

Statistics of Elementary Excitations with Mixed Dimensionalities

Xiao-Gang Wen (MIT)

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Based on:
Hanyu Xue and Xiao-Gang Wen
(In preparation)

Workshop on Defects and Extended Excitations
in Quantum Field Theory, Quantum Matter and Statistical Models

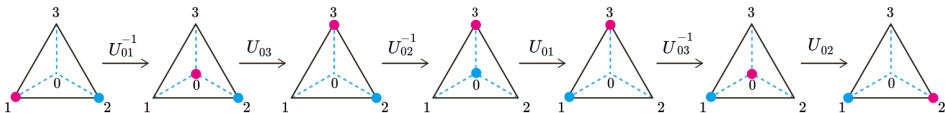
Quantum Statistics in Physics

- Quantum statistics is a fundamental property of physical systems.
- For point-like particles in $(3 + 1)$ -dimensional spacetime, locality permits only **Bose** and **Fermi** statistics.
- In lower spacetime dimensions, the topology of configuration space is richer:
 - In $(2 + 1)D$, particles can obey **Abelian statistics** (braiding yields phase factors).
 - Or **non-Abelian statistics** (braiding operations act as matrices).

What about extended excitations (strings, membranes)?
What if particles and strings coexist?

Fermi Statistics from Link-Operator Algebra

- On a $3 + 1$ D discrete lattice, for particle with \mathbb{Z}_2 conservation, its statistics is purely determined by the **algebra of local particle hopping operators**.



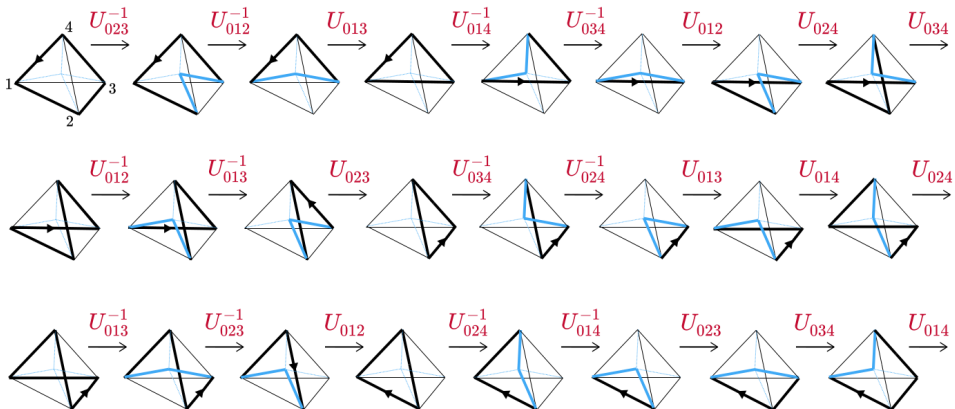
$$U_{02} U_{03}^{-1} U_{01} U_{02}^{-1} U_{03} U_{01}^{-1} = -1$$

Levin Wen, cond-mat/0302460

- Local particle hopping operators**: far away hopping operators (**link-operators**) commute.

String Statistics from Triangle-Operator Algebra

- On a $3 + 1$ D discrete lattice, \mathbb{Z}_2 -string statistics are purely determined by the **algebra of local string hopping operators**.



- Local string hopping operators:** far [Fidkowski Haah Hastings, 2110.14654](#)
away **triangle operators** commute [Kobayashi Li Xue Hsin Chen, 2412.01886](#)

Two Kinds of Excitations

To study statistics more generally and more systematically, it is crucial to distinguish between two types of excitations in a many-body system.

In a D -dimensional spacetime complex M^D with degrees of freedom on vertices, edges, and higher simplexes.

1. Elementary Excitations

- Directly described by worldlines, worldsheets, and higher-dimensional worldvolumes formed by physical degrees of freedom on vertices, edges, triangles, *etc* of triangulated spacetime: **qubit-0 represent vacuum, qubit-1 form world trajectories.**
- Their world trajectories can be cycles, and may not be boundaries. Their Poincaré-dual currents are **cocycles**.
- **Example:** A fundamental fermionic electron.

Two Kinds of Excitations (cont.)

2. Bounding Excitations

- Arise as the boundaries of **condensed elementary excitations**.
- Their spacetime trajectories form the boundaries of one-higher-dimensional chains. Their Poincaré-dual currents are **coboundaries**.
- **Example:** Emergent fermions f and bosons e, m in \mathbb{Z}_2 gauge theory.
- Most previous literature mainly concerns about **bounding excitations** – emergent topological excitations in qubit systems, whose statistics is described by **braided fusion higher categories with trivial center**, which classify bosonic topological orders and their topological excitations (bounding excitations).
- Here, we focus on the statistics of **elementary excitations**.

Statistics and Conservation Law

- Non-trivial statistics requires non-trivial conservation. No conservation \rightarrow trivial bosonic statistics.
- Conservation law is described by fusion rule

$$\text{spin-}\frac{1}{2} \otimes \text{spin-}\frac{1}{2} = \text{spin-}0 \oplus \text{spin-}1 \quad \text{Rep}_{SU(2)}$$

$$g \otimes h = gh, \quad g, h, gh \in G \quad \text{Group-like, pointed}$$

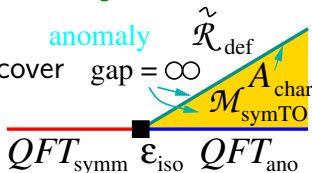
$$\phi \otimes \phi = \mathbf{1} \oplus \phi \quad \text{Fibonacci}$$

- **Symmetry-topological-order (Sym/TO) correspondence**: the most general conservation laws (*ie* the most general fusion rules) are described by **topological orders** (*ie* **fully extended TQFTs**) in **one-higher dimension**, provided that the conservation laws are finite (the number of superselection sectors are finite). **This is also called categorical symmetry** Ji Wen, 1912.13492, Kong *et al* , 2005.14178
symTFT Apruzzi Bonetti Etxebarria Hosseini Schafer-Nameki 2112.02092
topological holography Moradi Moosavian Tiwari, 2207.10712

One-slide introduction to Sym/TO correspondence

We view a global symmetry within its symmetric sub-Hilbert space \mathcal{V}_{sym} , where the symmetry transformation acts trivially. To discover a symmetry in this setting is to discover a gravitational anomaly (ie a lacking of tensor product decomposition $\mathcal{V}_{\text{sym}} \neq \otimes_i \mathcal{V}_i$).

Kong Wen Zheng arXiv:1502.01690



Freed Telemen Moore 2209.07471

- **Non-invertible gravitational anomaly \cong generalized symmetry (up to holo-equivalence)** (anomalous, higher-form, higher-group, non-invertible, etc).
Ji Wen, 1912.13492
- **Non-invertible gravitational anomaly $\xrightarrow{1\text{-to-1}}$ topological order in one-higher dimension** from anomaly inflow
Kong Wen 1405.5858
- **Generalized symmetry (up to holo-equivalence) $\xrightarrow{1\text{-to-1}}$ Topological order in trivial Witt class in one-higher dim**

Kong Lan Wen Zhang Zheng, 2005.14178

Boundary of bulk topological order simulates the symmetric sector of the corresponding symmetric system exactly.

Invertible Excitations and Abelian Statistics

- Here we consider a special conservation law described by **pointed** fusion rule $g \otimes h = gh$. The corresponding elementary excitations are called **invertible** (or **pointed**), satisfying the defining property

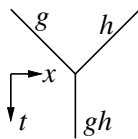
$$a \otimes \bar{a} = \mathbf{1}$$

- The statistics of invertible excitations are called **Abelian statistics**, because braiding operations act via scalar $U(1)$ phases rather than non-Abelian matrices.
- If all elementary excitations are invertible, their fusion rules are group-like (or higher-group-like), *ie* they fuse like the **symmetry defects** of **group** or **higher group**.
- Higher group can capture **conservation laws of mixed dimensionalities**: Intersections or junctions of higher-dimensional excitations can act as **sources** for lower-dimensional ones.

Cochain fields for mixed conserved currents

We triangulate D -dimensional spacetime M^D .

- Conservation law, fusion rules, and conserved currents:



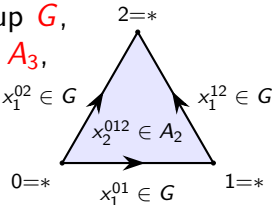
- **codimension-1 conserved objects (domain-walls)** labeled by g in group G : Domain-wall fusion $g \otimes h = gh$ (G -0-symmetry defects). Poincaré dual of G -valued $(D-1)$ -dim worldvolume $\rightarrow G$ -valued 1-cochain current f_1 .
- **codimension-2 conserved objects** labeled by a_2 in Abelian group A_2 . Dual of A_2 -valued $(D-2)$ -dimensional worldvolume $\rightarrow A_2$ -valued 2-cochain current $f_2 = A_2$ -1-symmetry defects.
- **Mixed conservation law**: describes how conservation laws of different dimensionalities couple to one another.

$$df_1 = 1, \quad df_2 = k_3(f_1) \quad df_j = k_{j+1}(f_1, \dots, f_{j-1})$$

How do the conserved currents f_j and their mixed conservation law arise from a higher group \mathcal{G} ?

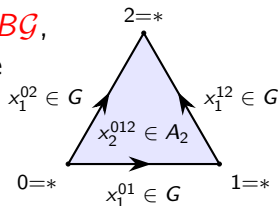
Understand Higher Group from its classifying space

- A higher group \mathcal{G} contains layers of 0-form group G , 1-form Anelian group A_2 , 2-form Anelian group A_3 ,
- The classifying space $B\mathcal{G}$ encode those groups via $\pi_1(B\mathcal{G}) = G$, $\pi_2(B\mathcal{G}) = A_2$, $\pi_3(B\mathcal{G}) = A_3$,
- We build the classifying space $B\mathcal{G}$ as a **one-vertex triangulation**: All the simplices in $B\mathcal{G}$ are uniquely labeled by the labels on they sub-faces:
 - $B\mathcal{G}$ has only a single vertex $*$ (0-simplex, the base point).
 - An edge (1-simplex) is labeled by its two vertices $*$ (no information), and by an element of $\pi_1(B\mathcal{G}) = G$.
 - A triangle (2-simplex) is labeled by its three edges (labeled by $\pi_1(B\mathcal{G})$), together with an element of $\pi_2(B\mathcal{G}) = A_2$.
- **Canonical cochains** x_1, x_2, \dots on $B\mathcal{G}$ are defined by their evaluation on the simplices: evaluating x_1 on an edge returns its G label, evaluating x_2 on a triangle simply returns its A_2 label, etc



Understand Higher Group from its classifying space

- To form a valid higher-group classifying space $B\mathcal{G}$, these labels (the canonical cochains) cannot be arbitrary. They must satisfy **structural equations** encoding the **Postnikov data**.



- For example, three edges labeled by $x_1^{01}, x_1^{12}, x_1^{02} \in G$ bound a triangle (012) if and only if $x_1^{01}(x_1^{02})^{-1}x_1^{12} \equiv (dx_1)^{012} = 1$.
- In general, we have

$$dx_1 = 1, \quad dx_2 = k_3(x_1) \quad dx_j = k_{j+1}(x_1, \dots, x_{j-1})$$

- From canonical cochains x_j to conserved currents f_j :** Let ϕ be a simplicial map from triangulated spacetime M^D to triangulated classifying space $B\mathcal{G}$. The pull back of x_j on $B\mathcal{G}$ give rise to cochains $f_j = \phi^* x_j$ on spacetime, satisfying the mixed conservations

$$df_1 = 1, \quad df_2 = k_3(f_1) \quad df_j = k_{j+1}(f_1, \dots, f_{j-1})$$

Warm-up: Bosonization of Fermions

- Consider a fermion in D -dimensional spacetime. It is a point-like elementary excitation with \mathbb{Z}_2 conservation $\rightarrow \mathbb{Z}_2$ 0-form symmetry or $\mathbb{Z}_2^{(d-1)}$ $(d-1)$ -form symmetry ($d = D - 1 =$ spatial dimension)
- The dual of a fermion world line is a \mathbb{Z}_2 -valued d -cocycle f_d satisfying $df_d = 0$. The \mathbb{Z}_2 conservation of a particle is described by a **higher group symmetry** $\mathbb{Z}_2^{(d-1)}$ with classifying space $B\mathbb{Z}_2^{(d-1)} = K(\mathbb{Z}_2, d)$ – Eilenberg-MacLane space with only $\pi_d = \mathbb{Z}_2$
- A path integral for the conserve bosonic field f_d is:

$$Z(M^D) = \sum_{f_d \in Z^d(M^D; \mathbb{Z}_2)} e^{-\int_{M^D} \mathcal{L}(f_d)}$$

which describe a bosonic particle with conserved current f_d .

- **How do we endow it with Fermi statistics?**

Warm-up: The WZW Term

- We can make f_d fermionic by appending a WZW topological term

$$Z(M^D) = \sum_{f_d} e^{-\int_{M^D} \mathcal{L}(f_d)} \underbrace{e^{i\pi \int_{N^{D+1}} \text{Sq}^2 f_d}}_{\text{WZW}=\pm 1}$$

(N^{D+1} is an extension of M^D : $\partial N^{D+1} = M^D$, Sq^n Steenrod square)

- In order for this to be a consistent D -dimensional theory, the action amplitude must be independent of how f_d is extended into N^{D+1} .
The WZW term $e^{i\pi \int_{N^{D+1}} \text{Sq}^2 f_d}$ fails this condition

- But we can rewrite the path integral as

$$Z(M^D, s) = \sum_{f_d} e^{-\int_{M^D} \mathcal{L}(f_d)} e^{i\pi \int_{M^D} f_d s} e^{i\pi \int_{N^{D+1}} (\text{Sq}^2 f_d + f_d [w_2 + w_1^2])}$$

$ds = w_2 + w_1^2$ = spin structure, and introduce a modified WZW term $e^{i\pi \int_{N^{D+1}} \text{Sq}^2 f_d + f_d (w_2 + w_1^2)}$, which can satisfy this condition on a spin manifold, due to Wu's formula $\text{Sq}^2 f_d + f_d (w_2 + w_1^2) \stackrel{2,d}{=} 0$.

WZW term requires spacetime to be spin \rightarrow Fermi statistics

The Holographic Sym/TO Perspective

- The pure bulk action amplitude:

$$e^{i\pi \int_{ND+1} Sq^2 f_d}$$

defines a $(D + 1)$ -dimensional **twisted higher gauge theory**, which describes the higher group symmetry $B\mathbb{G} = K(\mathbb{Z}_2, d)$ in one-lower dimension (the \mathbb{Z}_2 particle conservation).

- **Key idea:** When this higher gauge theory is untwisted, the \mathbb{Z}_2 conserved particles on the boundary described by current f_d are bosonic.

Introducing the WZW cocycle twist $e^{i\pi \int_{ND+1} Sq^2 f_d}$ makes the \mathbb{Z}_2 conserved particles on the boundary described by current f_d to be fermions.

This holographic Sym/TO perspective leads to a general framework for both mixed and unmixed conservation laws.

Main Result: Holographic Statistics

For **invertible elementary excitations** in D -dimensional spacetime with mixed-dimensionalities, their mixed conservation laws are described by a higher group symmetry \mathcal{G} (ie the fusion of \mathcal{G} -symmetry defects describe the fusion of those excitations). Their Abelian statistics are classified by a $(D + 1)$ -cocycle:

$$\omega \in H^{D+1}(B\mathcal{G}; \mathbb{R}/\mathbb{Z})$$

- The dynamics of those excitations is simulated holographically by the boundary of a \mathcal{G} higher-group gauge theory in $(D + 1)$ -dimensional spacetime, twisted by ω :

$$Z = \sum_{\phi: M^D \rightarrow B\mathcal{G}} e^{-\int_{M^D} \mathcal{L}(\phi)} e^{2\pi i \int_{N^{D+1}} \phi^* \omega}, \quad M^D = \partial N^{D+1}$$

The map $\phi : M^D \rightarrow B\mathcal{G}$ describes the current fluctuations f_j while preserving their mixed conservation.

Example 1: Particles in 2 + 1D

- Let us apply this framework to point-like elementary excitations in 2 + 1-dimensional spacetime M^3 .
- The Poincaré dual of particle worldlines is a 2-cocycle f_2 on M^3 .
Qubits live on triangles in spacetime complex M^4 .
- Assume a pointed fusion rule described by a finite Abelian group A .
 f_2 is an A -valued cocycle, viewed as a pullback:

$$f_2 = \phi^* x_2, \quad \phi : M^3 \rightarrow K(A, 2)$$

- The Abelian statistics are classified by:

$$H^4(K(A, 2), \mathbb{R}/\mathbb{Z})$$

Classification via Quadratic Functions

- Mathematically, this cohomology group is known to be:

$$H^4(K(A, 2), \mathbb{R}/\mathbb{Z}) \cong \text{Quad}(A, \mathbb{R}/\mathbb{Z})$$

the group of quadratic functions $q : A \rightarrow \mathbb{R}/\mathbb{Z}$.

- A function is quadratic if $q(-a) = q(a)$ and

$$b_q(a, b) := q(a + b) - q(a) - q(b)$$

forms a symmetric bilinear pairing.

- Agrees w/ result from **pointed braided tensor category (BTC)**
 - $q(a)$ determines the topological spin (self-statistics) of particle a .
 - $b_q(a, b)$ gives the mutual braiding phase between a and b .

$$\text{Pointed BFC}(A) \cong H_{\text{grp}}^3(A; \mathbb{R}/\mathbb{Z}) \cong \text{Quad}(A, \mathbb{R}/\mathbb{Z}) \cong H^4(K(A, 2), \mathbb{R}/\mathbb{Z})$$

- For $A = \mathbb{Z}_2$ conserved particle, $H^4(K(\mathbb{Z}_2, 2), \mathbb{R}/\mathbb{Z}) = \mathbb{Z}_4$.
 $\omega = \frac{1}{4}(x_2^2 + x_2 \smile_1 dx_2) \rightarrow$ semion. $\omega = \frac{1}{2}x_2^2 \rightarrow$ fermion.

Example 2: Strings in 3 + 1D

- Next, consider elementary strings in 3 + 1-dimensional spacetime M^4 .
- The Poincaré dual of the string worldsheets is a 2-cocycle f_2 .
Quibts live on triangles in spacetime complex M^4 .
- Notice that the current of a string in 4D and the current of a particle in 3D are both described by 2-cocycles.
- However, the spacetime dimension is now $D = 4$. Thus, the statistics are classified by:

$$H^5(K(A, 2), \mathbb{R}/\mathbb{Z})$$

instead of $H^4(K(A, 2), \mathbb{R}/\mathbb{Z})$ for $D = 3$.

Cohomology Classification for Strings

- For a generic finite Abelian group $A = \bigoplus_I \mathbb{Z}_{N_I}$, we find:

$$H^5(K(A, 2), \mathbb{R}/\mathbb{Z}) \cong \left(\bigoplus_I \mathbb{Z}_{\gcd(N_I, 2)} \right) \oplus \left(\bigoplus_{I < J} \mathbb{Z}_{N_{IJ}} \right)$$

- The first set of terms describes the **self-statistics** of strings (only exists for even N_I).
- The second set describes **mutual braiding statistics** between strings carrying different charges.
- Representative cocycle uses the Bockstein operation $\mathcal{B}_N f = \frac{1}{N} df$:

$$\omega = \sum_I \frac{p_I}{N_I} f_{2,I} \mathcal{B}_{N_I} f_{2,I} + \sum_{I < J} \frac{p_{IJ}}{N_{IJ}} f_{2,I} \mathcal{B}_{N_{IJ}} f_{2,J}$$

\mathbb{Z}_2 String Statistics and w_3 Structure

- For an elementary string with \mathbb{Z}_2 conservation, the nontrivial statistics are given by:

$$\omega(f_2) = \frac{1}{2} f_2 \mathcal{B}_2 f_2$$

→ fermionic string studied by Thorngren 1404.4385; Wang Wen Witten, 1810.00844; Fidkowski Haah Hastings, 2110.14654; Wan Wang Wen, 2112.12148; Kobayashi Li Xue Hsin Chen, 2412.01886

- When is the corresponding WZW amplitude $e^{i2\pi \int_{W^5} \omega(f_2)}$ independent of the 5D extension? We require on any closed W^5 :

$$\int_{W^5} f_2 \mathcal{B}_2 f_2 = 0 \pmod{2}$$

- Applying Wu's formula on a 5-manifold:

$$\int_{W^5} f_2 \mathcal{B}_2 f_2 = \int_{W^5} f_2 w_3(TW) \pmod{2}$$

The $w_3 = 0$ Geometric Requirement

- The ambiguity vanishes for all background string configurations f_2 if and only if $w_3(TW) = 0$.
- This is the direct string-analogue of the spin condition for fermionic particles!
- To support nontrivial Abelian statistics for \mathbb{Z}_2 strings, spacetime must admit a w_3 -**structure**.
- While a full spin structure ($w_1 = w_2 = 0$) implies $w_3 = \mathcal{B}_2 w_2 = 0$, the $w_3 = 0$ condition is strictly weaker and represents the **minimal tangential condition detected by string statistics**.

Example 3: Mixed Dimensionalities in $(d + 1)D$

- Consider a system containing **both** particles and strings in $(d + 1)D$ spacetime ($d \geq 3$), both with \mathbb{Z}_2 conservation.
- Dual fields (the currents):
 - f_{d-1} : string worldsheets
 - f_d : particle worldlines
- Their conservation laws are governed by a higher group space $B\mathcal{G}_{k_{d+1}}$ with $\pi_{d-1}(B\mathcal{G}_{k_{d+1}}) = \mathbb{Z}_2$ and $\pi_d(B\mathcal{G}_{k_{d+1}}) = \mathbb{Z}_2$.
- The Postnikov class $k_{d+1} \in H^{d+1}(K(\mathbb{Z}_2, d - 1), \mathbb{Z}_2)$ has two choices:

$$k_{d+1} = \rho \text{Sq}^2 x_{d-1}, \quad \rho \in \{0, 1\}$$

Untwisted vs. Twisted Conservation Laws

- The spacetime conservation laws take the form:

$$df_{d-1} = 0, \quad df_d = \rho Sq^2 f_{d-1} \pmod{2}$$

Untwisted case ($\rho = 0$):

- Particles and strings are independently conserved:
 $df_{d-1} = 0, \quad df_d = 0 \pmod{2}$.
- Statistics classified by $\omega \in H^{d+2}(BG_0, \mathbb{R}/\mathbb{Z})$.
- Gives $(\mathbb{Z}_2)^2$ for $d > 3$ or $(\mathbb{Z}_2)^3$ for $d = 3$ independent self/mutual statistics.
- What happens when $\rho = 1$? Specific self-intersections of the string worldsheets act as **sources** for the particle current.

Statistics for Twisted Conservation $\rightarrow \mathbb{Z}_4$ Group

Twisted case ($\rho = 1$, mixed conservation):

- The Abelian statistics are classified by $H^{d+2}(BG_{\mathbb{S}q^2 f_{d-1}}, \mathbb{R}/\mathbb{Z}) \cong \mathbb{Z}_4$.
- In the Serre spectral sequence, the string and particle self-statistics \mathbb{Z}_2 classes combine into a single, nonsplit \mathbb{Z}_4 **extension**.
- The generator is a secondary-operation cocycle $\omega(x_2, x_3)$ for $D = 4$:

$$\omega(x_2, x_3) = \frac{1}{2} \mathbb{S}q^2 x_3 + \frac{1}{8} \mathbb{S}q^3 x_2 + \frac{1}{2} \Delta_5(x_2)$$

$$d\Delta_5(x_2) = \mathbb{S}q^2 \mathbb{S}q^2 x_2 + \mathbb{S}q^3 \mathbb{S}q^1 x_2$$

where the particle is a fermion.

- *Twice* this generator yields the nontrivial string self-statistics term:

$$2\omega(x_2, x_3) = \frac{1}{4} \mathbb{S}q^3 x_2 = \frac{1}{4} x_2 dx_2 = \frac{1}{2} x_2 \mathcal{B}_2 x_2 \pmod{1, d}$$

where the particle is a boson.

Summary

- 1 **Elementary Excitations:** We study excitations with strictly closed (but not exact) currents, in contrast to emergent anyons (bounding excitations).
- 2 **Holographic Sym/TO Description:** The conservation laws and Abelian statistics of invertible elementary excitations are classified by a twisted higher-group gauge theory in one higher dimension. The higher group \mathcal{G} encode the conservation laws and the cocycle in $H^{D+1}(BG, \mathbb{R}/\mathbb{Z})$ encode statistics.
- 3 **Geometric Constraints:** Nontrivial statistics necessitate generalized spacetime structures (e.g., spin structures for fermions, $w_3 = 0$ structures for fermionic \mathbb{Z}_2 strings).
- 4 **Mixed Dimensionalities:** Higher groups elegantly capture systems where particles and strings interact, converting \mathbb{Z}_2 statistics into unified \mathbb{Z}_4 topological structures.

Contrast with Chern-Simons Theory

- The statistics generated by this WZW term on N^4 fundamentally differ from those generated by a Chern-Simons term on M^3 .
- The WZW term describes elementary conserved currents f_2 which are strictly closed but **not required to be exact**.
 - It is anomaly-like.
 - Depends on the 4D extension.
- A Chern-Simons term applies when the current is a **coboundary** ($f_2 = da_1$).
 - If we assume exactness, WZW descends to a boundary term, but lacks the correct normalization for CS statistics.
 - WZW statistics \neq CS bounding statistics!

Physical Realization: Topological Superconductors

- For the $p = 1$ or $p = 3$ cases of this \mathbb{Z}_4 statistics group, the system perfectly matches a **p -wave topological superconducting (TS) string**.
- In such TS models:
 - Particles carry Fermi statistics and \mathbb{Z}_2 fusion.
 - Fermions can condense along a string to form a TS string, which possesses \mathbb{Z}_2 conservation.
 - TS strings and \mathbb{Z}_2 fermions exhibit the exact same mixed conservation law: $df_d \equiv Sq^2 f_{d-1} \pmod{2}$.
- **This strongly suggests that the string defects in the $p = 1, 3$ cases are physically realized as TS strings!**

Beyond Abelian: Non-Abelian Statistics

- This holographic framework naturally extends to **non-Abelian** statistics.
- A $(D + 1)$ -dimensional **state-sum theory** provides the bulk description for the boundary excitations' conservation laws and statistics.
 - Local amplitude dictated by a tensor on top-dimensional simplices.
 - Retriangulation invariance ensures the theory is topological.
- For non-invertible excitations, braiding acts via matrices, and the underlying algebraic structure shifts from a simple higher group to a general **fusion $(D - 1)$ -category**.

Higher groups represent the pointed (invertible) case of this broader categorical framework.