

# Strange metals from holography

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Hong Liu, John McGreevy, DV [arXiv:0903.2477](#)

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Thomas Faulkner, Gary Horowitz, John McGreevy, Matthew Roberts, DV [arXiv:0911.3402](#)

Thomas Faulkner, Nabil Iqbal, Hong Liu, John McGreevy, DV [arXiv:1003.1728](#) and in progress

(see also: Sung-Sik Lee, [arXiv:0809.3402](#) ; Cubrovic, Zaanen, Schalm, [arXiv:0904.1933](#))

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# Introduction

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### Holographic non-Fermi liquids

$AdS_4$  –  $BH$  geometry

Fermi surfaces

Near-horizon  $AdS_2$  and emergent IR CFT

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Near-horizon  $AdS_2$  and emergent IR CFT

### Charge transport

Conductivity

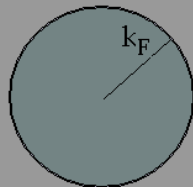
Summary

# Fermions at finite density

- ▶ Landau Fermi liquid theory

[Landau, 1957] [Abrikosov-Khalatnikov, 1963]

stable RG fixed point [Polchinski, Shankar]  
 (modulo BCS instability) [Benfatto-Gallivotti]



- ▶ (i)  $\exists$  Fermi surface
- ▶ (ii) (weakly) interacting *quasiparticles*  
 $\Rightarrow$  thermodynamics, transport properties
- ▶ appear as *poles* in the single-particle Green's function:

$$G_R(t, \vec{x}) = i\theta(t) \cdot \langle \{ \psi^\dagger(t, \vec{x}), \psi(0, \vec{0}) \} \rangle_{\mu, T}$$

$$G_R(\omega, \vec{k}) = \frac{Z}{\omega - v_F k_\perp - \Sigma} + \dots, \quad k_\perp \equiv |\vec{k}| - k_F \quad \Sigma \sim i\omega_*^2$$

$$\rho(\omega, \vec{k}) \equiv \text{Im } G_R(\omega, \vec{k}) \xrightarrow{k_\perp \rightarrow 0} Z \delta(\omega - v_F k_\perp) \quad \text{with } Z \text{ finite}$$

# Non-Fermi liquids do exist

- ▶ sharp Fermi surface still present ✓
- ▶ no long-lived quasiparticles ✗  
pole residue can vanish
- ▶ anomalous thermodynamic and transport properties

## Examples

- ▶ 1+1d Luttinger liquid: interacting fermions  $\rightarrow$  free bosons
- ▶ Mott metal-insulator transition
- ▶ heavy fermion compounds
- ▶ high-temperature superconductors [Müller, Bednorz, 1986]  
pseudogap, Fermi arcs and pockets, density waves  
optimally doped cuprates:  $\rho \sim T$

## Organizing principle for non-Fermi liquids?

# Strategy

- ▶ set up finite density state
- ▶ look for a Fermi surface
- ▶ study low-energy excitations near FS
- ▶ transport properties

# AdS<sub>4</sub> – BH geometry

Relativistic CFT<sub>3</sub> with gravity dual and conserved U(1) global symmetry

$$S = \frac{1}{2\kappa^2} \int d^4x \sqrt{-g} \left( \mathcal{R} + \frac{6}{R^2} - \frac{1}{4} F_{\mu\nu} F^{\mu\nu} + \dots \right)$$

Charged black hole solution

$$ds^2 = \frac{r^2}{R^2} (-f(r) dt^2 + d\vec{x}^2) + R^2 \frac{dr^2}{f(r)r^2}$$

$$f(r) = 1 + \frac{Q^2}{r^4} - \frac{M}{r^3} \quad A = \mu \left( 1 - \frac{r_0}{r} \right) dt$$

where  $\mu$  = chemical potential, horizon at  $r = r_0$ .



## Retarded spinor Green's function

Introduce  $\psi$  spinor field in the *AdS* – *BH* background

$$S_{probe} = \int d^4x \sqrt{-g} (\bar{\psi}(\not{D} - m)\psi + interactions)$$

with  $D_\mu = \partial_\mu + \frac{1}{4}\omega_{ab\mu}\Gamma^{ab} - iqA_\mu$ .

**Universality:** for two-point functions, the interaction terms do not matter.  
 Results only depend on  $(q, \Delta)$        $\Delta = \frac{3}{2} \pm mR$

Prescription [Henningson-Sfetsos] [Mück-Viswanathan] [Son-Starinets] [Iqbal-Liu]

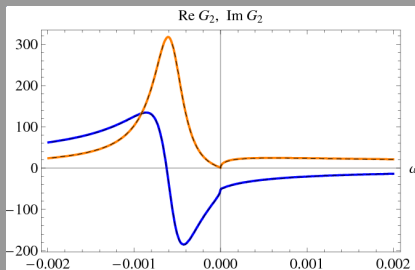
- ▶ Solve the Dirac equation for the bulk spinor in *AdS* – *BH*
- ▶ Impose infalling boundary conditions at the horizon
- ▶ Expand the solution at the boundary

$$\psi = (-gg^{rr})^{-1/4} e^{-i\omega t + ikx} \Psi \quad \Phi_\alpha = \frac{1}{2}(1 - (-1)^\alpha \Gamma^r \Gamma^t \Gamma^x) \Psi$$

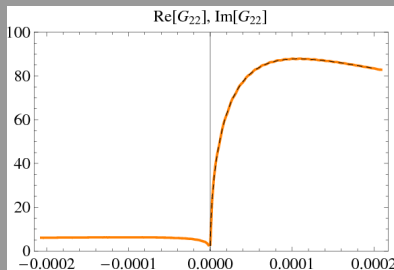
$$\Phi_\alpha \stackrel{r \rightarrow \infty}{\approx} a_\alpha r^m \begin{pmatrix} 0 \\ 1 \end{pmatrix} + b_\alpha r^{-m} \begin{pmatrix} 1 \\ 0 \end{pmatrix} \quad G_\alpha(\omega, k) = \frac{b_\alpha}{a_\alpha} \quad \alpha = 1, 2$$

## Fermi surfaces

At  $q = 1, \Delta = 3/2$  the numerical computation gives [Liu-McGreedy-DV]



$k = 0.9$



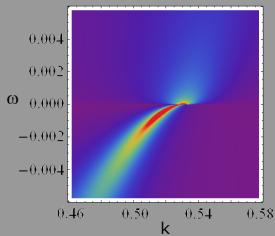
$k = 0.925$

$$k_F \approx 0.9185284990530$$

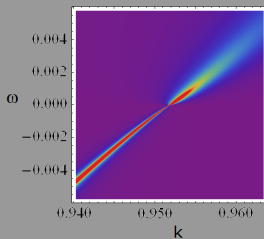
- ▶ Quasiparticle-like peaks for  $k < k_F$   $\omega \sim k_{\perp}^z$  with  $z \approx 2.09$
- ▶ Bumps for  $k > k_F$
- ▶ Scaling behavior:  $G_R(\lambda k_{\perp}, \lambda^z \omega) = \lambda^{-\alpha} G_R(k_{\perp}, \omega)$  with  $\alpha = 1$
- ▶ non-Fermi liquid!

# Fermi surfaces

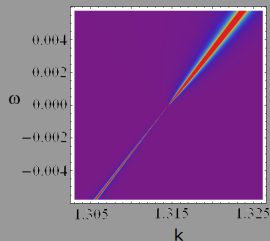
dispersion from 'photoemission' results



$q = 1.0$   $k_F = 0.53$



$q = 1.56$   $k_F = 0.95$

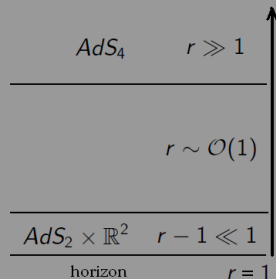


$q = 2.0$   $k_F = 1.32$

# Black hole geometry

$$ds^2 = \frac{r^2}{R^2} \left[ -f(r)dt^2 + d\vec{x}^2 \right] + R^2 \frac{dr^2}{f(r)r^2} \quad f(r) = 1 + \frac{3}{r^4} - \frac{4}{r^3}$$

- ▶ *Emergent "IR CFT"*  
 $AdS_2 \Leftrightarrow$  "(0+1)-d CFT"
- ▶ At low frequencies, the parent theory is controlled by IR CFT.
- ▶ Each UV operator  $\mathcal{O}$  is associated to a family of operators  $\mathcal{O}_{\vec{k}}$  in IR.
- ▶ Conformal dimensions in IR



$$\delta_{\vec{k}} = \frac{1}{2} + \nu_{\vec{k}} \quad \nu_{\vec{k}} = \frac{1}{\sqrt{6}} \sqrt{\left(\Delta - \frac{3}{2}\right)^2 + k^2 - \frac{q^2}{2}} \quad \mathcal{G}_k(\omega) = c(k)\omega^{2\nu_k}$$

## Small $\omega$ expansion

- ▶ To understand scaling around FS, study low- $\omega$  behavior of correlators.
- ▶ Not straightforward:  
 $\omega$ -dependent terms in DE are singular near the horizon.

Strategy [Faulkner-Liu-McGreevy-DV]

- ▶ Separate spacetime into UV region and the  $AdS_2 \times \mathbb{R}^2$  IR region.
- ▶ Perform small  $\omega$  expansions separately.
- ▶ Match them at the overlapping region.

Result

$$G_R(\omega, k) = \frac{b_+^{(0)} + \omega b_+^{(1)} + O(\omega^2) + \mathcal{G}_k(\omega) \left[ b_-^{(0)} + \omega b_-^{(1)} + O(\omega^2) \right]}{a_+^{(0)} + \omega a_+^{(1)} + O(\omega^2) + \mathcal{G}_k(\omega) \left[ a_-^{(0)} + \omega a_-^{(1)} + O(\omega^2) \right]}$$

$\mathcal{G}_k(\omega) \in \mathbb{C}$  retarded correlator for  $\mathcal{O}_{\vec{k}}$  in IR CFT

$a_{\pm}^{(0)}, a_{\pm}^{(1)}, b_{\pm}^{(0)}, b_{\pm}^{(1)} \in \mathbb{R}$  are  $k$ -dependent functions (from UV region)

# Fermi surfaces

$$G_R(\omega, k) = \frac{b_+^{(0)} + \omega b_+^{(1)} + O(\omega^2) + \mathcal{G}_k(\omega) \left[ b_-^{(0)} + \omega b_-^{(1)} + O(\omega^2) \right]}{a_+^{(0)} + \omega a_+^{(1)} + O(\omega^2) + \mathcal{G}_k(\omega) \left[ a_-^{(0)} + \omega a_-^{(1)} + O(\omega^2) \right]}$$

Suppose that for some  $k_F$ :  $a_+^{(0)}(k_F) = 0$

Then, at small  $\omega, k_\perp$  we have

$$G_R(k, \omega) = \frac{h_1}{k_\perp - \frac{1}{v_F}\omega - h_2 \mathcal{G}_{k_F}(\omega)} + \dots$$

$$\mathcal{G}_k(\omega) = c(k)\omega^{2\nu_k} \quad \nu_{\vec{k}} = \frac{1}{\sqrt{6}} \sqrt{m^2 + k^2 - \frac{q^2}{2}} \quad h_1, h_2, v_F \in \mathbb{R}$$

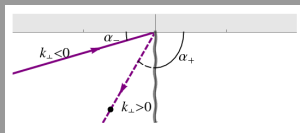
This is the **quasiparticle peak** we saw earlier.

# Fermi surfaces: singular and non-singular

Suppose  $\nu_k < \frac{1}{2}$

$$G_R(k, \omega) = \frac{h_1}{k_\perp - h_2 \mathcal{G}_{k_F}(\omega)} + \dots$$

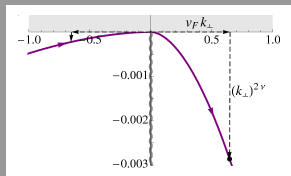
$$\omega_*(k) \sim k_\perp^z \quad z = \frac{1}{2\nu_{k_F}} > 1 \quad \frac{\Gamma(k)}{\omega_*(k)} = \text{const} \quad Z \sim k_\perp^{\frac{1-2\nu_{k_F}}{2\nu_{k_F}}} \rightarrow 0, k_\perp \rightarrow 0$$



Suppose  $\nu_k > \frac{1}{2}$

$$G_R(k, \omega) = \frac{h_1}{k_\perp - \frac{1}{v_F} \omega - h_2 c(k_F) \omega^{2\nu_{k_F}}} + \dots$$

$$\omega_*(k) \sim v_F k_\perp \quad \frac{\Gamma(k)}{\omega_*(k)} = k_\perp^{2\nu_{k_F}-1} \rightarrow 0 \quad Z = h_1 v_F$$



# Fermi surfaces: Marginal Fermi liquid

$$G_R(k, \omega) = \frac{h_1}{k_{\perp} - \frac{1}{v_F}\omega - h_2 c(k_F)\omega^{2\nu_{k_F}}} + \dots$$

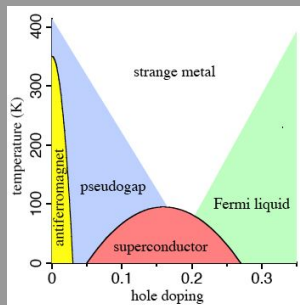
Suppose  $\nu_k = \frac{1}{2}$

$v_F$  goes to zero,  $c(k_F)$  has a pole

$$G_R(k, \omega) = \frac{h_1}{k_{\perp} + c_1\omega + \tilde{c}_2\omega \log \omega + ic_2\omega}$$

where  $c \in \mathbb{R}$ .

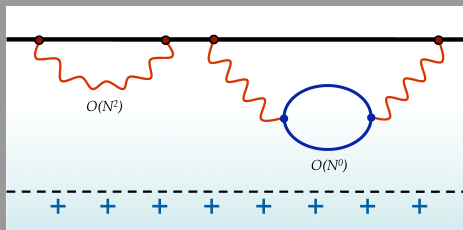
This is the Marginal Fermi liquid  
 Green's function [Varma, 1989]





# Charge transport

- ▶ Normal phase of optimally doped high- $T_c$ :  $\rho = (\sigma_{DC})^{-1} \sim T$
- ▶ impurities:  $\rho \sim \text{const.}$
- ▶ e-e scattering:  $\rho \sim T^2$
- ▶ e-phonon scattering:  $\rho \sim T^5$
- ▶ Compute conductivity contribution of the holographic Fermi surfaces  
[Faulkner-Iqbal-Liu-McGreevy-DV]

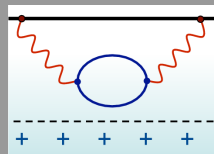


# Charge transport

- ▶ tree-level conductivity dominates

$$\sigma_{DC} = \lim_{\omega \rightarrow 0} \frac{1}{\omega} \text{Im} \langle j_x j_x \rangle = N^2 \sigma^{tree} + N^0 \sigma^{FS} + \dots$$

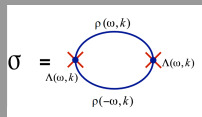
- ▶ gauge field  $a_x$  mixes with graviton
- ▶ trick: bulk spectral density factorizes



$$\text{Im} D_{\alpha\beta}(\Omega, \vec{k}; r_1, r_2) = \frac{\psi_{\alpha}^{norm.}(\Omega, \vec{k}, r_1) \overline{\psi_{\beta}^{norm.}}(\Omega, \vec{k}, r_2)}{W} \rho(\Omega, \vec{k})$$

- ▶ we obtain

$$\sigma(\omega) = \frac{C}{i\omega} \int d\vec{k} \int \frac{d\omega_1}{2\pi} \frac{d\omega_2}{2\pi} \frac{f(\omega_1) - f(\omega_2)}{\omega_1 - \omega - \omega_2 - i\epsilon} \times \\ \times \rho(\omega_1, \vec{k}) \Lambda(\omega_1, \omega_2, \omega, \vec{k}) \Lambda(\omega_2, \omega_1, \omega, \vec{k}) \rho(\omega_2, \vec{k})$$



where  $\rho(\omega, \vec{k})$  is the single-particle spectral function,  $f(\omega) = \frac{1}{e^{\frac{\omega}{T}} + 1}$

$$\Lambda(\omega_1, \omega_2, \Omega, \vec{k}) = \int dr \sqrt{g_{rr}} \overline{\Psi}(r; \omega_1, k) Q(r; \Omega, k) \Psi(r; \omega_2, k)$$

# Charge transport

- ▶ similar to Fermi liquid calculation

- ▶  $\sigma_{DC} \sim T^{-2\nu}$       where       $\nu = \frac{1}{\sqrt{6}} \sqrt{m^2 + k_F^2 - \frac{q^2}{2}}$

- ▶ Marginal Fermi liquid:  $\nu = \frac{1}{2}$        $\Rightarrow$        $\sigma_{DC} \sim \frac{1}{T}$

- ▶ optical conductivity

$$\nu < \frac{1}{2} \quad \sigma(\omega) \sim T^{-2\nu} F_1(\omega/T)$$

for  $\omega \gg T$ :  $\sigma(\omega) \sim (i\omega)^{-2\nu}$

$$\nu > \frac{1}{2} \quad \text{for } \omega \sim T^{2\nu}: \sigma(\omega) \sim \frac{\sigma_0}{1+i\omega\tau} \text{ (Drude)}$$

for  $\omega \gg T$ :  $\sigma(\omega) \sim \frac{ia}{\omega} + b(i\omega)^{2\nu-2}$

# Summary

- ▶ charged black hole in  $AdS$
- ▶ probe fermion spectral function shows Fermi surfaces
- ▶ (non-)Fermi liquids, marginal Fermi liquids
- ▶ conductivity:  $\rho \sim T$  for MFL

- 
- ▶ away from large  $N$ ?
  - ▶ backreaction of bulk fermions? Lifshitz spacetime
  - ▶ quantum phase transitions