

# Theory of fast neutrino flavor evolution

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Based on works with G. Raffelt, G. Sigl

GGI Neutrino Frontiers



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KØBENHAVNS UNIVERSITET  
UNIVERSITY OF COPENHAGEN

VILLUM FONDEN



# Collective flavor conversions

$$\nu_\mu \xrightarrow{\text{red}} \nu_e$$

Interaction eigenstates

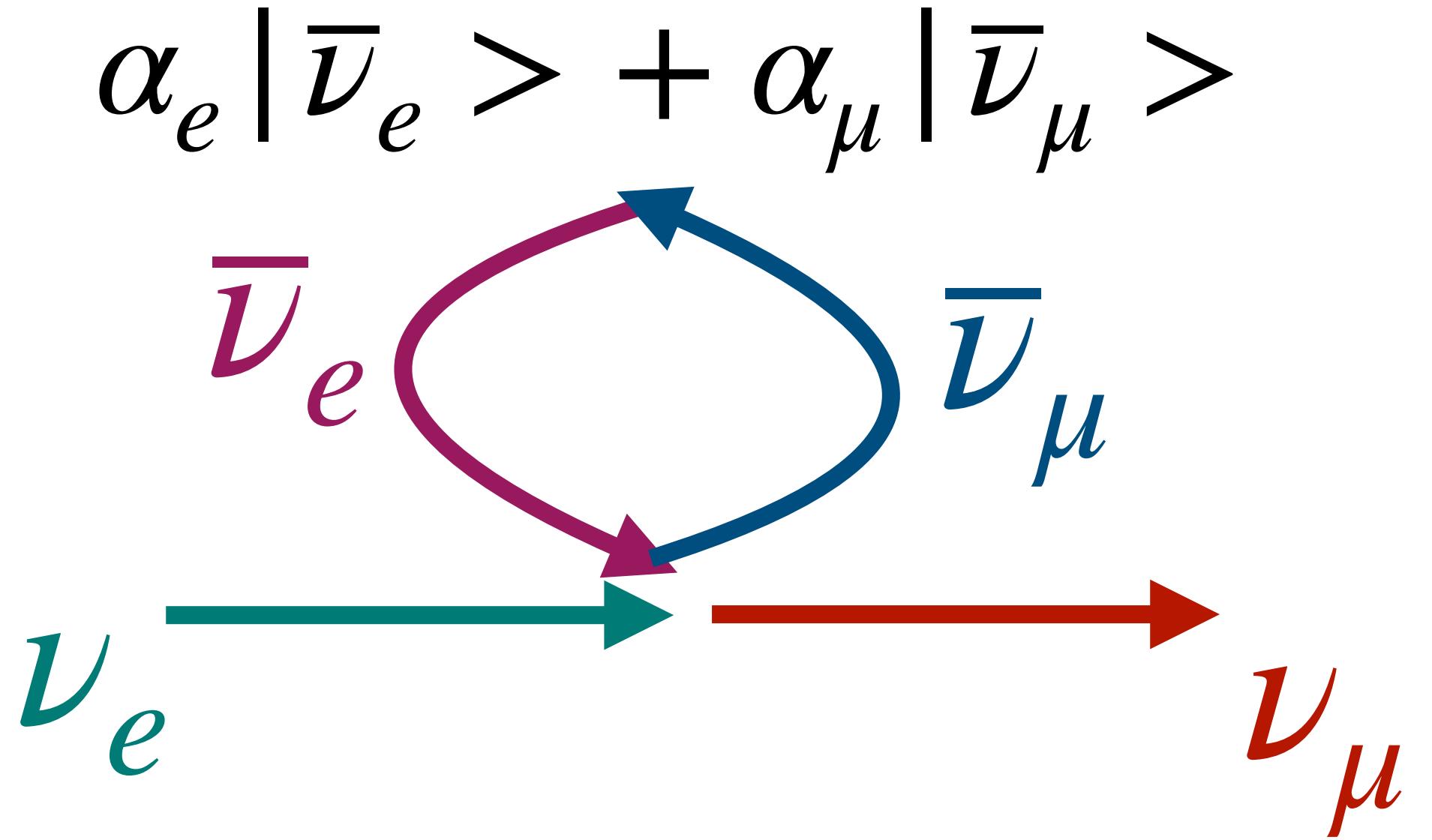
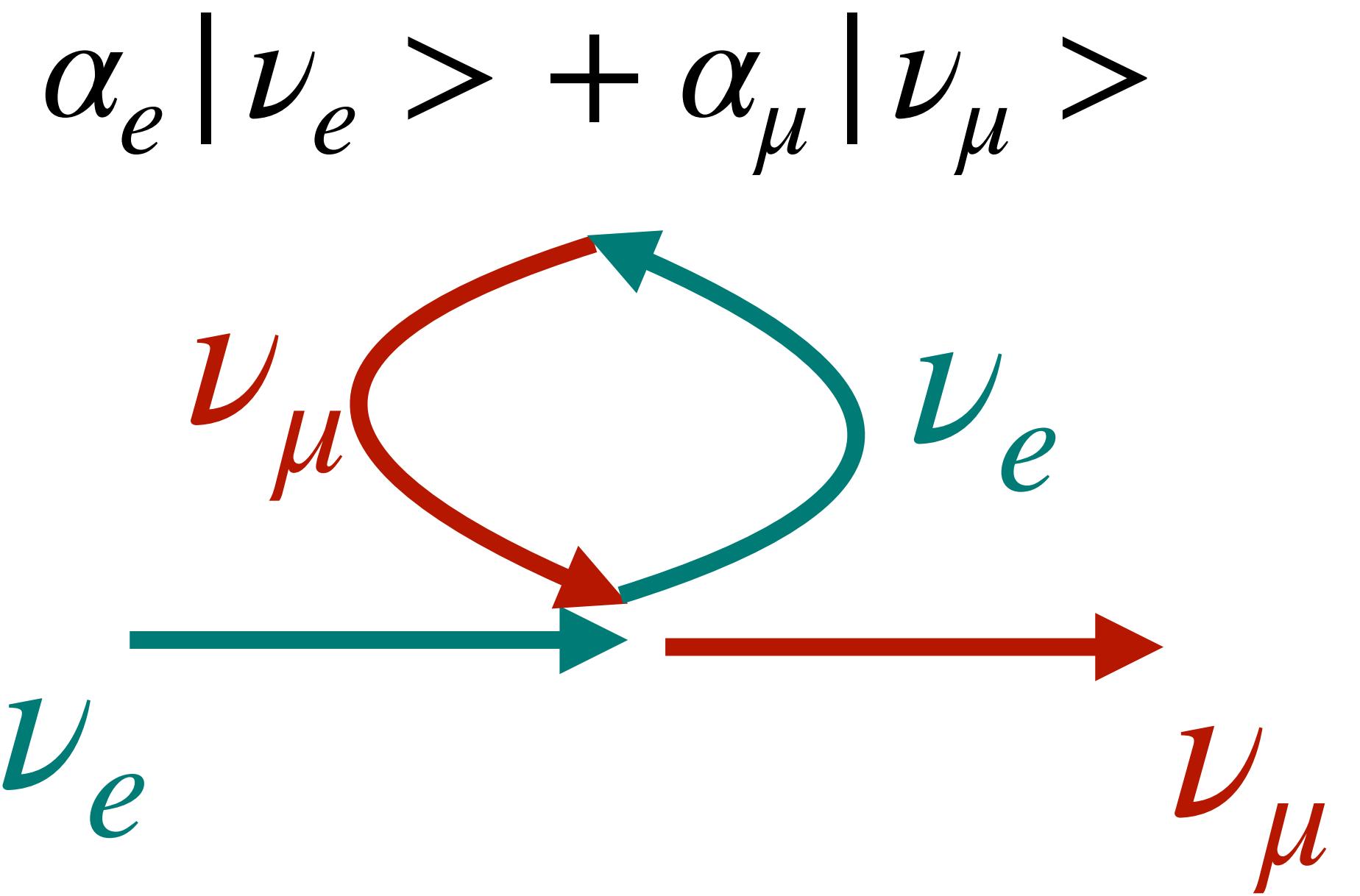
$\neq$

$$\bar{\nu}_\mu \xrightarrow{\text{blue}} \bar{\nu}_e$$

Mass eigenstates

Does not require other neutrinos

# Collective flavor conversions



**Refractive** flavor exchange among different energies and directions

**Non linear!**

Quantum superposition neutrinos infect other neutrinos!

# Does it matter?

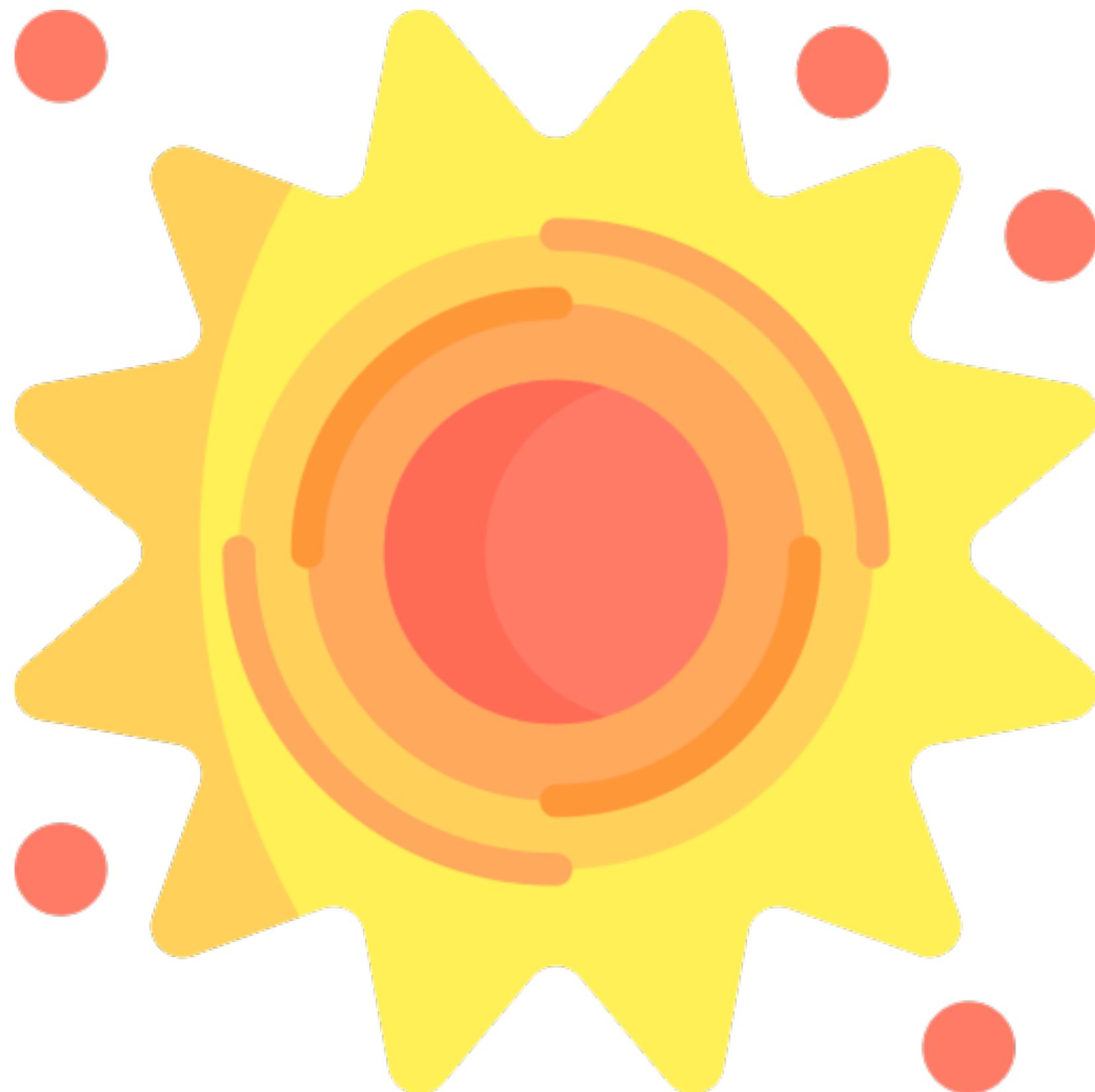


**High densities in supernovae  
(SNe) and neutron star mergers  
(NSMs)**

**Does it happen?  
Most likely yes!**

*Abbar et al., 1812.06883; Li et al., 2103.02616; Abbar et al.,  
1911.01983; Nagakura et al., 1910.04288; Abbar et al., 2012.06594;  
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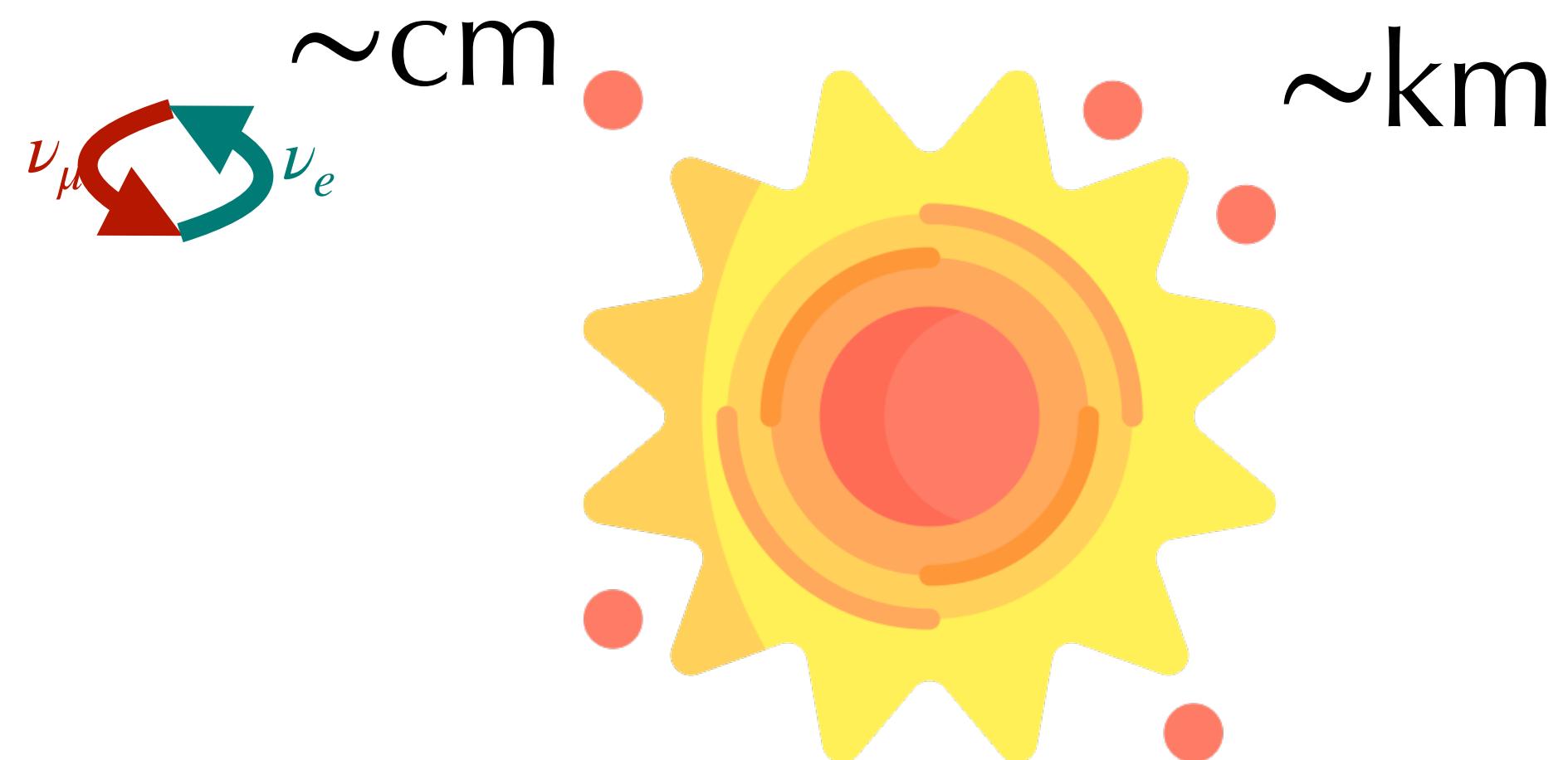
**Does it affect SN evolution?**

**Likely yes!**

*Ehring et al., 2301.11938, 2305.11207*

# Theoretical interest

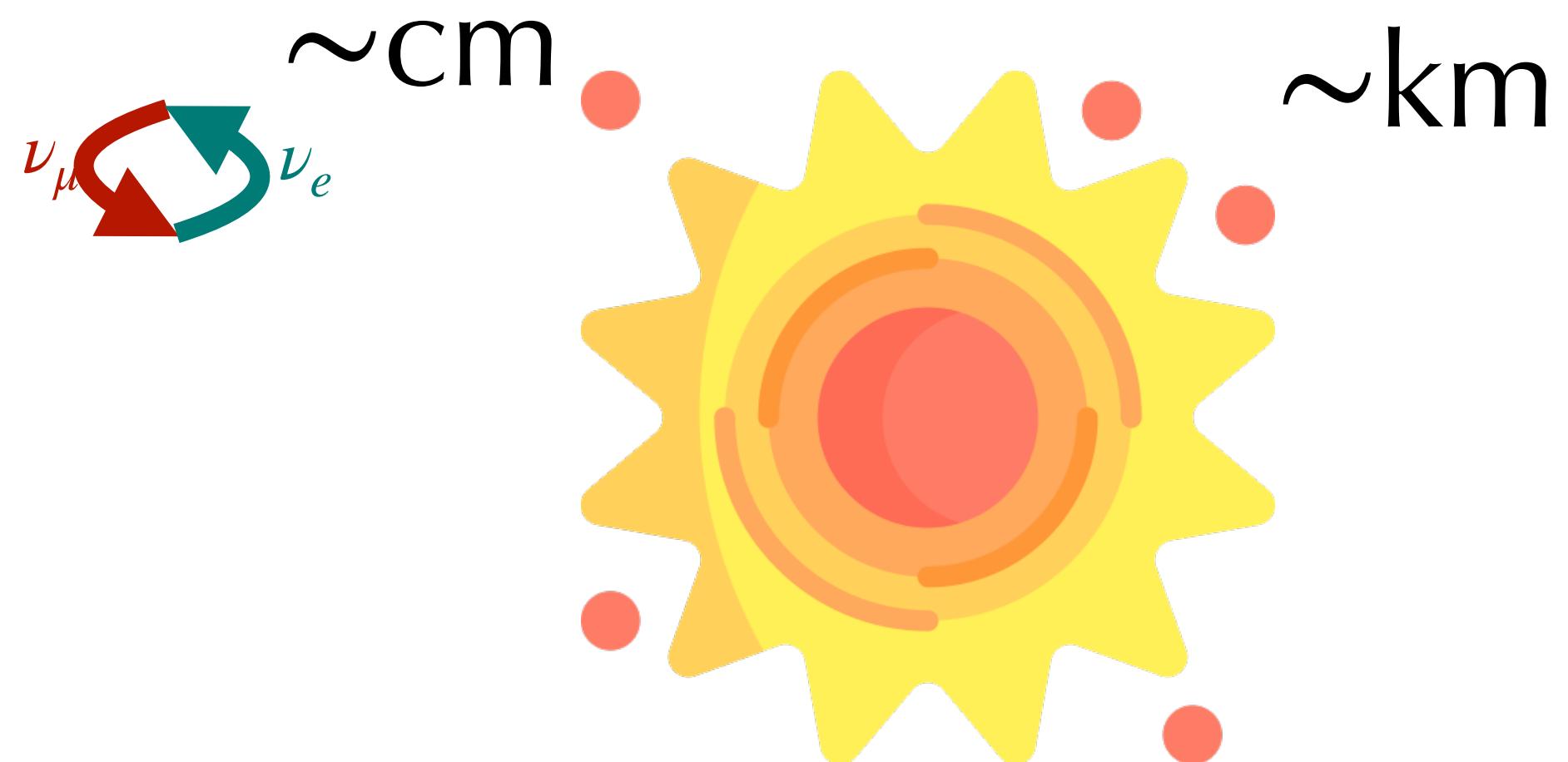
(One of the) most exotic many-body systems (driven by **weak interactions!**)



Intrinsically **multi-scale** problem (challenging!)

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Turbulence

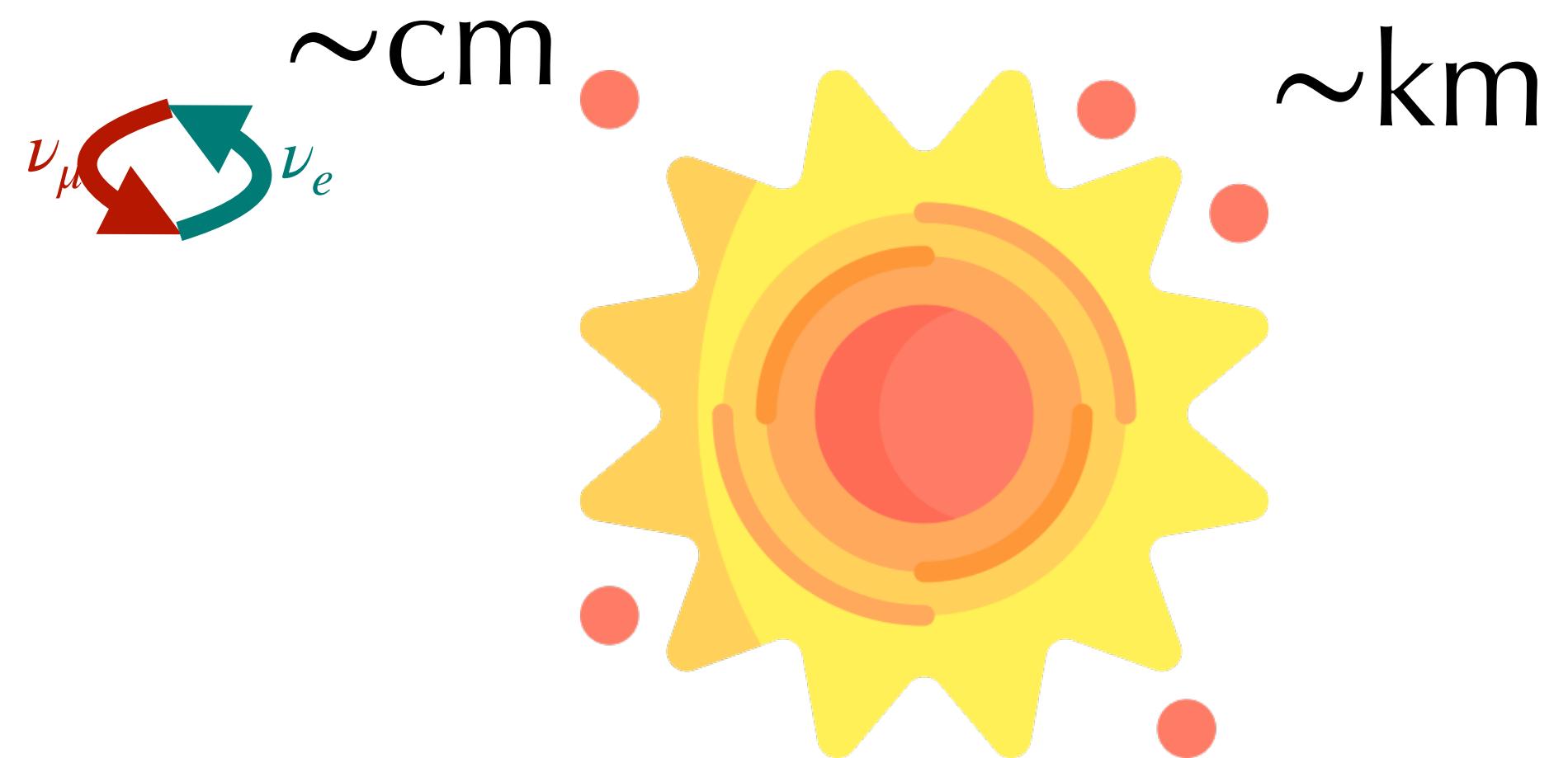
Convection

MHD turbulence

Fast flavor conversions

# Theoretical interest

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Turbulence

Kolmogorov-Obukhov

Convection

Mixing length

MHD turbulence

Kraichnan, Goldreich-Sridhar, ...

Fast flavor conversions

???

# Outline

- ◆ Quantum kinetic equations
- ◆ **Stable** systems: the meaning of ELN crossings
  - ◆ Flavor waves
  - ◆ Landau damping — the plasma analogy
- ◆ **Unstable** systems
  - ◆ Growth of flavor waves
  - ◆ Quasi-linear saturation of instability

# Quantum kinetic equations

$$\rho = \begin{pmatrix} \rho_{ee} & \rho_{e\mu} \\ \rho_{\mu e} & \rho_{\mu\mu} \end{pmatrix}$$
$$|\alpha_e|^2 \quad |\alpha_\mu|^2$$
$$\alpha_e |\nu_e\rangle + \alpha_\mu |\nu_\mu\rangle$$
$$|\alpha_e|^2 \quad |\alpha_\mu|^2$$
$$\alpha_e^* \alpha_e$$

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Dolgov, Sov. J. Nucl. Phys., 1981

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$$\partial_t \rho$$

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$$\partial_t \rho + v \partial_r \rho$$

Advection

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$|\vec{P}_\nu|$  conserved!

$$\mathcal{H} \propto \sqrt{2G_F} \sum_{\rho'} \rho'$$

# Quantum kinetic equations

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Instability driven by **advection** and **interaction** (similar to **plasma waves**)

$$\partial_t \rho + v \partial_r \rho = -i[\mathcal{H}, \rho]$$

Advection

Interaction

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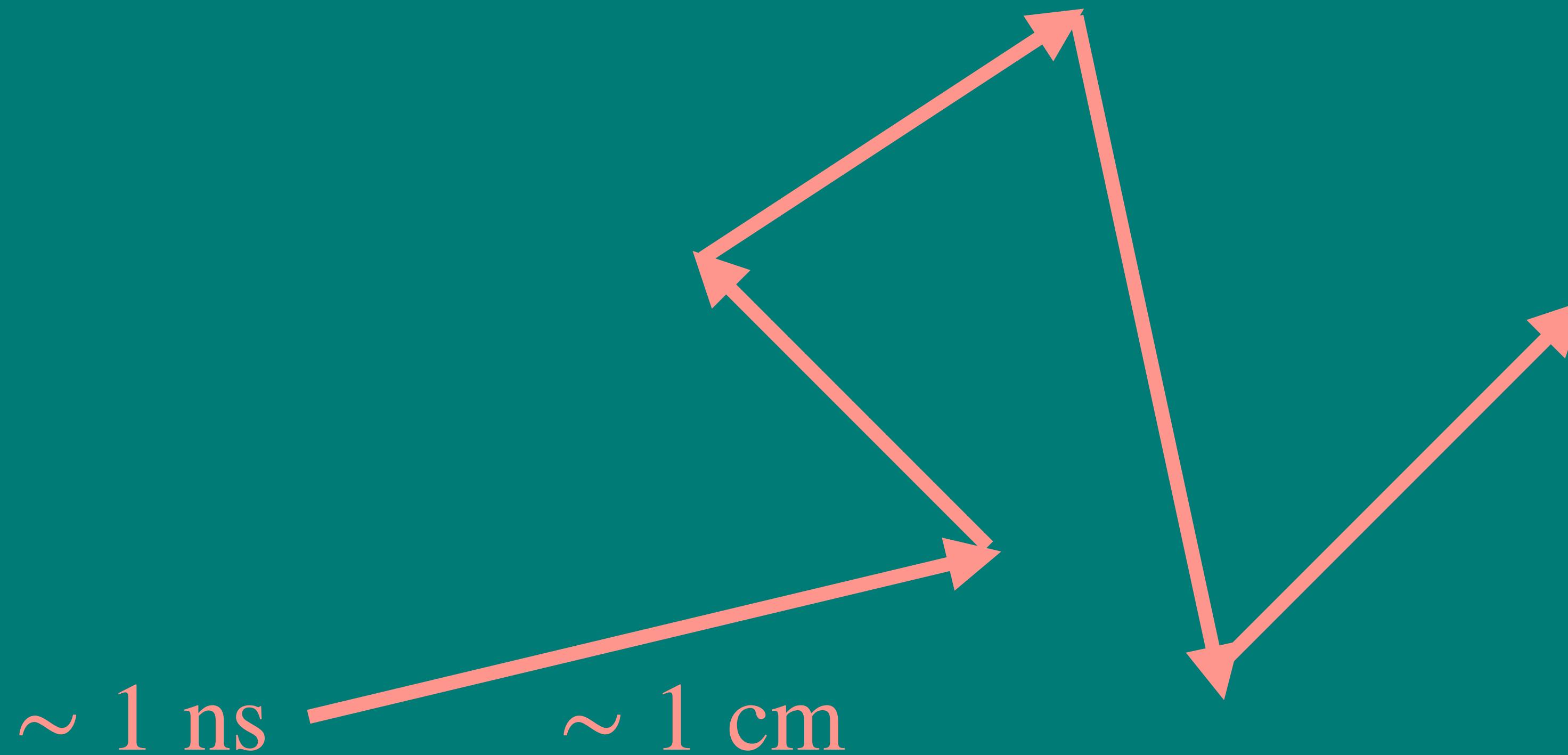
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$$\sim 1 \text{ ns} \longrightarrow \mathcal{H} \propto \sqrt{2G_F} \sum' \rho'$$

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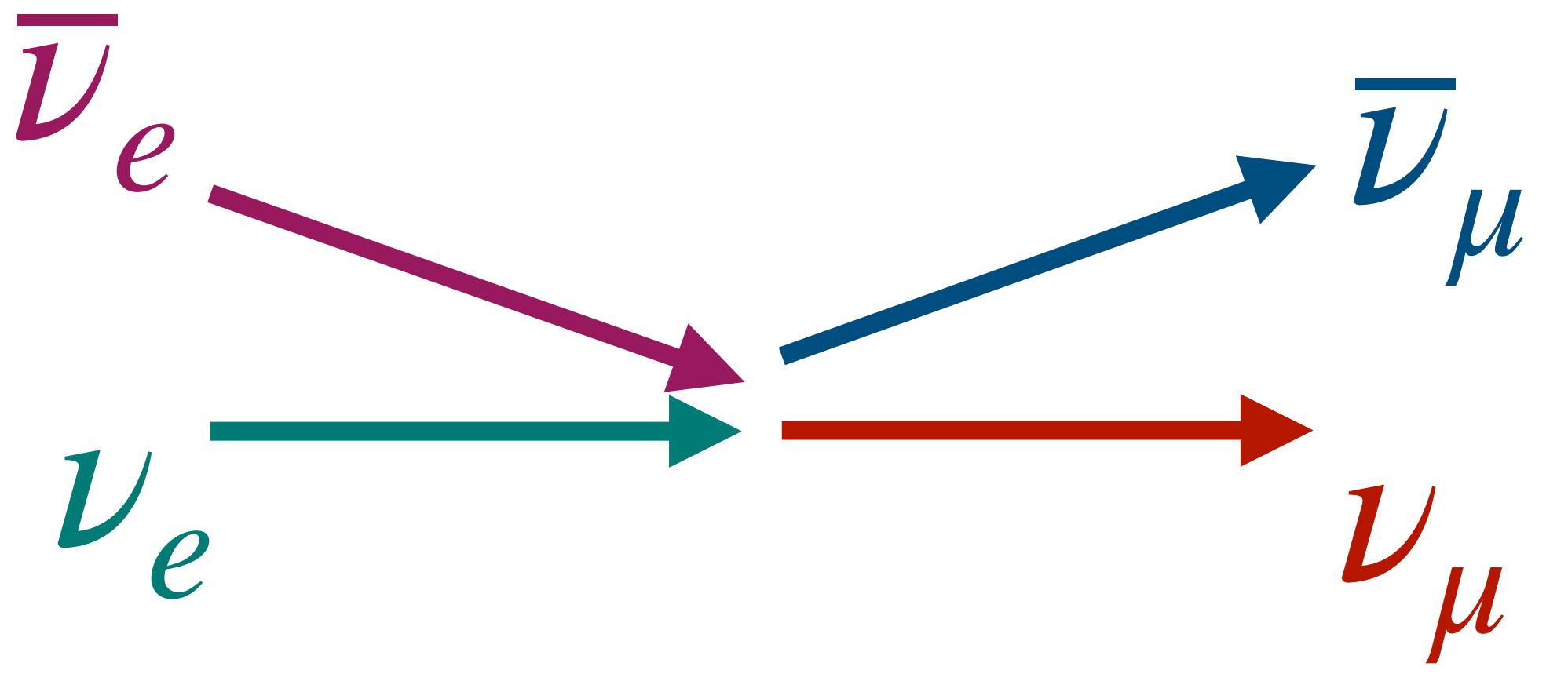
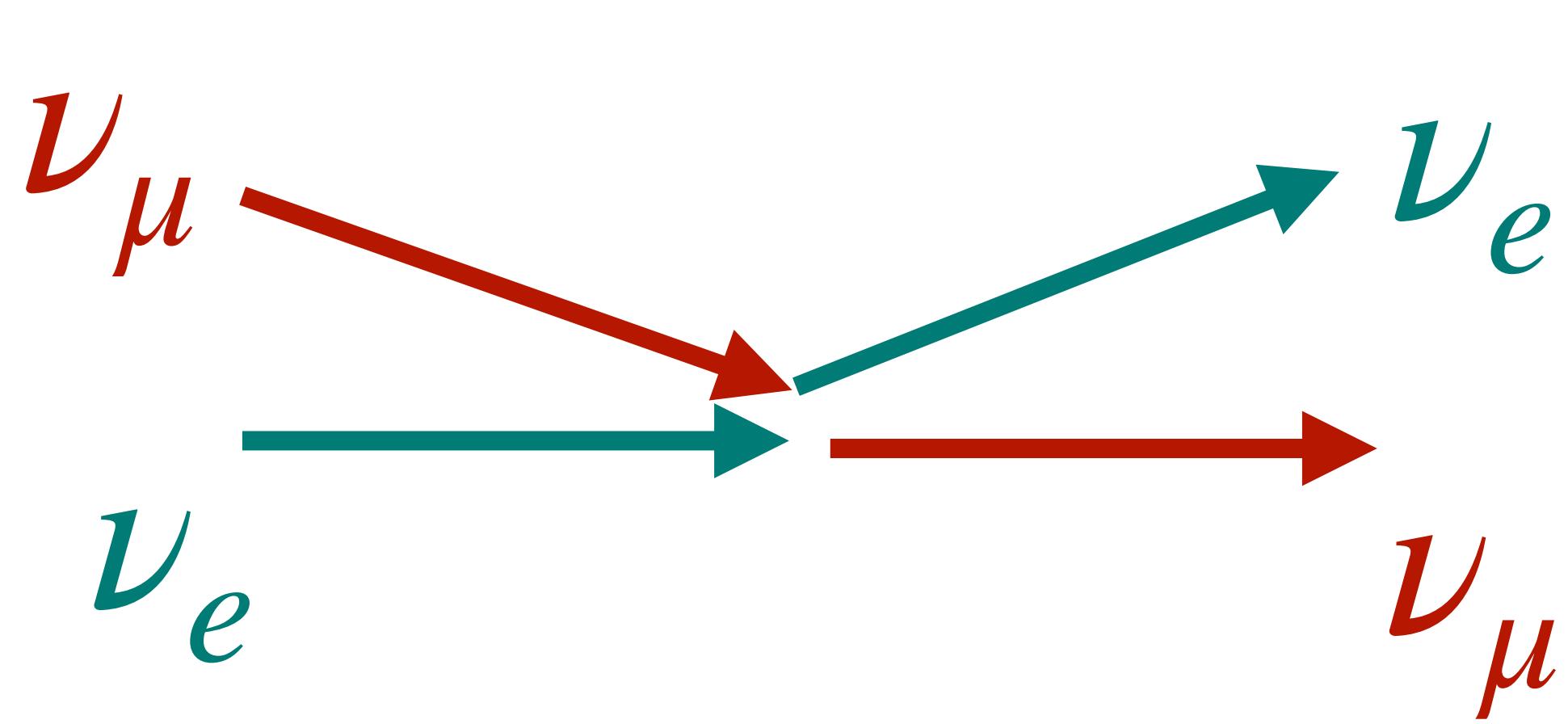


Spontaneous  
breaking of  
homogeneity!

# Theory of fast neutrino flavor evolution

*Based on DF, Raffelt, 2406.06708*

# Conservation laws



$$(n_{\nu_e} - n_{\bar{\nu}_e}) - (n_{\nu_\mu} - n_{\bar{\nu}_\mu})$$

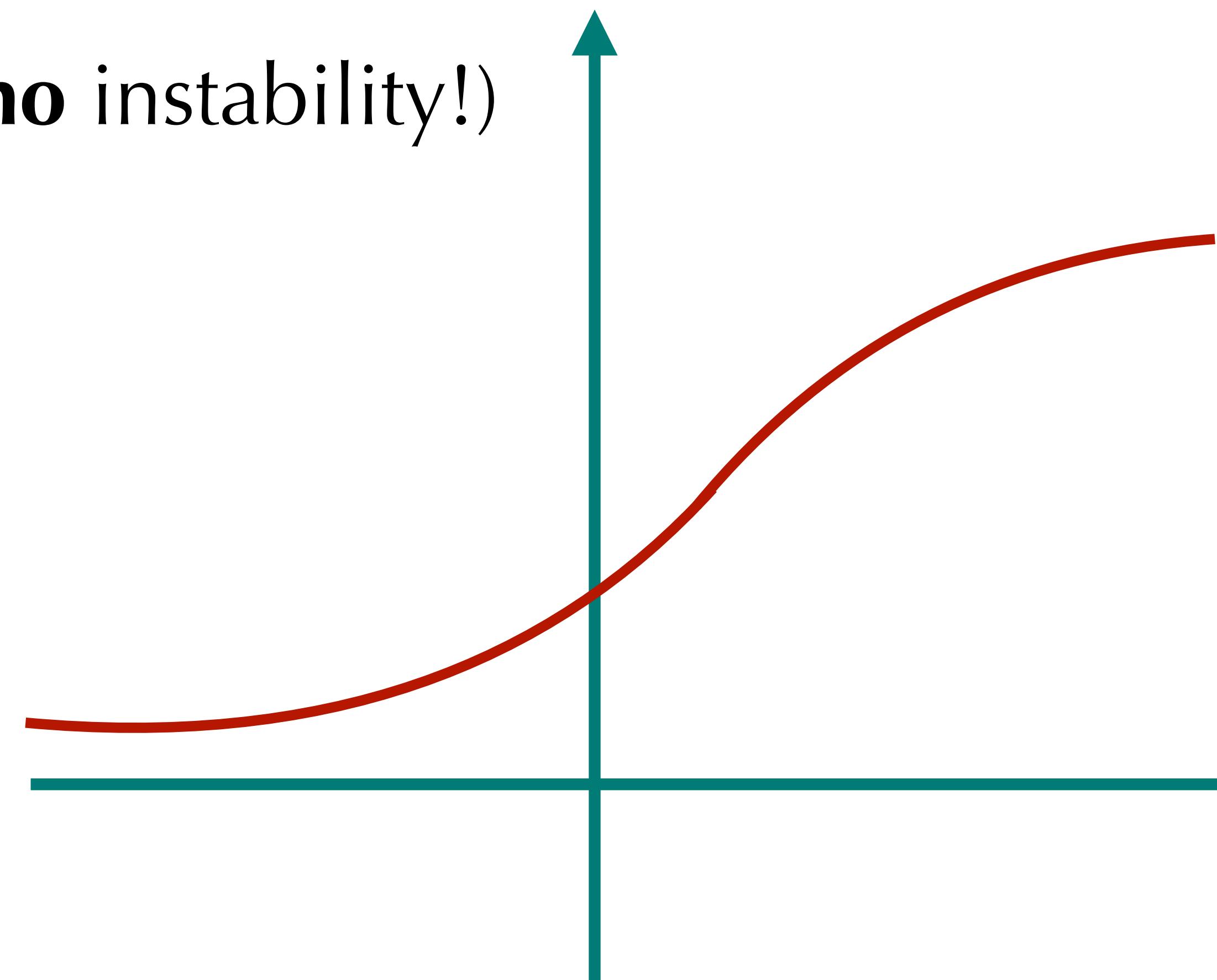
conserved!

E-XLN conservation

# Conservation laws

$$d(E - XLN)/d\cos \theta$$

Nothing can move (**no** instability!)



$\cos \theta$

*Johns, 2402.08896*

*DF, Raffelt, 2406.06708*

# Conservation laws

$$d(E - XLN)/d\cos \theta$$

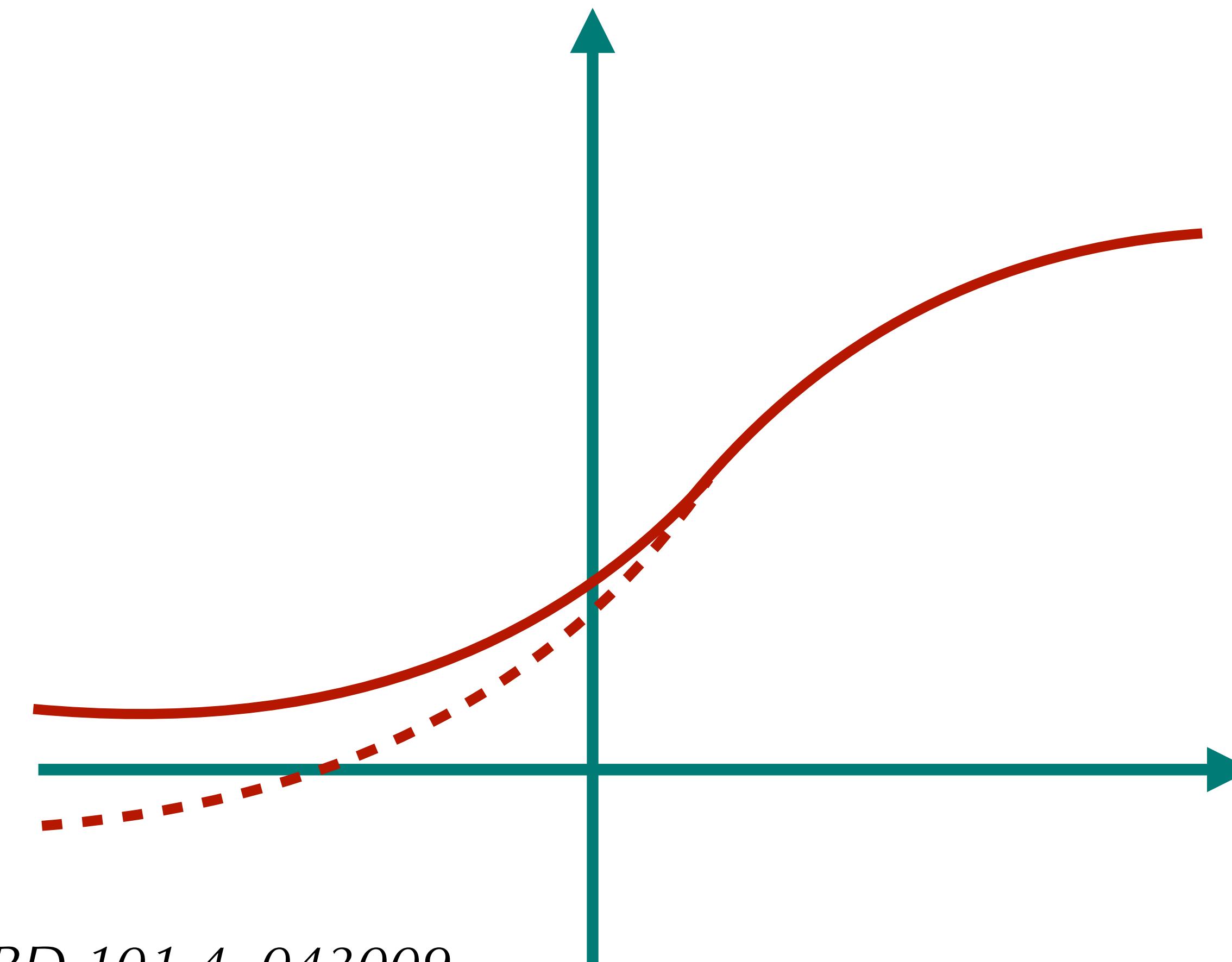
Things can move

Instability? Only if  
no more  
conservation laws!

Simple counterexample:  
homogeneous system  
(infinite conservation  
laws)

*Johns et al., PRD 101 4, 043009*

**DF**, Raffelt, PRD 107 4, 043024;  
PRD 107 12, 123024



$\cos \theta$

*Johns, 2402.08896*

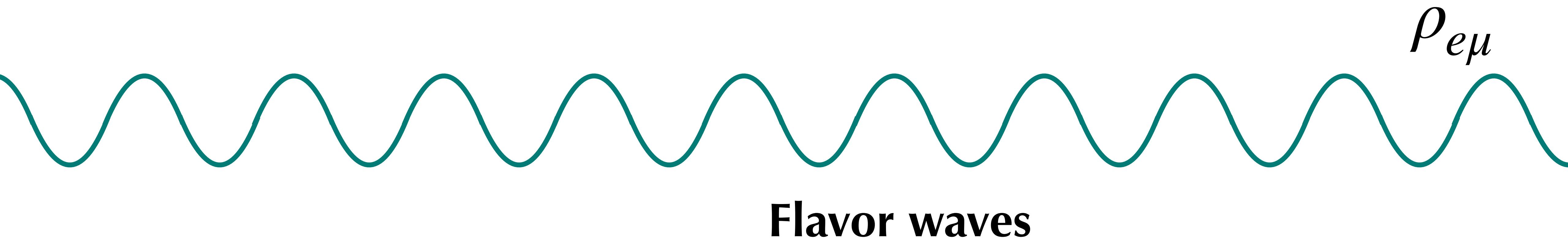
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# Stable systems

$$\rho = \begin{pmatrix} \rho_{ee} & \rho_{e\mu} \\ \rho_{\mu e} & \rho_{\mu\mu} \end{pmatrix}$$

$$\rho_{e\mu} \ll \rho_{ee}, \rho_{\mu\mu}$$

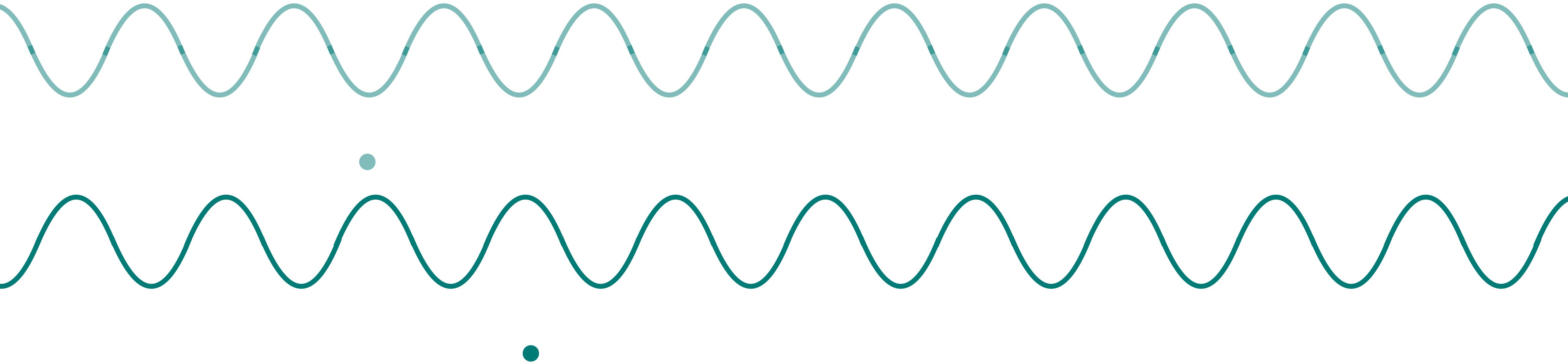
Neutrinos are mostly in flavor eigenstates!



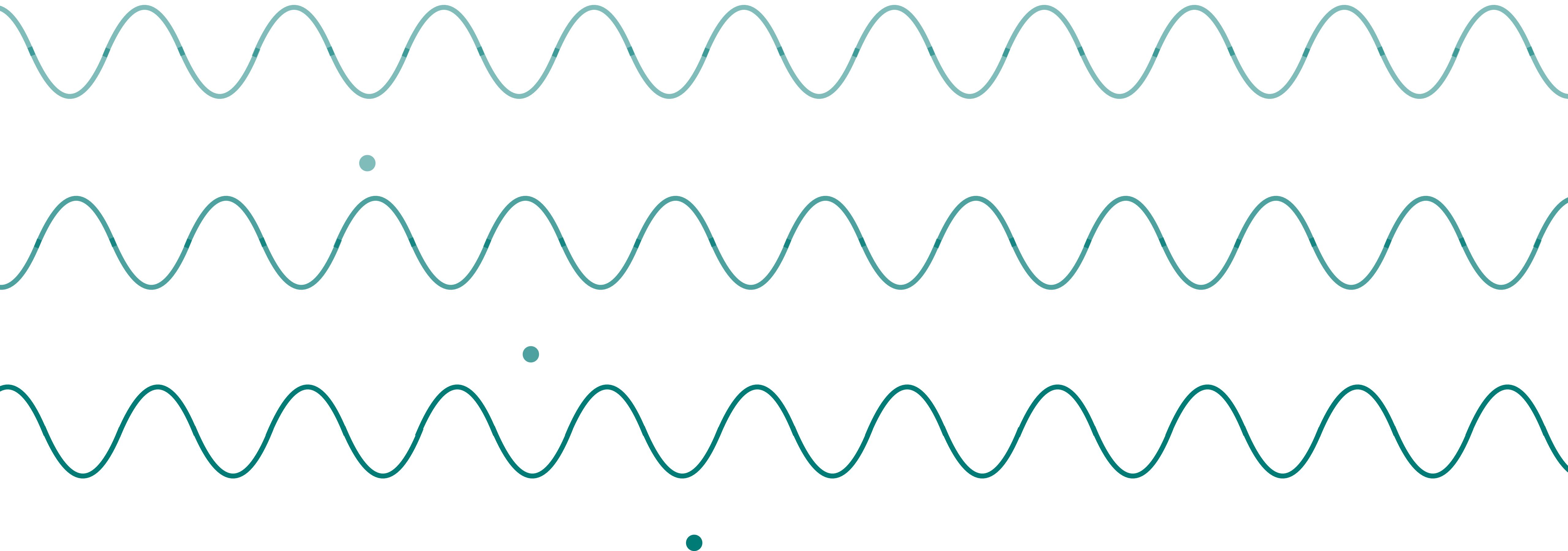
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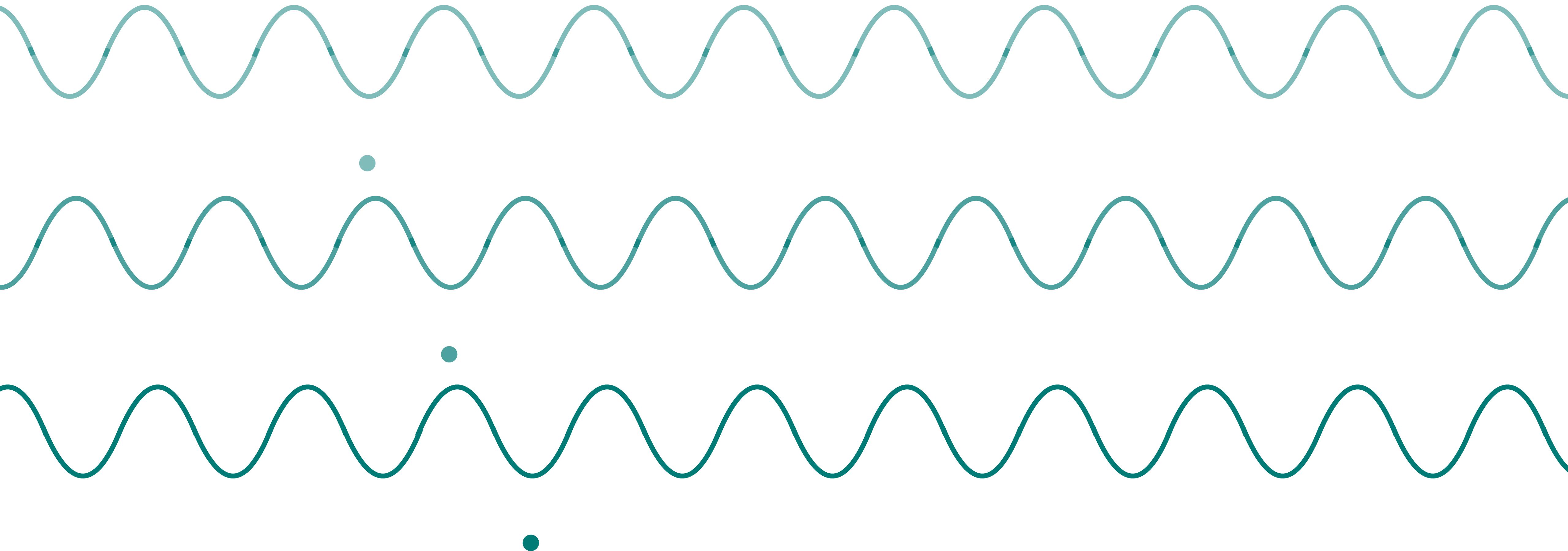


# Stable systems



If  $u \neq c \cos \theta$  no net effect!

# Stable systems

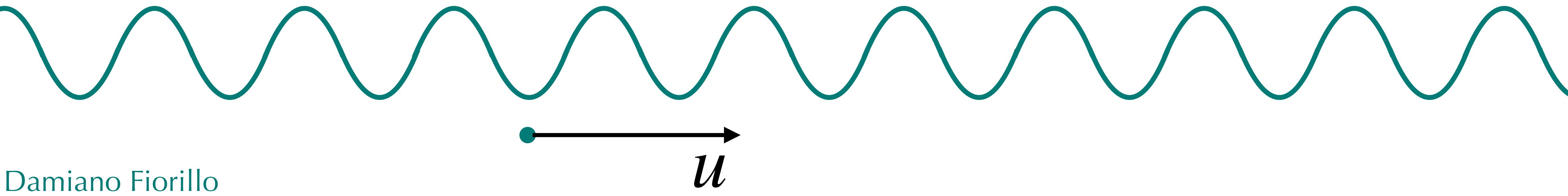


If  $u = c \cos \theta$  resonance!

# Stable systems

Flavor waves can only be damped —————→ **Landau damping!**

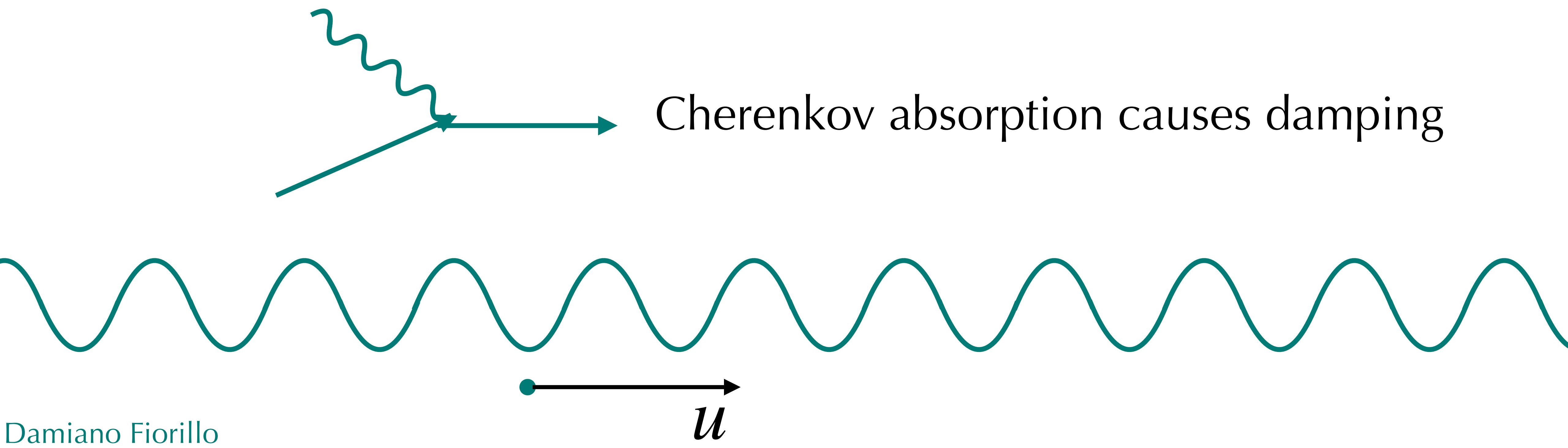
Resonant neutrinos move in phase with the wave



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**Kinetic energy**



**On-diagonal energy**

(Weak interaction energy for flavor-diagonal neutrinos)



**Off-diagonal energy**

(Weak interaction energy for superposition neutrinos)



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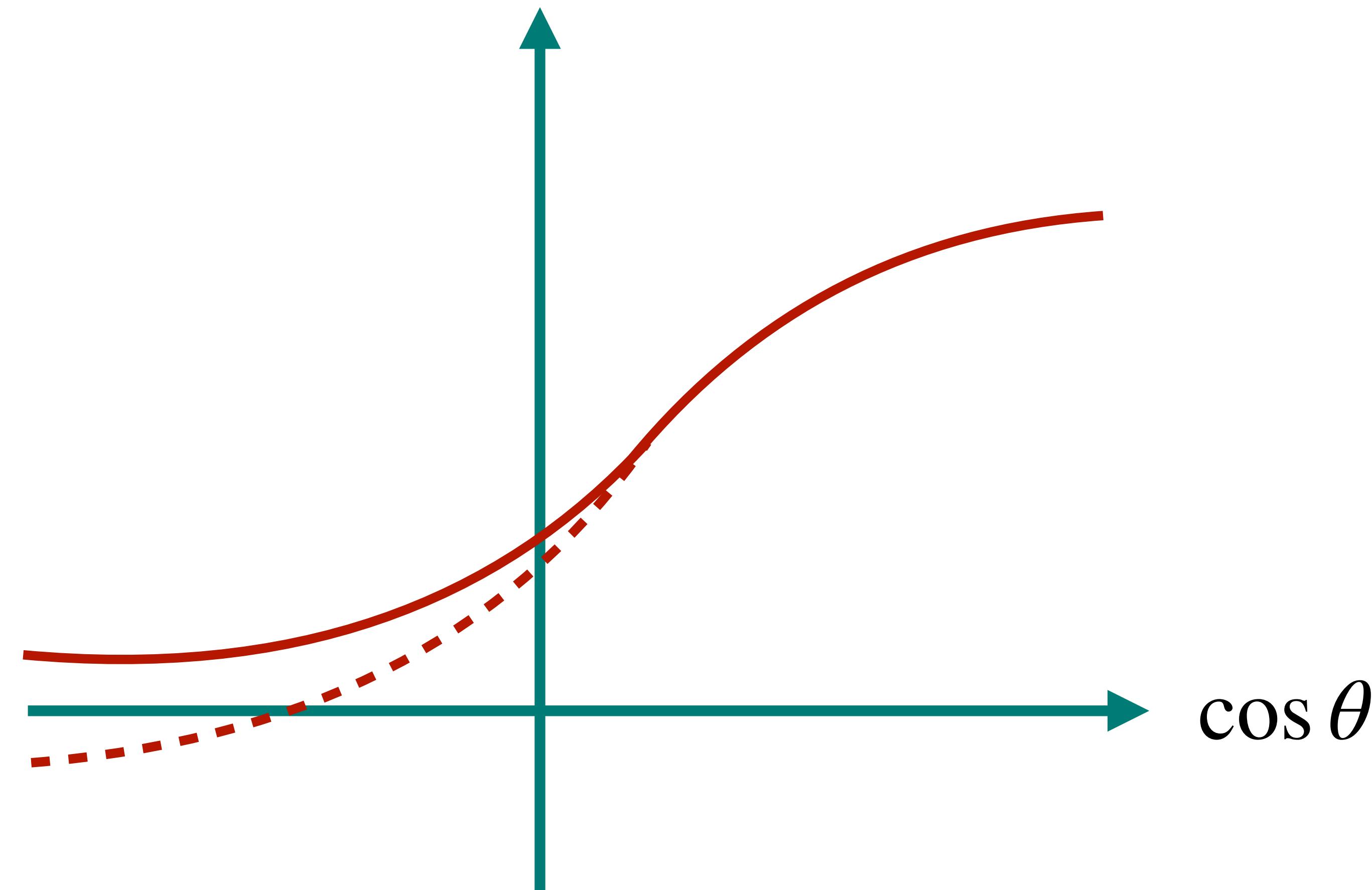


**Off-diagonal energy**

(Weak interaction energy for superposition neutrinos)

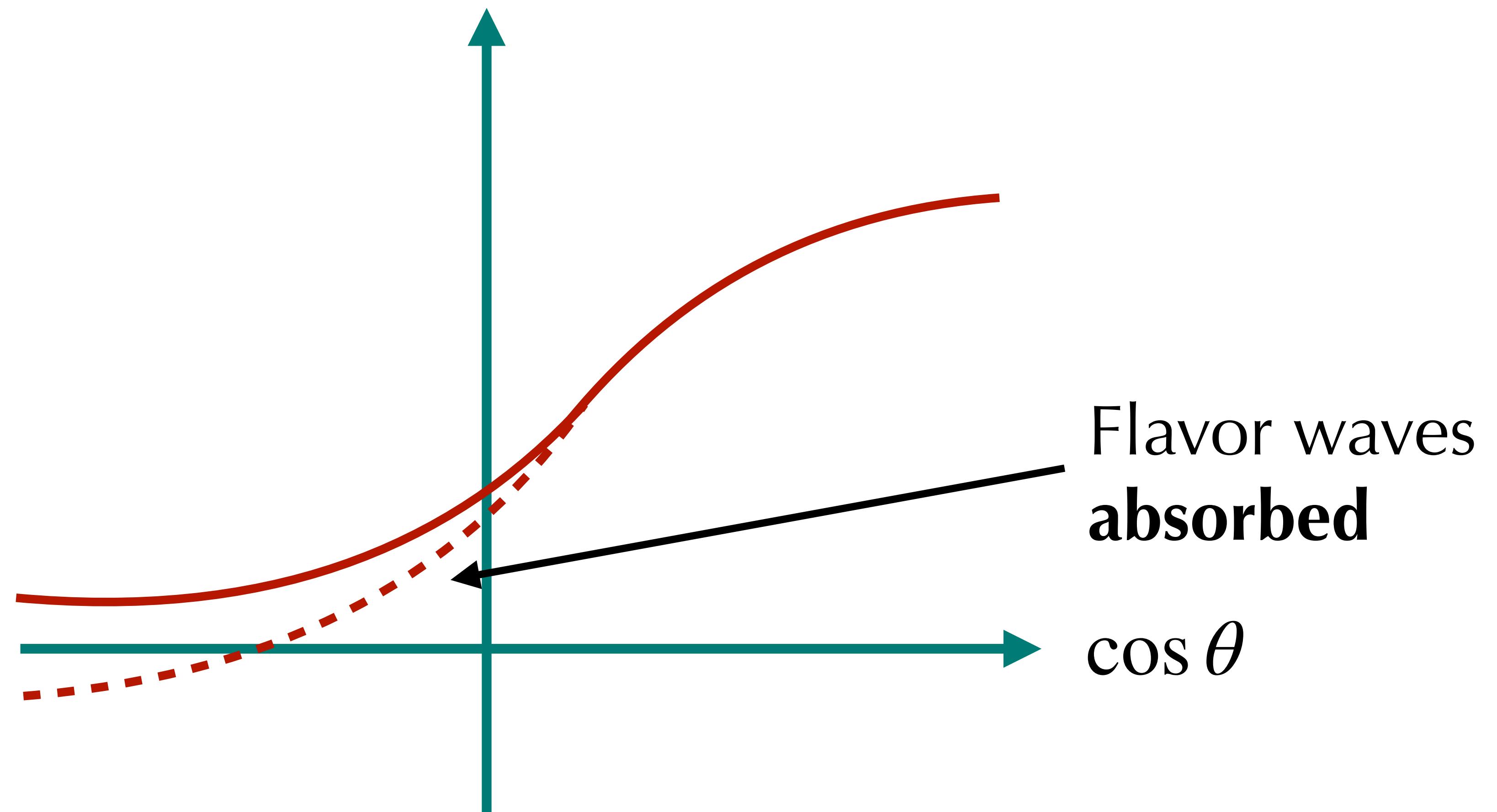
# E-XLN crossing

$$d(E - XLN)/d\cos \theta$$



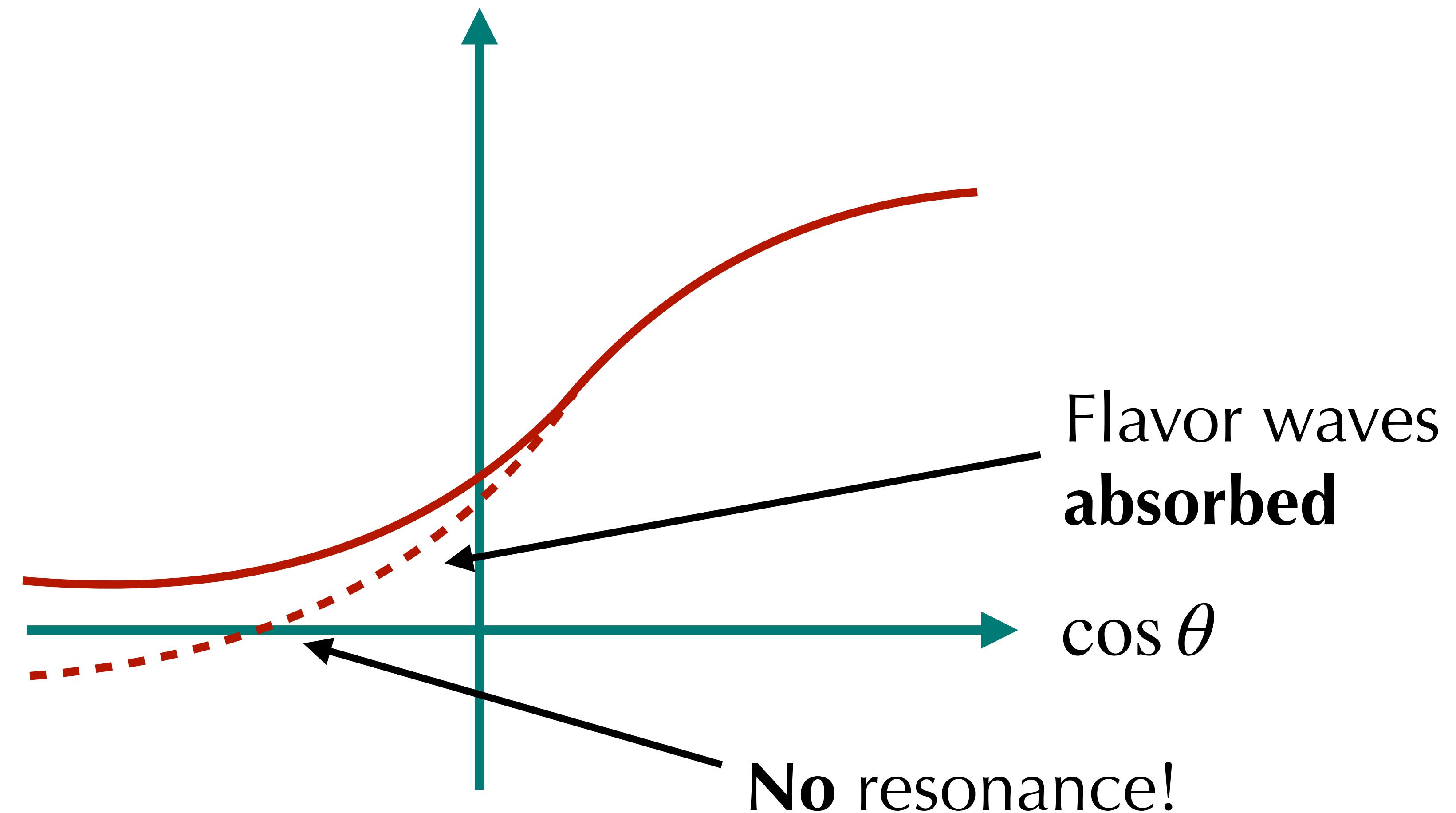
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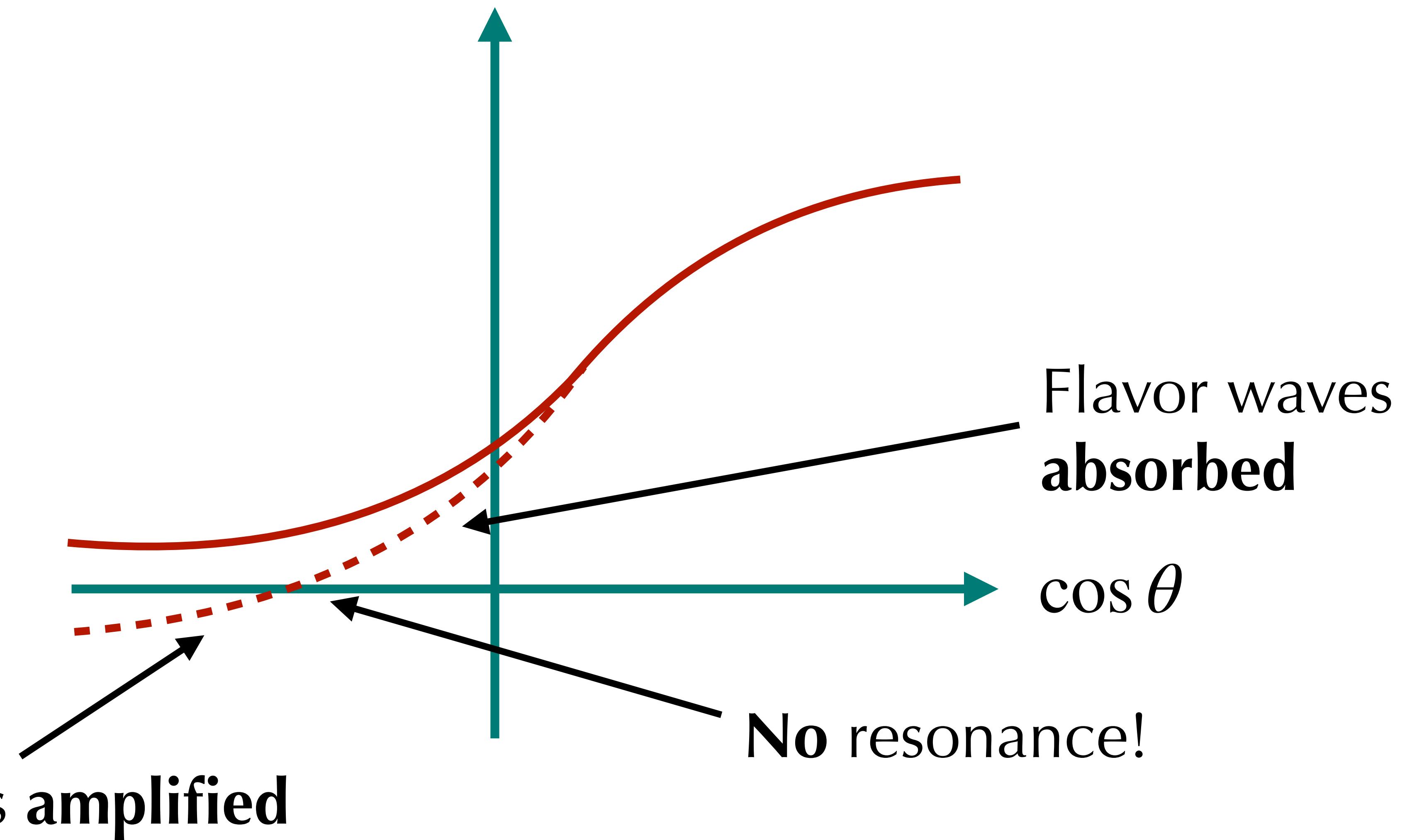
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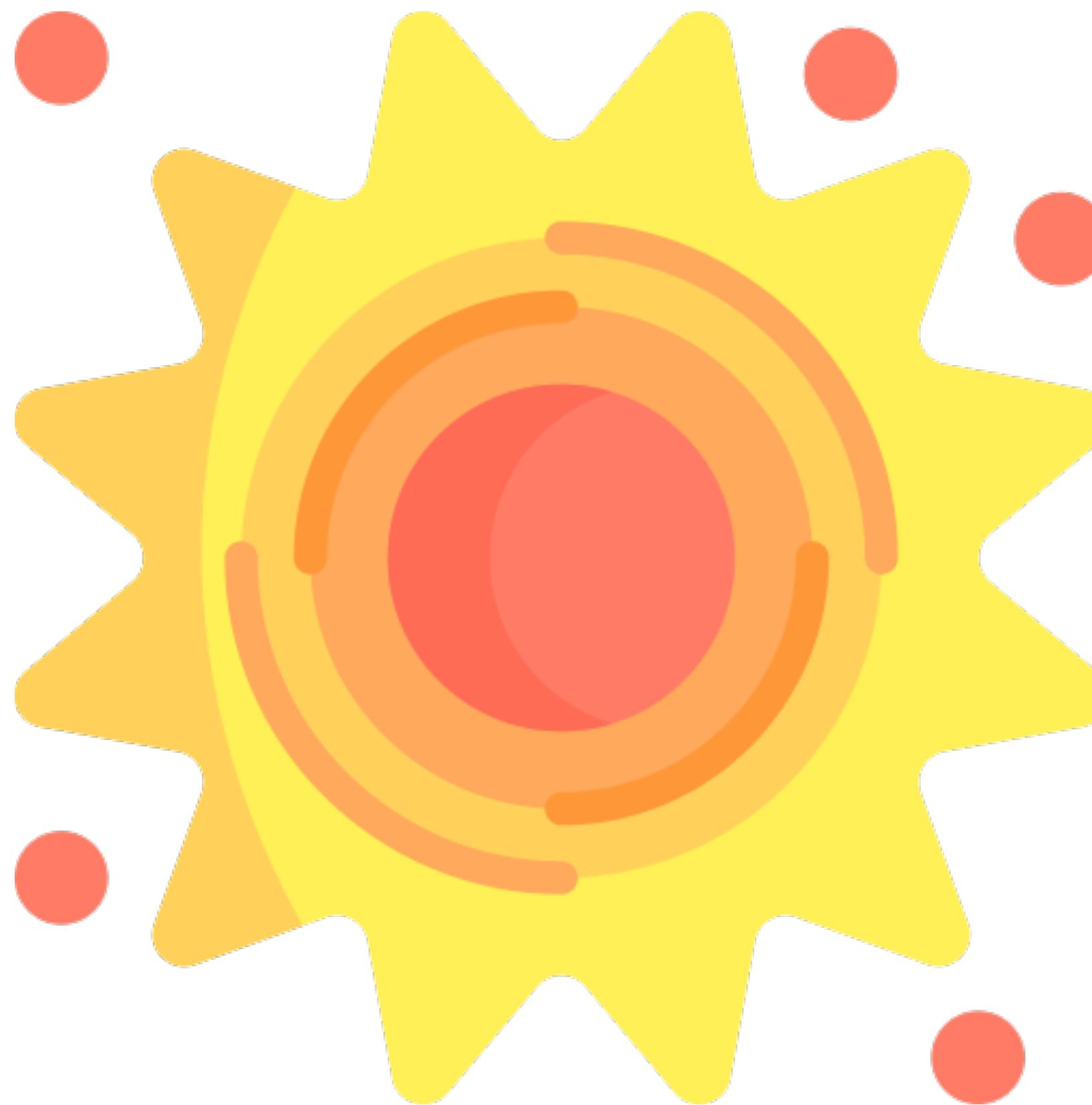


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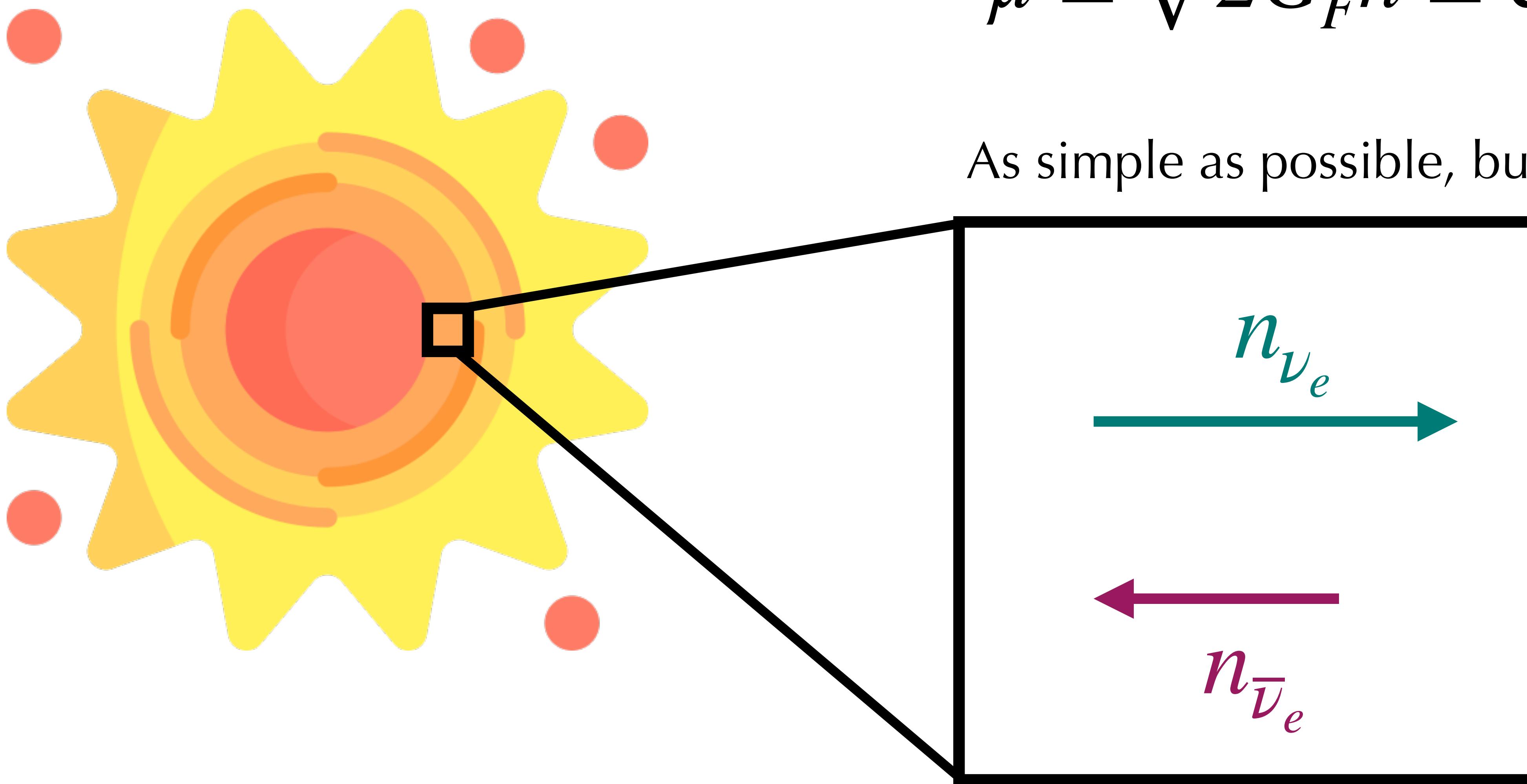


# Relaxation of instability



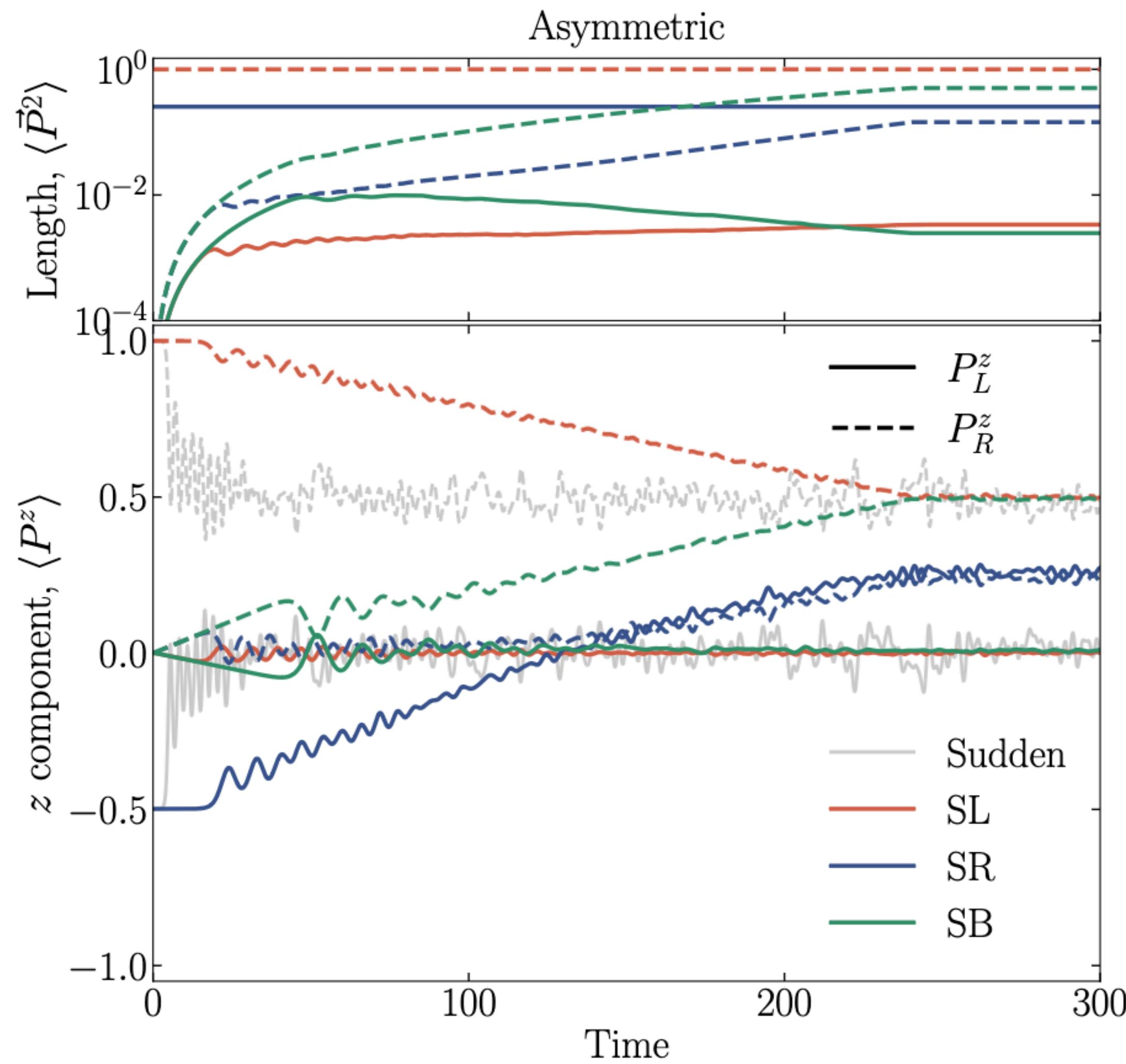
# Relaxation of instability

$$\mu \simeq \sqrt{2} G_F n \simeq \text{cm}^{-1}$$

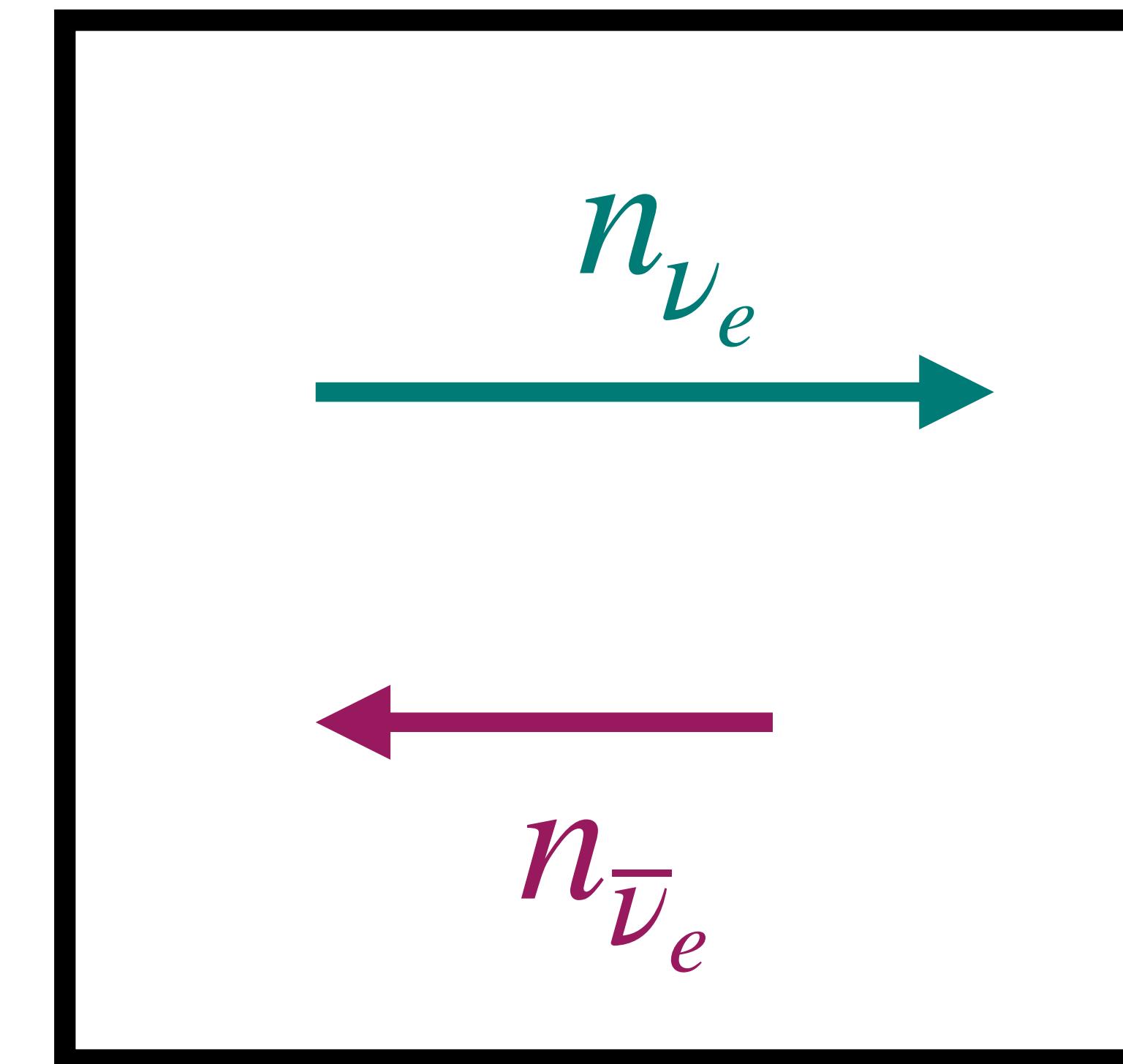


As simple as possible, but no simpler!

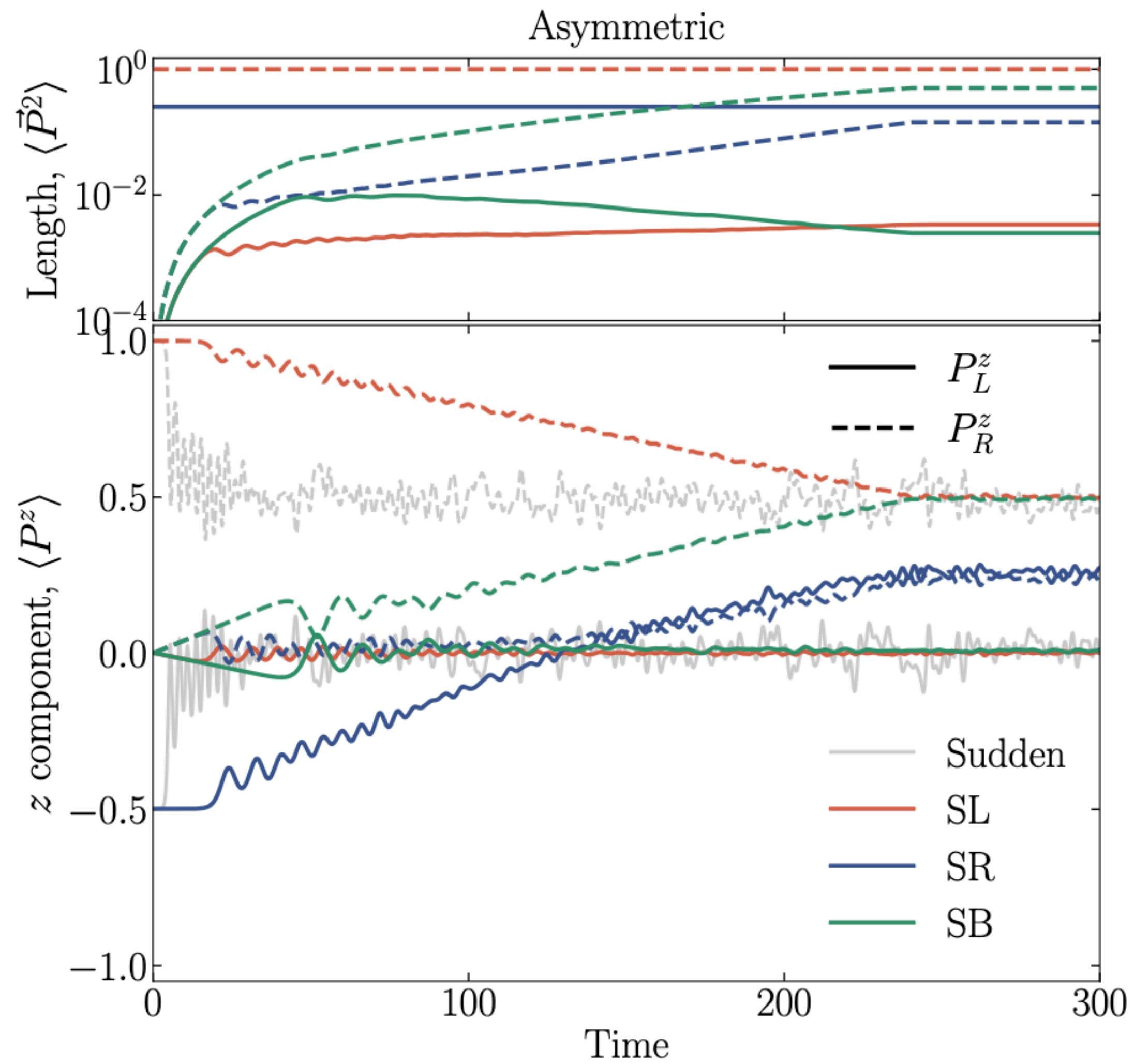
# Relaxation of instability



Space-time fluctuating, but average leads to **removal of angular crossing**



# Relaxation of instability



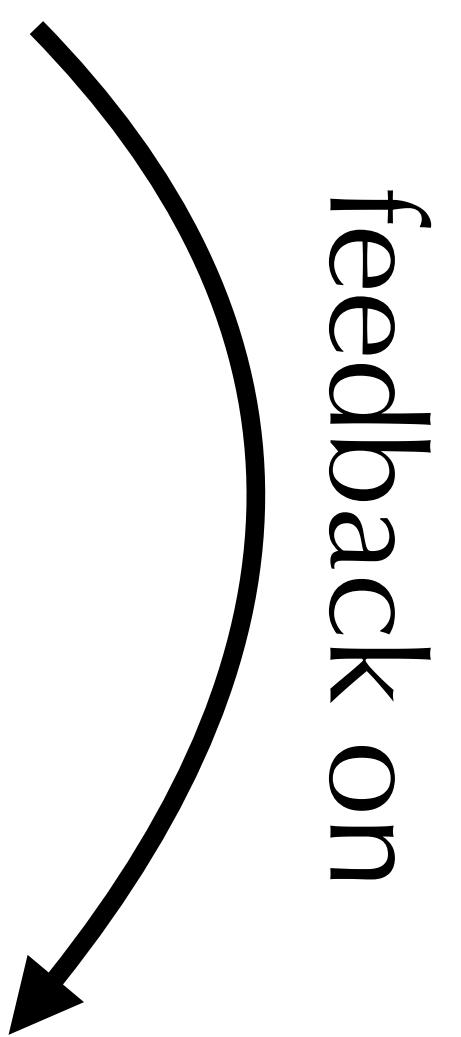
Space-time fluctuating, but average leads to **removal of angular crossing**

Small-scale  
fluctuations are linear

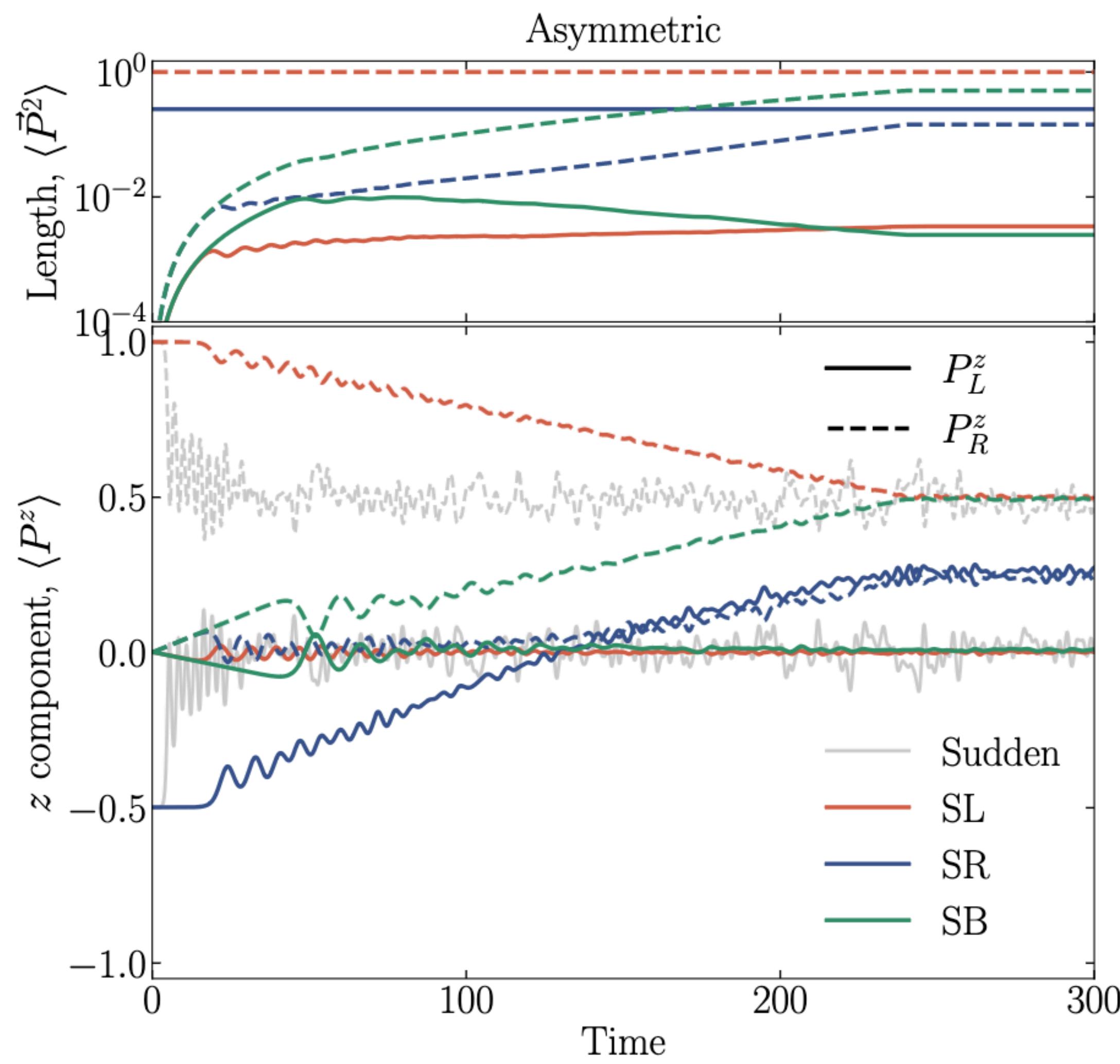
**Quasi-linear  
saturation**  
(Vedenov et al.,  
Drummond et  
al., 1962)

amplifies

Background solution  
changes slowly



# Relaxation of instability



System sticks to the closest stable state  
(which may depend on history!)

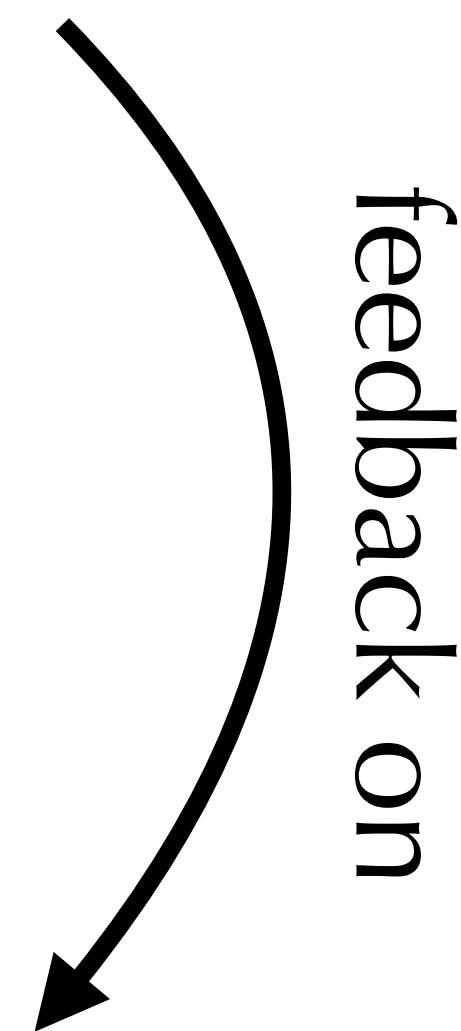
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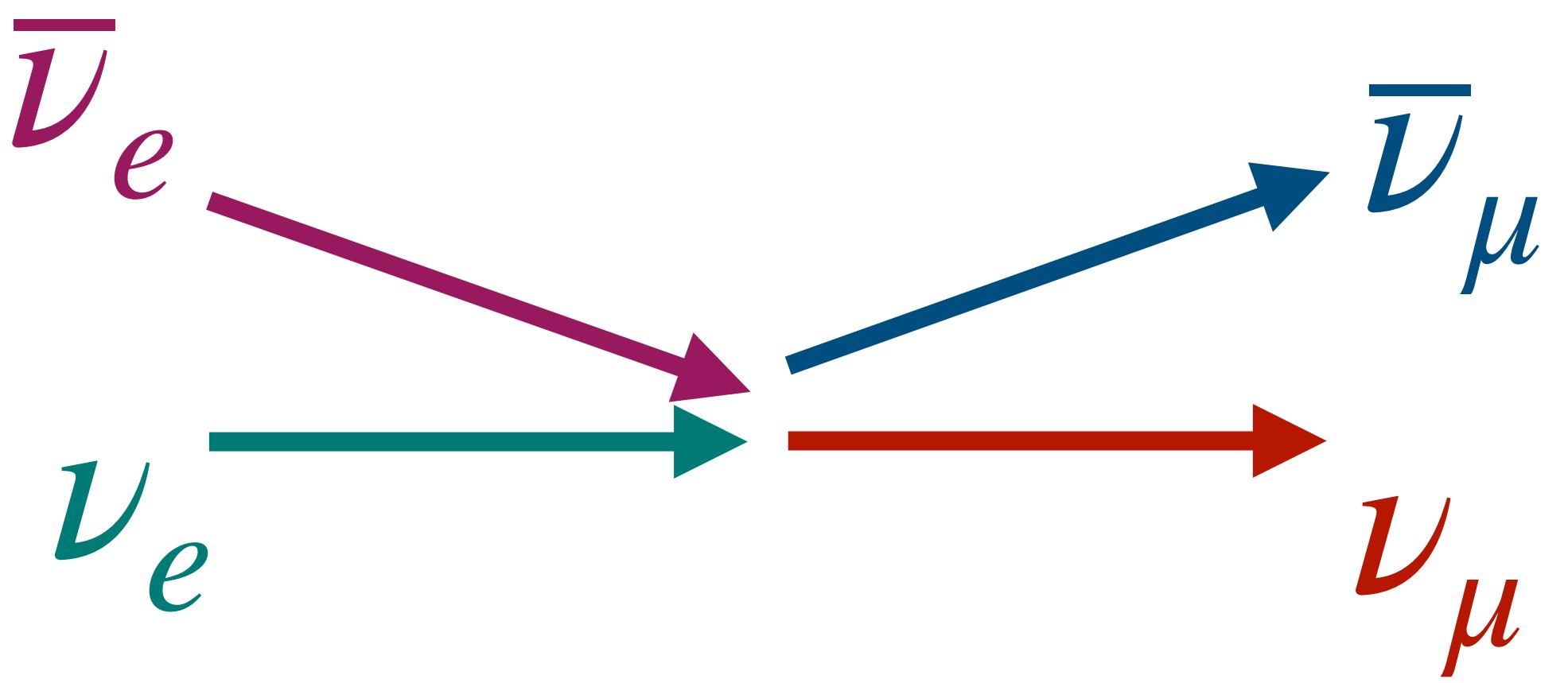
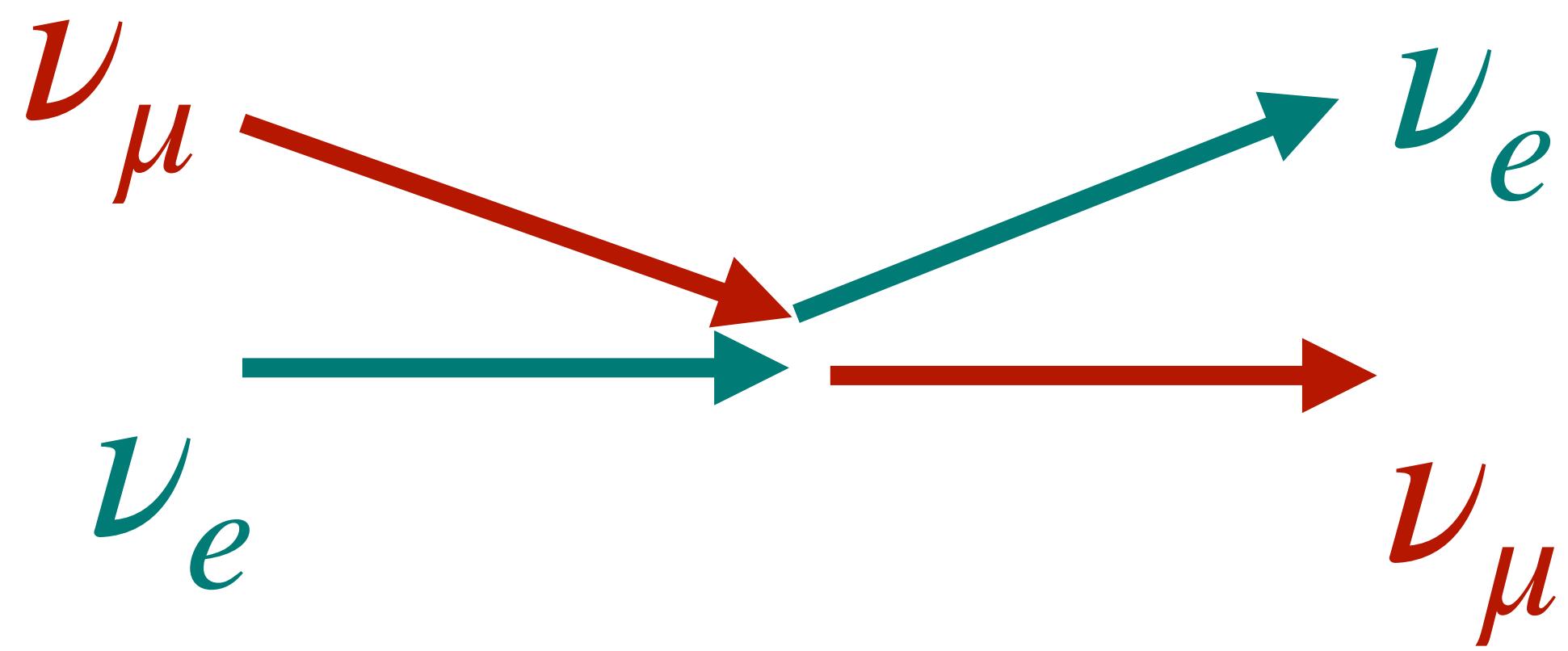


# Conclusions

- ◆ Framework to intuitively understand flavor instabilities
- ◆ **Conservation laws** can protect from instability
- ◆ Instability = **resonant** emission of flavor waves from **flipped neutrinos**
- ◆ **Saturation of instability** tends to the closest stable configuration (predicted by **quasi-linear** framework)

Thank you!

# Collective flavor conversions



**Refractive** flavor exchange among different energies and directions

**Non linear!**

# Quantum kinetic equations

$$\rho = \begin{pmatrix} \rho_{ee} & \rho_{e\mu} \\ \rho_{\mu e} & \rho_{\mu\mu} \end{pmatrix}$$

Density matrix

$$P^z = \rho_{ee} - \rho_{\mu\mu}$$

Nearly  $\nu_e$


$$P^x = \text{Re}(\rho_{e\mu})$$
$$P^y = -\text{Im}(\rho_{e\mu})$$

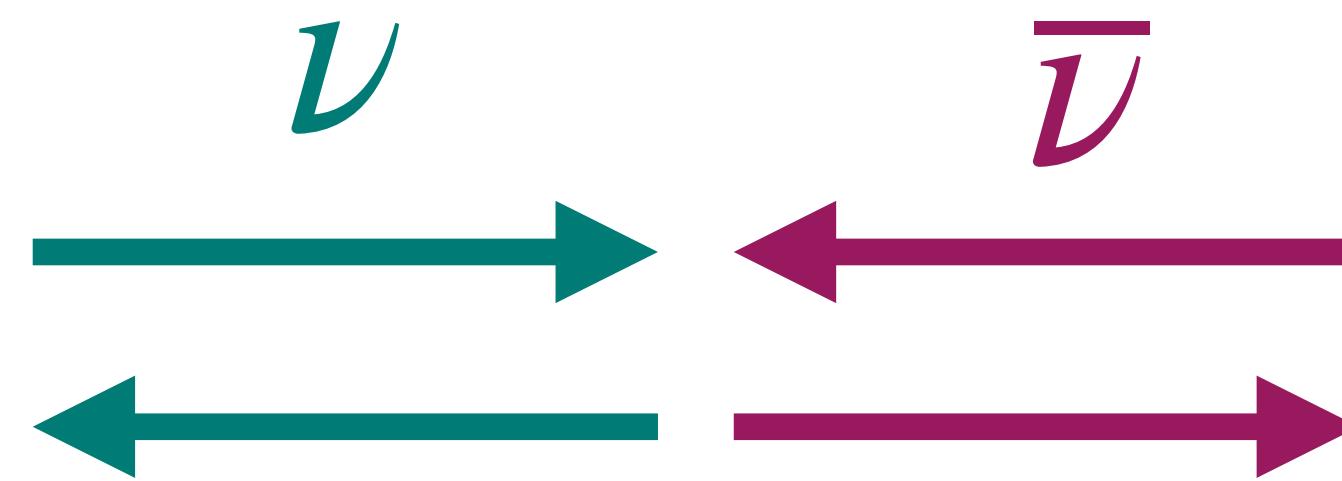
$$P^z = \bar{\rho}_{\mu\mu} - \bar{\rho}_{ee}$$

Nearly  $\bar{\nu}_\mu$



# A concrete example

Can conversions happen without flavor?

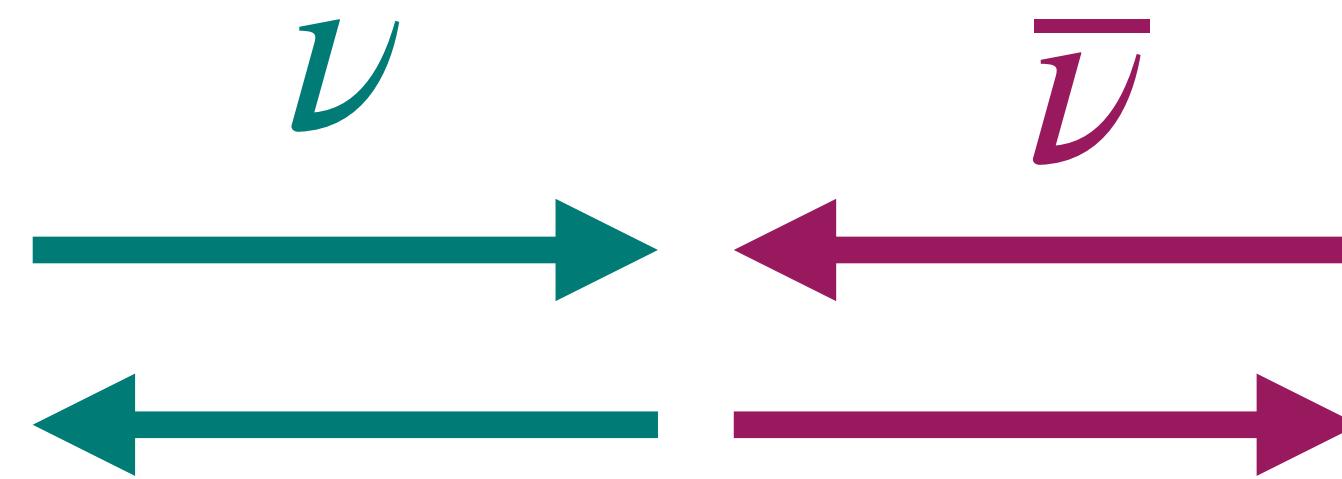


Neutrino-antineutrino collective oscillations?

Proposed in Sawyer, PRD 2023

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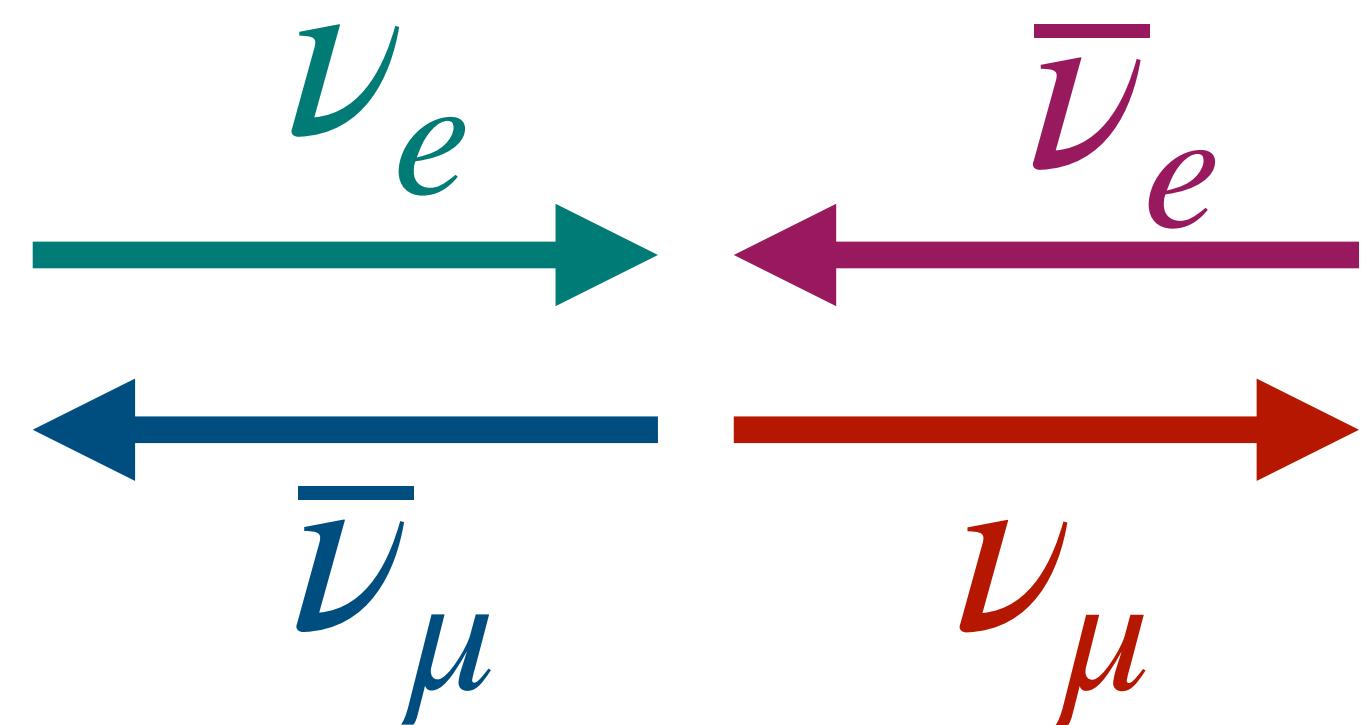
Proposed in Sawyer, PRD 2023

Helicity violation!

$\bar{\nu}\nu$  conversions can be neglected, but only by previously unnoticed argument!

# A concrete example

Exponential growth of off-diagonal components



$$\rho = \begin{pmatrix} \rho_{ee} & \rho_{e\mu} \\ \rho_{\mu e} & \rho_{\mu\mu} \end{pmatrix}$$

Can we predict the final state of the system?

Are there **conserved quantities**?

# Conserved quantities

$$\sum \rho = \begin{pmatrix} \rho_{ee} + \bar{\rho}_{\mu\mu} & \rho_{e\mu} + \bar{\rho}_{\mu e} \\ \rho_{\mu e} + \bar{\rho}_{e\mu} & \rho_{\mu\mu} + \bar{\rho}_{ee} \end{pmatrix}$$

**Total lepton number**

# Conserved quantities

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**Total lepton number**

## Homogeneous systems

Infinite conservation laws (**Gaudin  
invariants**) *DF, Raffelt, 2301.09650*

Broken for inhomogeneous, except special  
solutions (flavor solitons) *DF, Raffelt, 2303.12143*

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**Inhomogeneities grow!**

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## Inhomogeneities grow!

**Energy** must be conserved (right?)

# Energy in collective oscillations

$$E = \mathbf{K} + \mathbf{U}$$

$\sim 10$  MeV

$\sim \text{cm}^{-1} \sim 10^{-1}$  meV

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- ◆ Standard quantum kinetic equations
- ◆ Neutrino motion decoupled from collective conversions ( $d\mathbf{K}/dt = 0$ )

# Energy in collective oscillations

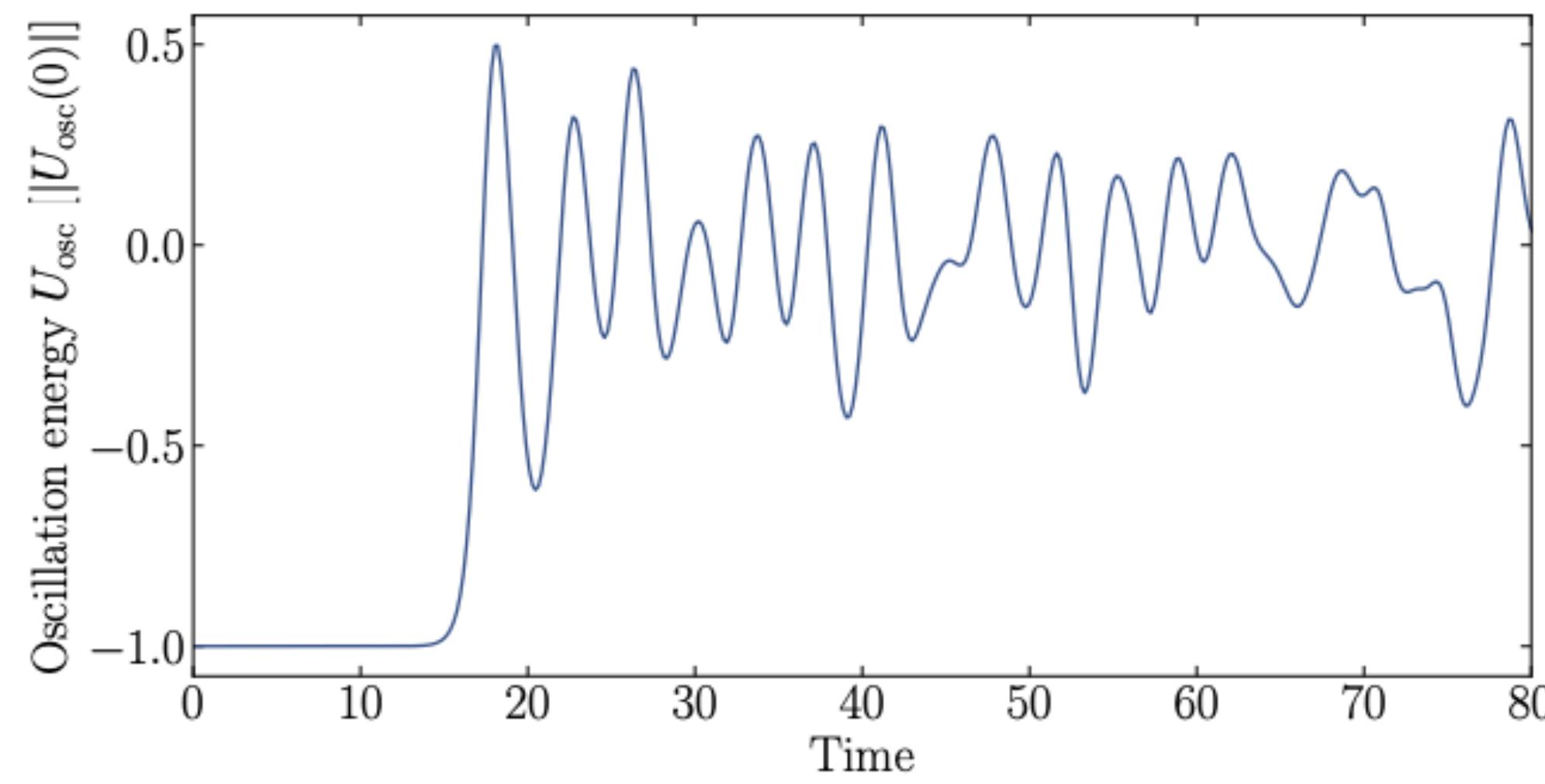
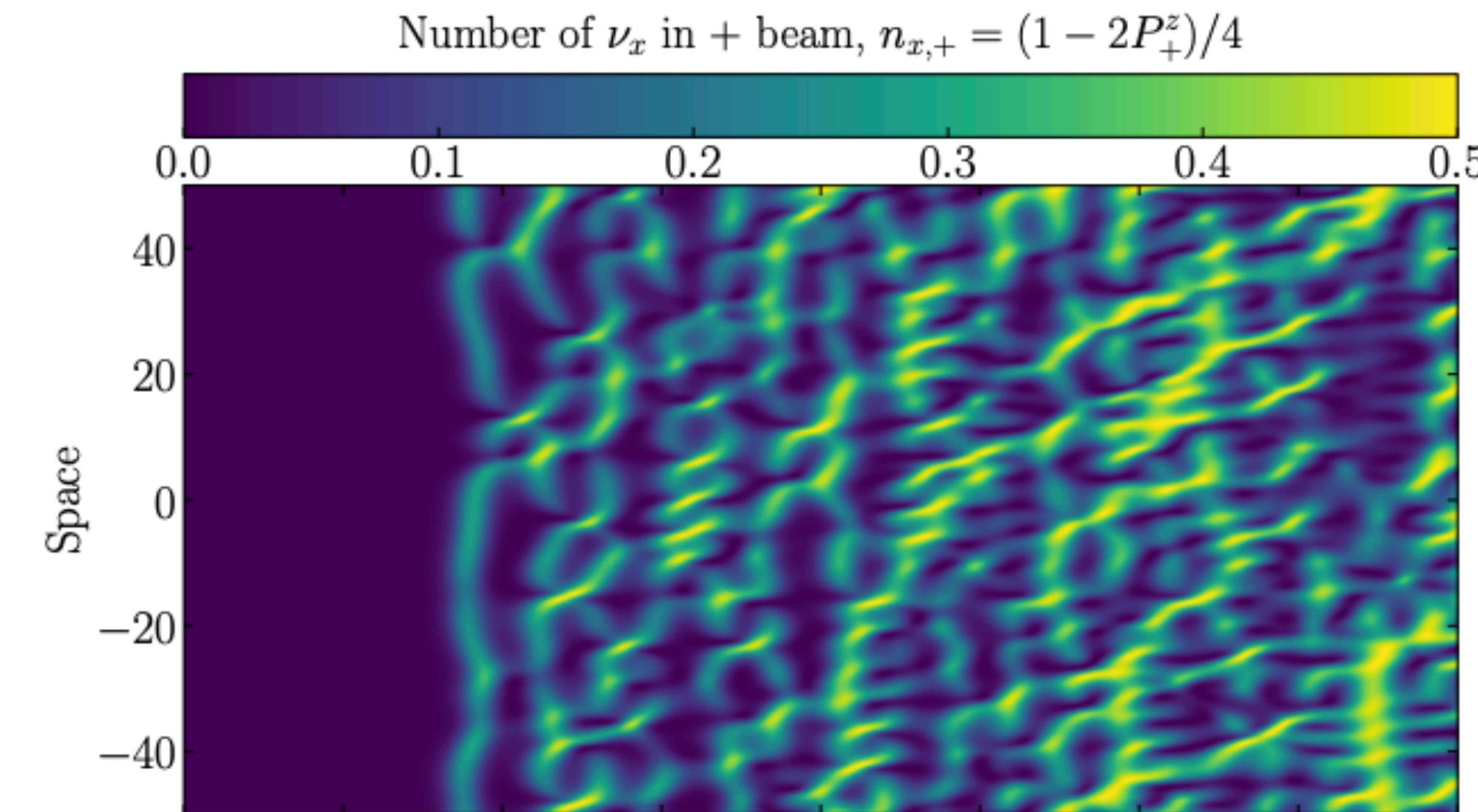
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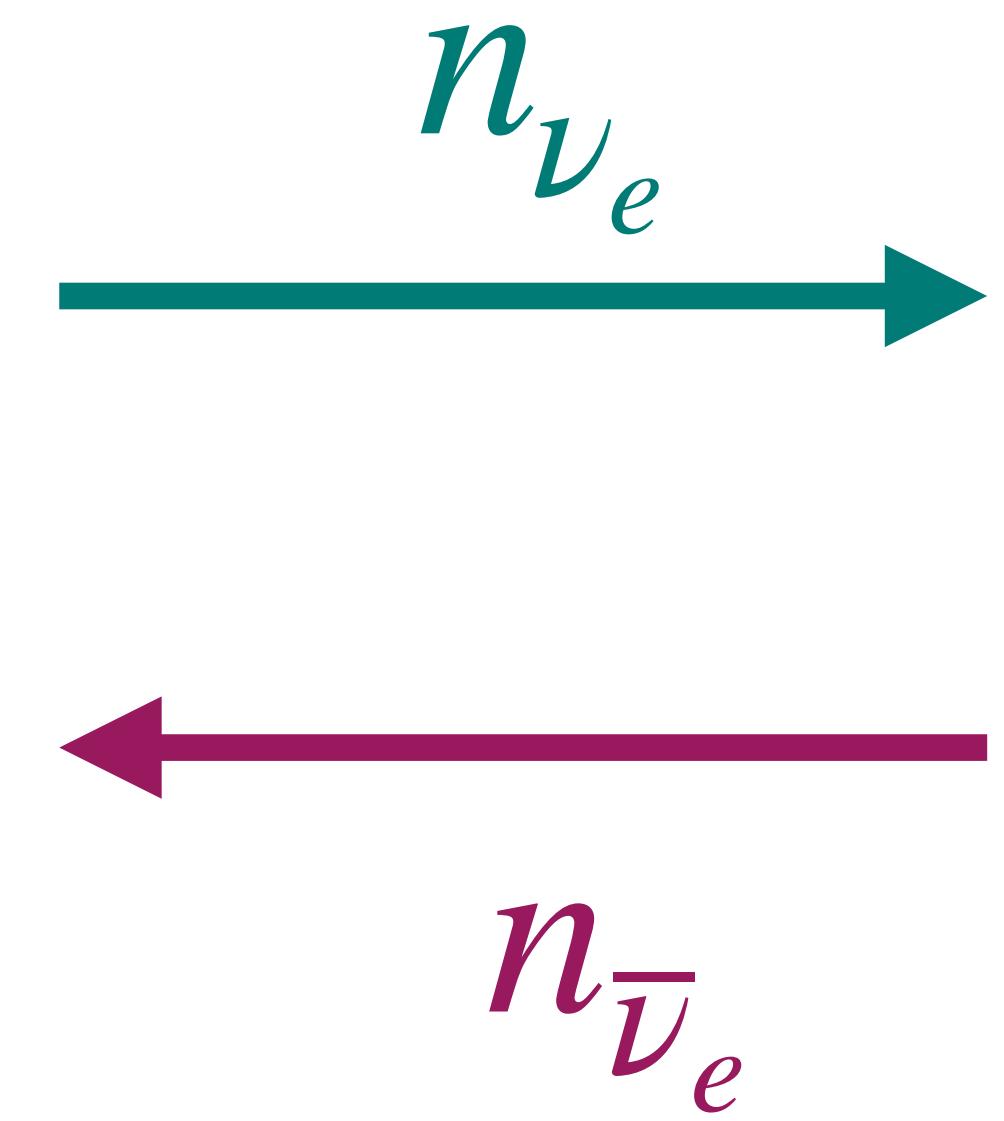
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$$\frac{d\mathbf{U}}{dt} \neq 0!$$

# Energy in collective oscillations



Initially



Average U was initially -1,  
finally oscillates around 0

Maximal energy violation!

# Energy in collective oscillations

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$$\sim 10 \text{ MeV} \quad \sim \text{cm}^{-1} \sim 10^{-1} \text{ meV}$$

- ◆ Standard quantum kinetic equations
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$$\frac{d\mathbf{U}}{dt} \neq 0!$$

# Energy in collective oscillations

Gradients in flavor composition



Force



Neutrinos accelerated (or slowed) by inhomogeneous flavor conversions!

# Energy in collective oscillations

$$E =$$

$$\mathbf{K}$$

$$+$$

$$U$$

$\sim 10 \text{ MeV}$

$\sim \text{cm}^{-1} \sim 10^{-1} \text{ meV}$



**Interaction energy is not conserved!**

# Quasi-linear relaxation

$$\rho = \langle \rho \rangle + \delta\rho$$

Slowly-varying  
background

Rapidly-varying  
fluctuation

$$\partial_t \delta\rho + \vec{v} \cdot \vec{\nabla} \delta\rho = -i[\langle H \rangle, \delta\rho] - i[\delta H, \langle \rho \rangle]$$

Fluctuations are  
treated **linearly**

$$\partial_t \rho = -i\langle [\delta H, \delta\rho] \rangle$$

Fluctuations **non-linearly**  
feedback and lead to  
**background relaxation**