



Neutrino flavor transformation in dense environments

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Neutrino Frontiers — *Galileo Galilei Institute*

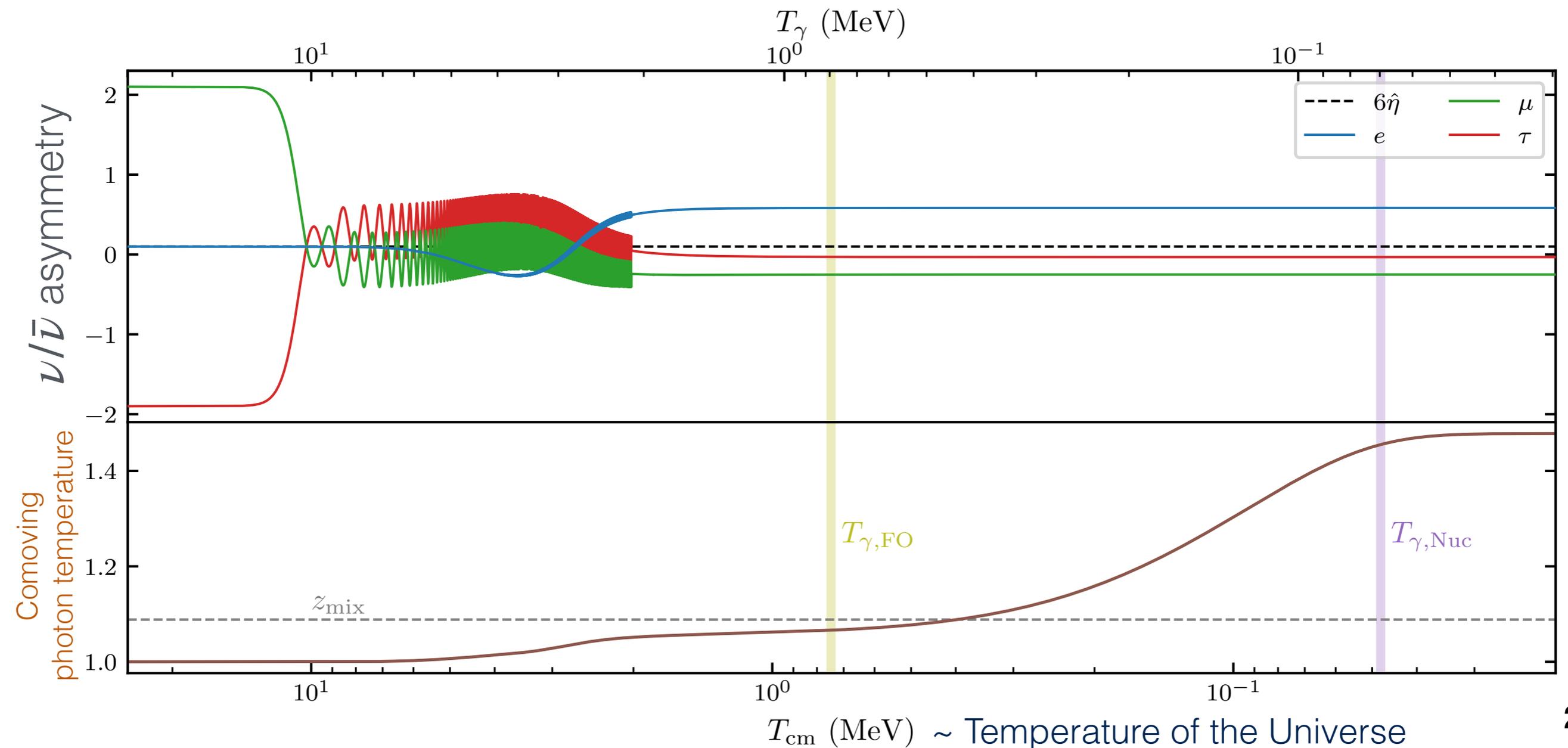
July 2024

Side note • Constraints on primordial asymmetries

J. Froustey, C. Pitrou [[2405.06509](#)]

- Neutrino evolution code in the early Universe with non-zero asymmetries

$$i \left(\frac{\partial \varrho}{\partial t} - H p \frac{\partial \varrho}{\partial p} \right) = [\mathcal{H}_{\text{vac}} + \mathcal{H}_{\text{mat}} + \mathcal{H}_{\text{self}, \varrho}] + i \mathcal{C}$$

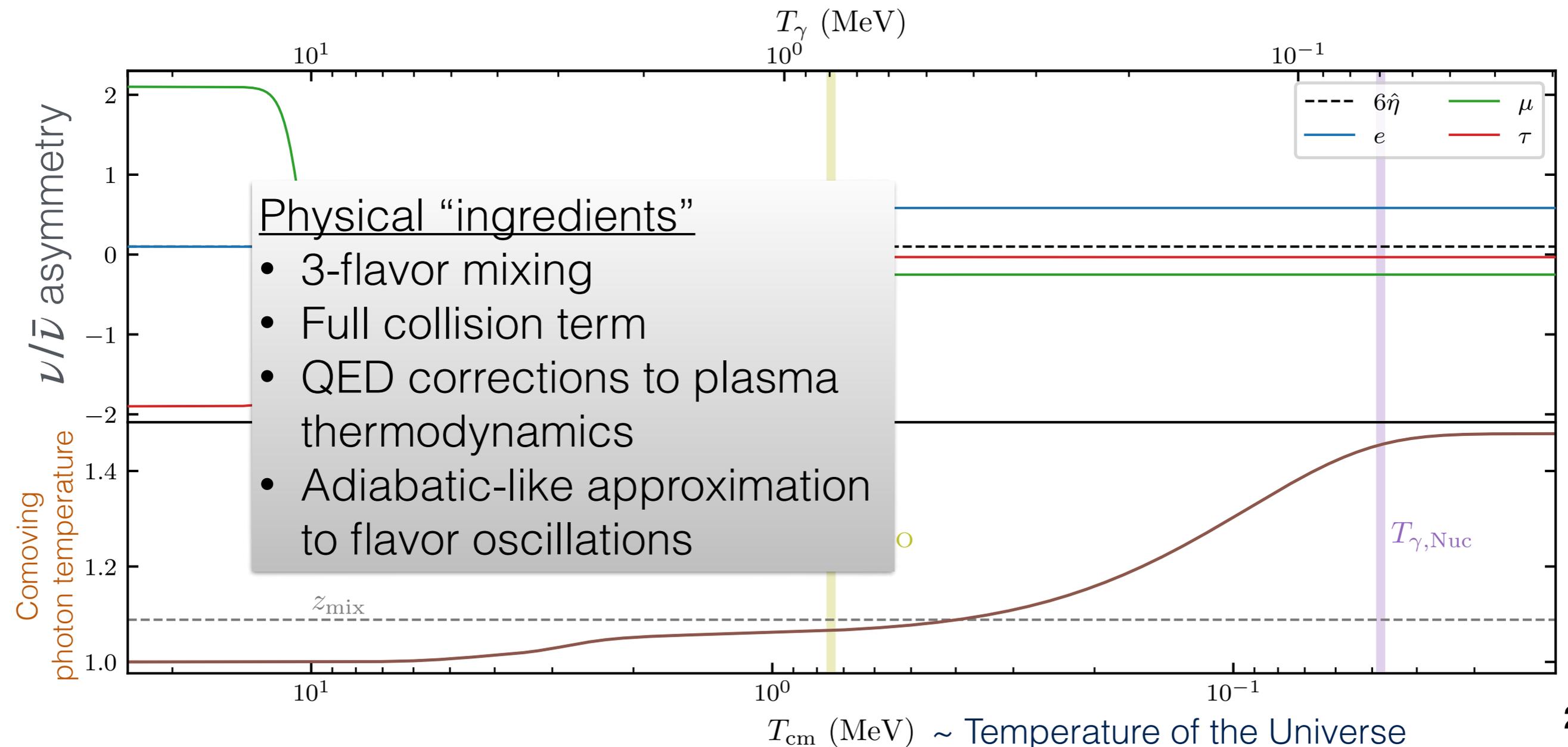


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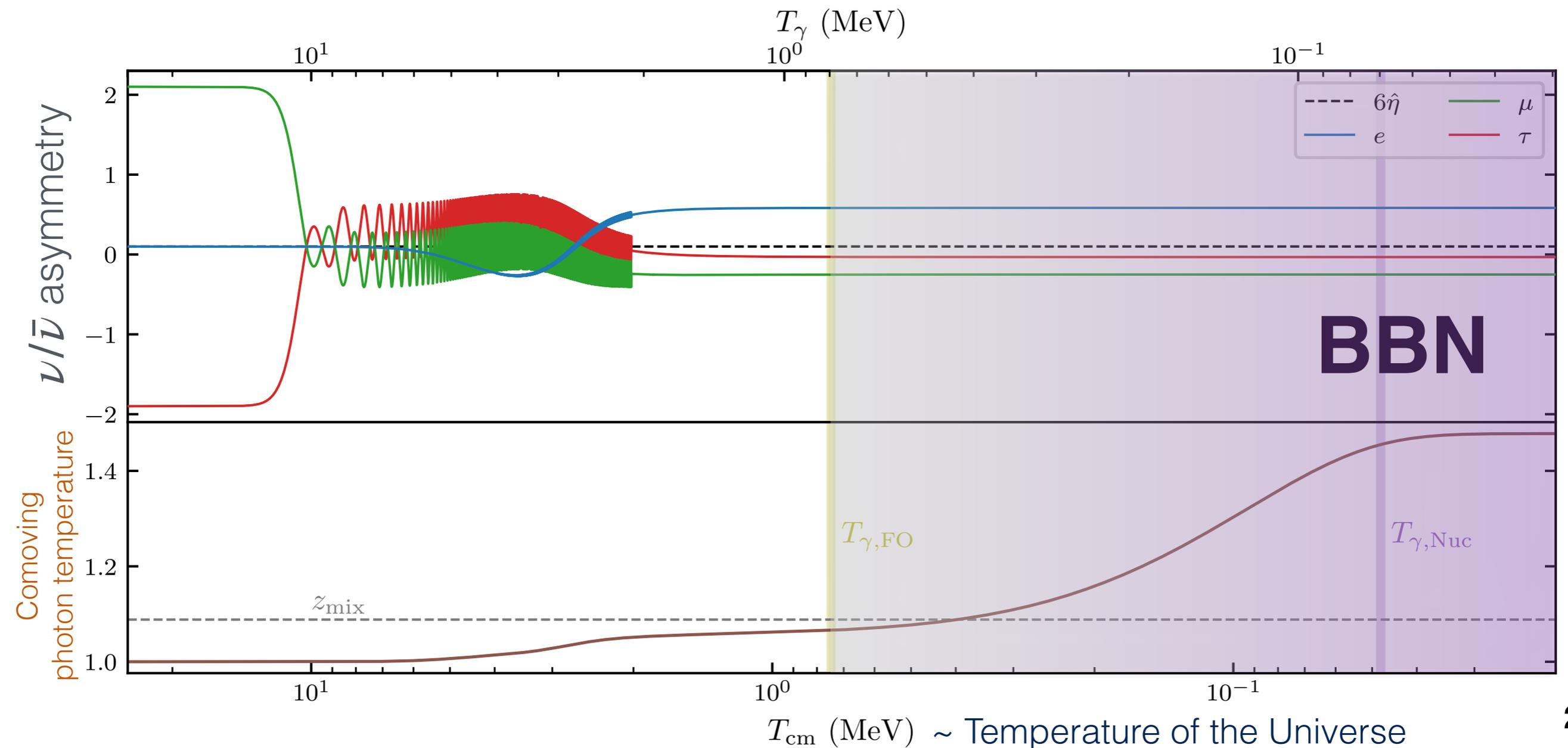


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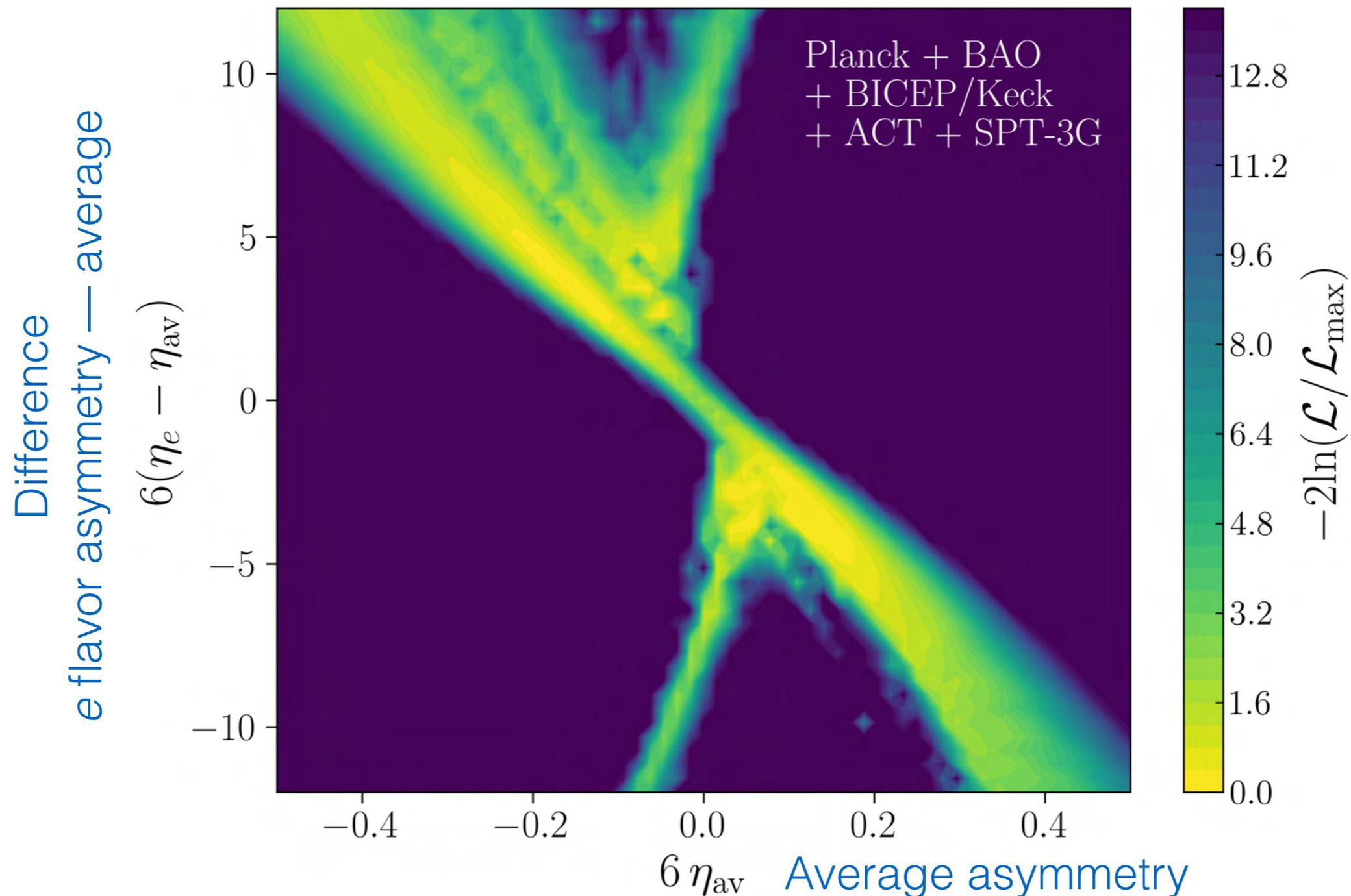
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- Output used in a BBN code (PRIMAT): likelihood based on CMB + primordial abundance measurements.



From the early Universe to neutron star mergers

- The early Universe is fantastic: **homogeneous, isotropic**
- It is a dense environment \implies interesting neutrino physics
- Astrophysical environments (supernovae, neutron star mergers): many more intricate phenomena! (*Therefore, less detailed physics*)
- In particular, neutrino/antineutrino asymmetries + anisotropies lead to new flavor conversion mechanisms.

How do neutrinos change flavor in dense astrophysical environments?



Neutrino flavor instabilities in binary neutron star mergers

Based on:

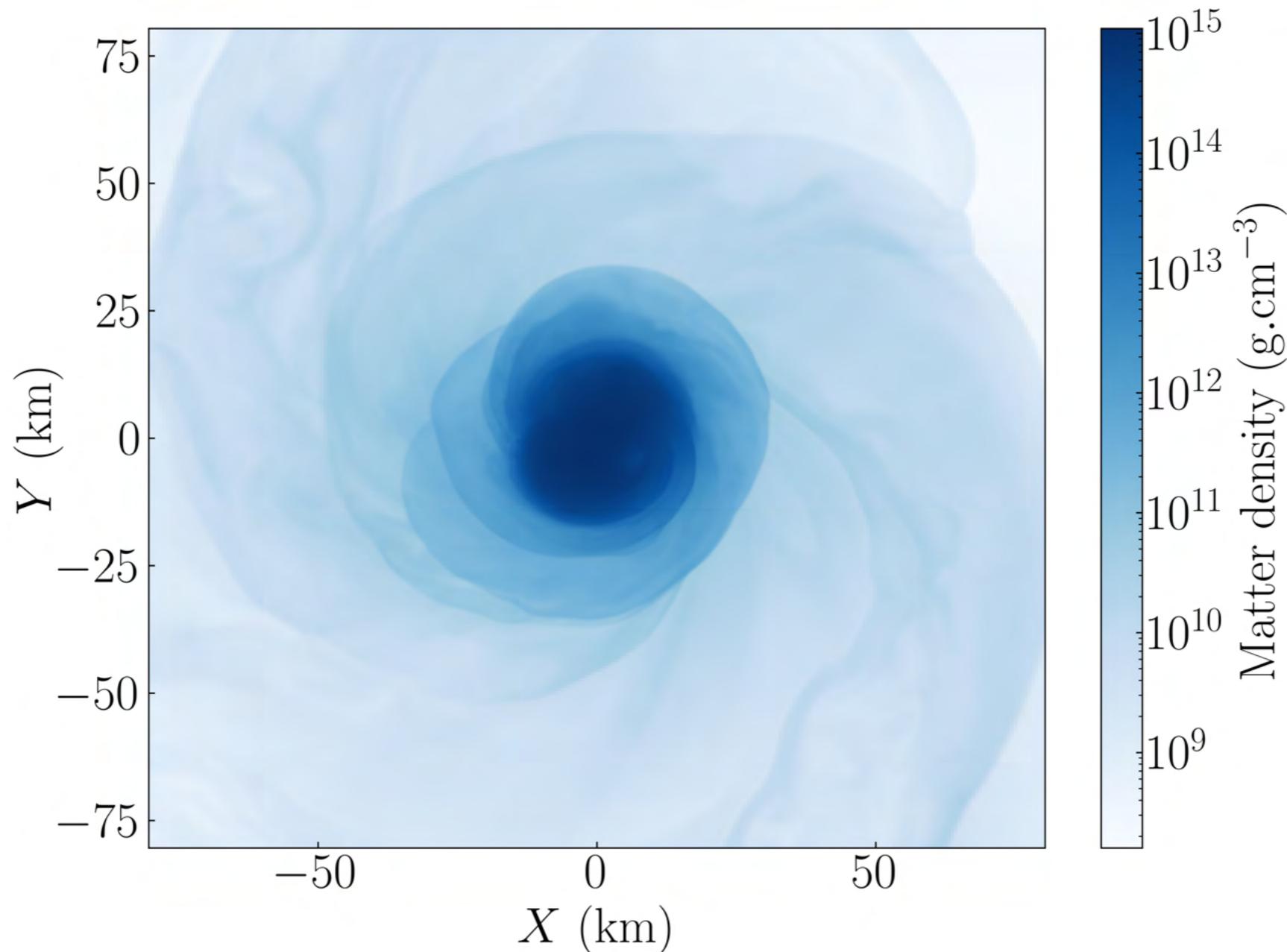
[2207.00214] E. Grohs et al., *Neutrino Fast Flavor Instability in three dimensions for a Neutron Star Merger*, Phys. Lett. B 846 (2023)

[2309.00972] E. Grohs et al., *Two-Moment Neutrino Flavor Transformation with applications to the Fast Flavor Instability in Neutron Star Mergers*, ApJ 963 (2024)

[2311.11968] J. Froustey et al., *Neutrino fast flavor oscillations with moments: linear stability analysis and application to neutron star mergers*, Phys. Rev. D 109 (2024)

NSM simulation snapshot (equatorial slice)

- Merger of $1.3 M_{\odot}$ and $1.4 M_{\odot}$ neutron stars, general relativistic radiation hydrodynamics M1 simulation (*F. Foucart et al.*).
- Snapshot taken 3 ms post-merger

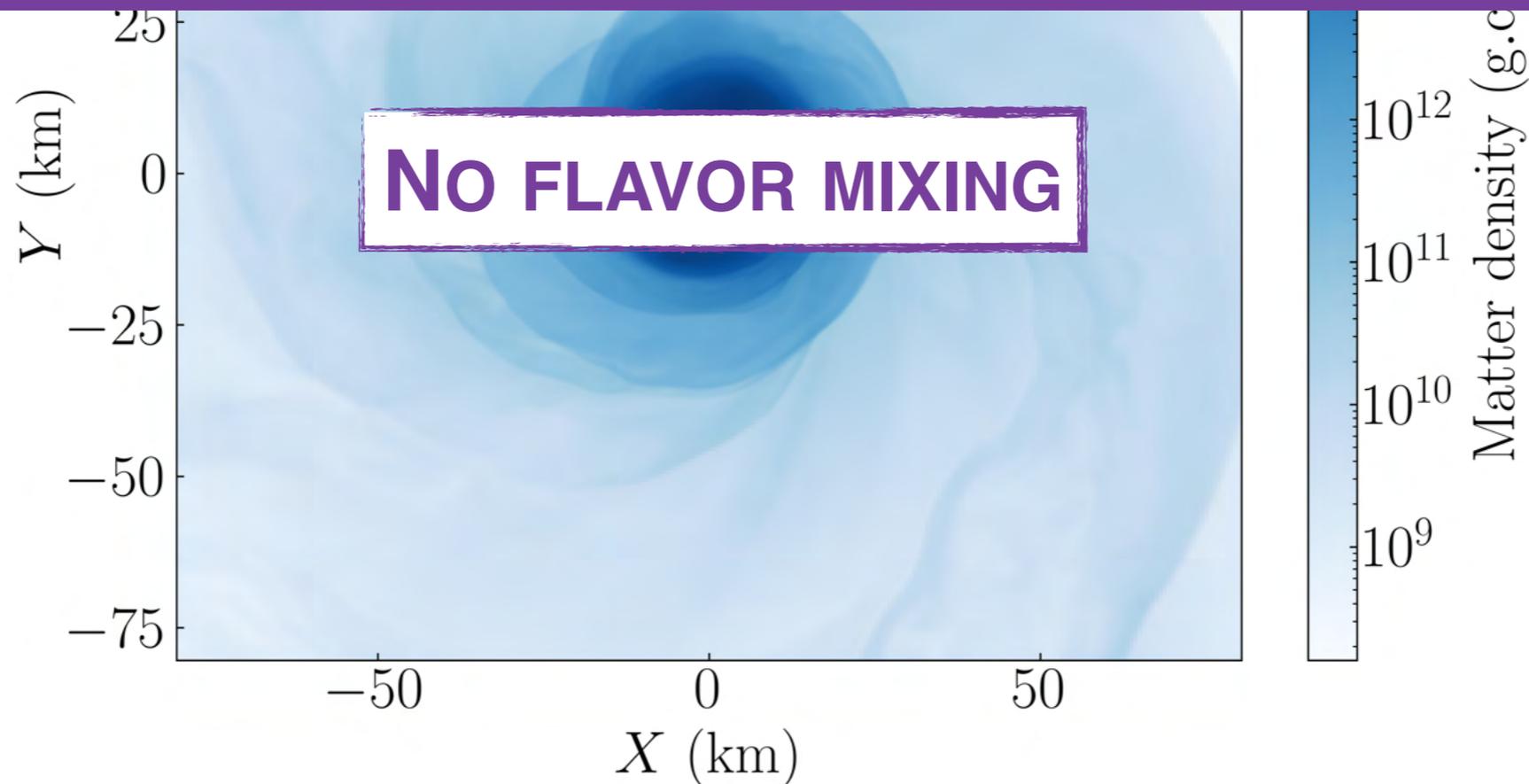


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Simulation using the first two **angular moments** (number density, flux) and a **closure** to close the system of equations (\sim equivalent to an *underlying angular distribution*).



Flavor instabilities

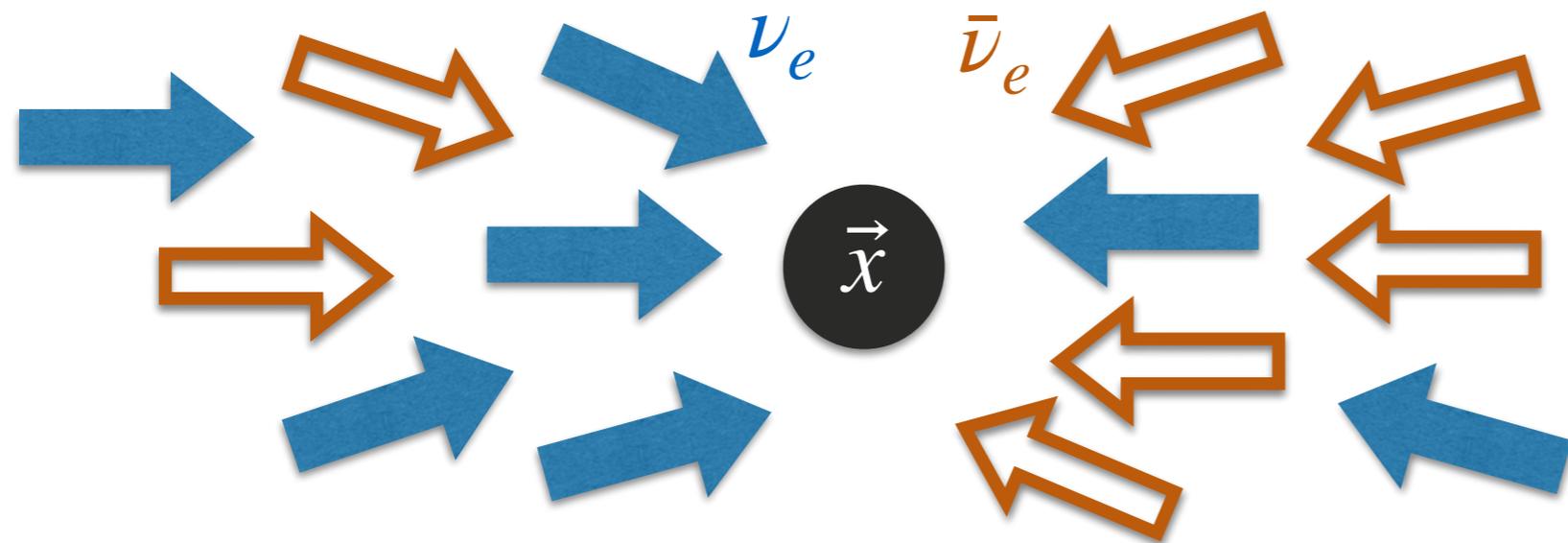
- Dense astrophysical environments: many flavor transformation mechanisms, among which:

See review by
M. C. Volpe, [[2301.11814](#)]

- **Fast flavor instability (FFI)**

R. F. Sawyer, [[0503013](#)]

related to an *angular crossing* between neutrino and antineutrino distributions



$$f_{\nu_e}(\vec{x}, p, \theta_1) - f_{\bar{\nu}_e}(\vec{x}, p, \theta_1) > 0$$

and

$$f_{\nu_e}(\vec{x}, p, \theta_2) - f_{\bar{\nu}_e}(\vec{x}, p, \theta_2) < 0$$

- **Collisional flavor instability (CFI)**

L. Johns, [[2104.11369](#)]

related to *different collision rates* between neutrinos and antineutrinos

Introducing the QKEs

- In order to describe the evolution of a statistical ensemble of neutrinos: combination of **kinetic theory** and **quantum mechanics**.

↓
Boltzmann equation

↓
Flavor mixing

- Generalization of distribution functions: (1-body reduced) **“density matrix”**

$$\begin{pmatrix} f_{\nu_e} & \\ & f_{\nu_x} \end{pmatrix} \longrightarrow \begin{pmatrix} \rho_{ee} & \rho_{ex} \\ \rho_{xe} & \rho_{xx} \end{pmatrix}$$

- Quantum Kinetic Equations:

$$i \left(\frac{\partial}{\partial t} + \vec{v} \cdot \vec{\nabla} \right) \rho_{\alpha\beta} = [\mathcal{H}_{\text{self}}, \rho]_{\alpha\beta} + i C_{\alpha\beta}$$

$$\text{with } \mathcal{H}_{\text{self}} = \frac{\sqrt{2}G_F}{(2\pi)^3} \int d^3\vec{q} (1 - \cos\theta) [\rho(t, \vec{x}, \vec{q}) - \bar{\rho}(t, \vec{x}, \vec{q})]$$

Multi-angle analysis

- In order to quickly and systematically study the occurrence of flavor instabilities: **linear stability analysis** (LSA).
- To deal with (anti)neutrino angular distributions: “multi-angle” LSA.
- Discretization of the density matrix with azimuthal angle $\mu_n \equiv \cos \theta_n$

$$\rho(\mu_n) = \begin{pmatrix} \boxed{\rho_{ee,n}} & a_{ex,n} e^{-i(\Omega t - \vec{k} \cdot \vec{r})} \\ a_{xe,n} e^{-i(\Omega t - \vec{k} \cdot \vec{r})} & \boxed{\rho_{xx,n}} \end{pmatrix}$$

$\text{Im}(\Omega) > 0$
= unstable!

Classical distributions from the NSM simulation

Multi-angle analysis · Classical distributions

- How to obtain angular distributions from a moment calculation?

Maximum entropy closure $\iff \psi_{aa}(\theta) = \frac{N_{aa}}{4\pi} \frac{Z_{aa}}{\sinh(Z_{aa})} e^{Z_{aa} \cos(\theta - \theta_{\vec{F}_{aa}})}$

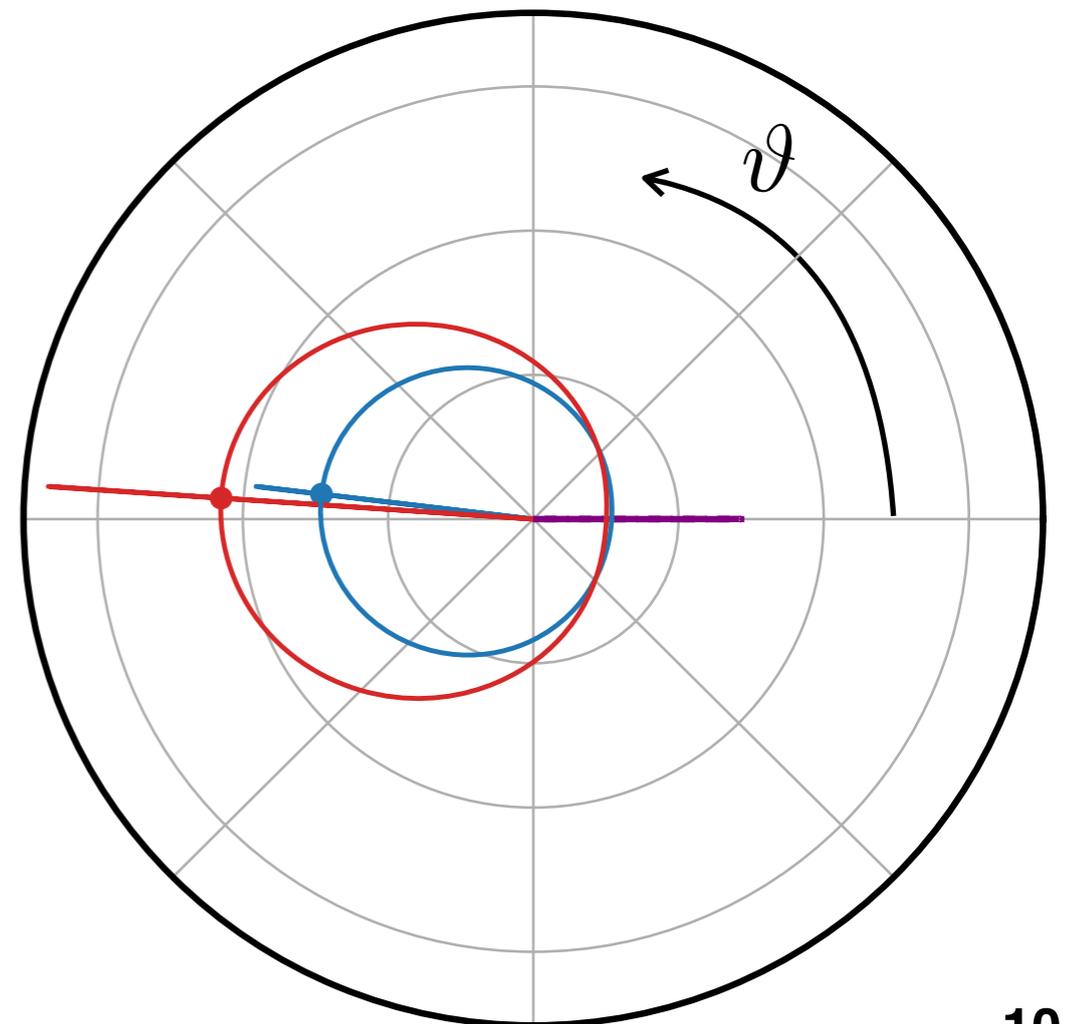
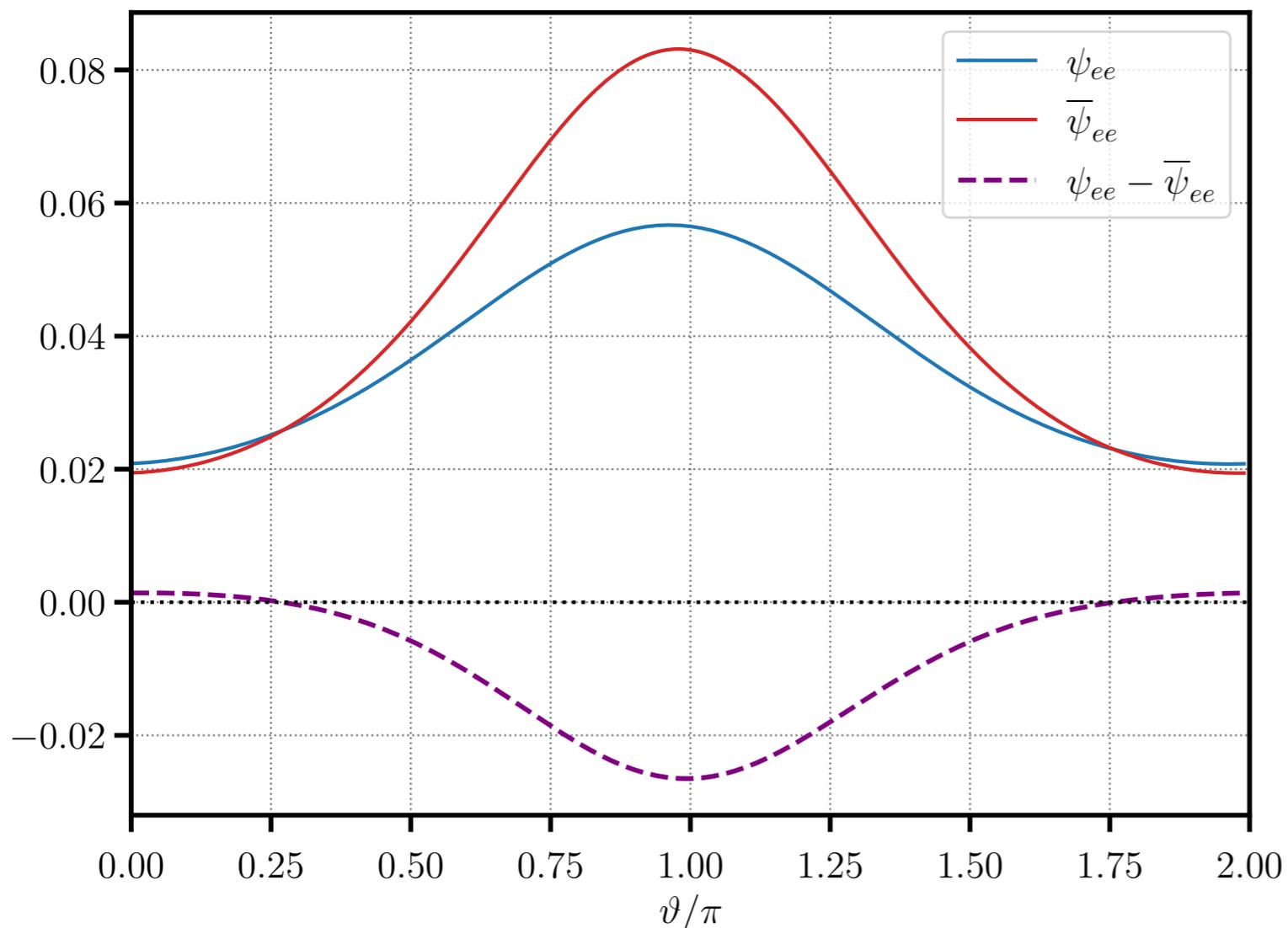
$$\frac{|\vec{F}_{aa}|}{N_{aa}} = \frac{1}{\tanh(Z_{aa})} - \frac{1}{Z_{aa}}$$

Multi-angle analysis · Classical distributions

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Example of NSM point:



Multi-angle analysis

- Discretization of the density matrix with azimuthal angle $\mu_n \equiv \cos \theta_n$

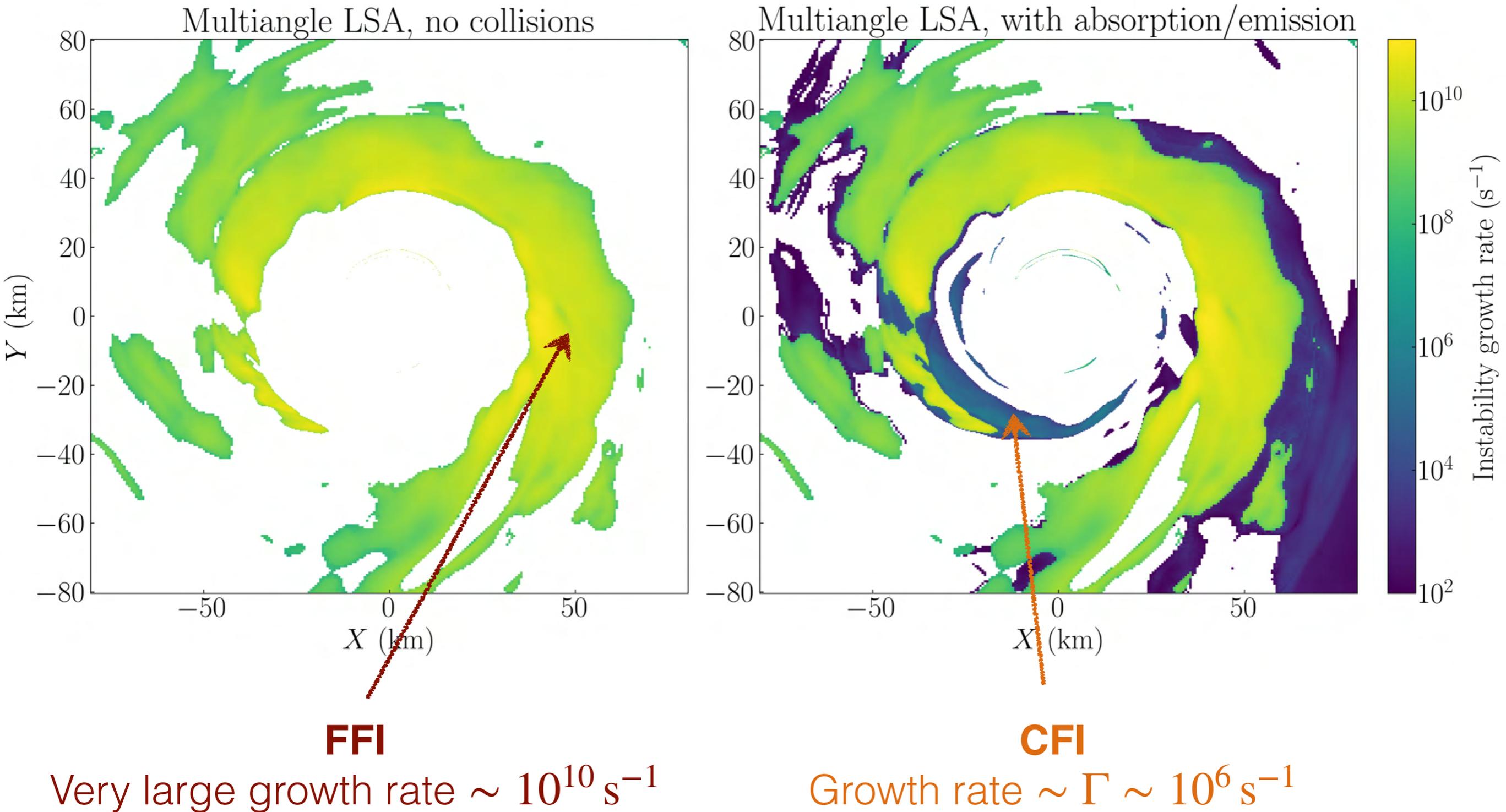
$$\rho(\mu_n) = \begin{pmatrix} \rho_{ee,n} & a_{ex,n} e^{-i(\Omega t - \vec{k} \cdot \vec{r})} \\ a_{xe,n} e^{-i(\Omega t - \vec{k} \cdot \vec{r})} & \rho_{xx,n} \end{pmatrix}$$

- Linearization of the QKEs \longrightarrow Eigenvalues $\Omega(\vec{k})$
 \longrightarrow Fastest growing mode

Instability growth rate $\max_{\vec{k}} \left\{ \text{Im}[\Omega(\vec{k})] \right\} \equiv \text{Im}(\Omega)_{\max}$

- Need to diagonalize a $(2 \times N_{\mu_n})^2$ matrix

Linear stability analysis — Results



“Moment” Quantum Kinetic Equations

- Angular moments of the density matrix:

$$\begin{array}{l}
 \text{Number density} \\
 \text{Flux} \\
 \text{Pressure tensor}
 \end{array}
 \begin{bmatrix} N \\ F^i \\ P^{ij} \end{bmatrix} = \int dp \frac{p^2}{(2\pi)^3} \int d\Omega \begin{bmatrix} 1 \\ p^i/p \\ p^i p^j / p^2 \end{bmatrix} \varrho(t, \vec{x}, \vec{p})$$

- QKEs for moments (simplifying assumption: mono-energetic p):

$$i \left(\frac{\partial N}{\partial t} + \frac{\partial F^j}{\partial x^j} \right) = \sqrt{2} G_F [N - \bar{N}, N] - \sqrt{2} G_F [(F - \bar{F})_j, F^j] + i C_N$$

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$$\text{Closure } P_{\alpha\beta}^{ij} \left(N_{\alpha\beta}, F_{\alpha\beta}^k \right)$$

Linear stability analysis

$$N = \begin{pmatrix} N_{ee} & A_{ex}e^{-i(\Omega t - \vec{k} \cdot \vec{r})} \\ A_{xe}e^{-i(\Omega t - \vec{k} \cdot \vec{r})} & N_{xx} \end{pmatrix}$$

Classical moments = output from the NSM simulation

$$F^j = \begin{pmatrix} F_{ee}^j & B_{ex}^j e^{-i(\Omega t - \vec{k} \cdot \vec{r})} \\ B_{xe}^j e^{-i(\Omega t - \vec{k} \cdot \vec{r})} & F_{xx}^j \end{pmatrix}$$

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- Classical maximum entropy **closure**:

$$P_{ee}^{zz} = \chi \left(\frac{|\vec{F}_{ee}|}{N_{ee}} \right) N_{ee} , \quad P_{xx}^{zz} = \chi \left(\frac{|\vec{F}_{xx}|}{N_{xx}} \right) N_{xx}$$

$$\chi(\hat{f}) \equiv \frac{1}{3} + \frac{2\hat{f}^2}{15} \left(3 - \hat{f} + 3\hat{f}^2 \right)$$

Linear stability analysis

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- “Quantum-extended” maximum entropy **closure**:

$$P_{ee}^{zz} = \chi \left(\frac{|\vec{F}_{ee}|}{N_{ee}} \right) N_{ee}$$

$$P_{xx}^{zz} = \chi \left(\frac{|\vec{F}_{xx}|}{N_{xx}} \right) N_{xx}$$

$$P_{ex}^{zz} = \chi \left(\frac{|\vec{F}_{ee} + \vec{F}_{xx}|}{N_{ee} + N_{xx}} \right) N_{ex}$$

Linear stability analysis

- Linearly expand the QKEs to get the system of equations:

$$S_{\vec{k}} \cdot Q + \Omega \mathbb{I} \cdot Q = 0$$

“**Stability matrix**”
[8 × 8 matrix]

$$Q = \begin{pmatrix} A_{ex} \\ B_{ex}^x \\ B_{ex}^y \\ B_{ex}^z \\ \bar{A}_{ex} \\ \bar{B}_{ex}^x \\ \bar{B}_{ex}^y \\ \bar{B}_{ex}^z \end{pmatrix}$$

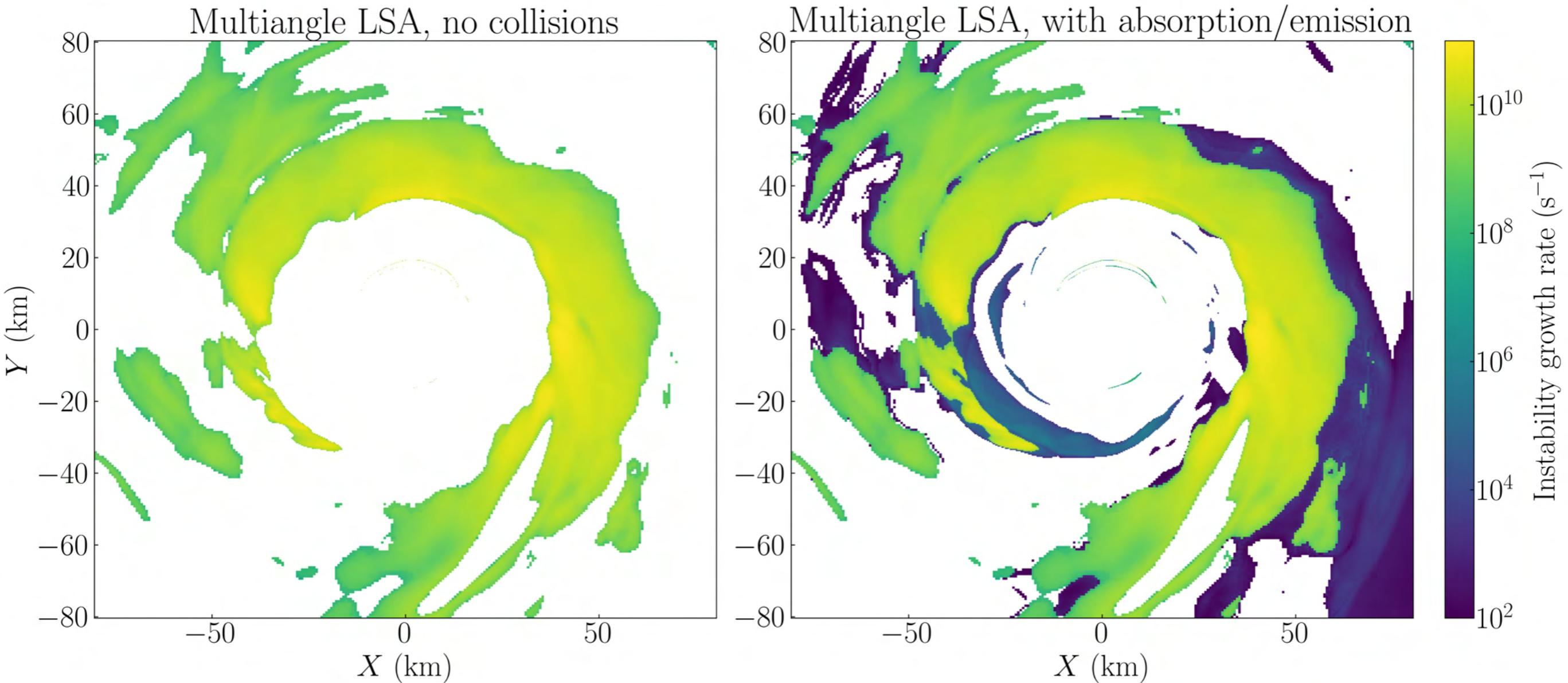
- Non-zero solution only if:

$$\det (S_{\vec{k}} + \Omega \mathbb{I}) = 0 \implies \Omega(\vec{k})$$

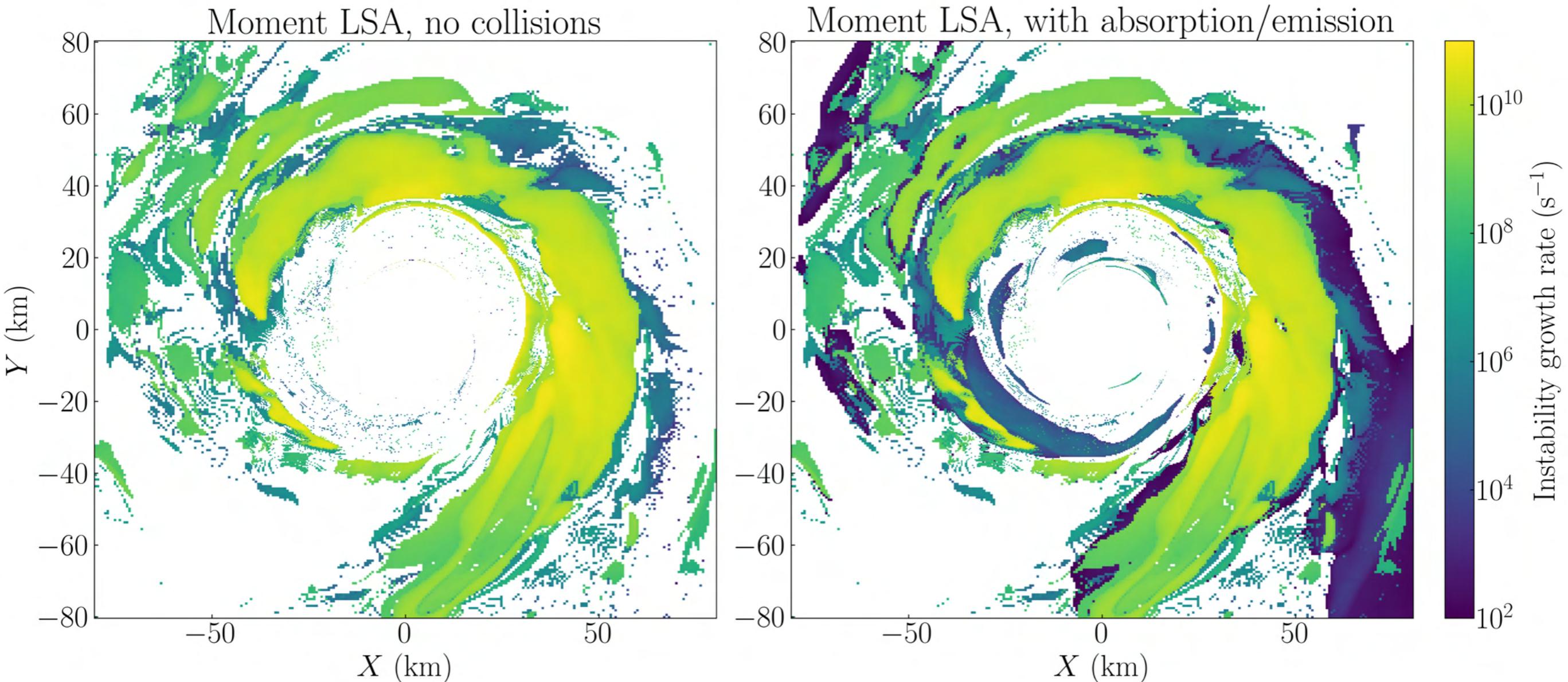
- Fastest growing mode:

$$\max_{\vec{k}} \left\{ \text{Im}[\Omega(\vec{k})] \right\} \equiv \text{Im}(\Omega)_{\max} \quad \text{Instability growth rate}$$

Results — Multi-angle

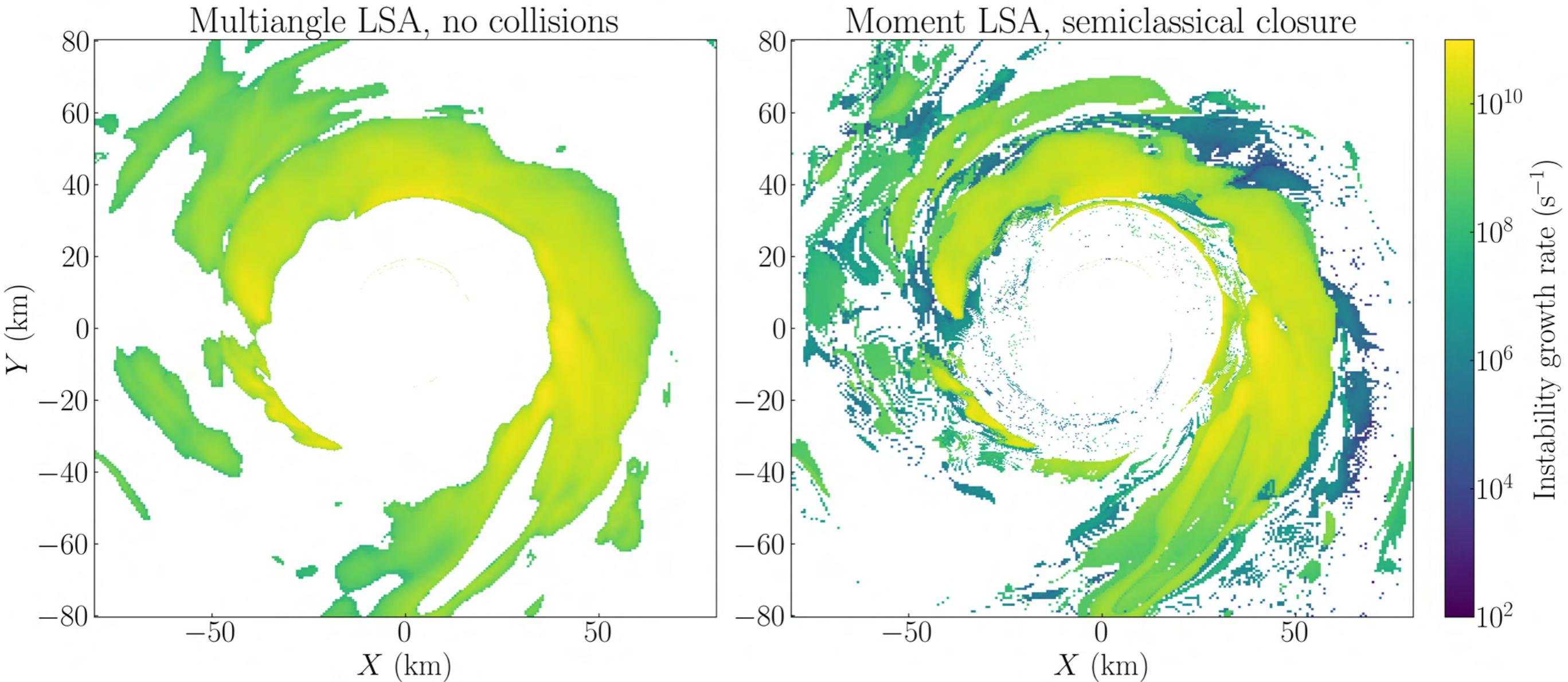


Results — Moments



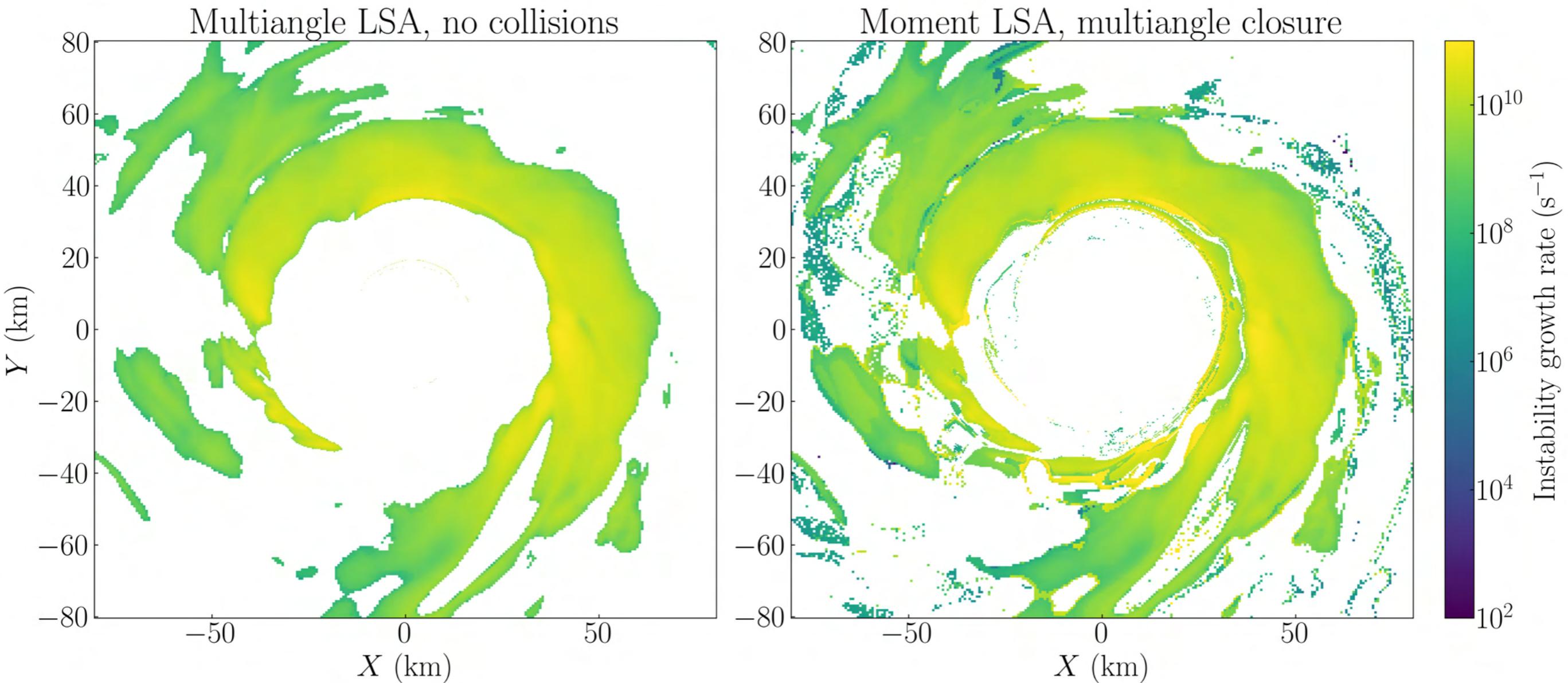
- Good identification of main instability regions, but artificial additional unstable regions (limitations of the closure);
- Additional effect of collisional instabilities well-reproduced

Role of the closure for Moment-LSA



$$P_{ex}^{zz} = \chi \left(\frac{|\vec{F}_{ee} + \vec{F}_{xx}|}{N_{ee} + N_{xx}} \right) N_{ex}$$

Role of the closure for Moment-LSA



$$P_{ex}^{zz} = \kappa_{ex}^{\text{multi-LSA}}(\vec{k}_{\max}) e^{i\phi_{ex}^{\text{multi-LSA}}(\vec{k}_{\max})} N_{ex}$$

Moments can describe flavor instabilities.

- Linear stability analysis provides a powerful, “cheap” framework to provide guidance for calculations.
- We confirm the “ubiquity” of FFI in neutron star mergers.
- Regions of collisional instabilities, but impact unclear.
- Shortcomings of the method:
 - ▶ Dependence on the choice of closure
 - ▶ Parametrization of closure and exploration of possibilities ongoing
- Additional step towards the goal of including flavor transformation in large-scale simulations.