



The Galileo Galilei Institute for Theoretical Physics
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Large- N expansions and equivalences

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(To Giuliano Toraldo di Francia, remarkable man and teacher)

From my talk here June 2008

Is the time ripe for a large-N workshop
at the GGI?

Outline

- * Prehistory (= pre 't Hooft)
 - * History
 - * Orientifold planar equivalence
 - * Arguments, counter-arguments, dust-settling
 - * SUSY relics in QCD?
 - * Large-N volume independence (EK-reduction)
 - * KUY's proposal
 - * Further developments
 - * A 2D toy model
 - * Outlook
- w/ apologies for so many topics left out...

Prehistory (1970-'74)

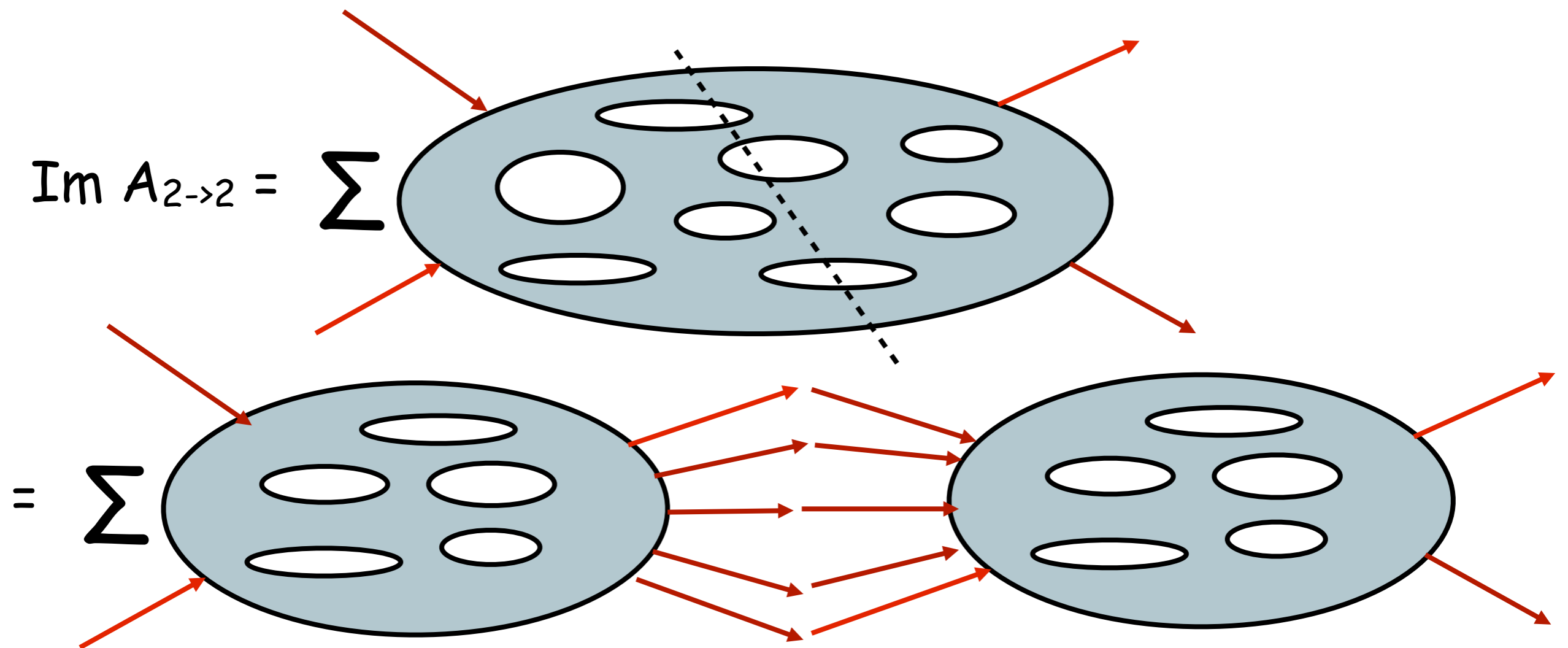
Di Giacomo, Fubini, Sertorio, GV (1970)

- Implementing **unitarity in Dual Resonance Models** (DRM) looked like "mission impossible".
- In QFT resonances get a width only after resumming an infinite number of bubble diagrams. But in DRM **bubble and non-bubble** diagrams come together.
- Idea: isolate a minimal subclass of diagrams giving finite widths while **preserving some simple topology**.
- Then add more complicated topologies.

Simplest topology: planar diagrams

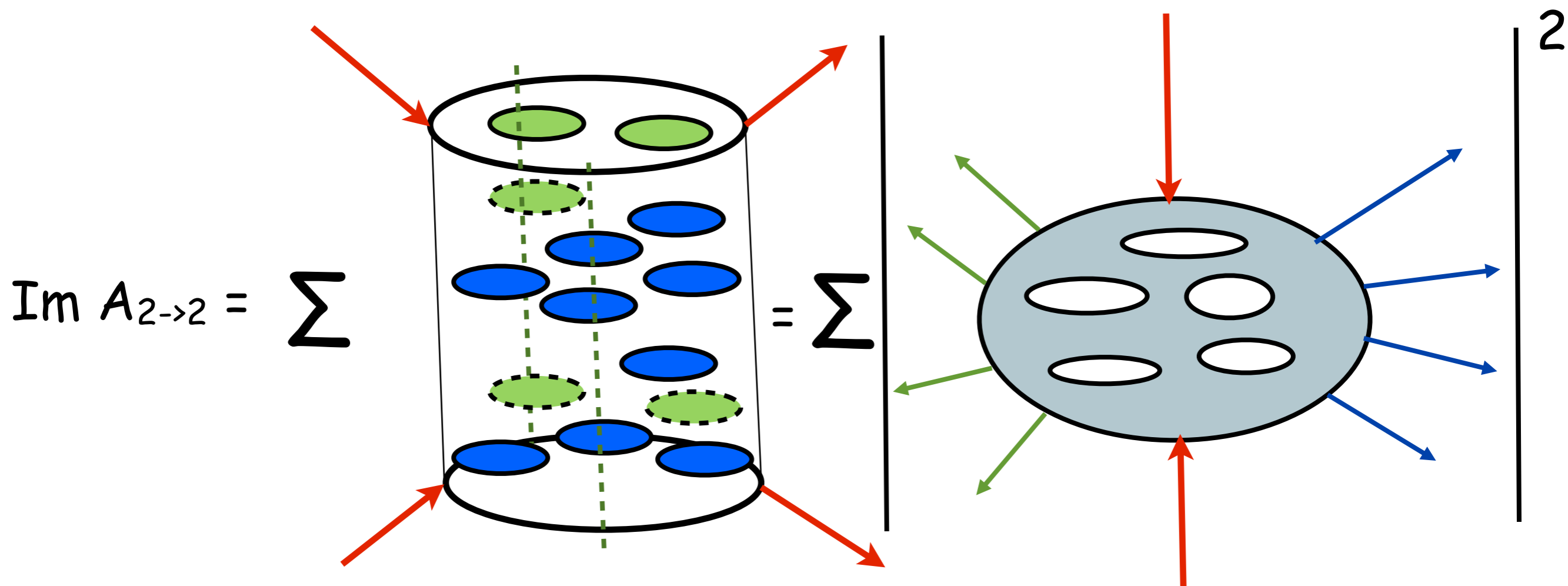
=> Planar unitarity (for $q\bar{q}$ mesons)

=> Regge trajectory, with $\alpha_R(0) \sim 1 - d\langle n \rangle / dy < 1$



Next to simplest topology: cylinder diagrams

- Cylinder topology \Rightarrow (bare, soft) Pomeron with $\alpha_P(0) = 1$ up to correlations (Huan Lee, GV \sim 1973)



Higher topologies \Rightarrow Gribov's RFT
(Ciafaloni, Marchesini & GV, 1974)

- Hard to sell...
- Then came 't Hooft's $1/N_c$...
- Topological reasoning in DRM reinterpreted in terms of a $1/N_f$ expansion (GV 1974).
- ... but then I basically **gave up** on hadronic string and switched to QCD...

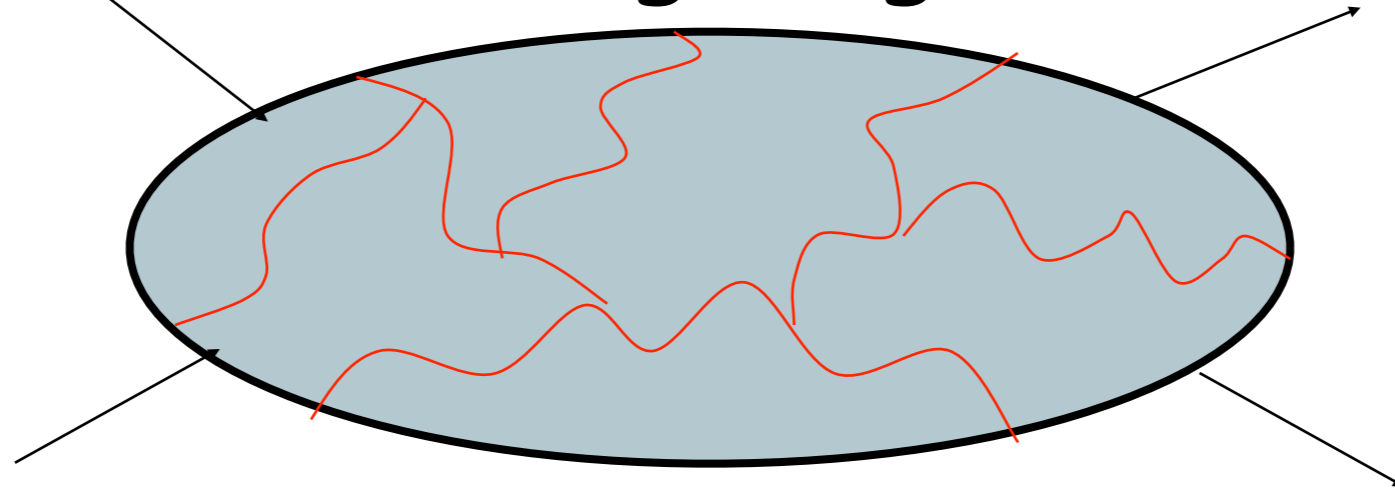
History

Large-N expansions in QCD

Planar & quenched limit ('t Hooft, 1974)

$1/N_c$ expansion @ fixed $\lambda = g^2 N_c$ and N_f

leading diagrams



Corrections: $O(N_f/N_c)$ from q-loops,
 $O(1/N_c^2)$ from higher-genus diagrams

Properties at leading order

1. Resonances have zero width
2. U(1) problem not solved, WV @ NLO
3. Multiparticle production not allowed

Theoretically appealing: should give the **tree level** of **some** kind of string theory

Proven hard to solve, except in $D=2$

Planar limit = Topological Expansion (GV, 1976)

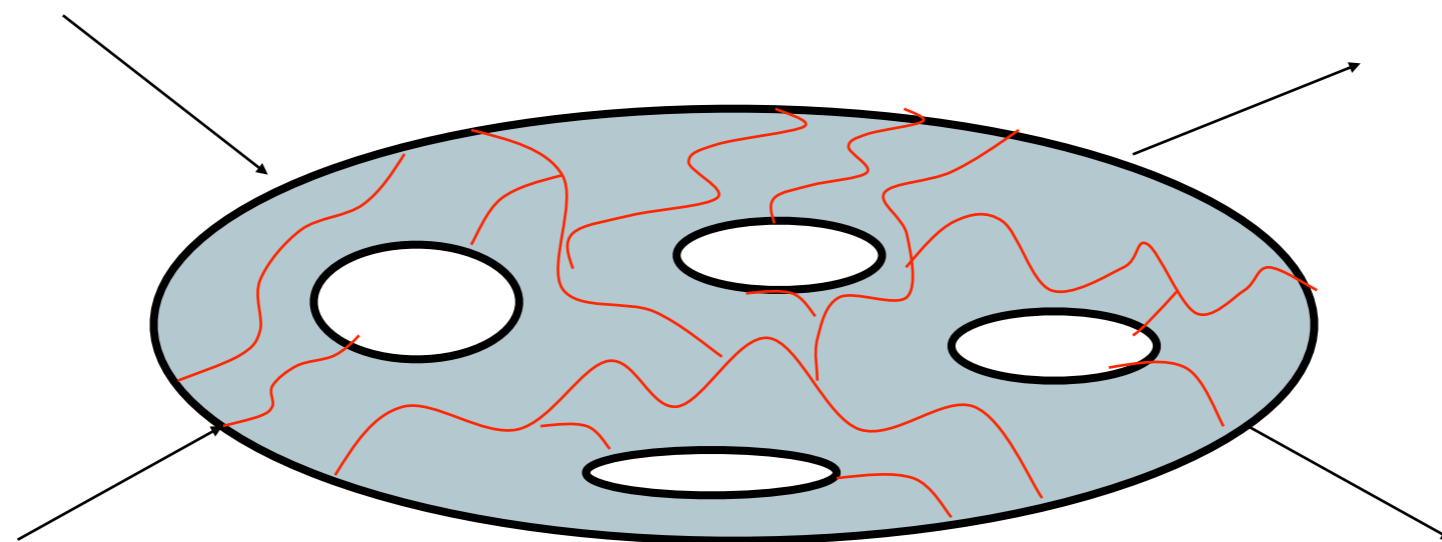
= $1/N$ expansion at fixed $g^2 N$ and N_f/N_c

Leading diagrams planar but include "empty" q-loops

Corrections: $O(1/N^2)$ from non-planar diagrams

First discussion of necessity & properties*) of
glueballs @ large N ?

*) e.g. mixing of glueballs and mesons



Properties at leading order

1. Widths are $O(1)$
2. $U(1)$ problem solved to leading order, no reason for WV to be good (small N_f/N_c ?)
3. Multiparticle production allowed
=> Bare Pomeron & Gribov's RFT
4. Phase transitions at critical values of N_f/N_c (conformal windows, loss of AF)
Perhaps phenomenologically more appealing than 't Hooft's but even harder to solve...

But there is a third possibility...

Generalize QCD to $N \neq 3$ ($N = N_c$ hereafter) in other ways by **playing with matter rep.** The conventional way, QCD_F , is to keep the quarks in $(N + N^*)$ rep.

Another possibility, called for stringy reasons QCD_{OR} , is to assign quarks to the 2-index-antisymm. rep. of $SU(N)$ (+ its c.c.).

As in 't Hooft's exp. (and unlike in TE), N_f is kept fixed ($N_f < 6$, or else AF lost at large N).

NB: For **$N = 3$** this is still **good old QCD!**

Leading diagrams are planar, include "filled" q-loops
since there are $O(N^2)$ quarks

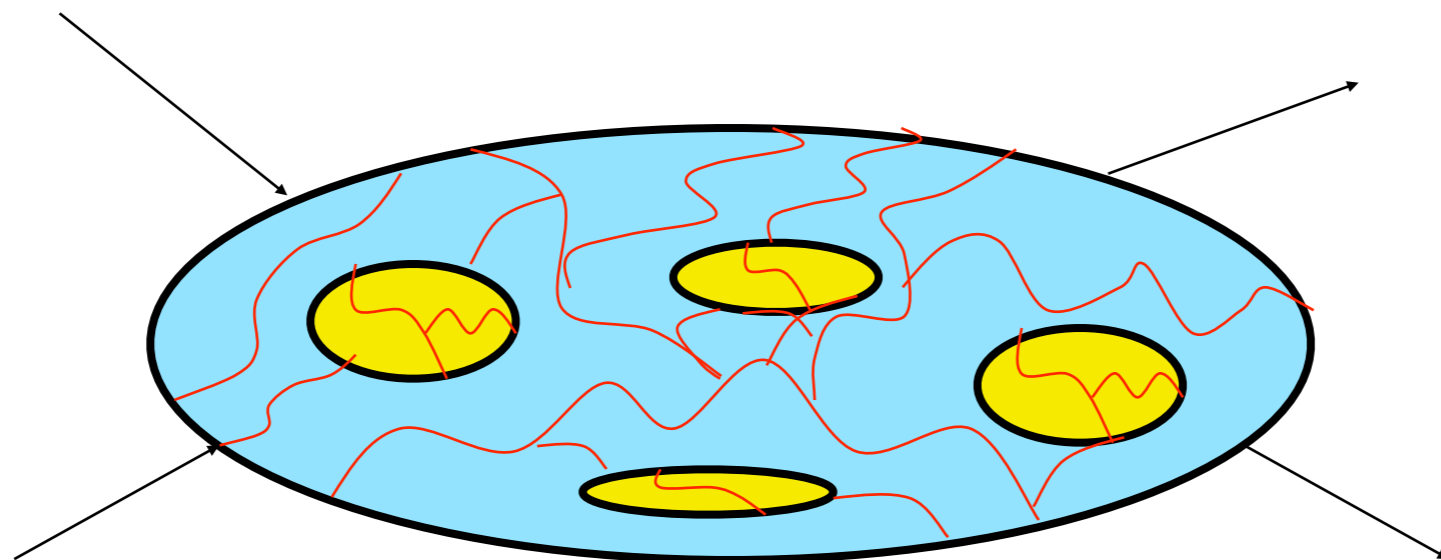
Widths are zero, U(1) problem solved, no p.pr.

Phenomenologically interesting?

Better manageable? In some cases, I will claim...

QCD_{OR} as an **interpolating** theory:

1. Coincides with pure **YM** (AS fermions decouple) @ **$N=2$**
2. Coincides with **QCD** @ **$N=3$**
- 3.... and at **large N** ?



Armoni-Shifman-GV claim (2003)

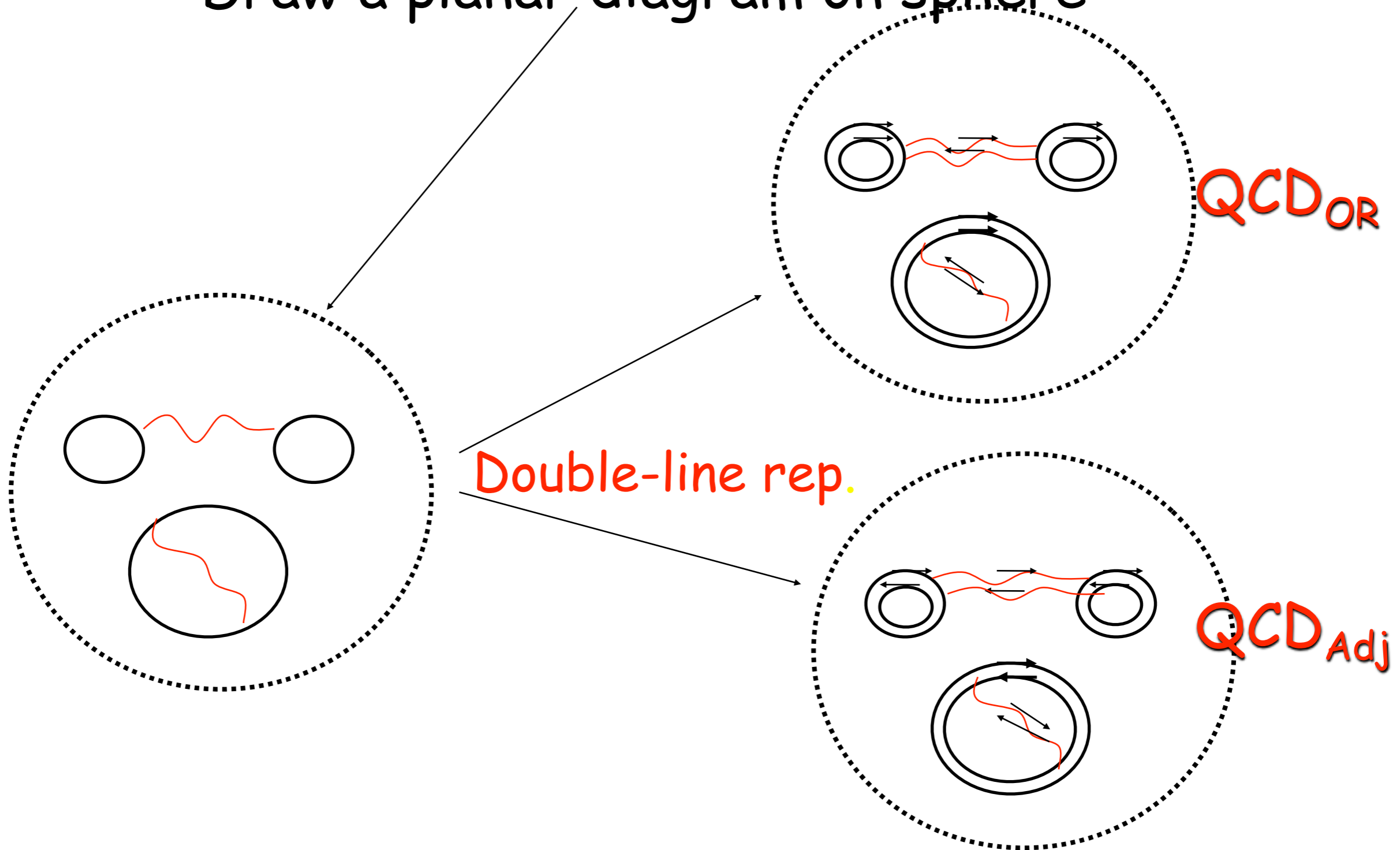
At large- N a **bosonic sector** of QCD_{OR} is equivalent to a **corresponding sector** of QCD_{Adj} i.e. of QCD with N_f **Majorana** fermions in the adjoint representation.

NB: Expected accuracy **$1/N$** hopefully improved by **interpolation** w/ $N=2$ case (Cf. N_f/N_c of 'tH!)

ASV gave both perturbative and NP arguments

Perturbative arguments, checks

Draw a planar diagram on sphere



Differ by an even number of - signs...

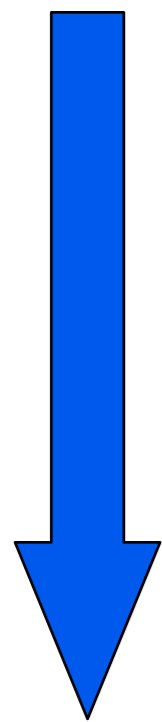
Sketch of non-perturbative argument

(ASV '04, A. Patella, '05 + thesis '08)

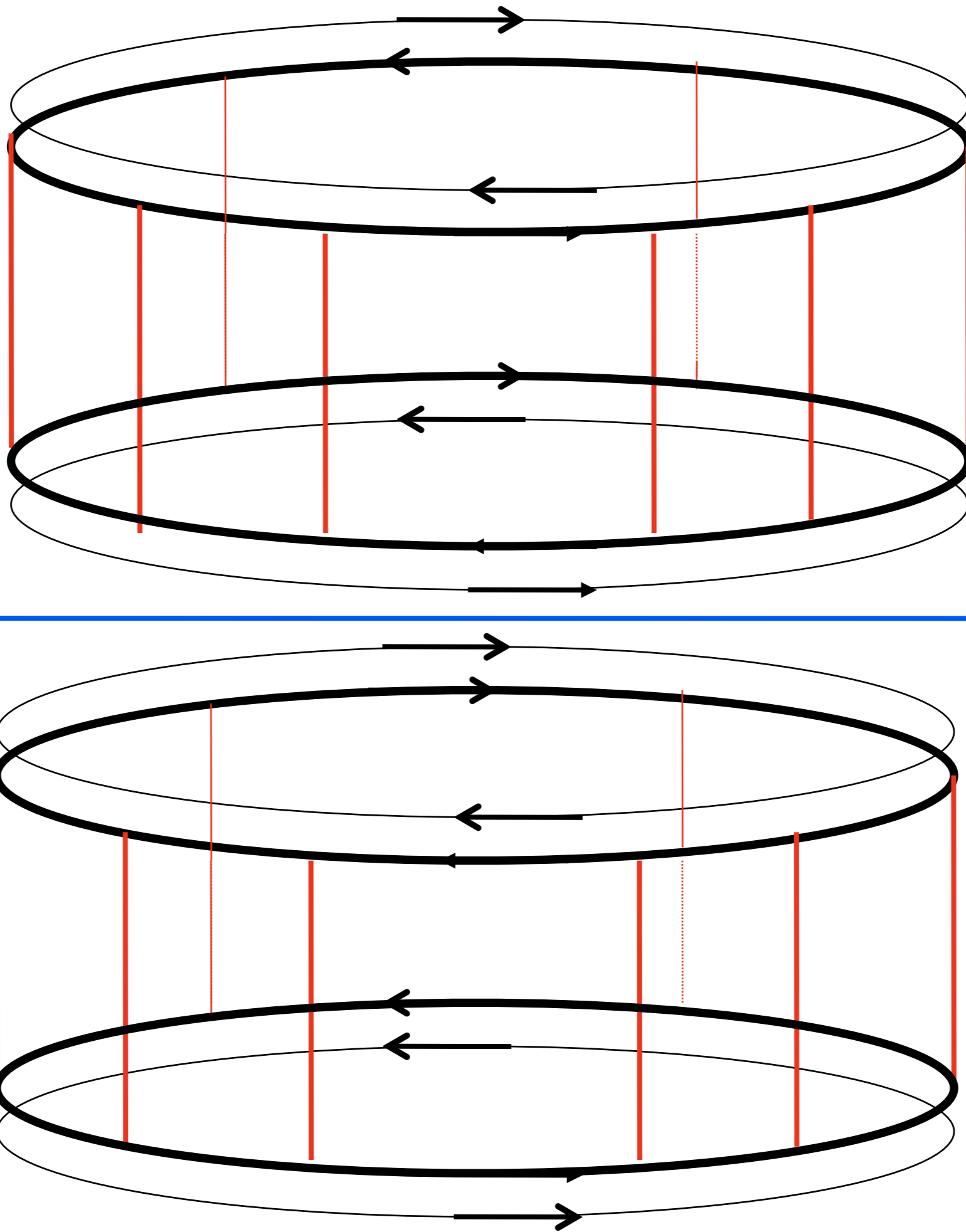
- Integrate out fermions (after having included masses, **bilinear** sources)
- Express $\text{Tr} \log(\not{D} + m + J)$ in terms of Wilson-loops using world-line formulation (expansion convergent?)
- Use large- N to write adjoint and AS Wilson loop as products of fundamental and/or antifundamental Wilson loops (e.g. $W_{\text{adj}} = W_F \times W_{F^*} + O(1/N^2)$)
- **Use symmetry relations** between F and F^* Wilson loops and their connected correlators

An example: $\langle W^{(1)} W^{(2)} \rangle_{\text{conn}}$

SYM



OR



$W^{(1)}_{adj}$

$W^{(2)}_{adj}$

$W^{(1)}_{or}$

$W^{(2)}_{or}$

Key ingredient is C !

- Clear from our NP proof that C -invariance is **necessary**. Kovtun, Unsal and Yaffe have argued that it is also **sufficient**
- U&Y (see also Barbon & Hoyos) have also shown that C is **spontaneously broken** if the theory is put on $\mathbb{R}^3 \times S^1$ w/ small enough S^1 . PE doesn't (was never claimed to) hold in that case
- Numerical calculations (De Grand and Hoffmann) have confirmed this, but also shown that C is **restored** for large radii and in particular on \mathbb{R}^4
- Lucini, Patella & Pica have shown (analyt. lly & numer. lly) that SB of C is also related to a non-vanishing **Lorentz-breaking** $F\#$ -current generated at small R but disappearing as well as R is increased

Uncontroversial formulation of PE?

Provided that C is not spontaneously broken, the C -even bosonic sector of QCD_{OR} (with N_f Dirac fermions in the 2-index AS or S representation) is planar-equivalent to the corresponding sector of QCD_{Adj} i.e. of QCD with N_f Majorana fermions in the adjoint representation.

Corollary: for $N_f = 1$ and $m = 0$, QCD_{OR} is planar-equivalent to supersymmetric Yang-Mills (SYM) theory

Some properties of the latter should show up in one-flavour QCD... if $N = 3$ is large enough.

But PE has even more interesting consequences...

Incidentally...

Irrespective of PE, it would be interesting to study (unquenched) QCD_{adj} for its **own sake**, e.g.

- As one varies N_f , the singlet PS mass should grow like N_f & **coincide with the singlet S** mass at $N_f=1, m=0$
- For $N_f=1, m \neq 0$ one should recover the behaviour of SYM when SUSY and Z_{2N} are softly broken (degeneracy of N-vacua is lifted, multiplets split etc.)
- Last but not least: to **check volume independence** (see below)

SUSY relics in one-flavour QCD

① Approximate **bosonic parity doublets**:

$$m_S = m_P = m_F \text{ in SYM} \Rightarrow m_S \sim m_P \text{ in QCD}$$

Looks ~ OK if can we make use of:

- i) WV for m_P ($m_P \sim \sqrt{2}(180)^2/95 \text{ MeV} \sim 480 \text{ MeV}$),
- ii) Experiments for m_S (σ @ 600MeV w/ quark masses)

Lattice work by Keith-Hynes & Thacker also support this approximate degeneracy

② Approximate **absence of "activity"** in certain chiral correlators

In SYM, a well-known WI gives

$$\langle \lambda\lambda(x)\lambda\lambda(y) \rangle = \text{const.}, \quad \langle \lambda\lambda(x)\bar{\lambda}\bar{\lambda}(y) \rangle \neq \text{const.}$$

PE then implies that, in the large-N limit:

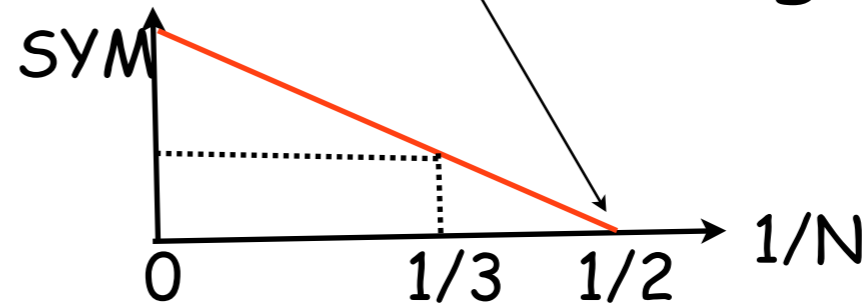
$$\langle \bar{\Psi}_R\Psi_L(x)\bar{\Psi}_R\Psi_L(y) \rangle = \text{const.}, \quad \langle \bar{\Psi}_R\Psi_L(x)\bar{\Psi}_L\Psi_R(y) \rangle \neq \text{const.}$$

Of course the constancy of the former is due to an exact cancellation between intermediate scalar and pseudoscalar states.

The quark condensate in $N_f=1$ QCD

Using $\langle \bar{\lambda}\lambda \rangle_\mu = -\frac{9}{2\pi^2} \mu^3 \lambda_\mu^{-2} \exp\left(-\frac{1}{\lambda_\mu}\right)$ $\lambda_\mu = \alpha_s(\mu)N/2\pi$

and vanishing of quark cond. at $N=2$, we get



$$\langle \bar{\psi}\psi \rangle_\mu = -\frac{3}{2\pi^2} \mu^3 \lambda_\mu^{-1578/961} \exp\left(-\frac{27}{31\lambda_\mu}\right) k(1/3)$$

$$\langle (g^2)^{12/31} \bar{\psi}\psi \rangle = -1.1 k(1/3) \Lambda_{st}^3 \quad 1 \pm 0.3?$$

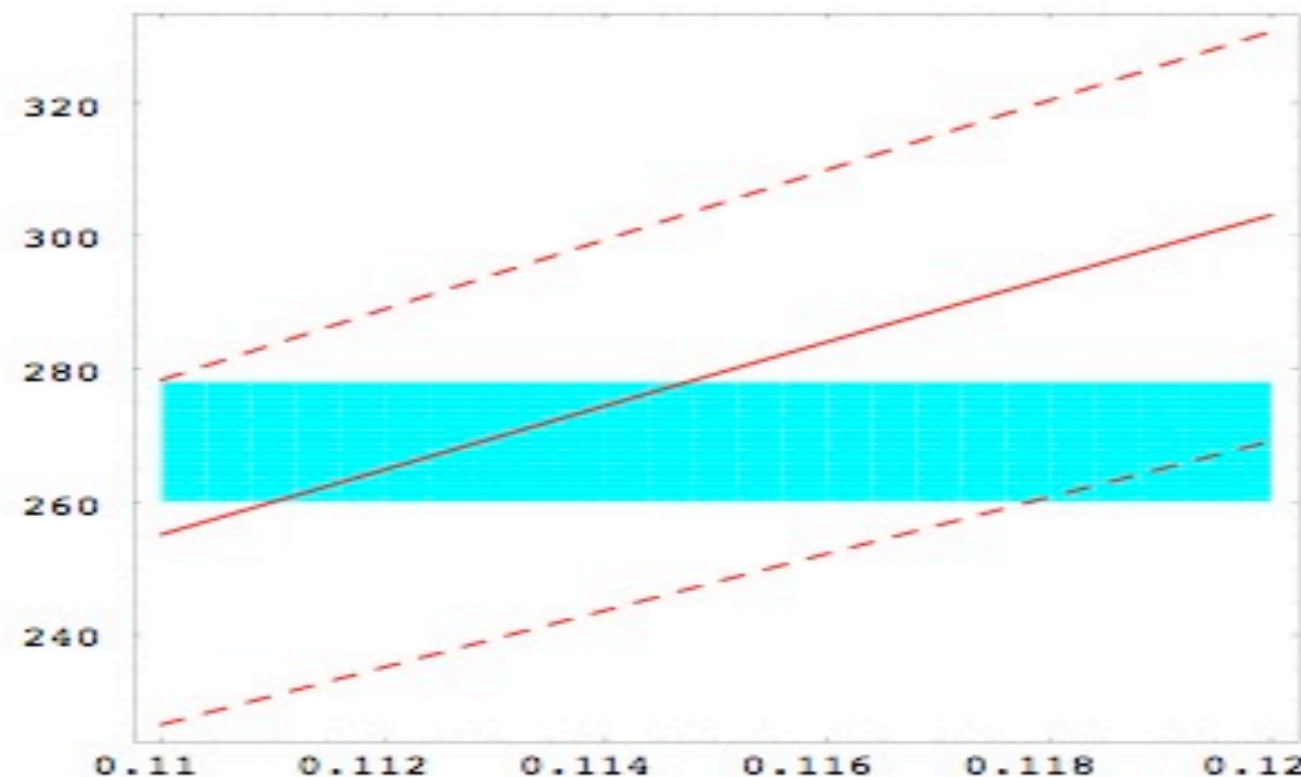
$$\Lambda_{st} = \mu \exp\left[-\frac{N}{\beta_0 \lambda_\mu}\right] \left(\frac{2N}{\beta_0 \lambda_\mu}\right)^{\beta_1/\beta_0^2}$$

$N_f=1$ condensate "measured"?

DeGrand, Hoffmann, Schaefer & Liu,
hep-th/0605147

(using dynamical overlap fermions and distribution of
low-lying eigenmodes)

$$(\langle \bar{\Psi}\Psi \rangle_{2GeV})^{1/3}$$



Exact meaning of
agreement still to be
fully understood

$$3\alpha_s(2GeV)/2\pi$$

Extension to $N_f > 1$

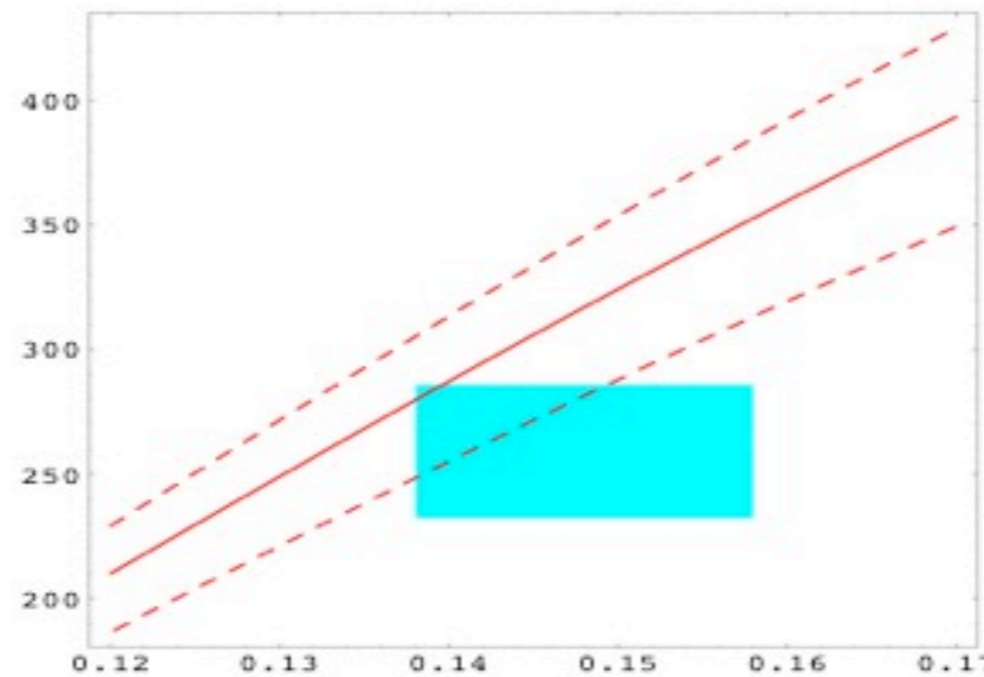
(Armoni, G. Shore and GV, '05)

- Take OR theory and add to it n_f flavours in $N+N^*$.
- At $N=2$ it's n_f -QCD, @ $N=3$ it's $N_f(=n_f+1)$ -QCD.
- At large N cannot be distinguished from OR (fits SYM β -functions even better at $n_f=2$: e.g. same β_0)
- Vacuum manifold, NG bosons etc. are different!
- Some correlators should still coincide in large- N limit. In above paper it was argued how to do it for the quark condensate

Quark condensate (ren. @ 2 GeV)
vs $\alpha_s(2\text{GeV})$ for $N_f=3$

Very encouraging!

$$(\langle \bar{\Psi}\Psi \rangle_{2\text{GeV}})^{1/3}$$



all in $\overline{\text{MS}}$

$$3\alpha_s(2\text{GeV})/2\pi$$

$$\langle \bar{\Psi}\Psi \rangle_\mu = -\frac{3}{2\pi^2} \mu^3 \lambda_\mu^{-\frac{44}{27}} \exp\left(-\frac{1}{\lambda_\mu}\right)$$

Cf.
$$\langle \bar{\lambda}\lambda \rangle_\mu = -\frac{9}{2\pi^2} \mu^3 \lambda_\mu^{-2} \exp\left(-\frac{1}{\lambda_\mu}\right)$$

Volume Independence (KUY 0702.021)

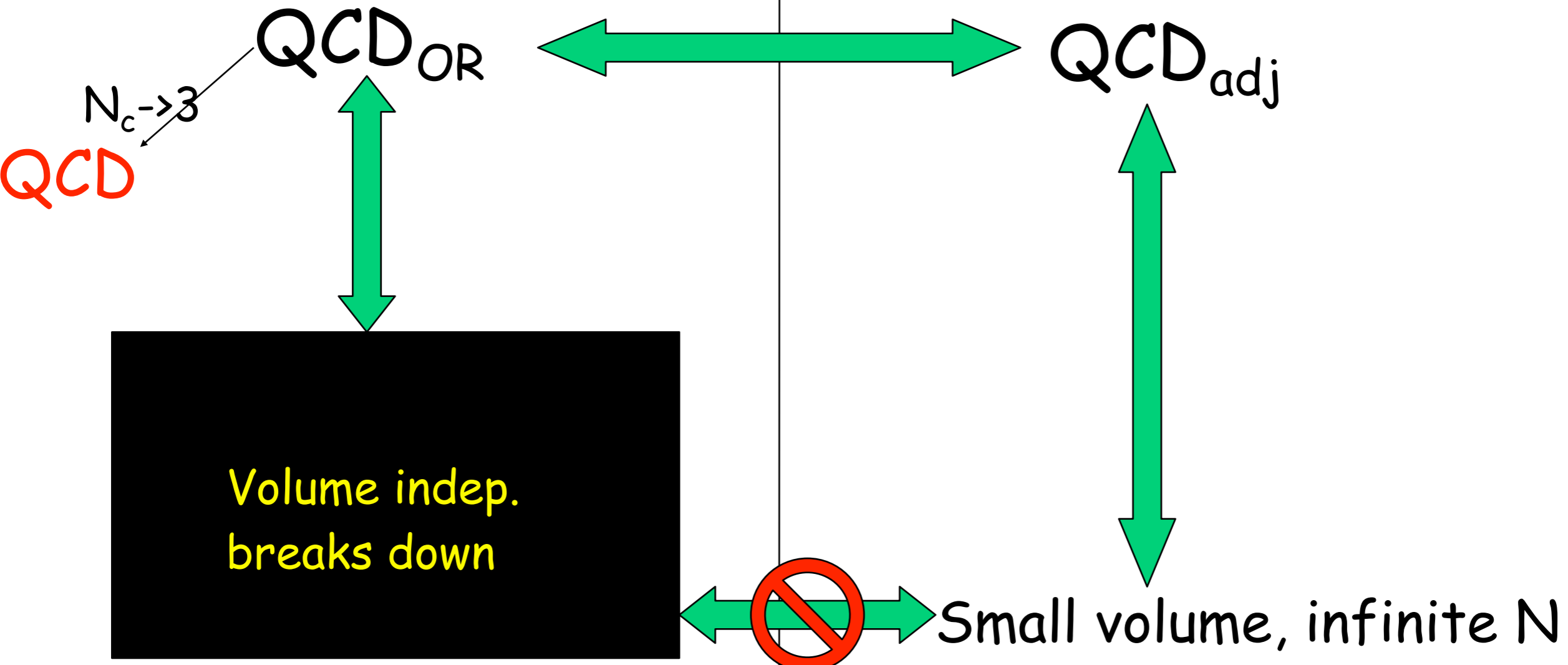
Kovtun, Unsal & Yaffe have made the interesting claim that QCD_{adj} , at small enough mass and unlike YM, QCD_F or QCD_{OR} , suffers **no phase transition** as an Eguchi-Kawai volume-reduction is performed at large- N .

Reason: adjoint (periodic) fermions help keeping the center symmetry unbroken.

If so, we can get properties of QCD_{adj} **at small volume** by numerical methods and use them **at large volume** where the connection to QCD_{OR} can be established (C being unbroken there).

Finally, one would make semi-quantitative predictions for **QCD** itself (in an interesting range for N_f and quark masses) by extra(inter)polating to $N=3$.

Infinite volume, infinite N



Bottom line combining PE and VI

Solving QCD_{adj} at infinite N and small volume should provide an $O(1/N_c)$ approximation to QCD with < 6 light flavours

(Some) further developments

Bringoltz & Sharpe (0906.3538)

Found **supporting evidence** for the KUYconjecture by numerical simulations of QCD on T^4 with a **single Dirac** (i.e. 2 Majorana) **adjoint** fermion(s)

$N = 8, \dots, 15, \kappa = 0.05, \dots, 0.2$ (i.e. around $\kappa = 1/8$)

Center symmetry looks **OK** for a single site lattice!

see also analytic lattice arguments by:

Poppitz & Unsal, 0911.0358

and a review of previous numerical work:

Narayanan & Neuberger, 0710.0098

Unsal & Yaffe (1006.2102)

- Analytic considerations on large- N volume independence of both conformal and confining theories.
- QCD compactified on $R^{4-k} \times (S^1)^k$ for $k = 1, 2, 3, 4$ and with a number N_F of **adjoint Majorana** fermions.
- In particular: for $k = 1$ (or 2) discuss center symmetry Z_N (or Z_{N^2}) and its possible breaking via Wilson lines as a function of the **fermion mass m** and the size(s) L (L_1, L_2) of the circle(s).
- In confining case with scale Λ : at $\Lambda L \ll 1$ and $m=0$ **volume independence holds** for all N_F including SYM ($N_F = 1$).

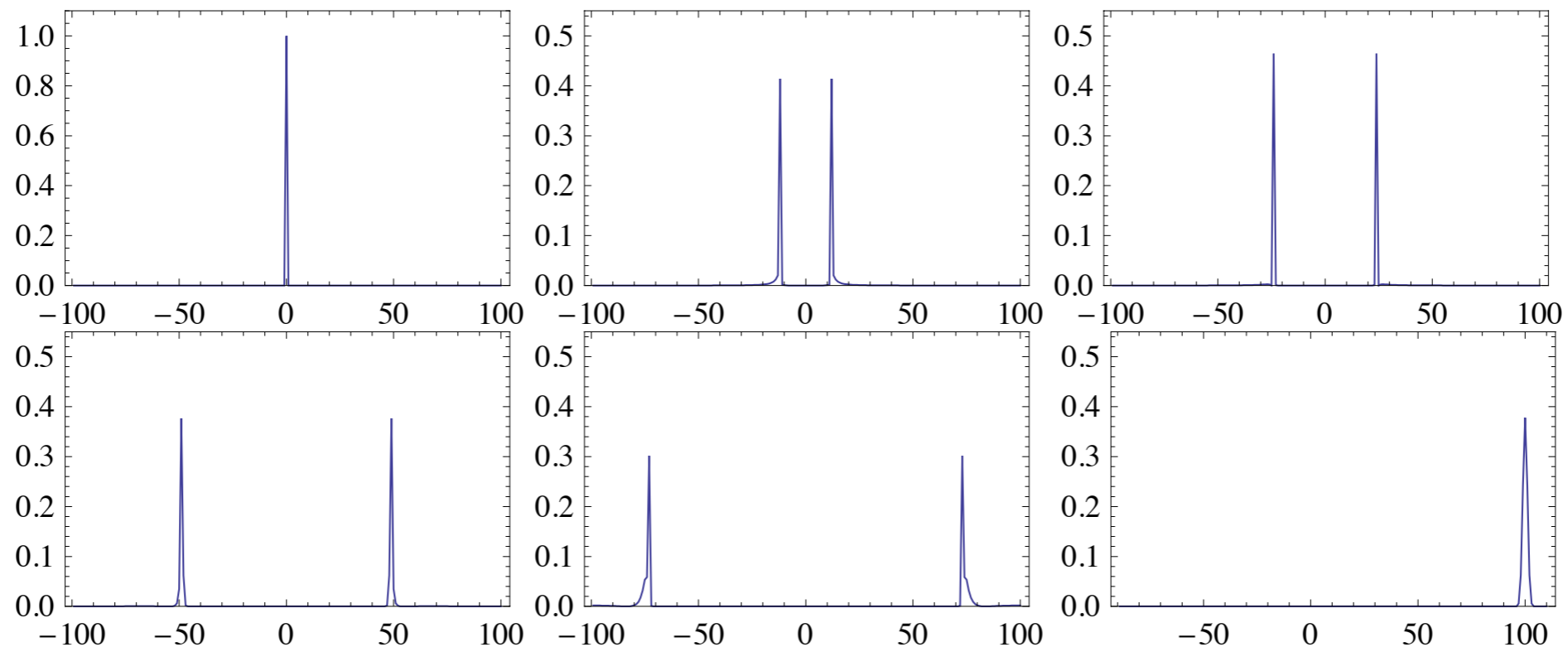
- Interesting observation: in unbroken- Z_N phase the KK modes have **spacing $1/NL$** (instead of usual $1/L$) since the non-trivial Wilson lines shift masses in multiples of $1/NL$.
- Some KK modes could be necessary at large N (even for very small ΛL) in order to recover the infinite- V theory.
- @ **$L \ll 1/N\Lambda$ semiclassical**, abelian conf., no VI
- @ **$L \gg 1/N\Lambda$ quantum**, non-abelian conf., VI
- Semiclassical analysis sometimes unreliable. E.g. $k=2$ with frozen values of the Wilson lines one would break Z_N^2 but **quantum effects** can lead to tunneling among the different center-symmetry-related semiclassical vacua and fully **restore the symmetry**.
- Q: What happens to KK spacing in that case?

A 2D toy model (see also J. Wosiek's talk)

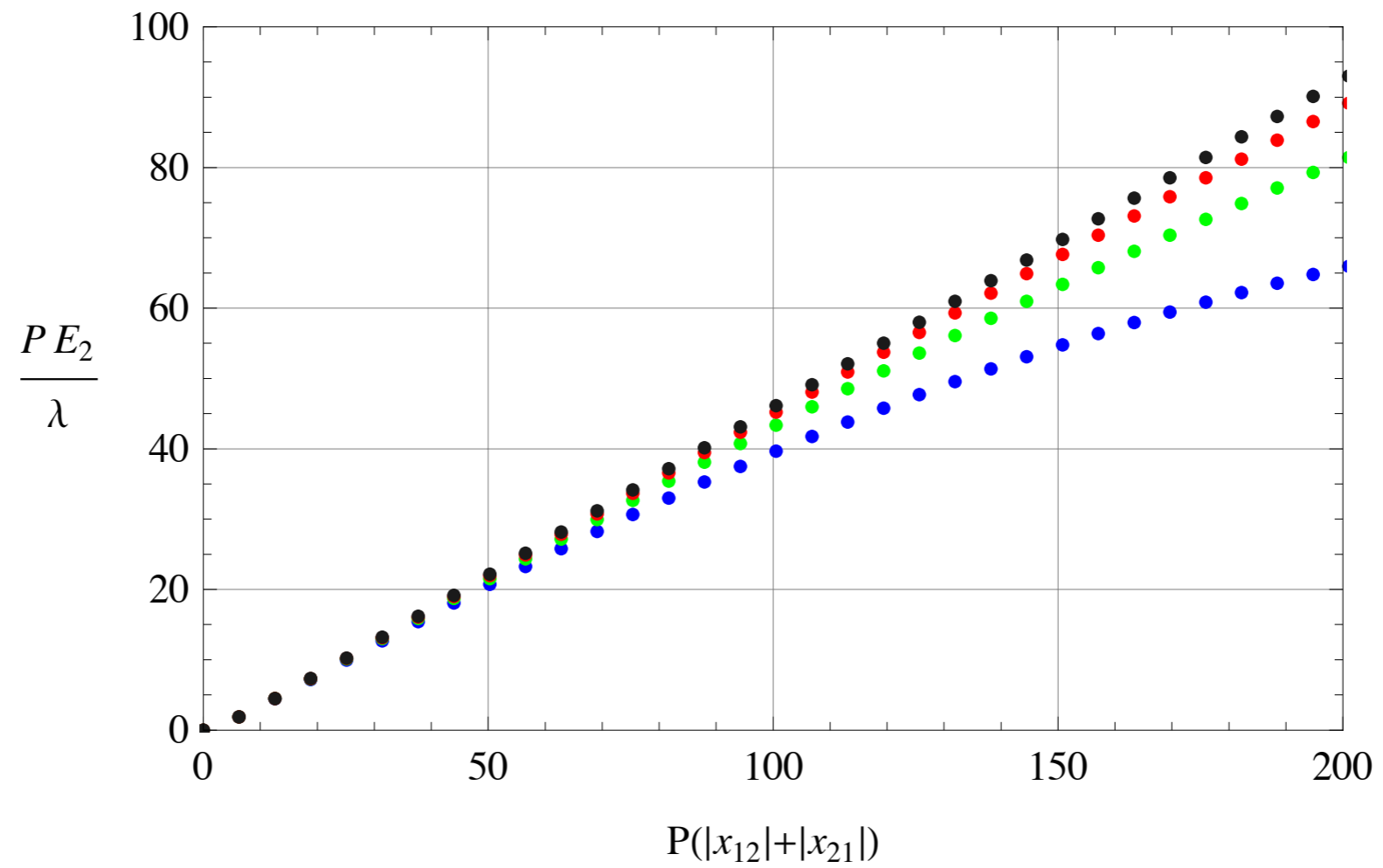
- D. Dorigoni, J. Wosiek and myself have considered (1010.1200) the **dimensional reduction of $D=4, N=1$ SYM** theory down to 2D with the hope that, in the large- N limit, it could reproduce the uncompactified theory.
- Besides, the model could be interesting per-se and actually several variations of it have been considered in the past.
- In the case at hand the model has a **$(2,2)$ SUSY** with 2 bosons and 2 fermions all in the adjoint of $SU(N)$.
- We have performed a **LC gauge-LC quantization** of the system and **compactified LC space** on a circle while preserving the full SUSY.

- The system can be studied numerically by diagonalizing the finite-dimensional LC Hamiltonian, finding eigenvalues and eigenvectors, and by taking eventually the large L limit **checking convergence** to finite values.
- In principle this can be done for the full Hamiltonian but, for the moment, it was only carried out for a truncated version where only the **leading IR terms** are kept.
- These neatly cancel for colour singlets and lead to a nice **string picture** for the multiparton bound states with the **expected string tension** between pairs of neighbour partons along the single-trace of the large- N limit.
- In this approximation the parton number p is conserved.

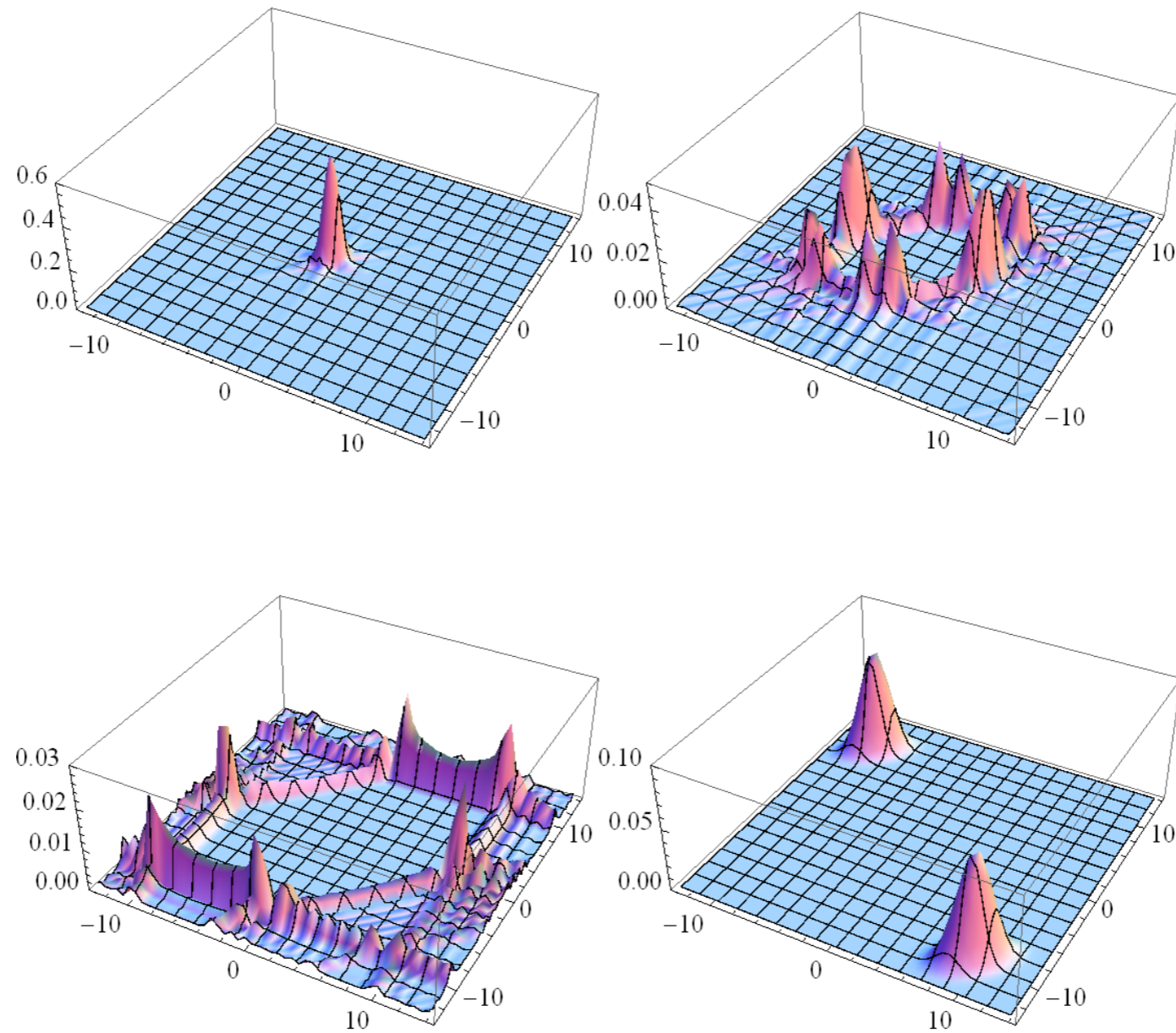
$p=2$ wave-functions in LC position space



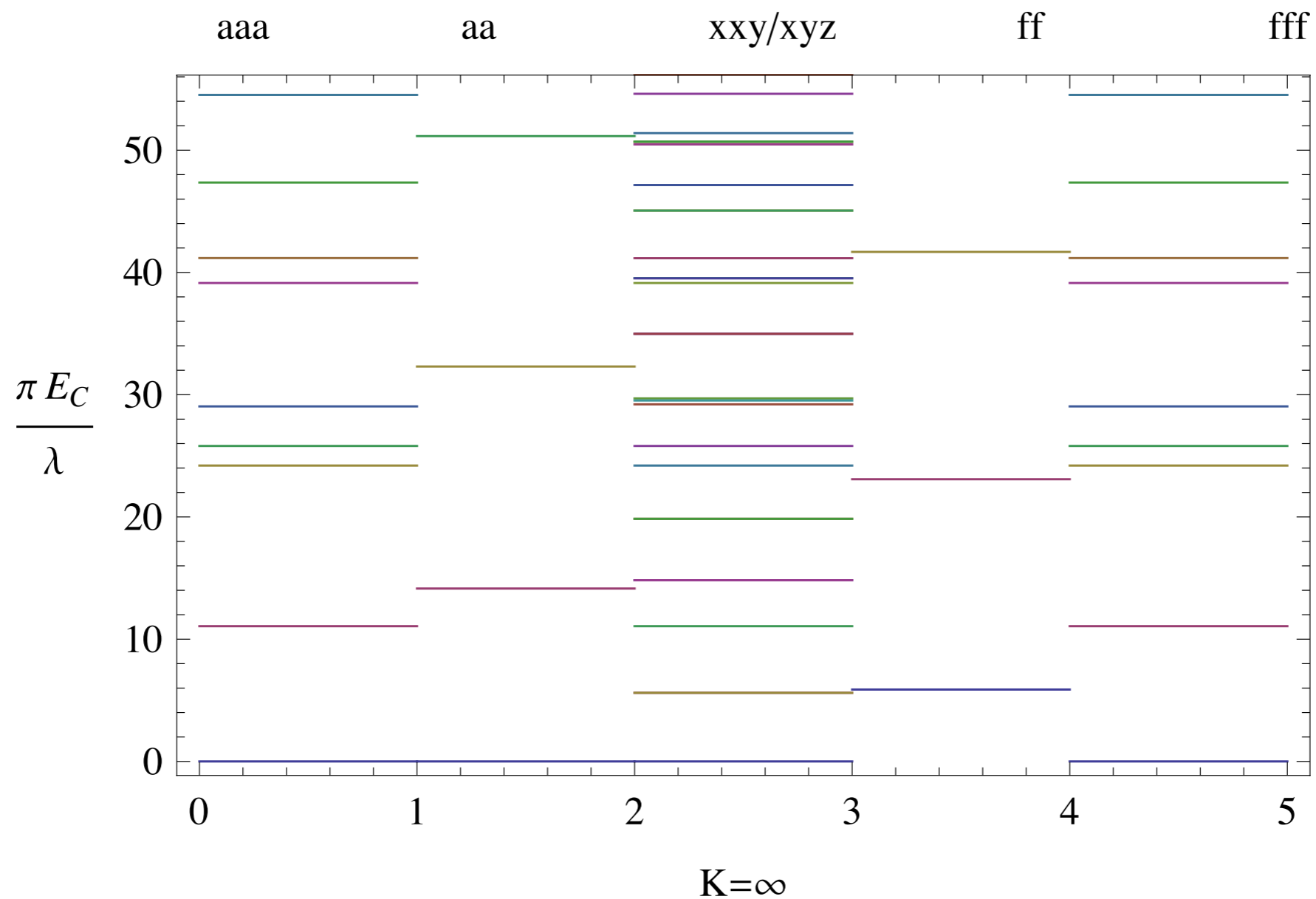
Energy eigenvalues versus average distance



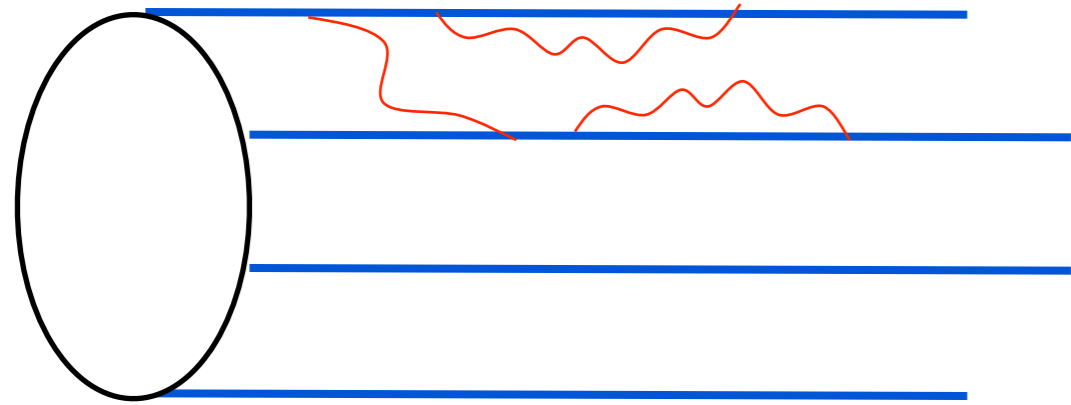
$p=3$ wave-functions in LC position space



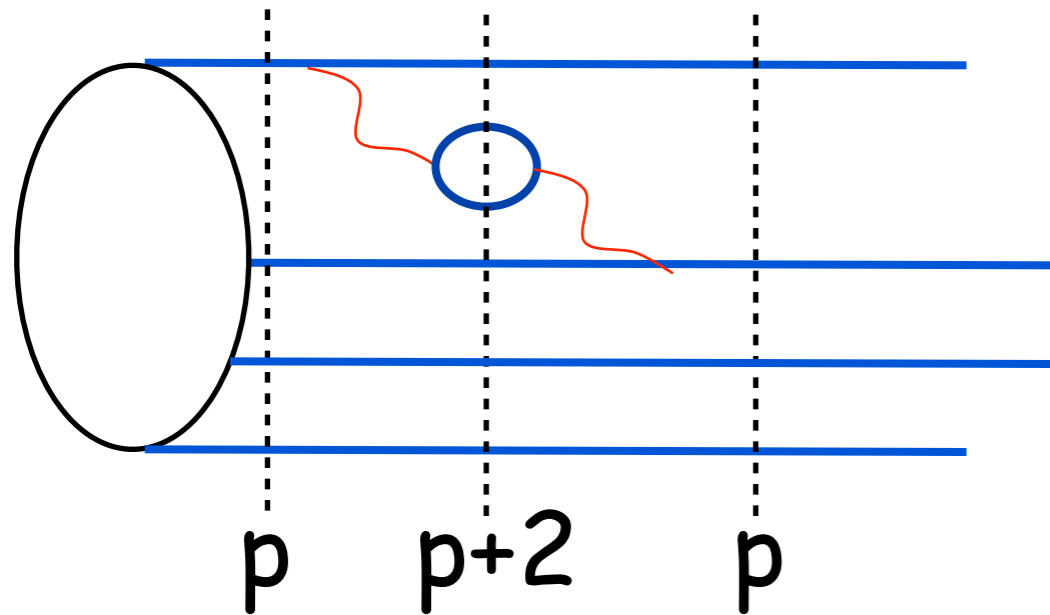
Approximate (2,2) SUSY between p=2 and p=3 spectra



- All this looks very encouraging but then people (e.g. Armoni) asked whether these features survive when we consider the full Hamiltonian, in particular terms that **mix different numbers of partons**.
- Naively one might expect small mixing effects but there is a definite chance that, instead, massless fermionic and bosonic loops completely **change the IR dynamics** e.g. by screening the confining potential (cf. topological expansion at large N_F).
- Here the phase transition may occur at a small value of the parton masses.
- Large- N Feynman diagrams can be interpreted either way.



pairwise confining potential



mixing or screening?

NB: wiggly lines are NOT physical partons

Conclusions

- Almost 40 years after the idea of large- N came into particle physics we are still in search of the **true** string theory it should correspond to for confining gauge theories (we know the **fake** one it led to!).
- Much more progress has been made instead in connection with non-confining SUSY gauge theories such as in the **AdS/CFT correspondence** (with possible applications to the QCD QG-plasma in the strong-coupling regime).

- In the last decade new ideas have emerged that might eventually provide a practical implementation of the large- N program.
- In particular, the combination of ASV's planar equivalence and KUY's volume independence may allow to compute QCD properties (modulo $1/N$ corrections) in a physically interesting range of N_F and quark masses using small-lattice computations at large- N .
- Estimating the size of $1/N$ corrections (both for VI and for PE) should come next.

A question from my 2008 talk

How come that lattice calculations become more and **more complicated** as we increase N when the actual dynamics should become **simpler**?

There should be **some way** to approach **directly** the large- N limit even numerically...

...but I'm probably too naive!