

**GGI: Arcetri, April 8, 2009 Firenze**

**« Form-dualities, BgKM superalgebras,  $E_{11}$  »**

**(« ... and more », hep-th/0904.\*\*\*\*)**

**M. Henneaux , J. Levia and B. J.**

**Previous collaborators**

**E. Cremmer, H. Lu, C. Pope, P. Henry, L. Paulot, Y. Dolivet**

**And also T. Damour, H. Nicolai**

# Outline

- I Unification, finiteness, integrability?
- II From U to V dualities: from scalar fields to p-form potentials  $D=3,4\dots$
- III  $M^0=U/KU=U^+$  fits inside  
 $M^{\text{prop}}=(D-2)\text{-truncated-}V^+ < V^+$
- IV Spin-Isospin correlation:  $E_{11}$ ,  $E_{12}?$ ,  $E_{00}?$
- V  $GL(D,R)$  covariantized presentation.  
Simple Cartan matrices for V.  
New Low dimensions  $D=2 \dots$

# I. Motivations : nice theories

- \* 'Quantized' 11d Supergravity with its extended objects M2, M5. Its  $m=0$  fields:  $G$  metric +  $A^{(3)}$  gauge three-form + spin  $\psi^{(1)}$  valued one-form
- \* Compactified on a small spacelike circle this is IIA superstring theory at small string coupling (on 10d Minkowski space),  $(R_{11}/l_{Pl})^3 = g_{IIA}^2$
- \* Compactified on a zero volume two-torus this reduces to IIB superstring theory on 10d Minkowski space again.

# HyperKaehler magic square of N=2 SUGRAS

$d = 5$	$(D_4, 0)$	$(A_1^3, SL(2))$ $(D_4, SU(2))$	$(A_1^3, SU(2, 1))$
$d = 4$	$(D_4, 0)$	$(A_1^3, SL(2))$ $(D_4, SU(2))$	$(A_1^3, SU(2, 1))$
$d = 3$	$(D_4, 0)$	$(A_1^3, SL(2))$ $(D_4, SU(2))$	$(A_1^3, SU(2, 1))$

Real magic del Pezzo's square

$d = 6$	$\frac{SO(9,1)}{SO(9)} \mid \begin{matrix} 45 \\ 37 \end{matrix}$	$\frac{SO(5,1) \times SO(3)}{SO(5) \times SO(3)} \mid \begin{matrix} 18 \\ 13 \end{matrix}$	$\frac{SL(2, \mathbb{C}) \times U(1)}{SU(2) \times U(1)} \mid \begin{matrix} 8 \\ 4 \end{matrix}$
$d = 5$	$\frac{E_6}{F_4} \mid \begin{matrix} 78 \\ 52 \end{matrix}$	$\frac{SU^*(6)}{Usp(6)} \mid \begin{matrix} 35 \\ 21 \end{matrix}$	$\frac{SL(3, \mathbb{C})}{SU(3)} \mid \begin{matrix} 17 \\ 8 \end{matrix}$
$d = 4$	$\frac{E_7}{E_6 \times U(1)} \mid \begin{matrix} 133 \\ 69 \end{matrix}$	$\frac{SO^*(12)}{U(6)} \mid \begin{matrix} 66 \\ 36 \end{matrix}$	$\frac{SU(3,3)}{S(U(3) \times U(3))} \mid \begin{matrix} 35 \\ 17 \end{matrix}$
$d = 3$	$\frac{E_8}{E_7 \times SU(2)} \mid \begin{matrix} 248 \\ 136 \end{matrix}$	$\frac{E_7}{SO(12) \times SU(2)} \mid \begin{matrix} 133 \\ 79 \end{matrix}$	$\frac{E_6}{SU(6) \times SU(2)} \mid \begin{matrix} 78 \\ 38 \end{matrix}$

String models have recently been constructed  
for these SUGRAS via IIA asymmetric orbifolds

Y. Dolivet, B. Julia and C. Kounnas

arXiv:0712.2867(hep-th)

M. Bianchi and S. Ferrara

arXiv:0712.2976.(hep-th)

S. Bellucci, S. Ferrara, A. Marrani and A.

Yeranyan, arXiv:0802.0141.(hep-th)

Phenomenology? BH Entropy computations

# I. Motivations :

## Finiteness of 4d N=8 SUGRA?

\* Bern et al. argued that N=8 and N=4 may have same (non-)divergences for any D.

Absence of three loop divergence for N=8 SUGRA in 4d.

\*Berkovits' superfield argument in the pure spinor superstring formalism accounts for some non-renormalization theorems up to 6 loops for the  $R^4$  terms and its derivatives!

M. Green et al., found that the discrete  $SL(2, \mathbb{Z})$  gauge symmetry of IIB superstring theory forbids some counterterms even in high dimensions.

The real Lie group of classical dualities becomes the modular group  $SL(2, \mathbb{Z})$  preserving the lattice of quantum charges.

For the IIB effective action expansion  $R + R^4 + R^7 \dots$  see also Damour, Nicolai + coll.

# ADE (real) U-dualities

- \* Dd to 3d reduced gravity has  $A_{D-3}$   
U-duality symmetry: stationary pure  
4d gravity leads to **magic** Ehlers  $A_1 = \text{SL}(2, \mathbb{R}) = E'_{11}$
- \* In type I string model one finds  $D_k$   
symmetries. In M-theory reduced to (11-n)  
dimensions one gets  $E_n$  split symmetry .
- \* Starting from 3d symmetric space models  
 $E_n(n)/K E_n$  one gets upon decompactification  
the Symmetric magic triangle.

CJLP hep-th/9909099



# II From U-dualities

## Chronology

70's	$D, N=4, 16$	$G=SL(2, R)$	ZSFC
	$D, N=4, 32$	$G=E_7(7)$	JC
80's	$D, N=D>2, 32$	$G=E_{11-D}(11-D)$	JC
	$D, N=D>2, N<32$	Magic triangle $\times A_{D-1}$	J
	$D, N=2, 32$	$G=E_8(1) := E_9$	J; BM; NJ...
	$D, N=1, 32/0$	$E_{10} := E_8^{^^} / D_{24}^{^^}$	J; N...

# II To $E_r$ not quite dualities $r > 9$

## Chronology

2000  $D, N = 1, 32$        $G = WE_{10}$       HD

2001  $D, N = 11, 32$        $G = E_{11} ? A_{10}$  reps      W

2002  $D, N = 1, 32$        $E_{10}/KE_{10} ?$       NHD

1997 Cargèse Lectures

$D, N = D > 2, N = 32$        $U \times GL = E_{11-D} \times R^* \times A_{D-1}$       J

# II To V-dualities

$$D, N = D > 2, N = 32 \quad U \times GL = E_{11-D} \times R^* \times A_{D-1} \quad J; W$$

$$1997-99 \quad D > 2, N = 32 \quad V > U = E_{11-D} \quad PLJC$$

$$D > 2, N < 32 \quad V > U \quad PLJC$$

New features of V:

(Super)algebras but for IIB

All p-forms p=0 or not, propagating **OR NOT**

Universal self-duality equation

	$N = 7$	$N = 6$	$N = 5$	$N = 4$
$d = 11$	$+$			
$d = 10$	$R \left  \begin{smallmatrix} 1 \\ 0 \end{smallmatrix} \right.$			
$d = 9$	$\frac{SL(2)}{SO(2)} \times R \left  \begin{smallmatrix} 4 \\ 1 \end{smallmatrix} \right.$			
$d = 8$	$\frac{SL(3) \times SL(2)}{SO(3) \times SO(2)} \left  \begin{smallmatrix} 11 \\ 4 \end{smallmatrix} \right.$			
$d = 7$	$\frac{SL(5)}{SO(5)} \left  \begin{smallmatrix} 24 \\ 10 \end{smallmatrix} \right.$			
$d = 6$	$\frac{SO(5,5)}{SO(5) \times SO(5)} \left  \begin{smallmatrix} 45 \\ 20 \end{smallmatrix} \right.$	$\frac{SO(5,1) \times SO(3)}{SO(5) \times SO(3)} \left  \begin{smallmatrix} 18 \\ 13 \end{smallmatrix} \right.$		
$d = 5$	$\frac{E_6}{Usp(8)} \left  \begin{smallmatrix} 78 \\ 36 \end{smallmatrix} \right.$	$\frac{SU^*(6)}{Usp(6)} \left  \begin{smallmatrix} 35 \\ 21 \end{smallmatrix} \right.$		
$d = 4$	$\frac{E_7}{SU(8)} \left  \begin{smallmatrix} 133 \\ 63 \end{smallmatrix} \right.$	$\frac{SO^*(12)}{U(6)} \left  \begin{smallmatrix} 66 \\ 36 \end{smallmatrix} \right.$	$\frac{SU(5,1)}{U(5)} \left  \begin{smallmatrix} 35 \\ 25 \end{smallmatrix} \right.$	$\frac{SU(4) \times SU(1,1)}{SU(4) \times SO(2)} \left  \begin{smallmatrix} 18 \\ 16 \end{smallmatrix} \right.$
$d = 3$	$\frac{E_8}{SO(16)} \left  \begin{smallmatrix} 248 \\ 120 \end{smallmatrix} \right.$	$\frac{E_7}{SO(12) \times SO(3)} \left  \begin{smallmatrix} 133 \\ 69 \end{smallmatrix} \right.$	$\frac{E_6}{SO(10) \times SO(2)} \left  \begin{smallmatrix} 78 \\ 46 \end{smallmatrix} \right.$	$\frac{SO(8,2)}{SO(8) \times SO(2)} \left  \begin{smallmatrix} 45 \\ 29 \end{smallmatrix} \right.$

**U** magic triangle of pure 4d SUGRAS  
CJ, C, J 1980

D	$n = 8$	$n = 7$	$n = 6$	$n = 5$	$n = 4$	$n = 3$	$n = 2$	$n = 1$
11	+							
10	$R, A_1$	+						
9	$RxA_1$	$R$						
8	$A_1xA_2$	$RxA_1, A_2$	$A_1$					
7	$E_4$	$R \times A_2$	$R \times A_1$	$R$	+			
6	$E_5$	$A_1 \times A_3$	$R \times A_1^2$	$R^2, A_1^2$	$R$			
5	$E_6$	$A_5$	$A_2^2$	$R \times A_1^2$	$R \times A_1$	$A_1$		
4	$E_7$	$D_6$	$A_5$	$A_1 \times A_3$	$R \times A_2$	$RxA_1, A_2$	$R$	+
3	$E_8$	$E_7$	$E_6$	$E_5$	$E_4$	$A_1xA_2$	$RxA_1$	$R, A_1$

**Table** : Disintegration (Oxidations) for split  $E_n$  Cosets

C J L P 1999

H J P 2002

**CP<sub>2</sub> blown up in (11-D) points in general position  
for n=8 or more generally with A(8-n) singularity**

# III Universal V-self-duality equation

CJLP noted enlargement of U- to V-duality: a spin mixing (super)algebra  
p-form coefficients x supergenerators of degree -p such that total degree is 0  
E is valued in V

$$E^{-1}dE = S\{*(E^{-1}dE)\}$$

$$S\{Q\} = Q^{\sim} \text{ and } S\{Q^{\sim}\} = +/- Q$$

# D=11 Nonabelian p-forms

- A a 3-form, B (dual) 6-form, D=11

$$F=dA, \quad dF=0, \quad d^*F+F.F=0$$

$$G=dB+A.F \quad dG +F.F=0$$

- $E=e^{AQ_3} e^{BQ^{\sim 6}}$

$$\{Q_3, Q_3\} = Q^{\sim 6} \text{ commuting with } Q_3$$

- $E^{-1}dE=S\{*(E^{-1}dE)\}$

Self-dual field strength

# Superalgebras can(not) be named

\*\*\* They are  $\kappa$  **deformations** of:

$[SL(1|11-D)^+ \times \text{grSym.3-tensor}] \times$   
[its dual (coadjoint) rep.]

But these algebras do not belong to a nice classification! No name after deformation... except

in 11d:  $\{Q_3, Q_3\} = \kappa Q^{\sim 6}$

this is the nilpotent part  $Osp(1|2)^{++}$  of

$Osp(1|2) : E = e^{AQ_3} e^{A^{\sim} Q^{\sim 6}}$

\*\*\* Miracle? they are: **degree truncations**  
**(of Borels) of Borcherds superalgebras.**



# Borcherds (super-)algebras

Among infinite dimensional algebras one meets first affine Kac-Moody algebras, next hyperbolic ones. But Borcherds algebras generalize symmetrizable Kac-Moody algebras (BgKM).

Generalized Kac-Moody: Cartan algebra + quadratic form + positive part from simple roots + negative part.

Borel = Cartan torus + Positive root spaces

# Cartan-Kac-Moody-Borcherds matrices

$$(i) \quad a_{ij} \leq 0 \quad \text{if } i \neq j \quad (1)$$

$$(ii) \quad \frac{2a_{ij}}{a_{ii}} \in \mathbb{Z} \quad \text{if } a_{ii} > 0 \quad (2)$$

## Chevalley-Serre-Borcherds relations

$$(1) \quad [e_{\alpha_i}, f_{\alpha_j}] = \delta_{ij} h_{\alpha_i} \quad (1)$$

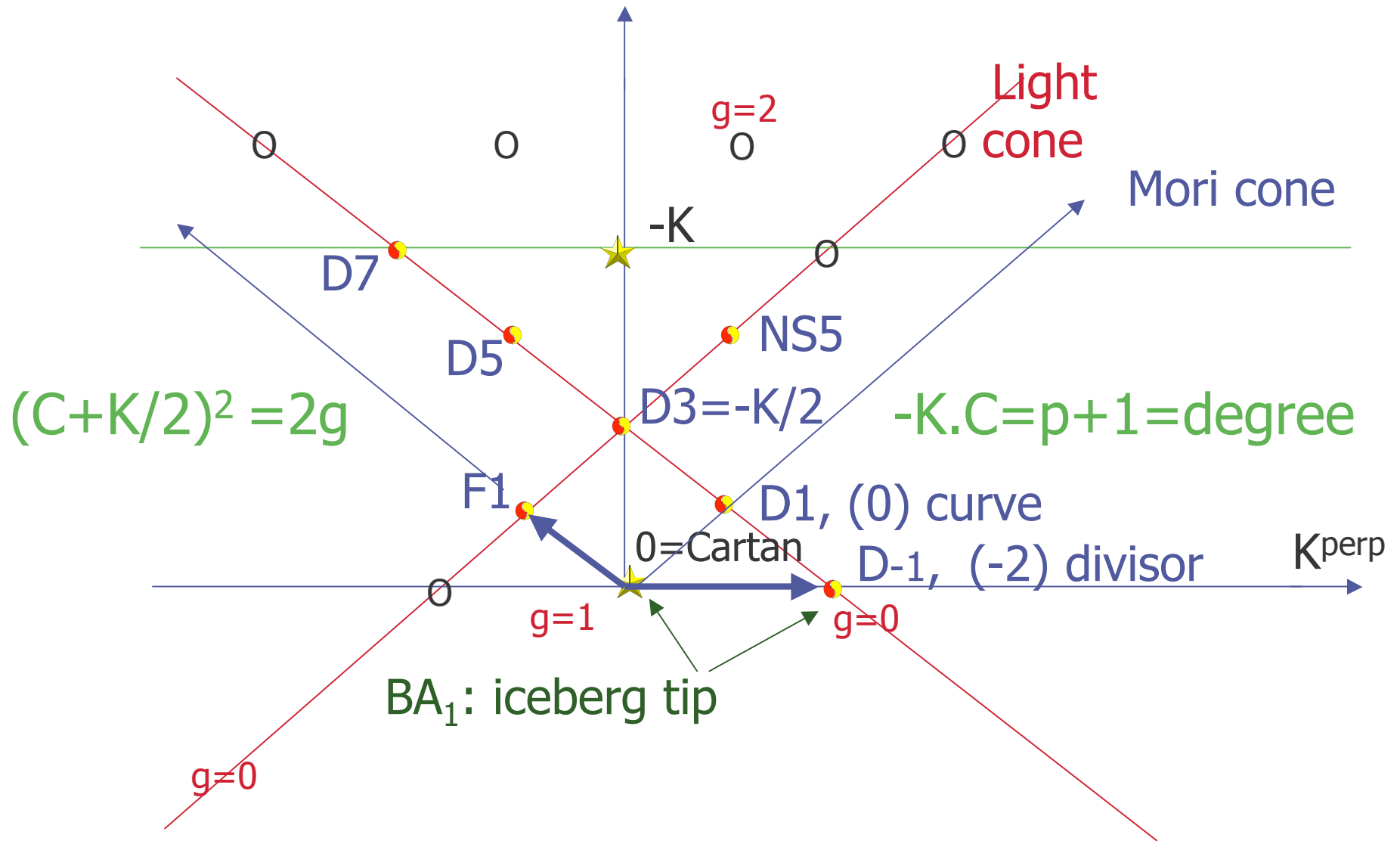
$$(2) \quad [h_{\alpha_j}, e_{\alpha_i}] = a_{ij} e_{\alpha_i}, [h_{\alpha_j}, f_{\alpha_i}] = -a_{ij} f_{\alpha_i} \quad (2)$$


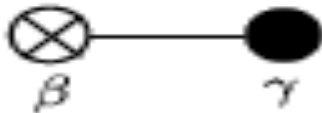
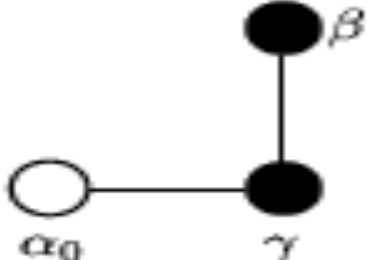
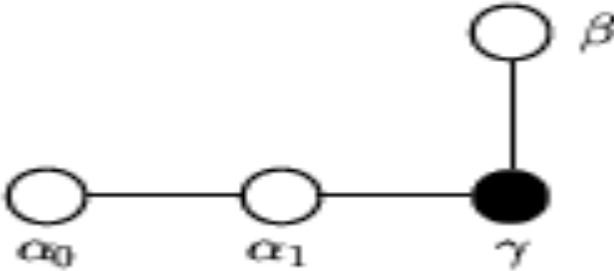
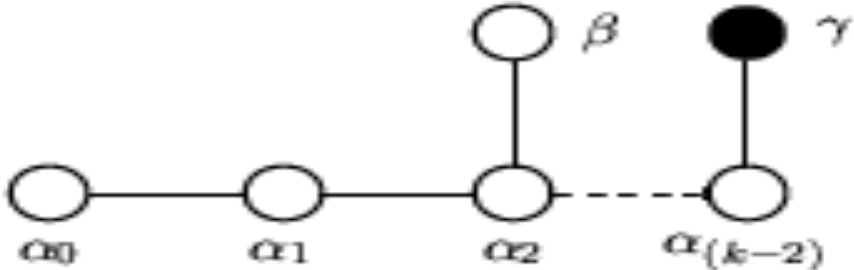
$$(3) \quad [h_{\alpha_i}, h_{\alpha_j}] = 0 \quad (3)$$

$$(4) \quad ad(e_{\alpha_i})^{1-2\frac{a_{ij}}{a_{ii}}} e_{\alpha_j} = 0 = (ad(f_{\alpha_i}))^{1-2\frac{a_{ij}}{a_{ii}}} f_{\alpha_j} \quad \text{if } a_{ii} > 0 \quad (4)$$

$$(5) \quad [e_{\alpha_i}, e_{\alpha_j}] = 0 = [f_{\alpha_i}, f_{\alpha_j}] \quad \text{if } a_{ij} = 0 \quad (5)$$

# IIB Iceberg: from $BA_1$ to $BSA_2^{\text{trc}}$



$k$	Simple superroot	Dynkin diagram
0	$\beta = H$	
1	$\beta = H - E_{11}$ $\gamma = E_{11}$	
2	$\alpha_0 = E_{11} - E_{10}$ $\beta = H - E_{11} - E_{10}$ $\gamma = E_{10}$	
3	$\alpha_0 = E_{11} - E_{10}$ $\alpha_1 = E_{10} - E_9$ $\beta = H - E_{11} - E_{10} - E_9$ $\gamma = E_9$	
4 to 8	$\alpha_i = E_{11-i} - E_{10-i},$ $0 \leq i \leq (k-2)$ $\beta = H - E_{11} - E_{10} - E_9$ $\gamma = E_{12-k}$	

# A simple Borcherds algebra

Signature of Cartan matrix (1,1)

This is the signature of the homology of  $CP^1 \times CP^1$

$l_i$  are a basis of null vectors

Root lattice =  $\mathbb{Z} + \mathbb{Z}$ , simple roots:  $\alpha_0, \alpha_1$

$$l_i \cdot l_j = 1 - \delta_{ij}, \quad K = -2l_1 - 2l_2, \quad K^{\text{perp}} = C(l_1 + l_2)$$

$$\alpha_0 = l_1 - l_2, \quad \alpha_1 = l_2$$

$[K]^{\text{perp.}} = \mathbb{Z} = \text{Root lattice of } SL(2, (R \text{ or } C))$

IIB Borcherds algebra

Cartan-Borcherds matrix :

$$\begin{pmatrix} 2 & -1 \\ -1 & 0 \end{pmatrix} .$$

# Slansky's example 1993 of Borcherds algebra

$$(2) \quad O \text{-----} \otimes (0)$$

= bosonic = IIB

## IIA Superalgebra

$$(0_F) \quad O \text{-----} \otimes (0)$$

## Two relations between three theories

(H-L,P,J 2002):

-V dualities (C,J,L,P 1998-99) of effective actions

-Borcherds Lie algebras (their truncated Borel)

-Algebraic surfaces (possibly singular del Pezzo surfaces)

e.g. IIB / Slanski algebra / CP1xCP1

Get  $V$  from  $U$  ,  
from deformation,  
from Del Pezzo, from  $E_{11}$

Benefits:

Systematic within group theory

Extends to lower dimensions:  $D=2$  here

$E_{11}$  was kinematic  $V$ =dynamical symmetry

Caveats:

$E_{11}$  is too big  $V$  is too small

Needs stringy version



Thank you  
You are welcome to join  
this project

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