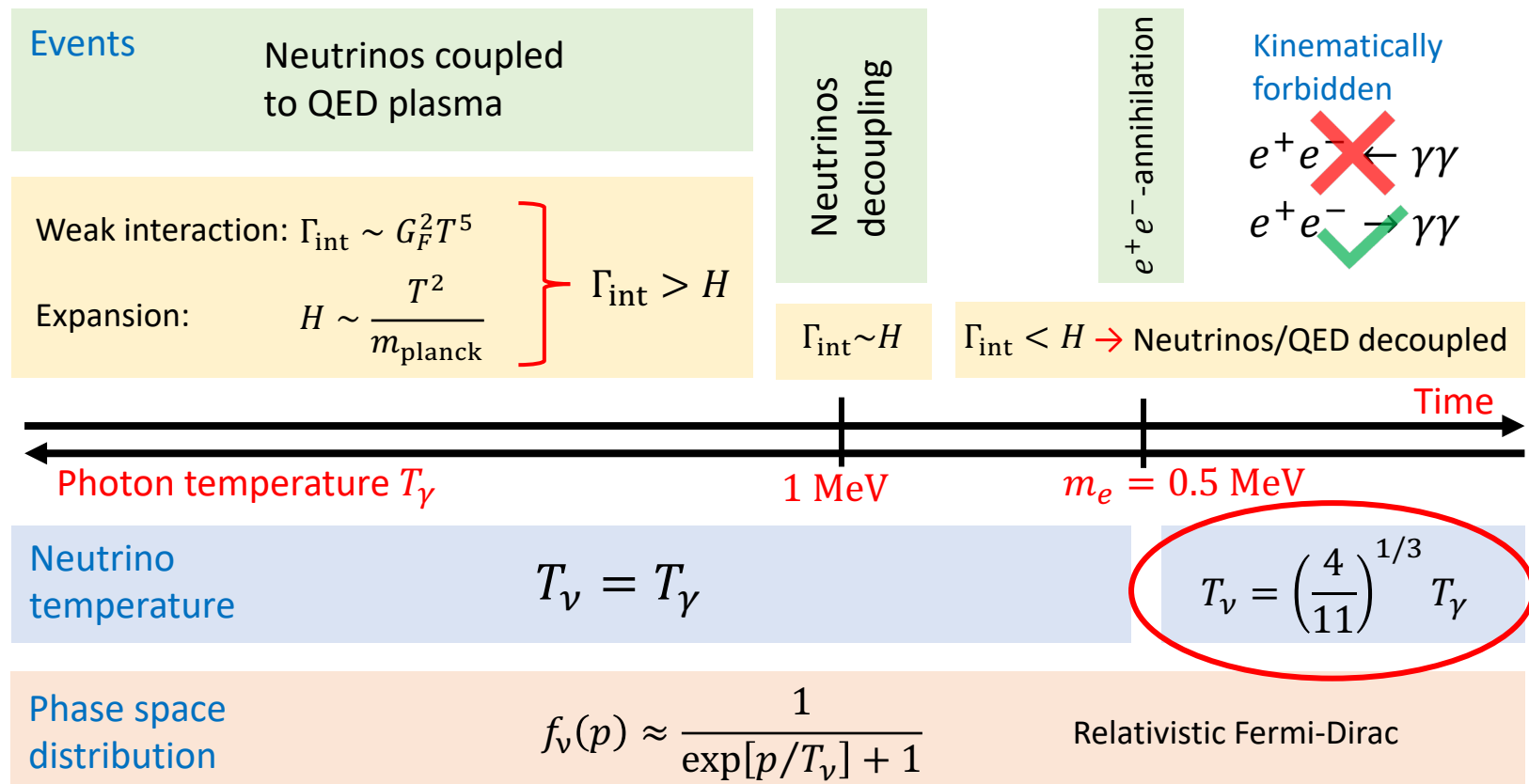
Thermal history of neutrinos...



g_* of the standard model of particle physics:



Thermal history of neutrinos...

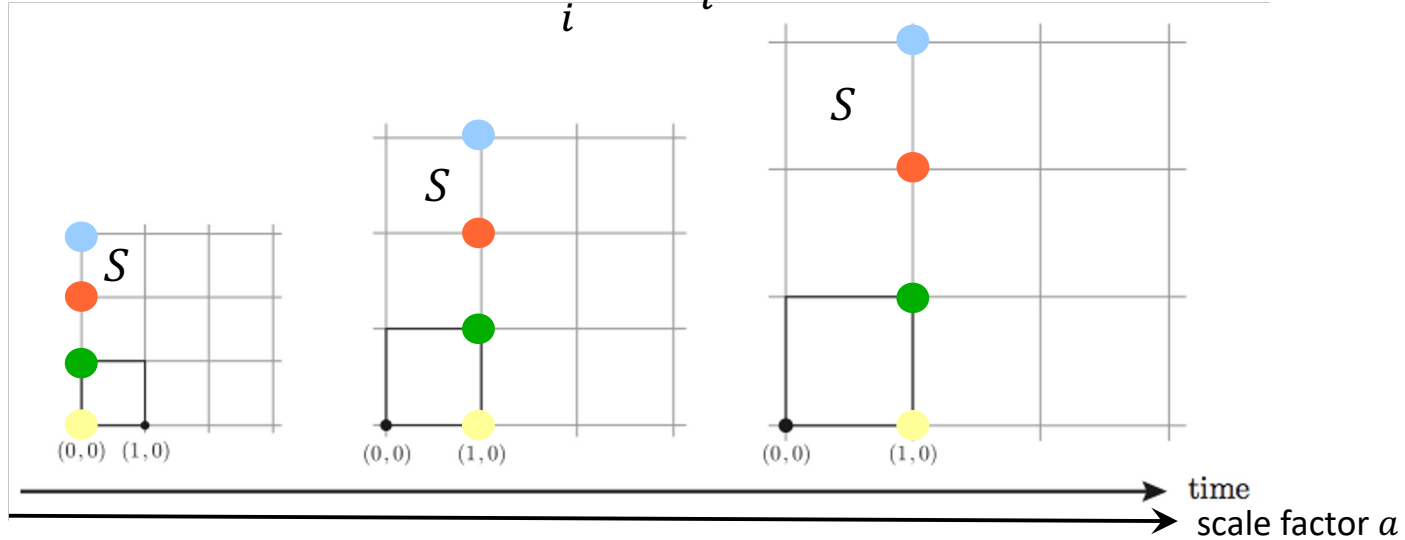


Comoving entropy density & conservation...

Where expansion is **quasi-static** so that equilibrium is maintained, the **comoving entropy density S is approximately conserved**.

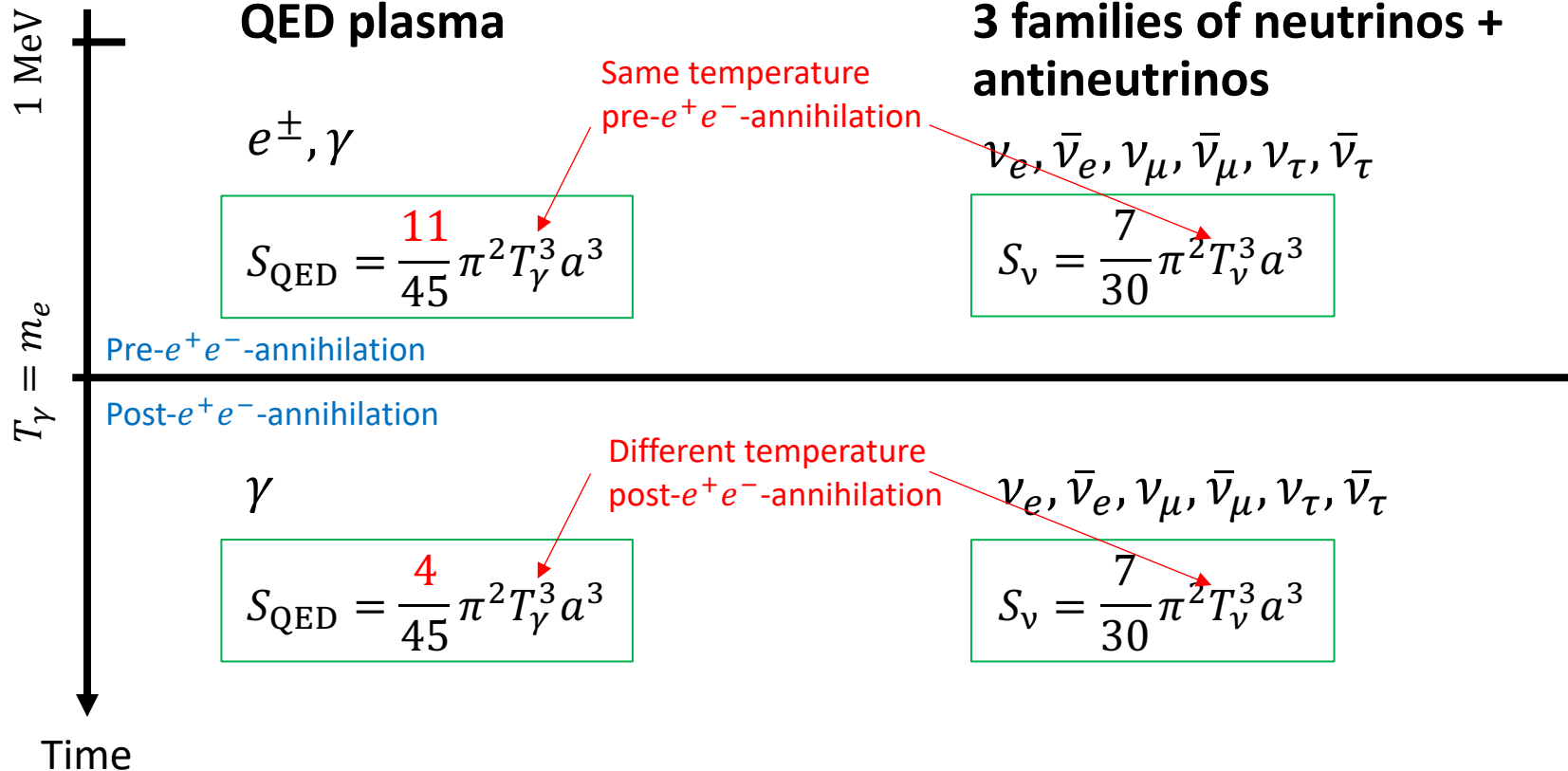
Comoving entropy density

$$S \equiv a^3 \sum_i \frac{\rho_i + P_i}{T_i}$$

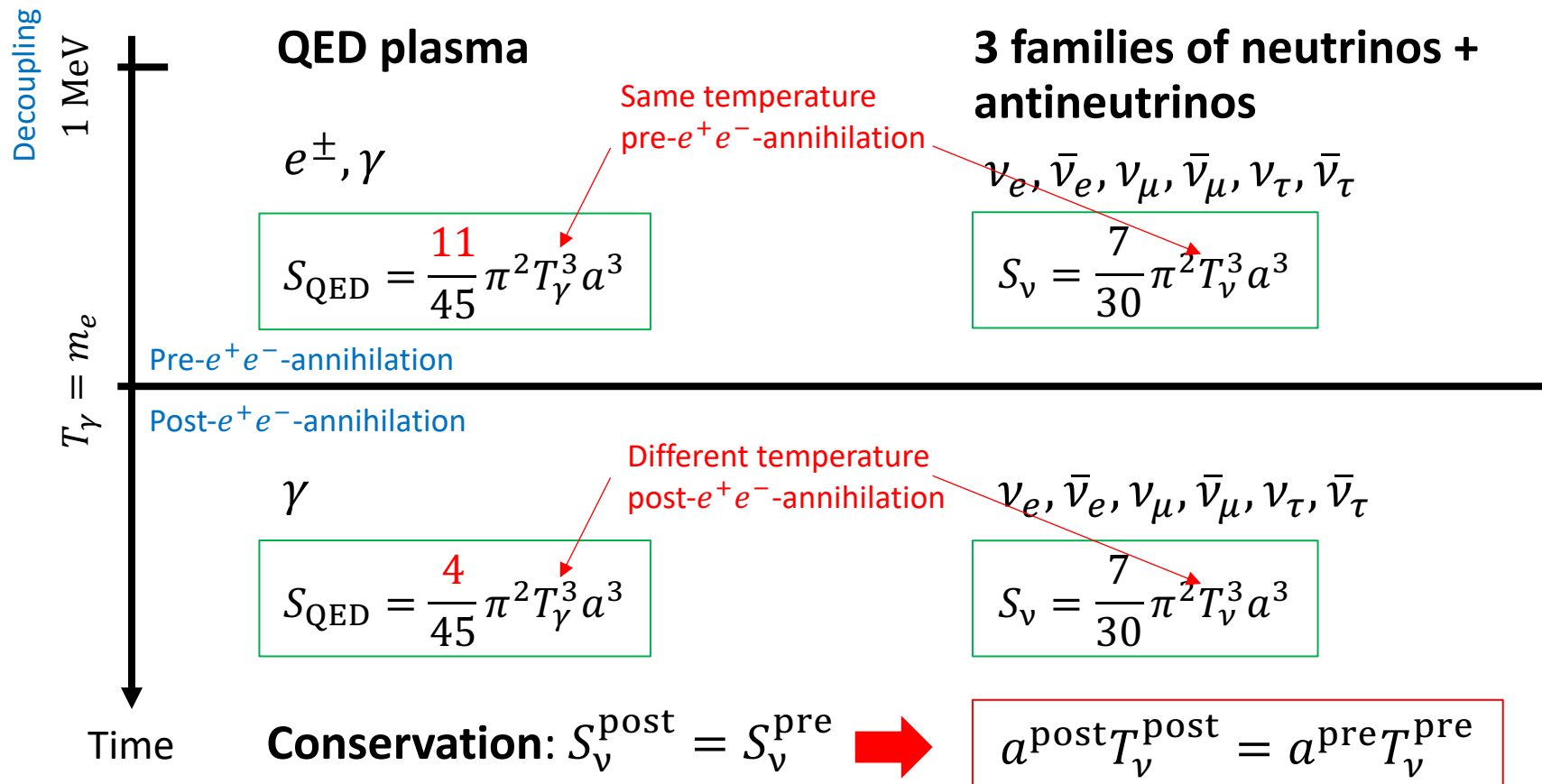


T_ν from entropy conservation...

Decoupling

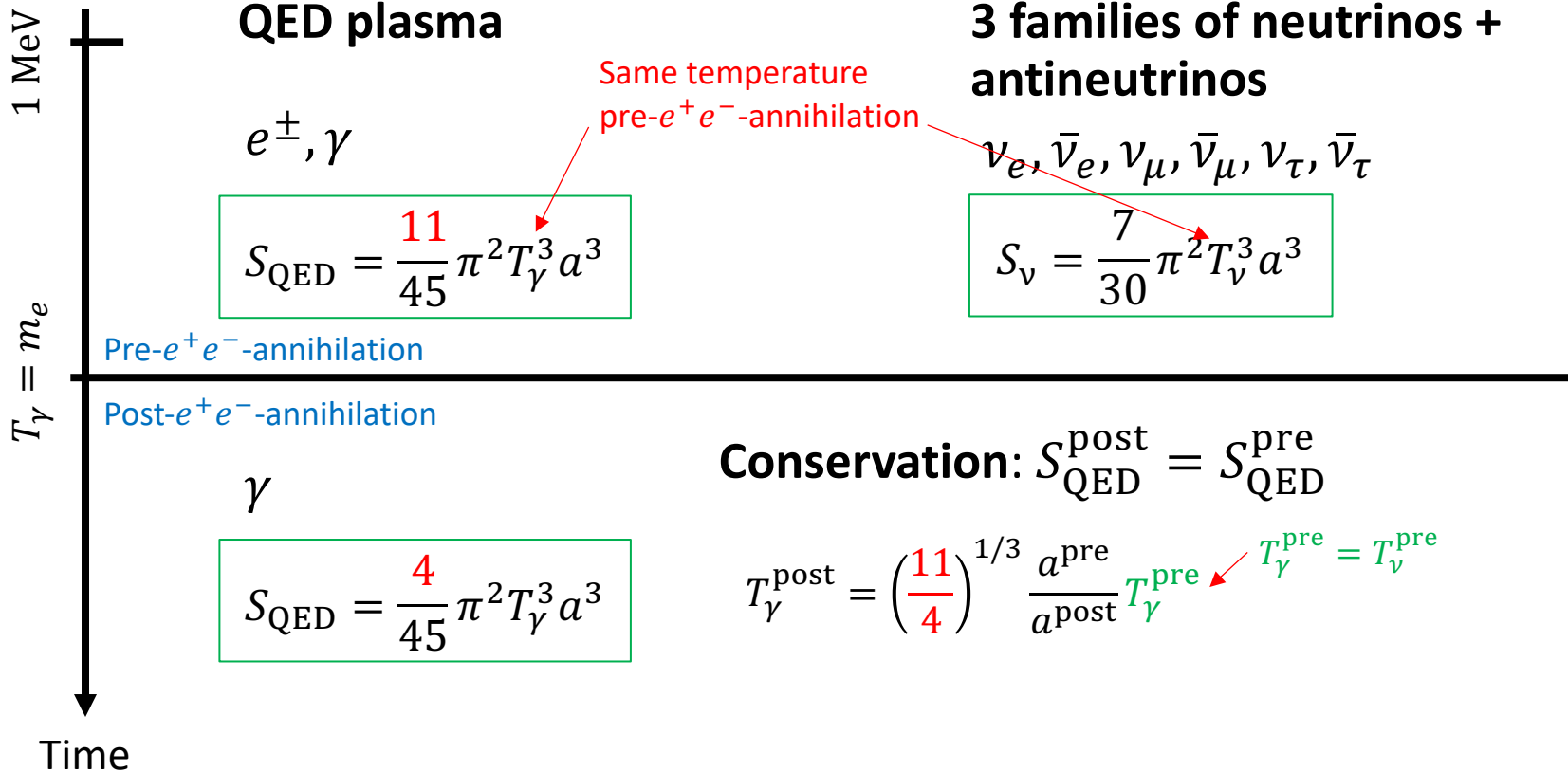


T_ν from entropy conservation...



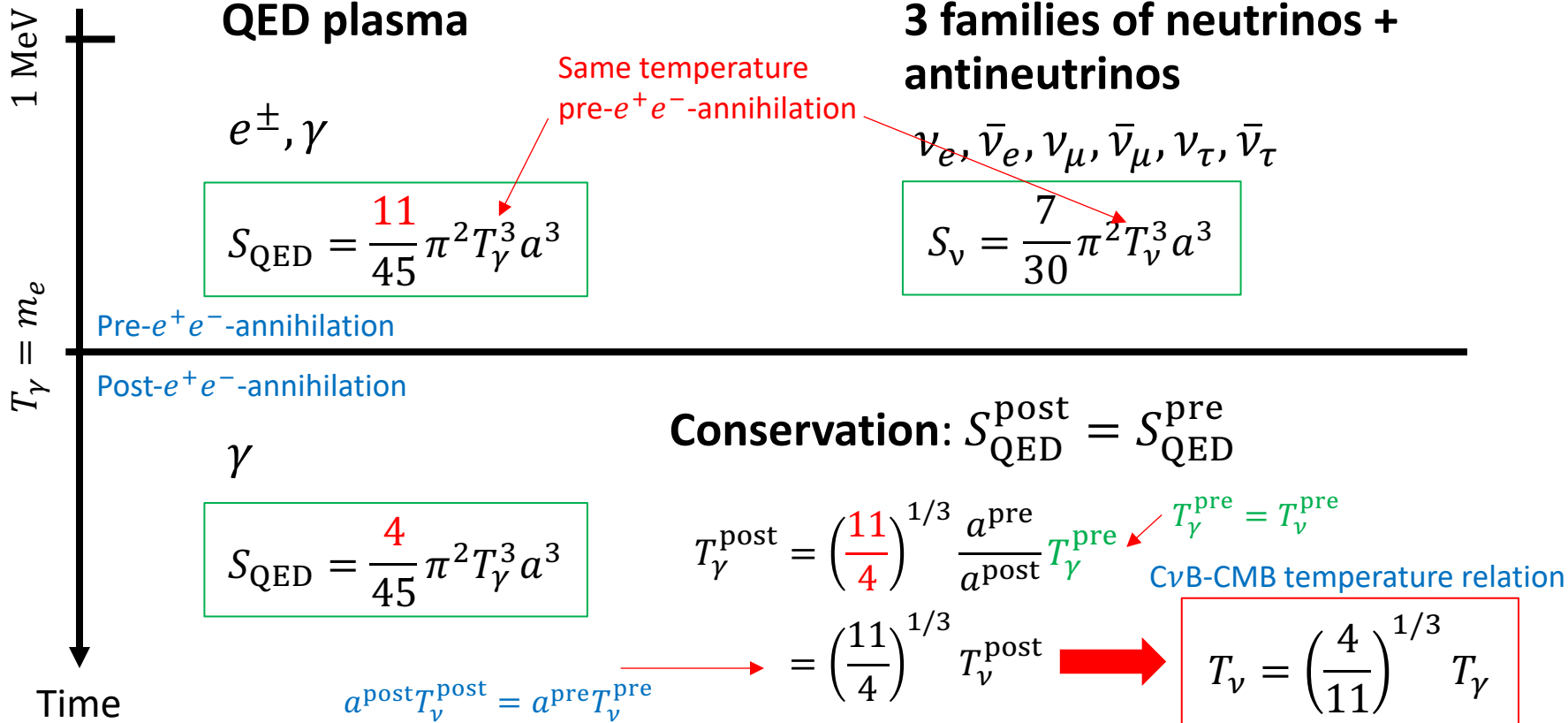
T_ν from entropy conservation...

Decoupling



T_ν from entropy conservation...

Decoupling



Evolution of the CνB...

At formation ($T \sim O(1) \text{ MeV} \gg m_\nu$), the **CνB phase space distribution** is well described by the **relativistic Fermi-Dirac distribution**:

$$f_\nu(p) \approx \frac{1}{\exp[p/T_\nu] + 1} \quad \text{with } T_\nu = \left(\frac{4}{11}\right)^{1/3} T_\gamma$$

$\rightarrow T_{\nu,0} = 1.95 \text{ K} = 1.7 \times 10^{-4} \text{ eV}$
Present-day temperature

• Since the CνB do not scatter anymore, only the following can happen:

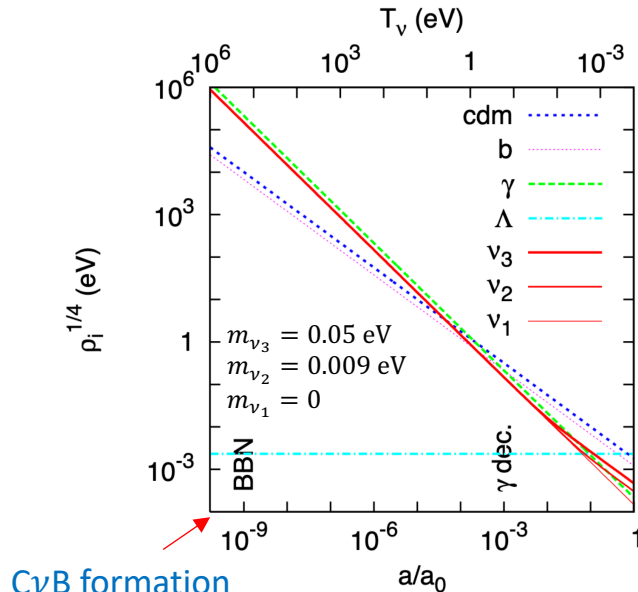
- **Temperature redshift:** $T_\nu \propto a^{-1}$
- **Momentum redshift:** $p \propto a^{-1}$

$\rightarrow f_\nu(p)$ must always take the same relativistic FD form, *even after* the CνB has become NR with redshift (a consequence of Liouville's theorem).

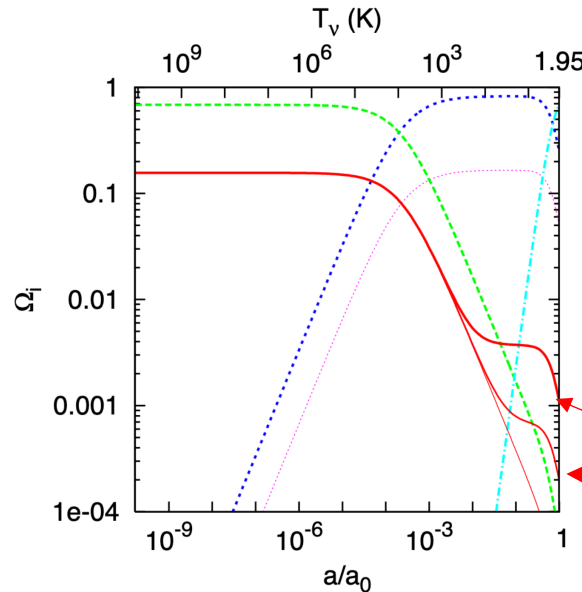
\rightarrow The **comoving number density** remains constant: $n_{\nu\alpha,0} = \frac{6}{4} \frac{\zeta(3)}{\pi^2} T_{\nu,0}^3 = 112 \text{ cm}^{-3}$
Present-day number density for one family

Evolution of the CνB...

But the CνB **energy density** depends **on kinematics**, scaling as $\rho \propto a^{-4}$ when the neutrinos are relativistic, and like $\rho \propto a^{-3}$ when NR.



Lesgourgues & Pastor 2006



- If $T_\nu \gg m_\nu$:

$$\rho_{\nu_\alpha} = \frac{7}{8} \left(\frac{4}{11} \right)^{\frac{4}{3}} \rho_\gamma$$

- If $T_\nu \ll m_\nu$:

$$\rho_{\nu_\alpha} = m_{\nu_\alpha} n_{\nu_\alpha}$$

$$\Omega_{\nu_\alpha,0} = \frac{m_{\nu_\alpha}}{94 h^2}$$

Present-day reduced energy density

Summary of the CνB...

Standard hot big bang predicts a **cosmic neutrino background** with the properties:

- **Temperature:** $T_{\nu,0} = \left(\frac{4}{11}\right)^{1/3} T_{\text{CMB},0} = 1.95 \text{ K} = 1.7 \times 10^{-4} \text{ eV}$
- **Present-day number density per family:** $n_{\nu,0} = \frac{6}{4} \frac{\zeta(3)}{\pi^2} T_{\nu,0}^3 = 112 \text{ cm}^{-3}$
- **Energy density:**
 - If neutrinos are relativistic: $\rho_{\nu_\alpha} = \frac{7}{8} \left(\frac{4}{11}\right)^{\frac{4}{3}} \rho_\gamma$
 - If neutrinos are non-relativistic: $\Omega_{\nu,0} = \sum \frac{m_\nu}{94 h^2 \text{eV}}$ Present-day reduced energy density