



The Galileo Galilei Institute for Theoretical Physics  
Arcetri, Florence

# Phase transitions in holographic QCD with Dense Media

B. Gwak, M. Kim, BHL, Y. Seo, S.-J. Sin, [arXiv :1105.xxxx](#)

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# Contents

## I. Introduction

- Motivation
- AdS/CFT – Holography Principle, Dictionary
- AdS/QCD : Top-down & Bottom up approach

## II. Dual Geometry [BHL, Park, Sin JHEP 0907,\(2009\)](#)

- Dual Geometry at finite temperature (w/o chemical potential)
- Dual Geometry at finite (arbitrary) density

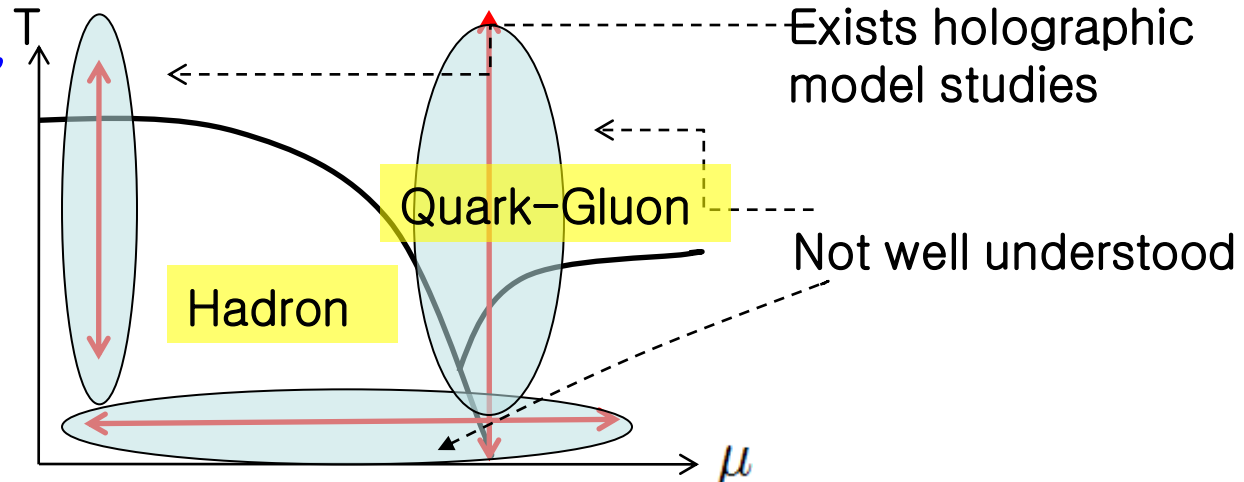
## III. Phases of Holographic QCD

[B. Gwak, M. Kim, B.-H.L., Y.Seo, S.-J. Sin,  
arXiv :1105.xxxx](#)

## IV. Summary

# I. Introduction : Motivation & Basics

- **AdS-CFT Holography** : Useful tool for strongly interacting system such as **QCD**, Condensed Matter, etc.
- Holography idea of AdS/CFT applied to QCD is called **AdS/QCD**
- With AdS-QCD, how to explain properties such as confinement,  $\chi$ -symm breaking, **phases**, etc. ?



## Main idea on holography through the $D_p$ branes

- \*  $D_p$  branes carry tension (energy) and charge (source for  $p+2$  form)  
→ Gravity in AdS space ( $\text{dim} = ((p+1)+1)$ )
- \*  $D_p$  brane's low energy dynamics by fluctuating open strings  
→ Yang-Mills in  $(p+1)$  dim. (CFT)

(Closed string picture) : Dp branes carry energy  $\rightarrow$  AdS ((p+1)+1) dim  
 and RR-charge  $\rightarrow$  source for p+2 form flux H

$$S_{IIB} = \frac{1}{4\kappa_B^2} \int \sqrt{G} e^{-2\Phi} (2R_G + 8\partial_\mu \Phi \partial^\mu \Phi - |H_3|^2) - \frac{1}{4\kappa_B^2} \int \left[ \sqrt{G} (|F_1|^2 + |\tilde{F}_3|^2 + \frac{1}{2} |\tilde{F}_5|^2) + C_4 \wedge H_3 \wedge F_3 + \text{fermions} \right]$$

$$\Rightarrow \begin{cases} ds^2 = f_p^{-1/2} (-dt^2 + dx_1^2 + \dots + dx_p^2) + f_p^{1/2} (dx_{p+1}^2 + \dots + dx_9^2), \\ e^{-2\phi} = f_p^{\frac{p-3}{2}}, \quad f_p = 1 + n c_p^{10} / r^{7-p}, \quad (\text{harmonic function}) \\ A_{0\dots p} = -\frac{1}{2} (f_p^{-1} - 1), \end{cases}$$

Ex) D3 brane : 
$$\begin{cases} ds^2 = f^{-1/2} dx_{||}^2 + f^{1/2} (dr^2 + r^2 d\Omega_5^2) \quad f = 1 + \frac{4\pi g N \alpha'^2}{r^4} \\ \phi = \text{constant} \quad (\text{conformal symmetry}) \end{cases}$$

The near horizon limit gives AdS x S5  $\alpha' \rightarrow 0$ ,  $U \equiv \frac{r}{\alpha'} = \text{fixed}$

$$ds^2 = \alpha' \left[ \underbrace{\frac{U^2}{\sqrt{4\pi g N}} dx_{||}^2}_{\text{AdS5}} + \underbrace{\sqrt{4\pi g N} \frac{dU^2}{U^2} + \sqrt{4\pi g N} d\Omega_5^2}_{\text{S5}} \right] \begin{array}{l} \text{the radius of } S_5 \\ = \text{the radius of } AdS_5 \end{array}$$

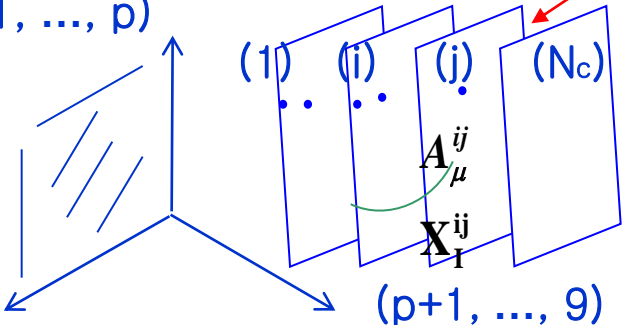
$R_{sph}^2 / \alpha' = \sqrt{4\pi g N}$

- Isometry : SO(4, 2) x SO(6)
- $gN \gg 1 \Rightarrow$  SUGRA approach is reliable

(open string picture of Dp branes ) Low Energy Dynamics  
 --> p+1 dim. SUSY SU(Nc) YM Theories

Neumann boundary condition

(0, 1, ..., p)



Dirichlet boundary condition

#Dp-branes = Nc

$$g_s = e^\phi = g_{YM}^2$$

$$A_\mu, \mu = 0, 1, \dots, p$$

$$X_I, I = p+1, \dots, q$$

\* BPS state Tension=Charge  $(= \frac{1}{g_s l_s^{p+1}})$

\* Preserves 1/2 of 2x16 SUSY  $\rightarrow$  brane

$$E_L Q_L + E_R + Q_R, R \quad E_L = \pm \Gamma^0 \Gamma^1 \dots \Gamma^p E_R$$

\* dynamical

$\rightarrow$  Anti brane

10 dim. N=1 SYM  $L = -\frac{1}{4g_{YM}^2} Tr F_{MN} F^{MN} + L_{fer}$

dim reduction  $\downarrow$

$$g_{YM}^2 = g_s l_s^{p-3}, \Phi^I = \frac{X^I}{l_s^2}$$

Ex) # Nc D3 branes

$$A_\mu, \Phi_I, \Psi : \quad \begin{matrix} \leftarrow & \leftarrow & \leftarrow \\ 0, 1, \dots, 3 & 1, \dots, 6 \end{matrix}$$

(p+1) dim. SYM (#SUSY = 16 = 32/2)

: Nc x Nc matrices, adj. repn. of U(Nc)

$$L = -\frac{1}{4g_{YM}^2} Tr \left( -\frac{1}{4} F_{\mu\nu} F^{\mu\nu} + \frac{1}{2} D_\mu \Phi^\Sigma D^\mu \Phi^I + \sum_{I,J} [\Phi^I, \Phi^J]^2 \right) + Fermions$$

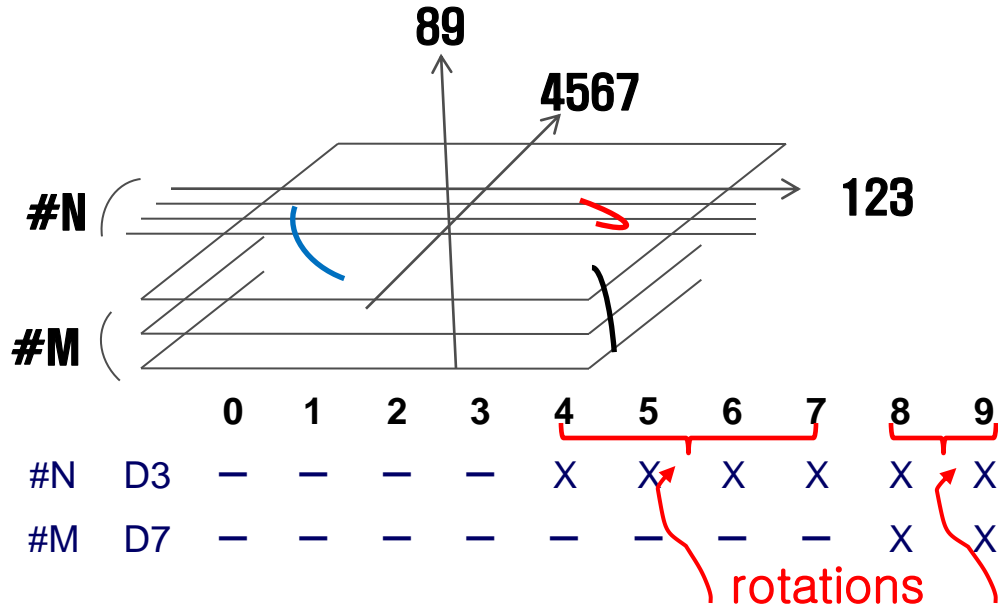
Conformal symmetry

• global symmetry : SO(4,2) x SU(4) = SO(6)

• If  $\lambda = g_{YM}^2 N_c \ll 1$ , then perturbative

rotation perpendicular to D3 branes

Ex) D3-D7  $\rightarrow$  3+1 dim.  $N=2$   $SU(N_c)$  YM theory with  $N_f$  hypermultiplets



		0	1	2	3	4	5	6	7	8	9
#N	D3	-	-	-	-	X	X	X	X	X	X
#M	D7	-	-	-	-	-	-	-	-	X	X

$\mathcal{N} = 2$	components	spin	$SU(2)_\Phi \times SU(2)_R$	$U(1)_R$	$\Delta$	$U(N_f)$	$U(1)_B$
$(\Phi_1, \Phi_2)$ hyper	$X^4, X^5, X^6, X^7$ $\lambda_1, \lambda_2$	0 $\frac{1}{2}$	$(\frac{1}{2}, \frac{1}{2})$ $(\frac{1}{2}, 0)$	0 -1	1 $\frac{3}{2}$	1 1	0 0
$(\Phi_3, W_\alpha)$ vector	$X_V^A = (X^8, X^9)$ $\lambda_3, \lambda_4$ $v_\mu$	0 $\frac{1}{2}$ 1	$(0, 0)$ $(0, \frac{1}{2})$ $(0, 0)$	+2 +1 0	1 $\frac{3}{2}$ 1	1 1 1	0 0 0
$(Q, \tilde{Q})$ fund. hyper	$q^m = (q, \tilde{q})$ $\psi_i = (\psi, \psi^\dagger)$	0 $\frac{1}{2}$	$(0, \frac{1}{2})$ $(0, 0)$	0 $\mp 1$	1 $\frac{3}{2}$	$N_f$ $N_f$	+1 +1

Note : 7-7 strings decouples

Strings  $\downarrow$  CPX

**3-3** :  $\mathbf{A}_\mu, \phi, \lambda, \chi$   
 $\uparrow$  0,1,2,3  
**(N=2 Vector multiplet)**  
**Adjoint representation**

**3-7** :  $Q, \tilde{Q}, \psi, \tilde{\psi}$   
**(N=2 hyper multiplet)**  
**Matter in Fundamental**

$$\mathcal{L} = \text{Im} \left[ \tau \int d^4\theta \left( \text{tr} (\bar{\Phi}_I e^V \Phi_I e^{-V}) + Q_r^\dagger e^V Q^r + \tilde{Q}_r^\dagger e^{-V} \tilde{Q}^r \right) + \tau \int d^2\theta (\text{tr} (W^\alpha W_\alpha) + W) + c.c. \right],$$

$$W = \text{tr} (\varepsilon_{IJK} \Phi_I \Phi_J \Phi_K) + \tilde{Q}_r (m_q + \Phi_3) Q^r$$

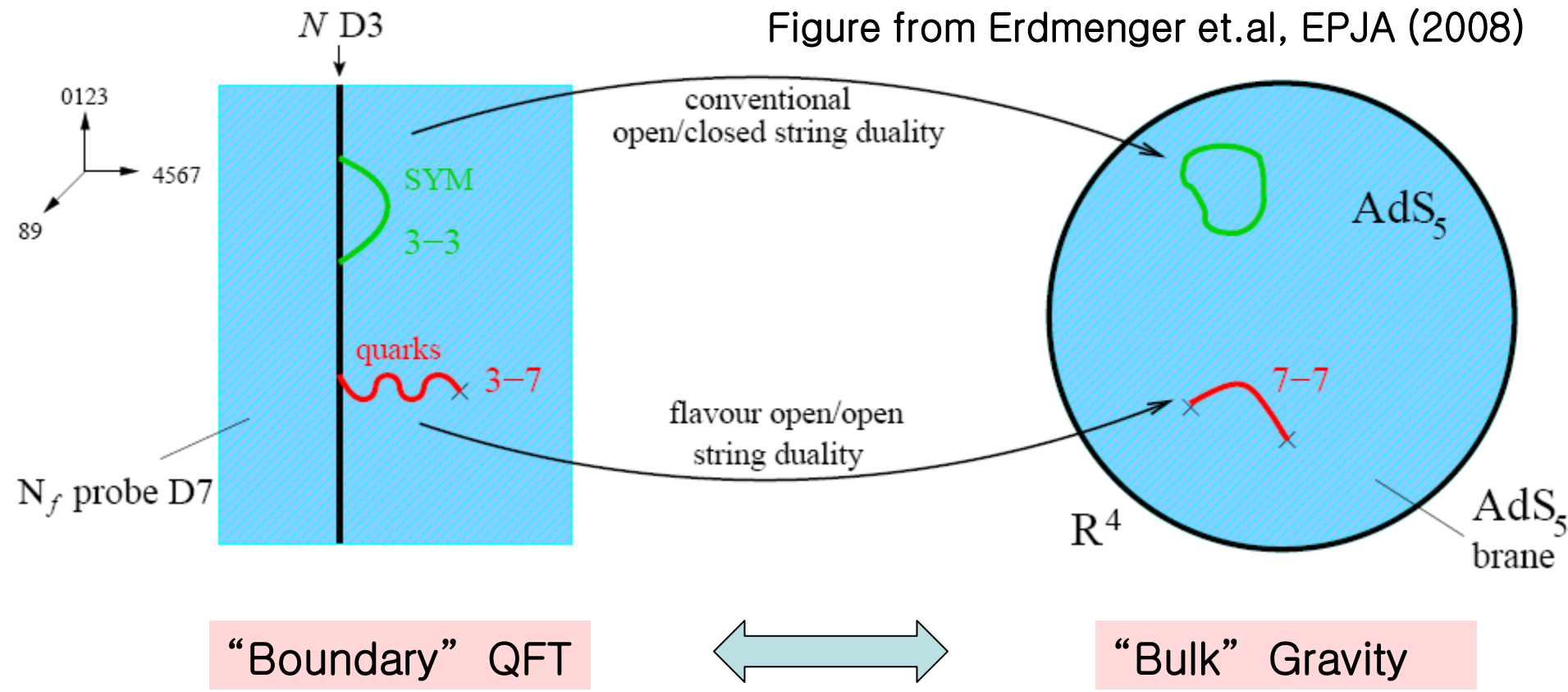
$$\beta_{N=2}^\lambda \equiv N_c \beta_{N=2} = \frac{1}{2\pi} \left( \frac{\lambda}{4\pi} \right)^2 \frac{N_f}{N_c}$$

$\xrightarrow[\text{(quenching)}]{N_f/N_c \ll 1}$  0

# Toward the more realistic models

## : AdS/CFT with flavors – Intersecting D–Branes

Figure from Erdmenger et.al, EPJA (2008)



7-7 open strings : Low energy dynamics for D7 branes (DBI action)

$$S_{D7} = -\mu_7 \int d^8\xi \sqrt{-\det (P[G]_{ab} + 2\pi\alpha' F_{ab})} + \frac{(2\pi\alpha')^2}{2} \mu_7 \int P[C^{(4)}] \wedge F \wedge F$$

$$\mu_7 = [(2\pi)^7 g_s \alpha'^4]^{-1}$$

# AdS/CFT Holography : simplest example

**N=4 SU(Nc) SYM**

4D QFT (CFT) (open string)  
on D-brane at the "boundary"

Conformal x R-symmetry |  
SO(4,2) x SO(6)

Parameters ( $g_{YM}^2$ ,  $N$ )

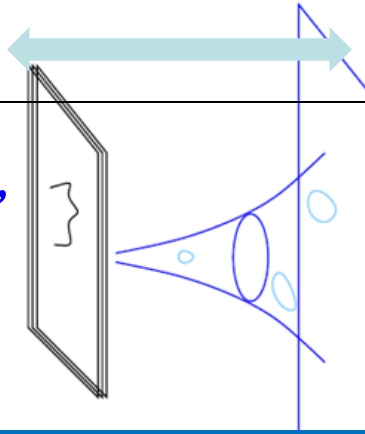
$$4\pi g_s N = \frac{R^4}{\alpha'^2} = \lambda = g_{YM}^2 N$$

**SUGRA on AdS5 x S5**

Classical Gravity (closed string)  
in "bulk",

Isometries of AdS x S5  
SO(4,2) x SO(6)

( $g_s$ ,  $R$ )



## Extension of the AdS/CFT

- with  $\beta(g^2) \rightarrow 0$  (asym. freedom)
- less SUSY
- Finite T QFT
- Chemical potential
- Fundamental matters flavor brane  
– quenched approx.
- ...
- QCD ? (phases, etc.)

in asymptotic AdS

Black Hole b.g.  
bulk gauge field

– w/o back reaction

in ??



# AdS/CFT Dictionary

Witten 98:

Gubser, Klebanov, Polyakov 98

Partition function of bulk

**gravity** theory (semi-classical)

$$Z_1 = \int_{\phi_0} \mathcal{D}\phi \exp(-S[\phi, g_{\mu\nu}])$$

$$\phi(t, \mathbf{x}; u = \infty) = u^{\Delta-4} \phi_0(t, \mathbf{x})$$

$\phi_0$  bdrly value of the bulk field  $\phi$

Generating functional of bdrly

**QFT** for operator  $\mathcal{O}$

$$Z_1 = \left\langle \exp \int_{\text{boundary}} d^d x \phi_0 \mathcal{O} \right\rangle$$

$$= \int \mathcal{D}[\Phi] \exp\{iS_4 + i \int \phi_0(x) \mathcal{O}\}$$

$\phi_0$ : source of the bdrly op.  $\mathcal{O}$

- $\phi$ : scalar  $\rightarrow S = \int d^4 x du \sqrt{-g} (g^{ab} \partial_a \phi \partial_b \phi - m^2 \phi^2)$   $\phi(u) \sim u^{4-\Delta} \phi_0 + u^\Delta \langle \mathcal{O} \rangle$
- Correlation functions by  $\frac{\delta^n Z_{\text{string}}}{\delta \phi_0(t_1, \mathbf{x}_1) \cdots \delta \phi_0(t_n, \mathbf{x}_n)} = \left\langle T \mathcal{O}(t_1, \mathbf{x}_1) \cdots \mathcal{O}(t_n, \mathbf{x}_n) \right\rangle_{\text{field theory}}$
- **Radial coord.  $r$**  in the bulk is proportional to the **energy scale  $E$**  of QFT
- 5D bulk field  $\phi$   $\leftrightarrow$  Operator  $\mathcal{O}$   
w/ 5D mass  $m_5$   $\leftrightarrow$  w/ Operator dimension  $\Delta$
- 5D gauge symmetry  $\leftrightarrow$  Current (global symmetry)
- Large  $r$  (small  $z$ )  $\leftrightarrow$  Large  $Q$

# $\mathcal{O}$ (Operator in QFT) $\leftrightarrow$ $\phi$ (p-form Field in 5D)

$$(\Delta - p)(\Delta + p - 4) = m_5^2$$

$\Delta$  : Conformal dimension  
 $m_5^2$  : mass (squared)

Note : the fluctuation field  $\phi$  on the bulk space corresponds to a source for the QCD Operator  $\mathcal{O}$ .

Ex)	4D: $\mathcal{O}(x)$	5D: $\phi(x, z)$	$p$	$\Delta$	$(m_5)^2$
	$\bar{q}_L \gamma^\mu t^a q_L$	$A_{L\mu}^a$	1	3	0
	$\bar{q}_R \gamma^\mu t^a q_R$	$A_{R\mu}^a$	1	3	0
	$\bar{q}_R^\alpha q_L^\beta$	$(2/z)X^{\alpha\beta}$	0	3	-3

$\langle \text{Tr} G^2 \rangle$	Gluon cond .	dilaton	0	4	0
$\bar{q}_L \gamma^\mu q_L$	baryon density	vector w/ U(1)	1	3	0
$\bar{q}_R \gamma^\mu q_R$					

## fields in gravity

- massless dilaton
- scalar field with  $m^2 = -\frac{3}{R^2}$
- $m=0$  vector field  $A_\mu$  in the  $SU(N_f)$  gauge group



dual

## operators of QCD

- gluon condensation  $\langle \text{Tr} G^2 \rangle$
- chiral condensation  $\bar{q}_R q_L$
- mesons in the  $SU(N_f)$  flavor group

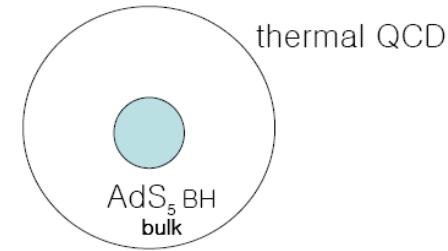
# Temperature

- Black hole geometry

- $T = \frac{r_T}{\pi R^2}$

E. Witten (1998)

$$ds_5^2 = \frac{1}{z^2} \left( f^2(z) dt^2 - (dx^i)^2 - \frac{1}{f^2(z)} dz^2 \right),$$



# Flavor degrees of freedom

$$f^2(z) = 1 - \left( \frac{z}{z_T} \right)^4 \quad T = \frac{1}{\pi z_T}$$

- Adding probe brane

- $y(\rho) = M_q + \frac{\langle \bar{\psi} \psi \rangle}{\rho^2} + \dots \quad (\rho \gg 1)$

# Chemical potential or Density

- Turning on  $U(1)$  gauge field on probe brane

- $A_\mu \leftrightarrow \langle J^\mu \rangle = \bar{\psi} \gamma^\mu \psi$

- $A_t = \mu + \frac{Q}{\rho^2} + \dots \quad (\rho \gg 1)$

# Source of gauge field

- End point of fundamental strings
- Physical object which carry  $U(1)$  baryon charge
- Fundamental strings which connect probe brane and black hole  
→ Quarks
- Fundamental strings which connect probe brane and baryon vertex  
→ Baryons

# AdS/QCD

Goal : Try to understand QCD using the 5 dimensional dual gravity theory (AdS/CFT correspondence)

Need the dual geometry of QCD.

1. Approaches :

**Top-down Approach :**

rooted in string theory

Find brane config. for the gravity dual

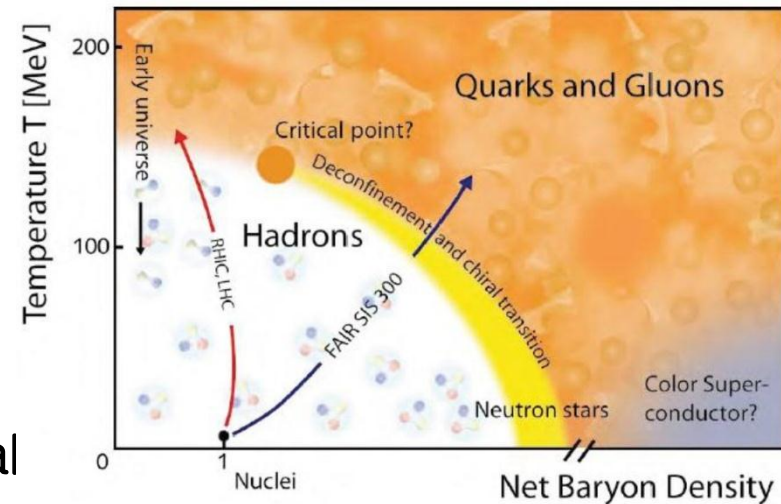
**Bottom-up Approach :** phenomenological

Introduce fields, etc. as needed based on the AdS/CFT

- \* Hard Wall Model – Introduce IR brane for confinement
- \* Soft Wall Model – dilaton running

**Light-Front :** radial direction of AdS  $\leftrightarrow$  Parton momenta

(Brodsky, de Teramond, 2006)



# Top-Down Approach

## Observation :

- N<sub>c</sub> of D3 branes : AdS<sub>5</sub> x S<sup>5</sup> ↔ N=4 SUSY YM
- N<sub>c</sub> of D3 / orbifold, etc. : AdS<sub>5</sub> x X ↔ N=2, 1 YM

## QFT with Asymptotic AdS SUGRA Duals

- N=1\* Polchinski & Strassler, hep-th/0003136
- Cascading Gauge Theory Klebanov & Strassler, JHEP 2000
- N<sub>c</sub> of D3 & N<sub>f</sub> of D7 Kruczenski, Mateos, Myers, Winters 2004  
N=2 SYM with quarks massive (in general): m<sub>q</sub>  
Probe approximation (N<sub>c</sub> ≫ N<sub>f</sub>) (~ quenched approx. )  
(No back reaction to the bulk geometry from the flavor branes.)  
Free energy ~ Flavor-brane action
- N<sub>c</sub> of D4 b.g. (Witten) + N<sub>f</sub> of D8 system Sakai & Sugimoto 2005

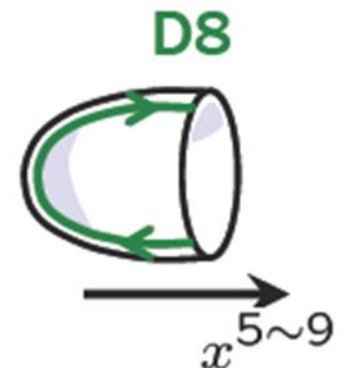
Topology : R(1,3) x R<sup>2</sup> x S<sup>4</sup>

The Effective Action : 5D U(N<sub>f</sub>) YM CS theory

$$S_{5\text{dim}} \simeq S_{\text{YM}} + S_{\text{CS}}$$

-> closely related to QCD

- etc.



Introduce the contents (fields, etc.) as needed based on the AdS/CFT  
– Phenomenological

- Kaluza–Klein modes – radial excitations of hadrons identified by the symmetry properties of the modes
- Confinement realized in
  - \* Soft Wall Model – by dilaton running
  - \* Hard Wall Model – by introducing IR brane for confinement

## Ex) Confinement – Deconfinement

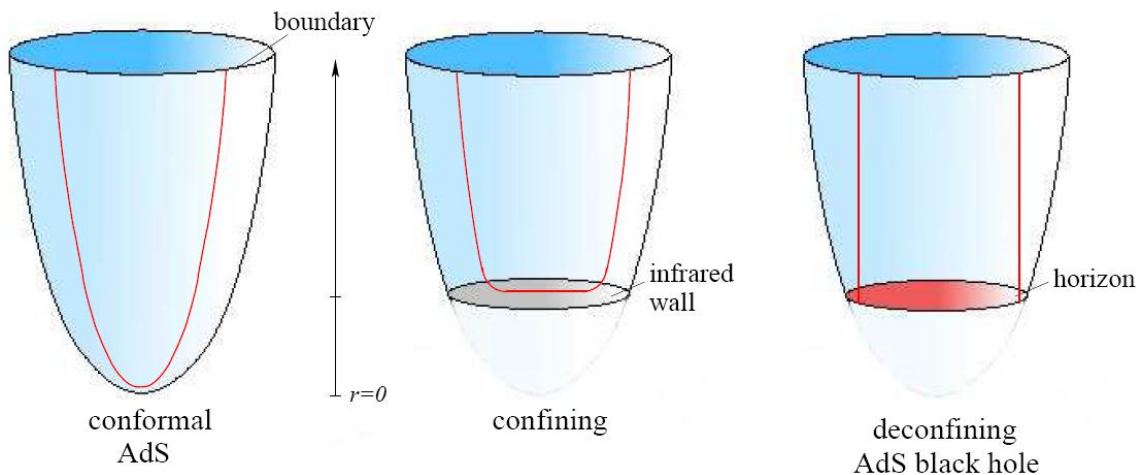


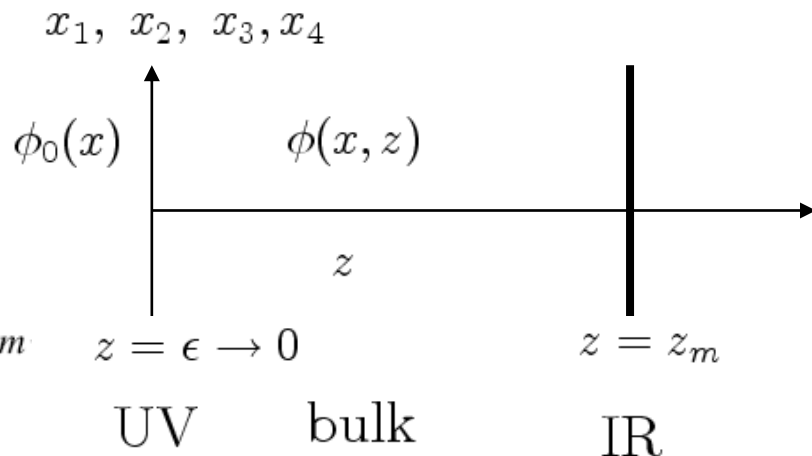
Figure from  
Erdmenger et.al,  
EPJA (2008)

## Ex) Hard wall Model

Infrared Brane at  $z = z_m$   
 $\implies$  Confinement

Metric – Slice of AdS metric

$$ds^2 = \frac{1}{z^2} (-dz^2 + dx^\mu dx_\mu), \quad 0 < z \leq z_m$$



### 5D action (Nf=2)

$$S = \int d^5x \sqrt{-g} \left( -\frac{1}{2g_5^2} \text{Tr} (L_{MN} L^{MN} + R_{MN} R^{MN}) + \text{Tr} (|D_M X|^2 + m_X^2 |X|^2) \right)$$

$$X_0(z) = \frac{1}{2} M z + \frac{1}{2} \Sigma z^3$$

Observable	Measured (MeV)	4D: $\mathcal{O}(x)$	5D: $\phi(x, z)$	$p$	$\Delta$	$(m_5)^2$
		$\bar{q}_L \gamma^\mu t^a q_L$	$A_{L\mu}^a$	1	3	0
		$\bar{q}_R \gamma^\mu t^a q_R$	$A_{R\mu}^a$	1	3	0
		$\bar{q}_R^\alpha q_L^\beta$	$(2/z) X^{\alpha\beta}$	0	3	-3
$m_\pi$	$139.6 \pm 0.0004$ [8]	139.6*	141			
$m_\rho$	$775.8 \pm 0.5$ [8]	775.8*	832			
$m_{a_1}$	$1230 \pm 40$ [8]	1363	1220			
$f_\pi$	$92.4 \pm 0.35$ [8]	92.4*	84.0			
$F_\rho^{1/2}$	$345 \pm 8$ [15]	329	353			
$F_{a_1}^{1/2}$	$433 \pm 13$ [6, 16]	486	440			
$g_{\rho\pi\pi}$	$6.03 \pm 0.07$ [8]	4.48	5.29			

### Parameters

$$m_q \quad \sigma \quad z_m$$

$$g_5^2 = \frac{12\pi^2}{N_c}$$

## 2. The Dual Geometry

(for the pure Yang–Mills theory without quark matters)

- 1) Low T (confining phase) : tAdS  
(thermal) AdS space, no stable AdS black hole
- 2) High T (deconfining phase) : AdS BH  
Schwarzschild–type AdS black hole

This geometry is described by the following action

$$S = \frac{1}{2\kappa^2} \int d^5x \sqrt{g} (-\mathcal{R} + 2\Lambda)$$

$\Lambda = -\frac{6}{R^2}$ : cosmological constant

$R$  : AdS radius

“confinement” phase

Thermal AdS (Low T)



“de-confinement” phase

AdS–BH (High T)

Hawking–Page transition

Transition of bulk **geometry** at temperature  $\beta (=1/T)$ .

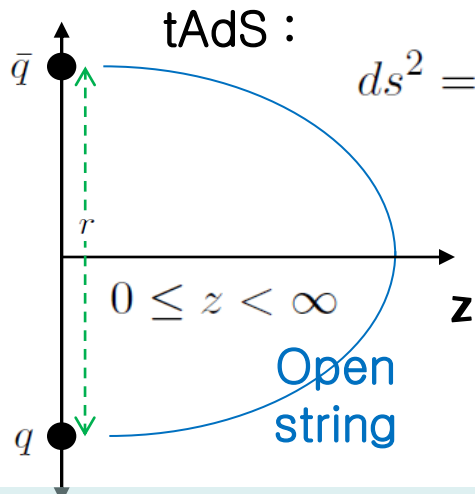


# 1) tAdS w/o IR cutoff $\rightarrow$ no confinement

AdS metric :  $ds^2 = \frac{R^2}{z^2} (-dt^2 + d\vec{x}^2 + dz^2)$

$\Downarrow$  Wick rotation

tAdS :  $ds^2 = \frac{R^2}{z^2} (d\tau^2 + d\vec{x}^2 + dz^2)$



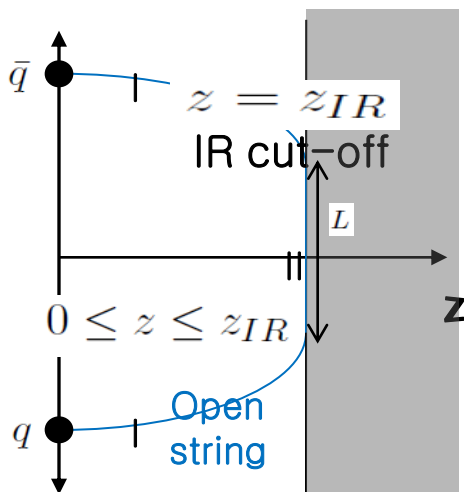
the boundary located at  $z=0$   
with the topology  $R \times R^3$

The periodicity of  $\tau : \beta = 1/T$   
 $S \times R^3$

- the Coulomb potential  $E \sim -\frac{1}{r}$   
 $\rightarrow$  no confining potential.

[Maldacena, Phys.Rev.Lett. 80 (1998) 4859]

# tAdS with IR cut-off (hard wall model) $\rightarrow$ confinement



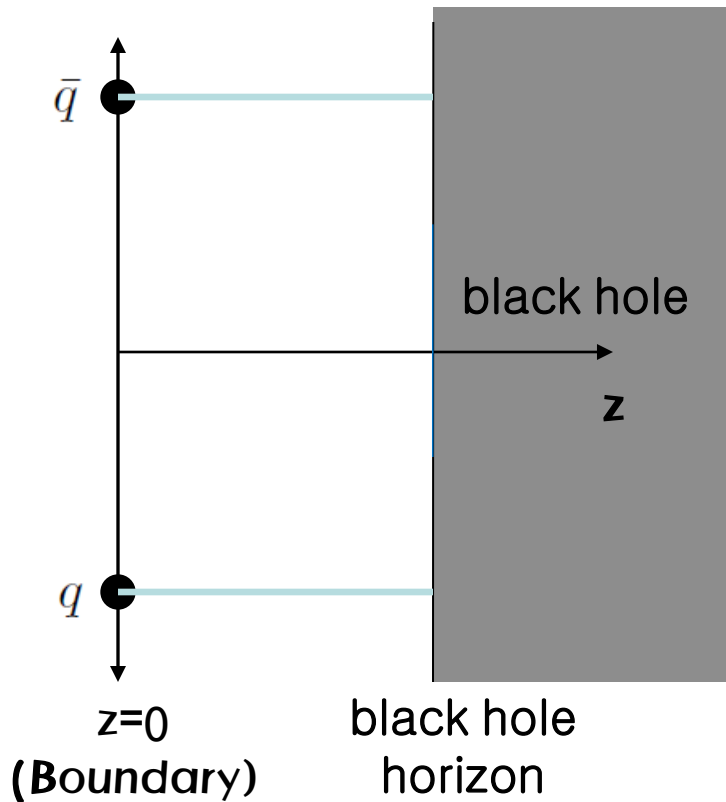
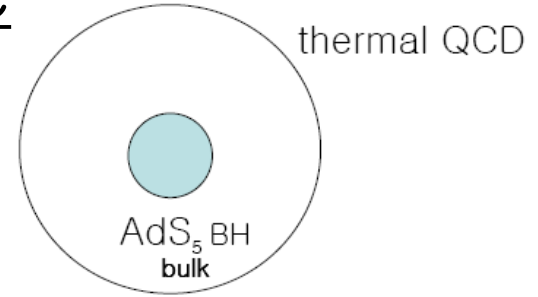
- In region I, the Coulomb-like potential.
- In region II, the confining potential.

$$E \sim -\frac{1}{r}$$

$$E \sim T_s L$$

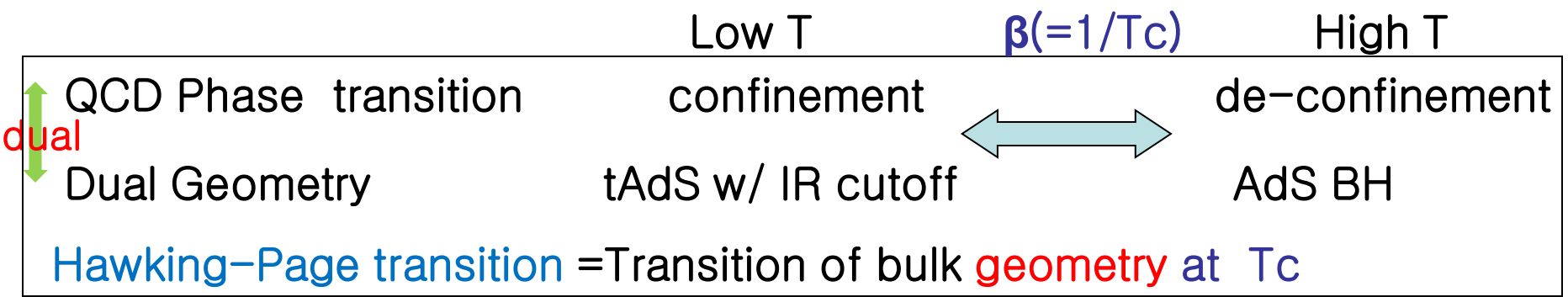
## 2) AdS BH $\rightarrow$ deconfining phase

$$ds^2 = \frac{R^2}{z^2} \left( (1 - mz^4) dt^2 + d\vec{x}^2 + \frac{1}{1 - mz^4} dz^2 \right)$$



- For  $z \ll z_h$ , AdS5 (x S5)
- an event horizon at  $z_h = m^{-1/4}$  smooth geometry if  $t$  periodic with  $\pi \frac{R^2}{z_h}$
- The Hawking temperature :  $T_H = \frac{1}{\pi z_h}$  identified with the temperature of the boundary gauge theory.
- This black hole geometry corresponds to the **deconfining phase** of the boundary gauge theory, since there is no confining potential.

### 3) Hawking–Page phase transition [ Herzog , Phys.Rev.Lett.98:091601,2007 ]



To investigate the Hawking–Page transition, we should calculate the free energy, which is proportional to the gravity on-shell action.

The regularized on-shell action

1) for the tAdS, 
$$S_{tAdS} = \frac{4R^3}{\kappa^2} \int_0^{\beta'} dt \int_{\epsilon}^{z_{IR}} dz \frac{1}{z^5}$$

2) for the AdS BH 
$$S_{AdSBH} = \frac{4R^3}{\kappa^2} \int_0^{\pi z_h} dt \int_{\epsilon}^{z_h} dz \frac{1}{z^5}$$

$\beta'$  : arbitrary

To remove the divergence at  $z=0$  introduce a UV cut-off  $\epsilon$

the period in the t-direction of tAdS  $\beta'$   
 = the period in t-direction of AdS BH at  $z = \epsilon$

$\implies \beta' = \pi z_h \sqrt{f(\epsilon)}$

Using this, the difference of two actions :

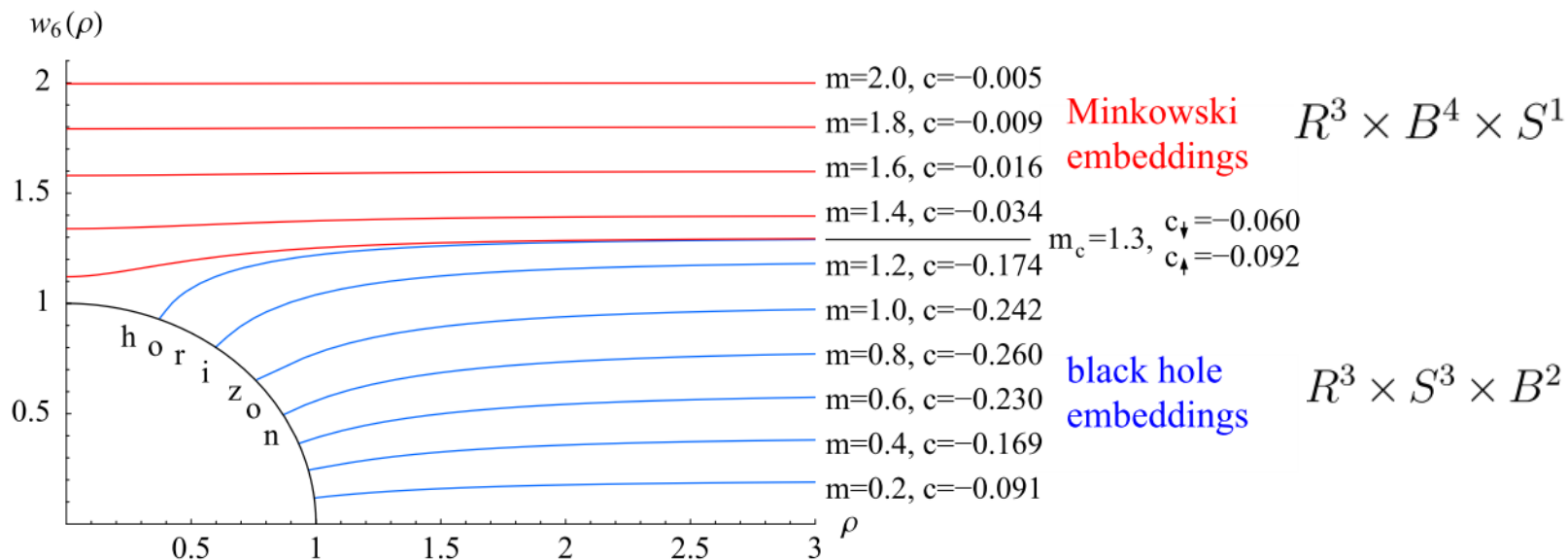
$$\Delta S = \lim_{\epsilon \rightarrow 0} (S_{AdSBH} - S_{tAdS}) = \frac{\pi z_h R^3}{\kappa^2} \left( \frac{1}{z_{IR}^4} - \frac{1}{2z_h^4} \right)$$

- The Hawking–Page (or deconfinement) transition occurs at

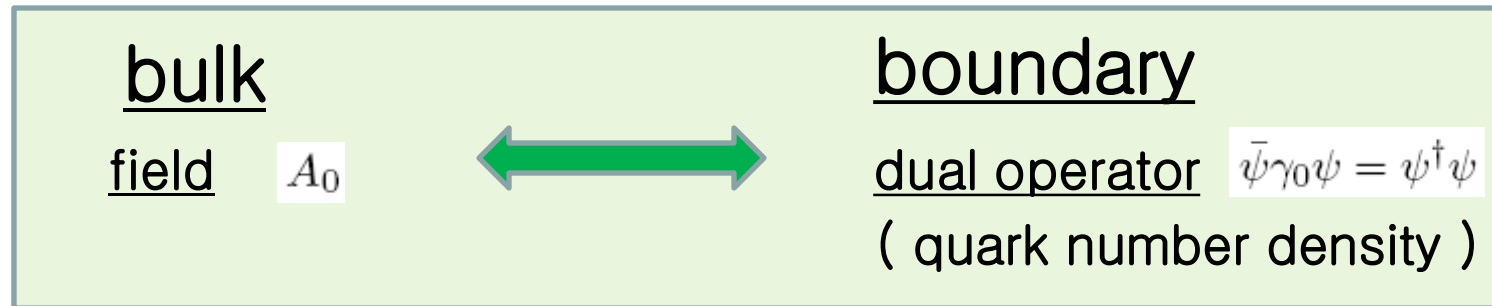
$$z_h = \frac{z_{IR}}{2^{1/4}} \quad \text{or} \quad T_c = \frac{2^{1/4}}{\pi z_{IR}}$$

- At the low temperature  $T < T_c$  the tAdS space is stable (confining phase).
- At the high temperature  $T > T_c$  the AdS BH is more stable (deconfining phase)

Note : Phenomenology such as meson spectrum, etc. can be studied by embedding D7, etc.



# Dual geometry for finite chemical potential



Chamblin–Emparan–Johnson–Myers, 1999  
 Cvetic–Gubser, 1999

5-dimensional action dual to the gauge theory with quark matters

$$S = \int d^5x \sqrt{G} \left[ \frac{1}{2\kappa^2} (-\mathcal{R} + 2\Lambda) + \frac{1}{4g^2} F_{MN} F^{MN} \right] \quad \text{Euclidean}$$

Wick rotation  $t \rightarrow -i\tau$

Equations of motion

1) Einstein equation  $\mathcal{R}_{MN} - \frac{1}{2} G_{MN} \mathcal{R} + G_{MN} \Lambda = \frac{\kappa^2}{g^2} \left( F_{MP} F_N^P - \frac{1}{4} G_{MN} F_{PQ} F^{PQ} \right)$

2) Maxwell equation  $0 = \partial_M \sqrt{-G} G^{MP} G^{NQ} F_{PQ}$

Ansatz :

$$\left\{ \begin{array}{l} ds^2 = \frac{R^2}{z^2} \left( f(z) dt^2 + d\vec{x}^2 + \frac{1}{f(z)} dz^2 \right) \\ A_0 = A(z) \quad \text{and other are zero.} \end{array} \right.$$

# Solutions

S.-J. Sin, 2007

- most general solution, which is RNAdS BH (RN AdS black hole)

$$f(z) = 1 - mz^4 + q^2 z^6$$

$$A(z) = i(\mu - Qz^2)$$

corresponds to **the deconfining phase (quark-gluon plasma)**

$m$

black hole mass

$q$

black charge

$\mu$

quark chemical potential

$$Q = \sqrt{\frac{3g^2 R^2}{2\kappa^2}} q$$

quark number density

## Note

1) The value of  $A_0$  at the boundary ( $z = 0$ ) corresponds to the quark chemical potential  $\mu$  of QCD.

2) The dual operator of  $A_0$  is denoted by  $Q$ , which is the quark (or baryon) number density operator.

3) We use  $\frac{1}{2\kappa^2} = \frac{N_c^2}{8\pi^2 R^3}$  and  $\frac{1}{g^2} = \frac{N_c N_f}{4\pi^2 R}$

- What is the dual geometry of **the confining (or hadronic) phase** ?

find non-black hole solution

(BHL, Park, Sin JHEP 0907,(2009))

$$f(z) = 1 + q^2 z^6$$
$$A(z) = i (\mu - Qz^2)$$

We call it tcAdS (thermal charged AdS space)

- baryonic chemical potential

$$\mu_B = 3\mu$$

- baryon number density

$$Q_B = Q/3$$

Note : Solutions in both phases are valid for arbitrary densities

## RNAdS BH (QGP)

$$ds^2 = \frac{R^2}{z^2} \left( (1 - mz^4 + q^2z^6)d\tau^2 + d\vec{x}^2 + \frac{1}{1 - mz^4 + q^2z^6} dz^2 \right)$$

- black hole horizon  $z_+$  :  $0 = f(z_+) = 1 - mz_+^4 + q^2z_+^6$
- Hawking temperature  $T_{RN} = \frac{1}{\pi z_+} \left( 1 - \frac{1}{2}q^2z_+^6 \right)$
- For the norm of  $A_0$  at the black hole horizon  $\|A(z)\| \equiv g^{\tau\tau} A_\tau A_\tau$   
to be regular, we should impose the Dirichlet boundary condition  
$$A(z_+) = 0$$
- From this, we can obtain a relation between  $Q$  and  $\mu$   
$$Q^2 = \frac{\mu^2}{z_+^4}$$
- Using these relations, can rewrite  $z_+$  as a function of  $\mu$  and  $T_{RN}$

$$z_+ = \frac{3g^2R^2}{2\kappa^2\mu^2} \left( \sqrt{\pi^2 T_{RN}^2 + \frac{4\kappa^2\mu^2}{3g^2R^2}} - \pi T_{RN} \right)$$



## tcAdS ( Hadronic phase )

- Impose the Dirichlet boundary condition at the IR cut-off

$$A(z_{IR}) = i\alpha\mu,$$

where  $\alpha$  is an arbitrary constant and will be determined later.

- Using this, we can find the relation between  $\mu$  and  $Q$

$$Q = \frac{(1 - \alpha)\mu}{z_{IR}^2}.$$

- After imposing the Dirichlet B.C at the UV cut-off, the renormalized on-shell action for the tcAdS

$$\begin{aligned}\bar{S}_{tc}^D &= -\frac{V_3 R^3}{\kappa^2} \frac{1}{T_{tc}} \left( \frac{1}{z_{IR}^4} + \frac{2\kappa^2}{3g^2 R^2} \frac{(1 - \alpha)^2 \mu^2}{z_{IR}^2} \right) \\ &\equiv \frac{\Omega_{tc}}{T_{tc}}\end{aligned}$$

- From this renormalized action, the particle number is reduced to

$$N = \frac{2}{3}(1 - \alpha)\frac{2R}{g^2}QV_3$$

- Using the Legendre transformation,  $N$  should satisfy the following relation  $\mu N = S_b T_{tc}$

where the boundary action for the tcAdS is given by

$$S_b = \frac{\mu}{T_{tc}}\frac{2R}{g^2}QV_3$$

- So, we see that  $\alpha$  should be  $-1/2$  for the consistency.

Then, the renormalized on-shell action for the tcAdS

$$\bar{S}_{tc}^D = -\frac{V_3 R^3}{\kappa^2} \frac{1}{T_{tc}} \left( \frac{1}{z_{IR}^4} + \frac{3\kappa^2}{2g^2 R^2} \frac{\mu^2}{z_{IR}^2} \right)$$

with  $\mu = \frac{2}{3}Qz_{IR}^2$ .

# Hawking–Page transition

- The difference of the on-shell actions for RN AdS BH and tcAdS

$$\begin{aligned}\Delta S &= S_{RN}^D - S_{tc}^D \\ &= \frac{V_3 R^3}{\kappa^2} \frac{1}{T_{RN}} \left( \frac{1}{z_{IR}^4} - \frac{1}{2z_+^4} + \frac{3\kappa^2}{2g^2 R^2} \frac{\mu^2}{z_{IR}^2} - \frac{\kappa^2}{3g^2 R^2} \frac{\mu^2}{z_+^2} \right)\end{aligned}$$

- When  $\Delta S = 0$ , Hawking–Page transition occurs
- Suppose that  $\Delta S = 0$  at a critical point  $z_+ = z_c$ 
  - 1) For  $z_+ < z_c$ ,  $\Delta S$  becomes negative.  $\Rightarrow$  deconfining phase
  - 2) For  $z_c < z_+ \leq z_{IR}$ , tcAdS is stable.  $\Rightarrow$  confining phase

- Introducing new dimensionless variables

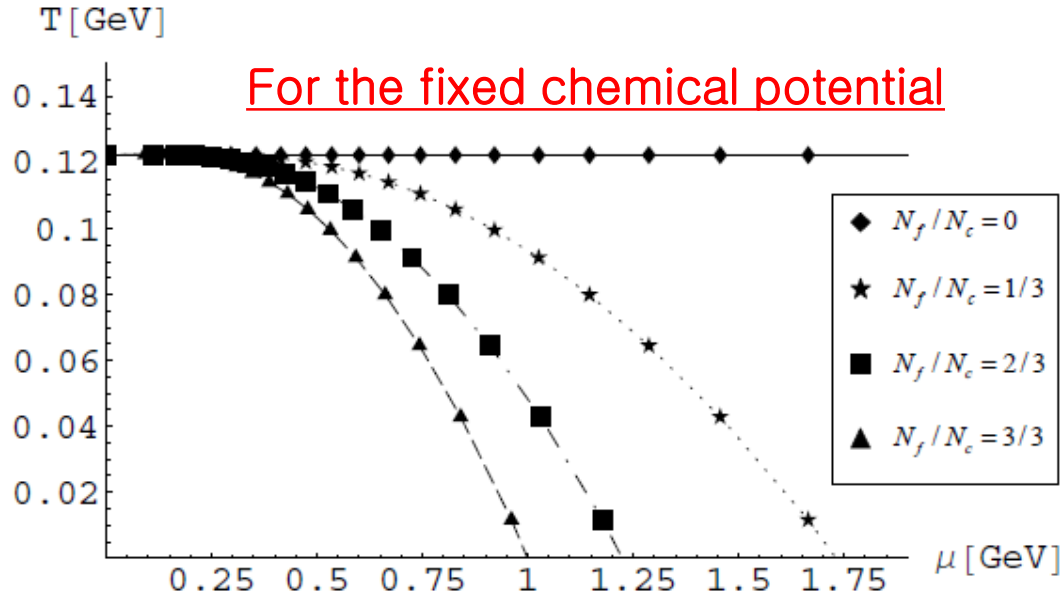
$$\tilde{z}_c \equiv \frac{z_c}{z_{IR}},$$

$$\tilde{\mu}_c \equiv \mu_c z_{IR},$$

$$\tilde{T}_c \equiv T_c z_{IR},$$

the Hawking–Page transition occurs at

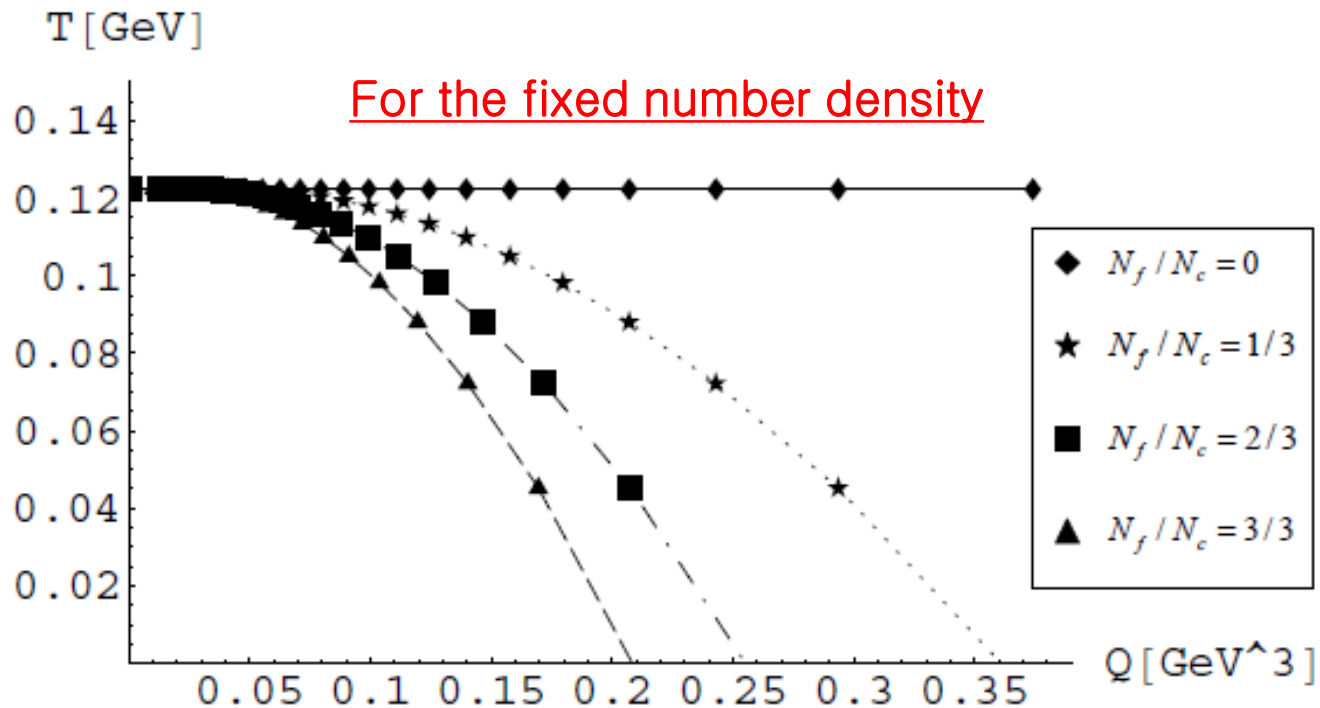
$$\left\{ \begin{array}{l} \tilde{\mu}_c = \sqrt{\frac{3N_c}{N_f} \frac{(1 - 2\tilde{z}_c^4)}{\tilde{z}_c^2(9\tilde{z}_c^2 - 2)}}, \\ \tilde{T}_c = \frac{1}{\pi\tilde{z}_c} \left( 1 - \frac{1 - 2\tilde{z}_c^4}{9\tilde{z}_c^2 - 2} \right). \end{array} \right.$$



- After the Legendre transformation, the Hawking–Page transition in the fixed quark number density case occurs at

$$\tilde{Q}_c = \sqrt{\frac{3N_c}{2N_f} \frac{(1 - 2\tilde{z}_c^4)}{\tilde{z}_c^4(5\tilde{z}_c^2 - 2)}}$$

$$\tilde{T}_c = \frac{1}{\pi\tilde{z}_c} \left[ 1 - \frac{\tilde{z}_c^2(1 - 2\tilde{z}_c^4)}{2(5\tilde{z}_c^2 - 2)} \right]$$

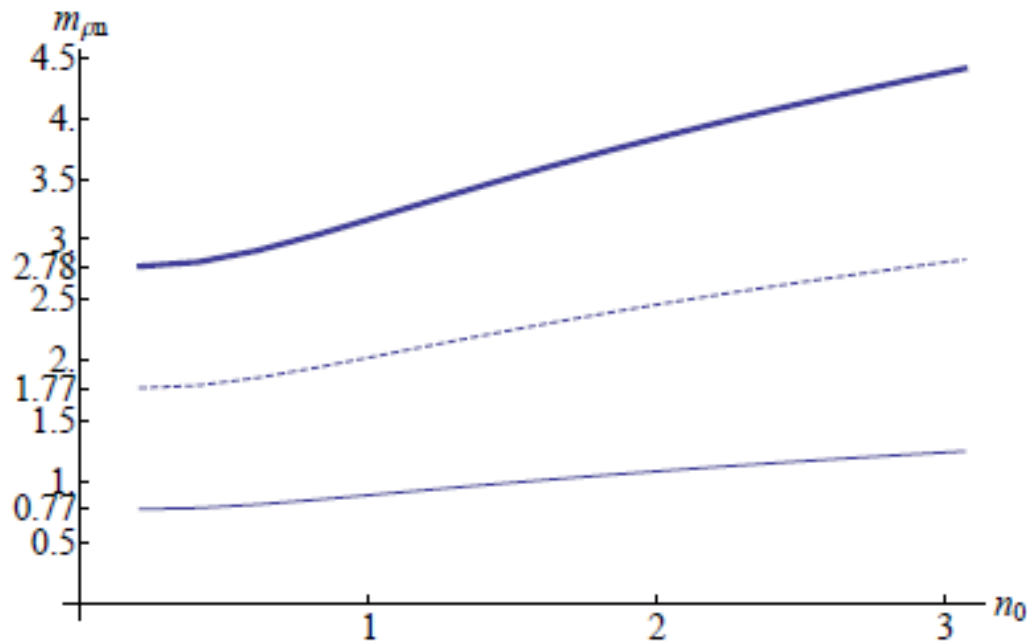


# Light meson spectra in the hadronic phase

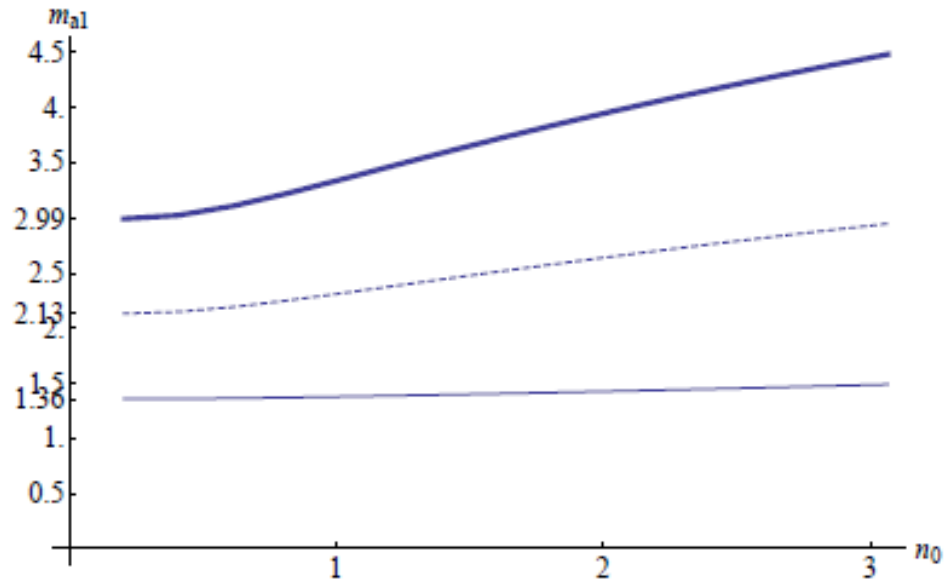
Turn on the fluctuation in bulk corresponding the meson spectra in QCD

$$\Delta S = \int d^5x \sqrt{G} \text{Tr} \left[ |DX|^2 - \frac{3}{R^2} |X|^2 + \frac{1}{4g_5^2} (F_L^2 + F_R^2) \right]$$

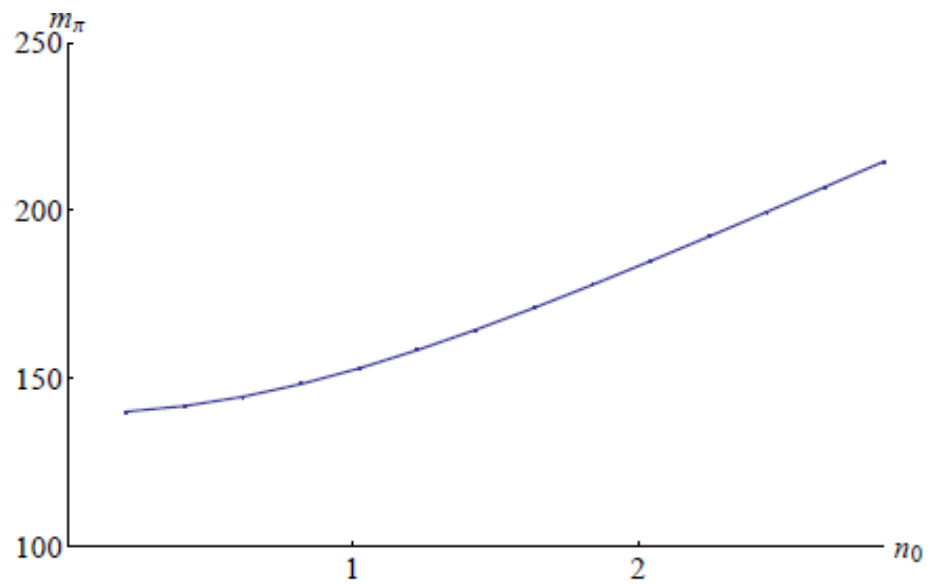
## 1. Vector meson



## 2. Axial vector meson



## 3. pion



### III. AdS/QCD based on

the D7 embedding in black

B. Gwak, M. Kim, BHL, Y. Seo,

S.-J. Sin, arXiv :1105.xxxx

D3/D-instanton geometry

#### Motivation

- Alternative to the Geometrical phase Transition for in AdS/CFT ?
- Baryon Vertex (phase) and confinement at finite T (Black Hole Background) ?

Finite Temperature with Dilaton background (Solution of Type IIB SUGRA)

$$ds_{10}^2 = e^{\Phi/2} \left[ \frac{r^2}{R^2} (f(r)^2 dt^2 + d\vec{x}^2) + \frac{1}{f(r)^2} \frac{R^2}{r^2} dr^2 + R^3 d\Omega_5^2 \right], \quad R^4 = 4\pi g_s N_c \alpha'^2$$

$$e^{\Phi} = 1 + \frac{q}{r_T^4} \log \frac{1}{f(r)^2}, \quad \chi = -e^{-\Phi} + \chi_0,$$

Hawking Temperature

$$f(r) = \sqrt{1 - \left(\frac{r_T}{r}\right)^4},$$

$$T = r_T / \pi R^2$$

Zero Temperature Limit : becomes near horizon geometry of D3-D(-1)

$$ds^2 = e^{\Phi/2} \left[ \frac{r^2}{R^2} (dt^2 + d\vec{x}^2) + \frac{R^2}{r^2} (dr^2 + r^2 d\Omega_5^2) \right], \quad (\text{Liu \& Tseytlin 9903091})$$

$$e^{\Phi} = 1 + \frac{q}{r^4}, \quad \chi = -e^{-\Phi} + \xi_{\infty}.$$

- AdS<sub>5</sub>S<sub>5</sub> at UV Flat at IR (w/ dilaton singular)
- N=2 (with gluon condensation)



# Ex) Zero Temperature and without density

Background Metric by D3 & D-instantons

$$ds^2 = e^{\Phi/2} \left[ \frac{r^2}{R^2} (dt^2 + d\vec{x}^2) + \frac{R^2}{r^2} (dr^2 + r^2 d\Omega_5^2) \right]$$

$$e^{\Phi} = 1 + \frac{q}{r^4}, \quad \chi = -e^{-\Phi} + \xi_{\infty}$$

(Liu & Tseytlin 9903091)

- AdSxS5 at UV
- Flat at IR (w/ dilaton singular)
- N=2 (with gluon condensation)

## D7 Brane as a Probe

Induced metric on D7

$$ds_{D7}^2 = e^{\Phi/2} \left[ \frac{r^2}{R^2} (dt^2 + d\vec{x}^2) + \frac{R^2}{r^2} \left( (1 + y'^2) d\rho^2 + \rho^2 \Omega_3^2 \right) \right]$$

$$\frac{R^2}{r^2} (d\rho^2 + \rho^2 d\Omega_3^2 + dw_5^2 + dw_6^2)$$

$$\rho^2 = w_1^2 + \dots + w_4^2$$

$$r^2 = \rho^2 + w_5^2 + w_6^2$$

$$= \rho^2 + y^2$$

## DBI action of D7

$$S_{D7} = -\mu_7 \int d^8 \xi \sqrt{-\det(P[G]_{ab} + 2\pi\alpha' F_{ab})} + \frac{(2\pi\alpha')^2}{2} \mu_7 \int P[C^{(4)}] \wedge F \wedge F$$

becomes ( D7 wraps S3 of S5)

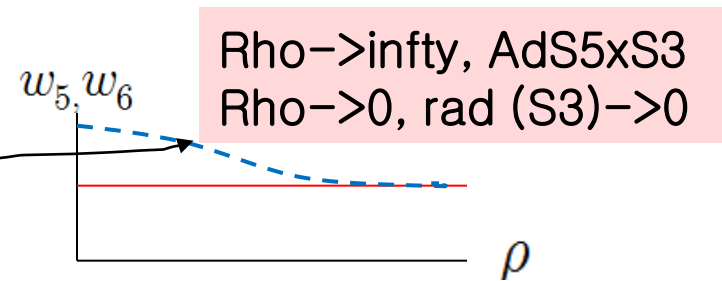
$$\mu_7 = [(2\pi)^7 g_s \alpha'^4]^{-1}$$

$$S_{D7} = -\tau_7 \int dt d\rho e^{\Phi} \rho^3 \sqrt{1 + y'^2}$$

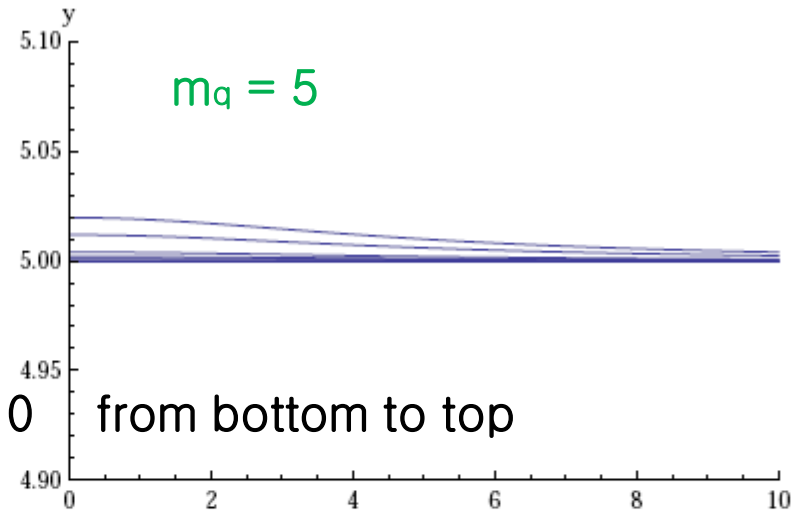
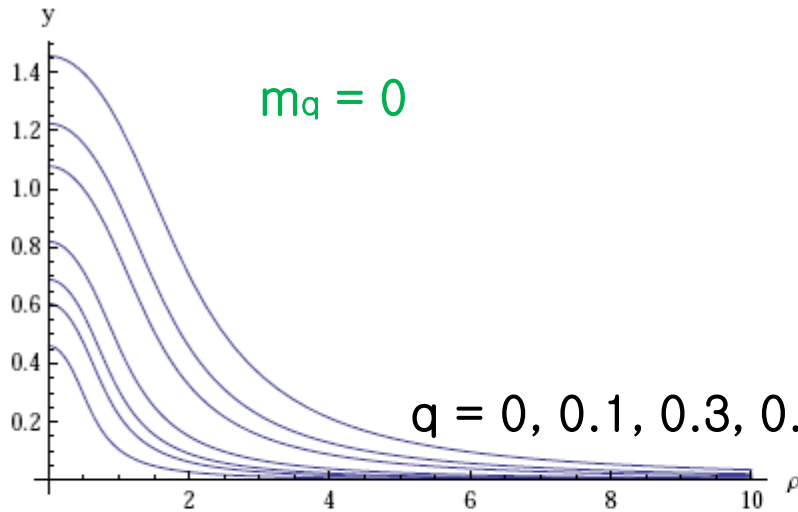
$$\tau_7 = \mu_7 V_3 \Omega_3$$

Embedding solution :

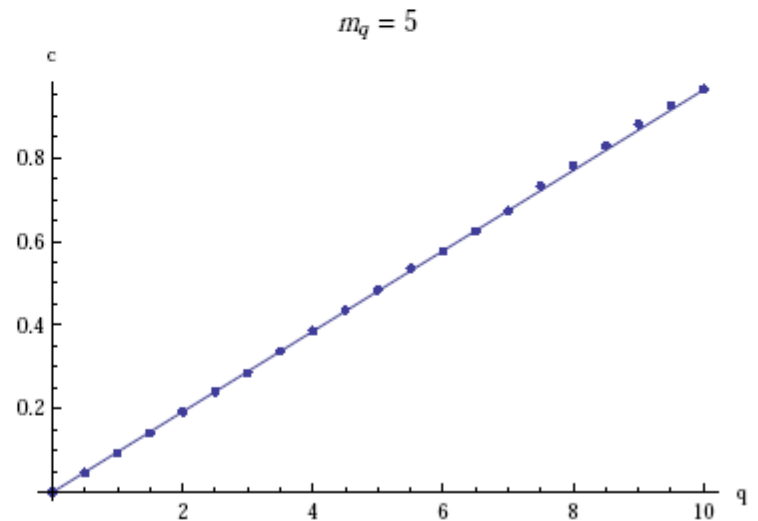
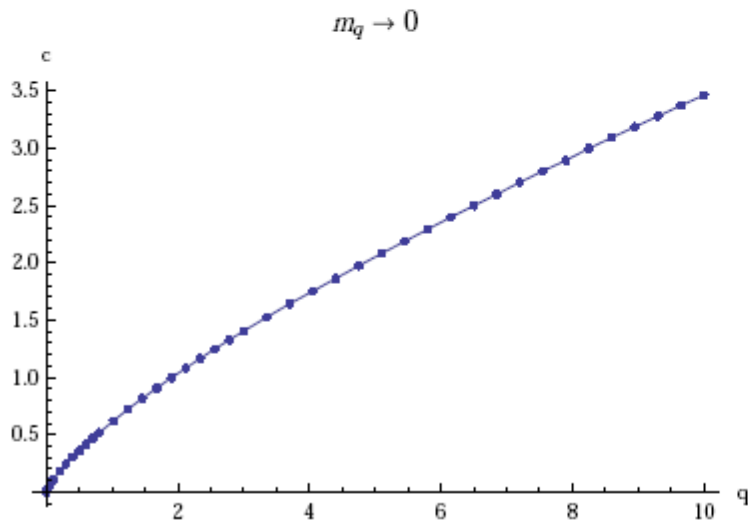
$$y(\rho) = M_q + \frac{\langle \bar{\psi} \psi \rangle}{\rho^2} + \dots \quad (\rho \gg 1)$$



- solution of D7 brane embeddings



- $q$  dependence of chiral condensation



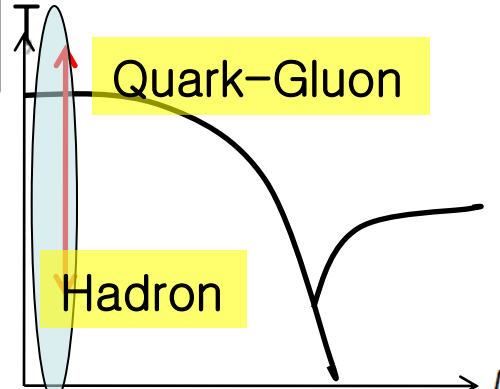
- In large quark mass limit,  $\langle \bar{\psi} \psi \rangle = \frac{\alpha_s N_f}{12\pi m_q} \langle \text{Tr} F^2 \rangle$

# Ex) Finite Temperature and without density

Finite Temperature (Black Hole geometry) of D3/D-instanton system

$$ds_{10}^2 = e^{\Phi/2} \left[ \frac{r^2}{R^2} (f(r)^2 dt^2 + d\vec{x}^2) + \frac{1}{f(r)^2} \frac{R^2}{r^2} dr^2 + R^3 d\Omega_5^2 \right]$$

$$e^{\Phi} = 1 + \frac{q}{r_T^4} \log \frac{1}{f(r)^2}, \quad \chi = -e^{-\Phi} + \chi_0,$$

$$f(r) = \sqrt{1 - \left(\frac{r_T}{r}\right)^4}, \quad T = r_T/\pi R^2.$$


Rewrite in terms of dimensionless parameter  $\frac{d\xi^2}{\xi^2} = \frac{dr^2}{r^2 f^2(r)}$

$$ds^2 = e^{\Phi/2} \left[ \frac{r^2}{R^2} (f(r)^2 dt^2 + d\vec{x}^2) + \frac{R^2}{\xi^2} (d\xi^2 + \xi^2 d\Omega_5^2) \right],$$

or

$$ds^2 = e^{\Phi/2} \left[ \frac{r^2}{R^2} (f(r)^2 dt^2 + d\vec{x}^2) + \frac{R^2}{\xi^2} (d\rho^2 + \rho^2 \Omega_3^2 + dy^2 + y^2 d\phi^2) \right].$$

where

$$\xi^2 = \rho^2 + y^2$$

$$\left(\frac{r}{r_T}\right)^2 = \frac{1}{2} \left( \frac{\xi^2}{\xi_T^2} + \frac{\xi_T^2}{\xi^2} \right), \quad \text{and} \quad f = \left( \frac{1 - \xi_T^4/\xi^4}{1 + \xi_T^4/\xi^4} \right) \equiv \frac{\omega_-}{\omega_+}.$$

## Induced metric on D7

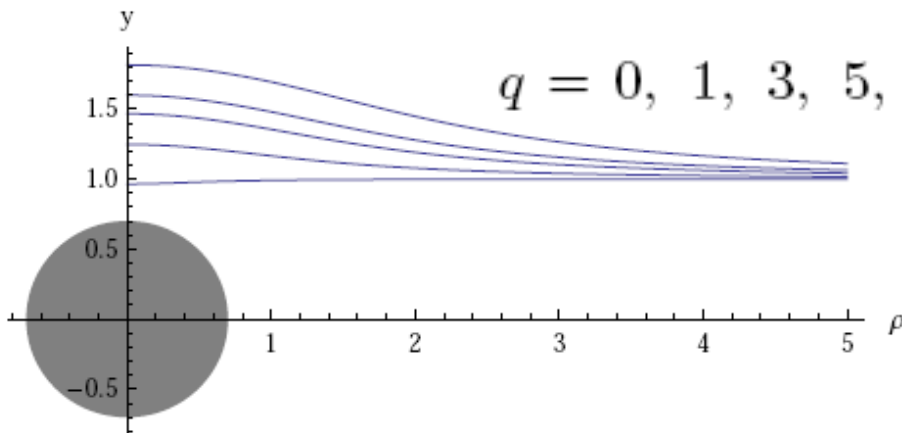
$$ds_{D7}^2 = e^{\Phi/2} \left[ \frac{r^2}{R^2} (f(r)^2 dt^2 + d\vec{x}^2) + \frac{R^2}{\xi^2} ((1 + y'^2) d\rho^2 + \rho^2 \Omega_3^2) \right]$$

## DBI action of D7

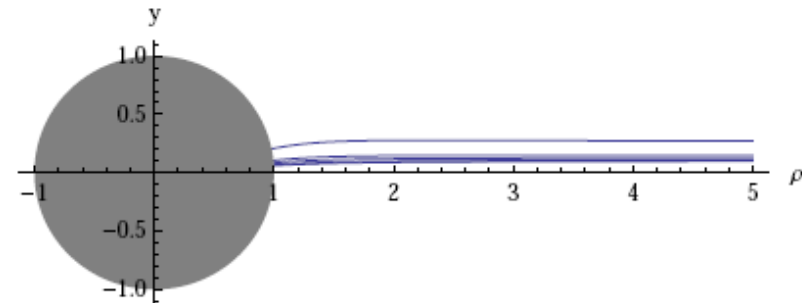
$$S_{D7} = \tau_7 \int dt d\rho e^{\Phi} \rho^3 \omega_+ \omega_- \sqrt{1 + y'^2} \quad \tau_7 = \xi_T^4 \mu_7 V_3 \Omega_3$$

## Minkowski and Black Hole embedding

$\xi_T=0.7, m_q=1$

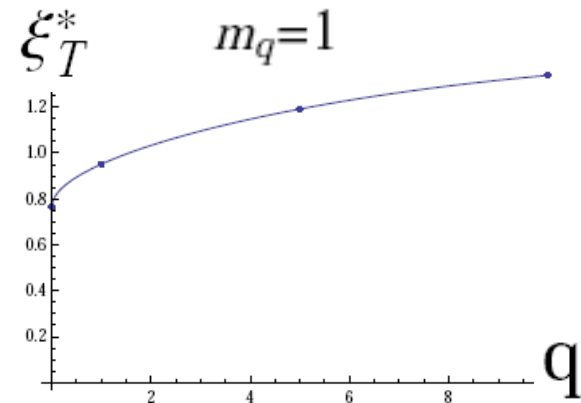


$\xi_T=1, m_q=0.1$



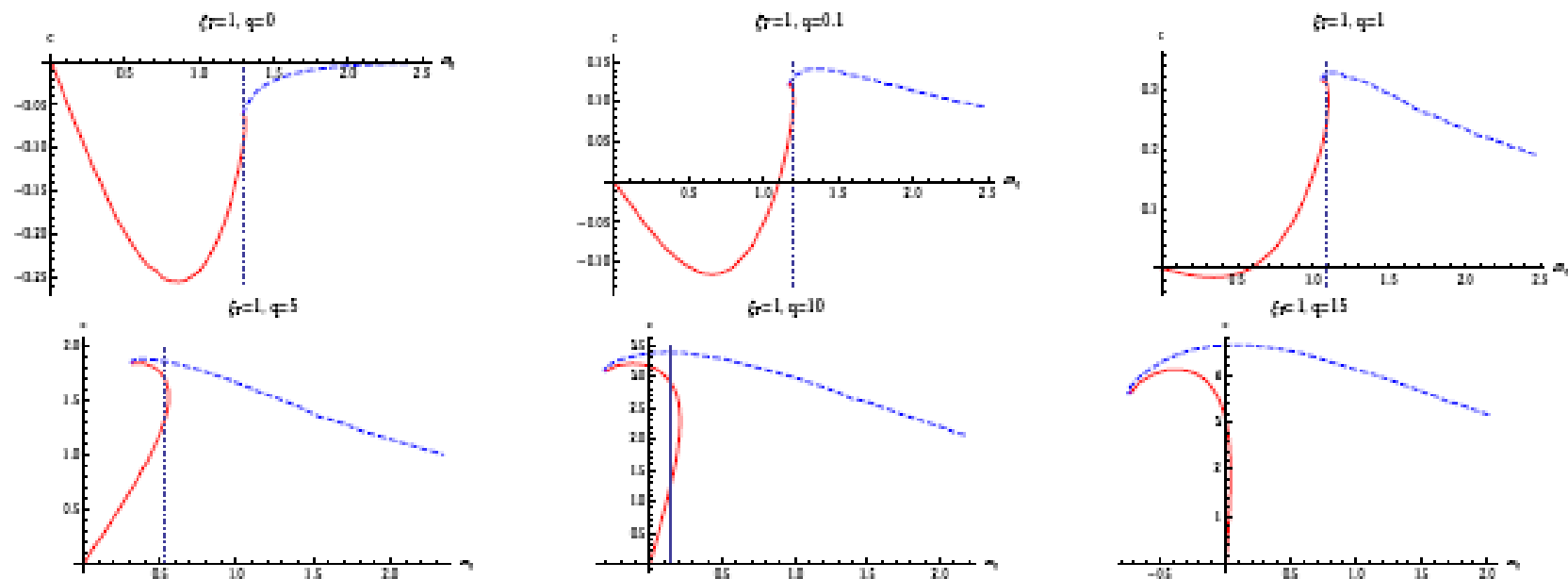
'Repulsion' by  $q >$  'attraction' by BH

Phase transition temperature increases as  $q$  increases

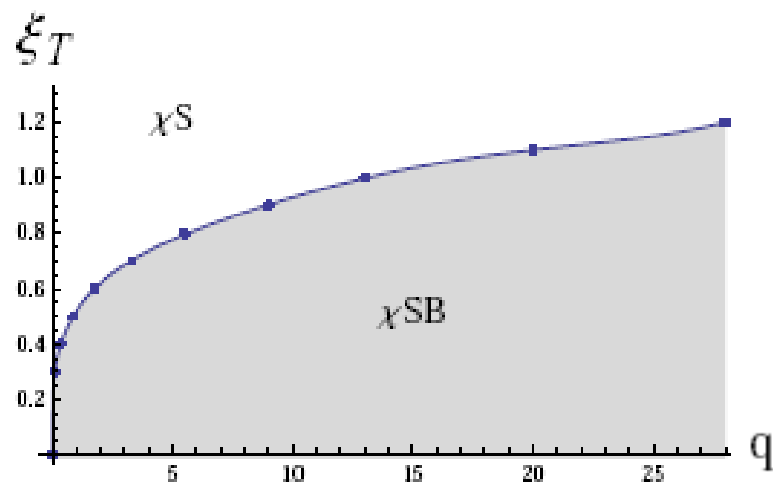


- Finite temperature without density

- Chiral condensation



- $q$  dependence of chiral symmetry restoration temperature



# Finite Temperature and with finite density

Turn on U(1) gauge field on D7 brane

DBI action of D7

$$S_{D7} = -\tau_7 \int dt d\rho \rho^3 e^{\Phi/2} \omega_+^{3/2} \sqrt{e^{\Phi/2} \frac{\omega_-^2}{\omega_+} (1 + \dot{y}^2) - \tilde{F}^2} := \int dt d\rho \mathcal{L}_{D7},$$

$\tau_7 = \mu_7 V_4 \Omega_3, \quad \tilde{F} = 2\pi\alpha' F_{t\rho}$

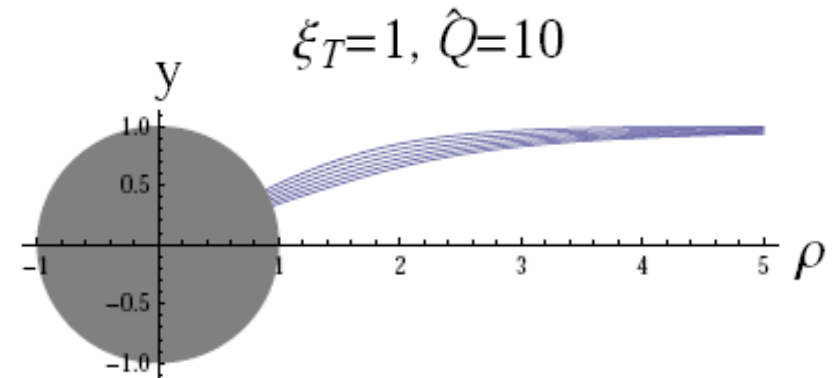
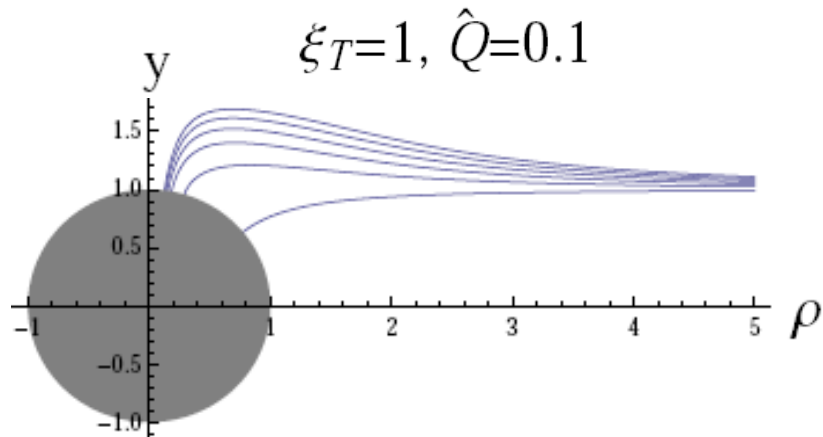
Minkowski and Black Hole embedding

- Legendre transformation

$$\begin{aligned} \mathcal{H}_{D7} &= \tilde{F} \frac{\partial \mathcal{L}_{D7}}{\partial \tilde{F}} - \mathcal{L}_{D7} \\ &= \tau_7 \int d\rho \sqrt{e^{\Phi} \frac{\omega_-^2}{\omega_+} (1 + \dot{y}^2)} \sqrt{\frac{\tilde{Q}^2}{\tau_7^2} + \rho^6 e^{\Phi} \omega_+^3}, \end{aligned}$$

- Source of U(1) gauge field on D7 brane is endpoint of fundamental strings
- There are two way to attaching fundamental strings on D7 brane

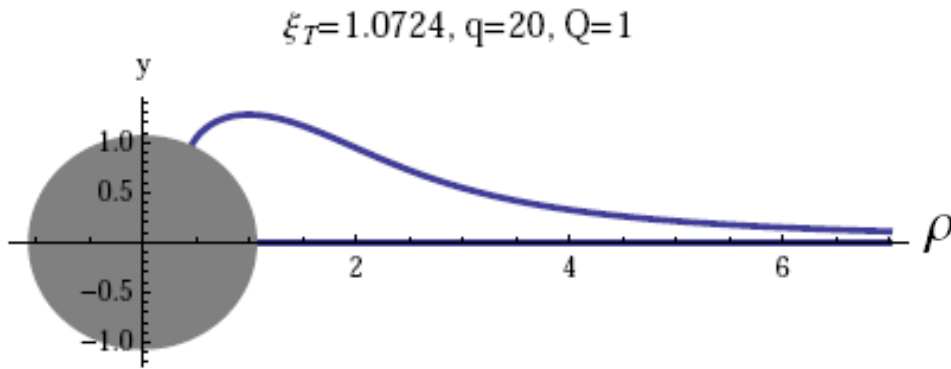
## Quark Phase



Regularity condition  $\dot{y}(\rho_{min}) = \tan \theta$

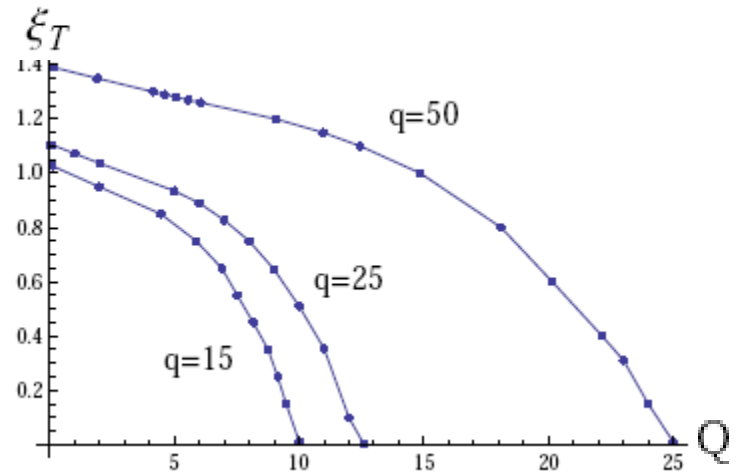
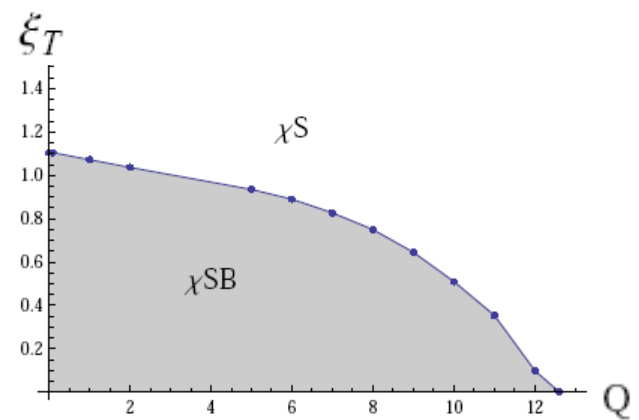
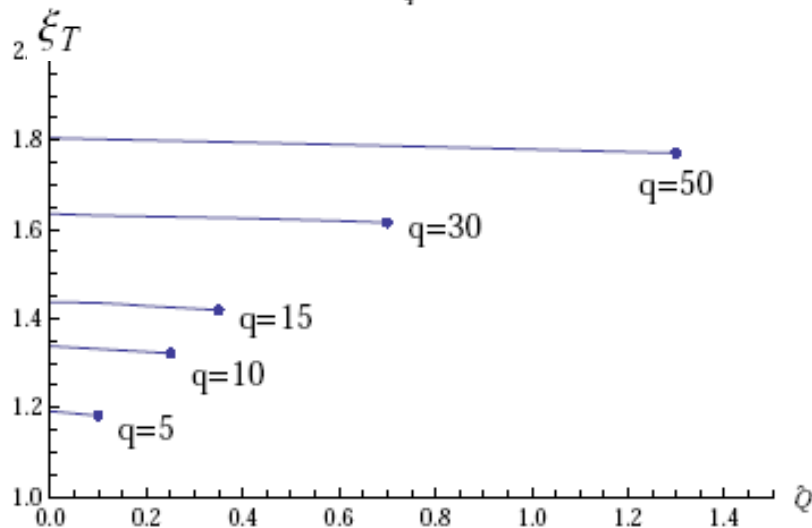
- As  $q$  increases, the repulsion effect on D7 also increases.
- F1 strings connect BH horizon and probe brane
- Physical object is freely moving quark

- In  $m_q \rightarrow 0$  limit, we have two phases



(Note : If  $q=0$ , then the trivial flat embedding is the unique solution for  $m_q \rightarrow 0$ .)

Finite quark mass  $m_q \neq 0$





# Baryon Phase

Background metric  $F_{t\theta} \neq 0$

$$ds^2 = e^{\Phi/2} \left[ \frac{r^2}{R^2} (f(r)^2 dt^2 + d\vec{x}^2) + R^2 \left( \frac{d\xi^2}{\xi^2} + d\theta^2 + \sin^2 \theta d\Omega_4^2 \right) \right]$$

Induced metric on D5

$$ds_{D5}^2 = e^{\Phi/2} \left[ \frac{r^2}{R^2} f^2 dt^2 + R^2 \left( \frac{\xi'^2}{\xi^2} + 1 \right) d\theta^2 + R^2 \sin^2 \theta d\Omega_4^2 \right]$$

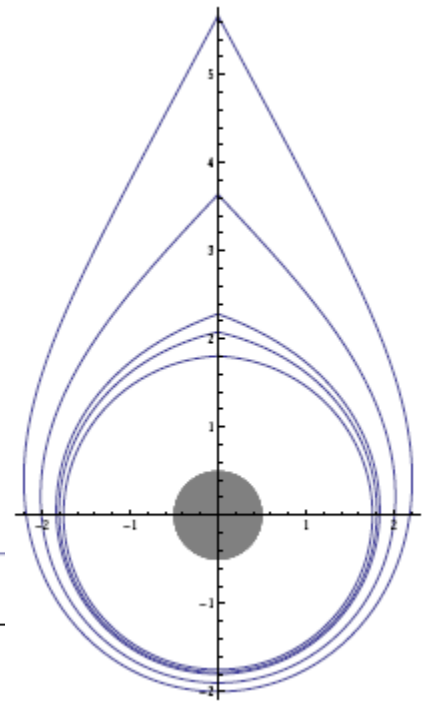
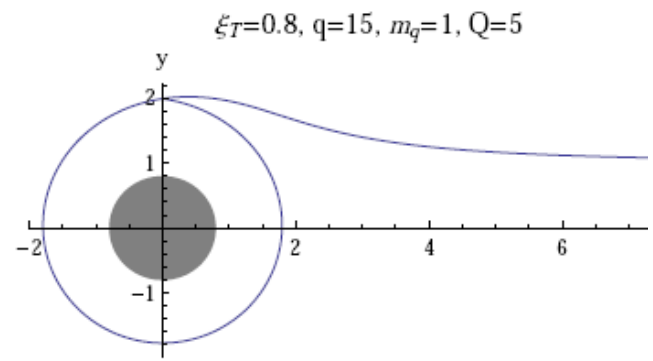
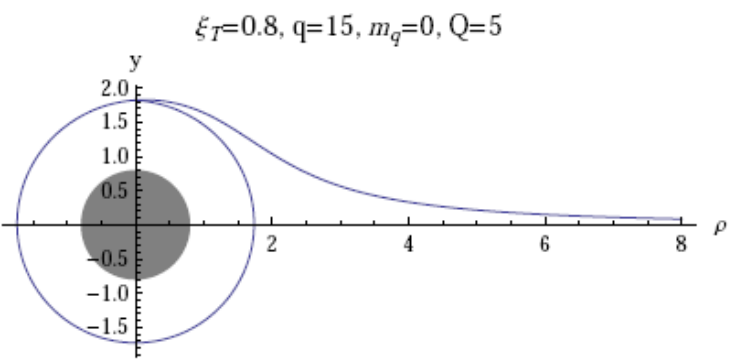
DBI action

$$S_{D5} = -\mu_5 \int e^{-\Phi} \sqrt{-\det(g + 2\pi\alpha' F)} + \mu_5 \int A_{(1)} \wedge G_{(5)}$$

$$= \tau_5 \int dt d\theta \sin^4 \theta e^{\Phi} \left[ -\sqrt{e^{\Phi} \frac{\omega_-^2}{\omega_+} (\xi^2 + \xi'^2) - \tilde{F}^2 + 4\tilde{A}_t} \right]$$

D7 brane at the tip of D5 with force balance condition

- F1's connect spherical D5 & probe D7
- Phys. Ob. = baryon vtx (bd state of Nc quarks)
- $\chi$ -symm. broken



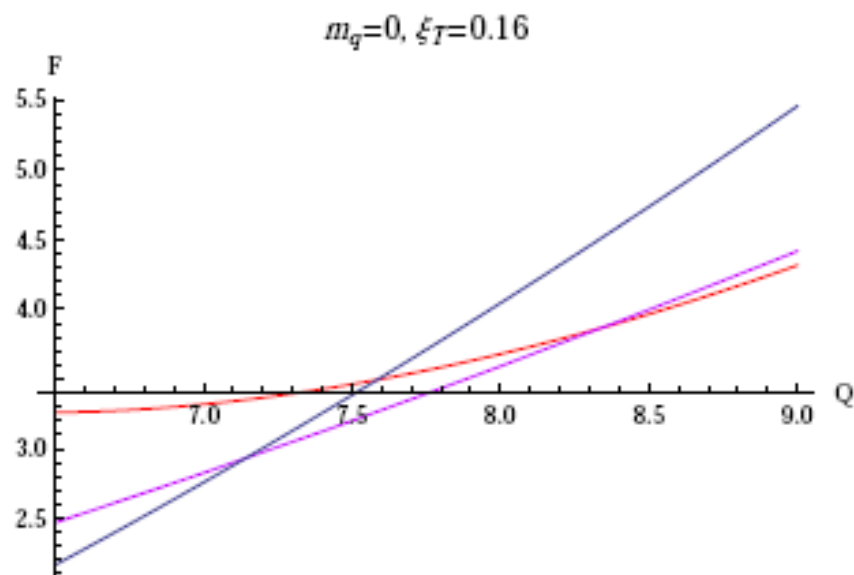
- Phase transition

- for give  $m_q$  and  $q$ , there are two kind of embeddings in same temperature
- we have to choose physical embedding by comparing free energy
- free energy for quark phase

$$\mathcal{F}_{\text{quark}}(\hat{Q}) = \tau_7 \int_{\rho_{\min}}^{\infty} d\rho \hat{\mathcal{H}}_{D7}(\hat{Q}) \Big|_{\text{quark phase}}$$

- free energy for baryon phase

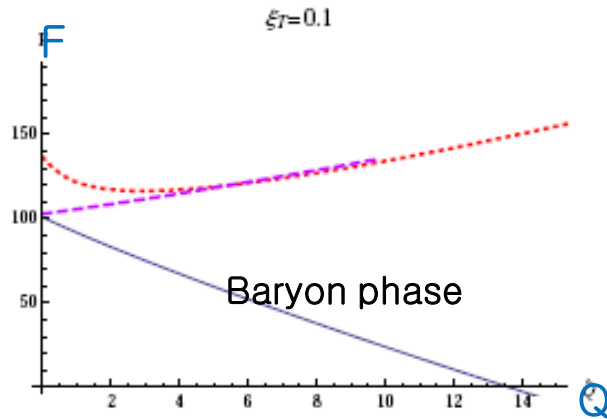
$$\mathcal{F}_{\text{baryon}}(\hat{Q}) = \tau_7 \int d\rho \hat{\mathcal{H}}_{D7}(Q) \Big|_{\text{baryon phase}} + \frac{Q}{N_C} \tau_5 \int d\theta \hat{\mathcal{H}}_{D5}$$



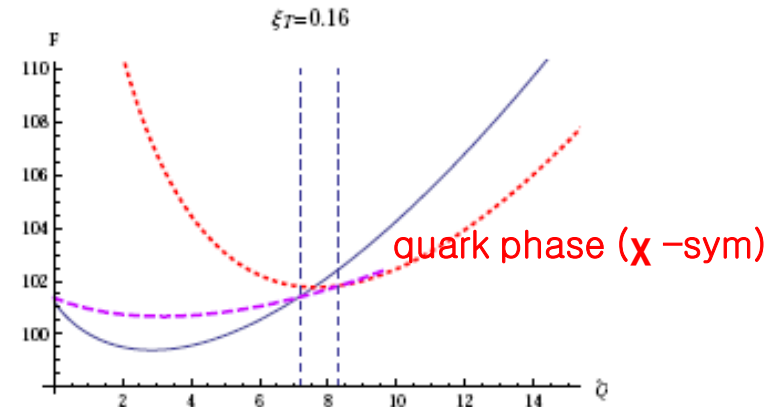
black: baryon phase

purple: quark phase without chiral symmetry, red: quark phase with chiral symmetry

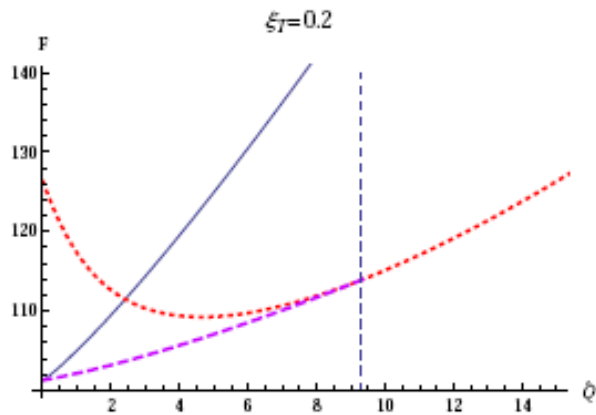
# Density dependence of free energy (for $m_q = 0$ and $q=15$ )



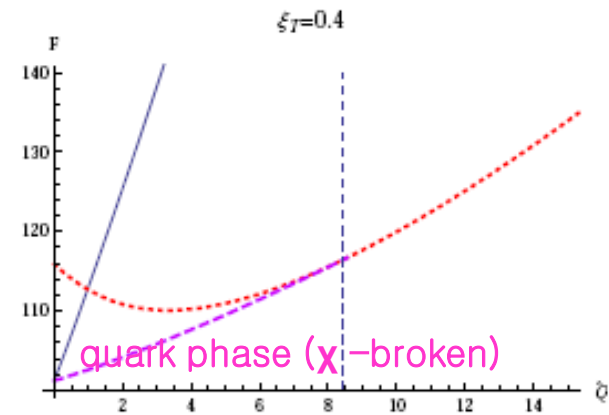
(a)



(b)



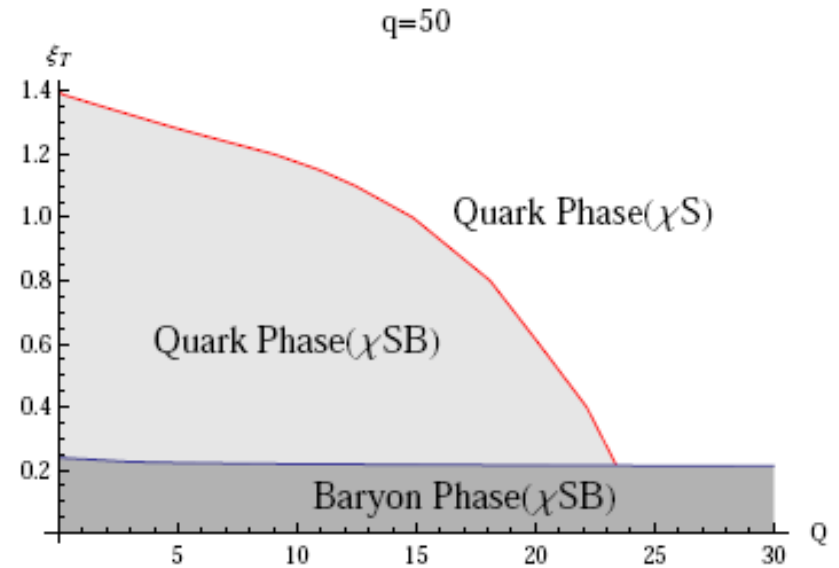
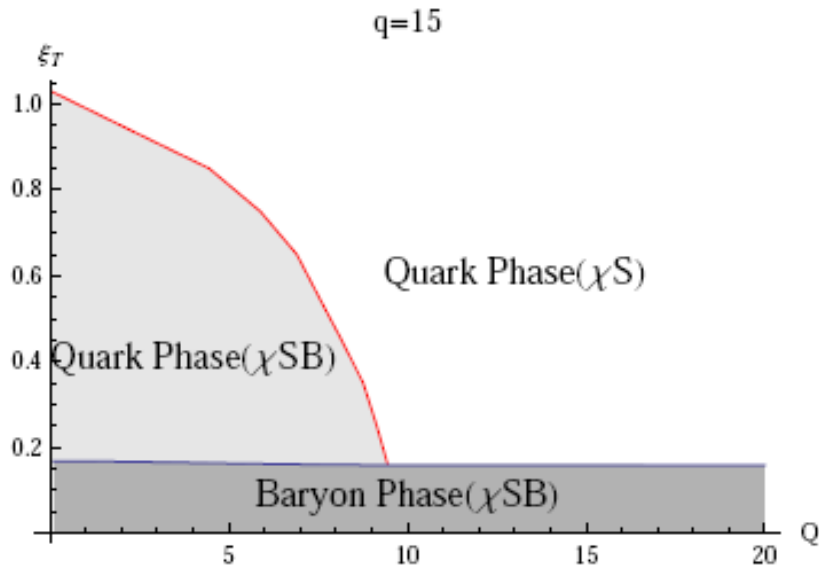
(c)



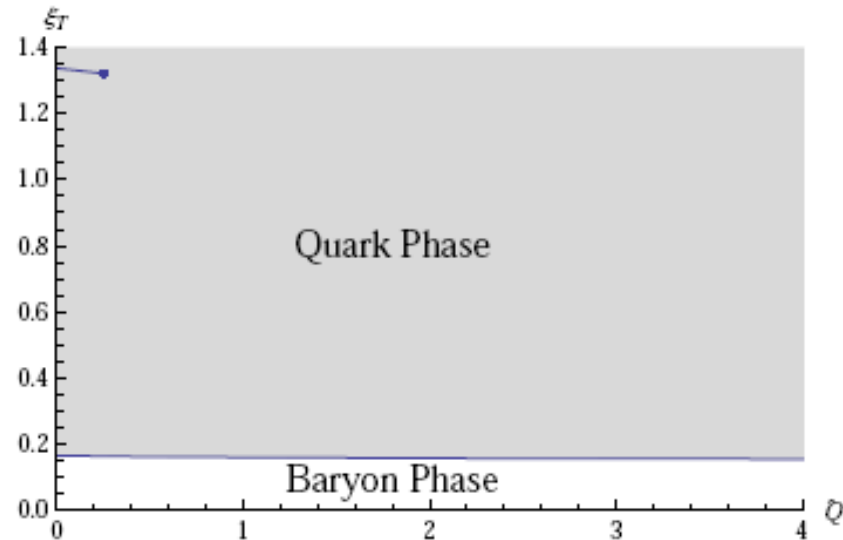
(d)

# Phase Diagram

## Zero quark mass



## Finite quark mass



# IV. Summary

- Holographic Principles :

( $d+1$  dim.) (classical) SUGRA  $\leftrightarrow$  ( $d$  dim.) (quantum) YM theories

- AdS/QCD – Top-down Approach & Bottom-up Approach

- QCD using Holographic dual Geometry

– w/o chemical potential –

phase : confined phase  $\leftrightarrow$  deconfined phase transition

Geometry : thermal AdS  $\leftrightarrow$  AdS BH

Hawking-Page transition

– in dense matter – (U(1) chemical potential  $\rightarrow$  baryon density )

deconfined phase by RNAdS BH  $\leftrightarrow$  hadronic phase by tcAdS

Hawking-Page phase transition

- In the hadronic phase, the quark density dependence of the light meson masses has been investigated.

## IV. Summary – continued

- Holographic QCD model in D3/D–instanton background
- Two phases and phase transitions : for given T and density
  - quark phase : physical objects : quarks
  - baryon phase : baryon (vertex) as a physical object
- We study phase structure with and without quark mass
- We also study density dependence of chemical potential (eq. of state) and phase structure in grand canonical ensemble
- Future works : Meson spectrum & beyond probe approximation, etc.

Thank You !