

# Neutrinos, where BSM physics begins (III)



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Neutrino Frontiers, GGI  
June 26, 2024



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## Non standard neutrino interactions

They can be described by effective four-fermion operators of the form

$$2\sqrt{2}G_F \varepsilon_{\alpha\beta} (\bar{\nu}_\beta \gamma^\mu P_L l_\alpha) (\bar{f} \gamma_\mu P_{L,R} f')$$

normalizing the operator with the Fermi constant

$$\varepsilon_{\alpha\beta} = \frac{M_W^2}{M_{NSI}^2}$$

NSNI can appear at every step. It is therefore necessary to break down the analysis in three stages

- the production process
- the time evolution
- the detection process

We are left “only” with neutral current NSNI

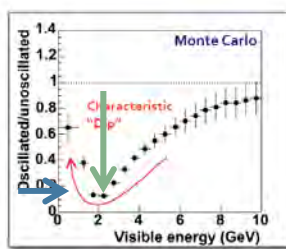
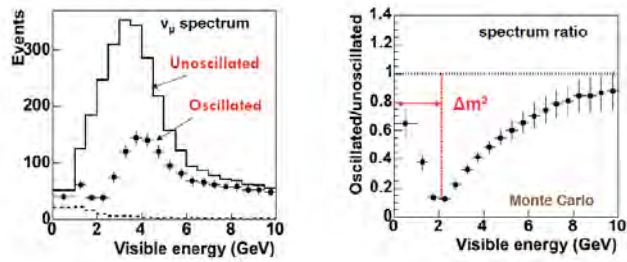
$$2\sqrt{2}G_F \varepsilon_{\alpha\beta} (\bar{\nu}_\beta \gamma^\mu P_L \nu_\alpha) (\bar{f} \gamma_\mu P_{L,R} f)$$

$$i \frac{d}{dt} \begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \frac{1}{2E} \left[ U \begin{pmatrix} 0 & 0 & 0 \\ 0 & \Delta m_{21}^2 & 0 \\ 0 & 0 & \Delta m_{31}^2 \end{pmatrix} U^\dagger + a \begin{pmatrix} 1 + \varepsilon_{ee} & \varepsilon_{e\mu} & \varepsilon_{e\tau} \\ \varepsilon_{\mu e}^* & \varepsilon_{\mu\mu} & \varepsilon_{\mu\tau} \\ \varepsilon_{\tau e}^* & \varepsilon_{\tau\mu}^* & \varepsilon_{\tau\tau} \end{pmatrix} \right] \begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix}$$

$$H = \frac{1}{2E} \left[ U \begin{pmatrix} 0 & & \\ & \Delta m_{32}^2 & \\ & & \end{pmatrix} U^\dagger + a \begin{pmatrix} \varepsilon_{\mu\mu} & \varepsilon_{\mu\tau} \\ \varepsilon_{\mu\tau}^* & \varepsilon_{\tau\tau} \end{pmatrix} \right]$$

$$a \equiv 2\sqrt{2}G_F n_e E$$

$$P(\nu_\mu \rightarrow \nu_\mu) = 1 - \sin^2(2\theta) \sin^2(1.27 \Delta m^2 L / E)$$



$\epsilon_{\mu\tau}$  changes the disappearance probability at large energies  
shifts the position of the minimum in energy

$$\Delta m^2$$

$\epsilon_{\tau\tau}$  modifies the disappearance probability near the first oscillation minimum, especially the depth of the minimum

$$\sin^2(2\theta_{23})$$

## CPT violation



$$\frac{|m(K_0) - m(\overline{K}_0)|}{m_{K-av}} < 10^{-18}$$

$$m_{K-av} \approx \frac{1}{2} 10^9 \text{ eV}$$

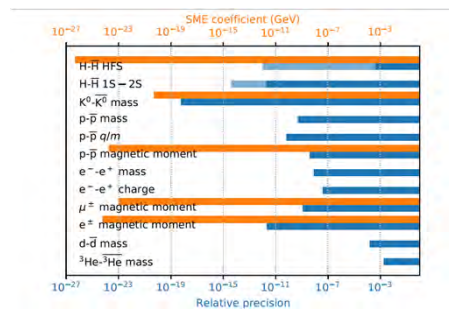
$$(m(K_0) - m(\overline{K}_0))(m(K_0) + m(\overline{K}_0)) < 2 \cdot 10^{-18} m_{K-av}^2$$

$$|m^2(K_0) - m^2(\overline{K}_0)| \approx \frac{1}{2} \text{ eV}^2$$

# CPT tests

CPT invariance tested in several matter-antimatter systems:

- neutral kaons
- electron/positron
- proton/antiproton
- H/anti-H



E. Widmann, arXiv:2111.04056 [hep-ex]

Several experiments at the Antiproton Decelerator and ELENA(Extra Low Energy Antiproton) @CERN

## Current bounds

We can use data of various experiments to calculate the neutrino and antineutrino oscillation parameters:

- Solar neutrino data:  $\theta_{12}, \Delta m_{21}^2, \theta_{13}$
- Neutrino mode in LBL:  $\theta_{23}, \Delta m_{31}^2, \theta_{13}$
- KamLAND data:  $\bar{\theta}_{12}, \Delta \bar{m}_{21}^2, \bar{\theta}_{13}$
- SBL reactors:  $\bar{\theta}_{13}, \Delta \bar{m}_{31}^2$
- Antineutrino mode in LBL:  $\bar{\theta}_{23}, \Delta \bar{m}_{31}^2, \bar{\theta}_{13}$

Parameter	Main contribution	Other contributions
$\theta_{12}$	SOL	KamLAND
$\theta_{13}$	REAC	ATM, LBL, SOL, KamLAND
$\theta_{23}$	ATM, LBL	-
$\delta_{CP}$	LBL	ATM
$\Delta m_{21}^2$	KamLAND	SOL
$ \Delta m_{31}^2 $	LBL, ATM, REAC	-
MO	LBL, REAC, ATM	-

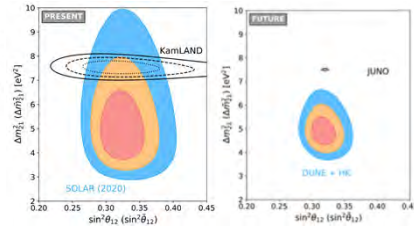
SOL: Solar  
 ATM: Atmospheric neutrinos  
 LBL: Long baseline accelerator experiments  
 REAC: Short-baseline reactor experiments

No bounds on CP-phases since all values are allowed

### Current bounds

- We use the same data (except atmospheric neutrinos) as for the global fit to obtain

$$\begin{aligned}
 |\Delta m_{21}^2 - \Delta \bar{m}_{21}^2| &< 4.7 \times 10^{-5} \text{ eV}^2, \\
 |\Delta m_{31}^2 - \Delta \bar{m}_{31}^2| &< 2.5 \times 10^{-4} \text{ eV}^2, \\
 |\sin^2 \theta_{12} - \sin^2 \bar{\theta}_{12}| &< 0.14, \\
 |\sin^2 \theta_{13} - \sin^2 \bar{\theta}_{13}| &< 0.029, \\
 |\sin^2 \theta_{23} - \sin^2 \bar{\theta}_{23}| &< 0.19.
 \end{aligned}$$

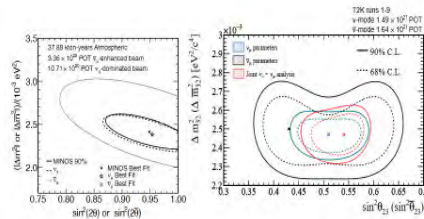


### T2K results, a hint ?

- T2K studied neutrino and anti-neutrino oscillations separated

$$\begin{aligned}
 \sin^2 \theta_{23} &= 0.51, & \Delta m_{32}^2 &= 2.53 \times 10^{-3} \text{ eV}^2 \\
 \sin^2 \bar{\theta}_{23} &= 0.42, & \Delta \bar{m}_{32}^2 &= 2.55 \times 10^{-3} \text{ eV}^2
 \end{aligned}$$

- Results are consistent with
- CPT-conservation



- In experiments and in fits normally you assume CPT-conservation
- If CPT is not conserved this leads to impostor (fake) solutions in the fits

- To perform the standard fit you would calculate

$$\chi_{\text{total}}^2 = \chi^2(\nu) + \chi^2(\bar{\nu})$$

and then minimize this function

$$h(x, y) = f(x) + g(y)$$

$$\partial_x f(x) = 0 \quad \partial_y g(y) = 0$$

$$x = y$$

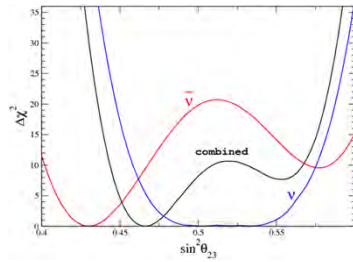
$$h(x) = f(x) + g(x)$$

$$\partial_x f(x) = \partial_x g(x) = 0$$

$$\partial_x f(x) = -\partial_x g(x)$$

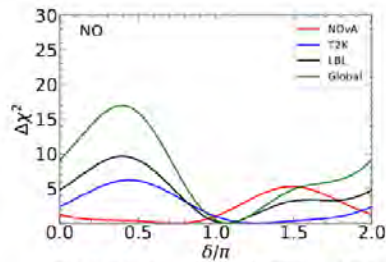
### Obtaining impostor solutions

• This was done for  $\sin^2(\theta_{23}) = 0.5, \sin^2(\bar{\theta}_{23}) = 0.43$



Combined best fit value is now  $\sin^2(\theta_{23}^{\text{comb}}) = 0.467$   
 Real true values are disfavored at close to  $3\sigma$  and more  $5\sigma$  confidence levels

$\theta_{13} \neq \bar{\theta}_{13}$  can account for different behavior in neutrino and antineutrino channels



all values of  $\delta$  and  $\bar{\delta}$  remain allowed at  $\sim 1\sigma$

Tension between NOvA, T2K and SK atm. and  $\delta_{\text{eff}} = 1.08\pi$

- Disfavours:
  - $\delta = \pi/2$  at  $4.0\sigma$
  - $\delta = 0$  at  $3.0\sigma$
  - $\delta = 3\pi/2$  with  $\Delta\chi^2 = 4.9$

The increasing precision in neutrino oscillation measurements requires a thorough analysis of the assumptions considered.



### Distinguishing CPT violation from NSNI

The muon neutrino survival probability in matter can be written as

$$P(\nu_\mu \rightarrow \nu_\mu) = 1 - \sin^2 2\theta_\nu \sin^2 \left( \frac{\Delta m_\nu^2 L}{4E} \right).$$

in  
matter

$$\begin{aligned} \Delta m_\nu^2 \cos 2\theta_\nu &= \Delta m^2 \cos 2\theta + \epsilon_{\tau\tau} A, & \Delta m_\nu^2 \cos 2\theta_\nu &= \Delta m^2 \cos 2\theta - \epsilon_{\tau\tau} A, \\ \Delta m_\nu^2 \sin 2\theta_\nu &= \Delta m^2 \sin 2\theta + 2\epsilon_{\mu\tau} A, & \Delta m_\nu^2 \sin 2\theta_\nu &= \Delta m^2 \sin 2\theta - 2\epsilon_{\mu\tau} A. \end{aligned}$$

$$4\Delta m^4 = \Delta m_\nu^4 + \Delta m_\nu^4 + 2\Delta m_\nu^2 \Delta m_\nu^2 \cos(2\theta_\nu - 2\theta_\nu)$$

$$\sin^2(2\theta) = \frac{(\Delta m_\nu^2 \sin(2\theta_\nu) + \Delta m_\nu^2 \sin(2\theta_\nu))^2}{\Delta m_\nu^4 + \Delta m_\nu^4 + 2\Delta m_\nu^2 \Delta m_\nu^2 \cos(2\theta_\nu - 2\theta_\nu)}$$

$$2\epsilon_{\tau\tau} A = \Delta m_\nu^2 \cos(2\theta_\nu) - \Delta m_\nu^2 \cos(2\theta_\nu)$$

$$4\epsilon_{\mu\tau} A = \Delta m_\nu^2 \sin(2\theta_\nu) - \Delta m_\nu^2 \sin(2\theta_\nu)$$



G.B., C. Ternes and M. Tortola, Eur.Phys.J.C 79 (2019) 5, 390

### Violations of Lorentz invariance

$$(h_{\text{eff}})_{ab} = \frac{m_{ab}^2}{2E} + \frac{1}{E} [(a_L)^\alpha p_\alpha - (c_L)^{\alpha\beta} p_\alpha p_\beta]_{ab}$$

↻ standard Lorentz covariant term  
↻ Lorentz violation  
↻ violates both CPT and Lorentz invariance

### Violations of Lorentz invariance

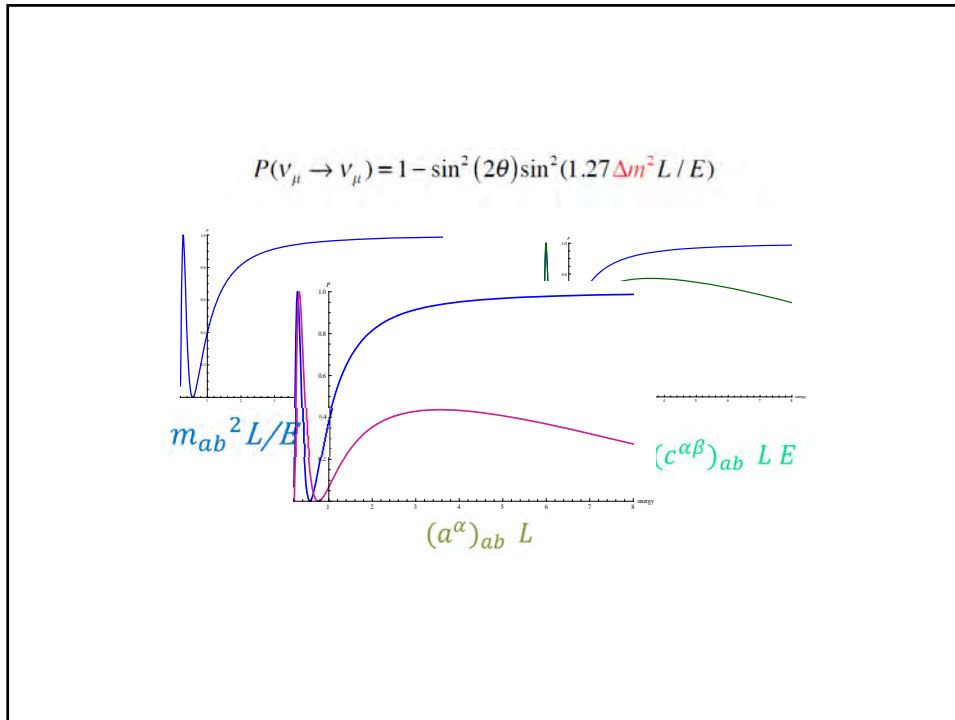
$$(h_{\text{eff}})_{ab} = \frac{m_{ab}^2}{2E} + \frac{1}{E} [(a_L)^\alpha p_\alpha - (c_L)^{\alpha\beta} p_\alpha p_\beta]_{ab}$$

↻ standard Lorentz covariant term  
↻ Lorentz violation  
↻ violates both CPT and Lorentz invariance

As usual, the oscillation probability is governed by the difference of the eigenvalues of the effective hamiltonian.

$$\sin^2(\Delta_{ab} L/2)$$

↻  $m_{ab}^2 L/E$   
↻  $(a^\alpha)_{ab} L$   
↻  $(c^{\alpha\beta})_{ab} L E$



Neutrinos,  
In and Beyond the Standard Model:

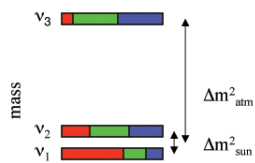
NEUTRINO MASS:

$$\delta m_{atm}^2 = 2.7_{-0.3}^{+0.4} \times 10^{-3} eV^2 \quad L/E = 500 \text{ km/GeV}$$

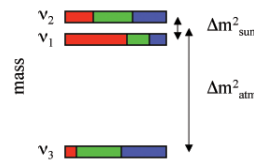
$$\delta m_{solar}^2 = 8.0 \pm 0.4 \times 10^{-5} eV^2 \quad L/E = 15 \text{ km/MeV}$$



$$m_\nu^{Heavy} > \sqrt{\delta m_{atm}^2} = 50 \text{ meV}$$

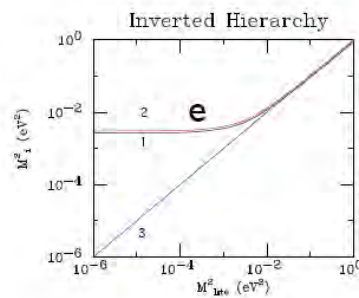
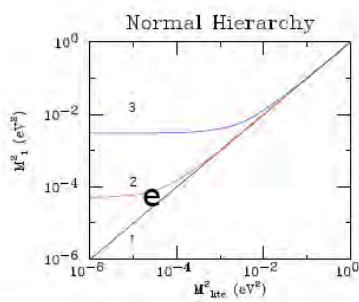


Normal mass hierarchy




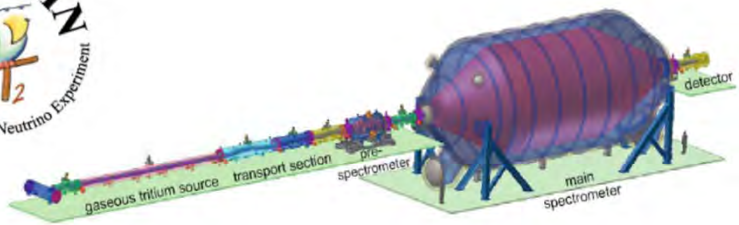
Inverted mass hierarchy

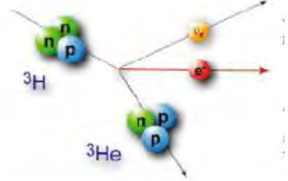
**Masses:**



States 1 and 2 are  $\nu_e$  rich.





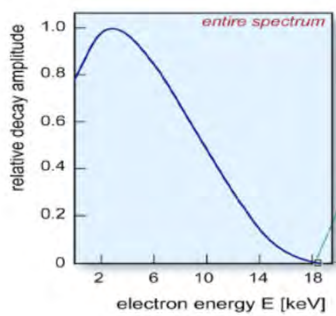


**KATRIN Task:**  
Investigate Tritium endpoint with sub-eV precision

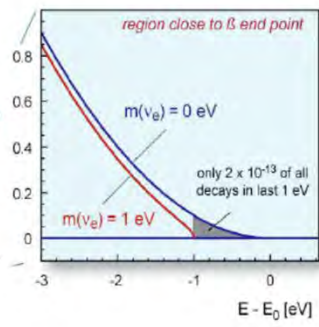
**KATRIN Aim:**  
Improve  $m_\nu$  sensitivity 10 x (2eV  $\rightarrow$  0.2eV)

**Requirements:**

- Strong source
- Excellent energy resolution
- Small endpoint energy  $E_0$
- Long term stability
- Low background rate



entire spectrum



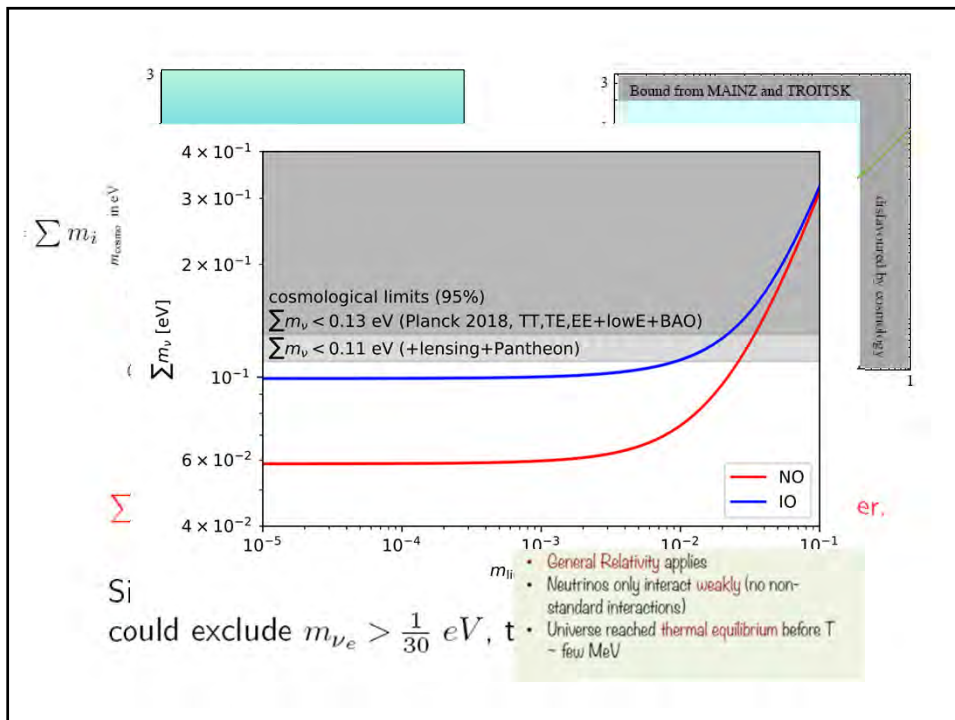
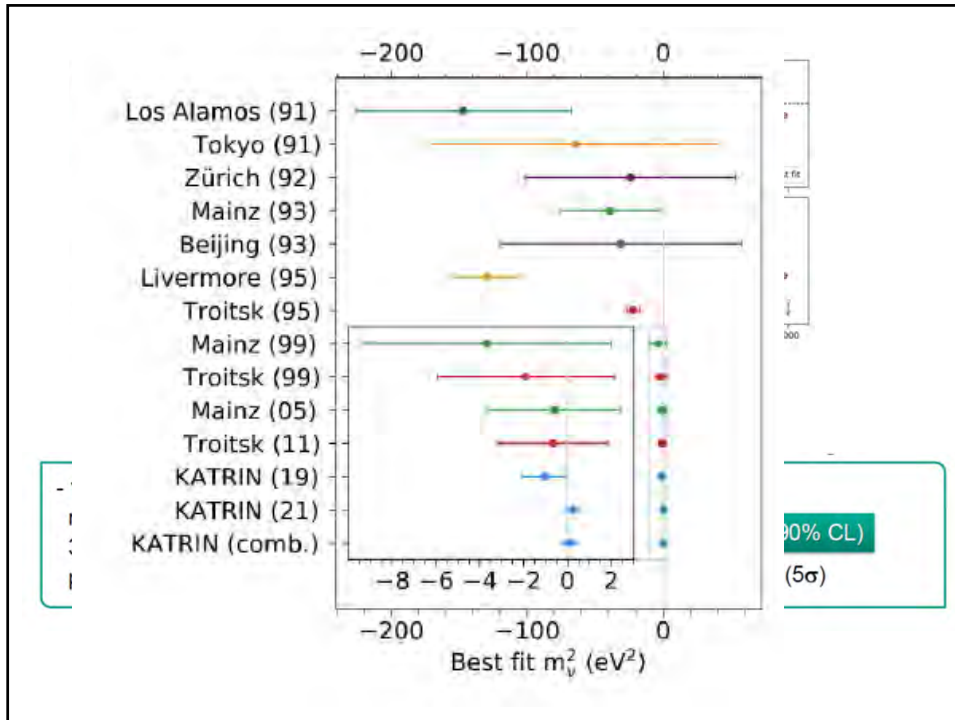
region close to  $\beta$  end point

Decay Rate:

$$|\langle {}^3\text{He} + e^- + \bar{\nu} | T | {}^3\text{H} \rangle|^2 \sim pE(E_0 - E) \sum_k |U_{ek}|^2 \sqrt{(E_0 - E)^2 - m_k^2}$$

if  $\nu$ 's quasi-degenerate:  $m_1 \approx m_2 \approx m_3$

$$|\langle {}^3\text{He} + e^- + \bar{\nu} | T | {}^3\text{H} \rangle|^2 \sim pE(E_0 - E) \sqrt{(E_0 - E)^2 - m_\nu^2}$$



### CMB: neutrino mass

Spherical harmonics decomposition:

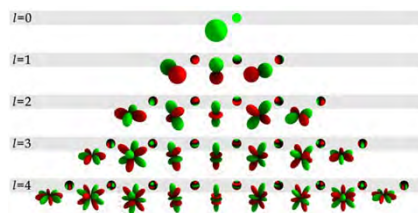
$$T(\hat{n}) = \sum_{\ell=0}^{\ell_{\max}} \sum_{m=-\ell}^{\ell} a_{\ell m} Y_{\ell m}(\hat{n})$$

With expansion coefficients:

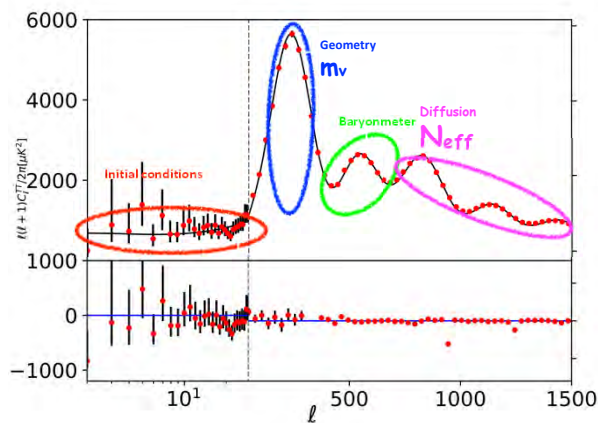
$$a_{\ell m} = \int_{4\pi} T(\hat{n}) Y_{\ell m}^*(\hat{n}) d\Omega$$

The angular power spectrum measures the amplitude of the expansion coefficients as a function of the wavelength:

$$C_{\ell} = \frac{1}{2\ell + 1} \sum_{m=-\ell}^{\ell} |a_{\ell m}|^2$$



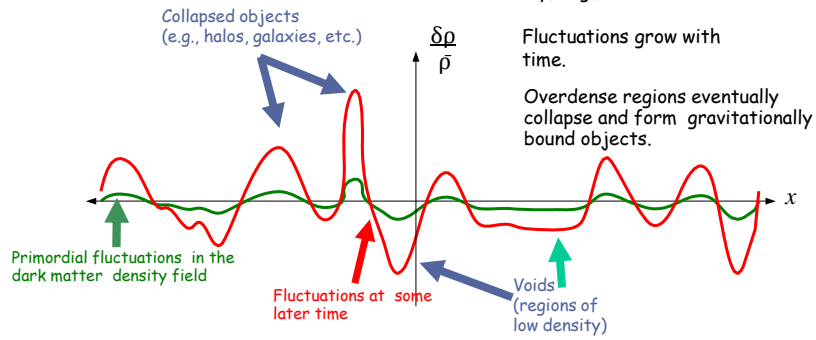
### CMB: a lot to learn about...



### How structures form...

Photons freestream: Inhomogeneities turn into anisotropies

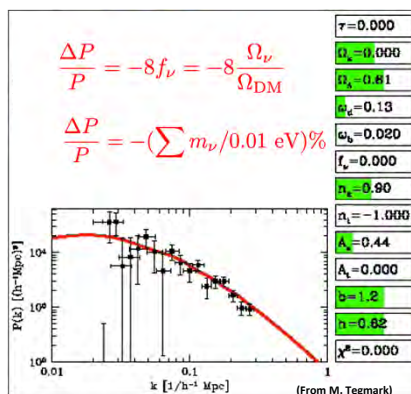
Initial fluctuations seeded by, e.g., inflation.

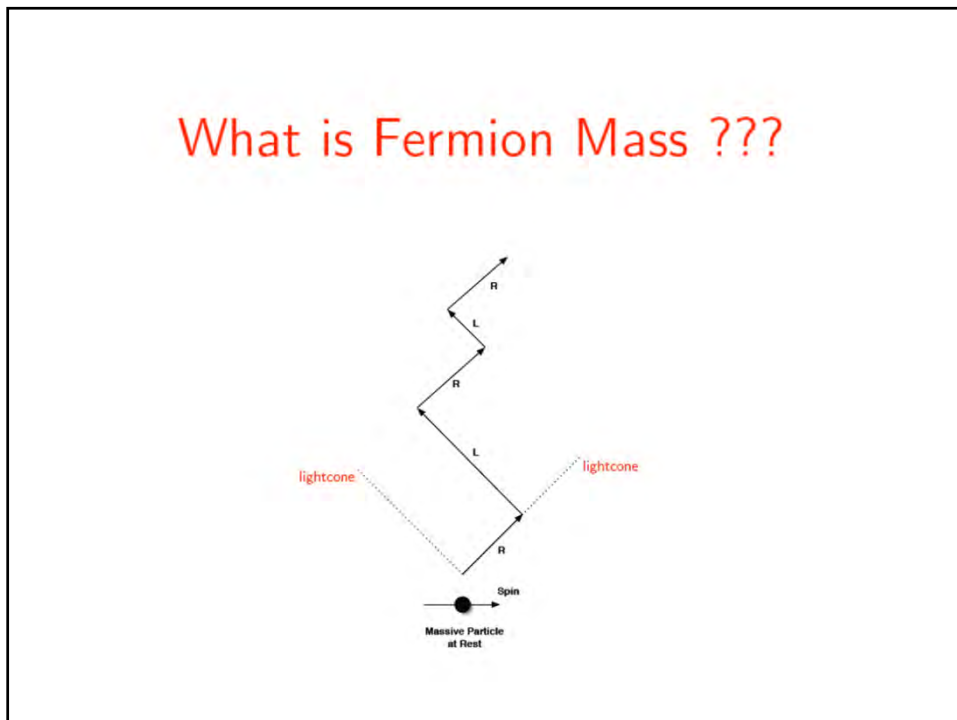
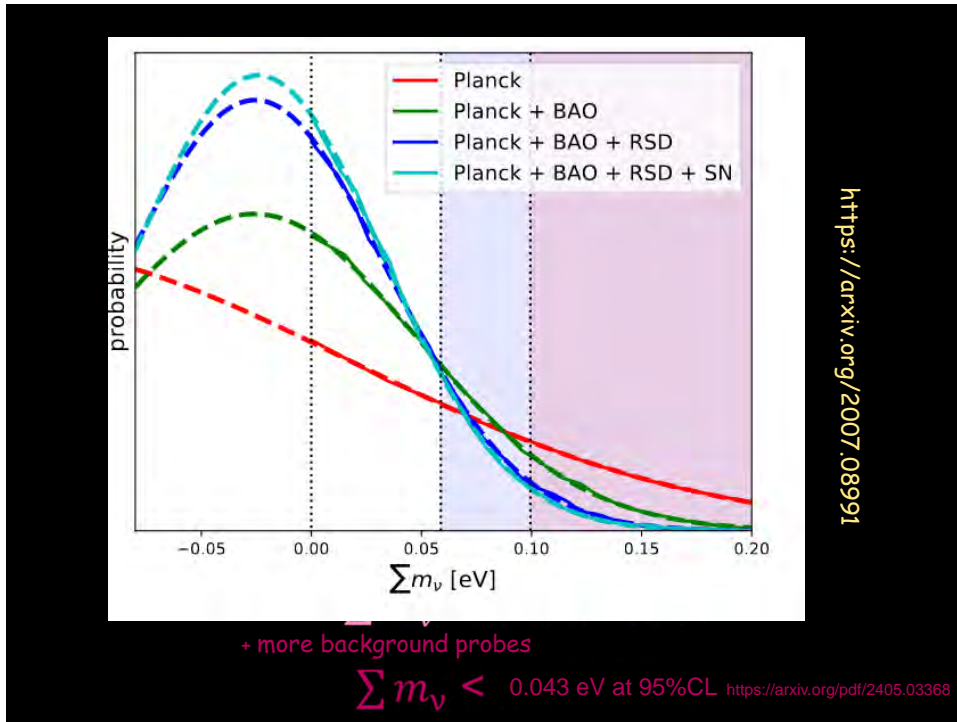


From Y. Wong

### Large scale structure

#### Matter power spectrum suppression

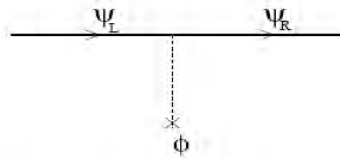




A mass can be thought of as a  $L \leftrightarrow R$  transition:

$$m \overline{\psi}_L \psi_R + h.c.$$

In the SM fermion masses originate in the interaction with the Higgs field:



$$\lambda_f \overline{\psi}_L \Phi \psi_R + h.c. \rightarrow m_f = \lambda_f v$$

### Fermion Masses:

	electron	positron	
Left Chiral	$e_L$	$\bar{e}_R$	$SU(2) \times U(1)$
Right Chiral	$e_R$	$\bar{e}_L$	$U(1)$

$$\text{CPT: } e_L \leftrightarrow \bar{e}_R \text{ and } e_R \leftrightarrow \bar{e}_L$$

Mass couples L to R:

$e_L$  to  $e_R$  AND also  $\bar{e}_R$  to  $\bar{e}_L$  Dirac Mass terms.

Massive Particle at Rest

Spin →

Mass couples L to R:

$$P^2 = M^2, \quad P \cdot S = 0 \quad \text{and} \quad S^2 = -1$$

$$u(P, S) = \frac{(1 + \gamma_5)}{2} u\left(\frac{P + MS}{2}\right) + e^{i\phi} \frac{(1 - \gamma_5)}{2} u\left(\frac{P - MS}{2}\right)$$

right massless                  left massless

A coupling of  $e_L$  to  $\bar{e}_R$  OR  $e_R$  to  $\bar{e}_L$  would be (Majorana) mass term but this violates conservation of electric charge!

### Seesaw / Dirac Neutrinos / Light Sterile Neutrinos

	Nu	CPT:	Anti-Nu	
Left Chiral	$\nu_L$	$\Leftrightarrow$	$\bar{\nu}_R$	Dirac Masses
	$\Updownarrow$		$\Updownarrow$	
Right Chiral	$\nu_R$	$\Leftrightarrow$	$\bar{\nu}_L$	
		Majorana Masses		

Coupling of

- $\nu_L$  to  $\nu_R$  AND  $\bar{\nu}_R$  to  $\bar{\nu}_L$  are the Dirac masses.
- $\nu_L$  to  $\bar{\nu}_R$  forbidden by weak isospin.
- $\nu_R$  to  $\bar{\nu}_L$  allowed and coefficient is unprotected. ( $\rightarrow M$ )

Two Majorana neutrinos  
with masses  $m_D^2/M$  and  $M$

Seesaw:  
Yanagida, Gell-man-  
Ramond-Slansky

- Coupling of  $\nu_R$  to  $\bar{\nu}_L$  allowed and coefficient is unprotected. ( $\rightarrow M$ )  
Also applies to sterile neutrinos.

Light Sterile Neutrinos and/or Dirac Neutrinos Unexpected!!!!

The consequences of this alternative are profound:

- **Physics beyond the SM** at a scale  $M$ !
- Majorana fermions carry no conserved charge:  **$L$  is violated !**

$$\nu_L \rightarrow e^{i\alpha} \nu_L$$

does not leave the Majorana mass term invariant.

$\rightarrow$  Most welcome for **baryogenesis**: a mechanism to understand the matter-antimatter asymmetry in the Universe emerges naturally

$\rightarrow$  Most welcome by **string theory**: it is difficult to get global  $U(1)$  charges conserved

GB1

# Leptogenesis

Baryon Asymmetry is created by a Lepton Asymmetry produced by the decays of super heavy Majorana Neutrinos.

$$\frac{\Gamma(N \rightarrow l^+ \phi^-) - \Gamma(N \rightarrow l^- \phi^+)}{\Gamma(N \rightarrow l^+ \phi^-) + \Gamma(N \rightarrow l^- \phi^+)}$$

$\Gamma(N \rightarrow l^\pm \phi^\mp)$  depends on the Majorana Phases in the MNS mixing matrix.

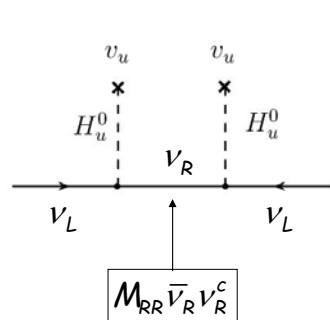
$$B_{now} = \frac{1}{2}(B - L) + \frac{1}{2}(B + L) = \frac{1}{2}(B - L)_{ini} = -\frac{1}{2}L_{ini}$$

↙  
**0**

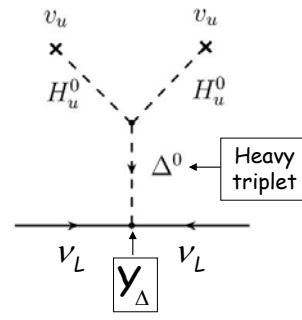
## Types of see-saw mechanism

Type I see-saw mechanism

Type II see-saw mechanism



$$m_{LL}^I \approx -m_{LR} M_{RR}^{-1} m_{LR}^T$$



$$m_{LL}^{II} \bar{\nu}_L \nu_L^c \approx Y_\Delta \frac{v_u^2}{M_\Delta}$$

## Diapositiva 41

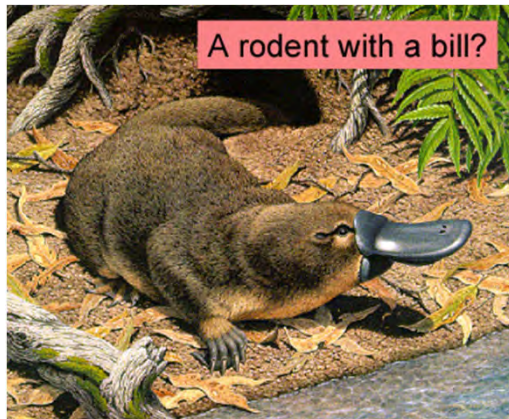
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**GB1**

Gabriela Barenboim; 29/04/2024

Naturalness may be over rated ...

Does this look natural ??



How Can We Demonstrate That  $\bar{\nu}_i = \nu_i$ ?

We assume neutrino **interactions** are correctly described by the SM. Then the **interactions** conserve L ( $\nu \rightarrow \ell^-$ ;  $\bar{\nu} \rightarrow \ell^+$ ).

An Idea that Does Not Work  
[and illustrates why most ideas do not work]

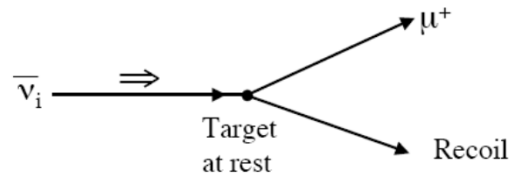
Produce a  $\nu_i$  via—



Give the neutrino a Boost:  
 $\beta_\pi(\text{Lab}) > \beta_\nu(\pi \text{ Rest Frame})$



The SM weak interaction causes—



$v_i = \bar{v}_i$  means that  $v_i(h) = \bar{v}_i(h)$ .  
↑ ↑ helicity

If  $v_i \Rightarrow = \bar{v}_i \Rightarrow$ ,  
 our  $v_i \Rightarrow$  will make  $\mu^+$  too.

## Minor Technical Difficulties

$$\beta_\pi(\text{Lab}) > \beta_\nu(\pi \text{ Rest Frame})$$

$$\Rightarrow \frac{E_\pi(\text{Lab})}{m_\pi} > \frac{E_\nu(\pi \text{ Rest Frame})}{m_\nu}$$

$$\Rightarrow E_\pi(\text{Lab}) > 10^4 \text{ TeV} \text{ if } m_\nu \sim 1 \text{ eV}$$

Fraction of all  $\pi$ -decay that get helicity flipped

$$\approx \left( \frac{m_\nu}{E_\nu(\pi \text{ Rest Frame})} \right)^2 \sim 10^{-16} \text{ if } m_\nu \sim 1 \text{ eV}$$

For Majorana Neutrinos

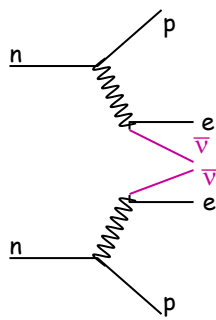


Not Observed

**Allowed**

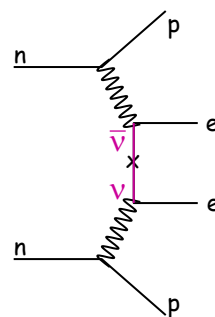
BUT Suppressed by  $\frac{m_\nu^2}{E^2} \sim 10^{-20}$  !!!

➤ How we can find out ?



SM double weak process

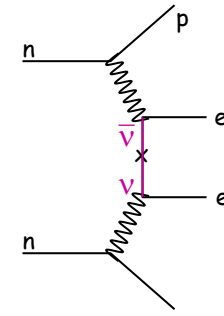
4 body decay: continuous spectrum for the  $e$  energy sum



Only allowed for Majorana  $\nu$

2 body decay:  $e$  energy sum is a delta

$\bar{\nu}_i$  is emitted (RH +  $O(m_i/E)$  LH)




Amp[ $\nu_i$  contribution]  $\sim m_i$

Amp[ $0\nu\beta\beta$ ]  $\propto$   $\left| \sum m_i U_{ei}^2 \right|$

effective mass

### Neutrinoless double beta decay


- Most sensitive (terrestrial) probe of the absolute neutrino mass
- Unique way of proving Majorana nature of  $\nu$
- If Majorana  $\nu$  is the only mechanism,  $\implies$

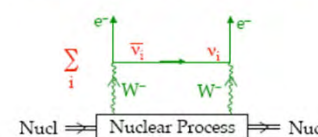


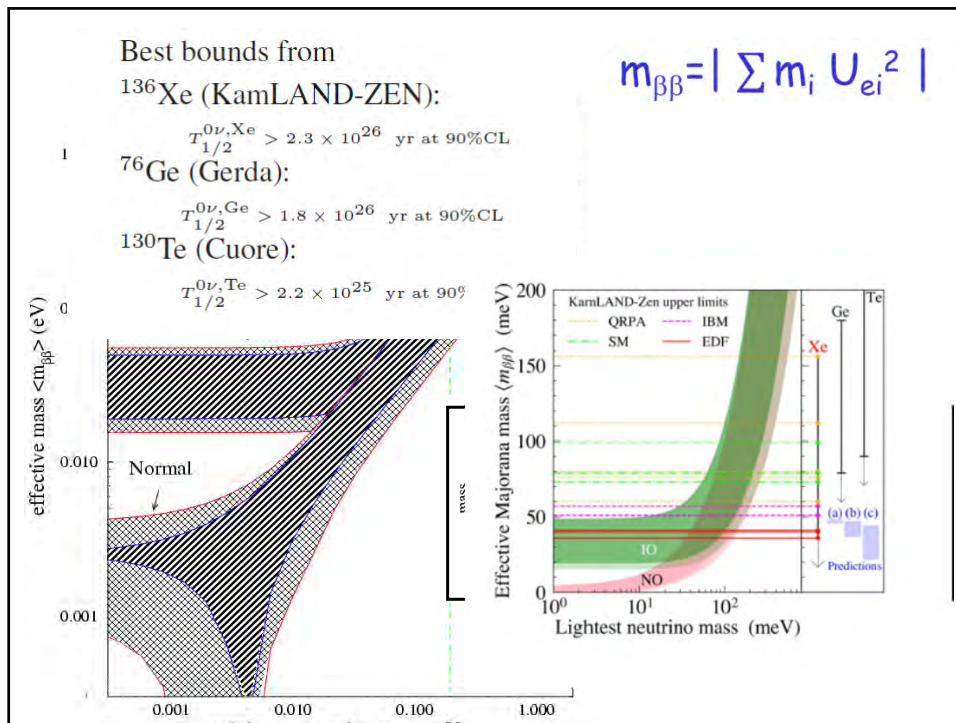
$$\langle m \rangle_{\beta\beta} \equiv \left| \sum_{i=1}^3 m_i U_{ei}^2 \right|$$

$$= \left| m_1 c_{12}^2 c_{13}^2 + m_2 s_{12}^2 c_{13}^2 e^{2i\beta} + m_3 s_{13}^2 e^{2i(\gamma-\delta)} \right|$$


$$T_{1/2}^{0\nu} = \frac{m_e}{G_{0\nu} M_{\text{nucl}}^2 m_{ee}^2}$$







## NEUTRINOS AGE WELL



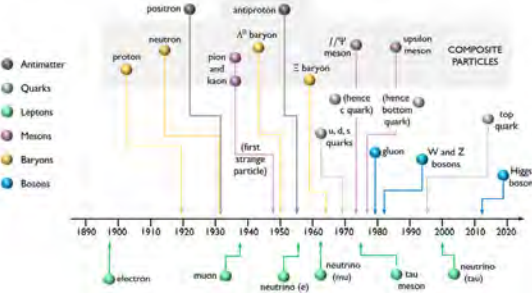
20 July 1956, Volume 124, Number 3212 **SCIENCE**

**Detection of the Free Neutrino: a Confirmation**

C. L. Cowan, Jr., F. Reines, F. B. Harrison, H. W. Kruse, A. D. McGuire

both trials. The detector was completely enclosed by a paraffin and lead shield and was located in an underground room of the reactor building which provides excellent shielding from both the reactor neutrons and gamma rays and from cosmic rays.

The signals from a bank of preamplifiers connected to the scintillation tanks were transmitted via coaxial lines to an electronic analyzing system in a trailer van parked outside the reactor building. Two independent sets of equipment were used to analyze and record the operation of the two trial detectors. Linear amplifiers fed the signals to pulse-height selection gates and coincidence circuits. When the required pulse amplitudes and coincidences (prompt and delayed) were satisfied, the outputs of two triple-beam oscilloscopes were triggered, and the pulses from the complete event were recorded photographically. The three beams of both oscilloscopes recorded signals from their respective scintillation tanks.



Antimatter, Quarks, Leptons, Mesons, Baryons, Bosons

electron, muon, neutrino ( $\nu_e$ ), neutrino ( $\nu_\mu$ ), tau meson, tau neutrino ( $\nu_\tau$ ), positron, neutron, proton, pion and kaon,  $\Lambda^0$  baryon,  $\Sigma$  baryons,  $J/\psi$  meson,  $\psi$  meson,  $u, d, s$  quarks, (hence c quark), (hence bottom quark), gluon, W and Z bosons, Higgs boson, top quark, COMPOSITE PARTICLES

