



Neutrinos interactions

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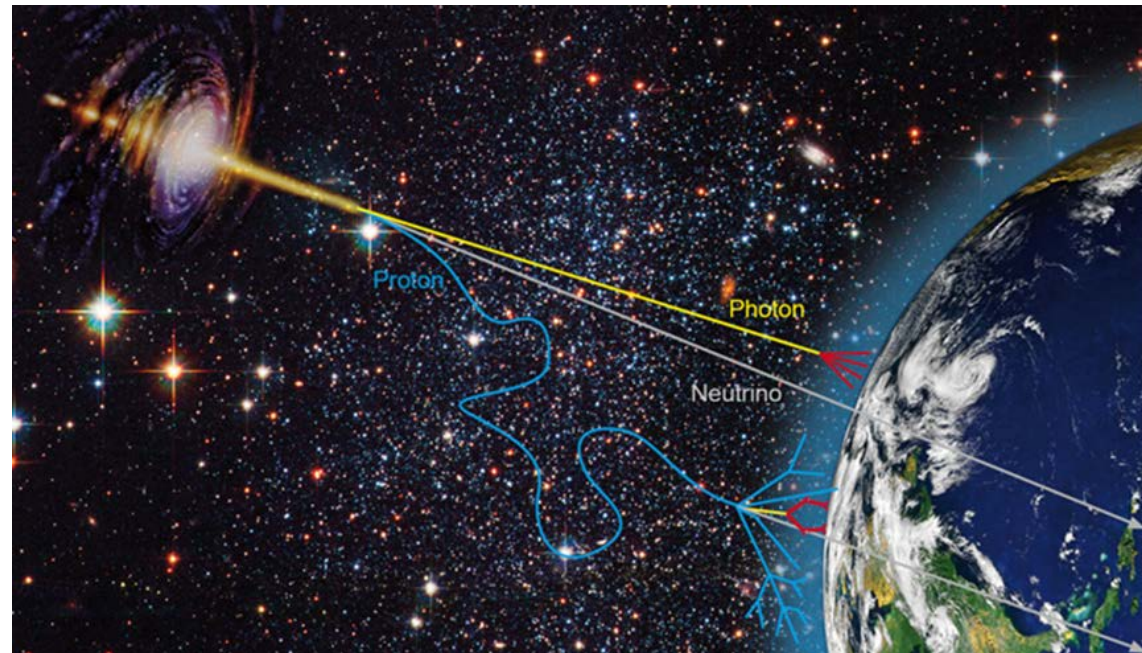
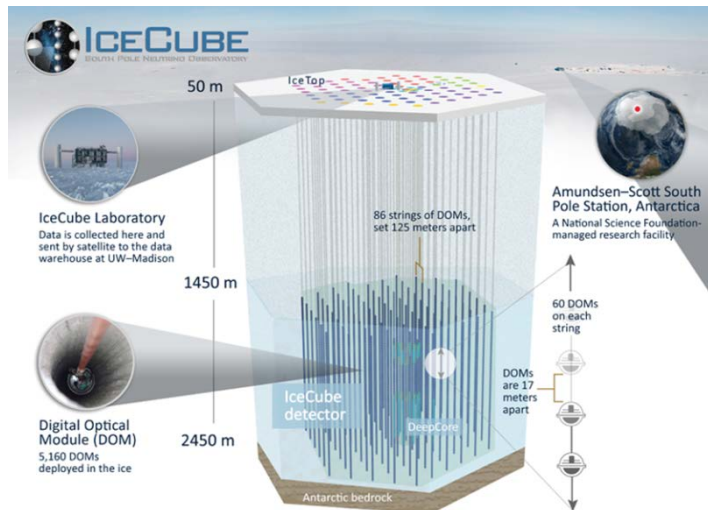
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Introduction

- Neutrino **interactions** with **matter** are present in many interesting and relevant physical processes
 - **Astrophysics**
 - Dynamics of the core-collapse in **supernovae**
 - **Nucleosynthesis** (n/p ratio)
 - Neutrino **astronomy**
 - e.g. Ice Cube, KM3NeT



Introduction

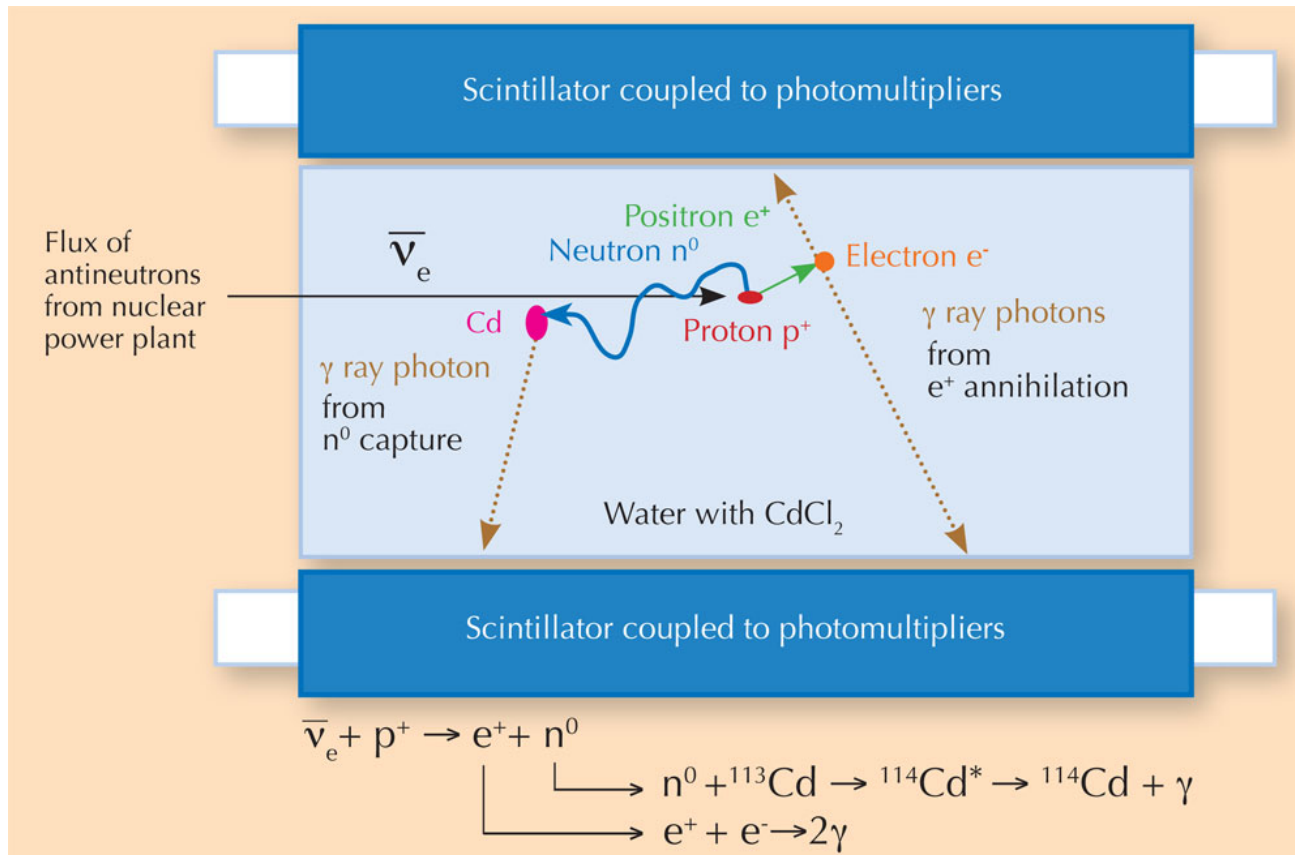
- Neutrino interactions with matter are present in many interesting and relevant physical processes
 - Astrophysics
 - Dynamics of the core-collapse in supernovae
 - Nucleosynthesis (n/p ratio)
 - Neutrino astronomy
 - Hadron and Nuclear physics
 - Nucleon and Nucleon-Resonance ($N-\Delta$, $N-N^*$) axial form factors
 - Strangeness content of the nucleon spin
 - Nuclear correlations, giant resonances, ...
 - Beyond Standard Model physics
 - Non-standard ν interactions
 - Production of heavy-neutral leptons

Introduction

- Neutrino interactions are our doorway to neutrino properties:

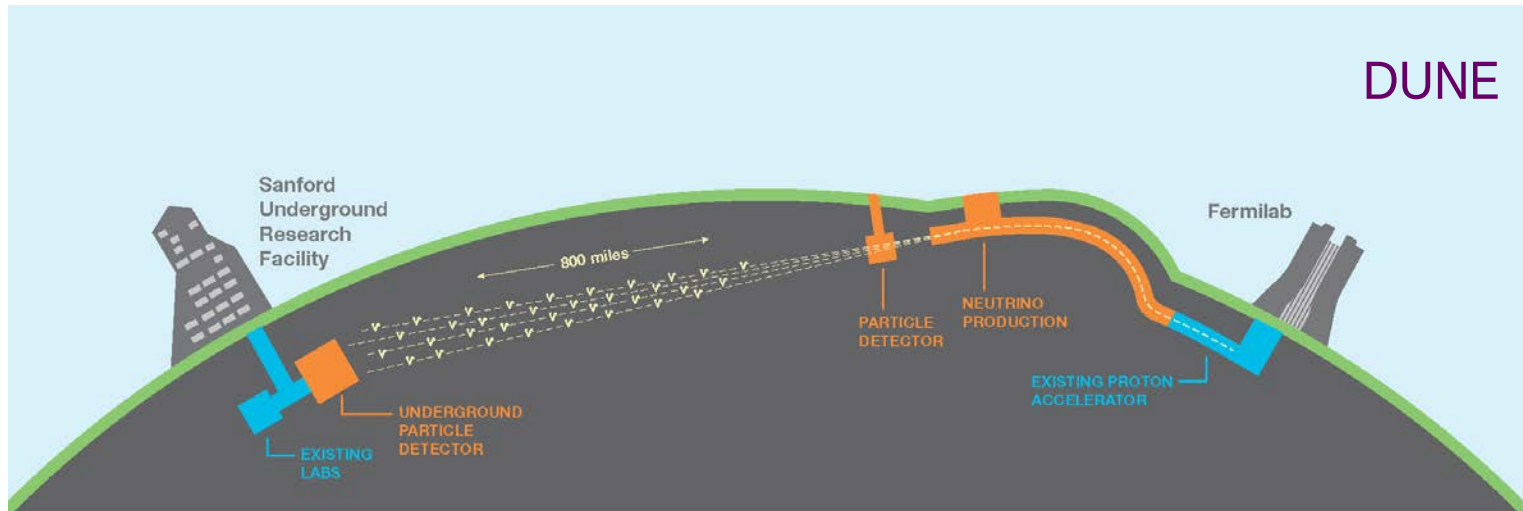
- Detection

- Discovery: $\bar{\nu}_e p \rightarrow n e^+$ Cowan & Reines



Introduction

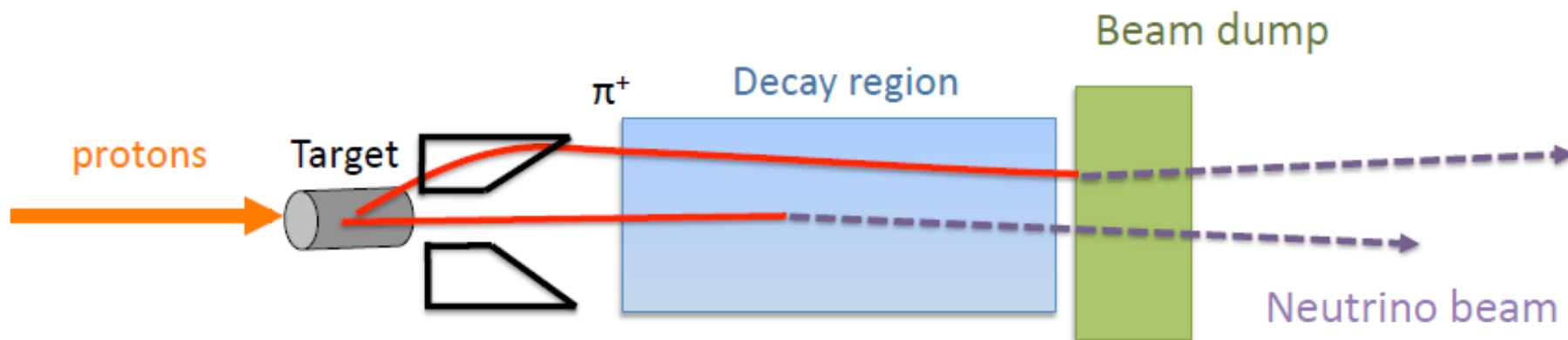
- Neutrino interactions are our doorway to neutrino properties:
 - Flavor ID: required to study oscillations
 - Neutrino propagation: matter effects



- Relevant for oscillation experiments (with accelerator ν):
 - reduction of systematic errors
 - ν flux calibration
 - background determination
 - E_ν reconstruction

E_ν determination

- Neutrino beams are **not monochromatic**



12/04/2012

K Mahn, UCLA Acc nu

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$$\pi^- \rightarrow \mu^- \bar{\nu}_\mu \quad \leftarrow \text{antineutrino mode}$$

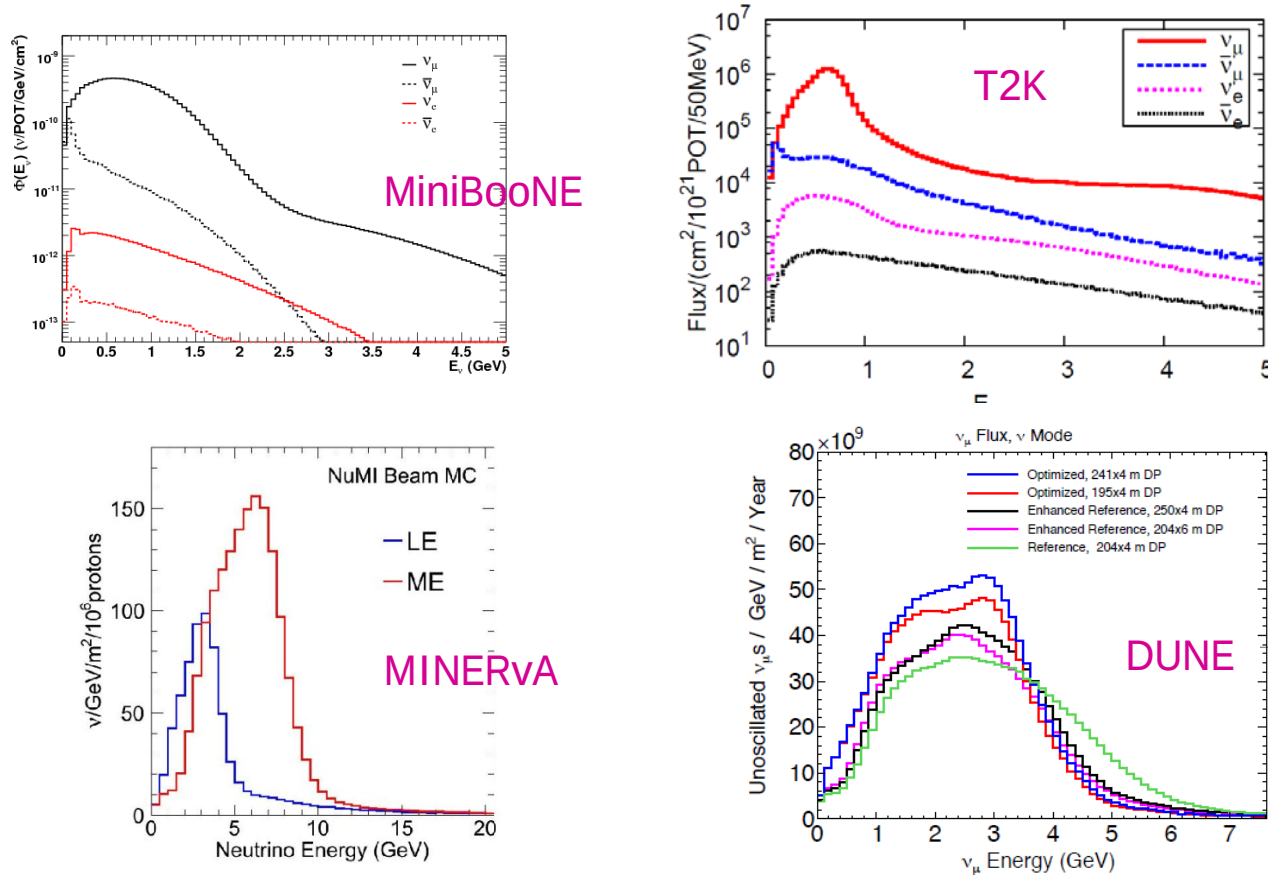
$$\pi^+ \rightarrow \mu^+ \nu_\mu \quad \leftarrow \text{neutrino mode}$$

$$\mu^- \rightarrow e^- \bar{\nu}_e \nu_\mu$$

$$\mu^+ \rightarrow e^+ \nu_e \bar{\nu}_\mu \quad \leftarrow \text{contamination}$$

E_ν determination

- Neutrino beams are **not** monochromatic



- Important for oscillations: $P(\nu_\mu \rightarrow \nu_\tau) = \sin^2 2\theta_{23} \sin^2 \frac{\Delta m_{23}^2 L}{4E_\nu}$

E_ν determination

- (Calorimetric) E_ν reconstruction (e.g. **NoVA**, **DUNE**):

- $E_\nu = E_{\text{lep}} + E_{\text{had}}$

but

- There are **neutrons** or other undetected particles: $E_{\text{vis}} < E_{\text{had}}$

Example:

- For $\nu_l A \rightarrow l^- R$ (spectator) p E_ν reconstruction works well

but

- for $\nu_l A \rightarrow l^- R'$ (spectator) $p n$ (undetected) $E_\nu^{\text{rec}} < E_\nu \Rightarrow$ **bias**
- Migration from measured quantities $\rightarrow E_\nu^{(\text{rec})} \rightarrow E_\nu$ relies on **Monte Carlo event generators** (**GENIE**, **NuWro**, **NEUT**, ...)
 - tuned to (the best possible) data $\leftarrow$ **Near Detector**
 - require a **solid theoretical understanding** and **realistic modeling** of neutrino interaction dynamics

Outline

- General Introduction/Motivation
- Electroweak and Strong interactions in the Standard Model
 - Approximate Symmetries
 - Chiral symmetry breaking and Chiral Perturbation Theory
- Charged pion decay
- Inclusive neutrino cross section
- Coherent elastic neutrino-nucleus cross section
- Nucleon EW current.
- The nucleon axial form factor: ChPT & LQCD
- Neutrino interactions on nuclei
- NN interaction and the nuclear ground state
- Two body currents
- Inelastic scattering
- Electroweak excitation of baryon resonances
- Pion production on nucleons and nuclei

Electroweak interactions in the SM

- Spontaneously broken $SU(2) \times U(1)$ gauge symmetry

$$\mathcal{L}_{EW} = -e J_{em}^\mu A_\mu - \frac{g}{2 \cos \theta_W} J_{nc}^\mu Z_\mu - \frac{g}{2\sqrt{2}} J_{cc}^\mu W_\mu^\dagger + h.c.$$

$$\sin \theta_W = \frac{e}{g} \quad \cos \theta_W = \frac{M_W}{M_Z} \quad \frac{G_F}{\sqrt{2}} = \frac{g^2}{8M_W^2}$$

in the **leptonic** sector:

$$J_{em}^\mu = \bar{l}_i \gamma^\mu l_i \quad i = e, \mu, \tau$$

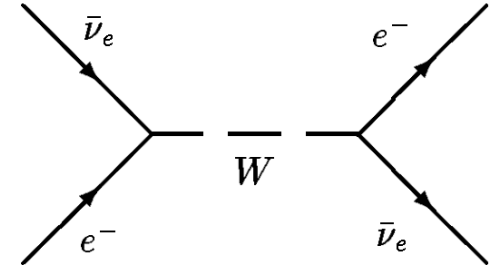
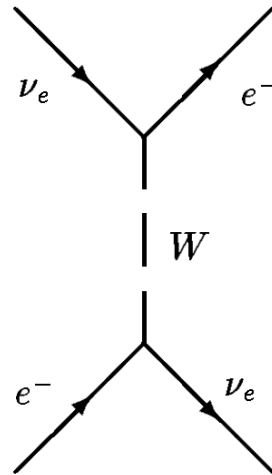
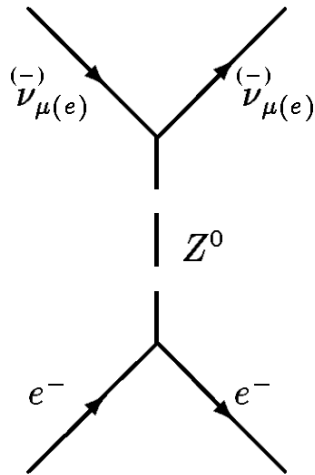
$$J_{cc}^\mu = \bar{\nu}_i \gamma^\mu (1 - \gamma_5) l_i$$

$$J_{nc}^\mu = \frac{1}{2} \bar{l}_i \gamma^\mu (g_V - g_A \gamma_5) l_i + \frac{1}{2} \bar{\nu}_i \gamma^\mu (1 - \gamma_5) \nu_i$$

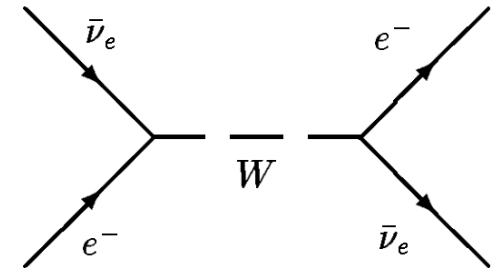
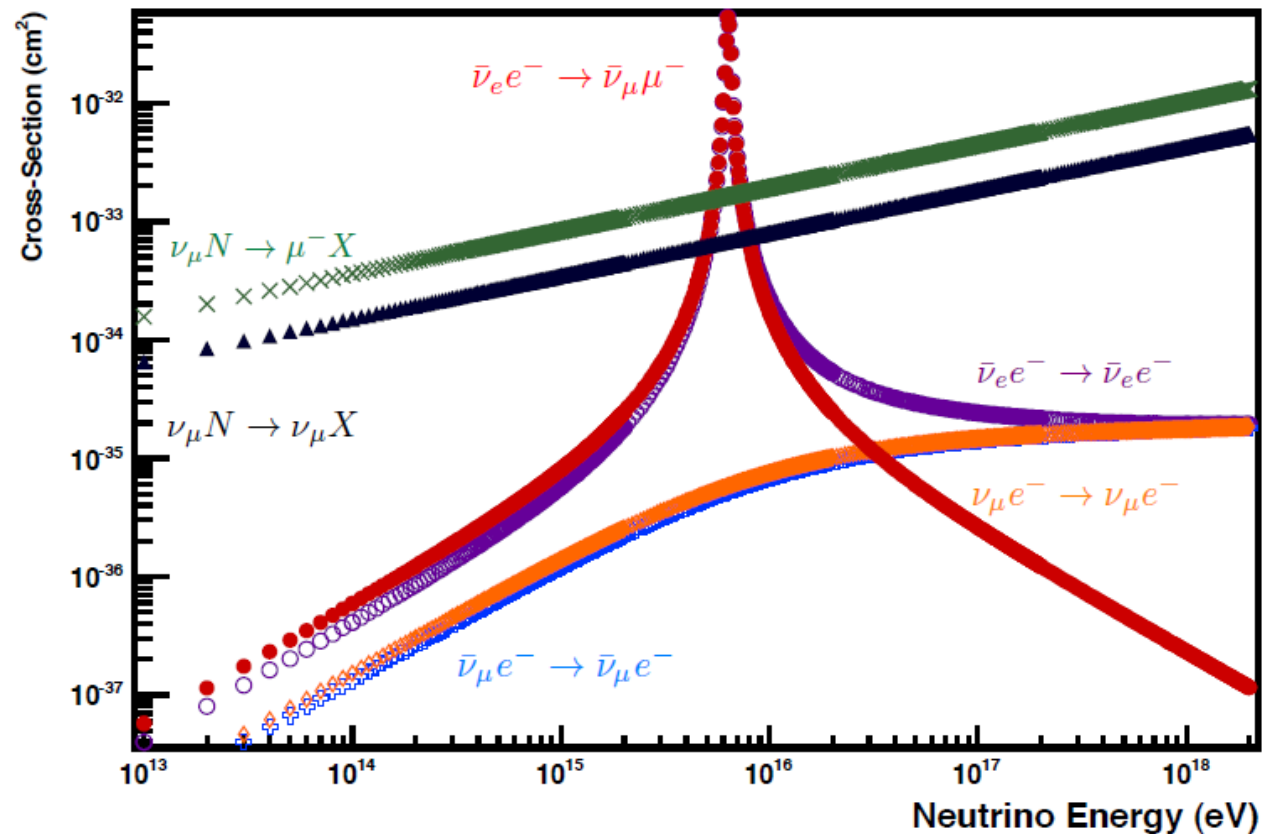
$$g_V = -1 + 4 \sin^2 \theta_W, \quad g_A = -1$$

$$|g_V| \approx 0.04 \ll |g_A|$$

Neutrino-electron scattering



Neutrino-electron scattering



Formaggio, Zeller, Rev.Mod.Phys. 84 (2012)

Detection of a particle shower at the Glashow resonance
with IceCube, Nature 591 (2021)

Electroweak interactions in the SM

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$$\mathcal{L}_{EW} = -e J_{em}^\mu A_\mu - \frac{g}{2 \cos \theta_W} J_{nc}^\mu Z_\mu - \frac{g}{2\sqrt{2}} J_{cc}^\mu W_\mu^\dagger + h.c.$$

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in the **quark** sector:

$$J_{em}^\mu = Q_i \bar{q}_i \gamma^\mu q_i = \frac{2}{3} \bar{q}_u \gamma^\mu q_u - \frac{1}{3} (\bar{q}_d \gamma^\mu q_d + \bar{q}_s \gamma^\mu q_s) + \dots$$

$$\begin{aligned} J_{nc}^\mu &= \bar{q}_u \gamma^\mu \left[\frac{1}{2} - \left(\frac{2}{3} \right) 2 \sin^2 \theta_W - \frac{1}{2} \gamma_5 \right] q_u + (u \rightarrow c) + (u \rightarrow t) \\ &+ \bar{q}_d \gamma^\mu \left[-\frac{1}{2} - \left(-\frac{1}{3} \right) 2 \sin^2 \theta_W + \frac{1}{2} \gamma_5 \right] q_d + (d \rightarrow s) + (d \rightarrow b) \end{aligned}$$

Electroweak interactions in the SM

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in the **quark** sector:

$$J_{cc}^\mu = (\bar{q}_u \bar{q}_c \bar{q}_t) \gamma^\mu (1 - \gamma_5) V \begin{pmatrix} q_d \\ q_s \\ q_b \end{pmatrix} \quad V \leftarrow \text{CKM matrix}$$

Electroweak interactions in the SM

- Spontaneously broken $SU(2) \times U(1)$ gauge symmetry

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$$V_{CKM} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13} e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13} e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \quad \text{PDG}$$

$$= \begin{pmatrix} c_{12} c_{13} & s_{12} c_{13} & s_{13} e^{-i\delta} \\ -s_{12} c_{23} - c_{12} s_{23} s_{13} e^{i\delta} & c_{12} c_{23} - s_{12} s_{23} s_{13} e^{i\delta} & s_{23} c_{13} \\ s_{12} s_{23} - c_{12} c_{23} s_{13} e^{i\delta} & -c_{12} s_{23} - s_{12} c_{23} s_{13} e^{i\delta} & c_{23} c_{13} \end{pmatrix}$$

Electroweak interactions in the SM

- Spontaneously broken $SU(2) \times U(1)$ gauge symmetry

$$\mathcal{L}_{EW} = -e J_{em}^\mu A_\mu - \frac{g}{2 \cos \theta_W} J_{nc}^\mu Z_\mu - \frac{g}{2\sqrt{2}} J_{cc}^\mu W_\mu^\dagger + h.c.$$

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in the **quark** sector:

$$J_{cc}^\mu = (\bar{q}_u \bar{q}_c \bar{q}_t) \gamma^\mu (1 - \gamma_5) V \begin{pmatrix} q_d \\ q_s \\ q_b \end{pmatrix} \quad V \leftarrow \text{CKM matrix}$$

$$V \approx \begin{pmatrix} \cos \theta_C & \sin \theta_C \\ -\sin \theta_C & \cos \theta_C \end{pmatrix} \quad \theta_C \approx 13 \text{ deg} \leftarrow \text{Cabibbo angle}$$

$$\left. \begin{array}{ll} W^- p(u\bar{d}) \rightarrow n(u\bar{d}) & \sim \cos^2 \theta_C \\ W^- p(u\bar{d}) \rightarrow \Lambda(u\bar{s}) & \\ W^- p(u\bar{d}) \rightarrow p(u\bar{d}) K^- (\bar{u}s) & \end{array} \right\} \sim \sin^2 \theta_C$$

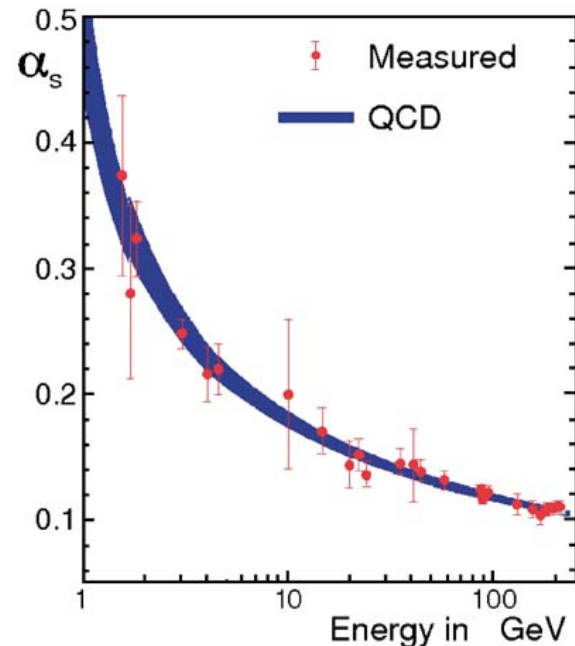
Strong interactions in the SM

- SU(3) (**color**) gauge symmetry: **QCD**

$$\mathcal{L}_{\text{QCD}} = \bar{\psi}_q (i\gamma^\mu D_\mu - m_q) \psi_q - \frac{1}{4} G_a^{\mu\nu} G_{a\mu\nu} \quad q = u, d, s, \dots \quad a = 1 - 8$$

$$D_\mu \psi = \left(\partial_\mu - ig \frac{\lambda_a}{2} A_\mu^a \right) \psi \quad G_a^{\mu\nu} = \partial^\mu A_a^\nu - \partial^\nu A_a^\mu + gf_{abc} A_b^\mu A_c^\nu$$

- Asymptotically free $\Rightarrow$ perturbative at high energies
- Nonperturbative at low energies
- **Confining**



$$\alpha_s = \frac{g^2}{4\pi}$$

Chiral symmetry

■ Approximate symmetries of $N_f = 3$ QCD

■ $m_u = m_d = m_s = 0 \Leftrightarrow$ Chiral $SU(3)_L \times SU(3)_R$ symmetry

$$m_u(1 \text{ GeV}) = 1.7 - 3.3 \text{ MeV}$$

$$m_d(1 \text{ GeV}) = 4.1 - 5.8 \text{ MeV} \ll \text{rho meson, nucleon mass}$$

$$m_s(1 \text{ GeV}) = 80 - 130 \text{ MeV}$$

$$\mathcal{L}_{\text{QCD}} = \bar{\psi}_{qL} i \gamma^\mu D_\mu \psi_{qL} + \bar{\psi}_{qR} i \gamma^\mu D_\mu \psi_{qR} + \dots$$

■ 8+8 conserved currents:

$$\begin{aligned} R_a^\mu &= \bar{q}_R \gamma^\mu \frac{\lambda_a}{2} q_R & \Leftrightarrow & & V_a^\mu &= R_a^\mu + L_a^\mu = \bar{q} \gamma^\mu \frac{\lambda_a}{2} q \\ L_a^\mu &= \bar{q}_L \gamma^\mu \frac{\lambda_a}{2} q_L & & & A_a^\mu &= R_a^\mu - L_a^\mu = \bar{q} \gamma^\mu \gamma_5 \frac{\lambda_a}{2} q \end{aligned} \quad \begin{aligned} q &= \begin{pmatrix} q_u \\ q_d \\ q_s \end{pmatrix} \\ \bar{q} &= (\bar{q}_u \ \bar{q}_d \ \bar{q}_s) \end{aligned}$$

$$\partial_\mu V_a^\mu = 0$$

$$\partial_\mu A_a^\mu = 0$$

Gell-Mann matrices

$$\lambda_1 = \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \lambda_2 = \begin{pmatrix} 0 & -i & 0 \\ +i & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \lambda_3 = \begin{pmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 0 \end{pmatrix},$$

$$\lambda_4 = \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{pmatrix}, \lambda_5 = \begin{pmatrix} 0 & 0 & -i \\ 0 & 0 & 0 \\ +i & 0 & 0 \end{pmatrix},$$

$$\lambda_6 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}, \lambda_7 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & -i \\ 0 & +i & 0 \end{pmatrix}, \lambda_8 = \frac{1}{\sqrt{3}} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -2 \end{pmatrix}$$

Chiral symmetry breaking

■ Approximate symmetries of $N_f = 3$ QCD

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$$m_s(1 \text{ GeV}) = 80 - 130 \text{ MeV}$$

$$\mathcal{L}_{\text{QCD}} = \bar{\psi}_{qL} i \gamma^\mu D_\mu \psi_{qL} + \bar{\psi}_{qR} i \gamma^\mu D_\mu \psi_{qR} - m_q (\bar{\psi}_{qL} \psi_{qR} + \bar{\psi}_{qR} \psi_{qL}) + \dots$$

■ 8+8 conserved currents:

$$\begin{aligned} R_a^\mu &= \bar{q}_R \gamma^\mu \frac{\lambda_a}{2} q_R & \Leftrightarrow & & V_a^\mu &= R_a^\mu + L_a^\mu = \bar{q} \gamma^\mu \frac{\lambda_a}{2} q & q &= \begin{pmatrix} q_u \\ q_d \\ q_s \end{pmatrix} \\ L_a^\mu &= \bar{q}_L \gamma^\mu \frac{\lambda_a}{2} q_L & & & A_a^\mu &= R_a^\mu - L_a^\mu = \bar{q} \gamma^\mu \gamma_5 \frac{\lambda_a}{2} q & \bar{q} &= (\bar{q}_u \ \bar{q}_d \ \bar{q}_s) \end{aligned}$$

■ Explicit chiral symmetry breaking:

$$\partial_\mu V_a^\mu = \bar{q} \left[m, \frac{\lambda_a}{2} \right] q \quad \partial_\mu A_a^\mu = i \bar{q} \left\{ m, \frac{\lambda_a}{2} \right\} \gamma_5 q \quad m = \text{diag}(m_u, m_d, m_s)$$

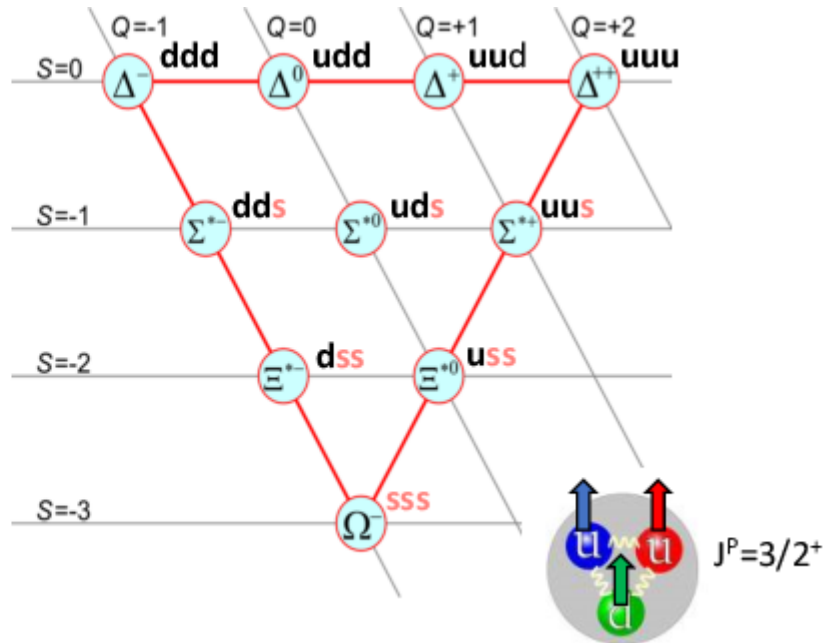
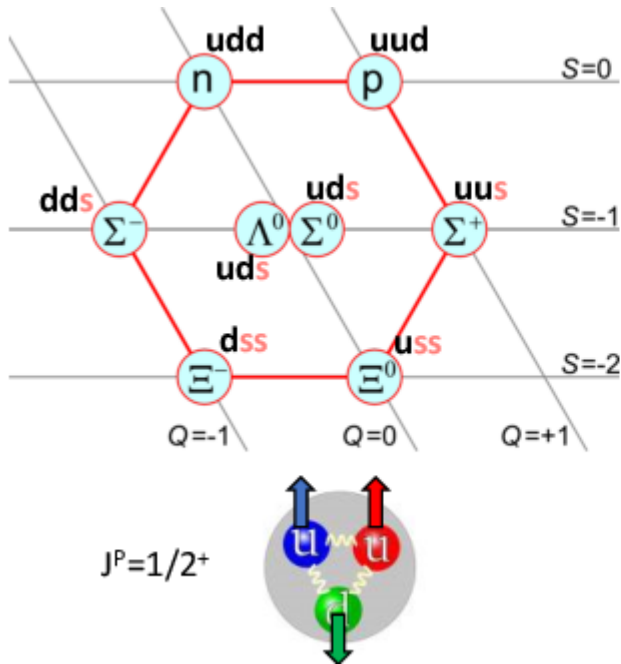
Approximate global symmetries

$$\partial_\mu V_a^\mu = \bar{q} \left[m, \frac{\lambda_a}{2} \right] q \quad \partial_\mu A_a^\mu = i \bar{q} \left\{ m, \frac{\lambda_a}{2} \right\} \gamma_5 q$$

- The **vector current** is conserved (CVC)

- **chiral limit**

- $m_u = m_d = m_s \Leftrightarrow \text{SU}(3)_{\text{flavor}}$ symmetry (broken at **20%** level)



Approximate global symmetries

$$\partial_\mu V_a^\mu = \bar{q} \left[m, \frac{\lambda_a}{2} \right] q \quad \partial_\mu A_a^\mu = i\bar{q} \left\{ m, \frac{\lambda_a}{2} \right\} \gamma_5 q$$

- The **vector current** is conserved (**CVC**)

- **chiral limit**

- $m_u = m_d = m_s \Leftrightarrow \text{SU}(3)_{\text{flavor}}$ symmetry (broken at **20%** level)

- $m_u = m_d \neq m_s \Leftrightarrow \text{SU}(2)_{\text{flavor}}$ **isospin** symmetry (broken at **1%** level)

$$V_a^\mu = \bar{q} \gamma^\mu \frac{\lambda_a}{2} q = \bar{q}' \gamma^\mu \frac{\tau_a}{2} q' \Leftrightarrow \partial_\mu V_a^\mu = 0 \quad a = 1 - 3 \quad q' = \begin{pmatrix} q_u \\ q_d \end{pmatrix}$$

- $\tau_{1-3} \leftarrow$ Pauli matrices

Approximate global symmetries

$$\partial_\mu V_a^\mu = \bar{q} \left[m, \frac{\lambda_a}{2} \right] q \quad \partial_\mu A_a^\mu = i\bar{q} \left\{ m, \frac{\lambda_a}{2} \right\} \gamma_5 q$$

- The **vector current** is conserved (**CVC**)
 - **chiral limit**
 - $m_u = m_d = m_s \Leftrightarrow \text{SU}(3)_{\text{flavor}}$ symmetry (broken at **20%** level)
 - $m_u = m_d \neq m_s \Leftrightarrow \text{SU}(2)_{\text{flavor}}$ **isospin** symmetry (broken at **1%** level)
- The **axial current** is **conserved** in the **chiral limit** (**PCAC**)

Flavor symmetries

- **Flavor** structure of the **EW** quark currents:

$$\mathcal{L}_{\text{EW}} = -e J_{em}^\mu A_\mu - \frac{g}{2 \cos \theta_W} J_{nc}^\mu Z_\mu - \frac{g}{2\sqrt{2}} J_{cc}^\mu W_\mu^\dagger + h.c.$$

$$\begin{aligned} J_{em}^\mu &= \frac{2}{3} \bar{q}_u \gamma^\mu q_u - \frac{1}{3} (\bar{q}_d \gamma^\mu q_d + \bar{q}_s \gamma^\mu q_s) = \bar{q} Q \gamma^\mu q \quad Q = \text{diag} \left(\frac{2}{3} \quad -\frac{1}{3} \quad -\frac{1}{3} \right) \\ &= \frac{1}{2} \bar{q} \frac{\lambda_8}{\sqrt{3}} \gamma^\mu q + \bar{q} \frac{\lambda_3}{2} \gamma^\mu q = \frac{1}{2} V_Y^\mu + V_3^\mu \end{aligned}$$

$$J_{cc}^\mu = \bar{q}_u \gamma^\mu (1 - \gamma_5) (q_d \cos \theta_C + q_s \sin \theta_C)$$

$$V_+^\mu = \bar{q}_u \gamma^\mu q_d = \bar{q} \gamma^\mu \frac{\lambda_1 + i\lambda_2}{2} q = V_1^\mu + iV_2^\mu$$

$V_{1,2,3}$: components of the same (conserved) flavor vector current

$$J_{nc}^\mu = \bar{q}_u \gamma^\mu \left[\frac{1}{2} - \left(\frac{2}{3} \right) 2 \sin^2 \theta_W - \frac{1}{2} \gamma_5 \right] q_u + \bar{q}_d \gamma^\mu \left[-\frac{1}{2} - \left(-\frac{1}{3} \right) 2 \sin^2 \theta_W + \frac{1}{2} \gamma_5 \right] q_d + (d \rightarrow s)$$

$$V_{nc}^\mu = (1 - 2 \sin^2 \theta_W) V_3^\mu - 2 \sin^2 \theta_W \frac{1}{2} V_Y^\mu - \frac{1}{2} \bar{q}_s \gamma^\mu q_s$$

Flavor symmetries

- **Flavor** structure of the **EW** quark currents:

$$\mathcal{L}_{\text{EW}} = -e J_{em}^\mu A_\mu - \frac{g}{2 \cos \theta_W} J_{nc}^\mu Z_\mu - \frac{g}{2\sqrt{2}} J_{cc}^\mu W_\mu^\dagger + h.c.$$

$$J_{cc}^\mu = \bar{q}_u \gamma^\mu (1 - \gamma_5) (q_d \cos \theta_C + q_s \sin \theta_C)$$

$$A_+^\mu = \bar{q}_u \gamma^\mu \gamma_5 q_d = \bar{q}_u \gamma^\mu \gamma_5 \frac{\lambda_1 + i\lambda_2}{2} q_d = A_1^\mu + iA_2^\mu$$

$$J_{nc}^\mu = \bar{q}_u \gamma^\mu \left[\frac{1}{2} - \left(\frac{2}{3} \right) 2 \sin^2 \theta_W - \frac{1}{2} \gamma_5 \right] q_u + \bar{q}_d \gamma^\mu \left[-\frac{1}{2} - \left(-\frac{1}{3} \right) 2 \sin^2 \theta_W + \frac{1}{2} \gamma_5 \right] q_d + (d \rightarrow s)$$

$$A_{nc}^\mu = A_3^\mu + \frac{1}{2} \bar{q}_s \gamma^\mu \gamma_5 q_s$$

$A_{1,2,3}$: components of the same (partially conserved) flavor axial current

Chiral symmetry breaking

- $m_u = m_d = m_s = 0 \Leftrightarrow$ Chiral $SU(3)_L \times SU(3)_R$ symmetry

- **Explicit** chiral symmetry **breaking**:

$$\partial_\mu V_a^\mu = \bar{q} \left[m, \frac{\lambda_a}{2} \right] q \quad \partial_\mu A_a^\mu = i \bar{q} \left\{ m, \frac{\lambda_a}{2} \right\} \gamma_5 q$$

- The ground state does **not** have the chiral symmetry of the Lagrangian

- **Parity doublets** (with almost degenerate masses) are **absent**:

- $m_\rho = 770 \text{ MeV } (J^P=1^-) \neq m_{a_1} = 1230 \text{ MeV } (1^+)$
- **Light pseudoscalar mesons** ($J^P=0^-$): $m_\pi = 140 \text{ MeV}$, $m_K = 494 \text{ MeV}$
but **heavy scalar mesons** ($J^P=0^+$): $m_{f_0} = 400\text{-}800 \text{ MeV}$, $m_K = 845 \text{ MeV}$

- **Spontaneous** chiral symmetry **breaking**:

- $SU(3)_L \times SU(3)_R \rightarrow SU(3)_V$
- Goldstone bosons: π , K , η

ChPT in a nutshell

- Chiral Perturbation Theory = EFT of QCD at low energies
 - order by order renormalizable
 - free parameters called low-energy constants (LEC)

$$\mathcal{L}_{\text{QCD}} \rightarrow \mathcal{L}_{\text{effective}}(\pi, K, \eta, \dots)$$

- $SU(3)_L \times SU(3)_R \rightarrow SU(3)_V$
- Goldstone boson fields $\in$ quotient $SU(3)_L \times SU(3)_R / SU(3)_V$
- Conveniently parametrized as:

$$U(x) = \exp\left(\frac{i}{f_\pi}\phi(x)\right) \quad \phi = \sum_{a=1}^8 \phi_a \lambda_a \equiv \begin{pmatrix} \pi^0 + \frac{1}{\sqrt{3}}\eta & \sqrt{2}\pi^+ & \sqrt{2}K^+ \\ \sqrt{2}\pi^- & -\pi^0 + \frac{1}{\sqrt{3}}\eta & \sqrt{2}K^0 \\ \sqrt{2}K^- & \sqrt{2}\bar{K}^0 & -\frac{2}{\sqrt{3}}\eta \end{pmatrix}$$

$$\mathcal{L}_{\text{eff}} = \frac{f_\pi^2}{4} \text{Tr} [\partial_\mu U \partial^\mu U^\dagger] \quad \mathcal{L} = \mathcal{L}_2 + \mathcal{L}_4 + \dots$$

ChPT in a nutshell

■ Chiral Perturbation Theory = EFT of QCD at low energies

■ $SU(3)_L \times SU(3)_R \rightarrow SU(3)_V$

■ Pseudoscalar Mesons:

$$U(x) = \exp \left(\frac{i}{f_\pi} \phi(x) \right) \quad \phi = \sum_{a=1}^8 \phi_a \lambda_a \equiv \begin{pmatrix} \pi^0 + \frac{1}{\sqrt{3}}\eta & \sqrt{2}\pi^+ & \sqrt{2}K^+ \\ \sqrt{2}\pi^- & -\pi^0 + \frac{1}{\sqrt{3}}\eta & \sqrt{2}K^0 \\ \sqrt{2}K^- & \sqrt{2}\bar{K}^0 & -\frac{2}{\sqrt{3}}\eta \end{pmatrix}$$

$$\mathcal{L}_{\text{eff}} = \frac{f_\pi^2}{4} \text{Tr} [\partial_\mu U \partial^\mu U^\dagger] \quad \mathcal{L} = \mathcal{L}_2 + \mathcal{L}_4 + \dots$$

■ Baryon octet:

$$\mathcal{L}_{MB}^{(1)} = \text{Tr}[\bar{B}(i\not{D} - M_0)B] - \frac{D}{2} \text{Tr}(\bar{B}\gamma^\mu \gamma_5 \{u_\mu, B\}) - \frac{F}{2} \text{Tr}(\bar{B}\gamma^\mu \gamma_5 [u_\mu, B])$$

$$D_\mu B = \partial_\mu B + [\Gamma_\mu, B] \quad B = \sum_{a=1}^8 \frac{B_a \lambda_a}{\sqrt{2}} = \begin{pmatrix} \frac{1}{\sqrt{2}}\Sigma^0 + \frac{1}{\sqrt{6}}\Lambda & \Sigma^+ & p \\ \Sigma^- & -\frac{1}{\sqrt{2}}\Sigma^0 + \frac{1}{\sqrt{6}}\Lambda & n \\ \Xi^- & \Xi^0 & -\frac{2}{\sqrt{6}}\Lambda \end{pmatrix}$$

$$\mathcal{L} = \mathcal{L}_1 + \mathcal{L}_2 + \mathcal{L}_3 + \dots$$

■ Light $J^P=3/2^+$ decuplet can be added

■ Heavier hadrons $\Rightarrow$ LECs

ChPT in a nutshell

- Chiral Perturbation Theory = EFT of QCD at low energies
- $SU(3)_L \times SU(3)_R \rightarrow SU(3)_V$
- Pseudoscalar Mesons:
- Baryon octet:
 - Light $J^P=3/2^+$ decuplet can be added
- Heavier hadrons $\Rightarrow$ LECs
- External currents (EM, weak, ...) and explicit chiral symmetry breaking
- Power counting:

$$p_i \rightarrow tp_i, \quad m_q \rightarrow t^2 m_q$$

$$\mathcal{M}(tp_i, t^2 m_q) = t^n \mathcal{M}(p_i, m_q)$$

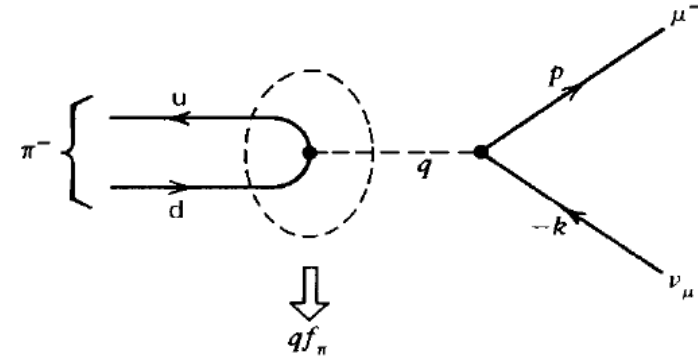
- chiral order:
$$n = 4L - 2N_M - N_B + \sum_k V_k$$

- ChPT predicts the light-quark mass dependence of amplitudes

Charged pion decay

■ Example: $\pi^-(q) \rightarrow \mu^-(p) + \bar{\nu}_\mu(k)$

$$\mathcal{L}_{\text{EW}} = -\frac{g}{2\sqrt{2}} J_{cc}^\mu W_\mu^+ + h.c.$$



$$(-i)\mathcal{M} = (-i) \langle \mu^- \bar{\nu}_\mu | \left(-\frac{g}{2\sqrt{2}} \right) J_{cc}^\mu | 0 \rangle (-i) D_{\mu\nu}(q) (-i) \langle 0 | \left(-\frac{g}{2\sqrt{2}} \right) J_{cc}^\nu | \pi^- \rangle$$

$$D_{\mu\nu} = \frac{1}{q^2 - M_W^2} \left(g_{\mu\nu} - \frac{q_\mu q_\nu}{M_W^2} \right) \approx -\frac{g_{\mu\nu}}{M_W^2} \quad q^2 = m_\pi^2 \ll M_W^2$$

$$\langle \mu^- \bar{\nu}_\mu | J_{cc}^\mu | 0 \rangle = \bar{u}(p) \gamma_\mu (1 - \gamma_5) v(k)$$

$$\langle 0 | J_{cc}^\nu | \pi^- \rangle = \bar{v}_u \gamma^\nu (1 - \gamma_5) u_d = \sqrt{2} f_\pi i q^\nu$$

$$A_a^\mu = -f_\pi \partial^\mu \pi_a + \dots$$

$$\left(\frac{g}{2\sqrt{2}} \right)^2 \frac{1}{M_W^2} = \frac{G_F}{\sqrt{2}}$$

Charged pion decay

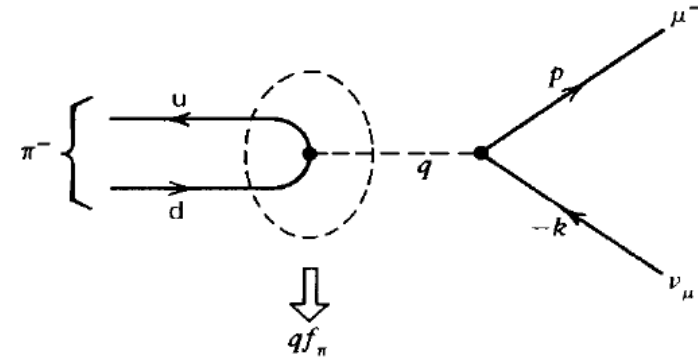
■ Example: $\pi^-(q) \rightarrow \mu^-(p) + \bar{\nu}_\mu(k)$

$$\overline{|\mathcal{M}|^2} = \sum_{\text{polar.}} |\mathcal{M}|^2 = 4G_F^2 L_{\mu\nu} H^{\mu\nu}$$

$$\text{Tr}[(\not{p} + m_\mu)\gamma_\mu(1 - \gamma_5)\not{k}\gamma_\nu(1 - \gamma_5)] = 8L_{\mu\nu}$$

$$L_{\mu\nu} = p_\mu k_\nu + p_\nu k_\mu - g_{\mu\nu} k \cdot p + i\epsilon_{\mu\nu\alpha\beta} p^\alpha k^\beta$$

$$H^{\mu\nu} = 2f_\pi^2 q^\mu q^\nu$$



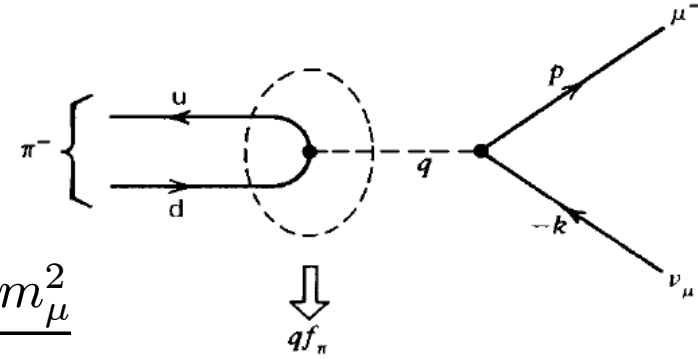
$$q = p + k$$

Charged pion decay

■ Example: $\pi^-(q) \rightarrow \mu^-(p) + \bar{\nu}_\mu(k)$

$$|\overline{\mathcal{M}}|^2 = 8G_F^2 f_\pi^2 m_\mu^2 (p \cdot k)$$

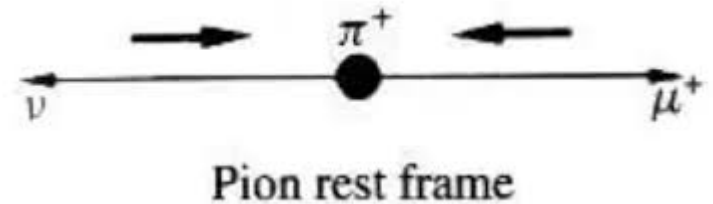
$$q^2 = (p + k)^2 = m_\mu^2 + 2(p \cdot k) \Rightarrow (p \cdot k) = \frac{m_\pi^2 - m_\mu^2}{2}$$



Decay width, in the π rest frame:

$$\Gamma = \frac{1}{2m_\pi} \int \frac{d^3 p}{2p^0 (2\pi)^3} \frac{d^3 k}{2k^0 (2\pi)^3} (2\pi)^4 \delta^4(k + p - q) |\overline{\mathcal{M}}|^2$$

$$\Gamma = \frac{G_F^2}{4\pi} f_\pi^2 m_\pi m_\mu^2 \left(1 - \frac{m_\mu^2}{m_\pi^2}\right)^2$$



$$\tau = \frac{1}{\Gamma} = 2.6 \cdot 10^{-8} \text{ s} \Rightarrow f_\pi = 92.4 \text{ MeV}$$

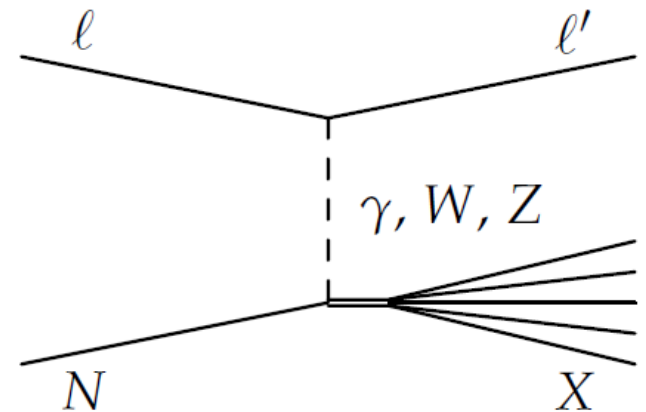
Inclusive cross section

$$l(k) + N(p) \rightarrow l'(k') + X(p')$$

$$k = (k_0, \vec{k}) \quad p = (E, \vec{p})$$

$$k' = (k'_0, \vec{k}') \quad p' = (E', \vec{p}')$$

$$q = k - k' = p' - p = (\omega, \vec{q}) \quad q^2 = -Q^2 < 0$$



In Lab: $p = (M, \vec{0})$

For **CC/NC**:
$$\frac{d\sigma}{dk'_0 d\Omega(\vec{k}')} = \frac{G_F^2}{(2\pi)^2} \frac{|\vec{k}'|}{k_0} L_{\mu\nu} W^{\mu\nu}$$

$$L_{\mu\nu} = k'_\mu k_\nu + k'_\nu k_\mu - g_{\mu\nu} k \cdot k' + i\epsilon_{\mu\nu\alpha\beta} k'^\alpha k^\beta$$

$$W^{\mu\nu} = \frac{1}{2M} \sum_{\text{polar.}} \prod_i \left(\int \frac{d^3 p_i}{2E_i (2\pi)^3} \right) (2\pi)^3 \delta^4(k' + p' - k - p) \langle X | J^\mu | N \rangle \langle X | J^\nu | N \rangle^*$$

For **EM**: $L_{\mu\nu} \rightarrow L_{\mu\nu}^{(\text{sym})} \quad \frac{G_F^2}{(2\pi)^2} \rightarrow \frac{\alpha^2}{q^4}$

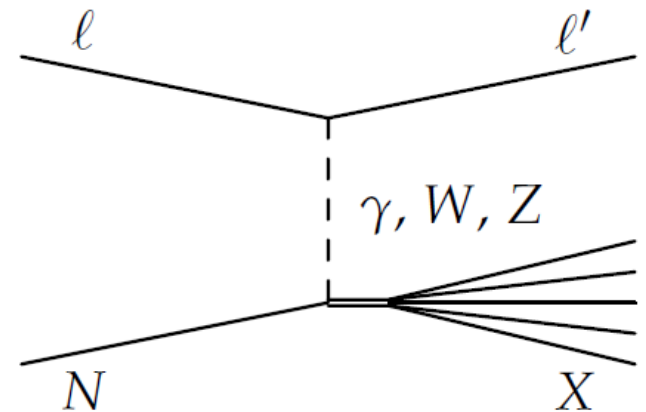
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$$q = k - k' = p' - p = (\omega, \vec{q}) \quad q^2 = -Q^2 < 0$$



General structure of the **hadronic** tensor:

Ingredients: $g^{\mu\nu}$, q^μ , p^μ , $\epsilon^{\alpha\beta\mu\nu}$ $p' = p + q$ ← not independent

$$\begin{aligned} W^{\mu\nu} = & -W_1 g^{\mu\nu} + W_2 \frac{p^\mu p^\nu}{M^2} + W_4 \frac{q^\mu q^\nu}{M^2} + W_5 \frac{p^\mu q^\nu + q^\mu p^\nu}{M^2} \\ & + W_3 i \epsilon^{\mu\nu\alpha\beta} \frac{p_\alpha q_\beta}{2M^2} + W_6 \frac{p^\mu q^\nu - q^\mu p^\nu}{M^2} \end{aligned}$$

Structure functions: $W_i = W_i(p^2 = M^2, q \cdot p = \omega M, q^2) = W_i(\omega, q^2)$

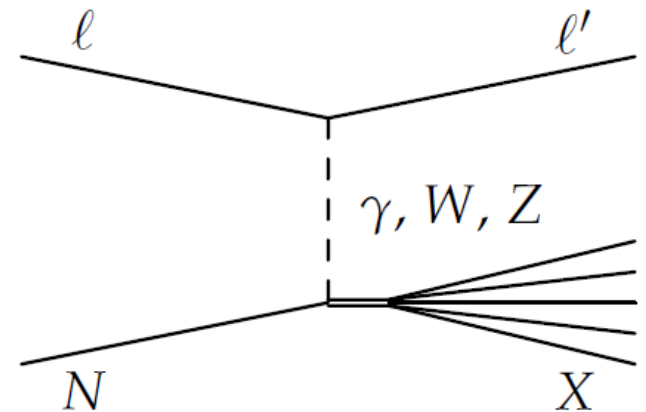
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General structure of the **hadronic** tensor:

$$W^{\mu\nu} = -W_1 g^{\mu\nu} + W_2 \frac{p^\mu p^\nu}{M^2} + W_4 \frac{q^\mu q^\nu}{M^2} + W_5 \frac{p^\mu q^\nu + q^\mu p^\nu}{M^2} \\ + W_3 i\epsilon^{\mu\nu\alpha\beta} \frac{p_\alpha q_\beta}{2M^2} + W_6 \frac{p^\mu q^\nu - q^\mu p^\nu}{M^2}$$

Structure functions: $W_i = W_i(\omega, q^2)$

For **EM** interactions: $q_\mu J^\mu = 0 \Rightarrow q_\mu W_{em}^{\mu\nu} = W_{em}^{\mu\nu} q_\nu = 0$

$$W_{em}^{\mu\nu} = W_1 \left(\frac{q^\mu q^\nu}{q^2} - g^{\mu\nu} \right) + \frac{W_2}{M^2} \left(p^\mu - \frac{p \cdot q}{q^2} q^\mu \right) \left(p^\nu - \frac{p \cdot q}{q^2} q^\nu \right)$$

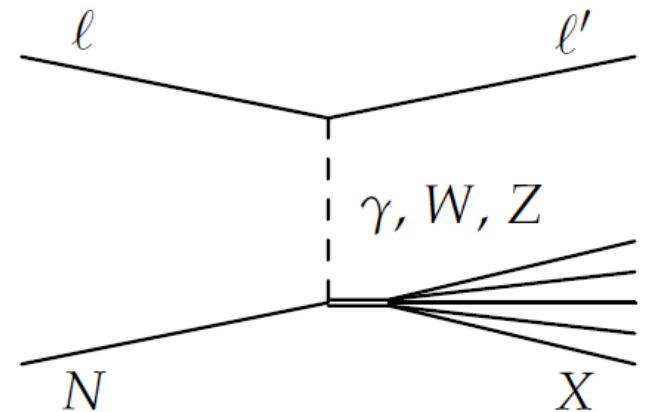
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In Lab: $p = (M, \vec{0})$

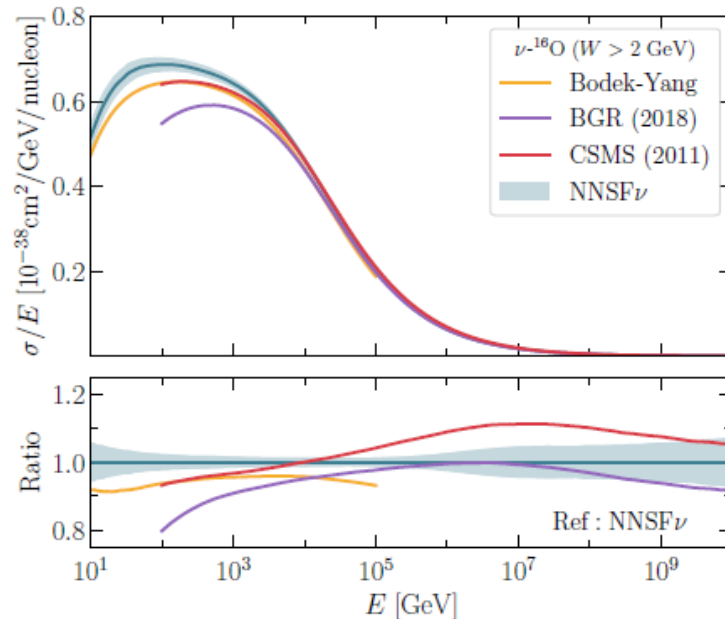
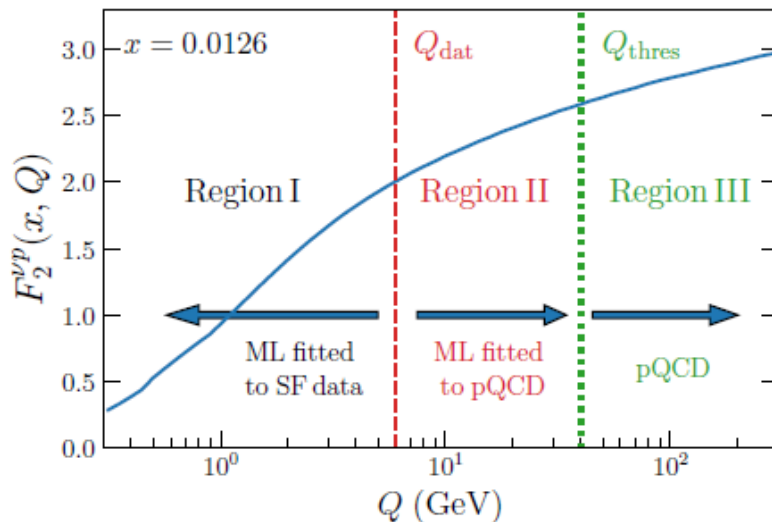
$$\frac{d\sigma}{dk'_0 d\Omega(\vec{k}')} = \frac{G_F^2}{(2\pi)^2} \frac{|\vec{k}'|}{k_0} \left\{ \begin{aligned} & \mathbf{W}_1 2k \cdot k' + \mathbf{W}_2 (2k'_0 k_0 - k \cdot k') \\ & + 2 \frac{m_l^2}{M^2} [\mathbf{W}_4 k \cdot k' - \mathbf{W}_5 M k_0] + \frac{\mathbf{W}_3}{M} [(k_0 + k'_0) k \cdot k' - k_0 m_l^2] \end{aligned} \right\}$$

$$m_l \rightarrow 0$$

$$\frac{d\sigma}{dk'_0 d\Omega(\vec{k}')} = \frac{G_F^2}{2\pi^2} (k'_0)^2 \left[\mathbf{W}_1 2 \sin^2 \frac{\theta'}{2} + \mathbf{W}_2 \cos^2 \frac{\theta'}{2} \pm \mathbf{W}_3 \frac{(k_0 + k'_0)}{M} \sin^2 \frac{\theta'}{2} \right]$$

Structure functions

- Determination of **inelastic structure functions**
- New approach **NNSF ν** , Candido et al., JHEP 05 (2023)
 - $W > 2$ GeV and **various targets**
 - Machine learning parametrization
 - Implements a high Q region (II) for matching to **pQCD**



- Important for **Ice Cube** and **KM3NeT**

ν scattering on a scalar particle

$$\nu(k) + A(p) \rightarrow \nu(k') + A(p')$$

$$J_{\text{nc}}^\mu = 2(Ap^\mu + Bq^\mu)$$

CVC: $q_\mu J_{\text{nc}}^\mu = 0 \Rightarrow Ap \cdot q + Bq^2 = 0$

$$J_{\text{nc}}^\mu = 2Q_W \left(p^\mu - \frac{p \cdot q}{q^2} q^\mu \right) \quad Q_W \equiv A$$

Using that: $p'^2 = M^2 = (q + p)^2 = q^2 + 2(p \cdot q) + M^2 \Rightarrow \frac{p \cdot q}{q^2} = \frac{M\omega}{q^2} = -\frac{1}{2}$

$$J_{\text{nc}}^\mu = Q_W (2p^\mu + q^\mu)$$

ν scattering on a scalar particle

$$\nu(k) + A(p) \rightarrow \nu(k') + A(p')$$

$$J_{\text{nc}}^\mu = Q_W (2p^\mu + q^\mu)$$

$$W^{\mu\nu} = \frac{1}{2M} \int \frac{d^3 p'}{2E'} \delta^4(k' + p' - k - p) H^{\mu\nu}$$

$$H^{\mu\nu} = Q_W^2 (2p^\mu + q^\mu) (2p^\nu + q^\nu)$$

$$\begin{aligned} \text{Recall that: } W^{\mu\nu} = & -\textcolor{red}{W}_1 g^{\mu\nu} + \textcolor{red}{W}_2 \frac{p^\mu p^\nu}{M^2} + \textcolor{red}{W}_4 \frac{q^\mu q^\nu}{M^2} + \textcolor{red}{W}_5 \frac{p^\mu q^\nu + q^\mu p^\nu}{M^2} \\ & + \textcolor{blue}{W}_3 i\epsilon^{\mu\nu\alpha\beta} \frac{p_\alpha q_\beta}{2M^2} + \textcolor{blue}{W}_6 \frac{p^\mu q^\nu - q^\mu p^\nu}{M^2} \end{aligned}$$

$$\begin{aligned} \frac{d\sigma}{dk'_0 d\Omega(\vec{k}')} = & \frac{G_F^2}{(2\pi)^2} \frac{|\vec{k}'|}{k_0} \left\{ \textcolor{red}{W}_1 2k \cdot k' + \textcolor{red}{W}_2 (2k'_0 k_0 - k \cdot k') \right. \\ & \left. + 2 \frac{m_l^2}{M^2} [\textcolor{red}{W}_4 k \cdot k' - \textcolor{red}{W}_5 M k_0] + \frac{\textcolor{blue}{W}_3}{M} [(k_0 + k'_0) k \cdot k' - k_0 m_l^2] \right\} \end{aligned}$$

ν scattering on a scalar particle

$$\nu(k) + A(p) \rightarrow \nu(k') + A(p')$$

$$J_{\text{nc}}^\mu = Q_W (2p^\mu + q^\mu)$$

$$W^{\mu\nu} = \frac{1}{2M} \int \frac{d^3 p'}{2E'} \delta^4(k' + p' - k - p) H^{\mu\nu}$$

$$H^{\mu\nu} = Q_W^2 (2p^\mu + q^\mu) (2p^\nu + q^\nu)$$

$$\text{Then: } W_2 = Q_W^2 \frac{M}{E'} \delta(k'_0 + E' - k_0 - M)$$

$$\text{Using that: } \delta(E' + k'_0 - M - k_0) = \frac{E'}{M} \delta\left(k'_0 - k_0 - \frac{q^2}{2M}\right)$$

one finds:

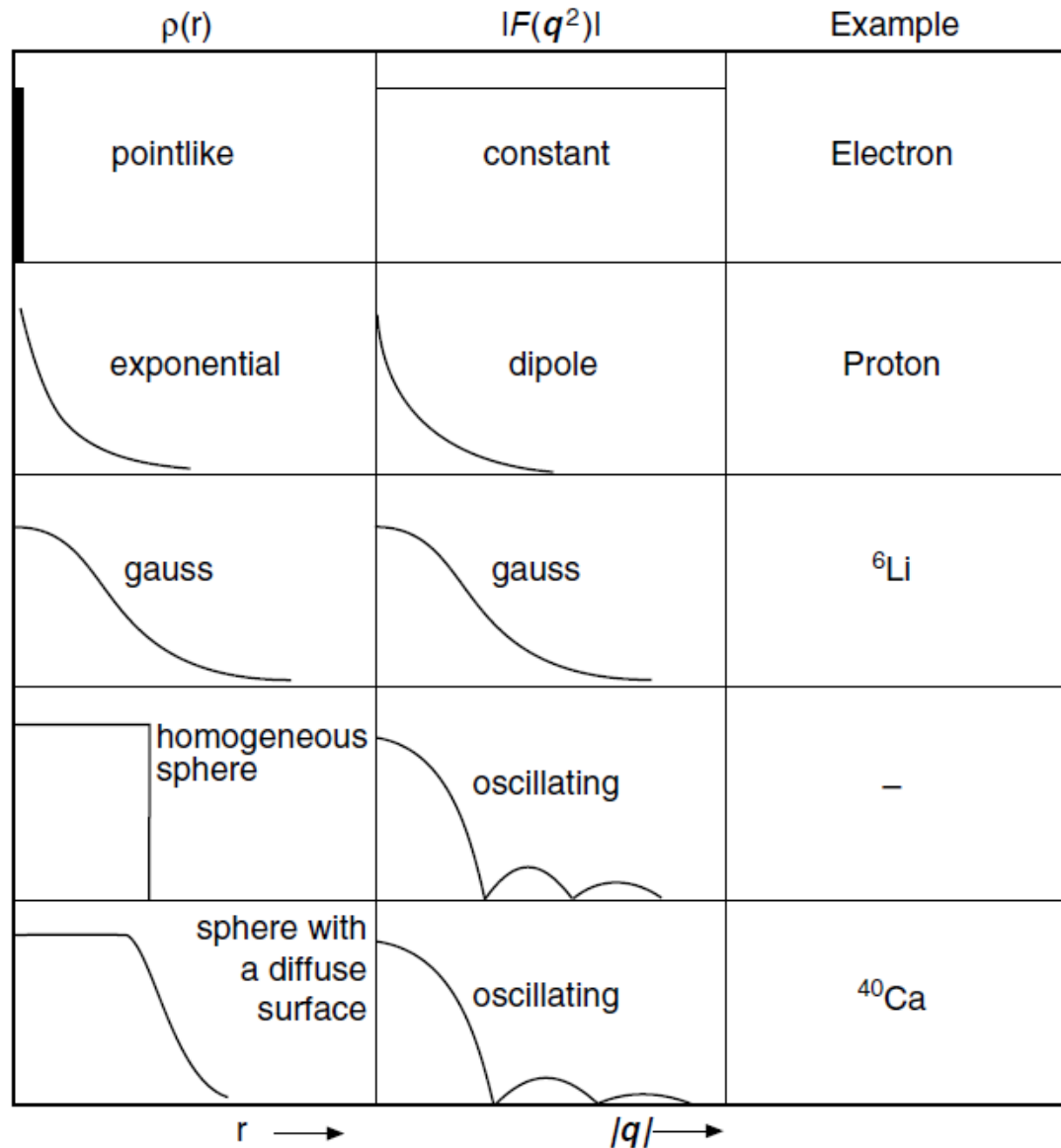
$$W_2 = \frac{Q_W^2}{\omega} \delta\left(1 + \frac{q^2}{2M\omega}\right) \Leftrightarrow \omega W_2 \equiv F_2(x) = Q_W^2 \delta(1 - x)$$
$$x = -\frac{q^2}{2M\omega}$$

- A = (S = 0) **nucleus**

- For $|\vec{q}| > \frac{1}{r_A} \approx 70 \text{ MeV}$ on ^{12}C

$$W_2 = \frac{Q_W^2}{\omega} \delta \left(1 + \frac{q^2}{2M\omega} \right) \rightarrow \frac{Q_W^2}{\omega} F^2(q^2) \delta \left(1 + \frac{q^2}{2M\omega} \right)$$

Form factors



■ A = (S = 0) **nucleus**

■ For $|\vec{q}| > \frac{1}{r_A} \approx 70 \text{ MeV}$ on ^{12}C

$$W_2 = \frac{Q_W^2}{\omega} \delta \left(1 + \frac{q^2}{2M\omega} \right) \rightarrow \frac{Q_W^2}{\omega} \textcolor{red}{F^2}(q^2) \delta \left(1 + \frac{q^2}{2M\omega} \right)$$

Substituting in:

$$\begin{aligned} \frac{d\sigma}{dk'_0 d\Omega(\vec{k}')} &= \frac{G_F^2}{(2\pi)^2} \frac{|\vec{k}'|}{k_0} \left\{ \textcolor{red}{W}_1 2k \cdot k' + \textcolor{red}{W}_2 (2k'_0 k_0 - k \cdot k') \right. \\ &\quad \left. + 2 \frac{m_l^2}{M^2} [\textcolor{red}{W}_4 k \cdot k' - \textcolor{red}{W}_5 M k_0] + \frac{\textcolor{blue}{W}_3}{M} [(k_0 + k'_0) k \cdot k' - k_0 m_l^2] \right\} \end{aligned}$$

CE ν NS

■ A = (S = 0) **nucleus**

■ For $|\vec{q}| > \frac{1}{r_A} \approx 70 \text{ MeV}$ on **^{12}C**

$$W_2 = \frac{Q_W^2}{\omega} \delta \left(1 + \frac{q^2}{2M\omega} \right) \rightarrow \frac{Q_W^2}{\omega} \textcolor{red}{F^2}(q^2) \delta \left(1 + \frac{q^2}{2M\omega} \right)$$

Results in:

$$\frac{d\sigma}{dk'_0 d\Omega(\vec{k}')} = \frac{G_F^2}{(2\pi)^2} \frac{|\vec{k}'|}{k_0} \frac{Q_W^2}{\omega} F^2(q^2) \delta \left(1 + \frac{q^2}{2M\omega} \right) (2k'_0 k_0 - \vec{k} \cdot \vec{k}')$$

Recoil energy distribution:

$$\frac{d\sigma}{dT} = \frac{G_F^2}{(2\pi)} Q_W^2 F^2(-2MT) M \left[2 - \frac{MT}{k_0^2} \left(1 - 2\frac{k_0}{M} \right) \right]$$

Q_W :

$$J_{nc}^\mu = \bar{q}_u \gamma^\mu \underbrace{\left[\frac{1}{2} - \left(\frac{2}{3} \right) 2 \sin^2 \theta_W - \frac{1}{2} \gamma_5 \right]}_{Q_W^u} q_u + \bar{q}_d \gamma^\mu \underbrace{\left[-\frac{1}{2} - \left(-\frac{1}{3} \right) 2 \sin^2 \theta_W + \frac{1}{2} \gamma_5 \right]}_{Q_W^d} q_d + (d \rightarrow s)$$

$$Q_W = Z(2Q_W^u + Q_W^d) + N(Q_W^u + 2Q_W^d)$$

$$2Q_W = Z(1 - 4 \sin^2 \theta_W) - N$$

$$\frac{d\sigma}{dT} = \frac{G_F^2}{(2\pi)} \frac{[Z(1 - 4 \sin^2 \theta_W) - N]^2}{4} F^2(-2MT) M \left[2 - \frac{MT}{k_0^2} \left(1 - 2 \frac{k_0}{M} \right) \right]$$

■ **Measurement** requires a recoil energy

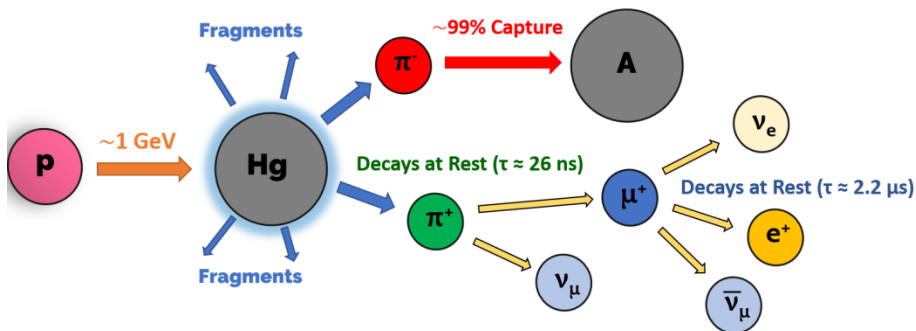
■ **small** enough: $F^2(-2MT) \sim 1$

■ **large** enough to be detected

CE ν NS

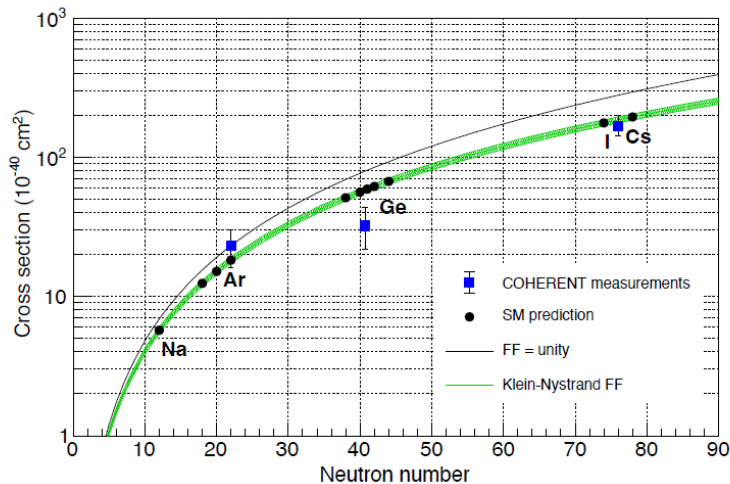
■ Coherent

■ Pulsed ν beam from pion decay at rest at Spallation Neutron Source



M. Green @ Neutrino 2024

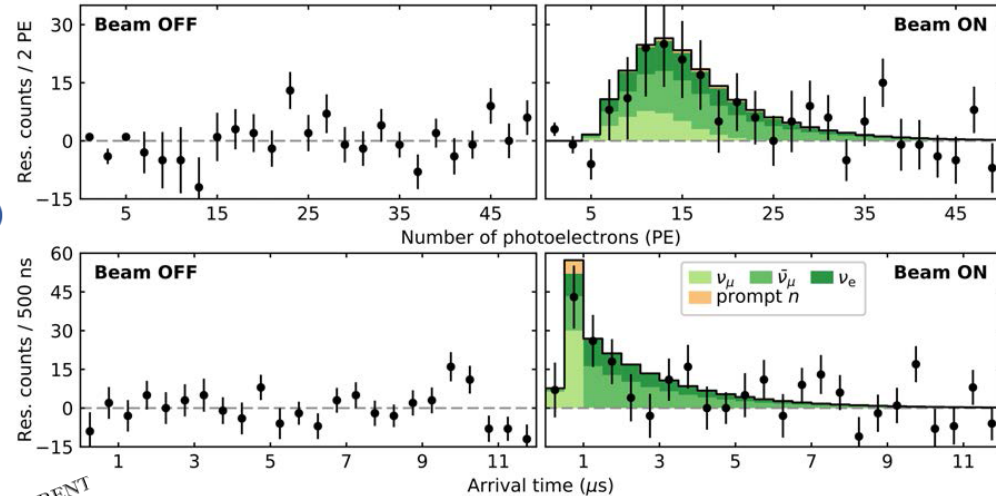
Ge-Mini Campaign 2 Results



COHERENT

M.P. Green | COHERENT | NEUTRINO 2024

20



Science 357 (2017)

- Several (reactor) experiments to follow.
- BSM searches
 - NSI, Z'
 - ν properties: magnetic moment, charge radius
- Neutron distributions in nuclei

QE scattering on the nucleon

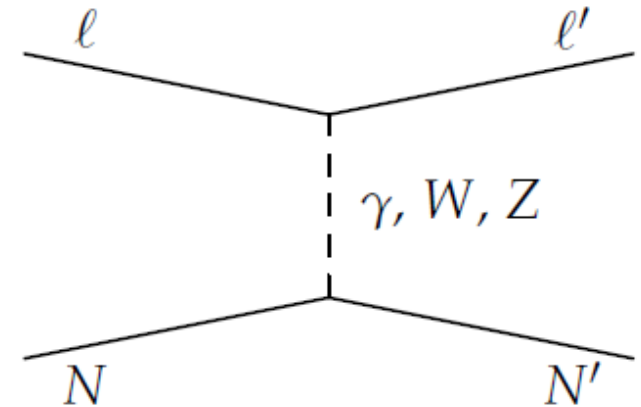
$$\text{EM} : l^\pm(k) + N(p) \rightarrow l^\pm(k') + N(p')$$

$$\text{CC} : \nu(k) + n(p) \rightarrow l^-(k') + p(p')$$

$$\bar{\nu}(k) + p(p) \rightarrow l^+(k') + n(p')$$

$$\text{NC} : \nu(k) + N(p) \rightarrow \nu(k') + N(p')$$

$$\bar{\nu}(k) + N(p) \rightarrow \bar{\nu}(k') + N(p')$$



■ QE kinematics:

$$(q + p)^2 = (p')^2$$

$$q^2 + 2M\omega + M^2 = M^2$$

$$\omega = -\frac{q^2}{2M}$$

$$x = 1$$

QE scattering on the nucleon

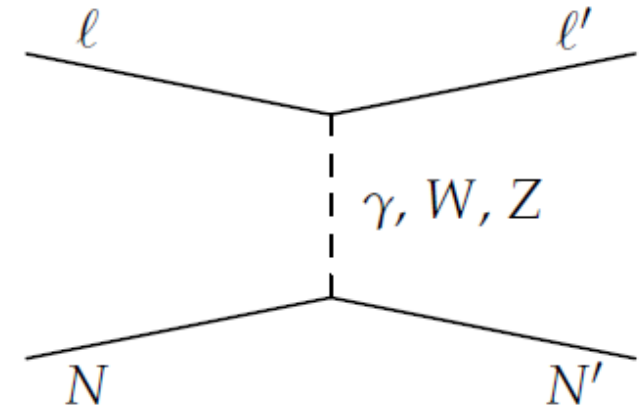
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$$\text{NC} : \nu(k) + N(p) \rightarrow \nu(k') + N(p')$$

$$\bar{\nu}(k) + N(p) \rightarrow \bar{\nu}(k') + N(p')$$



■ Cross section:

$$\frac{d\sigma}{dk'_0 d\Omega(\vec{k}')} = \frac{G_F^2}{(2\pi)^2} \frac{|\vec{k}'|}{k_0} L_{\mu\nu} W^{\mu\nu} \quad \text{For EM: } L_{\mu\nu} \rightarrow L_{\mu\nu}^{(\text{sym})} \quad \frac{G_F^2}{(2\pi)^2} \rightarrow \frac{\alpha^2}{q^4}$$

$$W^{\mu\nu} = \frac{1}{2M} \int \frac{d^3 p'}{2E'} \delta^4(k' + p' - k - p) H^{\nu\mu}$$

$$H^{\alpha\beta} = \text{Tr} \left[(\not{p} + M) \gamma^0 (\Gamma^\alpha)^\dagger \gamma^0 (\not{p}' + M) \Gamma^\beta \right]$$

$$\langle N' | J^\mu | N \rangle = \bar{u}(p') \Gamma^\mu u(p) = \mathcal{V}^\mu - \mathcal{A}^\mu$$

Electroweak nucleon current

$$\langle N' | J^\mu | N \rangle = \bar{u}(p') \Gamma^\mu u(p) = \mathcal{V}^\mu - \mathcal{A}^\mu$$

$$\mathcal{V}^\mu = \bar{u}(p') \left[\gamma^\mu F_1 + \frac{i}{2M} \sigma^{\mu\nu} q_\nu F_2 \right] u(p)$$

$$\mathcal{A}^\mu = \bar{u}(p') \left[\gamma^\mu \gamma_5 F_A + \frac{q^\mu}{M} \gamma_5 F_P \right] u(p)$$

- F_1 ← Dirac form factor
- F_2 ← Pauli form factor
- F_A ← axial form factor
- F_P ← pseudoscalar form factor

- The most general structure is reduced using Dirac algebra and motion eq.
- T-invariance $\Rightarrow F_i = F_i^*$
- $G = C e^{i\pi \frac{\tau_2}{2}}$ parity transformations
- $F_i = F_i(q^2) \Leftrightarrow 2 p \cdot q + q^2 = 0$

Electroweak nucleon current

$$\langle N' | J^\mu | N \rangle = \bar{u}(p') \Gamma^\mu u(p) = \mathcal{V}^\mu - \mathcal{A}^\mu$$

■ $\Gamma^\mu \leftarrow$ 4-vector constructed from:

$$(1) \quad p^\mu, p'^\mu$$

$$(2) \quad \epsilon_{\alpha\beta\mu\nu}, g^{\mu\nu}$$

$$(3) \quad \left\{ \gamma_\mu, \gamma_5, \gamma_\mu \gamma_5, \sigma_{\mu\nu} = \frac{i}{2} [\gamma_\mu, \gamma_\nu] \right\}$$

Any other combination of γ matrices can be reduced to (3).

For example:

$$\gamma_\mu \gamma_\nu = g_{\mu\nu} - i \sigma_{\mu\nu}$$

$$\gamma^\alpha \sigma^{\mu\nu} = i (g^{\alpha\mu} g^{\beta\nu} - g^{\alpha\nu} g^{\beta\mu}) \gamma_\beta + \epsilon^{\alpha\mu\nu\beta} \gamma_5 \gamma_\beta$$

Electroweak nucleon current

$$\langle N' | J^\mu | N \rangle = \bar{u}(p') \Gamma^\mu u(p) = \mathcal{V}^\mu - \mathcal{A}^\mu$$

■ $\Gamma^\mu \leftarrow$ 4-vector constructed from:

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$$(3) \quad \left\{ \gamma_\mu, \gamma_5, \gamma_\mu \gamma_5, \sigma_{\mu\nu} = \frac{i}{2} [\gamma_\mu, \gamma_\nu] \right\}$$

Using:

■ Dirac algebra

■ Dirac equation: $(\not{p} - M)u(p) = \bar{u}(p')(\not{p}' - M) = 0$

one finds:

$$\Gamma^\mu = \gamma^\mu F_1 + \frac{i}{2M} \sigma^{\mu\nu} q_\nu F_2 + \frac{q^\mu}{M} F_S - \gamma^\mu \gamma_5 F_A - \frac{i}{2M} \sigma^{\mu\nu} q_\nu \gamma_5 F_T - \frac{q^\mu}{M} \gamma_5 F_P$$

Electroweak nucleon current

$$\langle N' | J^\mu | N \rangle = \bar{u}(p') \Gamma^\mu u(p) = \mathcal{V}^\mu - \mathcal{A}^\mu$$

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■ Time reversal (**T**) transformation:

$$\mathbf{T} (\bar{u} \Gamma^\mu u) \mathbf{T}^\dagger = \sum_i F_i^* \bar{u} (\mathcal{O}_i)_\mu u \qquad \mathbf{T} l_\mu \mathbf{T}^\dagger = l^\mu$$

the interaction is proportional to:

$$l_\mu \bar{u} \Gamma^\mu u = \sum_i F_i l_\mu \bar{u} \mathcal{O}_i^\mu u$$

$$\mathbf{T} (l_\mu \bar{u} \Gamma^\mu u) \mathbf{T}^\dagger = \sum_i F_i^* l_\mu \bar{u} \mathcal{O}_i^\mu u$$

■ **T-inv** $\Rightarrow F_i = F_i^*$

Electroweak nucleon current

$$\langle N' | J^\mu | N \rangle = \bar{u}(p') \Gamma^\mu u(p) = \mathcal{V}^\mu - \mathcal{A}^\mu$$

$$\Gamma^\mu = \gamma^\mu F_1 + \frac{i}{2M} \sigma^{\mu\nu} q_\nu F_2 + \frac{q^\mu}{M} F_S - \gamma^\mu \gamma_5 F_A - \frac{i}{2M} \sigma^{\mu\nu} q_\nu \gamma_5 F_T - \frac{q^\mu}{M} \gamma_5 F_P$$

■ Parity (P) transformation:

$$P \bar{u}(p'_0, \vec{p}') \Gamma^\mu(q_0, \vec{q}) u(p_0, \vec{p}) P^\dagger = \bar{u}(p'_0, -\vec{p}') \gamma_0 \Gamma^\mu(q_0, -\vec{q}) \gamma_0 u(p_0, -\vec{p})$$

$$\gamma^\mu, \sigma^{\mu\nu} q_\nu, q^\mu \quad \leftarrow \text{transform as vectors}$$

$$\gamma^\mu \gamma_5, \sigma^{\mu\nu} \gamma_5 q_\nu, q^\mu \gamma_5 \quad \leftarrow \text{transform as axial-vectors}$$

Electroweak nucleon current

$$\langle N' | J^\mu | N \rangle = \bar{u}(p') \Gamma^\mu u(p) = \mathcal{V}^\mu - \mathcal{A}^\mu$$

$$\mathcal{V}^\mu = \bar{u}(p') \left[\gamma^\mu F_1 + \frac{i}{2M} \sigma^{\mu\nu} q_\nu F_2 + \frac{q^\mu}{M} F_S \right] u(p)$$

$$\mathcal{A}^\mu = \bar{u}(p') \left[\gamma^\mu \gamma_5 F_A + \frac{i}{2M} \sigma^{\mu\nu} q_\nu \gamma_5 F_T + \frac{q^\mu}{M} \gamma_5 F_P \right] u(p)$$

■ G-parity (G) transformation: $G = C e^{i\pi \frac{\tau_2}{2}}$

■ Isospin rotation: $e^{i\pi \frac{\tau_2}{2}} \begin{pmatrix} q_u \\ q_d \end{pmatrix} = i\tau_2 \begin{pmatrix} q_u \\ q_d \end{pmatrix} = \begin{pmatrix} q_d \\ -q_u \end{pmatrix}$

$$G \mathcal{V}^\mu G^\dagger = \mathcal{V}^\mu \quad \leftarrow \text{except for the } F_S \text{ term}$$

$$G \mathcal{A}^\mu G^\dagger = -\mathcal{A}^\mu \quad \leftarrow \text{except for the } F_T \text{ term}$$

■ $\Rightarrow F_S = F_T = 0 \Leftrightarrow$ absence of 2nd class currents

Electroweak nucleon current

- **Vector** and **EM** form factors:

$$V_a^\alpha = \mathcal{V}^\alpha \frac{\tau_a}{2} \leftarrow \text{isovector current} \quad V_Y^\alpha = \mathcal{V}_Y^\alpha I \leftarrow \text{hypercharge (isoscalar) current}$$

$$\langle p | V_{\text{EM}}^\alpha | p \rangle = \langle p | V_3^\alpha + \frac{1}{2} V_Y^\alpha | p \rangle = \frac{\mathcal{V}^\alpha + \mathcal{V}_Y^\alpha}{2} \equiv \mathcal{V}_p^\alpha$$

$$\langle n | V_{\text{EM}}^\alpha | n \rangle = \langle n | V_3^\alpha + \frac{1}{2} V_Y^\alpha | n \rangle = \frac{-\mathcal{V}^\alpha + \mathcal{V}_Y^\alpha}{2} \equiv \mathcal{V}_n^\alpha$$

$$\text{Then: } \langle p | V_{\text{CC}}^\alpha | n \rangle = \langle p | V_1^\alpha + i V_2^\alpha | n \rangle = \mathcal{V}^\alpha = \mathcal{V}_p^\alpha - \mathcal{V}_n^\alpha$$

$$\begin{aligned} \langle p | V_{\text{NC}}^\alpha | p \rangle &= \langle p | (1 - 2 \sin^2 \theta_W) V_3^\alpha - \sin^2 \theta_W V_Y^\alpha | p \rangle \\ &= \left(\frac{1}{2} - \sin^2 \theta_W \right) \mathcal{V}^\alpha - \sin^2 \theta_W \mathcal{V}_Y^\alpha \\ &= \left(\frac{1}{2} - 2 \sin^2 \theta_W \right) \mathcal{V}_p^\alpha - \frac{1}{2} \mathcal{V}_n^\alpha \end{aligned}$$

- **Vector** **CC** and **NC** form factors can be expressed in terms of **EM** ones

Electron scattering on the nucleon

EM : $l^\pm(k) + N(p) \rightarrow l^\pm(k') + N(p')$

$$\left(\frac{d\sigma}{d\Omega}\right)_{\text{Mott}} = \frac{\alpha^2}{4k_0^2 \sin^4 \frac{\theta}{2}} \frac{k'_0}{k_0} \cos^2 \frac{\theta}{2} \quad \leftarrow \text{Scattering on a point-like spinless target in Lab}$$

$$\left(\frac{d\sigma}{d\Omega}\right) = \left(\frac{d\sigma}{d\Omega}\right)_{\text{Mott}} \left[1 - \frac{q^2}{2M^2} \tan^2 \frac{\theta}{2}\right] \quad \leftarrow \text{Scattering on a point-like spin 1/2 target in Lab}$$

$$\left(\frac{d\sigma}{d\Omega}\right) = \left(\frac{d\sigma}{d\Omega}\right)_{\text{Mott}} \left[\frac{G_E^2 - \frac{q^2}{4M^2} G_M^2}{1 - \frac{q^2}{4M^2}} - \frac{q^2}{2M^2} G_M^2 \tan^2 \frac{\theta}{2} \right]$$

$$q^2 = -4k_0 k'_0 \sin^2 \frac{\theta}{2}$$

■ Rosenbluth separation $\Rightarrow$ Sachs f.f. G_E , G_M

$$G_E = F_1 + \frac{q^2}{4m_N^2} F_2$$

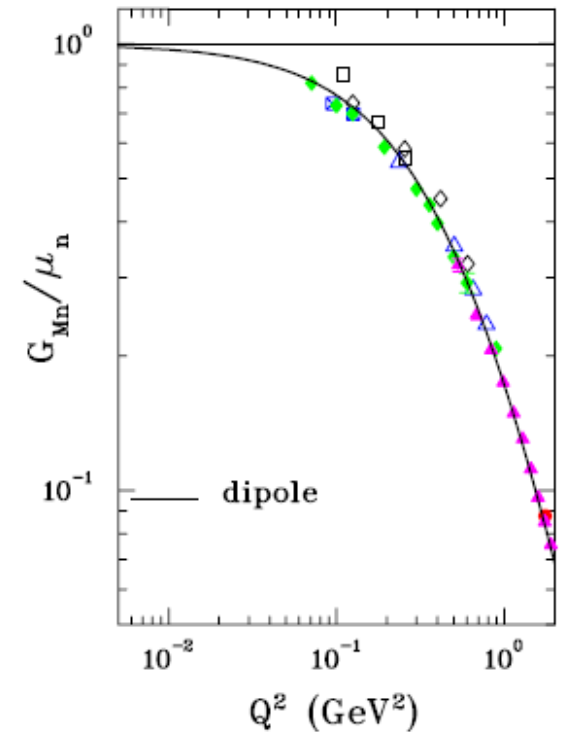
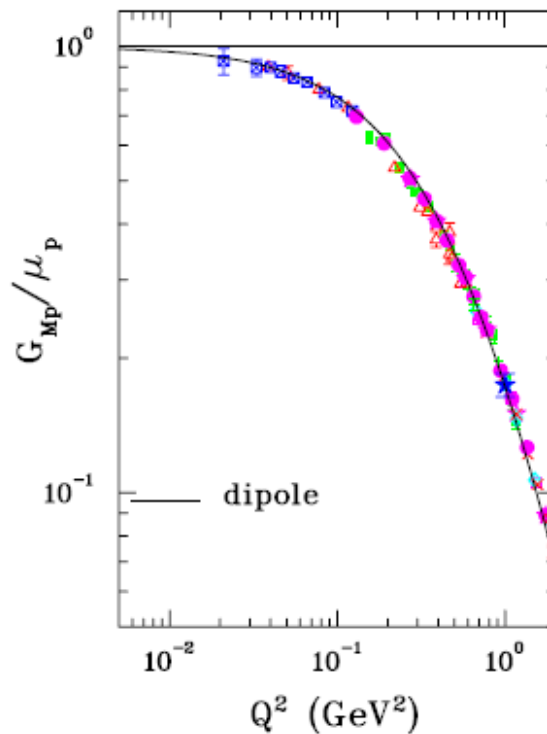
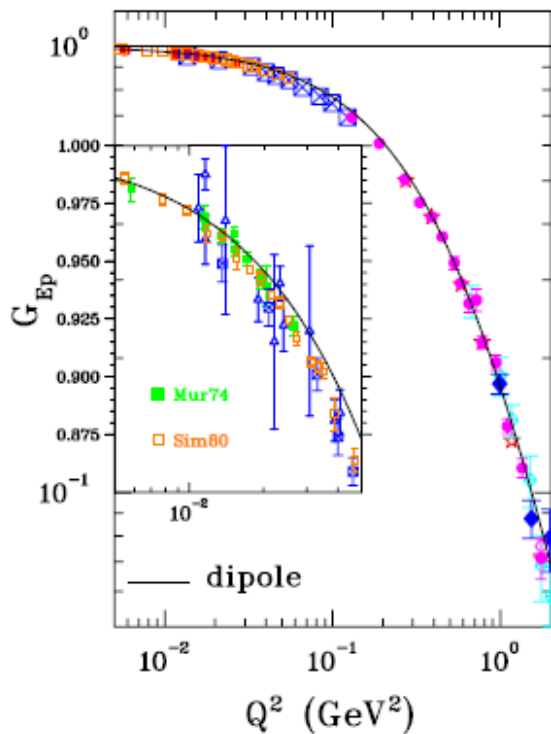
$$G_M = F_1 + F_2$$

Nucleon EM form factors

■ $Q^2 \lesssim 1 \text{ GeV}^2$

$$G_E^p(q^2) = G_D(q^2), \quad G_M^p = \mu_p G_D(q^2), \quad G_M^n = \mu_n G_D(q^2), \quad G_D = \left(1 - \frac{q^2}{M_V^2}\right)^{-2}$$

$$\mu_p = 2.793, \quad \mu_n = -1.913, \quad M_V^2 = 0.71 \text{ GeV}^2$$



Perdrisat et al., Prog.Part.Nucl.Phys. 59 (2007) 694-764

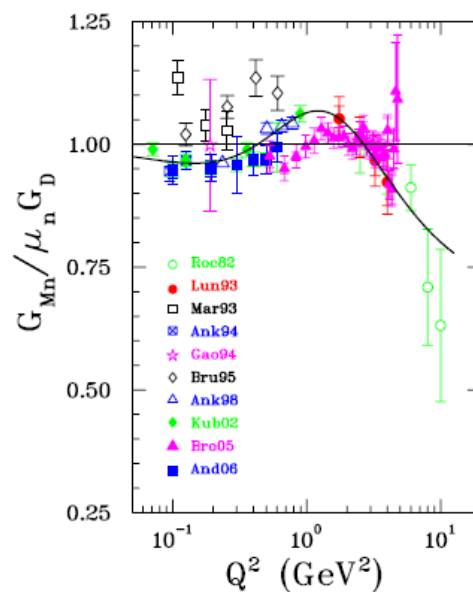
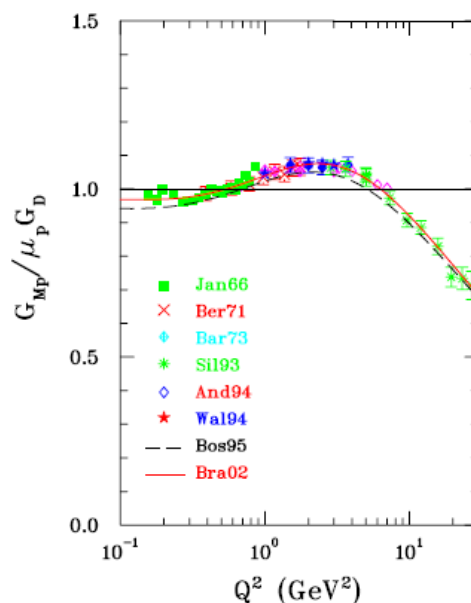
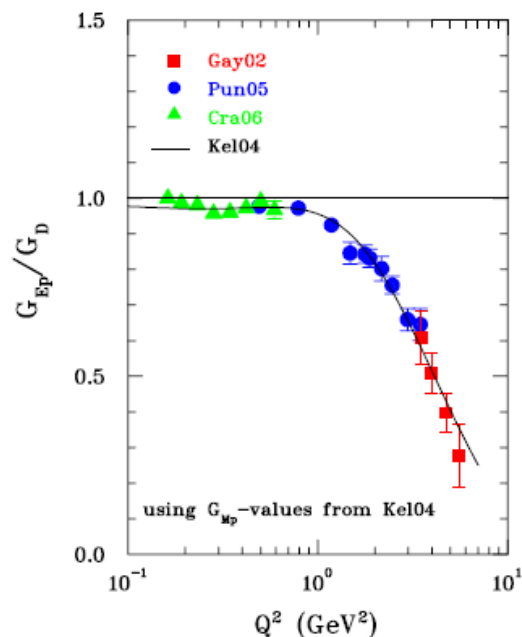
Electron scattering on the nucleon

- More precision, particularly at high Q^2 , with polarization techniques (Jlab)

- Polarization transfer: $\vec{e} + p \rightarrow e + \vec{p}$

- Double polarization: $\vec{e} + \vec{p} \rightarrow e + p$

- $Q^2 \gtrsim 1 \text{ GeV}^2$

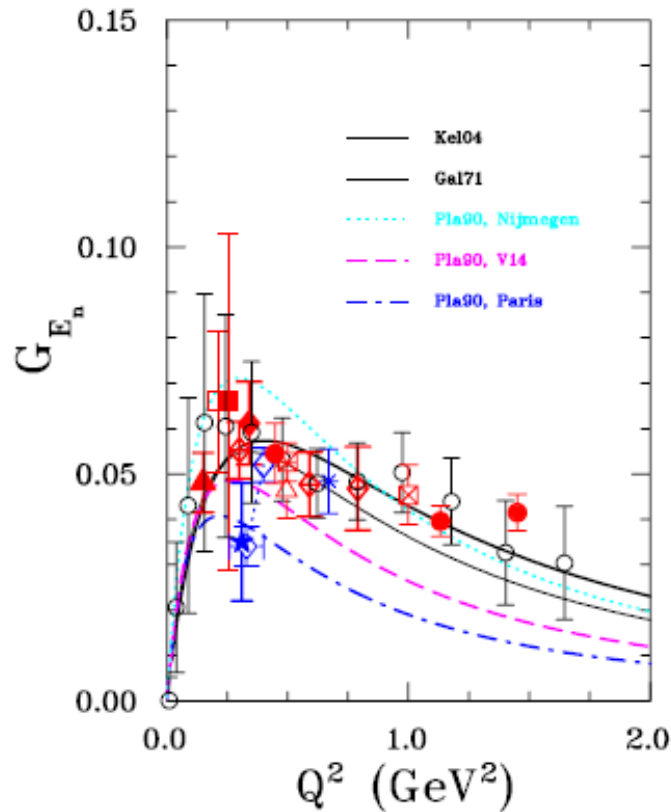


Perdrisat et al., Prog.Part.Nucl.Phys. 59 (2007) 694-764

Nucleon EM form factors

■ Neutron electric form factor

$$G_E^n(0) = 0$$



Perdrisat et al., Prog.Part.Nucl.Phys. 59 (2007) 694-764

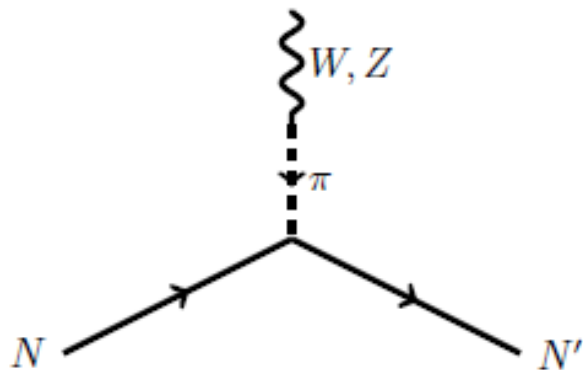
Electroweak nucleon current

- Consequences of **PCAC** and **pion-pole** dominance

$$\langle n | (-i) \mathcal{V}_\pi^\mu | p \rangle = \langle n | (-i) \mathcal{L}_{N\pi\pi} | p \pi^- \rangle (-i) D_\pi(q) (-i) \langle \pi^- | \mathcal{A}_-^\mu | 0 \rangle$$

$$\mathcal{L}_{NN\pi} = -\frac{g_{NN\pi}}{2f_\pi} \bar{N} \gamma_\mu \gamma_5 (\partial^\mu \vec{\pi}) \vec{\tau} N \quad D_\pi = \frac{1}{q^2 - m_\pi^2} \quad \langle \pi^- | \mathcal{A}_-^\mu | 0 \rangle = -\sqrt{2} f_\pi i q^\mu$$

$$\langle n | \mathcal{V}_\pi^\mu | p \rangle = -g_{NN\pi} F_{NN\pi}(q^2) \frac{1}{q^2 - m_\pi^2} \bar{u} \not{q} \gamma_5 q^\mu u \quad F_{NN\pi}(0) = 1$$



Electroweak nucleon current

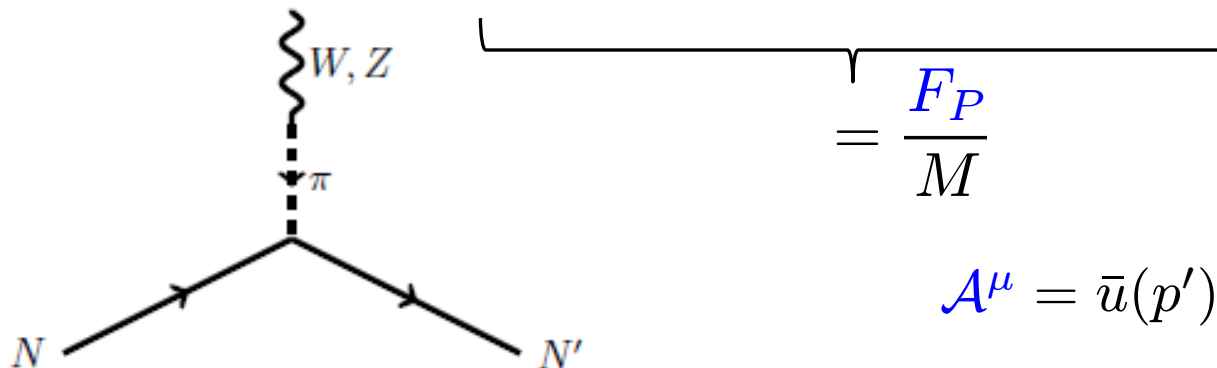
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$$\langle n | (-i) \mathcal{V}_\pi^\mu | p \rangle = \langle n | (-i) \mathcal{L}_{N\pi\pi} | p\pi^- \rangle (-i) D_\pi(q) (-i) \langle \pi^- | \mathcal{A}_-^\mu | 0 \rangle$$

$$\mathcal{L}_{NN\pi} = -\frac{g_{NN\pi}}{2f_\pi} \bar{N} \gamma_\mu \gamma_5 (\partial^\mu \vec{\pi}) \vec{\tau} N \quad D_\pi = \frac{1}{q^2 - m_\pi^2} \quad \langle \pi^- | \mathcal{A}_-^\mu | 0 \rangle = -\sqrt{2} f_\pi i q^\mu$$

$$\langle n | \mathcal{V}_\pi^\mu | p \rangle = -g_{NN\pi} F_{NN\pi}(q^2) \frac{1}{q^2 - m_\pi^2} \bar{u} \not{q} \gamma_5 q^\mu u \quad F_{NN\pi}(0) = 1$$

$$= -g_{NN\pi} F_{NN\pi}(q^2) \frac{2M}{q^2 - m_\pi^2} \bar{u} \gamma_5 q^\mu u$$



$$\mathcal{A}^\mu = \bar{u}(p') \left[\gamma^\mu \gamma_5 F_A + \frac{q^\mu}{M} \gamma_5 F_P \right] u(p)$$

Electroweak nucleon current

- Consequences of **PCAC** and **pion pole** dominance

$$\begin{aligned}\langle n | \mathcal{V}_\pi^\mu | p \rangle &= -g_{NN\pi} F_{NN\pi}(q^2) \frac{1}{q^2 - m_\pi^2} \bar{u} \not{q} \gamma_5 q^\mu u \\ &= \underbrace{-g_{NN\pi} F_{NN\pi}(q^2) \frac{2M}{q^2 - m_\pi^2}}_{\frac{F_P}{M}} \bar{u} \gamma_5 q^\mu u\end{aligned}$$

PCAC: $\langle n | q_\mu \mathcal{A}^\mu | p \rangle = 0 \quad m_\pi \rightarrow 0$

$$\bar{u} \left[\not{q} \gamma_5 F_A - g_{NN\pi} F_{NN\pi}(q^2) \frac{2M}{q^2 - m_\pi^2} q^2 \gamma_5 \right] u = 0 \quad m_\pi \rightarrow 0$$

$$F_A(q^2) = g_{NN\pi} F_{N\pi\pi}(q^2)$$

$$F_A(0) \equiv g_A = g_{NN\pi} \quad \leftarrow \text{Goldberger-Treiman relation}$$

Electroweak nucleon current

- Consequences of **PCAC** and **pion pole** dominance

$$\mathcal{A}^\mu = \bar{u}(p') \left[\gamma^\mu \gamma_5 F_A(q^2) + \frac{q^\mu}{M} \gamma_5 F_A(q^2) \frac{2M}{Q^2 + m_\pi^2} \right] u(p)$$

- F_p has a small impact on **CCQE** cross sections (except for ν_τ !)
 - appear in terms proportional to $(m_l/M)^4$
- F_p does not contribute to **NCE** cross sections
- F_p is studied in **muon capture** $\mu^- + p \rightarrow \nu_\mu + n$
 - $F_p(0)/g_A$ consistent with the **PCAC**+**pion-pole**
- F_p **pion-pole enhancement** at **low q^2**

Nucleon axial form factor

- What is known:

- $F_A(0) = g_A \leftarrow \beta \text{ decay}$

$$F_A(Q^2) = g_A - \frac{1}{6} \langle r_A^2 \rangle Q^2 + \dots$$

- $F_A(\infty) \sim Q^{-4} \leftarrow \text{QCD}$

- Main source of information: bubble chamber (ANL, BNL, FNAL, CERN) data

- **Dipole ansatz:** Bodek et al., EPJC 53 (2008)

$$F_A(Q^2) = g_A \left(1 + \frac{Q^2}{M_A^2} \right)^{-2} \quad \langle r_A^2 \rangle = \frac{12}{M_A^2}$$

- $\langle r_A^2 \rangle = 0.453(12) \text{ fm}^2$

- **z-expansion:** Meyer et al., PRD 93 (2016)

- $\langle r_A^2 \rangle = 0.46(22) \text{ fm}^2$

- **Neural networks + Bayesian statistics:** LAR, Graczyk, Saúl-Sala, PRC 99 (2019)

- $\langle r_A^2 \rangle = 0.471(15) \text{ fm}^2 \leftarrow \text{ANL only so far}$

- All methods obtain similar $F_A(Q^2)$...

- ... but with **different errors**

Lattice QCD in a nutshell

- Green functions:

$$\mathcal{G}(x_1, \dots, x_n) = \frac{1}{Z} \int [d\phi] \phi(x_1) \cdots \phi(x_n) e^{iS(\phi)}$$

- Euclidean time: $x_4 = i x_0$, $S = i S_E$

$$\mathcal{G}(x_1, \dots, x_n) = \frac{1}{Z_E} \int [d\phi] \phi(x_1) \cdots \phi(x_n) e^{-S_E(\phi)}$$

- Regularization: finite lattice spacing a

- Finite lattice volume V

- Unphysical (large) fermion masses

- For QCD (and nucleons)

- 2-point functions: $\Rightarrow m_N(a, V, m_q) \rightarrow m_N(0, \infty, m_q(\text{phys}))$

$$\mathcal{G}(x) = \frac{1}{Z_E} \int [dU d\bar{\psi} \psi] N(x) N^\dagger(0) e^{-S_E} = \langle 0 | N(x) N^\dagger(0) | 0 \rangle$$

- $N(x)$ interpolating field: 3-quark system with nucleon quantum numbers

- $U = 1 - A_\mu(x) a \hat{e}^\mu + \dots$ lattice gauge fields

Lattice QCD in a nutshell

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$$\mathcal{G}(x_1, \dots, x_n) = \frac{1}{Z} \int [d\phi] \phi(x_1) \cdots \phi(x_n) e^{iS(\phi)}$$

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$$\mathcal{G}(x) = \sum_n \langle 0 | N(x) | n \rangle \langle n | N^\dagger(0) | 0 \rangle e^{-E_n x_4} = \langle 0 | N(x) N^\dagger(0) | 0 \rangle$$

Lattice QCD in a nutshell

- Green functions:

$$\mathcal{G}(x_1, \dots, x_n) = \frac{1}{Z} \int [d\phi] \phi(x_1) \cdots \phi(x_n) e^{iS(\phi)}$$

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- 3-point functions: $\Rightarrow F_A(a, V, m_q) \rightarrow F_A(0, \infty, m_q(\text{phys}))$

$$\mathcal{G}^\mu(x, y) = \frac{1}{Z_E} \int [dU d\bar{\psi} \psi] N(x) A^\mu(y) N^\dagger(0) e^{-S_E} = \langle 0 | N(x) A^\mu(y) N^\dagger(0) | 0 \rangle$$

- $A^\mu(y) = \bar{\psi}(y) \gamma^\mu \gamma_5 \psi(y)$ axial current

Lattice QCD in a nutshell

- Green functions:

$$\mathcal{G}(x_1, \dots, x_n) = \frac{1}{Z} \int [d\phi] \phi(x_1) \cdots \phi(x_n) e^{iS(\phi)}$$

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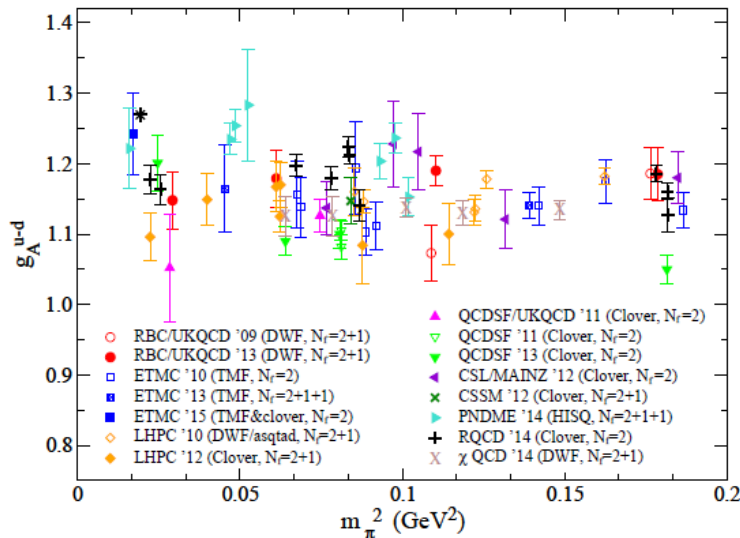
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$$\mathcal{G}^\mu(x, y) = \frac{1}{Z_E} \int [dU d\bar{\psi} \psi] N(x) A^\mu(y) N^\dagger(0) e^{-S_E} = \langle 0 | N(x) A^\mu(y) N^\dagger(0) | 0 \rangle$$

$$= \sum_{n, m} \langle 0 | N(x) | n \rangle \langle n | A^\mu(y) | m \rangle \langle m | N^\dagger(0) | 0 \rangle e^{-E_n(x_4 - y_4) - E_m y_4} = \langle 0 | N(x) A^\mu(y) N^\dagger(0) | 0 \rangle$$

F_A in LQCD

- g_A : **lower** than exp. values were once obtained



Constantinou, PoS CD15 (2015) 009

$$g_A = 1.2754(13)_{\text{exp}}(2)_{\text{RC}}$$

M. Gorchtein and C.-Y. Seng, JHEP 53 (2021)

- Progress (for both g_A and F_A)

- improved algorithms for a careful treatment of excited states

- low pion masses

FLAG 2021
Flavour Lattice Averaging Group

$$g_A = 1.246(28)$$

Alexandrou et al., PRD 96 (2017); PRD103 (2021)

Capitani et al., Int. J. Mod. Phys. A 34 (2019)

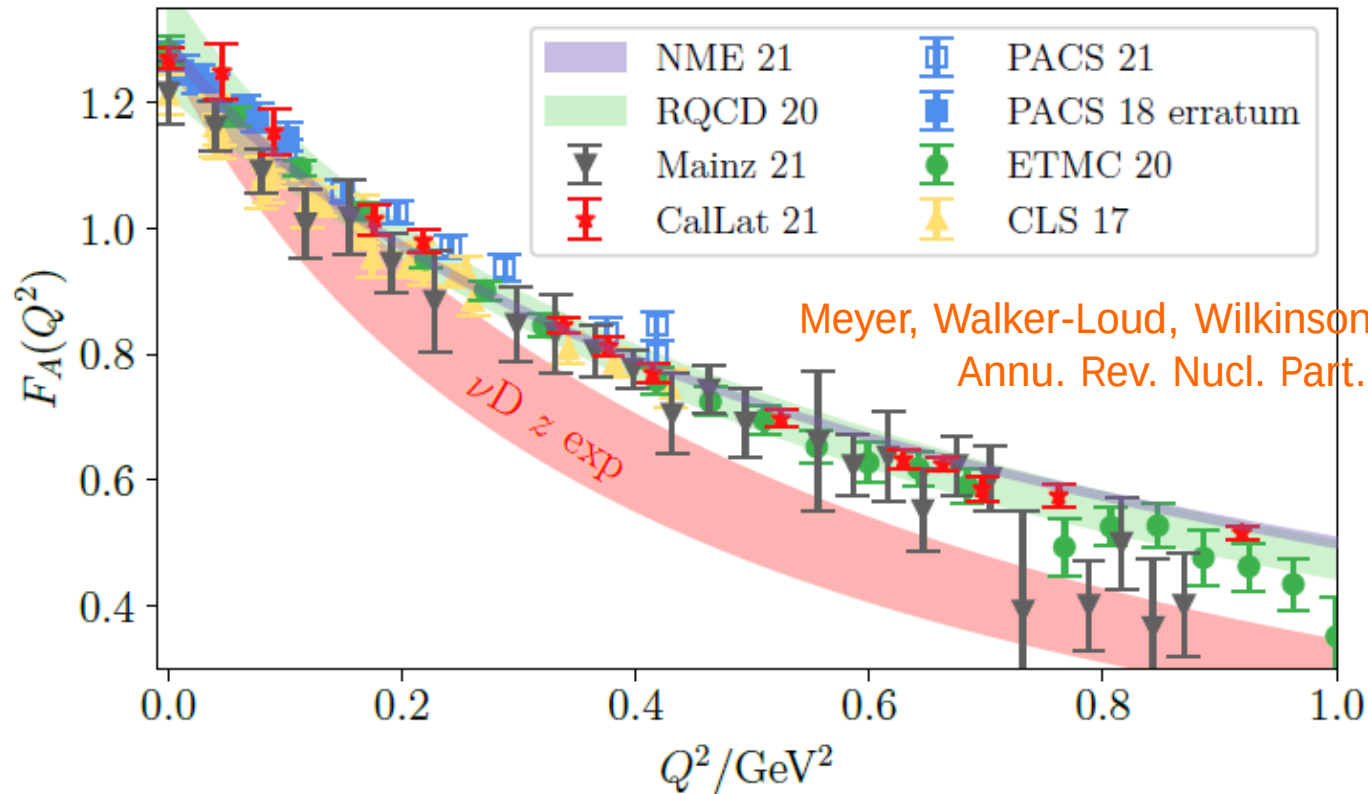
Gupta et al., PRD 96 (2017); Park et al., PRD 105 (2022)

Chang et al., Nature 558 (2018)

Bali et al., JHEP 05 (2020)

Shintani, PRD 99; PRD 102(erratum) (2020)

F_A : Exp. vs LQCD



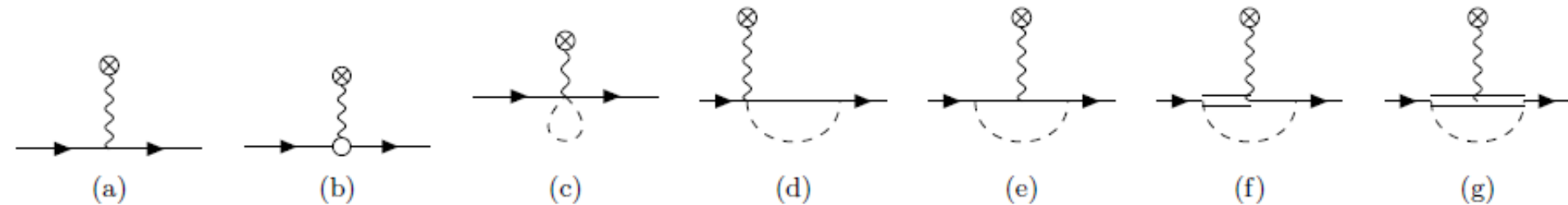
Meyer, Walker-Loud, Wilkinson,
Annu. Rev. Nucl. Part. Sci. 2022. 72:1–30

F_A in ChPT

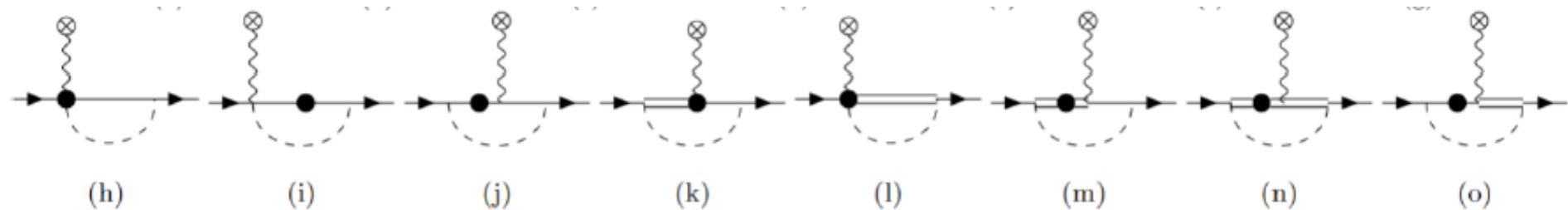
$$F_A(Q^2, M_\pi^2) = g + 4d_{16}M_\pi^2 + d_{22}Q^2 + F_A^{(\text{loops})} + F_A^{(wf)}$$

■ Up to $O(p^3)$ Yao, LAR, Vicente Vacas, PRD 96 (2017)

$$\delta = m_\Delta - m_N \sim \mathcal{O}(p)$$



■ Up to $O(p^4)$ Alvarado, LAR, PRD 105 (2022); to be published



■ Differences between $O(p^3)$ and $O(p^4)$ provide a **measure** of the **systematic error** arising from the **truncation** of the perturbative expansion.

$$M_\pi^2 = (m_u + m_d) \langle \bar{u}u + \bar{d}d \rangle / (2f_\pi^2) + \dots ; \langle \bar{u}u + \bar{d}d \rangle \leftarrow \text{condensate}$$

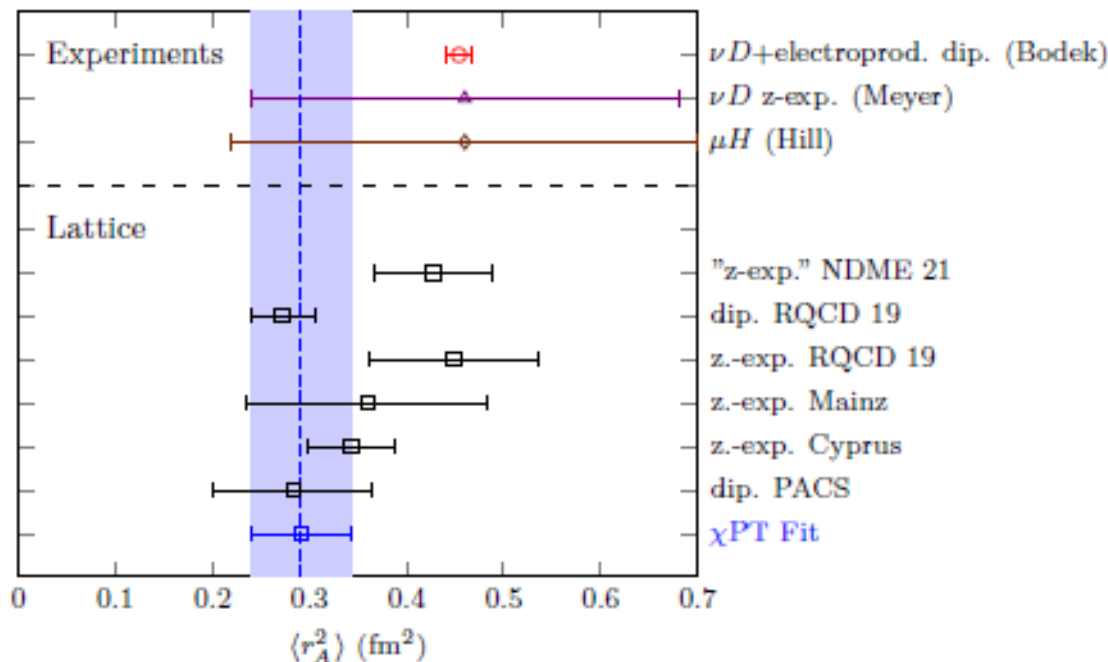
F_A in ChPT & LQCD

- ChPT analysis: $Q^2 < 0.36 \text{ GeV}^2$, $M_\pi < 400 \text{ MeV}$, $M_\pi L > 3.5$

- Model-independent extrapolations to the physical M_π

$$F_A(Q^2, M_\pi^2) = g + 4d_{16}M_\pi^2 + d_{22}Q^2 + F_A^{(\text{loops})} + F_A^{(wf)}$$

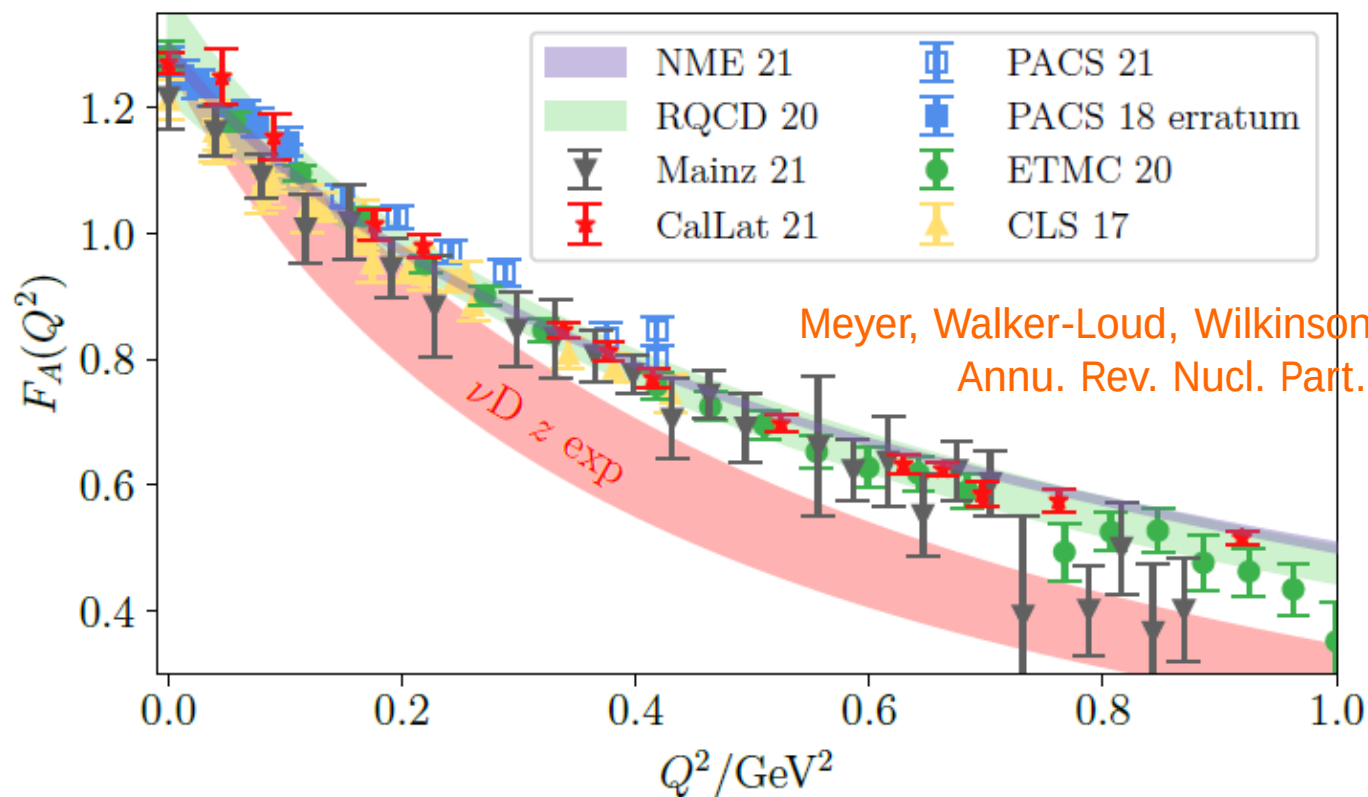
$$F_A(q^2) = g_A \left[1 + \frac{1}{6} \langle r_A^2 \rangle q^2 + \mathcal{O}(q^4) \right]$$



$$\langle r_A^2 \rangle = 0.291(52) \text{ fm}^2 \Leftrightarrow M_A = 1.27(11) \text{ GeV} \quad \text{F. Alvarado, LAR}$$

in **tension** with empirical determinations

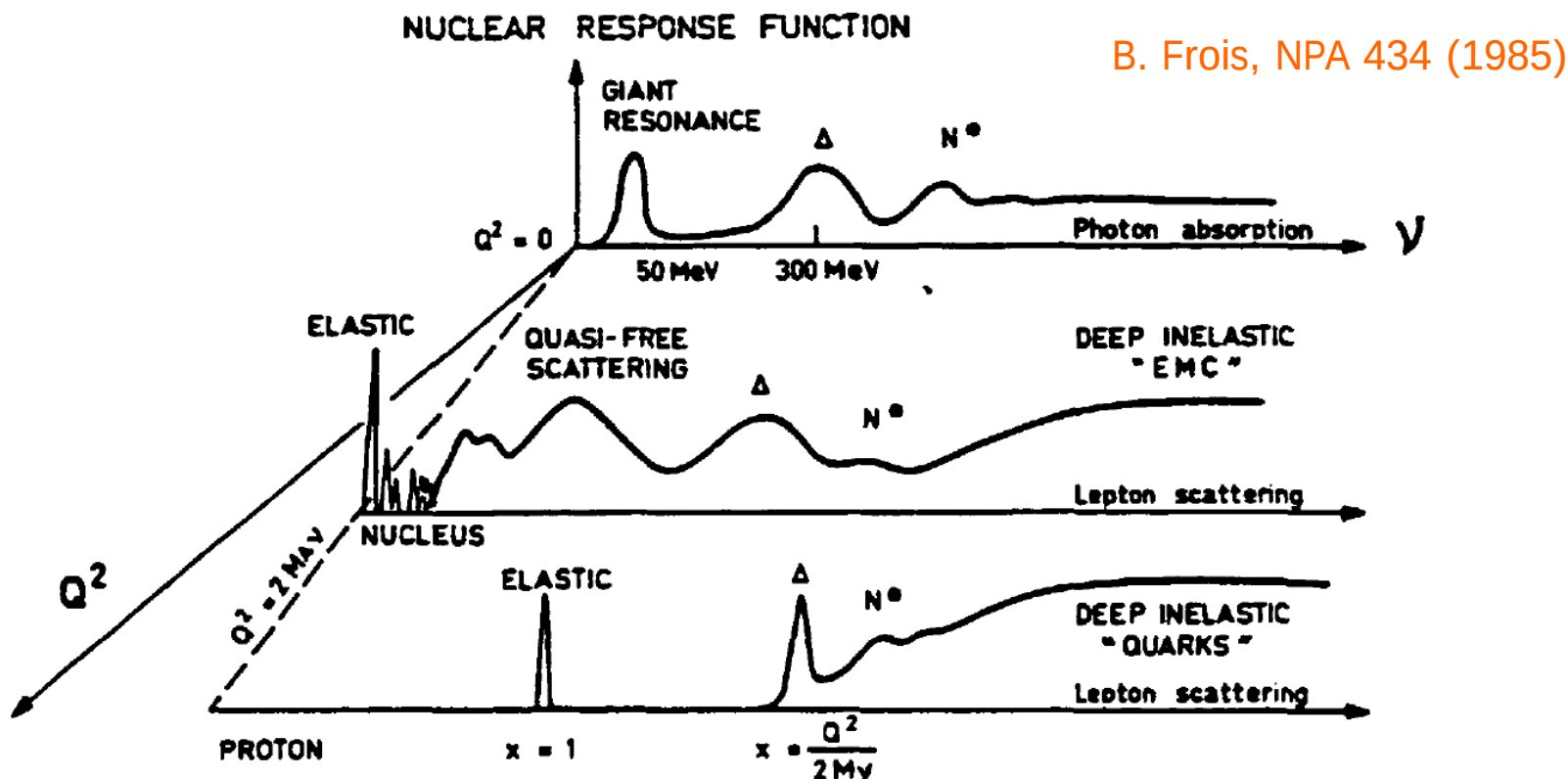
F_A : Exp. vs LQCD



- How **reliable** are **old bubble chamber experiments**?
 - **new measurements** on **H** and **D** are needed.
- Do **LQCD** present results still hide **uncontrolled systematics**?

Neutrino interactions on nuclei

- Multiscale (even at a given E_ν), multi-nucleon problem



- Shell structure, collective excitations, QE peak, ...
- initial state description: **non-relativistic**)
- final state interactions: (**relativistic**) NN , πN , ...

The nucleon-nucleon interaction

- **Constrained** by
 - Deuteron properties
 - NN scattering data
- At low energies $\Rightarrow$ non-relativistic potential $V = V(\vec{r}_i, \vec{p}_i, \vec{\sigma}_i, \vec{\tau}_i)$, $i = 1, 2$
- **Symmetries:**
 - Translational invariance: $V(\vec{r}_i, \dots) = V(\vec{r} = \vec{r}_1 - \vec{r}_2, \dots)$
 - Galilean invariance: $V(\vec{p}_i, \dots) = V(\vec{p} = \vec{p}_1 - \vec{p}_2, \dots)$
 - Parity invariance: $V(\vec{r}, \vec{p}, \vec{\sigma}_i, \vec{\tau}_i) = V(-\vec{r}, -\vec{p}, \vec{\sigma}_i, \vec{\tau}_i)$
 - Time reversal invariance: $V(\vec{r}, \vec{p}, \vec{\sigma}_i, \vec{\tau}_i) = V(\vec{r}, -\vec{p}, -\vec{\sigma}_i, \vec{\tau}_i)$
 - Isospin invariance: $V = V_0 + V_\tau(\vec{\tau}_1 \cdot \vec{\tau}_2)$

The nucleon-nucleon interaction

■ Important terms:

■ Local central potential

$$V_C = V_0(r) + V_\sigma(r)(\vec{\sigma}_1 \cdot \vec{\sigma}_2) + V_\tau(r)(\vec{\tau}_1 \cdot \vec{\tau}_2) + V_{\sigma\tau}(r)(\vec{\sigma}_1 \cdot \vec{\sigma}_2)(\vec{\tau}_1 \cdot \vec{\tau}_2)$$

■ Tensor force

■ non central

■ explains the deuteron electric quadrupole moment

$$V_T = [V_{T_0}(r) + V_{T_\tau}(\vec{\tau}_1 \cdot \vec{\tau}_2)] S_{12}$$

$$S_{12} = \frac{(\vec{r} \cdot \vec{\sigma}_1)(\vec{r} \cdot \vec{\sigma}_2)}{r^2} - \frac{1}{3}(\vec{\sigma}_1 \cdot \vec{\sigma}_1)$$

■ Spin-orbit force

■ most relevant nonlocal term

■ revealed in NN scattering through polarization observables

■ needed to obtain magic nuclei

$$V_{LS} = V_{LS}(r)\vec{L} \cdot (\vec{\sigma}_1 + \vec{\sigma}_2), \quad \vec{L} = (\vec{r} \times \vec{p})$$

The nucleon-nucleon interaction

■ Important terms:

■ Local central potential

$$V_C = V_0(r) + V_\sigma(r)(\vec{\sigma}_1 \cdot \vec{\sigma}_2) + V_\tau(r)(\vec{\tau}_1 \cdot \vec{\tau}_2) + V_{\sigma\tau}(r)(\vec{\sigma}_1 \cdot \vec{\sigma}_2)(\vec{\tau}_1 \cdot \vec{\tau}_2)$$

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■ Spin-orbit force

■ most relevant nonlocal term

■ revealed in NN scattering through σ observables

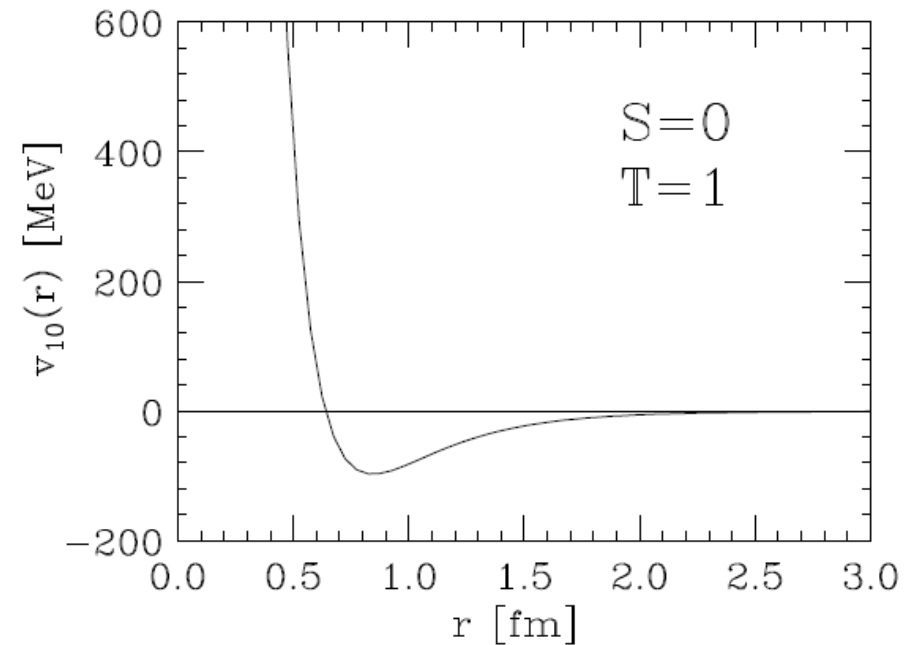
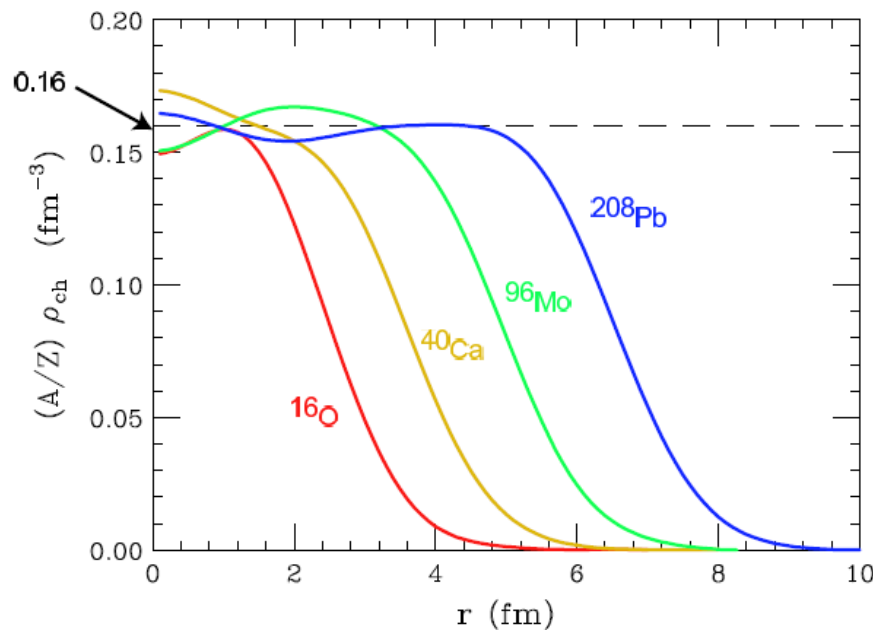
■ needed to obtain magic nuclei M. Göppert Mayer

$$V_{LS} = V_{LS}(r)\vec{L} \cdot (\vec{\sigma}_1 + \vec{\sigma}_2), \quad \vec{L} = (\vec{r} \times \vec{p})$$



The nucleon-nucleon interaction

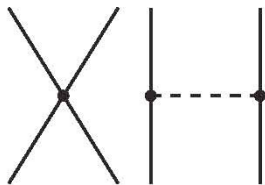
- Short range
- Attraction at intermediate r
- Strong repulsion at $r < 0.5$ fm
- Consistent with saturation



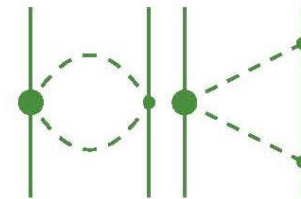
The nucleon-nucleon interaction

- Effective Field Theory of NN (and NNN) interactions
 - Obeys the symmetries of QCD
 - Systematic expansion in powers of (small) momenta
 - In terms of π , N and contact interactions (LECs fitted to experiment)

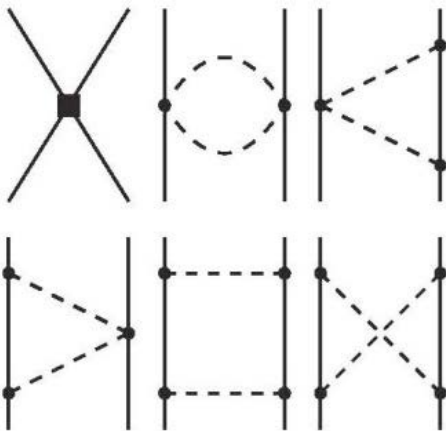
Q^0
LO



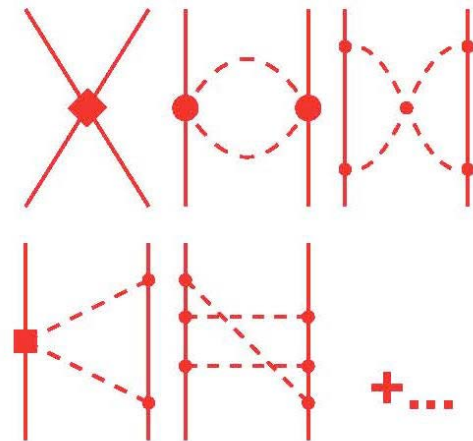
Q^3
 N^2LO



Q^2
NLO



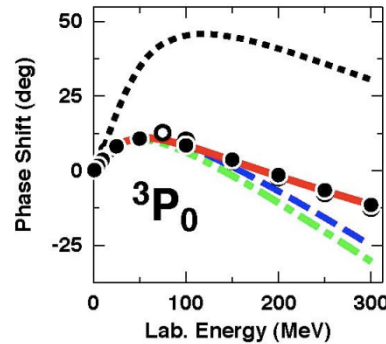
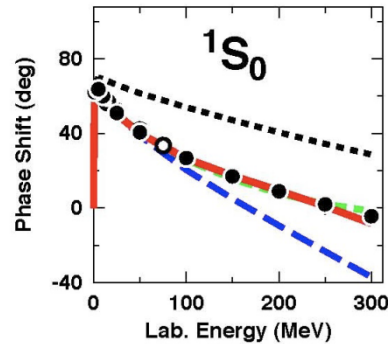
Q^4
 N^3LO



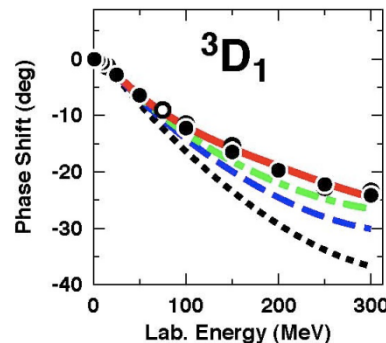
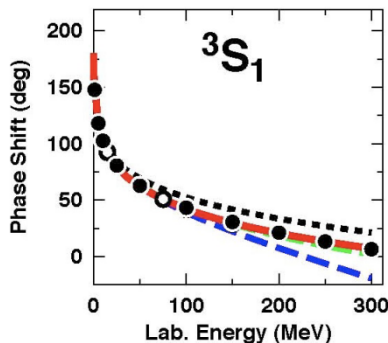
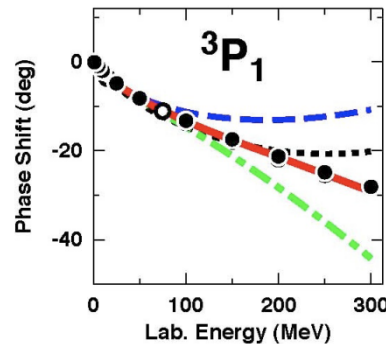
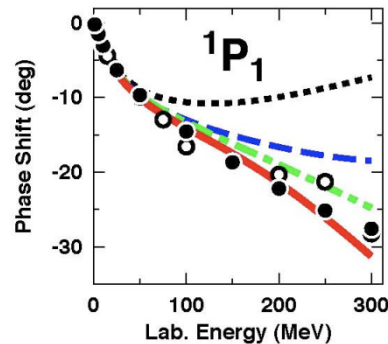
R. Machleidt , NTSE 2013

The nucleon-nucleon interaction

Effective Field Theory of NN (and NNN) interactions



(small) momenta
actions (LECs fitted to experiment)



R. Machleidt , NTSE 2013

Nuclear Many-Body Theory

- Hamiltonian:

$$H = \sum_i^A T_i + \sum_{j>i}^A V_{ij} + \sum_{k>j>i}^A V_{ijk}$$

- **Ab initio** methods:

- Properties of H **fixed** at $A \leq 3$

- Computationally demanding: **light nuclei** $< {}^{12}\text{C}$

- **Green's function MC**

- **Nuclear response function** in **Euclidean time**

- **DOF**: π , N but no $\Delta(1232)$

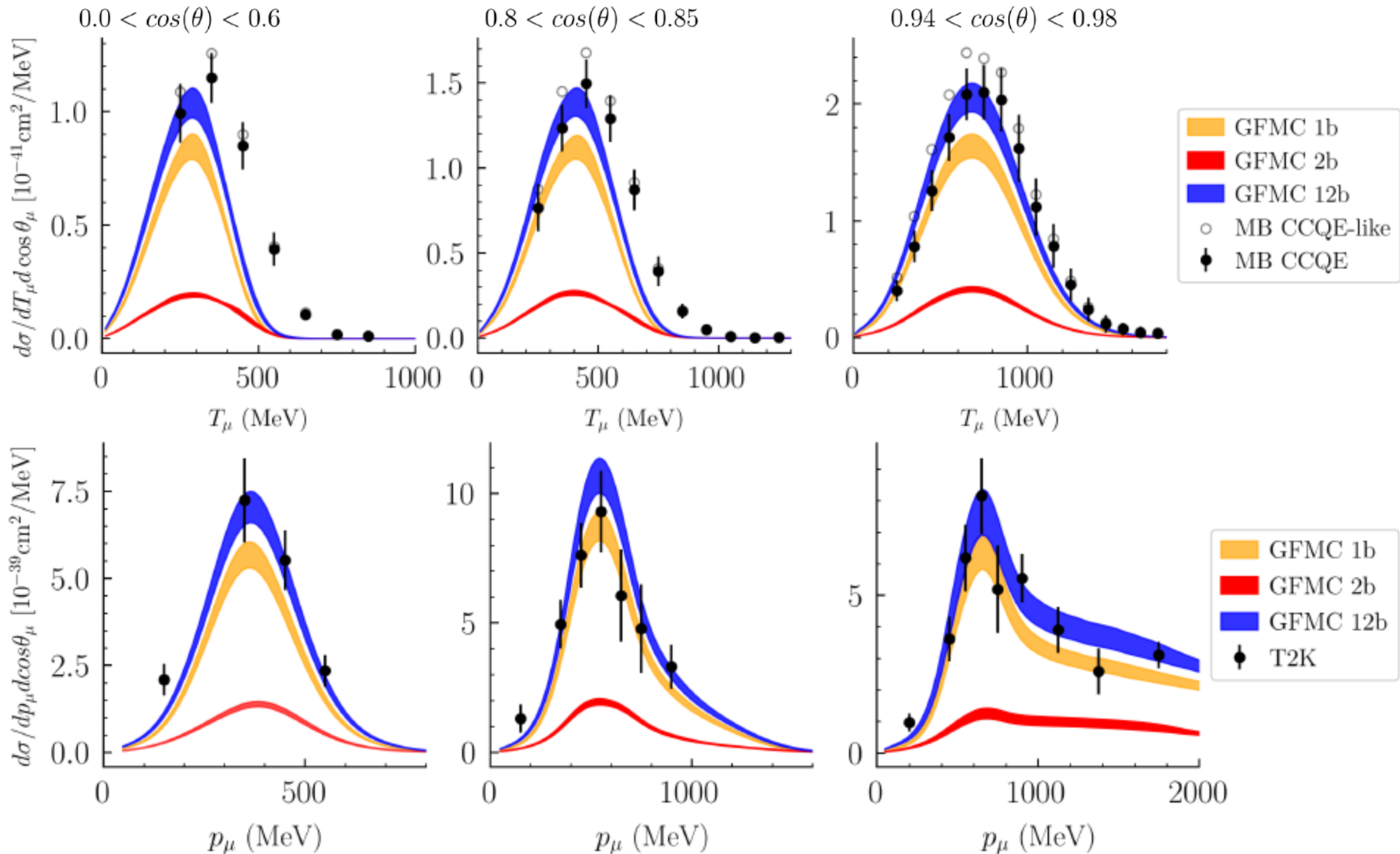
- Non-relativistic framework

- **Lovato et al.**: semi-inclusive ν -**nucleus** scattering in the **QE** region

Ab initio

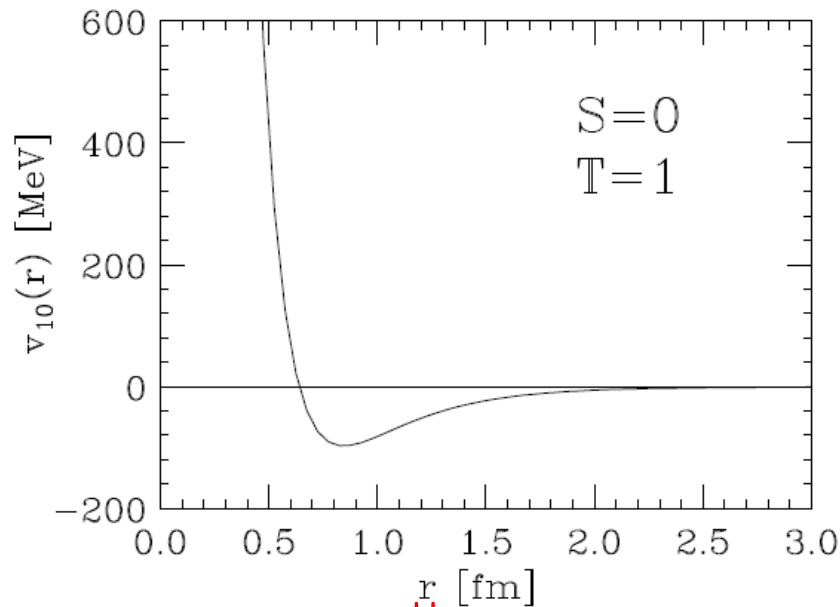
Green's function MC

Simons et al., 2210.02455



The nucleon-nucleon interaction

- Saturation density $\rho_0 = 0.16 \text{ fm}^{-3} \Rightarrow r_{12} \sim 2 \text{ fm}$
- At $r_{12} \sim 2 \text{ fm}$, the NN interaction is “weak” !



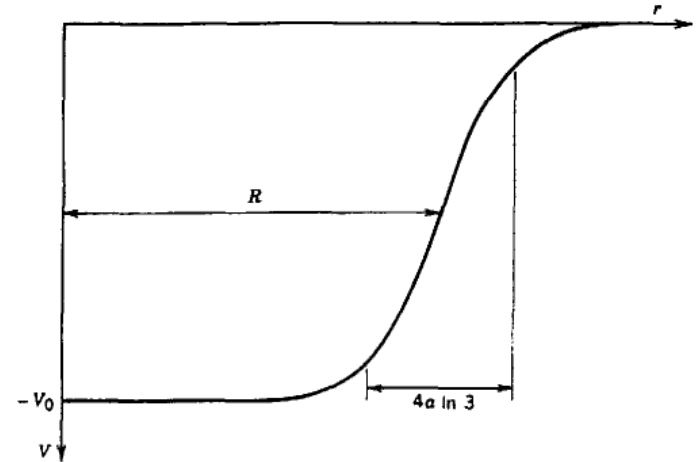
- Nucleons in nuclei follow single particle orbits in a mean-field potential created by all nucleons

$$\sum_{j>i}^A V_{ij} + \sum_{k>j>i}^A V_{ijk} \approx \sum_i^A \tilde{V}(i) \quad \leftarrow \text{Hartree-Fock approximation}$$

Independent particle models

- **Shell Model**
- Schrödinger eq. in the mean field potential
- **Woods-Saxon potential**

$$V(r) = -\frac{V_0}{1 + \exp[(r - R)/a]}$$



- Spin-Orbit potential: **magic numbers**
- Explains spin and parity of many nuclei
- Fair description of magnetic dipole and electric quadrupole moments
- Can be extended to **deformed nuclei**
- **Relativistic extensions** have been developed

Independent particle models

- **Fermi gas** model (of **nuclear matter**)

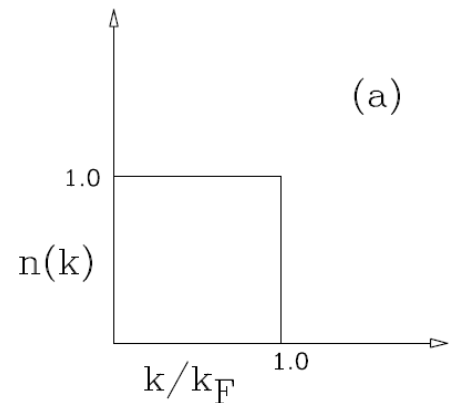
$$H = \sum_i^A T_i = \sum_i^A \frac{\vec{p}_i^2}{2M} \quad \text{or} \quad H_{\text{rel}} = \sum_i^A T_i = \sum_i^A \sqrt{\vec{p}_i^2 + M^2}$$

- Free fermions (**nucleons**) in a box of volume V ($\rightarrow \infty$) at $T=0$

- Number of occupied states:

$$N = 2V \int \frac{d^3p}{(2\pi)^3} n(|\vec{p}|) \quad n(p) = \theta(p - p_F)$$

$n(p)$ ← occupation number
 p_F ← Fermi momentum



$$\rho = \frac{N}{V} = \frac{1}{3\pi^2} p_F^3 \quad \text{for protons or neutrons separately}$$

$$\rho = \frac{N}{V} = \frac{2}{3\pi^2} p_F^3 \quad \text{for isospin symmetric nuclear matter}$$

$$\rho = \rho_0 = 0.16 \text{ fm}^{-3} \Rightarrow p_F = 263 \text{ MeV}$$

Independent particle models

- **Fermi gas** model for **nuclei**

- **Global** FG:

- $p_F = \text{const.}$ for a given **nucleus**
 - Fit parameter in **(e,e')** scattering

- **Local** FG:

$$\rho_{p,n} = \rho_{p,n}(r) \quad p_F^{p,n} = p_F^{p,n}(r) = \sqrt[3]{\frac{3}{2}\pi^2 \rho_{p,n}(r)}$$

$\rho_p(r)$ ← from **experiment**

$\rho_n(r)$ ← from **realistic calculations** of the ground state

- space-momentum correlations **absent** in the Global FG

Nucleon propagator in the medium

- **Green's function:**

$$iG(x, x') = \frac{\langle \phi_0 | T [\psi(x) \psi^\dagger(x')] | \phi_0 \rangle}{\langle \phi_0 | \phi_0 \rangle}$$

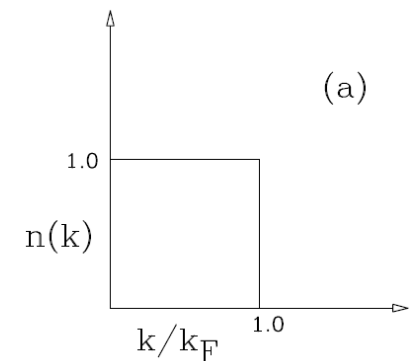
- $\phi_0 \leftarrow$ **ground state** of the system: $H|\phi_0\rangle = E|\phi_0\rangle$

- **Free nucleon** propagator in the **medium**

- ϕ_0 : system of **non-interacting** nucleons $\Leftrightarrow$ **Fermi gas**

$$\begin{aligned} D(p) &= (\not{p} + M)G_0(p) \\ G_0(p) &= \frac{1}{p^2 - M^2 + i\epsilon} + 2\pi i \delta(p^2 - M^2) \theta(p^0) n(\vec{p}) \\ &= \frac{n(\vec{p}) \theta(p^0)}{p^2 - M^2 - i\epsilon} + \frac{1 - n(\vec{p}) \theta(p^0)}{p^2 - M^2 + i\epsilon} \\ &= \frac{1}{p^0 + E_p - i\epsilon} \left[\underbrace{\frac{n(\vec{p})}{p^0 - E_p - i\epsilon}}_{\text{hole}} + \underbrace{\frac{1 - n(\vec{p})}{p^0 - E_p + i\epsilon}}_{\text{particle}} \right] \end{aligned}$$

$$n(p) = \theta(p_F - p)$$



$$E_p = \sqrt{\vec{p}^2 + M^2}$$

Spectral functions

- **Full nucleon** propagator in the **medium**
- Lehmann/spectral representation:

$$D(p) = (\not{p} + M)G(p)$$

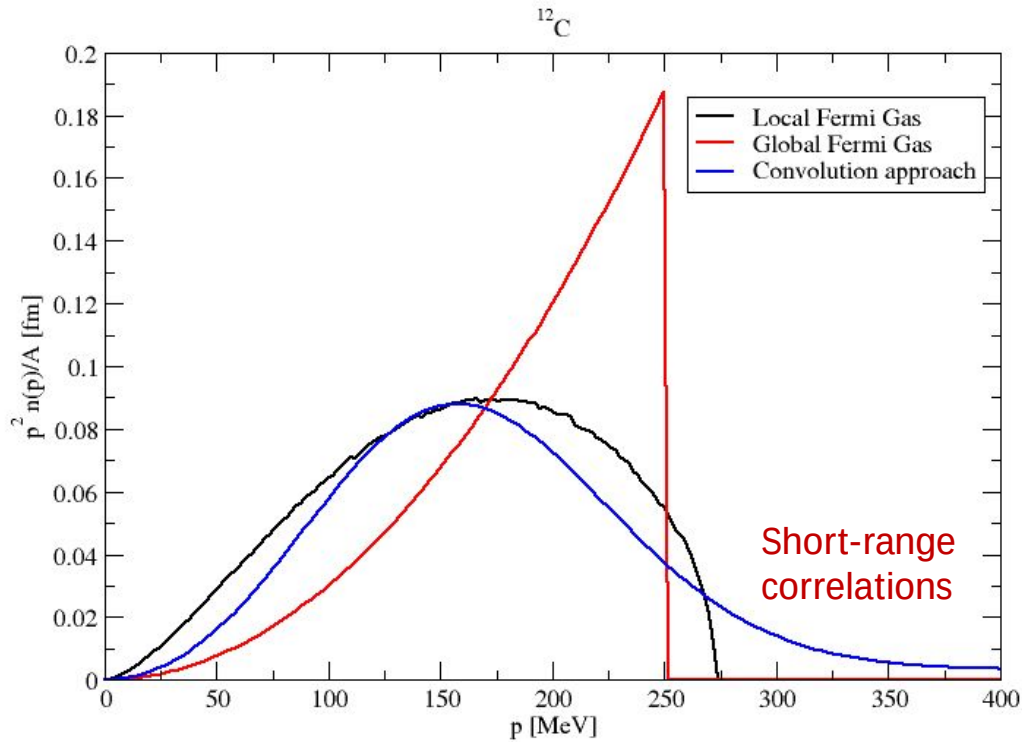
$$G(p) = \frac{1}{p^0 + E_p - i\epsilon} \left[\int_{-\infty}^{\mu} \frac{\mathcal{A}_h(\omega, \vec{p})}{p^0 - \omega - i\epsilon} d\omega + \int_{\mu}^{\infty} \frac{\mathcal{A}_p(\omega, \vec{p})}{p^0 - \omega + i\epsilon} d\omega \right]$$

$$\mu^2 = \vec{p}_F^2 + M^2 + \text{Re}\Sigma(\mu, p_F)$$

$$\mathcal{A}_{p,h}(p) = \mp \frac{1}{\pi} \frac{\text{Im}\Sigma(p)}{[p^2 - M^2 - \text{Re}\Sigma(p)]^2 + [\text{Im}\Sigma(p)]^2}$$

- The hole (particle) **spectral function** $\mathcal{A}_{h(p)}(p^0, \mathbf{p})$ represents the probability of removing (adding) a nucleon of momentum $|\mathbf{p}|$ changing the energy of the system by p^0
- Occupation number: $n(\vec{p}) = \int dp_0 (2p_0) \mathcal{A}_h(p^0, \vec{p})$

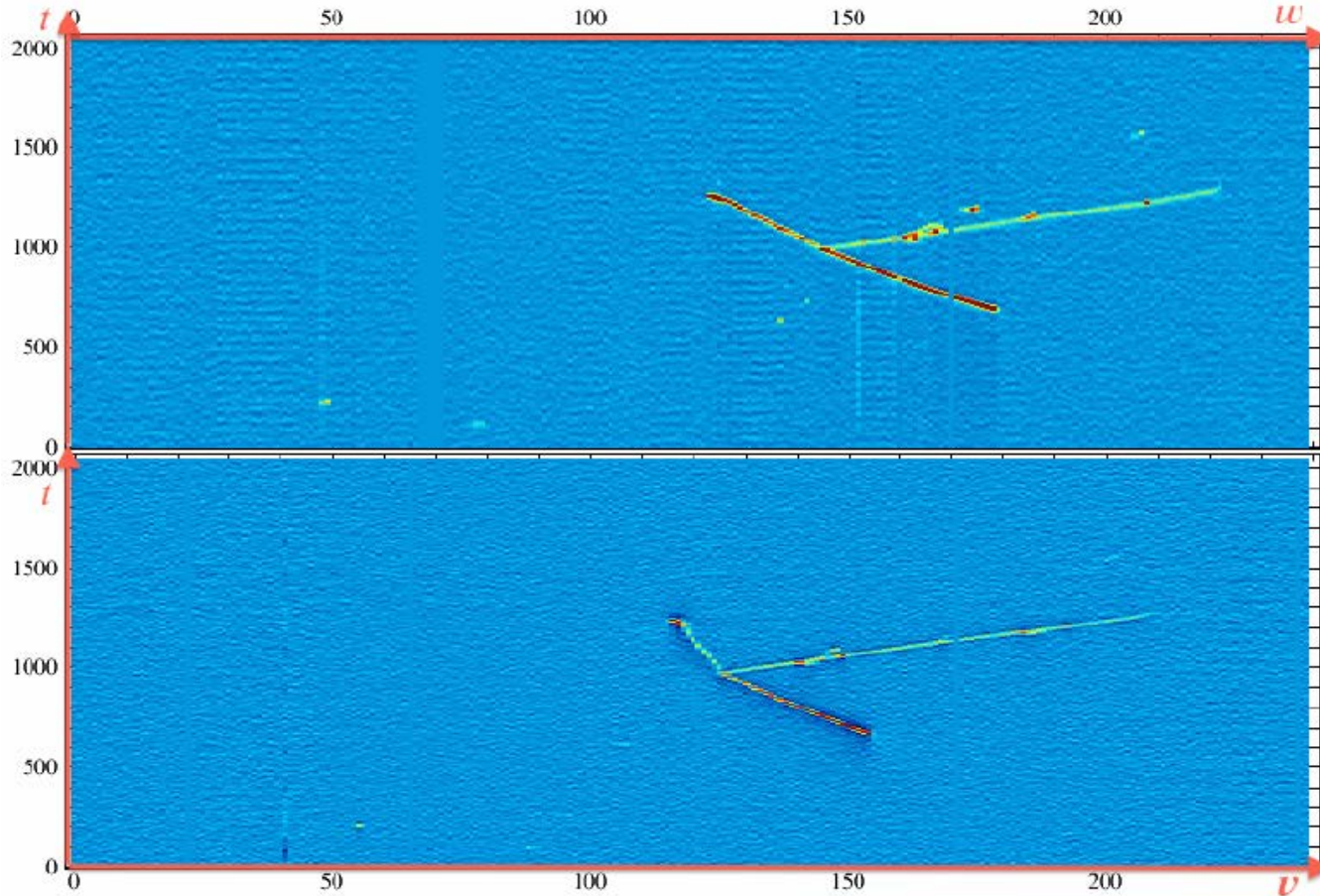
Spectral functions



■ Occupation number:
$$n(\vec{p}) = \int dp_0 (2p_0) \mathcal{A}_h(p^0, \vec{p})$$

Short range correlations

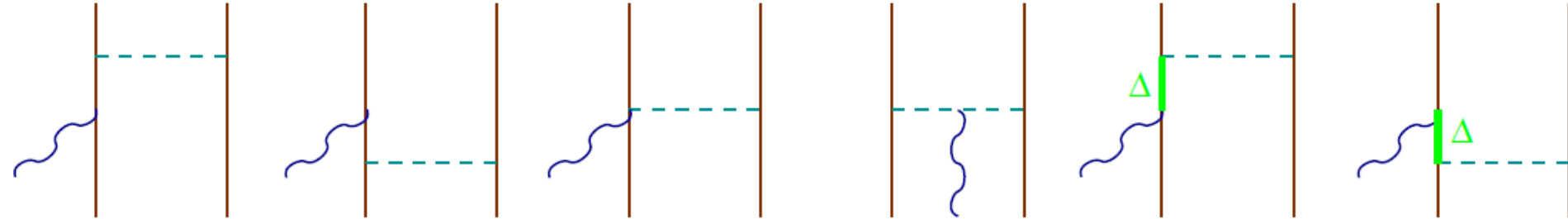
$$\nu_{\mu} (np)_{\text{correl}} \rightarrow \mu^{-} p(\vec{p}) p(-\vec{p})$$



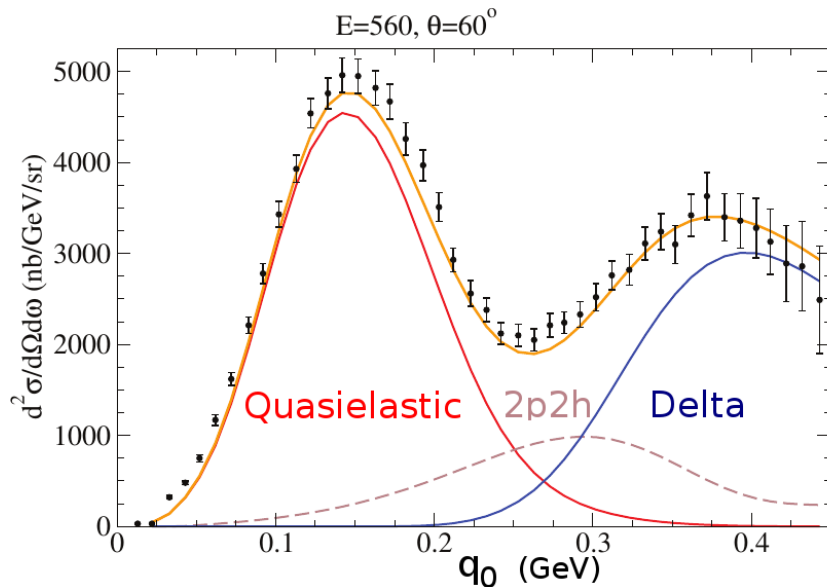
Hammer event at ArgoNeut

Two-nucleon currents

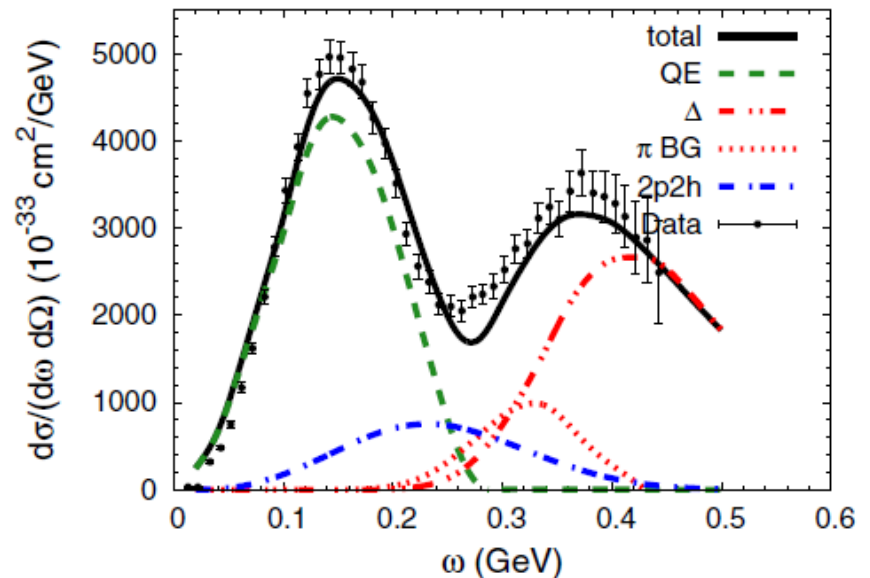
- 2-nucleon EW currents exist



- Sizable contribution can be inferred from $A(e,e')X$



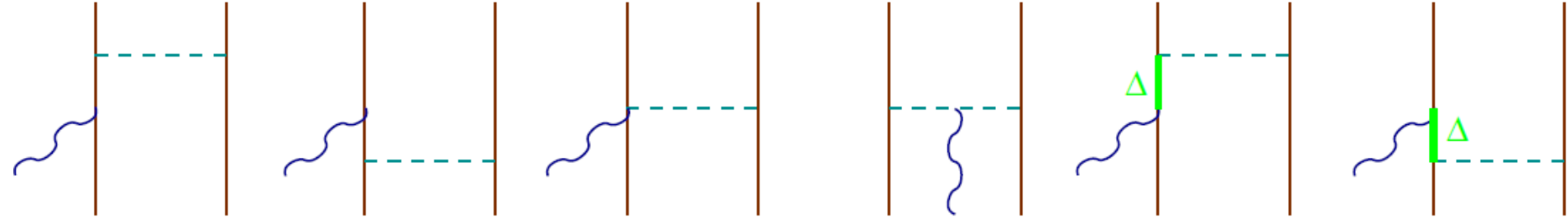
Megias et al., PRD 94 (2016)



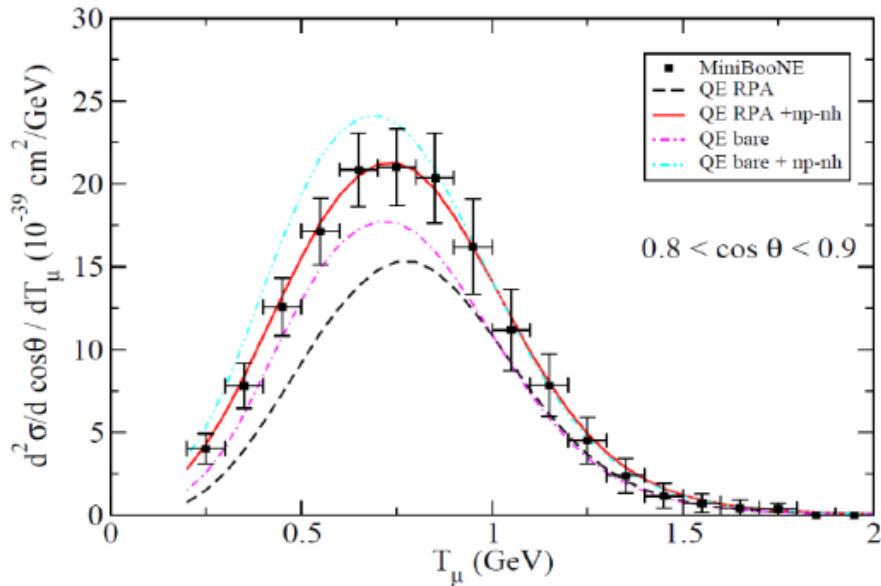
Gallsmeiter et al., PRD 94 (2016)

Two-nucleon currents

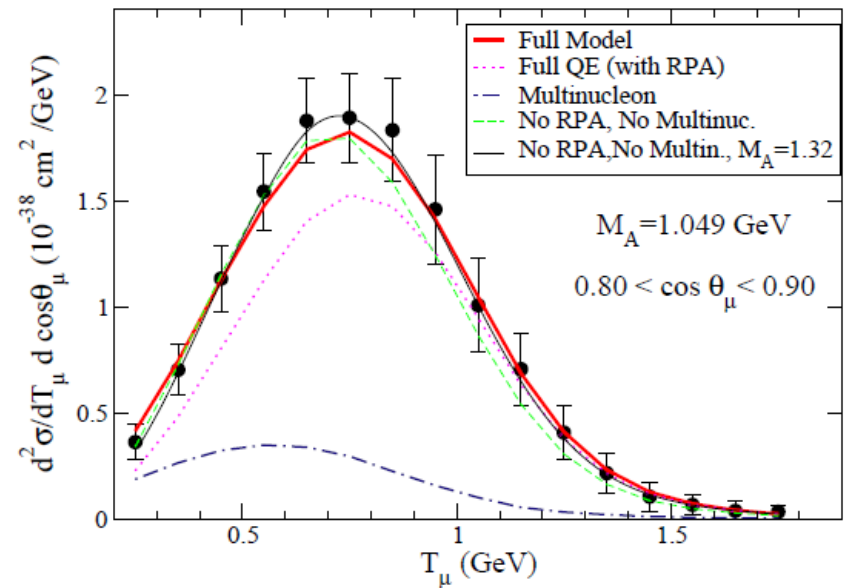
- 2-nucleon EW currents exist



- needed to explain MiniBooNE & T2K 0π data



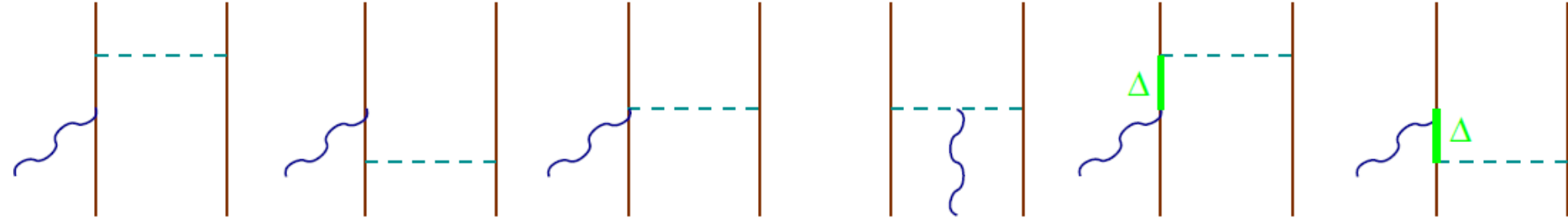
Martini et al.



Nieves et al.

Two-nucleon currents

- 2-nucleon EW currents exist



- source of bias in E_ν kinematic reconstruction @ T2K

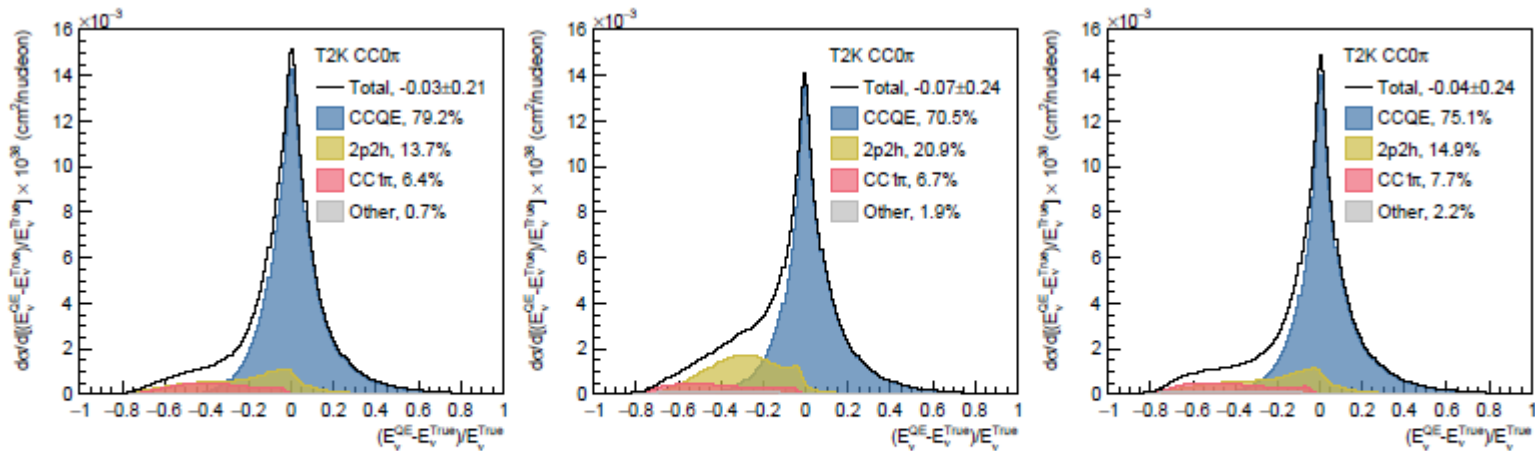
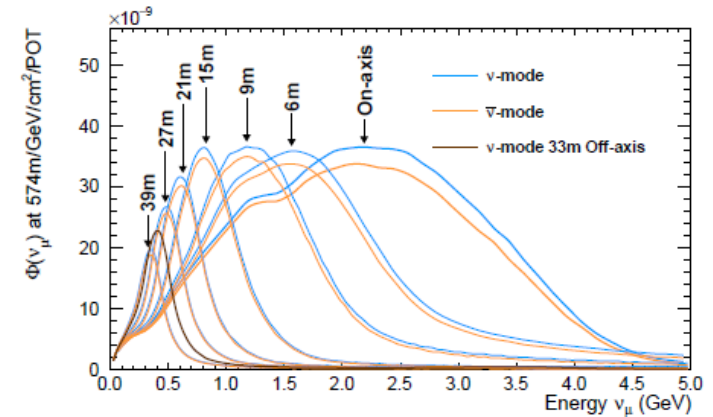
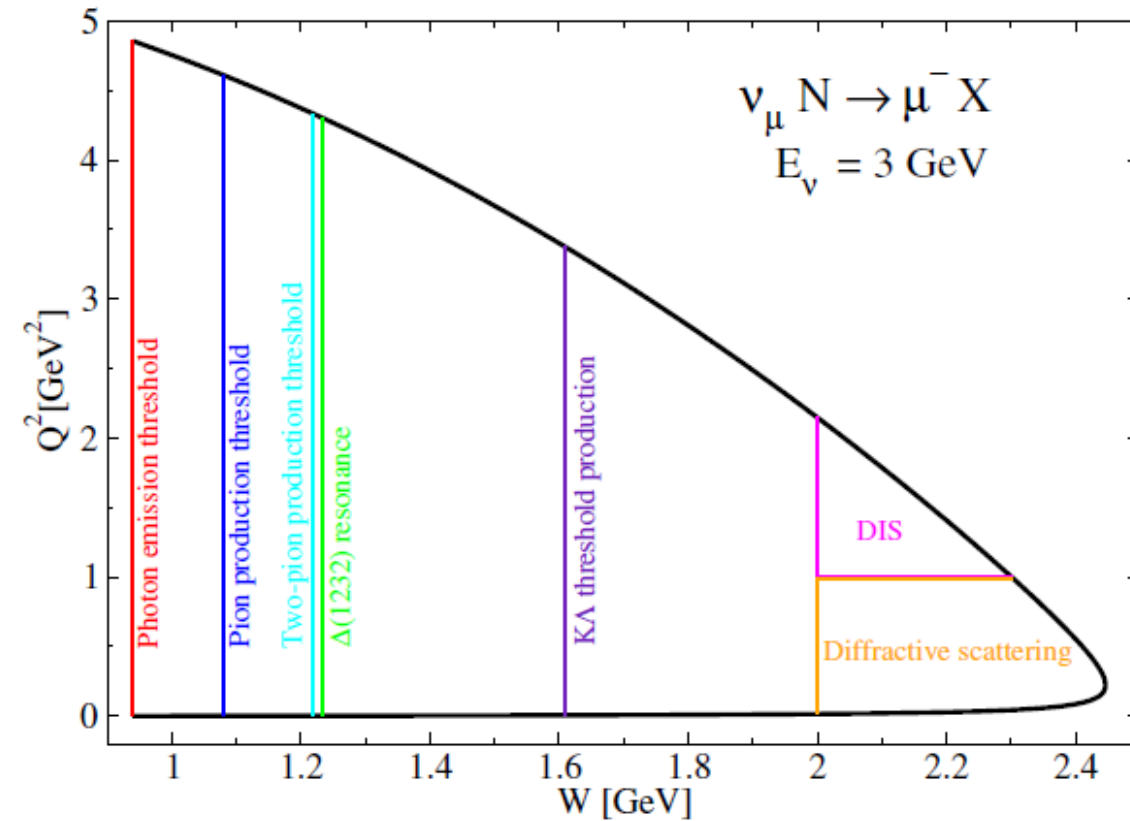


FIG. 7: Biases in a T2K-like kinematic CCQE energy estimator away from the true neutrino energy, using NuWro 19.01 (left), GENIE G18.02a (middle), and NEUT v5.4.0 (right). The prediction for GENIE G18.10a, with a 2p2h calculation by Nieves et al., closely matches that of NEUT.

C. Wret

Inelastic scattering

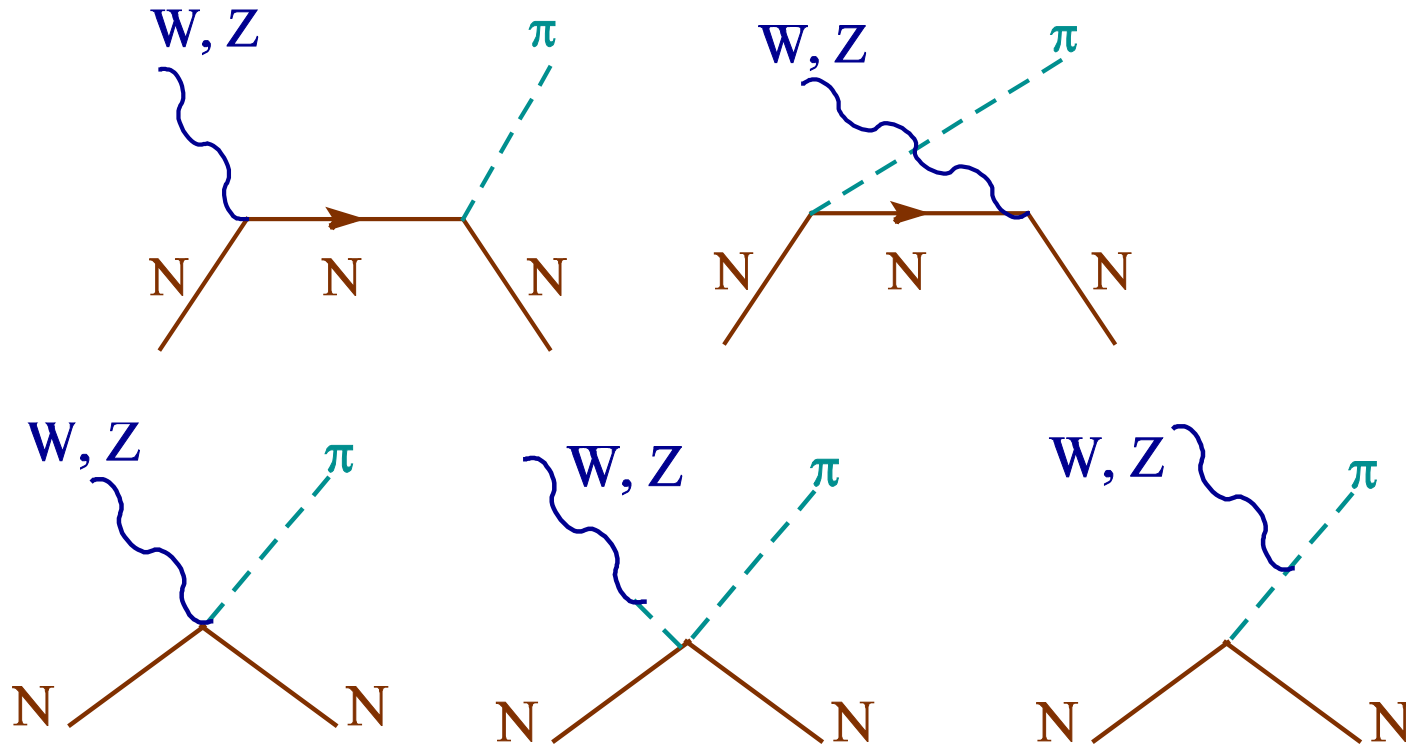


DUNE flux @ ND, 2002.03005

1π production on the nucleon

$$\nu_l N \rightarrow l \pi N'$$

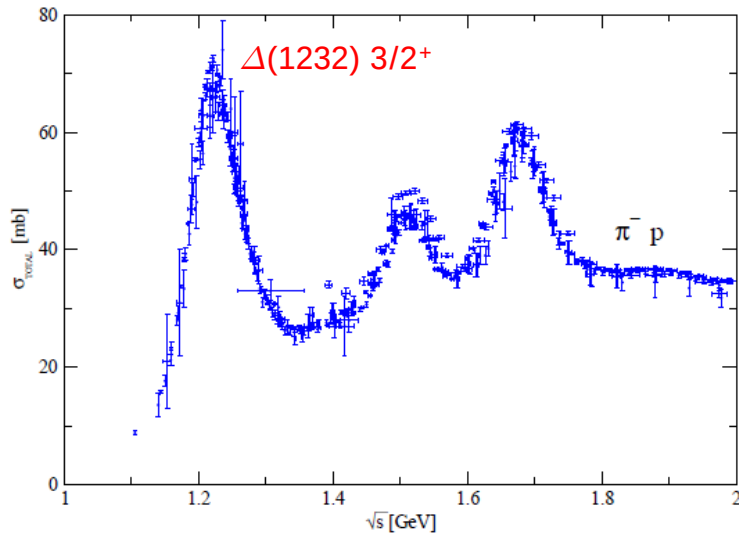
- Threshold behavior dictated by **chiral symmetry**: Hernandez et al., PRD76 (2007)



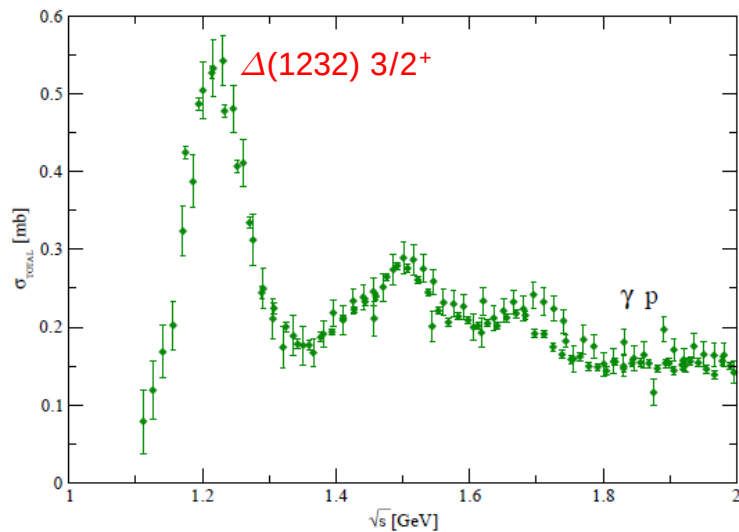
- Can be **systematically improved** using **ChPT** Yao et al., PRD 98 (2018)

Baryon resonances

- Nucleons are extended objects $\Rightarrow$ excitation spectrum



$$\pi N \rightarrow R \rightarrow \pi N, \pi\pi N, \eta N, \Lambda K \dots$$

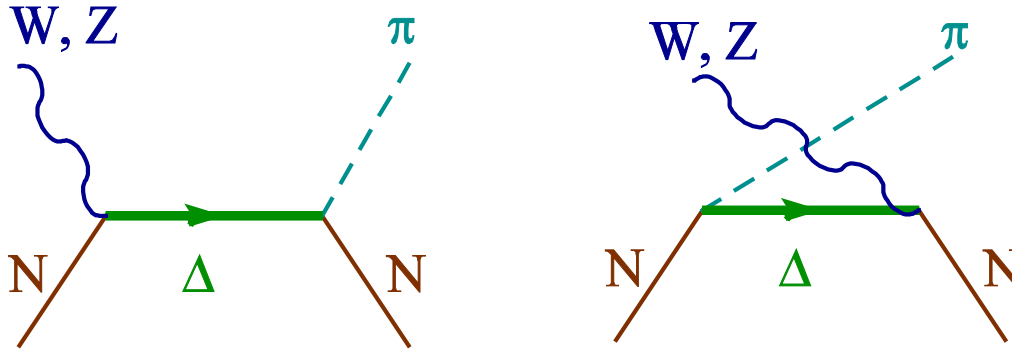


$$\gamma N \rightarrow R \rightarrow \pi N, \pi\pi N, \eta N, \Lambda K \dots$$

From PDG database

1π production on the nucleon

- $\Delta(1232)$ excitation:



- N- Δ transition current:

$$J^\mu = \bar{\psi}_\mu \left[\left(\frac{C_3^V}{M} (g^{\beta\mu} \not{q} - q^\beta \gamma^\mu) + \frac{C_4^V}{M^2} (g^{\beta\mu} q \cdot p' - q^\beta p'^\mu) + \frac{C_5^V}{M^2} (g^{\beta\mu} q \cdot p - q^\beta p^\mu) \right) \gamma_5 \right. \\ \left. + \frac{C_3^A}{M} (g^{\beta\mu} \not{q} - q^\beta \gamma^\mu) + \frac{C_4^A}{M^2} (g^{\beta\mu} q \cdot p' - q^\beta p'^\mu) + C_5^A g^{\beta\mu} + \frac{C_6^A}{M^2} q^\beta q^\mu \right] u$$

Weak Resonance excitation

- $\Delta(1232) \text{ } J^P=3/2^+$

$$J_\alpha = \bar{u}^\mu(p') \left[\left(\frac{C_3^V}{M_N} (g_{\alpha\mu} \not{q} - q_\alpha \gamma_\mu) + \frac{C_4^V}{M_N^2} (g_{\alpha\mu} q \cdot p' - q_\alpha p'_\mu) + \frac{C_5^V}{M_N^2} (g_{\alpha\mu} q \cdot p - q_\alpha p_\mu) \right) \gamma_5 \right. \\ \left. + \frac{C_3^A}{M_N} (g_{\alpha\mu} \not{q} - q_\alpha \gamma_\mu) + \frac{C_4^A}{M_N^2} (g_{\alpha\mu} q \cdot p' - q_\beta p'_\mu) + C_5^A g_{\alpha\mu} + \frac{C_6^A}{M_N^2} q_\alpha q_\mu \right] u(p)$$

$C_{3-5}^V, C_{3-6}^A \leftarrow \text{N-}\Delta \text{ transition form factors}$

- Rarita-Schwinger fields: spin 3/2

$$u_\mu(p, s_\Delta) = \sum_{\lambda, s} \left(1\lambda \frac{1}{2}s \middle| \frac{3}{2}s_\Delta \right) \epsilon_\mu(p, \lambda) u(p, s)$$

- Eq. of motion: $(\not{p} - M_\Delta) u_\mu = 0$
- with constrains: $\gamma^\mu u_\mu = p^\mu u_\mu = 0$

Weak Resonance excitation

- $\Delta(1232) \text{ } J^P=3/2^+$

$$J_\alpha = \bar{u}^\mu(p') \left[\left(\frac{C_3^V}{M_N} (g_{\alpha\mu} \not{q} - q_\alpha \gamma_\mu) + \frac{C_4^V}{M_N^2} (g_{\alpha\mu} q \cdot p' - q_\alpha p'_\mu) + \frac{C_5^V}{M_N^2} (g_{\alpha\mu} q \cdot p - q_\alpha p_\mu) \right) \gamma_5 \right. \\ \left. + \frac{C_3^A}{M_N} (g_{\alpha\mu} \not{q} - q_\alpha \gamma_\mu) + \frac{C_4^A}{M_N^2} (g_{\alpha\mu} q \cdot p' - q_\beta p'_\mu) + C_5^A g_{\alpha\mu} + \frac{C_6^A}{M_N^2} q_\alpha q_\mu \right] u(p)$$

$C_{3-5}^V, C_{3-6}^A \leftarrow \text{N-}\Delta \text{ transition form factors}$

- Isospin symmetry $\Rightarrow$ vector CC and NC form factors
 - can be expressed in terms of EM ones
 - extracted from data on π photo- and electro-production

Weak Resonance excitation

■ $\Delta(1232)$ $J^P=3/2^+$

$$J_\alpha = \bar{u}^\mu(p') \left[\left(\frac{C_3^V}{M_N} (g_{\alpha\mu} \not{q} - q_\alpha \gamma_\mu) + \frac{C_4^V}{M_N^2} (g_{\alpha\mu} q \cdot p' - q_\alpha p'_\mu) + \frac{C_5^V}{M_N^2} (g_{\alpha\mu} q \cdot p - q_\alpha p_\mu) \right) \gamma_5 \right. \\ \left. + \frac{C_3^A}{M_N} (g_{\alpha\mu} \not{q} - q_\alpha \gamma_\mu) + \frac{C_4^A}{M_N^2} (g_{\alpha\mu} q \cdot p' - q_\beta p'_\mu) + C_5^A g_{\alpha\mu} + \frac{C_6^A}{M_N^2} q_\alpha q_\mu \right] u(p)$$

$C_{3-5}^V, C_{3-6}^A \leftarrow$ N- Δ transition form factors

■ Axial transition form factors

■ Poorly known (if at all...)

■ π -pole dominance + PCAC $\Rightarrow C_6^A = -\frac{M_N^2}{q^2 - m_\pi^2} C_5^A$

■ off diagonal Goldberger-Treiman relation $\Rightarrow C_5^A(0) = \sqrt{\frac{2}{3}} g_{\Delta N \pi}$

$$g_{\Delta N \pi} \Leftrightarrow \Gamma(\Delta \rightarrow N \pi)$$

■ Deviations from GTR arise from chiral symmetry breaking

■ expected only at the few % level

Weak Resonance excitation

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■ From ANL and BNL data on $\nu_\mu d \rightarrow \mu^- \pi^+ p n$

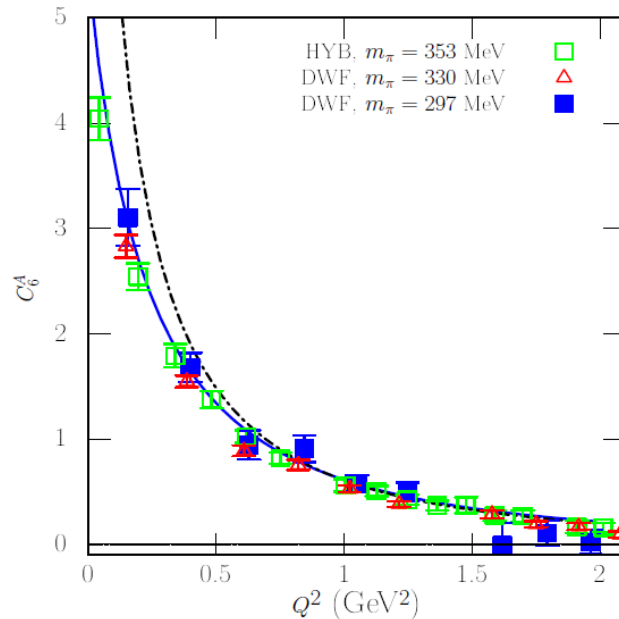
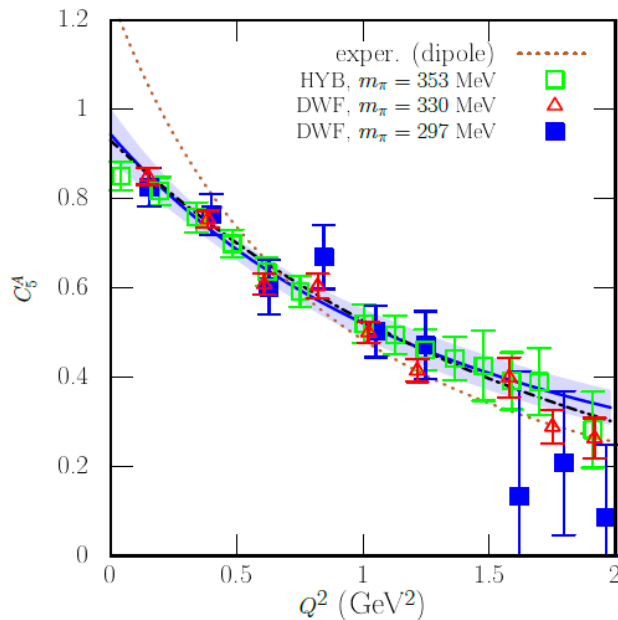
$$C_5^A = C_5^A(0) \left(1 + \frac{Q^2}{M_{A\Delta}^2} \right)^{-2}$$

$$M_{A\Delta} = 0.95 \pm 0.06 \text{ GeV}$$

LAR, Hernandez, Nieves, Vicente Vacas, PRD93(2016)

LQCD & meson production

- Early N - $\Delta(1232)$ axial FF with heavy m_q Alexandrou et al., PRD83 (2011)



- Calculations of N - Δ , N - N^* transition FF should become available in the next 5-10 years LAR et al., Snowmass 2021, 2203.09030
- Control systematic uncertainties is challenging

Weak meson production

■ Beyond the $\Delta(1232)$ region:

■ relevant for DUNE

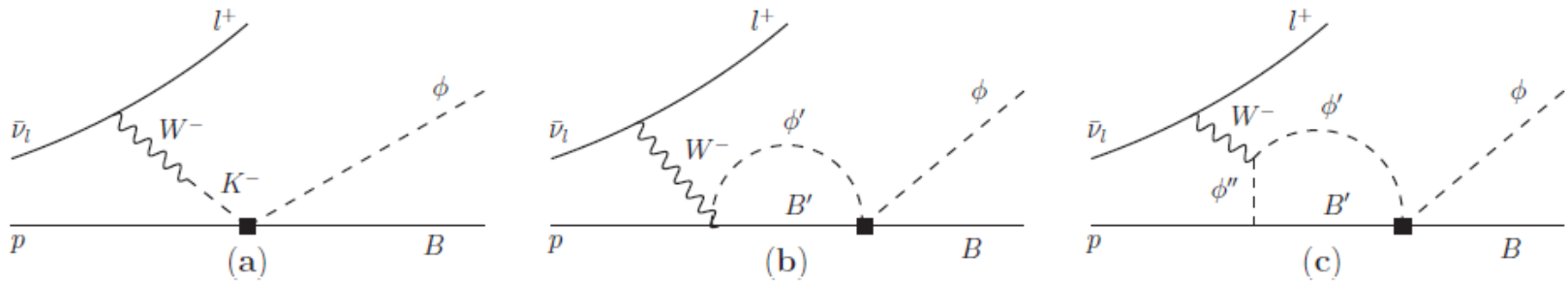
$$\nu_l N \rightarrow l N' \pi$$

■ several **exclusive** channels: $\nu_l N \rightarrow l N' \pi\pi, \eta$

$$\nu_l N \rightarrow l \Lambda(\Sigma) K$$

■ Dynamical models:

■ **Unitarization** (in coupled channels)



■ **Vector current** can be constrained with $\gamma N \rightarrow N \pi, e N \rightarrow e' N \pi$

■ Axial current at $q^2 \rightarrow 0$ can be constrained with $\pi N \rightarrow N \pi$

$$\left. \frac{d\sigma_{CC\pi}}{dE_l d\Omega_l} \right|_{q^2=0} = \frac{G_F^2 V_{ud}^2}{2\pi^2} \frac{2f_\pi^2}{\pi} \frac{E_l^2}{E_\nu - E_l} \sigma_{\pi N}$$

Weak meson production

- Beyond the $\Delta(1232)$ region:

- relevant for DUNE

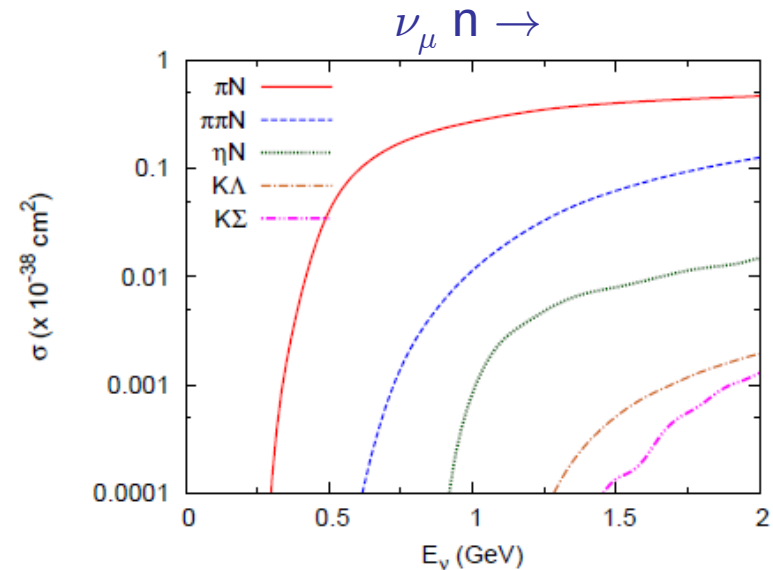
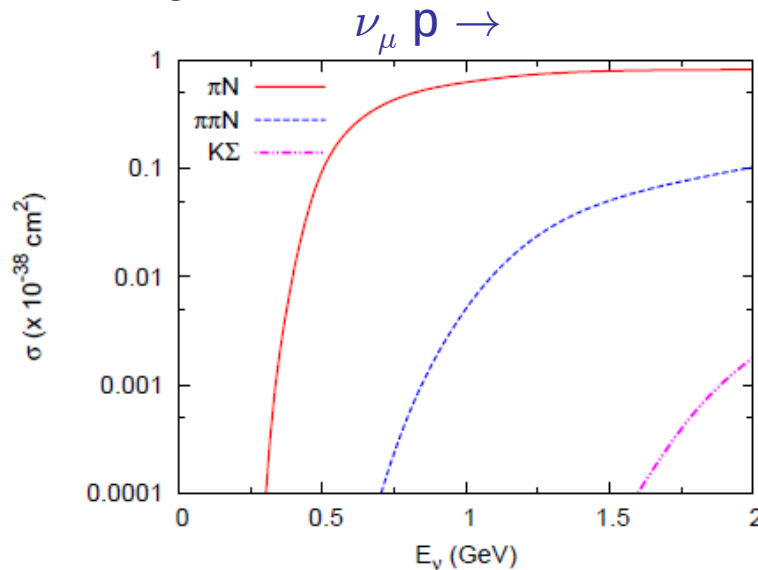
$$\nu_l N \rightarrow l N' \pi$$

- several **exclusive** channels: $\nu_l N \rightarrow l N' \pi\pi$, η

$$\nu_l N \rightarrow l \Lambda(\Sigma) K$$

- Dynamical models:

- E.g.: DCC Model Nakamura et al., PRD92 (2015)



- Very limited information about the axial current at $q^2 \neq 0$
- Direct or indirect measurement on H and/or D needed

1π production on nuclei

- Incoherent 1π production in nuclei

$$\nu_l A \rightarrow l \pi X$$

- Modification of the $\Delta(1232)$ properties in the medium

$$D_{\Delta} \Rightarrow \tilde{D}_{\Delta}(r) = \frac{1}{(W + M_{\Delta})(W - M_{\Delta} - \text{Re}\Sigma_{\Delta}(\rho) + i\tilde{\Gamma}_{\Delta}/2 - i\text{Im}\Sigma_{\Delta}(\rho))}$$

$\tilde{\Gamma}_{\Delta} \leftarrow$ Free width $\Delta \rightarrow N \pi$ modified by Pauli blocking

$$\text{Re}\Sigma_{\Delta}(\rho) \approx 40\text{MeV} \frac{\rho}{\rho_0}$$

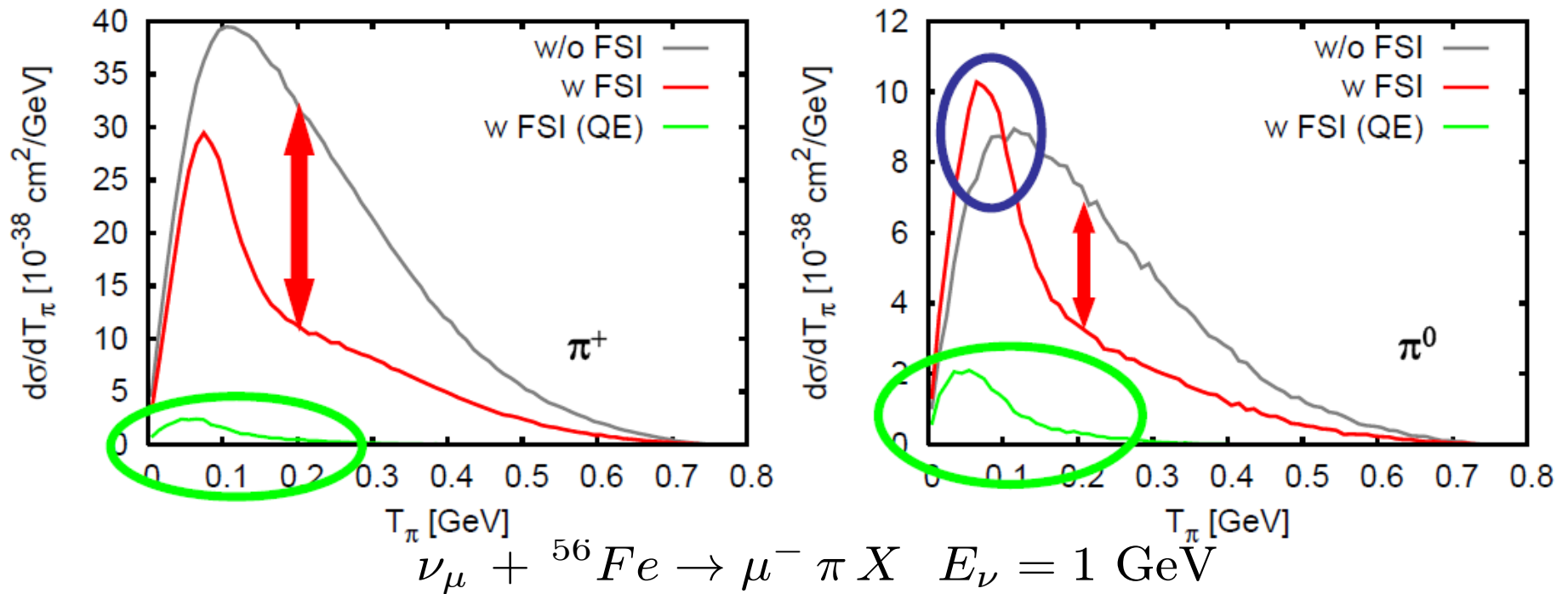
$$\text{Im}\Sigma_{\Delta}(\rho) \leftarrow \begin{aligned} &\bullet \Delta N \rightarrow N N \\ &\bullet \Delta N \rightarrow N N \pi \\ &\bullet \Delta N N \rightarrow N N N \end{aligned}$$

- π propagation (scattering, charge exchange), absorption (FSI)

- semiclassical cascade, transport models

1π production on nuclei

- GiBUU Leitner, LAR, Mosel, PRC 73 (2006)
 - Effects of FSI on pion kinetic energy spectra
 - strong absorption in Δ region
 - side-feeding from dominant π^+ into π^0 channel
 - secondary pions through FSI of initial QE protons

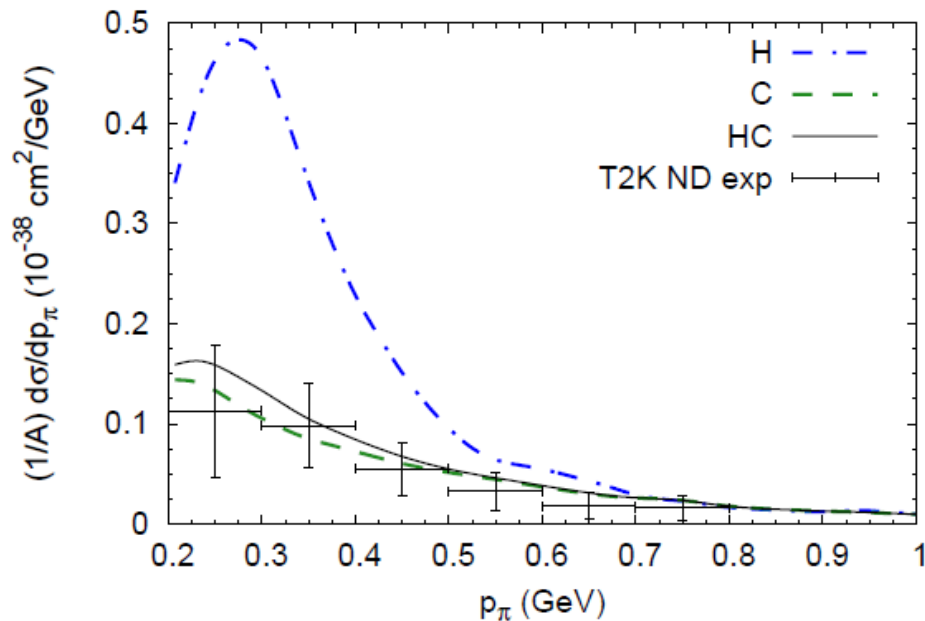


π production on ^{12}C

Comparison to **T2K**:

Mosel, Gallmeister, PRC99 (2019)

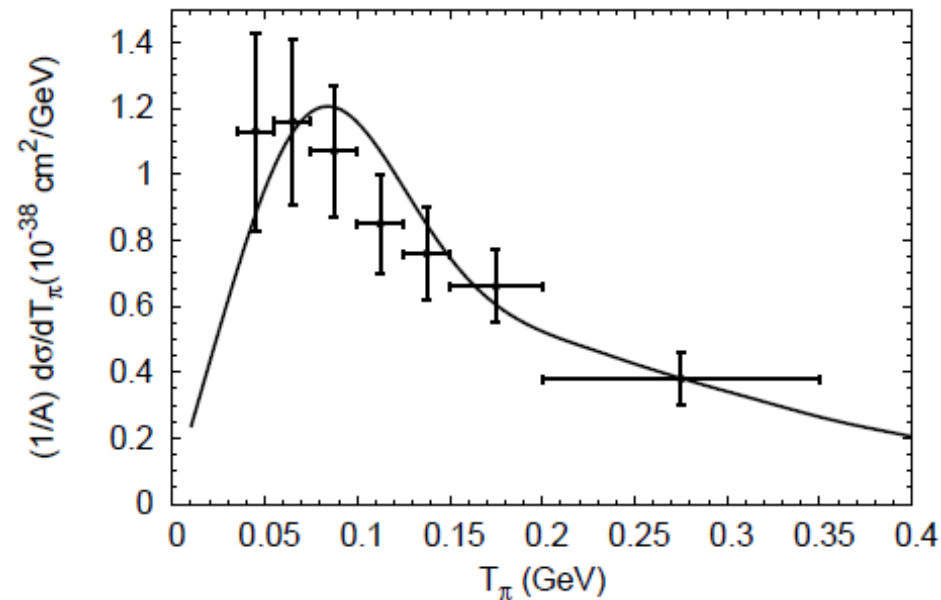
CC $\pi^\pm$ data: R. Castillo, PhD Thesis (2015)



Comparison to **MINERvA**:

Mosel, Gallmeister, PRC96 (2017)

CC $\pi^\pm$ data: Eberly et al., PRD 92 (2015)



Outlook

- Neutrino interactions with matter
 - are present in many interesting and relevant physical processes.
 - are critical for the success of the neutrino oscillation program in the precision era.
 - are determined by fundamental properties of hadrons and nuclei.
- Ongoing progress in neutrino-interaction theory
 - Lattice QCD
 - Effective Field Theory
 - Phenomenological models
 - Monte Carlo simulations

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