

# **Integrability in Supersymmetric Gauge Theories and Topological Strings**

Andrei Marshakov

Lebedev Institute & ITEP, Moscow

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Old story (1995):

$\mathcal{N} = 2$  supersymmetric Yang-Mills theory = Yang-Mills-Higgs system plus fermions:

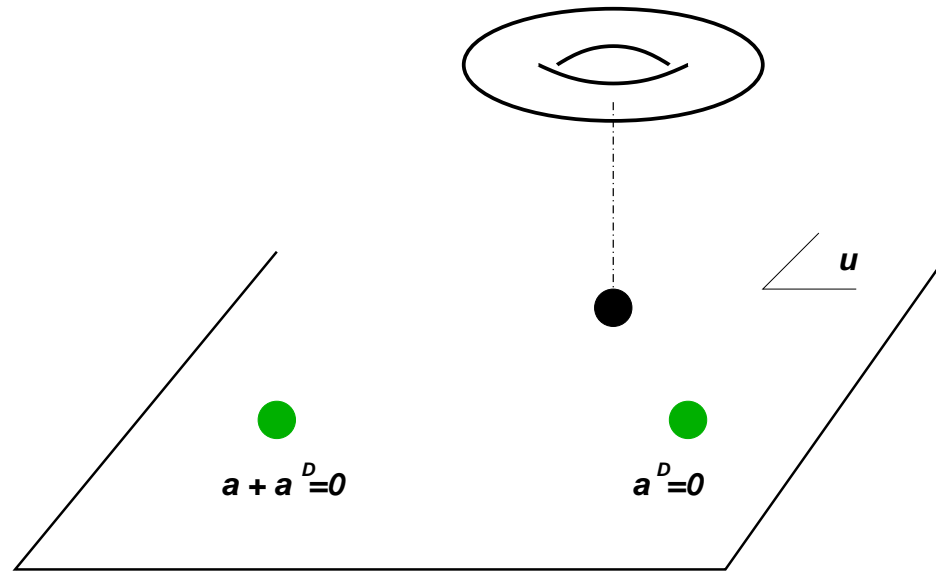
- Higgs field falls into condensate  $\langle \Phi \rangle \in \mathfrak{h}$ , and breaks the gauge group up to maximal torus (in general position);
- supersymmetry ensures (partial) cancelation of perturbative corrections, and existence of light BPS states, with masses  $\sim |q \cdot a + g \cdot a^D|$ ,  $(q, g)$  - set of electric and magnetic charges.

One may speak on *moduli space* of the theory:  $u \sim \langle \text{Tr} \Phi^2 \rangle$ , or generally the set coefficients of

$$P(z) = \langle \det(z - \Phi) \rangle \quad (1)$$

Classical moduli space: singular point at the origin  $u = 0$ , where the gauge group restores, and nothing interesting ... but this is in domain of strong coupling, where quasiclassics does not work.

## Quantum moduli space



Gauge group never restores, but there are singularities where BPS states become massless: e.g. the monopole at  $a^D = 0$  and dyon at  $a + a^D = 0$ .

Seiberg-Witten theory:  $\mathcal{N} = 2$  supersymmetric Yang-Mills theory ( $U(N_c)$  gauge group)

$$\mathcal{L}_0 = \frac{1}{g_0^2} \text{Tr} \left( F_{\mu\nu}^2 + |D_\mu \Phi|^2 + [\Phi, \bar{\Phi}]^2 + \dots \right) \quad (2)$$

so that  $[\Phi, \bar{\Phi}] = 0 \Rightarrow \Phi = \text{diag}(a_1, \dots, a_{N_c})$ , and  $D_\mu \Phi \Rightarrow [A_\mu, \Phi]^{ij} = A_\mu^{ij} (a_i - a_j)$ , so that only  $A_\mu^{ii} \equiv A_\mu^i$  remain massless.

SW theory gives a set of effective couplings  $T_{ij}(a)$  in the low-energy  $\mathcal{N} = 2$  SUSY Abelian  $U(1)^{\text{rank}}$  gauge theory.

$$\mathcal{L}_{\text{eff}} = \text{Im} T_{ij}(a) F_{\mu\nu}^i F_{\mu\nu}^j + \dots \quad (3)$$

with  $T_{ij} \xrightarrow{\text{weak coupling}} \log \frac{a_i - a_j}{\Lambda} + O\left(\left(\frac{\Lambda}{a}\right)^{2N_c}\right)$ .

$\mathcal{N} = 2$  kinematics encodes nontrivial information in holomorphic prepotential  $T_{ij} = \frac{\partial^2 \mathcal{F}}{\partial a_i \partial a_j}$  (effective action is  $\text{Im} \int d^4 \theta \mathcal{F}(\Phi)$ ).

The prepotential itself is determined by:  $\Sigma$  of genus=rank, with a meromorphic differential  $dS_{SW}$  such that

$$\delta dS_{SW} \simeq \text{holomorphic} \quad (4)$$

or by *an integrable system*.

Period variables  $\{a_i = \oint_{A_i} dS_{SW}\}$  and  $\mathcal{F}$  are introduced by

$$a_i^D = \oint_{B_i} dS_{SW} = \frac{\partial \mathcal{F}}{\partial a_i} \quad (5)$$

consistent by symmetry of  $\frac{\partial^2 \mathcal{F}}{\partial a_i \partial a_j} = T_{ij}(a)$  period matrix of  $\Sigma$  (integrability from Riemann bilinear identities).

Famous example of  $\Sigma$ : let  $P_{N_c}(z) = \langle \det(z - \Phi) \rangle$ , then

$$w + \frac{\Lambda^{2N_c}}{w} = P_{N_c}(z) = \prod_{i=1}^{N_c} (z - v_i) \quad (6)$$
$$dS_{SW} \simeq z \frac{dw}{w}$$

Integrable system is  $N_c$ -periodic Toda chain.

Simplest possible(?) example  $N_c = 2$ ,  $z \rightarrow$  momentum,  $\log w \rightarrow$  coordinate, the curve  $\Sigma$  and  $dS_{SW}$  turn into the Hamiltonian and Jacobi form of physical pendulum or the 1d “sine-Gordon” ( $\Lambda \rightarrow 0$ : Liouville) system

$$w + \frac{\Lambda^4}{w} = z^2 - u$$

In fact the simplest possible example is  $N_c = 1$  ( $U(1)$   $\mathcal{N} = 2$  supersymmetric gauge theory?)

$$\Lambda \left( w + \frac{1}{w} \right) = z - v \quad (7)$$

giving rise to  $\mathcal{F} = \frac{1}{2}a^2 t_1 + e^{t_1}$ , with  $\Lambda^2 = e^{t_1}$ ,  $a = \oint z \frac{dw}{w} = v$ .

Indeed, the Toda “chain” (dispersionless limit):

$$\frac{\partial^2 \mathcal{F}}{\partial t_1^2} = \exp \frac{\partial^2 \mathcal{F}}{\partial a^2}$$

Stringy solution  $\mathcal{F} = \frac{1}{2}a^2 t_1 + e^{t_1}$ : a system of particles  $a^D = \frac{\partial \mathcal{F}}{\partial a} = at_1$  with constant velocity = number =  $a$ .



Topological A-string on  $\mathbb{P}^1$  with quantum cohomology OPE:  
 $\varpi \cdot \varpi \simeq e^{t_1} \mathbf{1}$ , primary operators  $t_1 \leftrightarrow \varpi$ ,  $a \leftrightarrow \mathbf{1}$ :  
 $\mathcal{F} \sim \langle \exp(a\mathbf{1} + t_1\varpi) \rangle$  is a truncated generation function.

Toda hierarchy - the descendants:  $t_{k+1} \leftrightarrow \sigma_k(\varpi)$ ,  $T_n \leftrightarrow \sigma_n(\mathbf{1})$ ,  
( $a \equiv -T_0$ ) then

$$\mathcal{F} = \frac{a^2 t_1}{2} + e^{t_1} \Rightarrow \mathcal{F}(\mathbf{t}, a) \Rightarrow \mathcal{F}(\mathbf{t}, \mathbf{T}) \quad (8)$$

being still a solution to the Toda equation

$$\frac{\partial^2 \mathcal{F}}{\partial t_1^2} = \exp \frac{\partial^2 \mathcal{F}}{\partial a^2}$$

Solution is found via dual “Landau-Ginzburg” B-model (the  $N_c = 1$  SW curve)

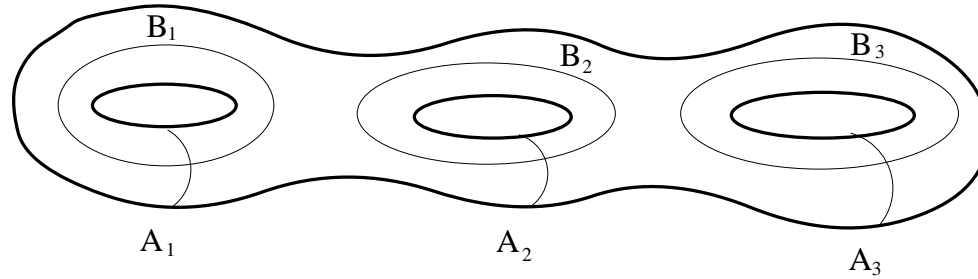
$$z = v + \Lambda \left( w + \frac{1}{w} \right) \quad (9)$$

by construction of a function with asymptotics,

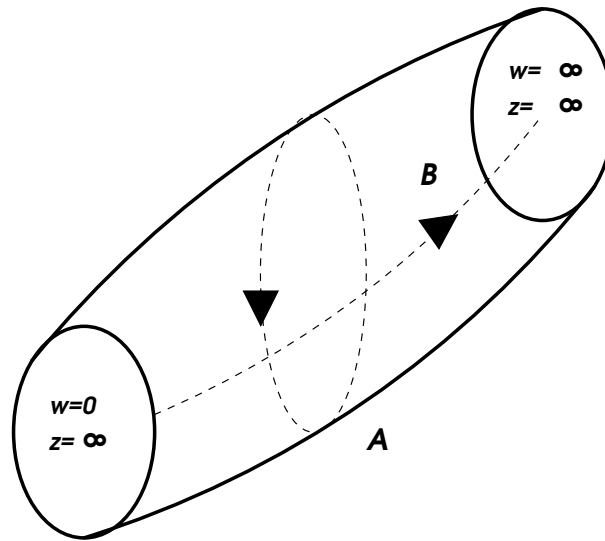
$$\begin{aligned} S(z) \underset{z \rightarrow \infty}{=} & \sum_{k>0} t_k z^k - 2 \sum_{n>0} T_n z^n (\log z - c_n) + \\ & + 2a \log z - \frac{\partial \mathcal{F}}{\partial a} - 2 \sum_{k>0} \frac{1}{k z^k} \frac{\partial \mathcal{F}}{\partial t_k} \end{aligned} \quad (10)$$

( $c_k = \sum_{i=1}^k \frac{1}{i}$ ), whose “tail” defines the gradients of prepotential (analogs of the dual periods), e.g.

$$\frac{\partial \mathcal{F}}{\partial a} \sim \int_B z \frac{dw}{w} \sim [S]_0$$



*Smooth Riemann surface (of genus 3)  
with fixed A- and B-cycles.*



*Cylinder  $z = v + \Lambda \left( w + \frac{1}{w} \right)$   
with degenerate B- cycle.*

What is the sense of this oversimplified example?

Topological A-string: the prepotential counts asymptotics of the Hurwitz numbers, number of ramified covers by string world-sheets of the (target!)  $\mathbb{P}^1$ .

Gauge-string duality: sum over partitions  $\equiv$  summing instantons in 4D  $\mathcal{N} = 2$  SUSY gauge theory (Nekrasov partition function).

$U(1)$  gauge theory: non-commutative instantons, Toda hierarchy - the deformation of the UV prepotential

$$F_{UV,0} = \frac{1}{2}\tau\Phi^2 \rightarrow F_{UV} = \sum_{k>0} \frac{t_k}{k+1} \Phi^k$$

with  $\tau = t_1 \sim \log \Lambda$ .

Partition function in deformed gauge theory (at  $T_n = \delta_{n,1}$ )

$$Z(a, \mathbf{t}; \hbar) = \sum_{\mathbf{k}} \frac{\mathbf{m}_{\mathbf{k}}^2}{(-\hbar^2)^{|\mathbf{k}|}} e^{\frac{1}{\hbar^2} \sum_{k>0} \frac{t_k}{k+1} \text{ch}_{k+1}(a, \mathbf{k}, \hbar)} \sim \quad (11)$$

$$\sim \exp\left(\frac{1}{\hbar^2} \mathcal{F}(a, \mathbf{t}) + \dots\right)$$

is some over set of partitions  $\mathbf{k} = k_1 \geq k_2 \geq \dots$  with the Plancherel measure

$$\mathbf{m}_{\mathbf{k}} = \prod_{i < j} \frac{k_i - k_j + j - i}{j - i} = \frac{\prod_{1 \leq i < j \leq \ell_{\mathbf{k}}} (k_i - k_j + j - i)}{\prod_{i=1}^{\ell_{\mathbf{k}}} (\ell_{\mathbf{k}} + k_i - i)!} \quad (12)$$

and particular (Chern) polynomials

$$\text{ch}_0(a, \mathbf{k}) = 1, \quad \text{ch}_1(a, \mathbf{k}) = a, \quad \text{ch}_2(a, \mathbf{k}) = a^2 + 2\hbar^2 |\mathbf{k}|$$

$$\text{ch}_3(a, \mathbf{k}) = a^3 + 6\hbar^2 a |\mathbf{k}| + 3\hbar^3 \sum_i k_i (k_i + 1 - 2i) \quad (13)$$

...

or

$$\left( e^{\frac{\hbar u}{2}} - e^{-\frac{\hbar u}{2}} \right) \sum_{i=1}^{\infty} e^{u(a + \hbar(\frac{1}{2} - i + k_i))} = \sum_{l=0}^{\infty} \frac{u^l}{l!} \text{ch}_l(a, \mathbf{k}, \hbar) \quad (14)$$

coming from the Chern classes of the universal bundle over the instanton moduli space.

The  $\mathbf{T}$ -dependence  $Z(a, \mathbf{t}) \rightarrow Z(a, \mathbf{t}, \mathbf{T})$  is restored from the Virasoro constraints

$$L_n(\mathbf{t}, \mathbf{T}; \partial_{\mathbf{t}}, \partial_{\mathbf{T}}; \partial_{\mathbf{t}}^2) Z(a, \mathbf{t}, \mathbf{T}; \hbar) = 0, \quad n \geq -1 \quad (15)$$

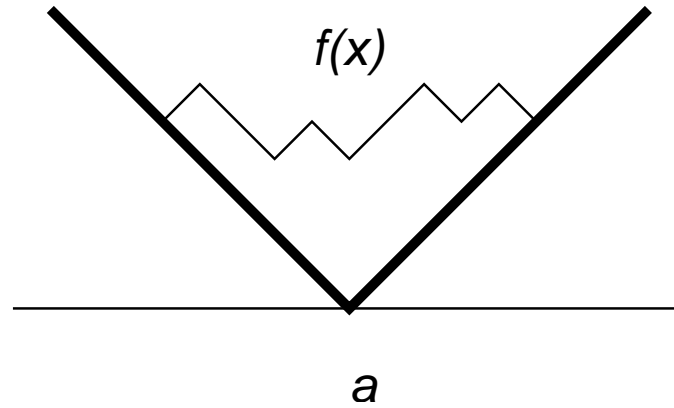
Non Abelian theory:  $U(N_c)$  gauge group, nontrivial SW theory. Partition function more complicated, but quasiclassics always given by solution to *the same* functional problem:

$$\begin{aligned} \mathcal{F} = & \int dx f''(x) F_{UV}(x) - \frac{1}{2} \int_{x > \tilde{x}} dx d\tilde{x} f''(x) f''(\tilde{x}) F(x - \tilde{x}) + \\ & + \sum_{i=1}^{N_c} a_i^D \left( a_i - \frac{1}{2} \int dx x f''(x) \right) \end{aligned} \quad (16)$$

with  $F_{UV}(x) = \sum_{k>0} t_k \frac{x^{k+1}}{k+1}$ , and

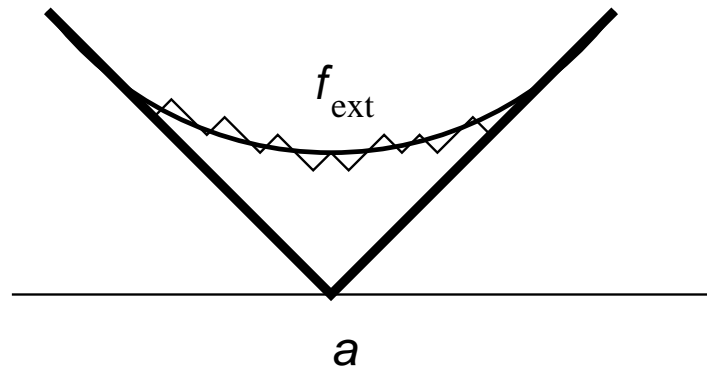
$$\log m_{\mathbf{k}}^2 \rightarrow F(x) \propto x^2 \left( \log x - \frac{3}{2} \right)$$

when integrated with (double derivative of the) shape function



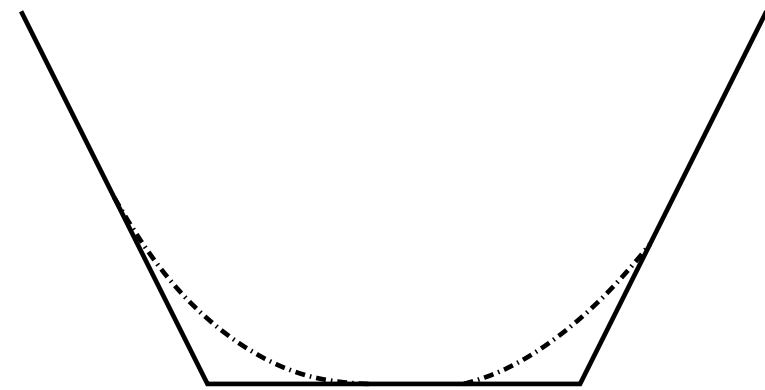
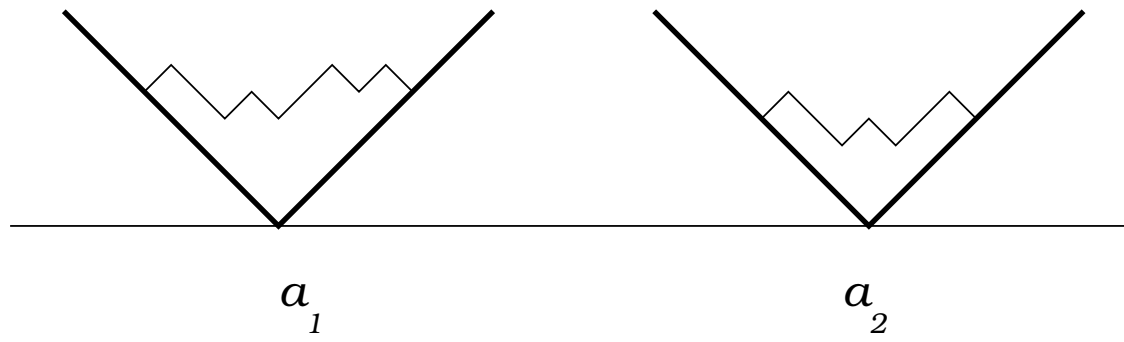
*Shape function for partitions (Young diagrams)*

$$f(x) = |x - a| + \Delta f(x)$$



*Extremal shape for large partition*





*Non-Abelian theory: extremal shape for  $N_c = 2$*

From the functional one gets for  $S(z) = \frac{d}{dz} \frac{\delta \mathcal{F}}{\delta f''(z)}$

$$S(z) = \sum_{k>0} t_k z^k - \int dx f''(x) (z-x) (\log(z-x) - 1) - a^D \quad (17)$$

with vanishing real part

$$\text{Re } S(x) = \frac{1}{2} (S(x+i0) + S(x-i0)) = 0 \quad (18)$$

on the cut, where  $\Delta f(x) \neq 0$ . On the double cover

$$y^2 = \prod_{i=1}^{N_c} (z - x_i^+) (z - x_i^-) \quad (19)$$

$S$  is odd under  $y \leftrightarrow -y$ , then  $f'(x) \sim \text{jump} \left( \Phi(x) = \frac{dS}{dx} \right)$ , and

$$d\Phi = \pm \frac{s(z) dz}{\sqrt{\prod_{i=1}^{N_c} (z - x_i^+) (z - x_i^-)}} \quad (20)$$

If all  $t_k = 0$ , for  $k > 1$ ,  $t_1 = \log \Lambda^{N_c}$ ,  $T_n = \delta_{n,1}$ :

$$\Phi_{P \rightarrow P_{\pm}} = \mp 2N_c \log z \pm 2N_c \log \Lambda + O(z^{-1}) \quad (21)$$

and there exists a meromorphic function  $w = \Lambda^{N_c} \exp(-\Phi)$ , satisfying

$$w + \frac{\Lambda^{2N_c}}{w} = P_{N_c}(z) = \prod_{i=1}^{N_c} (z - v_i) \quad (22)$$

which restores the SW curve.

To restore the dependence on descendants  $\sigma_n(\mathbf{1})$  quasiclassically (influenced by Saito formula)

$$\left. \frac{\partial \mathcal{F}}{\partial T_n} \right|_{\mathbf{t}} = (-)^n n! (S_n)_0 \quad (23)$$

where

$$\frac{d^n S_n}{dz^n} = S, \quad n \geq 0 \quad (24)$$

or  $S_n$  is the  $n$ -th primitive (odd under  $w \leftrightarrow \frac{1}{w}$ ).

For higher  $t_k \neq 0$ ,  $\exp(-\Phi)$  has an essential singularity and cannot be described algebraically. Implicitly it is fixed by

$$\begin{aligned} \oint_{A_j} d\Phi &= -i\pi \int_{\mathbf{I}_j} f''(x) dx = -2\pi i, \\ \text{res}_{P_{\pm}} d\Phi &= \mp 2N_c, \quad \oint_{B_j} d\Phi = 0 \end{aligned} \quad (25)$$

Instanton expansion in 4d gauge theory  $\mathcal{F} = \sum_{d \geq 0} q^d \mathcal{F}_d$ ,  
 $q \sim \Lambda^{2N_c}$ ,  $\log \Lambda \sim t_1$ .

Topological string expansion:  $\hbar$  is background parameter (IR cutoff) in 4d gauge theory.

Topological string condensate:  $\langle \sigma_1(\mathbf{1}) \rangle \neq 0$ ,  $T_n = \delta_{n,1}$  is the simplest possible background, while  $a \sim T_0$  is the gauge theory condensate itself.

In the perturbative limit  $\Lambda \rightarrow 0$  cuts shrink to the points  $z = a_j$ ,  $j = 1, \dots, N_c$ : the curve is

$$w_{\text{pert}} = P_{N_c}(z) = \prod_{i=1}^{N_c} (z - v_i) \quad (26)$$

endowed with ( $\mathbf{t}(z) \equiv \sum_{k>0} t_k z^k$ ;  $T(x) \equiv \sum_{n>0} T_n x^n$ )

$$S(z) = -2 \sum_{j=1}^{N_c} \sigma(z; v_j) + \mathbf{t}'(z) \quad (27)$$

$$\sigma(z; x) = \sum_{k>0} \frac{T^{(k)}(x)}{k!} (z - x)^k (\log(z - x) - c_k)$$

Logic:

- restrict to the  $N$ -th class of backgrounds, with only  $T_1, \dots, T_N \neq 0$ ;
- the “minimal” theory was with  $T_n = \delta_{n,1}$  and  $\mathcal{F} = \mathcal{F}(a, \mathbf{t})$ ;  $T_1 = 1$  corresponds to the condensate  $\langle \sigma_1(\varpi) \rangle \neq 0$ ;
- $N + 1$ -th derivative of  $S$  becomes single-valued.

Perturbative solution:

$$a_i^D = S(v_i) = \frac{\partial \mathcal{F}_{\text{pert}}}{\partial a_i} \quad (28)$$

gives rise to

$$\begin{aligned} \mathcal{F}_{\text{pert}}(a_1, \dots, a_{N_c}; \mathbf{t}, \mathbf{T}) &= \sum_{j=1}^{N_c} F_{UV}(a_j; \mathbf{t}, \mathbf{T}) + \\ &+ \sum_{i \neq j} F(a_i, a_j; \mathbf{T}) \quad (29) \\ a_j &= T(v_j), \quad j = 1, \dots, N_c \end{aligned}$$



Result: the full functional  $\mathcal{F}(a, \mathbf{t}, \mathbf{T})$  is given by solution to:

$$\begin{aligned} \mathcal{F} = & -\frac{1}{2} \int_{x_1 > x_2} dx_1 dx_2 f''(x_1) f''(x_2) F(x_1, x_2; \mathbf{T}) + \\ & + \int dx f''(x) F_{UV}(x; \mathbf{t}, \mathbf{T}) + \\ & + \sum_i a_i^D \left( a_i - \frac{1}{2} \int dx x f''(x) \right) \end{aligned} \quad (30)$$

with

$$F_{UV}(x; \mathbf{t}, \mathbf{T}) = \int_0^x t'(x) dT(x) \quad (31)$$

and the kernel

$$\frac{\partial^2 F(x_1, x_2; \mathbf{T})}{\partial x_1 \partial x_2} = T'(x_1) T'(x_2) \log(x_1 - x_2) \quad (32)$$

Nonabelian theory: solve the variational equation

$$\mathbf{t}'(z) - \int dx f''(x) \sigma(z; x) = a^D, \quad z \in \mathbf{I} \quad (33)$$

with  $\mathbf{I} = \cup \text{cuts}$ . The integral

$$S(z) = \mathbf{t}'(z) - a^D - \int dx f''(x) \sigma(z; x) \quad (34)$$

is multivalued, due to the logarithms in  $\sigma(z; x)$ , but its  $N+1$ -th derivative

$$d\Phi^{(N-1)} = d \left( \frac{d^N S}{dz^N} \right) \quad (35)$$

can be already decomposed over abelian differentials.

It is determined by singularities at  $z(P_{\pm}) = \infty$  and at the branch points  $\{x_j\}$ ,  $j = 1, \dots, 2N_c$ , where it has poles due to  $f''(x) \sim (x - x_j)^{-1/2}$  (cf. with matrix models!). In fact  $\Phi', \dots, \Phi^{(N-1)}$  are regular  $2-, \dots, N-$  differentials on the curve.

One writes

$$d\Phi^{(N-1)} = \frac{\phi(z)dz}{y} + \frac{dz}{y} \sum_{j=1}^{2N_c} \sum_{k=1}^{N-1} \left( \frac{q_j^k}{(z-x_j)^k} \right) \quad (36)$$

fix the periods of  $d\Phi^{(N-2)}, \dots, d\Phi'$  by  $2N_c$  constraints, ending up, therefore with

$$(2N+1)N_c - 2N_c \cdot N = N_c \quad (37)$$

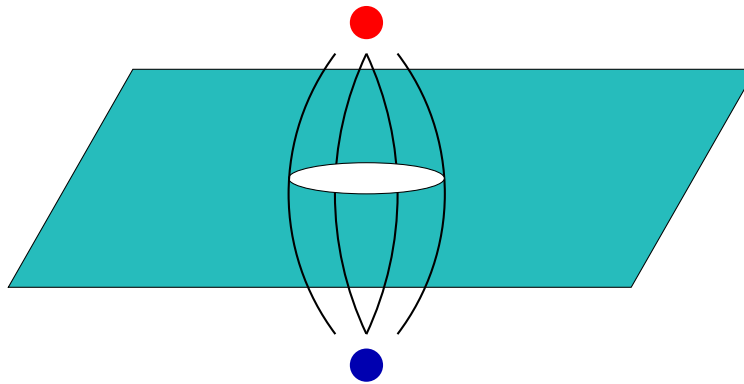
variables, to be absorbed by the Seiberg-Witten periods

$$a_j = \frac{1}{4\pi i} \oint_{A_j} \frac{z^N}{N!} d\Phi^{(N-1)}, \quad j = 1, \dots, N_c \quad (38)$$

and define the prepotential by

$$a_j^D = \frac{1}{2} \oint_{B_j} \frac{z^N}{N!} d\Phi^{(N-1)} = \frac{\partial \mathcal{F}}{\partial a_j}, \quad j = 1, \dots, N_c \quad (39)$$

The Meissner mechanism in superconductor: condensation of electric charge kills magnetic field except for a thin tube, ensuring confinement of magnetic monopoles, if they exist !



To turn into problem of mathematical physics one needs:

- condensates,
- duality between electric and magnetic charges.

- Effective theory near  $\mathcal{N} = 2$  singularity or  $\mathcal{N} = 1$  vacuum;
- Supersymmetric QCD with large fundamental masses: weak coupling  $m \gg \Lambda$  and confinement of monopoles by ANO strings.
- Towards strong coupling: regime of dual theory,  $m \ll \Lambda$ , change of quantum numbers, but still confinement of monopoles!

New integrable structures:

- Monodromies in “mass moduli space” and KZ equation;
- World-sheet sigma model for ANO string: integrable structure, describing the space of vacua, or quantum numbers in 4d gauge theory!