

CFT\ADS/CFT

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AdS₄/CFT₃ and the holographic states of matter
The Galileo Galilei Institute for Theoretical Physics
September 28, 2010

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OUTLINE

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- ❖ Free fields on AdS_4 : boundary conditions
- ❖ $\mathcal{N} = 4$ SYM on AdS_4 : Perturbative analysis
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- ❖ Discussion

Motivation

Field theory motivation:

- Would like to understand dynamics of strongly coupled fields in curved spacetime.
- Quantitative computations of expectation values of local operators, say $\langle T_{\mu\nu} \rangle$?

Why AdS?:

- Maximally symmetric spacetime.
- Not globally hyperbolic \rightarrow role of boundary conditions and influence on dynamics?
- AdS spacetime provides a geometric IR cut-off.

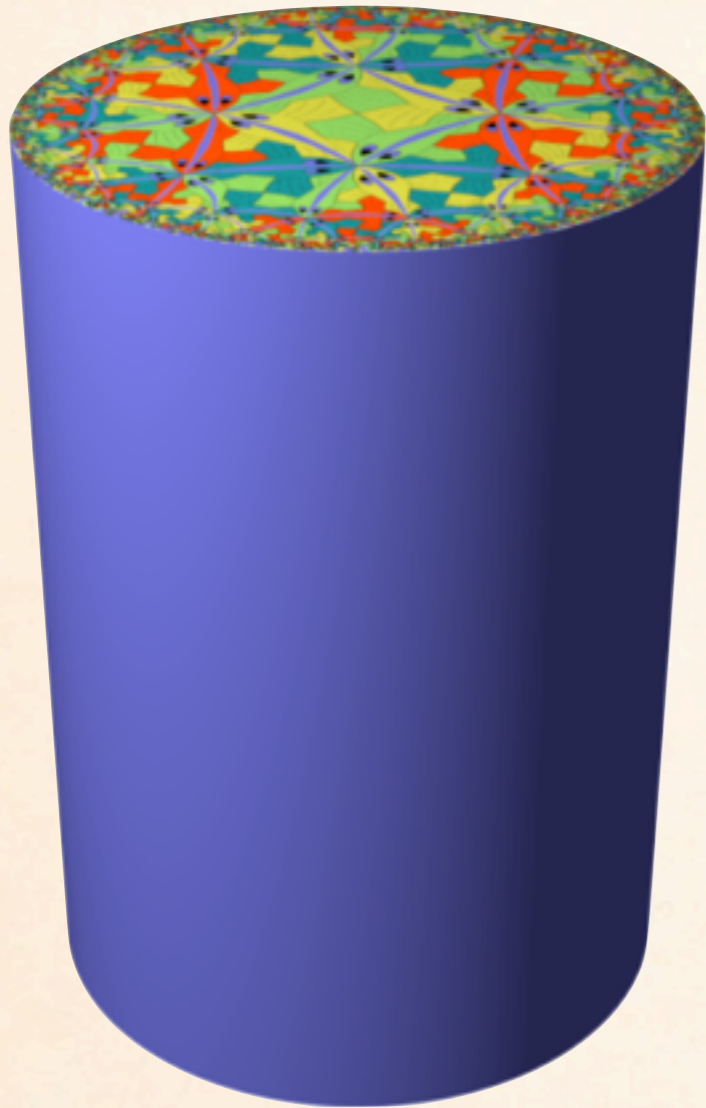
Motivation

AdS/CFT motivation:

- `Russian doll' holography:
 - ◆ What is the boundary dynamics of strongly interacting QFT + weak AdS gravity?
 - ◆ Given a field theory, say $\mathcal{N} = 4$, what is the bulk dual when we consider this theory AdS_4 ?

- Better understanding of $\mathcal{N} = 4$ dynamics: rich story...

Global AdS spacetime



\mathcal{I}^+

$$ds^2 = - \left(1 + \frac{r^2}{\ell^2} \right) dt^2 + \frac{dr^2}{\left(1 + \frac{r^2}{\ell^2} \right)} + r^2 d\Omega^2$$

$$\partial(\text{AdS}_d) = \mathbf{R} \times \mathbf{S}^{d-2}$$

Global AdS is a cylinder with a time-like boundary which is a copy of the Einstein Static Universe (Lorentzian cylinder).

Fields on AdS: Boundary conditions

- AdS is not globally hyperbolic, and has a timelike \mathcal{I}^+ \Rightarrow boundary conditions are necessary to prescribe dynamics.
- For classical scalars in AdS_d satisfying Klein-Gordon equation with $m^2 l^2 = \Delta(\Delta - (d-1))$

$$\phi(r) \rightarrow A(x) r^{\Delta-(d-1)} + B(x) r^{-\Delta}$$

- Usual boundary conditions for $\Delta > d/2$:
 - ◆ fix $A(x)$ to be source for boundary operator
 - ◆ $B(x)$ is the vev of dual operator (response).

Fields on AdS: Boundary conditions

- It is however well known that in a special range of masses other boundary conditions are allowed.

$$m_{BF}^2 = -\frac{(d-1)^2}{4\ell^2}$$

- For $m_{BF}^2 \leq m^2 \leq m_{BF}^2 + 1$ can alternately fix B to be the source.
- Both fall-offs are normalizable in this range of masses.
- Nb: conformally coupled scalars always lie in this window.

$$m_c^2 = -\frac{d(d-2)}{4\ell^2}$$

Gauge fields on AdS: Boundary conditions

- Vector fields can also admit different boundary conditions
- Vectors in AdS_4 :

$$A_r = 0, \quad A_\mu(r, x) \rightarrow a_\mu(x) + \frac{b_\mu(x)}{r}$$

- Dirichlet/standard/electric boundary conditions:

Witten

- ◆ fix $a_\mu \Rightarrow$ source for boundary current \mathcal{J}_μ

Ishibashi, Wald

- Neumann/modified/magnetic boundary conditions:

Marolf, Ross

- ◆ fix b_μ or integrate over all sources $A_\mu \Rightarrow \langle \mathcal{J}_\mu \rangle = 0$

- For abelian theories these boundary conditions are necessitated by electric-magnetic duality, which exchanges Dirichlet and Neumann.

Free gauge theories on AdS_4

- $SU(N)$ gauge theory with Dirichlet boundary conditions:
 - ◆ No Gauss law constraint
 - ◆ $O(N^2)$ excitations about the vacuum.

- $SU(N)$ gauge theory with Neumann boundary conditions:
 - ◆ No charged states allowed
 - ◆ \exists Gauss law constraint
 - ◆ $O(1)$ excitations about the vacuum.
 - ◆ Theory undergoes a Hagedorn transition at $T_c l \sim 1$.

Spectrum of $N = 4$ SYM on AdS_4

- Field content (all adjoint valued):
 - ◆ 6 conformally coupled scalars
 - ◆ 4 Weyl fermions
 - ◆ Gauge fields

$$\omega \ell = \Delta + k + 2n, \quad k, n \in \mathbb{Z}_+$$

- Lots of choices of boundary conditions:
 - ◆ scalars can have $\Delta = 1, 2$.
 - ◆ gauge fields can have Neumann/Dirichlet bc.
 - ◆ fermions have $\Delta = 3/2$.

Free theory partition functions

- Define the single particle partition sum

$$z(x) = \sum_{\text{single-particle states}} \sum_{\omega} e^{-\beta\omega}, \quad x \equiv e^{-\beta\ell_4^{-1}}$$

- For $\mathcal{N} = 4$ SYM one has using the spectral data:

$$z_{\text{scalar}}^{\pm}(x) = x^{\Delta_{\pm}} \sum_{n,k=0}^{\infty} (2k+1) x^{2n+k} = \frac{x^{\Delta_{\pm}}}{(1-x)^3}, \quad \Delta_{\pm} = 1, 2$$

$$z_{\text{fermions}} = 2 x^{\frac{3}{2}} \sum_{n,k=0}^{\infty} (2k+1) x^{2n+k} = 2 \frac{x^{\frac{3}{2}}}{(1-x)^3}$$

$$z_{\text{gauge}}(x) = \sum_{k=1}^{\infty} \sum_{n=0}^{\infty} (2k+1) (x^2 + x) x^{2n+k} = \frac{3x^2 - x^3}{(1-x)^3}$$

$$z_B(x) = \alpha z_{\text{scalar}}^+(x) + (6 - \alpha) z_{\text{scalar}}^-(x) + z_{\text{gauge}}(x), \quad z_F(x) = 4 z_{\text{fermions}}(x)$$

Partition functions for the simple bcs

- *Dirichlet bcs*: account for multi-particle states & statistics.

$$Z_{\text{boson}}(x) = \exp \left(\sum_{p=1}^{\infty} \frac{1}{p} z_{\text{boson}}(x^p) \right), \quad Z_{\text{fermion}}(x) = \exp \left(\sum_{p=1}^{\infty} \frac{(-1)^{p+1}}{p} z_{\text{fermions}}(x^p) \right)$$

$$Z_{\mathcal{N}=4}(x) = \left[(Z_{\text{scalar}}^+(x))^{\alpha} (Z_{\text{scalar}}^-(x))^{6-\alpha} Z_{\text{gauge}}(x) Z_{\text{fermion}}^4(x) \right]^{\dim(\mathfrak{g})}$$

$$F = -15 \zeta(4) T^4 \ell_4^3 \dim(\mathfrak{g})$$

- *Neumann bcs*: account for Gauss Law constraint & statistics.

$$Z(x) = \int [DU] \exp \left(\sum_{m=1}^{\infty} \frac{1}{m} [z_B(x^m) + (-1)^{m+1} z_F(x^m)] \chi_{\text{adj}}^G(U^m) \right)$$

Theory undergoes Hagedorn transition at:

$$T_{\star} = -\frac{1}{\ell_4 \log(x_{\star})}, \quad \text{with} \quad z(x_{\star}) = z_B(x_{\star}) + z_F(x_{\star}) = 1$$

$N = 4$ SYM on AdS_4 : Two puzzles

- $\mathcal{N} = 4$ has $SL(2, \mathbb{Z})$ S-duality.
- Expect exchange Dirichlet and Neumann bcs (true for abelian theory), *but*
 - ◆ Dirichlet: $O(N^2)$ excitations about the vacuum
 - ◆ Neumann: $O(1)$ excitations about the vacuum
- Phase transition as a function of coupling?

- Expect to have holographic dual in AdS_5
 - ◆ how does bulk dual have N^2 dofs?
 - ◆ New asymptotically AdS spacetimes with degenerate horizons?

Susy bc for $\mathcal{N} = 4$ SYM on AdS_4

- Useful to look at susy preserving bcs for $\mathcal{N} = 4$
Have to treat scalars asymmetrically: $SO(6) \rightarrow SO(3) \times SO(3)$

Breitenlohner, Freedman

In fact the theory has a plethora of $1/2$ -BPS boundary conditions.

- Complete classification exists for theory on half-space $\mathbb{R}^{2,1} \times \mathbb{R}_+$.

Gaiotto, Witten

- Adapt these boundary conditions on AdS_4 as it is conformal to the half-space.
- Rich dynamics enabled by choice of bcs.

Abstract discussion of susy bc

- Gaiotto-Witten bcs are characterized by a triple (ϱ, H, B) for gauge group G .
 - ◆ ϱ (Nahm data): $SU(2) \rightarrow G$
 - ◆ H : commutant of Nahm data (preserved gauge group)
 - ◆ B : Boundary CFT living on $\mathbf{R} \times S^2$.
- Simpler understanding of boundary conditions in terms of D-branes for unitary G .

Bcs via brane constructions

- *Neumann bc*: N D3-branes ending on a single NS5-brane.
 - ◆ Gauge fields and 3 scalars: Neumann bc
 - ◆ Radial component + remaining 3 scalars: Dirichlet bc
- *Dirichlet bc*: N D3-branes ending on a N D5-branes.
 - ◆ Gauge fields and 3 scalars: Dirichlet bc
 - ◆ Radial component + remaining 3 scalars: generalized Neumann

$$\frac{dX^i}{dz} + \epsilon^{ijk} [X_j, X_k] = 0$$

- ◆ each D3-brane ends on a D5-brane. SU(N) global symmetry comes from the D5 brane gauge fields.

S-duality for N=4 with boundary

Dirichlet bcs have explicit D5-brane sources and the N D5-branes actually realize the $SU(N)$ global symmetry.

Can have D3 branes ending on a single D5-brane, but choice of solution to Nahm's equation breaks global symmetry completely. This is obtained by the N -dimensional irrep of $SU(2)$.

- S-dual of Dirichlet bc: Neumann bc coupled to a boundary CFT
- S-dual of Neumann bc: Nahm poles breaking G to trivial subgroup.

$$X^i \sim \frac{t^i}{z}, \quad t^i \in \mathfrak{g}, \quad \mathfrak{g} : SU(2) \rightarrow G$$

Holographic duals for Simple bcs?

Boundary condition	Realization	Dual boundary condition
Neumann for $SU(N)$	N D3-branes ending on a NS5-brane	Dirichlet with Nahm pole $\rho = \mathbf{N}$
Dirichlet for $SU(N)$	D3-branes ending on N D5-branes	$(3 + 1)$ -dim $\mathcal{N} = 4$ SYM coupled to $(2 + 1)$ -dim $T(SU(N))$ quiver

- What about holographic duals for these boundary conditions?
- ◆ Dirichlet: perhaps impossible to find weakly coupled gravity dual since we require to have large number of D5 sources.
- ◆ Neumann: potentially no obstruction, but no solution with desired properties is known.

Holographic Duals of the Simple bcs

■ Dirichlet case:

- ◆ The D5s are expected to play an important role.
- ◆ Necessary for global symmetry \Rightarrow can't replace them by their effective geometry (which would gauge the symmetry).
- ◆ They provide a place for the D3s to end \Rightarrow effect will be strong near AdS boundary.
- ◆ S-dual involves complicated boundary CFT.

■ Neumann case:

- ◆ NS5 has $O(1)$ backreaction in the sugra limit.
- ◆ S-dual is a single D5 \Rightarrow Nahm data involving N -dim irrep of $SU(2)$.
- ◆ The W -bosons are all massive with $m \sim \sqrt{N}$. Gravity dual?

Summary: Strong coupling limits of simple bc

- The simplest set of susy bcs are disappointing.
- Hard to see how to construct appropriate duals in the Dirichlet case and the Neumann bcs seem just a bit out of reach.
- Main message: holographic duals possible if we have a boundary gauge symmetry of sufficient rank (scales with N).
- Strategy: Engineer new classes of boundary conditions that allow exploring the planar strong coupling limit.
- Preserve a large amount of boundary gauge symmetry.
- Lead us to study “quotient constructions”.
- Alternately one can work with “transparent bcs”.

N = 4 SYM on AdS₄ : Quotients

- A-priori we can pick bcs that break G to subgroup H .
- Essentially these are Neumann for some subgroup.
- Obtain via a quotient:
- Mod out the theory on $\mathbf{R}^{3,1}$ by \mathbf{Z}_2 which acts as spacetime reflection together with an involution on G .
- Can get large class of such examples whose S-duals are also known.
- Focus on unitary G for simplicity.

Involution	Quotient	Gauge group $H \subset G$
Class I	$O5^+$	$SO(N)$
Class II	$O5^-$	$USp(N)$
Class III	$\mathcal{I}_4(-1)^{F_L}$	$SU(p) \times SU(N - p)$

N = 4 SYM on AdS₄: Quotients

Gauge group H	Quotient	Dual gauge group \tilde{H}	Nahm data for dual	Boundary data (dual)
$SU(\frac{N}{2}) \times SU(\frac{N}{2})$	$\mathcal{I}_4(-1)^{FL}$	$USp(N)$	$\rho = N \times \mathbf{1}$	D5-brane localized on O -plane
$SU(p) \times SU(q)$	$\mathcal{I}_4(-1)^{FL}$	$USp(2q)$	$\rho = (\mathbf{p} - \mathbf{q}) \oplus 2q \times \mathbf{1}$	Symmetry breaking Neumann bc
$SO(N)$	$O5^+$	$SU(N)$	$\rho = N \times \mathbf{1}$	Non-trivial $2 + 1$ SCFT on boundary
$USp(N)$	$O5^-$	$SU(\frac{N}{2})_d \subset SU(N)$	$\rho = \frac{N}{2} \times \mathbf{2}$	None

Quotient theories at weak coupling

- All of the above examples have the essential features of Neumann bc:
 - ◆ $O(1)$ states at low temperature due to singlet constraint
 - ◆ Hagedorn transition at some $T_c l \sim 1$.
 - ◆ Curiously in all cases the Hagedorn transition occurs at a lower value of the temperature.

$$T_\star = -\frac{1}{\ell_4 \log(x_\star)}, \text{ with } z(x_\star) = z_B(x_\star) + z_F(x_\star) = \frac{1}{2}$$

- ◆ Rationale: non-trivial matter (under $H \subset G$) implies that there are multiple ways to form singlets. More choices \Rightarrow faster growth of operators.

Holographic duals of $N = 4$ SYM on AdS_4

- Expect holographic duals in terms of strings in $AdS_5 \times S^5$
- AdS_4 foliations AdS_5 ?
- ◆ boundary is a double cover of AdS_4
- ◆ identify two copies of AdS_4 via an orbifold/orientifold action.

$$ds^2 = dR^2 + \frac{L_5^2}{\ell_4^2} \cosh^2 \left(\frac{R}{L_5} \right) \gamma_{\mu\nu} dx^\mu dx^\nu$$

- The boundaries are located at large positive and negative values of R respectively.
- Two copies of AdS_4 are joined across the equator of the S^3 .

Holographic duals of $N = 4$ SYM on AdS_4

- In fact, the quotient actions we saw above are precisely of the form we need to identify the two AdS boundaries.
- We identify the two AdS_4 factors and also act with a reflection on the S^5 to ensure that we have good string background.
- GW bcs with orbifolds/orientifolds preserving large enough gauge symmetry have duals in terms of strings on $AdS_5 \times S^5$ with orbifold/orientifold 5-plane wrapping $AdS_4 \times S^2$.

Strong coupling phase structure

- All static, spherically symmetric AdS_5 geometries can be rewritten in a form which makes the boundary AdS_4 manifest.

$$ds^2 = \frac{\bar{\rho}^2}{L_{d+1}^2 \left(1 + \frac{r^2}{\ell_d^2}\right)} \left(-\frac{f(\bar{\rho})}{\bar{\rho}^2} L_{d+1}^2 \left(1 + \frac{r^2}{\ell_d^2}\right) dt^2 + \frac{dr^2}{\left(1 + \frac{r^2}{\ell_d^2}\right)} + r^2 d\Omega_{d-2}^2 \right) + \frac{\ell_d^2}{L_{d+1}^2} \frac{d\bar{\rho}^2}{f(\bar{\rho})}$$

- Phase structure tracks through to strong coupling regime.
- ◆ low temperature phase is the thermal gas.
- ◆ high temperature phases is the Schwarzschild- AdS_5 black hole.

$$T_c = \frac{\sqrt{2}}{\pi \ell_4}$$

- Transition temperature appears to be independent of involution.

Summary & Open issues

- Rich dynamics for field theories on AdS_4 .
 - Lots of the structure of the dynamics is due to the non-trivial nature of the boundary conditions.
 - Possibility of various different bcs for scalars, vectors, fermions.
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- The story for $\mathcal{N} = 4$ is very intricate.
 - Discussed only susy (1/2-BPS) bcs, for which already there many interesting issues.
 - Could look for duals for other bcs with less/no susy.
 - Other CFTs; different dimensions?