

Neutrinos in cosmology



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June 25 – 28, 2024

The grand lecture plan...

Part 1: Neutrinos in homogeneous cosmology

1. The homogeneous and isotropic universe
2. The hot universe and the cosmic neutrino background
3. Precision $C\nu B$

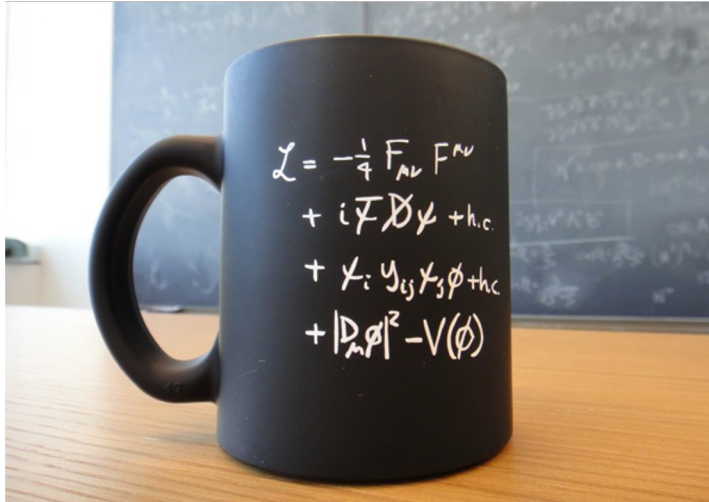
Part 2: Neutrinos in inhomogeneous cosmology

1. Theory of inhomogeneities
2. Neutrinos and structure formation
3. Relativistic neutrino free-streaming and non-standard interactions

Part 1: Neutrinos in homogeneous cosmology

1. The homogeneous and isotropic universe
2. The hot universe and the cosmic neutrino background
3. Precision $C\nu B$

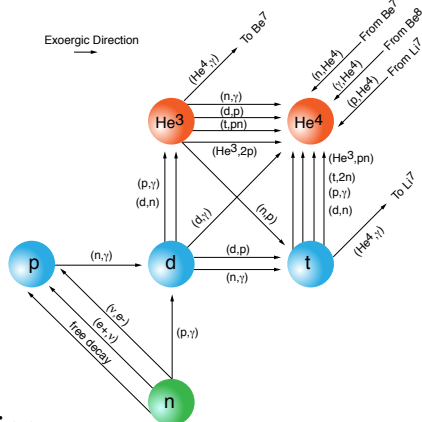
3. Precision CvB...



Observable CνB...

We **cannot detect the CvB** in the lab. But we can discern its presence from its impact on the **events that take place after its formation.**

Light element abundances



Properties of the CvB probed:

N_{eff} (expansion rate)

Part 1

N_{eff} (expansion rate)

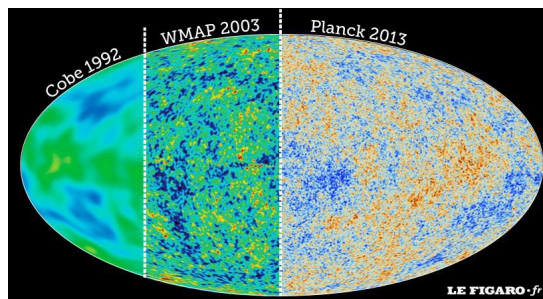
 Σm_ν (perturbation growth)

Interactions (free-streaming)

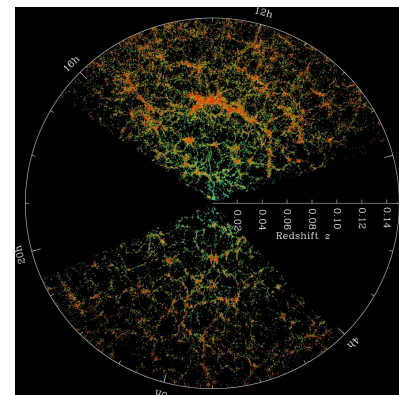
Lifetime (free-streaming)

- Part 2

CMB anisotropies



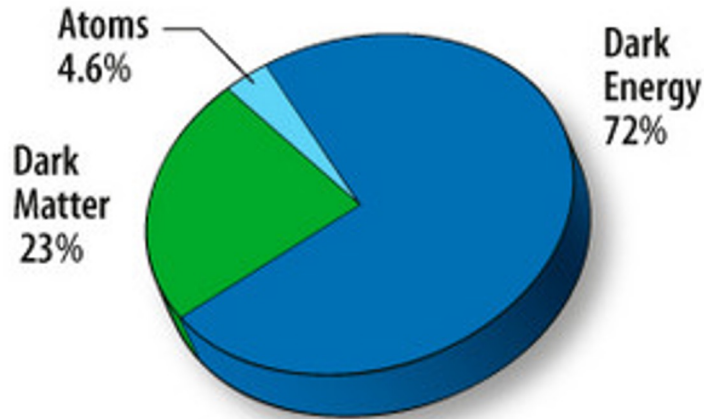
Large-scale matter distribution



The concordance flat Λ CDM model...

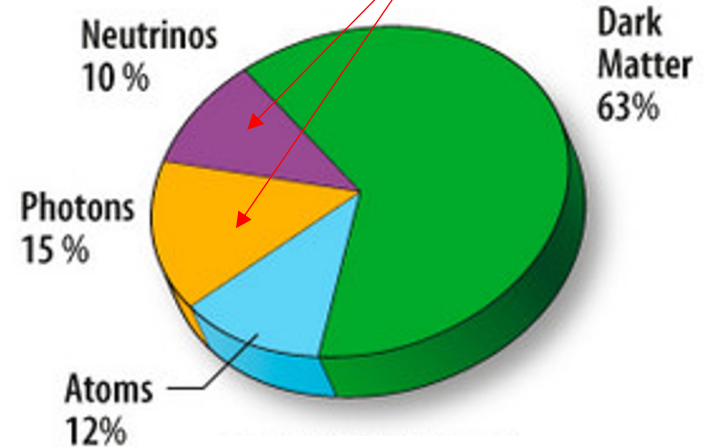
The **simplest** model consistent with **present observations**.

$$\frac{\rho_\nu}{\rho_\gamma} \approx 0.67$$



Composition today

Plus flat spatial geometry+initial conditions
from single-field inflation



13.4 billion years ago
(at photon decoupling)

Neutrino-to-photon energy density ratio...

Recall the standard hot big bang prediction for the **CνB temperature**:

$$T_\nu = \left(\frac{4}{11}\right)^{1/3} T_\gamma$$

→ At early times when $T_\nu \gg m_\nu$ (i.e., relativistic neutrinos), the **energy density in one family of $\nu + \bar{\nu}$** is:

$$\rho_{\nu_\alpha} \simeq \frac{7}{8} \left(\frac{4}{11}\right)^{\frac{4}{3}} \rho_\gamma \simeq 0.227 \rho_\gamma$$

Annotations:
- Blue arrow from $\rho_{\text{relativistic}} \sim T^4$ points to the $\frac{4}{3}$ exponent.
- Red arrow from ρ_{ν_α} points to the $\frac{7}{8}$ factor, labeled "Fermion vs boson".

- **Summing** over all three families: $\sum_{\nu_e, \nu_\mu, \nu_\tau} \rho_{\nu_\alpha} \approx 3 \times 0.227 \rho_\gamma \approx 0.68 \rho_\gamma$

Effective number of neutrinos...

A common practice is to express the neutrino-to-photon energy density ratio in terms of the **effective number of neutrino** N_{eff} parameter.

$$\sum_{\nu_e, \nu_\mu, \nu_\tau} \rho_{\nu_\alpha} = N_{\text{eff}} \times \frac{7}{8} \left(\frac{4}{11} \right)^{4/3} \rho_\gamma$$

The SM value is $N_{\text{eff}}^{\text{SM}} = 3.0440 \pm 0.0002$, for

- **3 families** of neutrinos + antineutrinos
- A variety of **%-level SM effects** that alter **both** ρ_{ν_α} and ρ_γ from their naïve expectations.

Energy density in one thermalised species of massless fermions with 2 internal d.o.f. and temperature $T_\nu = \left(\frac{4}{11} \right)^{1/3} T_\gamma$.

More on this later on.


Extending N_{eff} to light BSM thermal relics...

Any **light** (\sim sub-eV mass), **feebly-interacting** particle species produced by scattering in the early universe will **look sort of like a neutrino** as far as cosmology is concerned.

- E.g., light sterile neutrinos, thermal axions, ...
- At leading order, these **light thermal relics** add to the SM neutrino energy density **as if $N_{\text{eff}} \gtrsim 3$** .

→ Re-interpret N_{eff} as the early-time **non-photon radiation** content:

$$\sum_{\nu_e, \nu_\mu, \nu_\tau} \rho_{\nu_\alpha} + \rho_{\text{other}} = N_{\text{eff}} \times \frac{7}{8} \left(\frac{4}{11} \right)^{4/3} \rho_\gamma$$



$$N_{\text{eff}} = N_{\text{eff}}^{\text{SM}} + \Delta N_{\text{eff}}$$

N_{eff} and the expansion rate...

The primary impact of N_{eff} is on the **expansion rate during & shortly after** radiation domination.

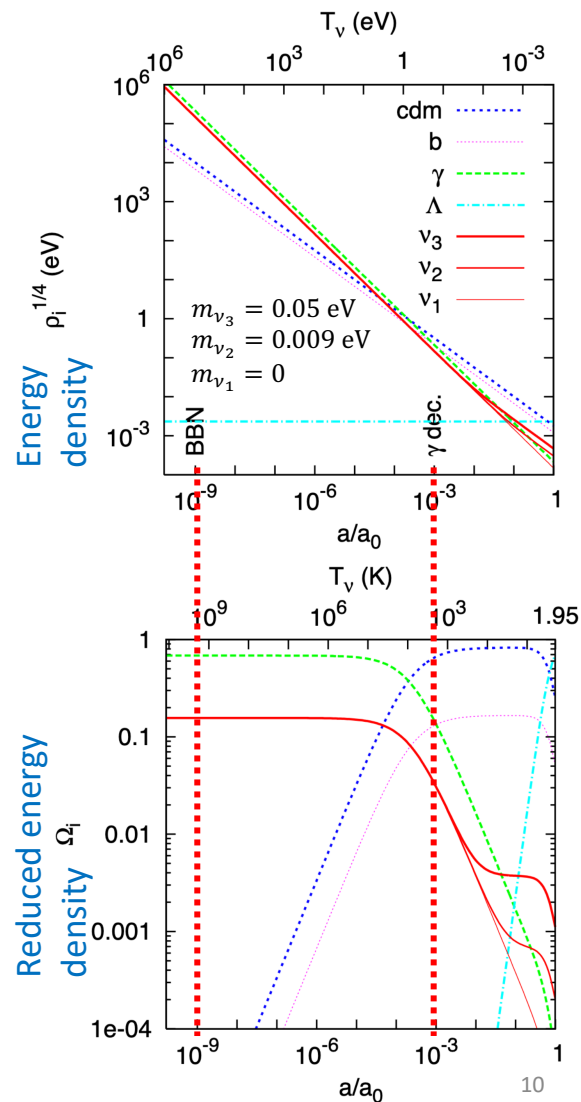
Hubble expansion rate (Friedmann equation)

$$H^2(t) \equiv \left(\frac{\dot{a}}{a}\right)^2 = \frac{8\pi G}{3} \sum_{\alpha} \rho_{\alpha} - \frac{K}{a^2}$$

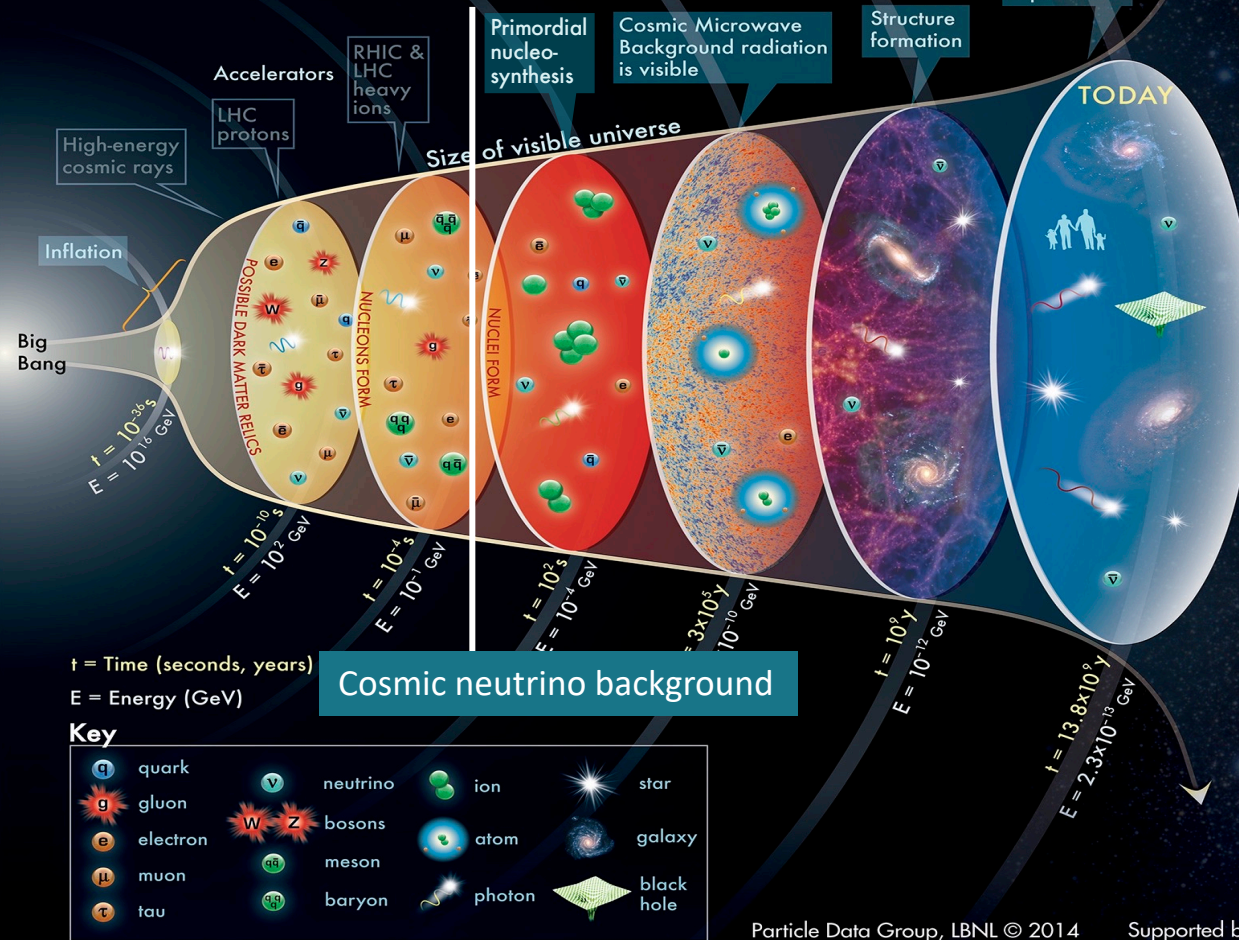
At $a \lesssim O(10^{-3}) \approx \frac{8\pi G}{3} \sum_{\text{relativistic}} \rho_{\alpha}$

N_{eff} goes in here

- Light element abundances (particularly Helium-4) from **primordial nucleosynthesis** and the **CMB anisotropies** are sensitive to this parameter.



HISTORY OF THE UNIVERSE



Particle Data Group, LBNL © 2014

Supported by DOE

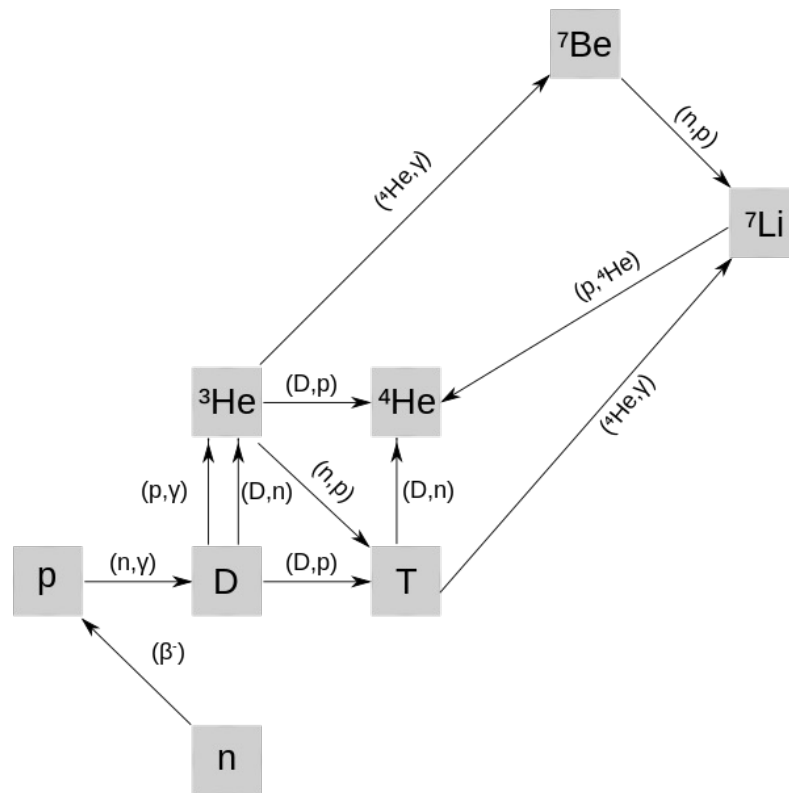
N_{eff} and nucleosynthesis...

Primordial nucleosynthesis takes place at $T \sim O(100) - O(10)$ keV, shortly after neutrino decoupling.

- Changing the expansion rate affects the production of **all** light elements.
- The **largest effect is on He4**, because
 - Almost all neutrons end up in He4.
 - The **neutron-to-proton ratio** depends strongly on how expansion affects the β -processes:

$$\nu_e + n \leftrightarrow p + e^-$$

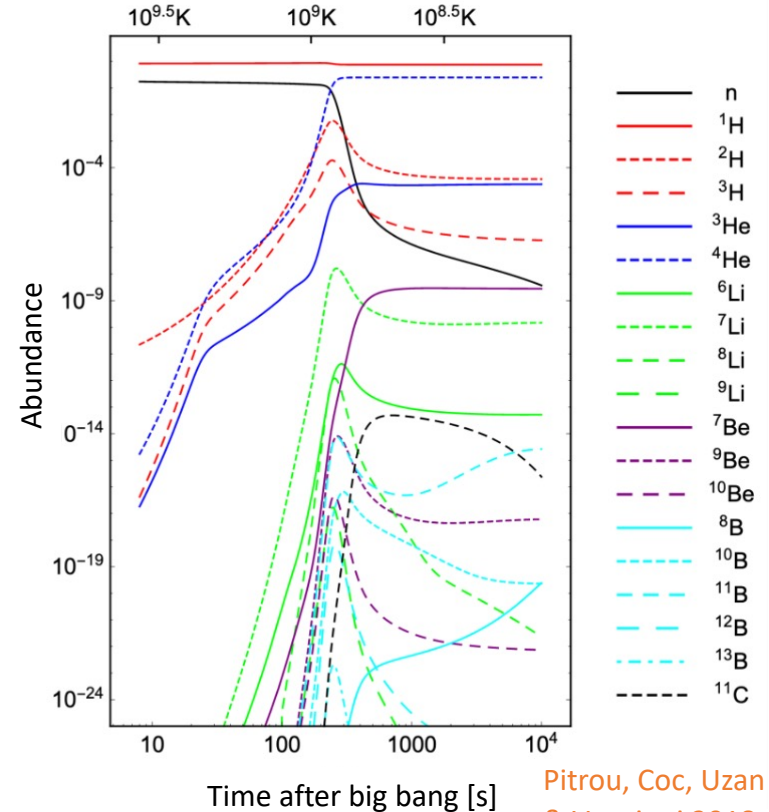
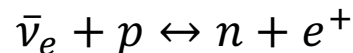
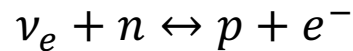
$$\bar{\nu}_e + p \leftrightarrow n + e^+$$



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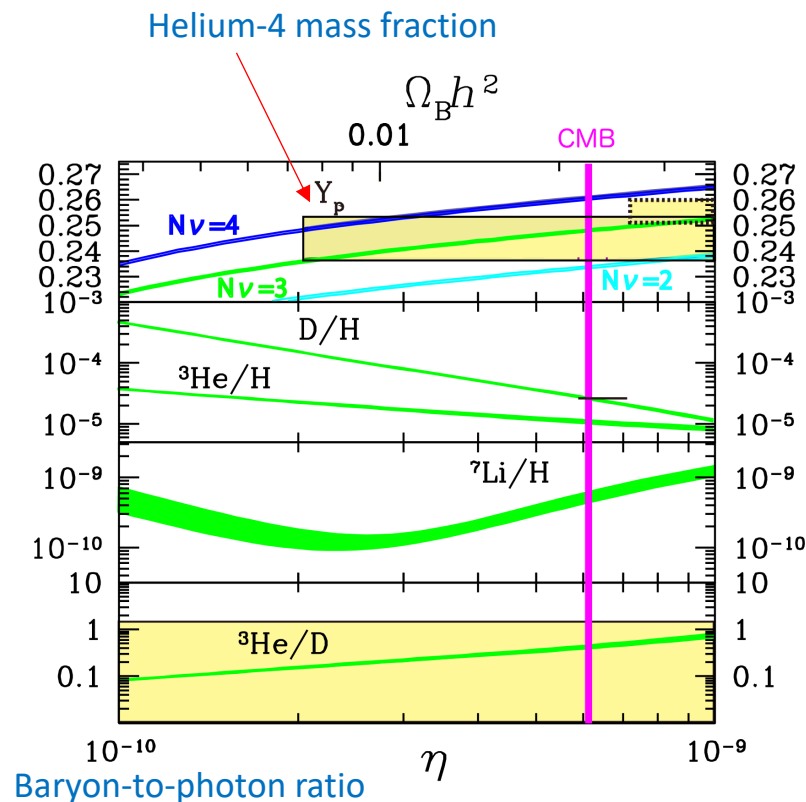
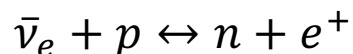
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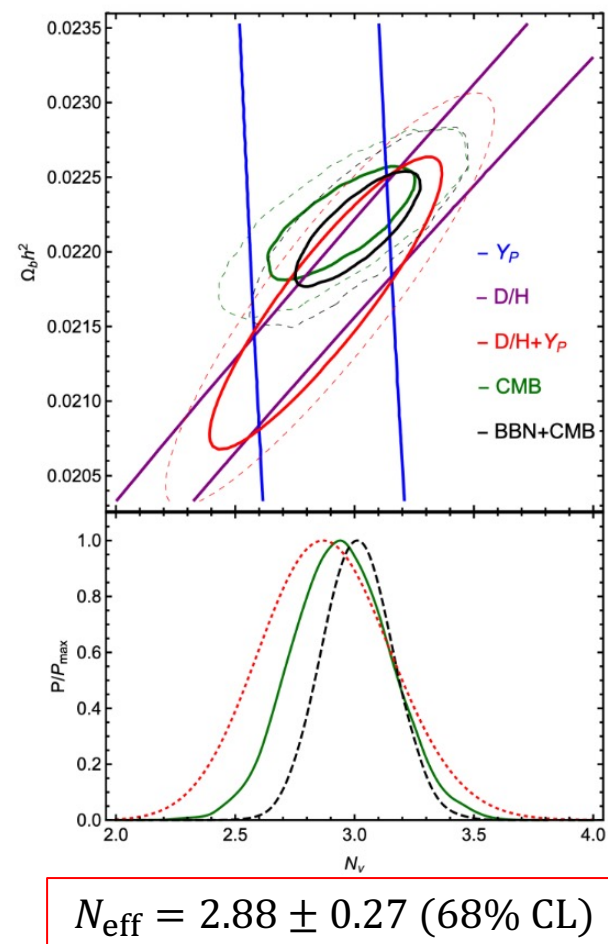
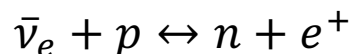
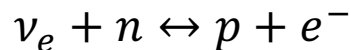


Kawasaki, Kohri, Moroi & Takaesu 2018

N_{eff} and nucleosynthesis...

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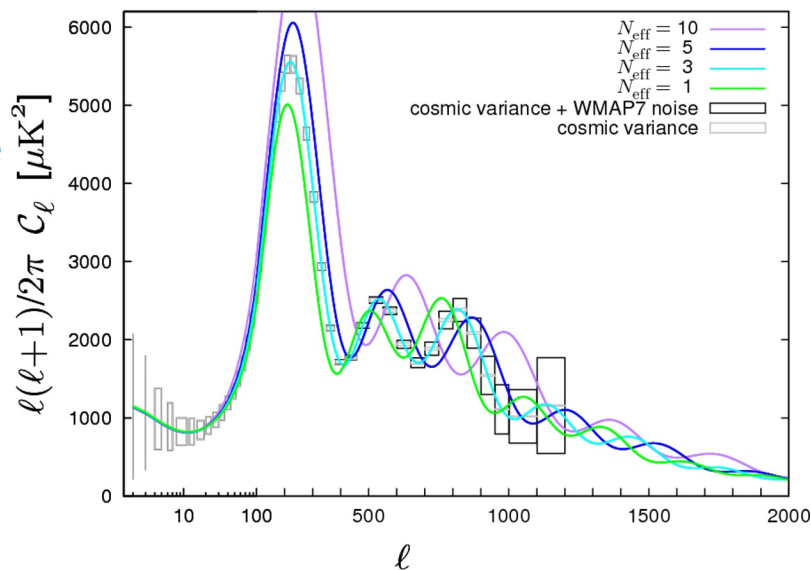


N_{eff} and the CMB anisotropies...

N_{eff} also affects the **expansion rate at recombination** ($T \sim 0.2 \text{ eV}$), observable in the **CMB temperature** power spectrum

- If you plug different values of N_{eff} into CAMB or CLASS, this is what you'll get.
- But this is **not** the “real” effect of N_{eff} , because **degeneracy** with, e.g., the matter density ω_m , the Hubble parameter h , etc., can **largely offset it**.

→
“Naïve”
signature



N_{eff} and the CMB anisotropies...

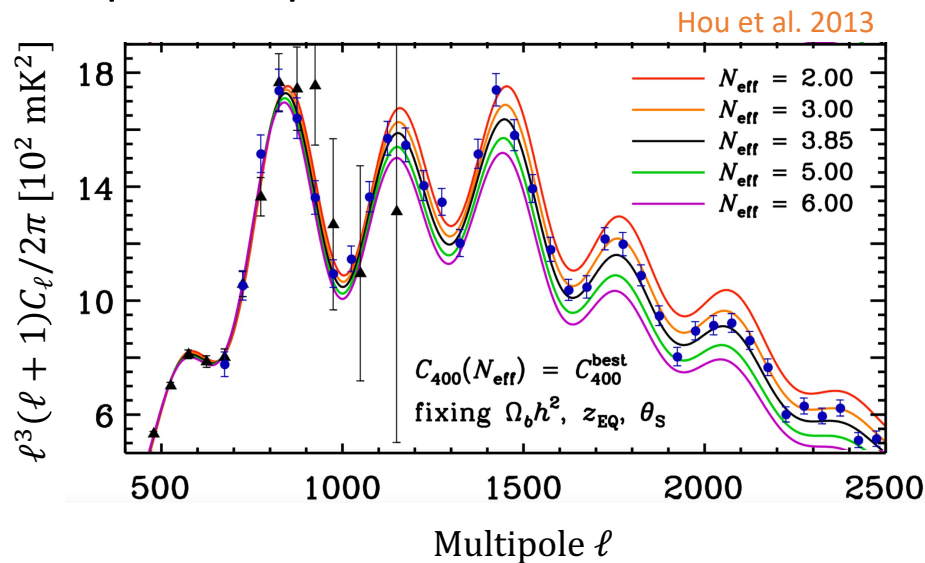
N_{eff} also affects the **expansion rate at recombination** ($T \sim 0.2$ eV), observable in the **CMB temperature** power spectrum

- Adjusting ω_m and h to match the first peak height and location, the **irreducible signature of N_{eff} is in the damping tail**.

Diffusion damping scale

$$r_d^2 \simeq (2\pi)^2 \int_0^{a_*} \frac{da}{a^3 \sigma_T n_e H} \left[\frac{R^2 + (16/15)(1+R)}{6(1+R)^2} \right]$$

Thomson cross section → Free electron density → Hubble expansion → Baryon-to-photon density ratio



$$N_{\text{eff}} = 2.99 \pm 0.34 \text{ (95\% CL)}$$

Aghanim et al. [Planck] 2021

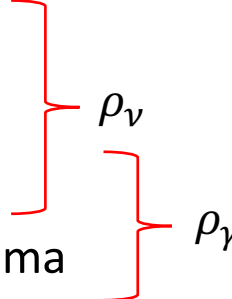
Planck TTTEEE
+lowE+lensing+BAO;
7-parameters

Precision $N_{\text{eff}}^{\text{SM}}$...

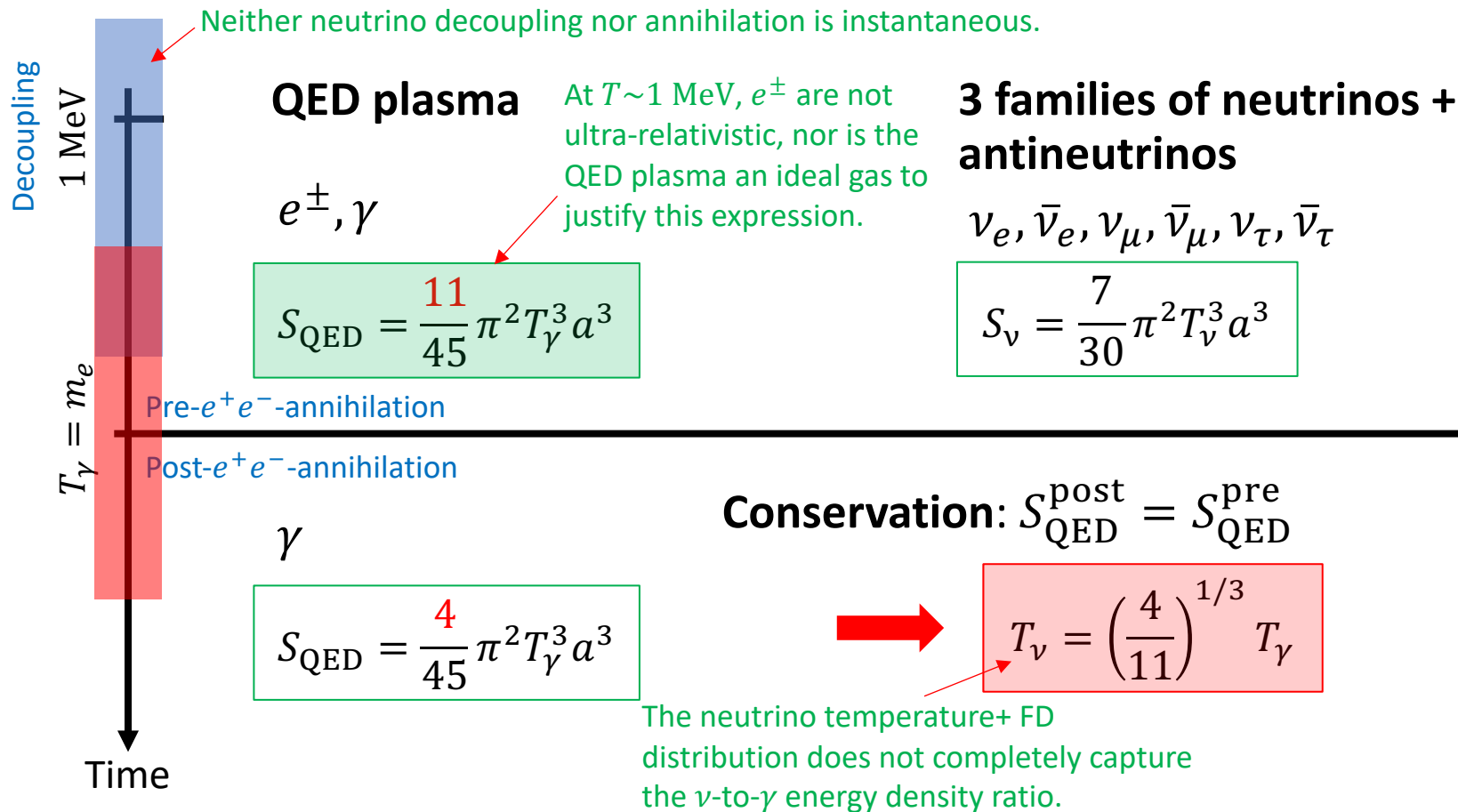
The **SM value of N_{eff}** can be calculated very precisely:

$$\sum_{\nu_e, \nu_\mu, \nu_\tau} \rho_{\nu_\alpha} = N_{\text{eff}}^{\text{SM}} \times \frac{7}{8} \left(\frac{4}{11} \right)^{4/3} \rho_\gamma$$

with $N_{\text{eff}}^{\text{SM}} = 3.0440 \pm 0.0002$, where the %-level corrections come from [Bennett et al. 2019, 2020; Akita and Yamaguchi 2020; Froustey, Pitrou & Volpe 2020; Drewes et al. 2024](#)

- Non-instantaneous neutrino decoupling
 - Neutrino flavour oscillations
 - Non-relativistic electron gas across neutrino decoupling
 - Finite-temperature QED effects in the photon/electron plasma
- 

Deviations, or what's wrong with this picture?



Tracking non-instantaneous decoupling...

The effect of an out-of-equilibrium interaction on a particle species can be tracked using the **Boltzmann equation**.

f_1 = Phase space density of the particle species of interest

$$\frac{\partial f_1}{\partial t} = -\{f_1, H\} + \frac{1}{E_1} C[f_1]$$

Collision term

Hamiltonian for particle propagation

- **The collision term** for e.g., $1 + 2 \rightarrow 3 + 4$

9D phase space integral

$$C[f_1] = \frac{1}{2} \int \prod_{i=2}^4 \frac{d^3 p_i}{(2\pi)^3 2E_i} (2\pi)^4 \delta^4(P_1 + P_2 - P_3 - P_4) |M|^2$$

Energy-momentum conservation

Matrix element

$$\times [f_3 f_4 (1 \pm f_1)(1 \pm f_2) - f_1 f_2 (1 \pm f_3)(1 \pm f_4)]$$

Quantum statistical factors

Tracking decoupling including oscillations...

Tracking neutrino decoupling is complicated by **neutrino oscillations**.

- We promote the classical Boltzmann equation for the phase space density to a **quantum kinetic equation (QKE)** for the **density matrix** of the neutrino ensemble.

Boltzmann

$$\frac{\partial f_1}{\partial t} = -\{f_1, H\} + \frac{1}{E_1} C[f_1]$$

Density matrix (momentum-dependent)

$$\hat{\rho} = \begin{pmatrix} \rho_{ee} & \rho_{e\mu} & \rho_{e\tau} \\ \rho_{\mu e} & \rho_{\mu\mu} & \rho_{\mu\tau} \\ \rho_{\tau e} & \rho_{\tau\mu} & \rho_{\tau\tau} \end{pmatrix}$$

Diagonal \sim occupation numbers
Off-diagonal \sim oscillation phases

Quantum kinetic equation

$$\frac{\partial \hat{\rho}_1}{\partial t} = -\frac{1}{i\hbar} [\hat{\rho}_1, \hat{H}] + \frac{\hat{C}[\hat{\rho}]}{E_1}$$

Collision term

e.g., Sigl & Raffelt 1993

Hamiltonian

$$\hat{H} = \frac{1}{2p} U \begin{pmatrix} m_1 & 0 & 0 \\ 0 & m_2 & 0 \\ 0 & 0 & m_3 \end{pmatrix} U^\dagger + \hat{V}_{\text{matter}}$$

Vacuum oscillations + matter effects

Interactions at $0.1 < T < 10$ MeV...

The particle content and interactions at $0.1 < T < 10$ MeV determine the **properties of the CνB**.

• **QED plasma:** e^\pm, γ

• **3 families of $\nu + \bar{\nu}$:** $\nu_e, \bar{\nu}_e,$
 $\nu_\mu, \bar{\nu}_\mu,$
 $\nu_\tau, \bar{\nu}_\tau$

EM interactions (always in equilibrium @ $0.1 < T < 10$ MeV):

$$\begin{aligned} e^+ e^- &\leftrightarrow \gamma \gamma \\ e^+ e^- &\leftrightarrow e^+ e^- \\ e^\pm e^\mp &\leftrightarrow e^\pm e^\mp \\ e^\pm e^\pm &\leftrightarrow e^\pm e^\pm \\ \gamma e^\pm &\leftrightarrow \gamma e^\pm \end{aligned}$$

Weak interactions (in equilibrium @ $T > O(1)$ MeV):

$$\begin{aligned} \nu_\alpha \nu_\beta &\leftrightarrow \nu_\alpha \nu_\beta \\ \nu_\alpha \bar{\nu}_\beta &\leftrightarrow \nu_\alpha \bar{\nu}_\beta \\ \bar{\nu}_\alpha \bar{\nu}_\beta &\leftrightarrow \bar{\nu}_\alpha \bar{\nu}_\beta \end{aligned} \quad \alpha, \beta = e, \mu, \tau$$

Coupled @ $T > O(1)$ MeV

$$\begin{aligned} \nu_\alpha e^\pm &\leftrightarrow \nu_\alpha e^\pm \\ \nu_\alpha \bar{\nu}_\alpha &\leftrightarrow e^+ e^- \end{aligned}$$

Weak interactions (in equilibrium @ $T > O(1)$ MeV)

These processes go into the collision integral and matter effects.

Collision integrals @ next-to-leading order...

Weak annihilation and scattering rates are currently computed to $O(G_F^2)$.

- Recent interest in computing **QED corrections** (quantum + finite-temperature) to these rates

Significant effect claimed in

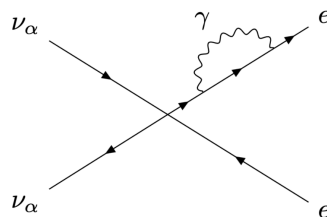
Cielo, Escudero, Mangano & Pisanti 2023

Versus negligible effect

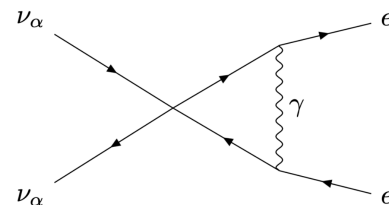
Jackson & Laine 2023

Drewes, Georis, Klasen, Wiggering & Y³W 2024

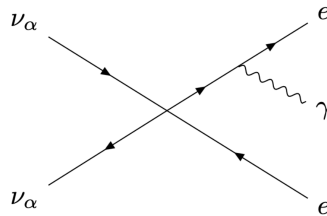
- No complete picture yet**, but there's work in progress. So stay tuned!



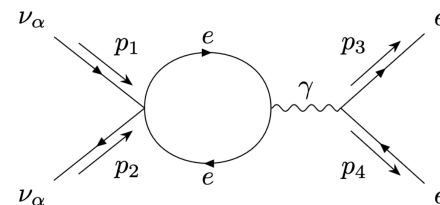
(a)



(b)



(c)



(d)

Aside: sterile neutrinos...

See Physics Reports by
Dasgupta & Kopp 2021
Abazajian 2017

The **QKE formalism** can also be used to compute **sterile neutrino production**.

- Light (sub-eV to eV mass) sterile states motivated by the short-baseline anomalies
- keV sterile neutrino dark matter

Differences:

- Sterile states have **no** matter effects or collisions.
- Sub-eV to eV sterile states are produced most efficiently at $T \sim O(1)$ MeV, while keV states are produced much earlier at $T \sim O(100)$ MeV, i.e., subject to different background expansion and SM neutrino interactions.

Aside: non-standard neutrino interactions...

You can also use the QKE formalism to compute the effects of **non-standard neutrino interactions (NSI)** on the N_{eff} .

- NSI can change neutrino scattering and annihilation through the collision integral.
- NSI can add to the matter effects through the oscillation Hamiltonian.

De Salas, Gariazzo, Martinez-Mirave, Pastor & Tortola 2021

ε_{ee}^L	$\varepsilon_{\tau\tau}^L$	N_{eff}	$N_{\text{eff}} - N_{\text{eff}}^{\text{no NSI}}$	$N_{\text{eff}}^{\text{osc}}$	$N_{\text{eff}}^{\text{osc}} - N_{\text{eff}}^{\text{no NSI}}$	$N_{\text{eff}}^{\text{coll}}$	$N_{\text{eff}}^{\text{coll}} - N_{\text{eff}}^{\text{no NSI}}$
0.2	-0.3	3.05714	1.4×10^{-2}	3.04357	-7×10^{-5}	3.05714	1.4×10^{-2}
-0.3	0.2	3.03199	-1.2×10^{-2}	3.04367	3×10^{-5}	3.03198	-1.2×10^{-2}

Table 3: Comparison between the value of N_{eff} obtained for two sets of NSI parameters considering only its impact on oscillations through equation (16) ($N_{\text{eff}}^{\text{osc}}$) or in the collisional integrals through the G^X matrices in equation (11) ($N_{\text{eff}}^{\text{coll}}$). The deviation from the value of N_{eff} expected in the absence of NSI under the same assumptions is presented as a reference, where $N_{\text{eff}}^{\text{no NSI}} = 3.04364$ (muons are not included).

DIY: neutrino QKE codes...

Two **publicly available neutrino QKE codes** for fully momentum-dependent **decoupling/sterile neutrino production** calculations.

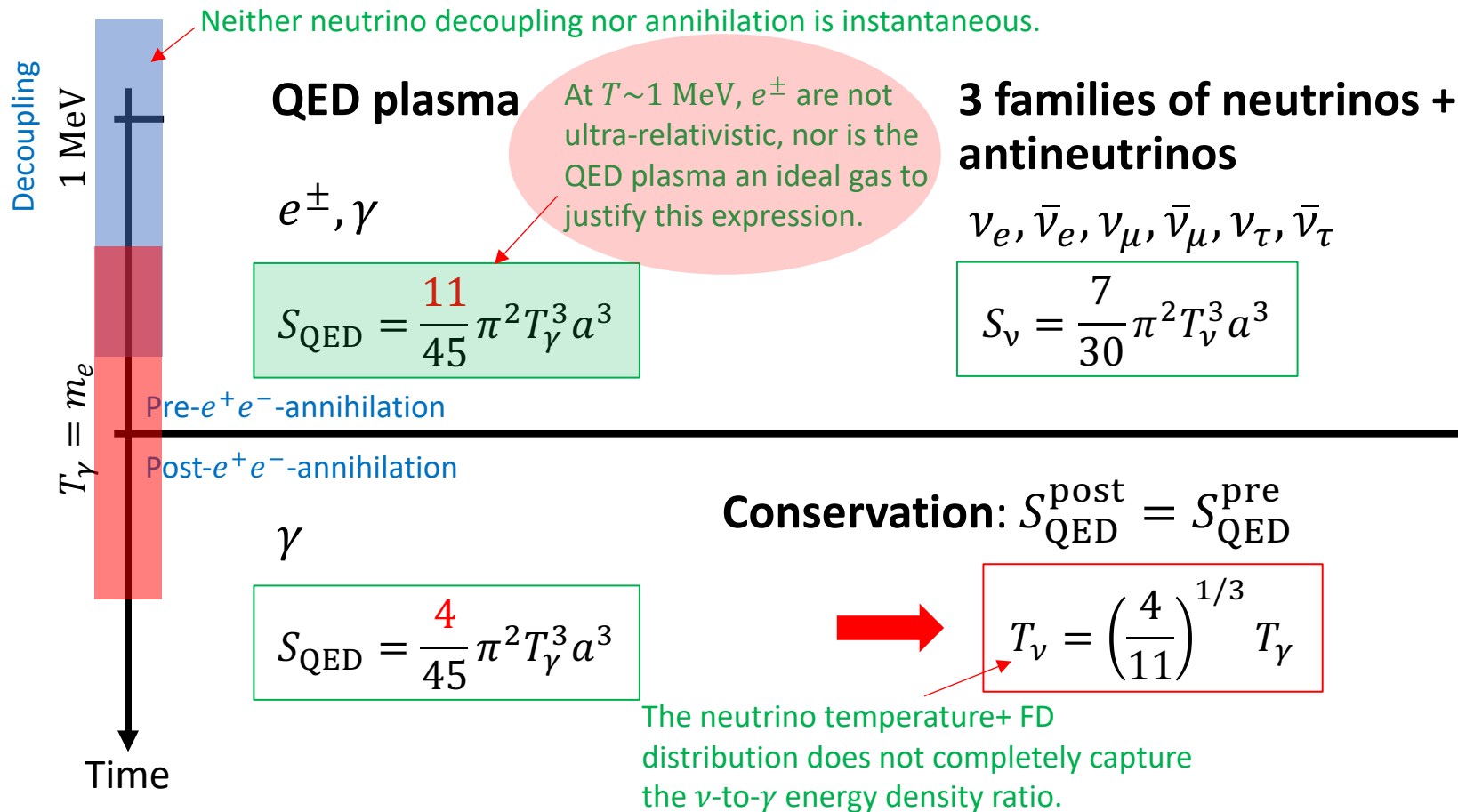
- **FortEPiaNO:**

- 3 active +3 sterile
- CP symmetric only
- Precision mode includes corrections to the QED equation of state to $O(e^3)$.
- https://bitbucket.org/ahep_cosmo/fortepiano_public

- **LASAGNA:**

- 1 active + 1 sterile
- Can handle CP asymmetry
- https://github.com/ThomasTram/LASAGNA_public

Deviations, or what's wrong with this picture?



Interactions at $0.1 < T < 10$ MeV...

The particle content and interactions at $0.1 < T < 10$ MeV determine the **properties of the CνB**.

- **QED plasma:** e^\pm, γ

EM interactions (always in equilibrium @ $0.1 < T < 10$ MeV):

$$\begin{aligned} e^+e^- &\leftrightarrow \gamma\gamma \\ e^+e^- &\leftrightarrow e^+e^- \\ e^\pm e^\mp &\leftrightarrow e^\pm e^\mp \\ e^\pm e^\pm &\leftrightarrow e^\pm e^\pm \\ \gamma e^\pm &\leftrightarrow \gamma e^\pm \end{aligned}$$

Deviations from an ideal gas described by thermal QED

- **3 families of $\nu + \bar{\nu}$:** $\nu_e, \bar{\nu}_e,$
 $\nu_\mu, \bar{\nu}_\mu,$
 $\nu_\tau, \bar{\nu}_\tau$

Weak interactions (in equilibrium @ $T > O(1)$ MeV):

$$\begin{aligned} \nu_\alpha \nu_\beta &\leftrightarrow \nu_\alpha \nu_\beta \\ \nu_\alpha \bar{\nu}_\beta &\leftrightarrow \nu_\alpha \bar{\nu}_\beta \\ \bar{\nu}_\alpha \bar{\nu}_\beta &\leftrightarrow \bar{\nu}_\alpha \bar{\nu}_\beta \end{aligned} \quad \alpha, \beta = e, \mu, \tau$$

Coupled @ $T > O(1)$ MeV

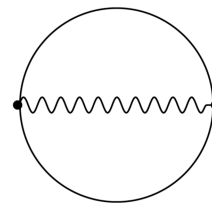
$$\begin{aligned} \nu_\alpha e^\pm &\leftrightarrow \nu_\alpha e^\pm \\ \nu_\alpha \bar{\nu}_\alpha &\leftrightarrow e^+e^- \end{aligned}$$

Weak interactions (in equilibrium @ $T > O(1)$ MeV)

Finite-temperature QED...

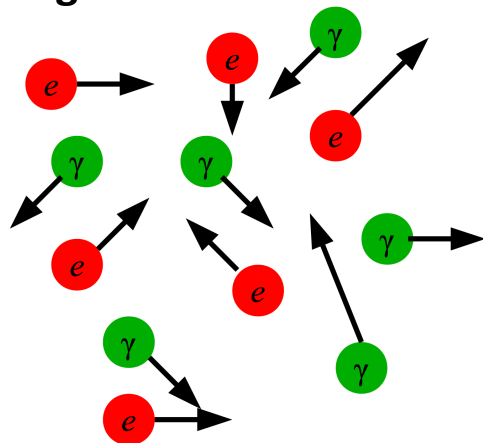
Lowest-order correction of
the QED partition function

$$\ln Z^{(2)} = -\frac{1}{2}$$



Interactions of e^\pm, γ **modify the QED plasma** away from an ideal gas.

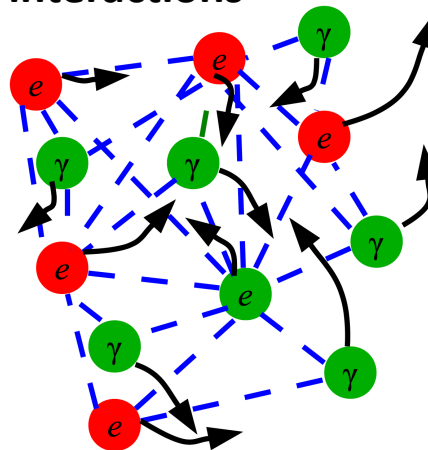
Ideal gas



Energy = kinetic energy + rest mass

Pressure = from kinetic energy

+ EM interactions



T-dependent
dispersion relation
+ Forces

Energy = **modified** kinetic energy + **T-dependent masses** +
interaction potential energy

Pressure = from **modified** kinetic energy + **EM forces**

 **Modified QED equation of state**

Summary of precision $N_{\text{eff}}^{\text{SM}}$...

Accounting for all corrections, the **current SM benchmark** for N_{eff} is:

$$N_{\text{eff}}^{\text{SM}} = 3.0440 \pm 0.0002$$

Bennett et al. 2019, 2020;
Akita and Yamaguchi 2020;
Froustey, Pitrou & Volpe 2020;
Drewes et al. 2024

Standard-model corrections to $N_{\text{eff}}^{\text{SM}}$	Leading-digit contribution
m_e/T_d correction	+0.04
$\mathcal{O}(e^2)$ FTQED correction to the QED EoS	+0.01
Non-instantaneous decoupling+spectral distortion	−0.006
$\mathcal{O}(e^3)$ FTQED correction to the QED EoS	−0.001
Flavour oscillations	+0.0005
Type (a) FTQED corrections to the weak rates	$\lesssim 10^{-4}$
Sources of uncertainty	
Numerical solution by FortEPiaNO	± 0.0001
Input solar neutrino mixing angle θ_{12}	± 0.0001

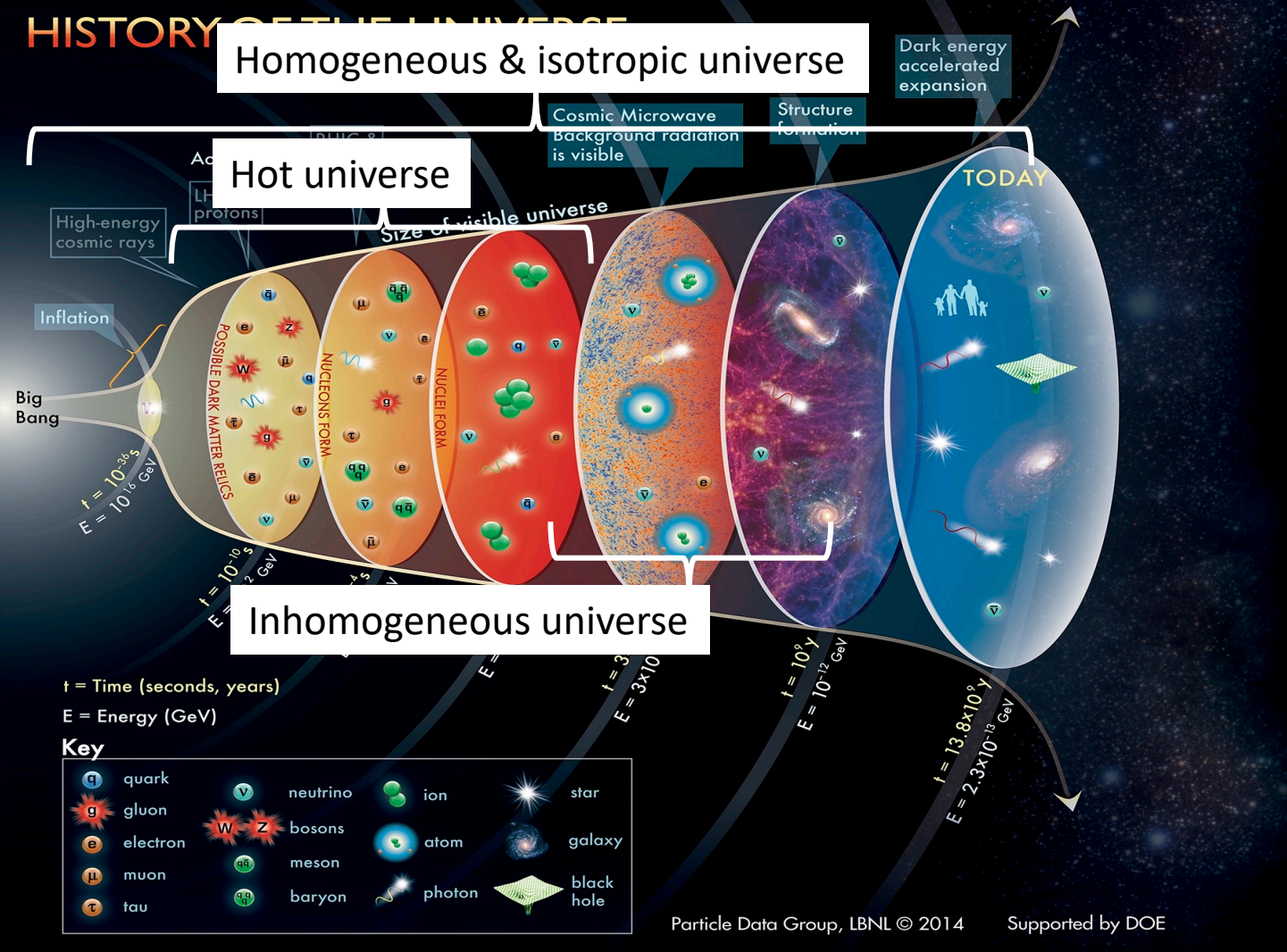
Summary: Part 1...

- SM+FLRW cosmology predicts a **cosmic neutrino background**.
- The homogeneous properties of this background can be computed **very precisely**.
- A central technique in this computation are the **Quantum Kinetic Equations (QKEs)**, which track how neutrinos go **out of equilibrium** in an expanding space.
- The QKEs also have uses **beyond SM neutrino decoupling calculations**.

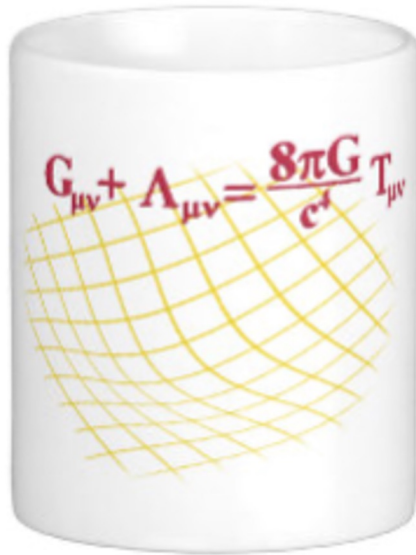
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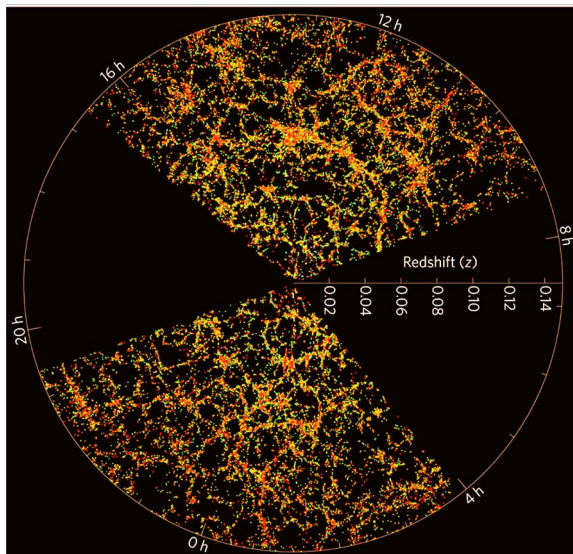


1. Theory of inhomogeneities...

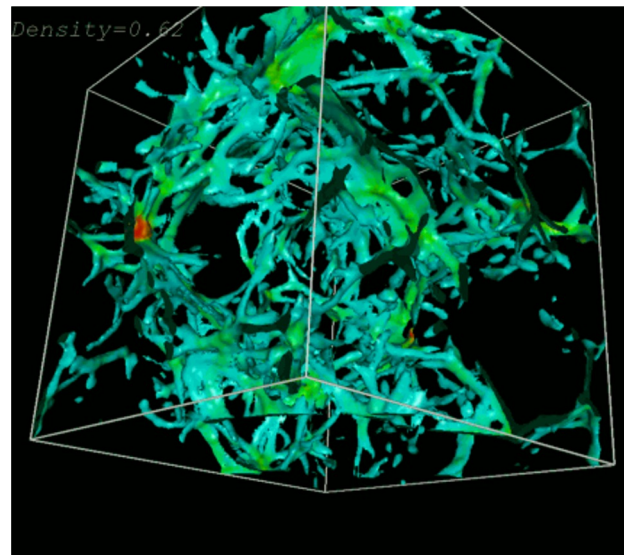


Overview...

The **distribution of matter** in the universe, even on large scales, is **not** homogeneous and isotropic!



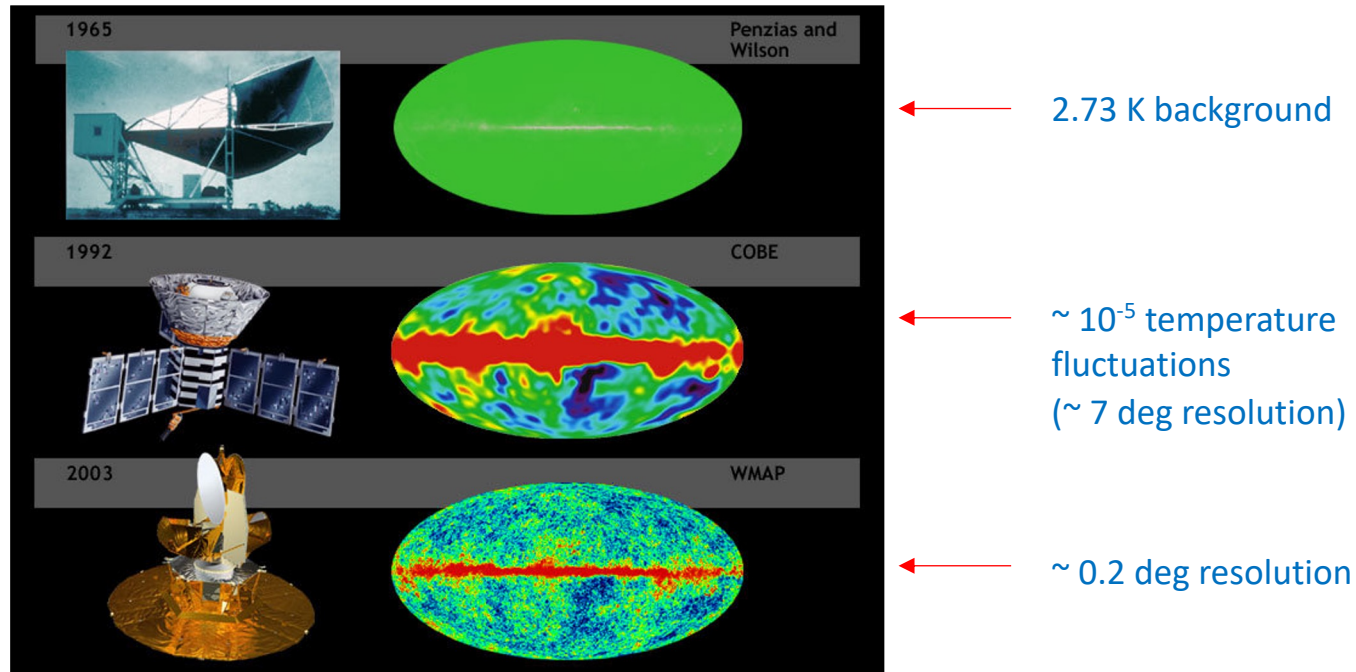
Red galaxies observed by the Sloan Digital Sky Survey



Intergalactic hydrogen clouds (simulations)

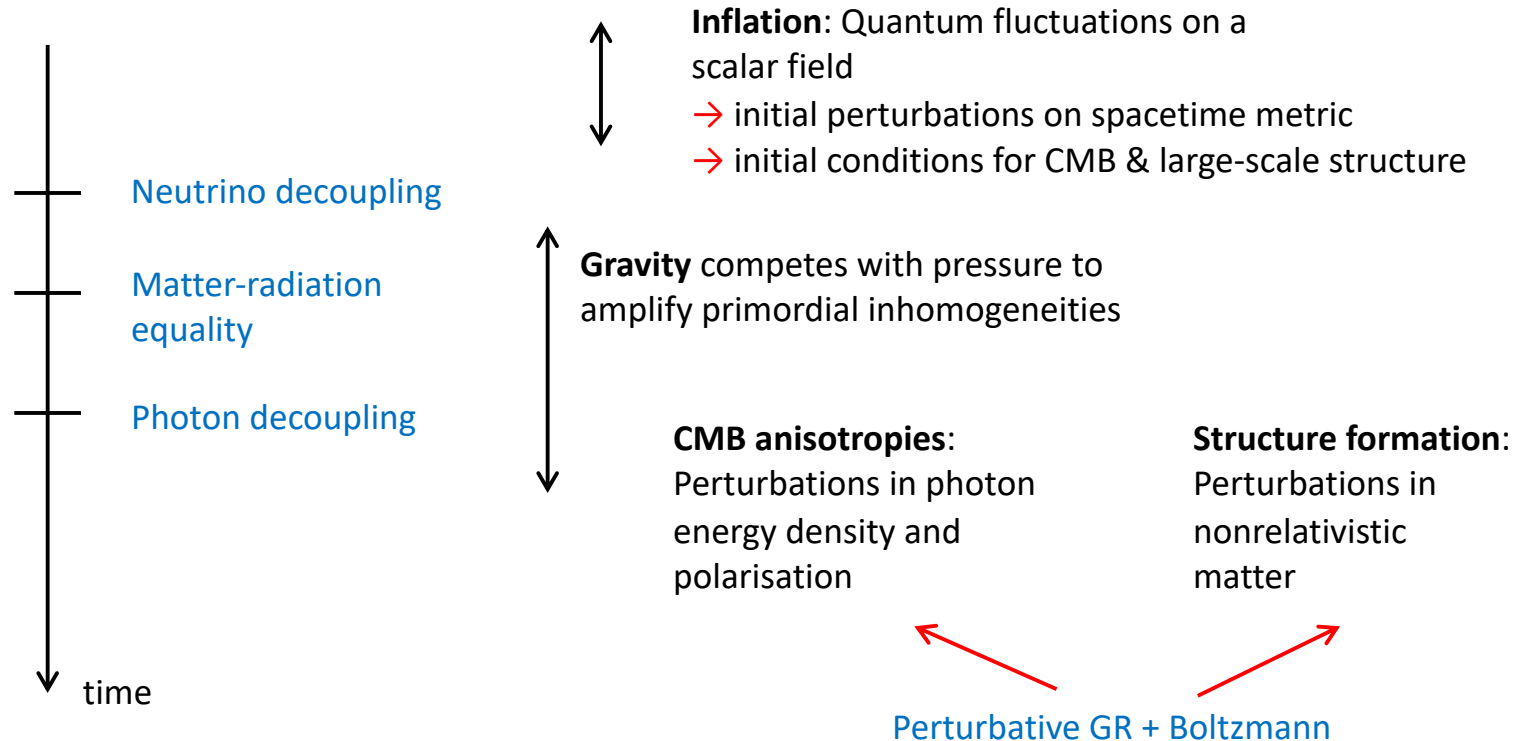
Overview...

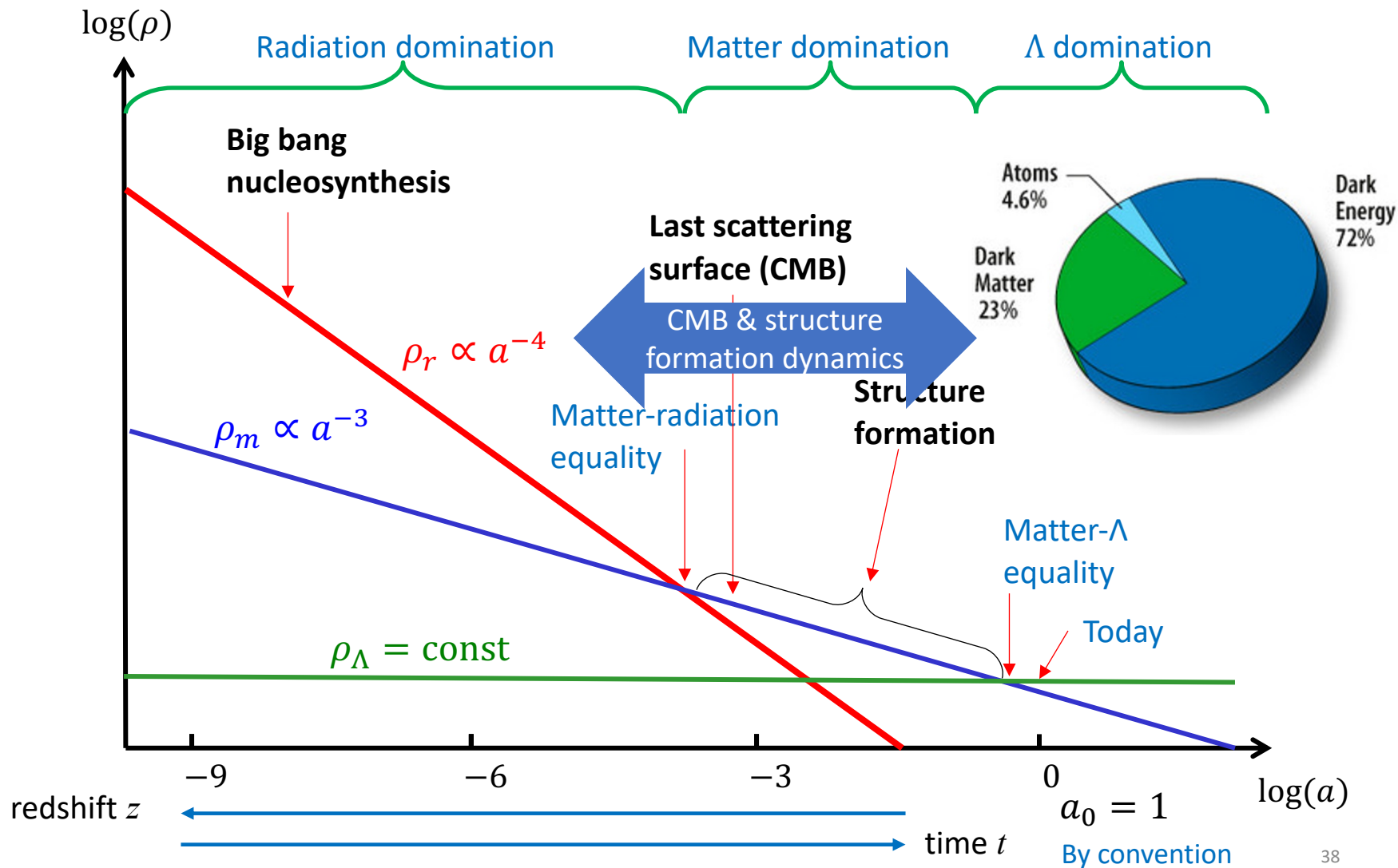
The **cosmic microwave background radiation** is anisotropic.



Theory of inhomogeneities...

Our current understanding of the inhomogeneous universe:





Theory of inhomogeneities...

We study large-scale inhomogeneities by perturbing around the **FLRW spacetime geometry** and **stress-energy tensor**:

$\bar{g}_{\mu\nu}$ = Unperturbed
FLRW metric

$$g_{\mu\nu} = \bar{g}_{\mu\nu} + a^2 h_{\mu\nu} \quad |h_{\mu\nu}| \ll 1 \quad \text{Gravity}$$

$\bar{T}_{\mu\nu}$ = Homogeneous
and isotropic

$$T_{\mu\nu} = \bar{T}_{\mu\nu} + \delta T_{\mu\nu} \quad |\delta T_{\mu\nu}| \ll \bar{\rho} \quad \text{Energy-momentum of the "stuff" in the universe}$$

- Linear perturbations suffice for large length scales (e.g., CMB):
 - **Einstein's equation** → How $h_{\mu\nu}$ evolves due to $\delta T_{\mu\nu}$
 - **Boltzmann equation** → How $\delta T_{\mu\nu}$ evolves due to $h_{\mu\nu}$ and the properties of the “stuff”

Theory of inhomogeneities...

Like any space metric, the perturbed part of the metric has **10 degrees of freedom**

$\bar{g}_{\mu\nu}$ = Unperturbed
FLRW metric

$$g_{\mu\nu} = \bar{g}_{\mu\nu} + a^2 h_{\mu\nu} \quad |h_{\mu\nu}| \ll 1$$

- 4 are gauge degrees of freedom
- Of the 6 physical degrees of freedom:
 - **2 are relevant for structure formation: scalar modes**
 - 2 represent gravitational waves: **tensor modes**
 - 2 are not present in standard inflationary Λ CDM: **vector modes**

Theory: scalar perturbations...

A physically intuitive way to represent and understand the behaviours of the two **scalar modes** is to use the **conformal Newtonian gauge**:

$$ds^2 = a^2(\eta)[-(1 + 2\Psi)d\eta^2 + (1 - 2\Phi)\delta_{ij}dx^i dx^j]$$

Cosmic time $\longrightarrow dt = a d\eta \longleftarrow$ Conformal time

- Cf the weak-field metric: $ds^2 = -(1 + 2\Psi)dt^2 + (1 - 2\Psi)\delta_{ij}dx^i dx^j$
- In the non-relativistic limit, $\Psi = \Phi$ corresponds to the **Newtonian gravitational potential**.
- I use the conformal Newtonian gauge in the following.

Theory: perturbations in $T_{\mu\nu} \dots$

There is **one set of perturbations for each matter/energy component**, e.g., one for cold dark matter, one for photons, etc.

$$T^\mu{}_\nu = \begin{bmatrix} -\bar{\rho} & 0 & 0 & 0 \\ 0 & \bar{P} & 0 & 0 \\ 0 & 0 & \bar{P} & 0 \\ 0 & 0 & 0 & \bar{P} \end{bmatrix}$$

← Unperturbed part

Density perturbations

Perturbed part:
showing only scalar
perturbations; but vector
velocity and vector/tensor
anisotropic stresses are
also possible.

$$+ \begin{bmatrix} -\delta\rho & (\bar{\rho} + \bar{P})v_\parallel & (\bar{\rho} + \bar{P})v_\parallel & (\bar{\rho} + \bar{P})v_\parallel \\ -(\bar{\rho} + \bar{P})v_\parallel & \delta P & \Sigma_2^1 & \Sigma_3^1 \\ -(\bar{\rho} + \bar{P})v_\parallel & \Sigma_1^2 & \delta P & \Sigma_3^2 \\ -(\bar{\rho} + \bar{P})v_\parallel & \Sigma_1^3 & \Sigma_2^3 & \delta P \end{bmatrix}$$

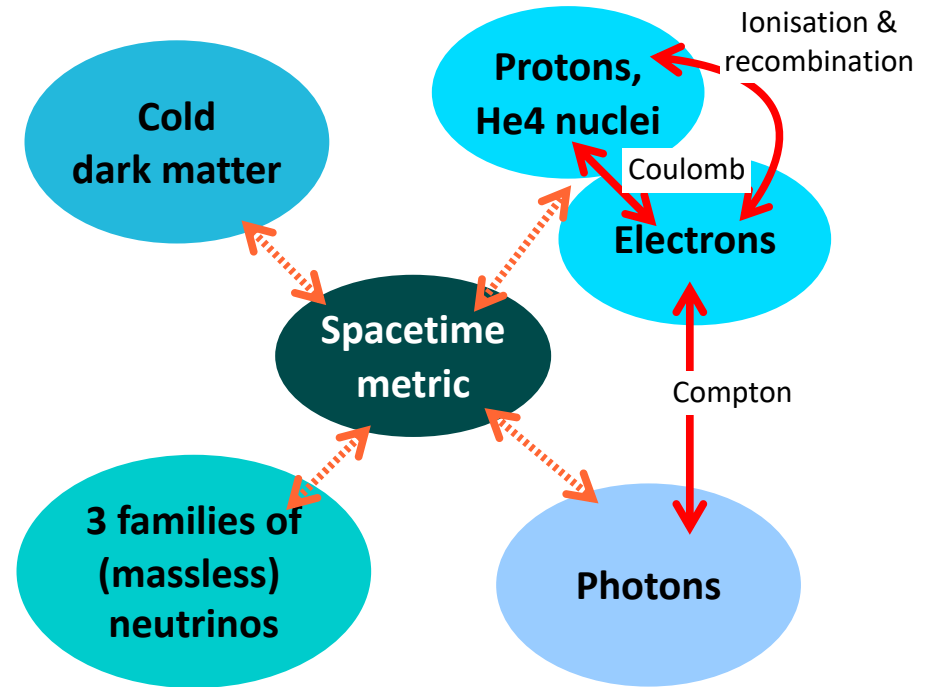
Anisotropic stress

Velocity perturbations

Pressure perturbations

Theory: perturbations in $T_{\mu\nu}$...

In standard inflationary Λ CDM, we track **4 forms of matter/energy**.



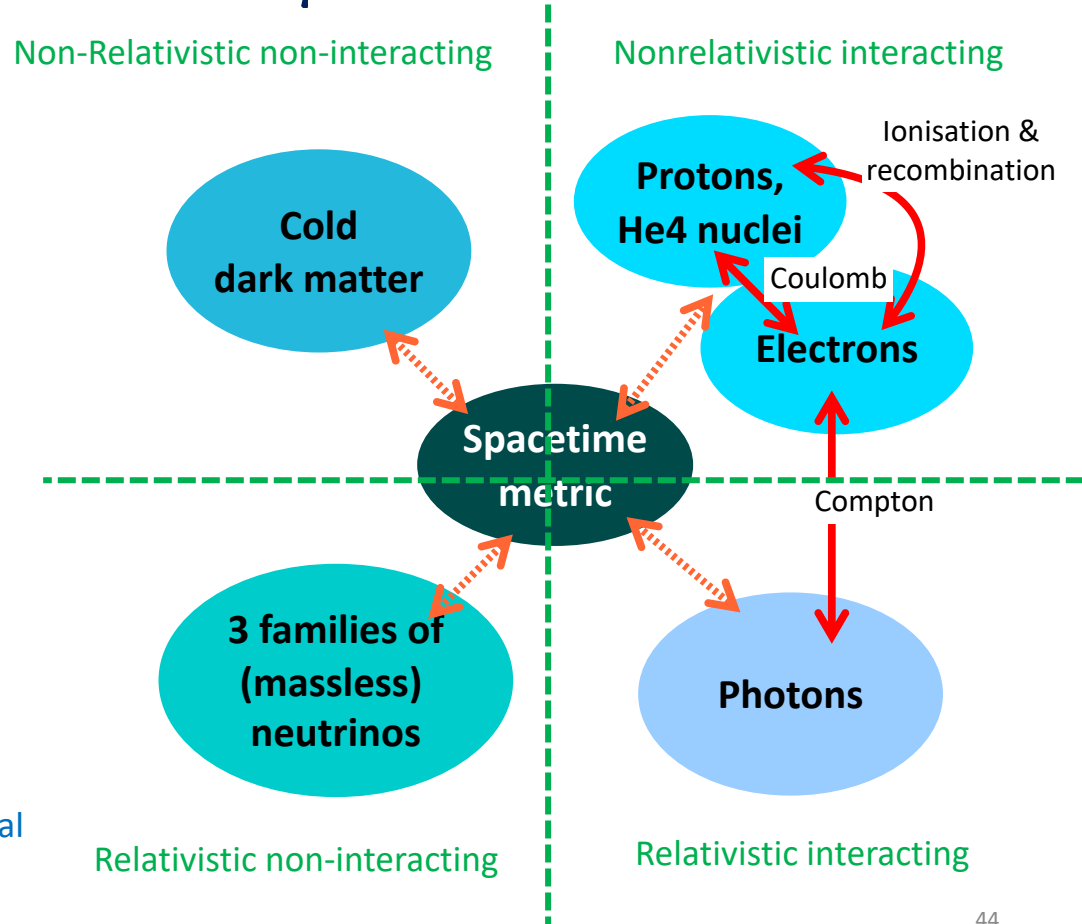
Theory: perturbations in $T_{\mu\nu} \dots$

In standard inflationary Λ CDM, we track **4 forms of matter/energy**.

- Each matter/energy form develops its own perturbations, tracked by the **Boltzmann equation**:

$$P^\mu \frac{\partial f_\alpha}{\partial x^\mu} - \Gamma_{\mu\nu}^i P^\mu P^\nu \frac{\partial f_\alpha}{\partial P^i} = C[f_\alpha]$$

Gravitational effects Non-gravitational interactions



Theory: perturbations in $T_{\mu\nu}$...

In standard inflationary Λ CDM, we track **4 forms of matter/energy**.

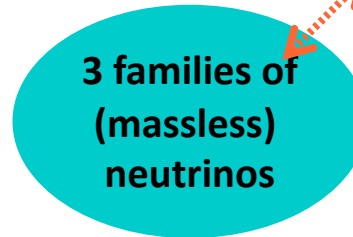
- But **all** forms of matter/energy “interact” with the spacetime metric (i.e., gravity), whose evolution is governed by **Einstein’s equation**:

$$R_{\mu\nu} - \frac{1}{2} g_{\mu\nu} R = 8\pi T_{\mu\nu}$$

Metric goes in here

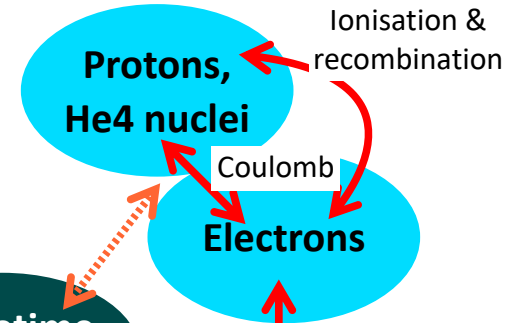
Matter/energy

Non-Relativistic non-interacting

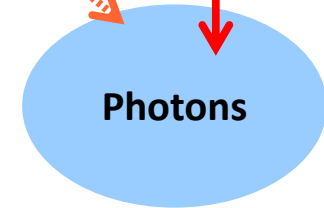


Relativistic non-interacting

Nonrelativistic interacting



Compton



Relativistic interacting

Linear Einstein-Boltzmann system...

A selection of the relevant equations

Metric

$$ds^2 = a^2(\tau) \left\{ -(1 + 2\psi)d\tau^2 + (1 - 2\phi)dx^i dx_i \right\}.$$

Stress-energy tensor

$$T^0_0 = -(\bar{\rho} + \delta\rho),$$

$$T^0_i = (\bar{\rho} + \bar{P})v_i = -T^i_0,$$

$$T^i_j = (\bar{P} + \delta P)\delta^i_j + \Sigma^i_j, \quad \Sigma^i_i = 0,$$

Einstein's equation

$$k^2\phi + 3\frac{\dot{a}}{a}\left(\dot{\phi} + \frac{\dot{a}}{a}\psi\right) = 4\pi Ga^2\delta T^0_0(\text{Con}),$$

$$k^2\left(\dot{\phi} + \frac{\dot{a}}{a}\psi\right) = 4\pi Ga^2(\bar{\rho} + \bar{P})\theta(\text{Con}),$$

$$\ddot{\phi} + \frac{\dot{a}}{a}(\dot{\psi} + 2\dot{\phi}) + \left(2\frac{\ddot{a}}{a} - \frac{\dot{a}^2}{a^2}\right)\psi + \frac{k^2}{3}(\phi - \psi) = \frac{4\pi}{3}Ga^2\delta T^i_i(\text{Con}),$$

$$k^2(\phi - \psi) = 12\pi Ga^2(\bar{\rho} + \bar{P})\sigma(\text{Con}),$$

(Derived from) Boltzmann equation

CDM

$$\dot{\delta}_c = -\theta_c + 3\dot{\phi}, \quad \dot{\theta}_c = -\frac{\dot{a}}{a}\theta_c + k^2\psi.$$

Baryons

$$\dot{\delta}_b = -\theta_b + 3\dot{\phi},$$

$$\dot{\theta}_b = -\frac{\dot{a}}{a}\theta_b + c_s^2 k^2 \delta_b + \frac{4\bar{\rho}_\gamma}{3\bar{\rho}_b} an_e \sigma_T (\theta_\gamma - \theta_b) + k^2\psi.$$

Photons

$$\dot{\delta}_\gamma = -\frac{4}{3}\theta_\gamma + 4\dot{\phi},$$

$$\dot{\theta}_\gamma = k^2\left(\frac{1}{4}\delta_\gamma - \sigma_\gamma\right) + k^2\psi + an_e \sigma_T (\theta_b - \theta_\gamma),$$

$$\dot{F}_{\gamma 2} = 2\dot{\sigma}_\gamma = \frac{8}{15}\theta_\gamma - \frac{3}{5}kF_{\gamma 3} - \frac{9}{5}an_e \sigma_T \sigma_\gamma + \frac{1}{10}an_e \sigma_T (G_{\gamma 0} + G_{\gamma 2}),$$

$$\dot{F}_{\gamma l} = \frac{k}{2l+1} \left[lF_{\gamma(l-1)} - (l+1)F_{\gamma(l+1)} \right] - an_e \sigma_T F_{\gamma l}, \quad l \geq 3$$

$$\dot{G}_{\gamma l} = \frac{k}{2l+1} \left[lG_{\gamma(l-1)} - (l+1)G_{\gamma(l+1)} \right] + an_e \sigma_T \left[-G_{\gamma l} + \frac{1}{2}(F_{\gamma 2} + G_{\gamma 0} + G_{\gamma 2}) \left(\delta_{l0} + \frac{\delta_{l2}}{5} \right) \right]$$

Massless
neutrinos

$$\dot{\delta}_\nu = -\frac{4}{3}\theta_\nu + 4\dot{\phi},$$

$$\dot{\theta}_\nu = k^2\left(\frac{1}{4}\delta_\nu - \sigma_\nu\right) + k^2\psi,$$

$$\dot{F}_{\nu l} = \frac{k}{2l+1} \left[lF_{\nu(l-1)} - (l+1)F_{\nu(l+1)} \right], \quad l \geq 2.$$

e.g., Ma & Bertschinger 1995

Linear Einstein-Boltzmann system...

There are several **publicly available numerical codes** that solve the full linear Einstein-Boltzmann system:

- CAMB: <https://camb.info>
- CLASS: <http://class-code.net/>
- Mostly optimised for standard inflationary Λ CDM, but can be fairly easily modified to accommodate “exotic” models.
- More on **cosmological perturbation theory**, e.g.,
 - Hu, Covariant linear perturbation formalism, [astro-ph/0402060](#)
 - Seljak, Lectures on dark matter, *ICTP Lect. Notes Ser. 4 (2001) 33-77*

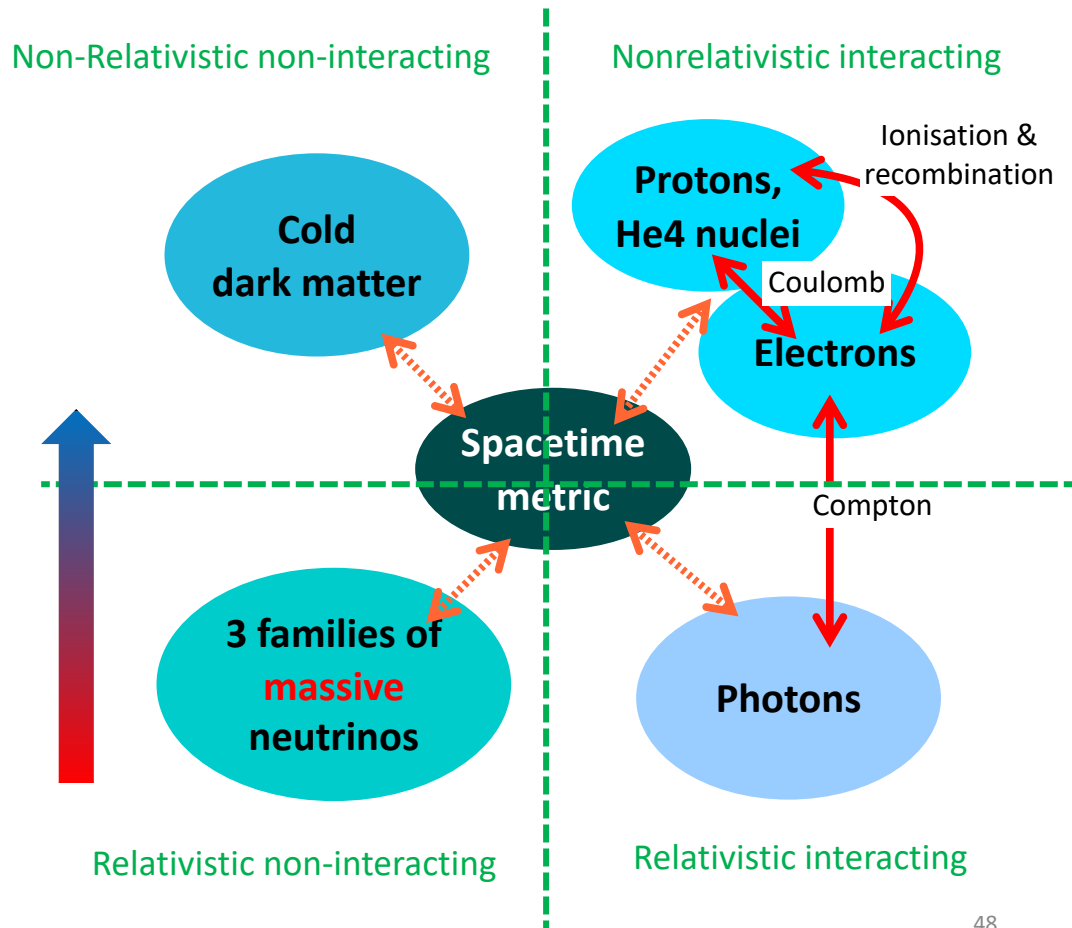
How real neutrinos fit into this picture...

Real neutrinos are of course **massive**.

- For sub-eV masses, relativistic-to-NR transition happens at redshifts $z = O(100) - O(1000)$.

→ Technically NR today **but may not be totally “cold”**.



→ Spend a substantial amount of time in the **CMB/structure formation epoch** as **relativistic particles**.



Massive neutrino Boltzmann equation...

We also use the **linearised Boltzmann equation** to track the massive neutrino phase space density $f_\nu(p, x, t)$ and how inhomogeneities evolve in their presence.

$$P^\mu \frac{\partial f_\alpha}{\partial x^\mu} - \Gamma_{\mu\nu}^i P^\mu P^\nu \frac{\partial f_\alpha}{\partial P^i} = 0$$

Gravity   No non-gravitational
Interactions for neutrinos

- Fundamentally **no different** from the massless case.
- However, **adding masses leads to new energy/time scales** in the problem.
 - Need to track how each momentum mode goes NR and responds to gravity (in contrast with the massless case, where every mode has speed c and hence evolves in the same way).
 - **$\sim 10 - 20$ times more equations** to solve numerically for every new mass.

Massive neutrino Boltzmann equation...

Split into background + perturbed part

$$f(x^i, P_j, \tau) = f_0(q) \left[1 + \Psi(x^i, q, n_j, \tau) \right] .$$

Legendre decomposition

$$\Psi(\vec{k}, \hat{n}, q, \tau) = \sum_{l=0}^{\infty} (-i)^l (2l+1) \Psi_l(\vec{k}, q, \tau) P_l(\hat{k} \cdot \hat{n}) .$$

Legendre-decomposed Boltzmann equation aka Boltzmann hierarchy

$$\dot{\Psi}_0 = -\frac{qk}{\epsilon} \Psi_1 - \dot{\phi} \frac{d \ln f_0}{d \ln q} ,$$

$$\dot{\Psi}_1 = \frac{qk}{3\epsilon} (\Psi_0 - 2\Psi_2) - \frac{\epsilon k}{3q} \psi \frac{d \ln f_0}{d \ln q} ,$$

$$\dot{\Psi}_l = \frac{qk}{(2l+1)\epsilon} [l\Psi_{l-1} - (l+1)\Psi_{l+1}] , \quad l \geq 2 .$$

Linear Boltzmann equation for perturbed part

$$\frac{\partial \Psi}{\partial \tau} + i \frac{q}{\epsilon} (\vec{k} \cdot \hat{n}) \Psi + \frac{d \ln f_0}{d \ln q} \left[\dot{\phi} - i \frac{\epsilon}{q} (\vec{k} \cdot \hat{n}) \psi \right] = 0$$

Density, pressure, velocity & anisotropic stress from Legendre moments

$$\delta \rho_h = 4\pi a^{-4} \int q^2 dq \epsilon f_0(q) \Psi_0 ,$$

$$\delta P_h = \frac{4\pi}{3} a^{-4} \int q^2 dq \frac{q^2}{\epsilon} f_0(q) \Psi_0 ,$$

$$(\bar{\rho}_h + \bar{P}_h) \theta_h = 4\pi k a^{-4} \int q^2 dq q f_0(q) \Psi_1 ,$$

$$(\bar{\rho}_h + \bar{P}_h) \sigma_h = \frac{8\pi}{3} a^{-4} \int q^2 dq \frac{q^2}{\epsilon} f_0(q) \Psi_2 .$$

e.g., Ma & Bertschinger 1995

These are all coded up in public
Boltzmann codes **CAMB** and **CLASS**.

Fun things with cosmological neutrinos...

I'm **not** going to talk about technical details here. Rather, I want to give you a **physical picture** of what's happening.

- **Non-relativistic neutrinos** in the low-redshift ($z \lesssim 1000$) universe in structure formation
 - **Neutrino mass constraints**
- **Free-streaming relativistic neutrinos** around CMB times ($z \sim 1000$)
 - As a probe of non-standard neutrino interactions
 - Neutrino decay