

*On unconstrained higher spins
of any symmetry*

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Some reviews:

➤ “Higher-Spin Gauge Theories” ,

Proceedings of the First Solvay Workshop, Brussels on May 12-14, 2004, including:

→ X. Bekaert, S. Cnockaert, C. Iazeolla and M. A. Vasiliev,

“Nonlinear higher spin theories in various dimensions,” arXiv:hep-th/0503128;

→ N. Bouatta, G. Compere and A. Sagnotti,

“An introduction to free higher-spin fields,” arXiv:hep-th/0409068;

➤ D. Sorokin,

“Introduction to the classical theory of higher spins,” AIP Conf. Proc. **767**, 172 (2005)

[arXiv:hep-th/0405069];

➤ D. F. and A. Sagnotti,

“Higher-spin geometry and string theory,”

J. Phys. Conf. Ser. **33** (2006) 57 [arXiv:hep-th/0601199].

➤ A. Fotopoulos and M. Tsulaia,

“Gauge Invariant Lagrangians for Free and Interacting Higher Spin Fields. A Review of the BRST formulation,” arXiv:0805.1346 [hep-th].

➤ A. Sagnotti, D. Sorokin, P. Sundell, M. A. Vasiliev

Phys. Rept. *to appear*

Introduction I: string theory & higher-spins

Some basic features of ST:



➔ Spectrum: spectrum of vibrating string accomodates massless spin 1 and spin 2 particles (“ST predicts Gravity”) together with infinitely many massive states, with masses and spins related by (open strings) $m^2(J) \sim \frac{1}{\alpha'} J$

(ST predicts massive higher-spins)

➔ UV finiteness: tree level, high energy amplitude (here: elastic scattering of scalar particles exchanging arbitrary-spin intermediate particles; t-channel):

$$\mathcal{A}(s, t) \sim \sum_J g_J^2 \frac{(-s)^J}{t - m_J^2}$$

might be better behaved than any single (or finite number of) exchange.

Massive states as broken phase of massless, higher-spin phase ?

Introduction II: higher-spins & field theory

Symmetry group of space-time



fundamental particles (fields) labeled by two quantum numbers:

$$\text{mass } m \geq 0, \text{ and } \text{spin } s = 0, \frac{1}{2}, 1, \frac{3}{2}, 2, \frac{5}{2}, 3, \dots$$

(more general labels in $D > 4$)

no indications about the existence of some “privileged” subset of values.

[*Majorana 1932, Dirac 1936, Fierz-Pauli, Wigner 1939 . . .*]



But:

➔ no phenomenological input for (elementary) higher-spins,
(*high-spin “particles” do exist!*)

➔ no-go arguments against their interactions

[*Velo-Zwanziger, Coleman-Mandula, Aragone-Deser . . .*]

Why this “selection rule” ?

Introduction III: higher-spins & geometry

Central object in Maxwell, Yang-Mills (spin 1) and Einstein (spin 2) theories

is

$$\text{the curvature : } \begin{cases} A_\mu \rightarrow F_{\mu\nu}, \\ h_{\mu\nu} \rightarrow \mathcal{R}_{\mu\nu,\rho\sigma}. \end{cases}$$

it provides *dynamics* together with *geometrical meaning*.

What is the “geometry” (if any) underlying hsp gauge fields?

Plan

- I. Higher spins in (Q)FT & ST
- II. Unconstrained higher spins of any symmetry
- III. Higher spins & Geometry

I. Higher spins in (Q)FT & ST



Free theory I: symmetric tensors

“Canonical” description of *free, symmetric* higher-spin gauge fields via

(Fang-) Fronsdal equations (1978):

➔ Bosons (\sim spin 2 $\rightarrow R_{\mu\nu} = 0$) :

$$\mathcal{F}_{\mu_1 \dots \mu_s} \equiv \square \varphi_{\mu_1 \dots \mu_s} - \partial_{\mu_1} \partial^\alpha \varphi_{\alpha \mu_2 \dots \mu_s} + \dots + \partial_{\mu_1} \partial_{\mu_2} \varphi^\alpha_{\alpha \mu_3 \dots \mu_s} + \dots = 0$$

↻ gauge invariant under $\delta \varphi = \partial \Lambda$ *iff* $\Lambda' (\equiv \Lambda^\alpha_{\alpha}) \equiv 0$;

↻ Lagrangian description *iff* $\varphi'' (\equiv \varphi^{\alpha\beta}_{\alpha\beta}) \equiv 0$.

➔ Fermions (\sim spin $\frac{3}{2}$ $\rightarrow \not{\partial} \psi_\mu - \gamma_\mu \psi = 0$) :

$$\mathcal{S}_{\mu_1 \dots \mu_s} \equiv i \{ \gamma^\alpha \partial_\alpha \psi_{\mu_1 \dots \mu_s} - (\partial_{\mu_1} \gamma^\alpha \psi_{\alpha \mu_2 \dots \mu_s} + \dots) \} = 0$$

↻ gauge invariant under $\delta \psi = \partial \epsilon$ *iff* $\not{\epsilon} \equiv 0$;

↻ Lagrangian description *iff* $\psi' (\equiv \psi^\alpha_{\alpha}) \equiv 0$.

Free theory II: mixed-symmetry tensors

Generalisation to (spinor -) tensors of *any symmetry* type in

Labastida equations (1986 – 1989):

➔ Bosons (2-families: $\varphi_{\mu_1 \dots \mu_s, \nu_1 \dots \nu_r} \equiv \varphi_{\mu_s, \nu_r}$):

$$\mathcal{F}_{\mu_s, \nu_r} \equiv \square \varphi_{\mu_s, \nu_r} - \partial_\mu \partial^\alpha \varphi_{\alpha \mu_{s-1}, \nu_r} - \partial_\nu \partial^\alpha \varphi_{\mu_s, \alpha \nu_{r-1}} + \partial^2_\mu \dots + \partial^2_\nu \dots + \partial_\mu \partial_\nu \dots = 0$$

↻ gauge invariant under

$$\delta \varphi_{\mu_s, \nu_r} = \partial_\mu \Lambda^{(1)}_{\mu_{s-1}, \nu_r} + \partial_\nu \Lambda^{(2)}_{\mu_s, \nu_{r-1}}$$

iff suitable combinations of *traces* of $\Lambda^{(1)}$ and $\Lambda^{(2)}$ vanish;

↻ Lagrangian description *iff* suitable combinations of *double traces* of φ_{μ_s, ν_r} vanish.

➔ Fermions (2-families: $\psi^a_{\mu_1 \dots \mu_s, \nu_1 \dots \nu_r} \equiv \psi_{\mu_s, \nu_r}$):

$$\mathcal{S}_{\mu_s, \nu_r} \equiv i \{ \gamma^\alpha \partial_\alpha \psi_{\mu_s, \nu_r} - \partial_\mu \gamma^\alpha \psi_{\alpha \mu_{s-1}, \nu_r} - \partial_\nu \gamma^\alpha \psi_{\mu_s, \alpha \nu_{r-1}} \} = 0$$

↻ similar constraints, but no Lagrangian description available for the general case.

Techniques allowing interacting theories for $s \leq 2$ typically fail for $s \geq \frac{5}{2}$

Examples:

I. Lagrangian eom for massive fields of $s \geq 1$:

Velo-Zwanziger '69
Porrati -Rahman '08 $\left\{ \begin{array}{l} \rightarrow \text{non-causality} \\ \rightarrow \text{loss of constraints} \\ \rightarrow \text{failure to propagate} \end{array} \right.$

II. S-matrix amplitudes - massless hsp particles \leftrightarrow hsp symmetries:

Coleman-Mandula '67 - HLS '69
Benincasa-Cachazo '07 $\left\{ \begin{array}{l} \text{(under assumptions not always met in hsp theories)} \\ \text{not allowed symmetry generators carrying Lorentz} \\ \text{indices other than those of the Poincaré group} \end{array} \right.$

III. Coupling with Gravity - propagation of waves on Ricci-flat bkg $\mathcal{R}_{\mu\nu} = 0$:

Aragone-Deser '79 $\left\{ \begin{array}{l} s = 3/2 : E_{\bar{\psi}_\mu} = \gamma^{\mu\nu\rho} D_\nu \psi_\rho \quad \rightarrow \delta E_{\bar{\psi}_\mu} = 0 \\ s = 5/2 : E_{\bar{\psi}_{\mu\nu}} = \mathcal{D}\psi_{\mu\nu} - D_{(\mu} \psi_{\nu)} \quad \rightarrow \delta E_{\bar{\psi}_{\mu\nu}} \sim \text{"Riemann"} \end{array} \right.$

Hsp & (Q)FT V: positive results

Idea: fluctuation of gravitational field over *non-flat* bkg
useful ?

➔ Riemann over (A)dS background [*Fradkin - Vasiliev 1987*]

$$R_{\mu\nu, \rho\sigma} = R_{\mu\nu, \rho\sigma}^{(AdS)} + \hat{R}_{\mu\nu, \rho\sigma}, \quad \text{s. t.} \quad \hat{R}^2 \sim 0$$

$$\delta E_{\psi_{\mu\nu}} \sim (\hat{R}_{\mu\nu, \rho\sigma} + \hat{R}_{\mu\rho, \nu\sigma}) \gamma^\mu \varepsilon^\sigma + \text{"Ricci terms"} \cdot \varepsilon,$$

$$E_{\psi_{\mu\nu}} = E_{\psi_{\mu\nu}}^{(0)} + \frac{i}{2\Lambda} (\hat{R}_{\mu\nu, \rho\sigma} + \hat{R}_{\mu\rho, \nu\sigma}) \nabla \psi^{\mu\sigma} \rightarrow \delta E_{\psi_{\mu\nu}} = 0 !$$

The *cubic* vertex describing this non-minimal coupling is, schematically

$$V = \frac{i}{\Lambda} \int d^D x \sqrt{g} \{ \bar{\psi} \hat{R} \nabla \psi + \bar{\psi} (\nabla \hat{R}) \psi \}.$$

- ➔ Other *cubic* vertices for *self-interacting* or *mutually interacting* hsp:
- ➔ Bengtsson, Bengtsson, Brink (1983)
- ➔ Berends, Burgers, Van Dam (1984)
- ➔ Fradkin, Metsaev (1991), Metsaev (1993)
- ➔ Bekaert, Boulanger, Cnockaert, Leclercq, Sundell, Mourad (2006, 2008, 2009)
- ➔ Buchbinder, Fotopoulos, Irges, Petkou, Tsulaia (2006, 2007)

(First-order), cubic, hsp gauge theories do exist.

Hsp & (Q)FT VI: Vasiliev equations

Vasiliev Theory

(also Sezgin - Sundell)

generalisation of the frame-like formulation of general relativity

- *Consistent, non-linear higher-spin eom are given, for symmetric tensors,*
- *Infinite-dimensional hsp algebra*, with generators T_s s.t. *the maxima sub-algebra closes up to spin 2*. For $s > 2$ (generators carry spin $s - 1$), HS symmetry \rightarrow *infinite tower of HS gauge fields*.
- *Very little is known about the action*: basically only the cubic coupling;



General features of interactions:

Need for infinitely many fields of increasing spin

Higher-derivative couplings



All reminiscent of String Theory

Hsp & Strings: tensionless SFT

➤ Consider the equations of motion for open String Field Theory

$$Q|\Phi\rangle = 0 ,$$

where Q is the BRST charge, and evaluate the limit $\alpha' \rightarrow \infty$;

[*Bengtsson, Henneaux-Teitelboim, Lindström, Sundborg, D.F.-Sagnotti, Sagnotti-Tsulaia, Lindström-Zabzine, Bonelli, Savvidy, Buchbinder-Fotopoulos-Tsulaia-Petkou, ...*]

➤ Actually, by restricting the attention to totally symmetric tensors it is possible to show that this equation splits into a series of *triplet* equations:

$$\square \varphi = \partial C ,$$

$$C = \partial \cdot \varphi - \partial D ,$$

$$\square D = \partial \cdot C ,$$

together with the gauge transformations

$$\delta \varphi = \partial \Lambda ,$$

$$\delta C = \square \Lambda ,$$

$$\delta D = \partial \cdot \Lambda ,$$

where φ is a spin- s field, C a spin- $(s - 1)$ field and D a spin- $(s - 2)$ field, all

unconstrained.

[*Extension of triplets to irreducible spin $s \rightarrow$ Buchbinder-Galajinski-Krykhtin 2007;*
frame-like analysis for reducible & irreducible cases \rightarrow Sorokin-Vasiliev 2008]

The massless phase given by tensionless SFT involves

unconstrained fields



- Calls for a generalisation of Fronsdal-Labastida theories,
- Moreover, absence of constraints is expected in a *geometric* description of higher-spin gauge fields (*here focus on symmetric tensors*):

linearised curvatures for higher spins:

[*de Wit-Freedman '80*]

$$\varphi_{\mu_1 \dots \mu_s} \rightarrow \mathcal{R}_{\mu_1 \dots \mu_s; \nu_1 \dots \nu_s} \sim \partial^s \varphi$$

s.t.

$$\delta \mathcal{R}_{\mu_1 \dots \mu_s; \nu_1 \dots \nu_s} \equiv 0$$

under
$$\delta \varphi_{\mu_1 \dots \mu_s} = \partial_{\mu_1} \Lambda_{\mu_2 \mu_3 \dots \mu_s} + \partial_{\mu_2} \Lambda_{\mu_1 \mu_3 \dots \mu_s} + \dots$$

for unconstrained gauge fields and gauge parameters

At least three indications suggest to reconsider the free theory :

- ① *No Lagrangians* for arbitrary mixed-symmetry fermions;
- ② *No constraints* from the tensionless limit of SFT;
- ③ *Constrained* theory \leftrightarrow higher-spin *curvatures*.



How to connect curvatures and dynamics?

- ➔ Not clear: $\mathcal{R}_{\mu_1 \dots \mu_s, \nu_1 \dots \nu_s}^{(s)}$ is a *higher-derivative* tensor, if $s \geq 3$;
- ➔ Let us concentrate on a slightly simpler, but related, issue:

are the constraints in the Fronsdal theory really necessary?

II. Unconstrained higher spins of any symmetry



Unconstrained hsp I: local theory - symmetric tensors

Fronsdal

$$\mathcal{F} \text{ s. t. } \delta \mathcal{F} = 3 \partial^3 \Lambda'$$

$$\mathcal{F} = 0$$

$$\mathcal{L}_{\varphi'' \equiv 0} = \frac{1}{2} \varphi (\mathcal{F} - \frac{1}{2} \eta \mathcal{F}')$$

Unconstrained

$$\mathcal{A} \equiv \mathcal{F} - 3 \partial^3 \alpha \rightarrow \begin{cases} \delta \alpha = \Lambda', \\ \delta \mathcal{A} = 0. \end{cases}$$

$$\mathcal{A} = 0$$

$$\mathcal{L} = ?$$



Basic ingredient: *the Bianchi identity*:

$$\partial \cdot \mathcal{A} - \frac{1}{2} \partial \mathcal{A}' \equiv -\frac{3}{2} \partial^3 (\varphi'' - \partial \cdot \alpha - \partial \alpha')$$

compare with gravity

$$\partial^\alpha \mathcal{R}_{\alpha\mu} - \frac{1}{2} \partial_\mu \mathcal{R} \equiv 0$$

➔ Start with the trial Lagrangian

$$\mathcal{L}_0 = \frac{1}{2}\varphi \left(\mathcal{A} - \frac{1}{2}\eta \mathcal{A}' \right),$$

and compute its gauge variation: $\delta\varphi = \partial\Lambda \rightarrow$

$$\delta\mathcal{L}_0 = \frac{3}{4}\binom{s}{3}\Lambda'\partial\cdot\mathcal{A}' + 3\binom{s}{4}\partial\cdot\partial\cdot\partial\cdot\Lambda(\varphi'' - 4\partial\cdot\alpha - \partial\alpha')$$

Introduce a *Lagrange multiplier* β , s. t. $\delta\beta = \partial\cdot\partial\cdot\partial\cdot\Lambda$; then

$$\mathcal{L}(\varphi, \alpha, \beta) = \frac{1}{2}\varphi \left(\mathcal{A} - \frac{1}{2}\eta \mathcal{A}' \right) - \frac{3}{4}\binom{s}{3}\alpha\partial\cdot\mathcal{A}' - 3\binom{s}{4}\beta\mathcal{C},$$

define a *gauge-invariant, local, unconstrained Lagrangian for spin s* .

[D. F. - A. Sagnotti 2005, 2006]

Generalisation to (A)dS: [A. Sagnotti - M. Tsulaia '03; D. F. - J. Mourad - A. Sagnotti, '07]

Unconstrained hsp III: local theory - mixed symmetry bosons

[A. Campoleoni - D. F. - J. Mourad - A. Sagnotti, 2008]

Here: **Two-family fields** $\varphi_{\mu_1 \dots \mu_{s_1}; \nu_1 \dots \nu_{s_2}}$

Notation: $\left\{ \begin{array}{ll} \varphi_{\mu_1 \dots \mu_{s_1}; \nu_1 \dots \nu_{s_2}} & \rightarrow \varphi, \\ \partial(\mu_1^i | \varphi \dots; | \mu_2^i \dots \mu_{s_i+1}^i); \dots & \rightarrow \partial^i \varphi, \quad \text{upper indices} \leftrightarrow \text{added indices} \\ \partial^\lambda \varphi \dots; \lambda \mu_2^i \dots \mu_{s_i}^i; \dots & \rightarrow \partial_i \varphi, \\ \varphi \dots; \lambda \mu_2^i \dots \mu_{s_i}^i; \dots; \lambda \mu_2^j \dots \mu_{s_j}^j; \dots & \rightarrow T_{ij} \varphi. \quad \text{lower indices} \leftrightarrow \text{removed indices} \end{array} \right.$

Families of **symmetric** indices \longrightarrow **reducible** $gl(D)$ tensors

\sim

Basic **constrained** theory: [Labastida 1986, 1989]

$$\mathcal{F} = \square \varphi - \partial^i \partial_i \varphi + \frac{1}{2} \partial^i \partial^j T_{ij} \varphi = 0,$$

\Leftrightarrow gauge invariant under $\delta \varphi = \partial^i \Lambda_i$ **iff** $T_{(ij} \Lambda_{k)} \equiv 0;$

\Leftrightarrow Lagrangian description **iff** $T_{(ij} T_{kl)} \varphi = 0.$

\rightarrow not **all** traces vanish;

\rightarrow the constraints **are not independent.**

Basic unconstrained kinetic tensor:

$$\mathcal{A} = \mathcal{F} - \frac{1}{2} \partial^i \partial^j \partial^k \alpha_{ijk},$$

But, due to linear dependence of constraints

$$\begin{cases} \alpha_{ijk} \equiv \alpha_{ijk}(\Phi) = \frac{1}{3} T_{(ij} \Phi_{k)}, \\ \delta \Phi_k = \Lambda_k. \end{cases}$$

~

To construct the Lagrangian \rightarrow resort to *Bianchi identity*:

$$\partial_i \mathcal{A} - \frac{1}{2} \partial^j T_{ij} \mathcal{A} = -\frac{1}{4} \partial^j \partial^k \partial^l \mathcal{C}_{ijkl}$$

$$\mathcal{C}_{ijkl} = T_{(ij} T_{kl)} \varphi + \mathcal{C}_{ijkl}(\alpha)$$

As for symm case, take care of terms in $\propto \mathcal{C}_{ijkl}$ via a *Lagrange multiplier* β :

$$\mathcal{L} = \frac{1}{2} \langle \varphi, E_\varphi \rangle + \frac{1}{2} \langle \Phi_i, (E_\Phi)_i \rangle + \frac{1}{2} \langle \beta_{ijkl}, (E_\beta)_{ijkl} \rangle$$

where in particular the e.o.m. for φ , gauge fixing $\alpha_{ijk} = \frac{1}{3} T_{(ij} \Phi_{k)}$ to zero, is

$$E_\varphi = \mathcal{E}_\varphi + \frac{1}{2} \eta^{ij} \eta^{kl} \mathcal{B}_{ijkl} = 0,$$

$$\mathcal{E}_\varphi = \mathcal{F} - \frac{1}{2} \eta^{ij} T_{ij} \mathcal{F} + \frac{1}{36} \eta^{ij} \eta^{kl} (2 T_{ij} T_{kl} - T_{i(k} T_{l)j}) \mathcal{F}.$$

Unconstrained hsp V: local theory - mixed symmetry fermions

[A. Campoleoni - D. F. - J. Mourad - A. Sagnotti, 2009]

The basic kinematical setting of Labastida [1987]

$$\begin{cases} \mathcal{S} = i(\not{\partial}\psi - \partial^i\psi_i) = 0, \\ \delta\psi = \partial^i\epsilon_i, \\ T_{(ij}\psi_{k)} = 0; \gamma_{(i}\epsilon_{j)} = 0, \end{cases}$$

can be easily turned to its *unconstrained* counterpart:

$$\begin{cases} \mathcal{W} = \mathcal{S} + i\partial^i\partial^j\xi_{ij} = 0, \\ \delta\psi = \partial^i\epsilon_i, \\ \xi_{ij}(\Psi) = \frac{1}{2}\gamma_{(i}\Psi_{j)}, \\ \delta\Psi_i = \epsilon_i, \end{cases}$$

BUT, in the constrained setting, *no Lagrangian available for fermions*;

»» Using the Bianchi identity (here constrained theory, for simplicity)

$$\partial_i\mathcal{S} - \frac{1}{2}\not{\partial}\gamma_i\mathcal{S} - \frac{1}{2}\partial^j T_{ij}\mathcal{S} - \frac{1}{6}\partial^j\gamma_{ij}\mathcal{S} = 0,$$

it is possible to find the *complete Lagrangian, for N-family fields*, in the form

$$\begin{cases} \mathcal{L} = \frac{1}{2} \langle \bar{\psi}, \sum_{p,q=0}^N k_{p,q} \eta^p \gamma^q (\gamma^{[q]} \mathcal{S}^{[p]}) \rangle + \text{h.c.}, \\ k_{p,q} = \frac{(-1)^{p+\frac{q(q+1)}{2}}}{p!q!(p+q+1)!}. \end{cases}$$

Generalised Weyl symmetries

Linearised Einstein equations in D -dimensions

$$\mathcal{E}_{\mu\nu} = \mathcal{R}_{\mu\nu} - \frac{1}{2}\eta_{\mu\nu}\mathcal{R} = 0$$

Reduction: $\mathcal{E}^\alpha{}_\alpha \sim (D-2)\mathcal{R}$;

Weyl shift: $\delta h_{\mu\nu} = \eta_{\mu\nu}\Omega \Rightarrow \delta\mathcal{E}_{\mu\nu} = (D-2)(\partial_\mu\partial_\nu - \eta_{\mu\nu}\square)\Omega$;

Triviality: $\mathcal{E}_{\mu\nu}(D=2) \equiv 0$ (\mathcal{L} is a total derivative) .

For mixed-symmetry fields more possibilities:

➔ Theories with (formal) shift symmetries and vanishing Einstein tensor

Example: $gl(D)$ -irreducible bosonic two-column fields $\{p, q\}$ in $D \geq p + q$;

➔ Theories with (true) shift symmetries and non-vanishing Einstein tensor

Example: $gl(D)$ -irreducible fermionic $\{2, 1\}$ field in $D = 4$, where the shift is

$$\delta\psi_{\mu\nu,\rho} = \gamma_{(\mu}\Omega^{(1)}_{\nu),\rho} + \gamma_\rho\Omega^{(2)}_{\mu\nu}$$

Massive theory & Current exchanges

* Massive Lagrangians from massless ones \rightarrow K-K reduction from $D+1$ to D

* Response of the theory to the presence of an external source \mathcal{J} ; *unitarity*: only transverse, on-shell polarisations mediate the interaction between distant sources:

$$\begin{array}{ccc} * & \text{~~~~~} & * \\ \mathcal{J}(x) & & \mathcal{J}(y) \\ & k^2 \approx 0 & \end{array}$$

tantamount to computing the *propagator*



➔ Straightforward in flat bkg;

$$s = 3 : \begin{cases} p^2 \mathcal{J} \cdot \varphi = \mathcal{J} \cdot \mathcal{J} - \frac{3}{D} \mathcal{J}' \cdot \mathcal{J}' & m = 0 \\ (p^2 - m^2) \mathcal{J} \cdot \varphi = \mathcal{J} \cdot \mathcal{J} - \frac{3}{D+1} \mathcal{J}' \cdot \mathcal{J}' & m \neq 0 \end{cases}$$

(generalisation to hsp of the *vDVZ discontinuity*)

➔ Less direct to describe (partially) massive (A)dS fields^(*);

$$s = 3 : \begin{cases} P_L^2 \mathcal{J} \cdot \varphi = \mathcal{J} \cdot \mathcal{J} - \frac{3}{D} \mathcal{J}' \cdot \mathcal{J}' & m = 0 \\ (P_L^2 - m^2) \mathcal{J} \cdot \varphi = \mathcal{J} \cdot \mathcal{J} - 3 \frac{m^2 L^2 + D + 1}{(D+1)(m^2 L^2 + D)} \mathcal{J}' \cdot \mathcal{J}' & m \neq 0 \end{cases}$$

(no *vDVZ discontinuity* for hsp on (A)dS)

^(*) $P_L^2 = \square_L - 4 \frac{D}{L^2}$

[D.F. - J. Mourad - A. Sagnotti, '07, '08]

~ *Unconstrained higher spins & geometry* ~

Fronsdal constraints are, at least, not necessary



- What is the *meaning* of the unconstrained theory?
- In particular, is there any relation with the *hsp geometry* described by de Wit and Freedman?.

III. Higher spins & Geometry



Hsp & Geometry I: curvatures

linearised curvatures:

simplest gauge invariant tensors whose vanishing $\Rightarrow \varphi$ is pure gauge



∞ Spin 1 [Maxwell]: $F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu$ s. t. $\delta F_{\mu\nu} = 0$ under $\delta A_\mu = \partial_\mu \Lambda$;

(but also $s = 3/2$)

∞ Spin 2 [Einstein]: $\delta h_{\mu\nu} = \partial_\mu \Lambda_\nu + \partial_\nu \Lambda_\mu$;

(but also $s = 5/2$)

$$\mathcal{R}^{(2)}_{\mu\mu,\rho\rho} = \partial_\mu^2 h_{\rho\rho} - \frac{1}{2} \partial_\mu \partial_\rho h_{\mu,\rho} + \partial_\rho^2 h_{\mu\mu}$$

∞ Spin 3 [de Wit - Freedman]: $\delta \varphi_{\alpha\beta\gamma} = \partial_\alpha \Lambda_{\beta\gamma} + \partial_\beta \Lambda_{\alpha\gamma} + \partial_\gamma \Lambda_{\beta\alpha}$

$$\Lambda^\alpha{}_\alpha \neq 0!$$

(but also $s = 7/2$)

$$\mathcal{R}^{(3)}_{\mu\mu\mu,\rho\rho\rho} = \partial_\mu^3 \varphi_{\rho\rho\rho} - \frac{1}{3} \partial_\mu^2 \partial_\rho \varphi_{\mu,\rho\rho} + \frac{1}{3} \partial_\mu \partial_\rho^2 \varphi_{\mu\mu,\rho} - \partial_\rho^3 \varphi_{\mu\mu\mu}$$

and so on.

equations of motion ?

Hsp & Geometry II: generalised Ricci tensors

➤ $s = 3, 4$: saturate enough indices to restore the symmetries of φ :

$$\begin{aligned} \mathcal{R}_{\mu_1\mu_2\mu_3, \nu_1\nu_2\nu_3} &\rightarrow \partial \cdot \mathcal{R}', \\ \mathcal{R}_{\mu_1\mu_2\mu_3\mu_4, \nu_1\nu_2\nu_3\nu_4} &\rightarrow \mathcal{R}'', \end{aligned}$$

➤ restore dimensions of P^2 , introducing *inverse D'Alembertian*, generalising Maxwell and Einstein theories via a class of *candidate 'Ricci' tensors*:

$$\begin{aligned} (s = 1) \quad \partial \cdot \mathcal{R} = 0 &\rightarrow \frac{1}{\square^{n-1}} \partial \cdot \mathcal{R}^{[n-1]}_{\mu_1 \dots \mu_{2n-1}} = 0 & (s = 2n - 1), \\ (s = 2) \quad \mathcal{R}' = 0 &\rightarrow \frac{1}{\square^{n-1}} \mathcal{R}^{[n]}_{\mu_1 \dots \mu_{2n}} = 0 & (s = 2n) \end{aligned}$$

[D.F. - A. Sagnotti, 2002]



➤ This possibility is *highly non-unique* → infinitely many -more singular- ones:

$$(s = 3) \quad \frac{1}{\square} \partial \cdot \mathcal{R}' \rightarrow \mathcal{A}_\varphi(a) \equiv \frac{1}{\square} \partial \cdot \mathcal{R}' + a \frac{\partial^2}{\square^2} \partial \cdot \mathcal{R}'' = 0$$

Meaning?

Spin s : the most general candidate “Ricci” tensor

$$\mathcal{A}_\varphi(a_1, \dots, a_k, \dots)$$

is such that, *for almost all choices* of a_1, \dots, a_k, \dots :

➔ (*CONSISTENCY*) the equation $\mathcal{A}_\varphi = 0$ implies the *compensator equation*

$$\mathcal{A}_\varphi(\{a_k\}) \equiv \mathcal{F} - 3\partial^3 \alpha_\varphi = 0,$$

with $\delta \alpha_\varphi = \Lambda' \rightarrow$ Fronsdal form, after partial gauge-fixing.

[the equation $\mathcal{F} = 3\partial^3 \mathcal{H}$ first derived from curvatures by Damour-Deser 1987 (spin 3);
then Dubois Violette-Henneaux 1999, 2001, Bekaert-Boulanger 2003, ...]

➔ (*LAGRANGIAN*) it is possible to define *identically divergenceless Einstein tensors* $\mathcal{E}_\varphi(a_1, \dots, a_k, \dots)$ s.t.

$$\mathcal{L} = \frac{1}{2} \varphi \mathcal{E}_\varphi(\{a_k\}) \quad \longrightarrow \quad \mathcal{E}_\varphi(\{a_k\}) = 0 \quad \longrightarrow \quad \mathcal{A}_\varphi(\{a_k\}) = 0,$$

[D.F. - J. Mourad - A. Sagnotti, 2007]

Spin 2: massive theory as

quadratic deformation of the geometric theory:

➔ Spin 2 [*Fierz-Pauli*]

$$\mathcal{L}(m=0) = \frac{1}{2} h_{\mu\nu} (\mathcal{R}^{\mu\nu} - \frac{1}{2} \eta^{\mu\nu} \mathcal{R})$$

$$\mathcal{L}(m) = \frac{1}{2} h_{\mu\nu} \left\{ \underbrace{(\mathcal{R}^{\mu\nu} - \frac{1}{2} \eta^{\mu\nu} \mathcal{R})}_{\partial \cdot \mathcal{E}_{s=2} \equiv 0} - m^2 \underbrace{(h^{\mu\nu} - \eta^{\mu\nu} h^\alpha_\alpha)}_{\text{Fierz-Pauli mass term}} \right\}$$

➔ Spin s : General idea: *higher traces* should appear in the mass term, s.t.

$$\mathcal{L} = \frac{1}{2} \varphi \{ \mathcal{E}_\varphi(a_1, \dots, a_k, \dots) - m^2 M_\varphi \} \quad \text{where} \quad \underbrace{M_\varphi = \sum \lambda_k \eta^k \varphi^{[k]}}_{\text{generalised FP mass term}}$$

➔ Fronsdal : $\partial \cdot \mathcal{E}_{\text{Fronsdal}} \neq 0 \Rightarrow$ need for *auxiliary fields*;

➔ Differently, for *all* geometric Einstein tensors \mathcal{E}_φ we have $\partial \cdot \mathcal{E}_\varphi \equiv 0$!

➔ Indeed it is possible to define a consistent massive theory with

$$M_\varphi = \varphi - \eta \varphi' - \eta^2 \varphi'' - \frac{1}{3} \eta^3 \varphi''' - \dots - \frac{1}{(2n-3)!!} \eta^n \varphi^{[n]}.$$

No auxiliary fields are needed

[D.F., '07]

We found consistent formulations for unconstrained hsp



on the other hand:

- Using curvatures \rightarrow *non-localities*;
- Minimal local Lagrangians \rightarrow *higher-derivatives*: $\sim \alpha \square^2 \alpha$
- BRST approach^(*): to describe spin $s \rightarrow \mathcal{O}(s)$ *auxiliary fields*



intrinsic 'singularity' of the unconstrained approach?

^(*)[Pashnev - Tsulaia - Buchbinder et al. 1997, ...]

Unconstrained hsp without higher derivatives

There is a simple, alternative interpretation of the minimal local Lagrangians:

➤ Consider the Fronsdal Lagrangian, together with a multiplier for ϕ'' :

$$\mathcal{L} = \phi \left(\mathcal{F} - \frac{1}{2} \eta \mathcal{F}' \right) + \beta \phi''$$

\mathcal{L} is gauge-invariant under $\delta\phi = \partial\lambda$, $\delta\beta = \partial \cdot \partial \cdot \partial \cdot \lambda$, with $\lambda' = 0$

➤ Perform the *Stueckelberg substitution*

$$\phi \rightarrow \varphi - \partial\theta$$

obtaining an *unconstrained* Lagrangian, gauge invariant under

$$\delta\varphi = \partial\Lambda; \quad \delta\theta = \Lambda$$

with an *unconstrained* parameter Λ .

➤ Only the trace of θ appears in \mathcal{L} (after a redefinition of β) so that, defining $\theta' \equiv \alpha$ we recover the minimal Lagrangian

$$\mathcal{L}(\varphi, \alpha, \beta) = \frac{1}{2} \varphi \left(\mathcal{A} - \frac{1}{2} \eta \mathcal{A}' \right) - \frac{3}{4} \binom{s}{3} \alpha \partial \cdot \mathcal{A}' - 3 \binom{s}{4} \beta \mathcal{C}$$

Unconstrained hsp without higher derivatives

Two basic observations:

- higher-derivative terms are simply due to the different dimensions of θ w.r.t. φ in $\phi \rightarrow \varphi - \partial\theta$;
- Under this substitution *any* function of ϕ would be (trivially) gauge-invariant.

This is too much!

What we want is to *extend* to the unconstrained level

a constrained gauge symmetry already present in the Lagrangian



In this sense, maybe it is possible to improve the Stueckelberg idea.

Unconstrained hsp without higher derivatives

[see also *Buchbinder, Galajinsky, Krykhtin '07*]

➔ In $\delta\phi = \partial\Lambda$ separate *traceless* and *trace* parts of the parameter Λ :

$$\begin{aligned}\Lambda &= \Lambda^t + \eta\Lambda^p, \\ \Lambda^p : \Lambda' &= (\eta\Lambda^p)'\end{aligned}$$

➔ introduce a new compensator θ_p , s.t. $\delta\theta_p = \partial\Lambda^p$ (so θ_p *is not* pure gauge)

➔ perform in \mathcal{L} the substitution

$$\phi \rightarrow \varphi - \eta\theta_p$$

where $\varphi - \eta\theta_p$ *transforms as the 'old' Fronsdal field*.

➔ The corresponding “Ricci tensor” (and generalisations thereof)

$$\mathcal{A}_{\varphi,\theta} = \mathcal{F} - (D + 2s - 6)\partial^2\theta - \eta\mathcal{F}_\theta,$$

is the building-block of *unconstrained Lagrangians*, with a *minimal* content of auxiliary fields and *no higher-derivatives*

for bosons and fermions of any symmetry type

[*D. F. 2007; A. Campoleoni - D. F. - J. Mourad - A. Sagnotti; 2008; 2009*]

~ Perspectives ~

Still some open issues on the *free theory* :

- hsp supersymmetry multiplets;
- Noether currents;
- Dualities;
- Quantization
- ...



whether or not allowing for a wider gauge symmetry might prove to be relevant, only a deeper insight into interactions will tell. Some possible directions:

To better understand what we already “know” :

- ~ Cubic interactions & eom: Positive (preliminary) results for hsp interactions *are known*; but, very little is known about *explicit solutions*;
- ~ String Theory : Closer look at “*massless*” phase of ST, to better understand what could make hsp interactions at all possible.

To go beyond

~ Quartic interactions :

- For *spin 1* (YM) and *spin 2* (EH) *cubic vertex* implies *full Lagrangian*;
- for higher spins *nothing known about quartic couplings*; *but* “proper” hsp features from quartic coupling onwards: maybe worth the effort to try and overcome the “cubic” barrier.

Are all the geometrical Einstein tensors really equivalent?

➔ *Propagator* from Lagrangian equation with an external current:

$$\mathcal{E}_\varphi(a_1, \dots, a_k \dots) = \mathcal{J} \quad \Rightarrow \quad \varphi = \mathcal{G}(a_1, \dots, a_k \dots) \cdot \mathcal{J}$$

➔ *Current exchange* $\mathcal{J} \cdot \varphi = \mathcal{J} \cdot \mathcal{G} \cdot \mathcal{J} \rightarrow$ consistency conditions on the polarisations flowing:

almost all geometric theories give the wrong result, but one.

The correct theory has a simple structure:

➔ The 'Ricci' tensor has the compensator form $\mathcal{A}_\varphi = \mathcal{F} - 3\partial^3 \gamma_\varphi$;

➔ It satisfies the identities : $\begin{cases} \partial \cdot \mathcal{A}_\varphi - \frac{1}{2} \partial \mathcal{A}'_\varphi \equiv 0 \\ \mathcal{A}''_\varphi \equiv 0 \end{cases}$, and the Lagrangian is

$$\mathcal{L} = \frac{1}{2} \varphi (\mathcal{A}_\varphi - \frac{1}{2} \eta \mathcal{A}'_\varphi + \eta^2 \mathcal{B}_\varphi) - \varphi \cdot \mathcal{J}$$

Appendix: Hsp geometry & current exchanges, $m \neq 0$

➔ Consider the family of Lagrangians, for spin 4: [D.F. 2007, 2008]

$$\mathcal{L}(m) = \frac{1}{2} \varphi \{ \mathcal{E}_\varphi(a_1, a_2) - m^2 M_\varphi \} - \varphi \cdot \mathcal{J},$$

where \mathcal{J} is a *conserved* current: $\partial \cdot \mathcal{J} = 0$.

➔ The divergence of the eom

$$\partial \cdot \{ \mathcal{E}_\varphi(a_1, a_2) - m^2 (\varphi - \eta \varphi' - \eta^2 \varphi'') \} = \partial \cdot \mathcal{J} = 0,$$

implies the *same consequences as in the absence of \mathcal{J}* .

➔ Actually, $\forall a_1, a_2$ the eom reduce to

$$\square \varphi - \frac{\partial^2}{\square} \varphi' - 3 \frac{\partial^4}{\square^2} \varphi'' - m^2 (\varphi - \eta \varphi' - \eta^2 \varphi'') = \mathcal{J},$$

➔ where a_1, a_2 disappeared; computing the product $\mathcal{J} \cdot \mathcal{J}$:

(1) *only surviving contribution from the family of Einstein tensors is $\square \varphi$*

(2) *full structure of the propagator encoded in the coefficients of M_φ*

➔ Inverting the equation of motion we find the correct result

$$\mathcal{J} \cdot \varphi = \frac{1}{p^2 - m^2} \left\{ \mathcal{J} \cdot \mathcal{J} - \frac{6}{D+3} J' \cdot J' + \frac{3}{(D+1)(D+3)} J'' \cdot J'' \right\}$$

Appendix: Hsp geometry: uniqueness of mass deformation

The same mass term M_φ generates *infinitely many* consistent massive theories.

→

issue of uniqueness

I. ➔ Origin of the Fierz-Pauli mass-term, for $s = 2$: KK reduction ($\square \rightarrow \square - m^2$):

$$\mathcal{R}_{\mu\nu} - \frac{1}{2}\eta_{\mu\nu}\mathcal{R} \sim \square(h - \eta h') + \dots,$$

A similar mechanism for M_φ ?

➔ For each Einstein tensor $\mathcal{E}_\varphi(a_1, \dots, a_k)$ it is unambiguously defined the “pure massive” contribution of the reduction, neglecting singularities from $\frac{1}{\square} \rightarrow \frac{1}{\square - m^2}$:

$$\mathcal{E}_\varphi(a_1, \dots, a_k) \sim \square(\varphi + k_1 \eta \varphi' + k_2 \eta^2 \varphi'' + \dots) + \dots,$$

where $k_i = k_i(a_1, \dots, a_k)$.

➔ Is it possible to find a geometric theory whose “box” term encodes the coefficients of the generalised FP mass term M_φ ?

Yes! Up to spin 11 (at least) it is just the unique theory with the correct current exchange.

II. ➔ Why the mass term works well with *all* geometric Einstein tensors? Not too strange, also true for spin 2: the non-local (wrong!) theory defined by the eom

$$\mathcal{R}_{\mu\nu} - \frac{1}{2}\eta_{\mu\nu}\mathcal{R} + \lambda\left(\eta - \frac{\partial^2}{\square}\right)\mathcal{R} - m^2(h - \eta h') = T_{\mu\nu},$$

with $T_{\mu\nu}$ *conserved*, reduces to the Fierz system, and gives the correct current exchange!