# Threshold resummation far from threshold

GGI, FIRENZE, SEPTEMBER 7<sup>th</sup>, 2011

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- 1. When is threshold resummation relevant?
- 2. Ambiguities in resummed results

Results obtained in collaboration with Marco Bonvini Stefano Forte.

Generic observable in hadron collisions:

$$\sigma(\tau, Q^2) = \int_{\tau}^1 \frac{dz}{z} \mathcal{L}\left(\frac{\tau}{z}\right) C(z, \alpha_{\rm s}(Q^2)); \qquad \mathcal{L}(z) = \int_{z}^1 \frac{dx_1}{x_1} f_1(x_1) f_2\left(\frac{z}{x_1}\right)$$

(factorization of collinear singularities).

**Example:** Higgs production at the LHC. In this case

$$Q^2 = m_H^2, \qquad \tau = \frac{m_H^2}{s}, \qquad f_1(z) = f_2(z) = g(z)$$

QCD provides a perturbative expansion for  $C(z, \alpha_s)$ :

$$C(z, \alpha_{\rm S}) = \sum_{n=0}^{\infty} C_n(z) \alpha_{\rm S}^n$$

When s is close to  $Q^2$  (threshold production),  $\tau \to 1$  and therefore z is close to 1. Since

$$C_n(z) \sim \left[\frac{\log^{2n-1}(1-z)}{1-z}\right]_+$$

the perturbative expansion is unreliable in this region:

$$\alpha_{\rm s}^n \int_{\tau}^{1} \frac{dz}{z} \mathcal{L}\left(\frac{\tau}{z}\right) C_n(z) \sim \mathcal{L}(\tau) \alpha_{\rm s}^n \log^{2n}(1-\tau)$$

All-order resummation techniques are available (more on this in the second part of the talk). However,  $\tau \ll 1$  in most cases of present interest. For example

$$\tau = \frac{m_H^2}{s} \simeq 8 \times 10^{-4}$$

for a 200 GeV Higgs boson at the LHC 7 TeV.

Is Sudakov resummation any useful in such cases? No need of resummation in the usual sense: the expansion parameter

$$lpha_{
m s} \log^2(1- au)$$

is small as long as  $\alpha_s$  is small.

**Recall the general expression** 

$$\sigma(\tau, Q^2) = \int_{\tau}^{1} \frac{dz}{z} \mathcal{L}\left(\frac{\tau}{z}\right) C(z, \alpha_{\rm s}(Q^2))$$

The partonic cross-section is computed as a function of the partonic center-of-mass energy

$$\hat{s} = \frac{Q^2}{z}; \qquad \tau \le z \le 1$$

Resummation relevant when  $\hat{s}$  is not much larger than  $Q^2$ , or  $z \sim 1$ .

Whether or not resummation is relevant depends on which region gives the dominant contribution to the convolution integrals.

#### Go to Mellin moments:

$$\sigma(N,Q^2) = \int_0^1 d\tau \, \tau^{N-1} \, \sigma(\tau,Q^2)$$

with inverse

$$\begin{aligned} \sigma(\tau, Q^2) &= \frac{1}{2\pi i} \int_{\bar{N}-i\infty}^{\bar{N}+i\infty} dN \, \tau^{-N} \, \sigma(N, Q^2) = \frac{1}{2\pi i} \int_{\bar{N}-i\infty}^{\bar{N}+i\infty} dN \, e^{E(\tau, N; Q^2)} \\ E(\tau, N; Q^2) &\equiv N \log \frac{1}{\tau} + \log \sigma(N, Q^2). \end{aligned}$$

Typically,  $\sigma(N,Q^2)$  is a decreasing function of N on the real axis, with a singularity on the real positive axis because of the parton luminosity.

Hence  $E(\tau, N; Q^2)$  always has a minimum on the real positive N axis at some  $N = N_0(\tau)$ , and the inversion integral is dominated by the region of N around  $N_0(\tau)$  (saddle-point approximation).

**Explicitly,**  $N_0$  is defined by

$$E'(\tau, N_0; Q^2) = \log \frac{1}{\tau} + \frac{\sigma'(N_0, Q^2)}{\sigma(N_0, Q^2)} = 0$$

and

$$\sigma(\tau, Q^2) \approx \frac{1}{\sqrt{2\pi}} \frac{e^{E(\tau, N_0; Q^2)}}{\sqrt{E''(\tau, N_0; Q^2)}}$$

after expanding

$$E(\tau, N; Q^2) = E(\tau, N_0; Q^2) + \frac{1}{2}E''(\tau, N_0; Q^2)(N - N^0)^2 + O((N - N_0)^3)$$

and a gaussian integration.

We expect  $N_0(\tau)$  to be an increasing function of  $\tau$ , because the slope of  $N \log \frac{1}{\tau}$  decreases as  $\tau \to 1$ .

A simple example:

$$\sigma(N) = \frac{1}{N^k}$$
$$E(\tau, N) = N \log \frac{1}{\tau} - k \log N$$
$$\frac{dE(\tau, N)}{dN} = \log \frac{1}{\tau} - \frac{k}{N}$$
$$N_0(\tau) = \frac{k}{\log \frac{1}{\tau}}$$

This shows that the Mellin transform maps the large- $\tau$  region onto the large-N region.

The value of  $N_0$  depends strongly on the rate of decrease of

$$\sigma(N,Q^2) = \mathcal{L}(N,Q^2) \ C(N,\alpha_{\rm s}(Q^2))$$

with N, which in turn is only due to the parton luminosity  $\mathcal{L}(N, Q^2)$ : the partonic cross section is a distribution, its Mellin transform grows with N:

$$\int_0^1 dx \, x^{N-1} \, \left[ \frac{\log^k (1-x)}{1-x} \right]_+ = \frac{1}{k+1} \, \log^{k+1} \frac{1}{N} + O(\log^k N)$$



[M. Bonvini, S. Forte, GR, NPB874 (2011) 93]

## An estimate of the position of the saddle point: to leading log

$$\mathcal{L}(N,Q^2) = \exp\left[\frac{\gamma(N)}{\beta_0}\log\frac{\alpha_{\rm s}(Q_0^2)}{\alpha_{\rm s}(Q^2)}\right]\mathcal{L}(N,Q_0^2)$$

Thus

$$E(\tau, N; Q^2) = N \log \frac{1}{\tau} + \frac{\gamma(N)}{\beta_0} \log \frac{\alpha_s(Q_0^2)}{\alpha_s(Q^2)} + \log \mathcal{L}(N, Q_0^2) + \log C(N, \alpha_s(Q^2))$$

The first term dominates at large N.

Second term: we have

 $\gamma(N) = \gamma_i(N) + \gamma_j(N)$ 

for partons i, j in the initial state. Expanding the anomalous dimension about its rightmost singularity at leading order we have

$$\gamma_{+}(N) = \frac{N_{c}}{\pi} \frac{1}{N-1} \left[ 1 + O(N-1) \right]; \qquad \gamma_{\rm ns}(N) = \frac{C_{F}}{2\pi} \frac{1}{N} \left[ 1 + O(N) \right]$$

This pattern persists to all perturbative orders: singlet quark and gluon distributions have a steeper small–N and thus small–z behaviour.

We expect the small-N approximation to break down around  $N \approx 2$  for  $\gamma_+$ , and N = 1 for  $\gamma_{ns}$ , because  $\gamma_+(2) = \gamma_{ns}(1) = 0$ .

Third line: assuming a power behaviour for the parton densities at  $Q_0^2$ ,

$$f_i(z, Q_0^2) = z^{\alpha_i} (1-z)^{\beta_i}$$

we find

$$\log \mathcal{L}(N, Q_0^2) \sim \log N$$

both at large and small N, and hence subdominant with respect to the anomalous dimension term and to the  $\tau$  dependent term. A similar argument holds for the partonic cross-section term  $\log \hat{\sigma}(N)$ .

These approximations are expected to be more accurate at moderate values of  $\tau$ .

#### Three cases:

γ<sub>i</sub> = γ<sub>j</sub> = γ<sub>+</sub> (e.g. Higgs production in gluon fusion)
 γ<sub>i</sub> = γ<sub>+</sub>, γ<sub>j</sub> = γ<sub>ns</sub> (e.g. Drell-Yan production at the LHC)
 γ<sub>i</sub> = γ<sub>j</sub> = γ<sub>ns</sub> (e.g. Drell-Yan production at the Tevatron)
 We find

$$N_{ij}^{0} = 1 - k_i k_j + \sqrt{\frac{\gamma_{ij}^{(0)}}{\beta_0 \log \frac{1}{\tau}} \log \frac{\alpha_s(Q_0^2)}{\alpha_s(Q^2)}}$$

where

$$k_{+} = 0; \quad k_{\rm ns} = 1.$$

and

$$\gamma_{\rm ns\,ns}^{(0)} = \frac{C_F^2}{4\pi^2}; \qquad \gamma_{++}^{(0)} = \frac{N_c^2}{\pi^2}; \qquad \gamma_{+\,\rm ns}^{(0)} = \frac{N_c}{\pi} \frac{C_F}{2\pi}$$



Figure 1: Position of  $N_0$  as a function of  $\tau$  ( $\hat{\sigma}$  neglected, LO anomalous dimensions,  $\alpha_{ns} = 1/2, \beta_{ns} = 3; \alpha_+ = 0, \beta_+ = 4, Q_0 = 1$  GeV, Q = 100 GeV.) Upper curves: exact LO an. dim.; lower curves: approximated LO an. dim.

[M. Bonvini, S. Forte, GR, NPB874 (2011) 93]

#### **Comments:**

- In cases 1. and 2.,  $N_0 \gtrsim 2$  down to fairly low values of  $\tau \sim 0.01$ , due to the rise of the anomalous dimension related to the pole at N = 1 in the singlet sector.
- At larger  $\tau$ , say above 0.1, the rapid drop of PDFs raises the position of the saddle.

#### A realistic calculation: Drell-Yan production at NLO

Consider the  $q\bar{q}$  channel for Drell-Yan production. The coefficient function admits the perturbative expansion

$$C(z,\alpha_{\rm s}) = \left[\delta(1-z) + \frac{\alpha_{\rm s}}{\pi} C_1(z) + \left(\frac{\alpha_{\rm s}}{\pi}\right)^2 C_2(z) + \dots\right];$$

with

$$C_{1}(z) = C_{F} \left\{ 4 \left[ \frac{\log(1-z)}{1-z} \right]_{+} - \frac{4}{1-z} \log \sqrt{z} -2(1+z) \log \frac{1-z}{\sqrt{z}} + \left( \frac{\pi^{2}}{3} - 4 \right) \delta(1-z) \right\}$$

 $C_1(N) = C_F \left\{ \frac{2\pi^2}{3} - 4 + 2\gamma_E^2 + 2\psi_0^2(N) - \psi_1(N) + \psi_1(N+2) + 4\gamma_E\psi_0(N) \right\}$ 

$$+\frac{2}{N}\left[\gamma_E + \psi_0(N+1)\right] + \frac{2}{N+1}\left[\gamma_E + \psi_0(N+2)\right] \right\}$$



Figure 2:  $N_0$  as a function of  $\tau$  for NLO neutral Drell-Yan pairs.

[M. Bonvini, S. Forte, GR, NPB874 (2011) 93]

#### **Comments:**

- Our simple model works well in the case of *pp* collisions: always at least one sea (antiquark) PDF.
- $p\bar{p}$ : OK for  $\tau \gtrsim 0.1$  For smaller  $\tau$ , the actual value of  $N_0$ decreases much more slowly: when  $N \lesssim 2$  the contribution  $\gamma_+$ rapidly grows due to the pole so that even the valence distribution is dominated by it. Also in this case, the relevance of log terms extends to lower  $\tau$  values.
- If the parton luminosity is omitted,  $N_0$  is much smaller. Saddle determined by PDFs, which tend to extend the importance of resummation to a wider kinematic region.

#### In summary:

- $N_0 \gtrsim 2$  for  $\tau \gtrsim 0.003$  in pp collisions, and  $\tau \gtrsim 0.02$  in  $p\bar{p}$  collisions.
- For  $\tau \lesssim 0.1$  the position of the saddle is determined by the pole in the anomalous dimension
- For larger values of  $\tau$  the large x drop of PDFs, due both to their initial shape and to perturbative evolution, very substantially enhances the impact of resummation.

Very weak dependence on  $Q^2$ .

The resummation region for the Drell-Yan process

We now want to establish quantitatively the value of N at which logarithmically enhanced contributions give a sizable contribution to the cross-section.

Compare  $C_1(N)$  to its logarithmic approximation

$$C_1^{\log}(z) = 4C_F \left[\frac{\log(1-z)}{1-z}\right]_+$$

whose Mellin transform is

$$C_1^{\log}(N) = C_F \left[ 2\psi_0^2(N) - 2\psi_1(N) + 4\gamma_E \psi_0(N) + \frac{\pi^2}{3} + 2\gamma_E^2 \right]$$



Drell-Yan partonic q-qbar. Order  $\alpha_s$  Mellin transform

Good agreement at large N, up to a small constant shift:

$$\lim_{N \to \infty} \left[ C_1(N) - C_1^{\log}(N) \right] = C_F \left( \frac{\pi^2}{3} - 4 \right)$$

For  $N \gtrsim 2$  the logarithmic contribution is already about 50% of the full result. This suggests that indeed the logarithmic contribution is sizable for  $N \gtrsim 2$ .

The definition of the log contrbution is quite arbitrary. For example, should we include constant terms?

In general, logarithmically enhanced contributions in N-space also contain subleading terms when transformed to z-space, and conversely. Since  $\psi_1 \sim \frac{1}{N \to \infty} \frac{1}{N}$ , an equally good choice would be

$$C_1^{\log'}(N) = C_F \left[ 2\psi_0^2(N) + 4\gamma_E \psi_0(N) + \frac{\pi^2}{3} + 2\gamma_E^2 \right],$$

which is the Mellin transform of

$$C_1^{\log'}(z) = 4C_F \left\{ \left[ \frac{\log(1-z)}{1-z} \right]_+ - \frac{\log\sqrt{z}}{1-z} \right\}.$$

Essentially the same for  $N \gtrsim 2$ , closer to the full result at small N (more on this later).

# Does this pattern persist at higher orders? At NNLO the situation is similar, but not quite the same:



Log terms are sizable for  $N \gtrsim 2-3$ , depending on the choice of subleading terms. [M. Bonvini, S. Forte, GR, NPB874 (2011) 93]

Final comment: logarithmic effects turn out to be important in a region where  $\alpha_s \log^2 N \ll 1$  as long as  $\alpha_s \ll 1$ . Resummation has therefore a perturbative character.

#### Ambiguities in resummed results

Resummation usually performed in the space of Mellin transformed quantities:

$$f(N) = \int_0^1 dx \, x^{N-1} \, f(x); \qquad f(x) = \frac{1}{2\pi i} \int_{\bar{N}-i\infty}^{N+i\infty} dN \, x^{-N} \, f(N)$$

- well defined and analytic in the half-plane  $\operatorname{Re} N > A$  if f(x) is at most as singular as  $x^{-A}$
- Convolution products are turned into ordinary products.
- The region  $x \to 1$  is mapped in the region  $N \to \infty$ :

$$\int_0^1 dx \, x^{N-1} \, \left[ \frac{\log^k (1-x)}{1-x} \right]_+ = \frac{1}{k+1} \, \log^{k+1} \frac{1}{N} + O(\log^k N)$$

Why Mellin moments?

$$C(z, \alpha_{\rm s}) = \delta(1-z) + \sum_{n=1}^{\infty} \int_0^1 dz_1 \dots dz_n \, \frac{dw_n(z_1, \dots, z_n)}{dz_1 \dots dz_n} \, \Theta_{PS}(z; z_1, \dots, z_n)$$

The multi-gluon emission probability factorizes in the soft limit,

$$\frac{dw_n(z_1,\ldots,z_n)}{dz_1\ldots dz_n} \simeq \frac{1}{n!} \prod_{i=1}^n \frac{dw(z_i)}{dz_i}$$

(easily seen in QED in the eikonal approximation) but the phase space factor

$$\Theta_{PS}(z;z_1,\ldots,z_n)=\delta(z-z_1z_2\cdots z_n)$$

does not ...

... unless one goes to Mellin moments:

$$C(N,\alpha_{\rm S}) = \int_{0}^{1} dz \, z^{N-1} \,\hat{\sigma}(z,\alpha_{\rm S})$$
  
=  $1 + \sum_{n=1}^{\infty} \frac{1}{n!} \int_{0}^{1} dz_{1} \cdots dz_{n} \prod_{i=1}^{n} \frac{dw(z_{i})}{dz_{i}} \int_{0}^{1} dz \, z^{N-1} \delta(z-z_{1}\cdots z_{n})$   
=  $1 + \sum_{n=1}^{\infty} \frac{1}{n!} \left[ \int_{0}^{1} dz_{1} \, z_{1}^{N-1} \frac{dw(z_{1})}{dz_{1}} \right] \dots \left[ \int_{0}^{1} dz_{n} \, z_{n}^{N-1} \frac{dw(z_{n})}{dz_{n}} \right]$ 

Hence

$$C(N, \alpha_{\rm s}) = \exp \int_0^1 dz \, z^{N-1} \, \frac{dw}{dz}$$

Multigluon emission exponentiates in the soft limit.

One can prove the generalized formula

$$C^{\text{res}}(N, \alpha_{\text{S}}(Q^{2})) = g_{0}(\alpha_{\text{S}}) \exp \mathcal{S}(L, \bar{\alpha})$$
  

$$\mathcal{S}(L, \bar{\alpha}) = \frac{1}{\bar{\alpha}} g_{1}(L) + g_{2}(L) + \bar{\alpha} g_{3}(L) + \bar{\alpha}^{2} g_{4}(L) + \dots$$
  

$$\bar{\alpha} = a \alpha_{\text{S}}(Q^{2}) \beta_{0}; \qquad L = \bar{\alpha} \log \frac{1}{N}$$

which defines an improved expansion (in powers of  $\alpha_s$  with  $\alpha_s \log N$  fixed) for  $C^{res}(N, \alpha_s)$ :  $g_1$  gives the leading-log (LL) approximation,  $g_1$  and  $g_2$  give the next-to-leading-log approximation (NLL), and so on.

A difficulty immediately arises. Define  $\tilde{\Sigma}(L, \alpha_s)$  by

$$C^{\text{res}}(N, \alpha_{s}(Q^{2})) = 1 + \tilde{\Sigma}(L, \alpha_{s}(Q^{2})) = 1 + \sum_{k=1}^{\infty} h_{k}(\alpha_{s}(Q^{2}))L^{k}$$

 $\tilde{\Sigma}$  arises as an expansion in powers of  $\alpha_s(Q^2)$  of a function of  $\alpha_s(Q^2/N^a)$ . To NLL we have

$$\alpha_{\rm s}\left(\frac{Q^2}{N^a}\right) = \frac{\alpha_{\rm s}(Q^2)}{1+L} \left[1 - \alpha_{\rm s}(Q^2)\frac{\beta_1}{\beta_0}\frac{\log(1+L)}{1+L}\right]; \qquad L = a\alpha_{\rm s}(Q^2)\beta_0\log\frac{1}{N}$$

which has a branch cut on the real positive N axis for  $L \leq -1$ , or

$$N \ge N_L \equiv e^{\frac{1}{a\beta_0 \alpha_{\rm S}(Q^2)}}.$$

because of the Landau singularity.

The inverse Mellin transform of  $C^{res}(N, \alpha_s(Q^2))$  does not exist.

One possible way out: take the term-by-term inverse Mellin transform of  $\tilde{\Sigma}(L, \alpha_s)$ :

$$\Sigma(z, \alpha_{\rm s}(Q^2)) = \sum_{k=1}^{\infty} h_k \bar{\alpha}^k \frac{1}{2\pi i} \oint_{\bar{N}-i\infty}^{N+i\infty} dN \, z^{-N} \, \log^k \frac{1}{N}$$

but the series is divergent! **Proof**:

$$\frac{1}{2\pi i} \oint_{\bar{N}-i\infty}^{\bar{N}+i\infty} dN \, z^{-N} \, \log^k \frac{1}{N} = \frac{k!}{2\pi i} \left[ \oint \frac{d\xi}{\xi^{k+1}} \, \frac{\log^{\xi-1} \frac{1}{z}}{\Gamma(\xi)} \right]_+$$

$$\Sigma(z,\alpha_{\rm s}(Q^2)) = \frac{1}{2\pi i} \left[ \frac{1}{\log\frac{1}{z}} \oint \frac{d\xi}{\xi} \frac{\log^{\xi}\frac{1}{z}}{\Gamma(\xi)} \sum_{k=1}^{\infty} k! h_k \left(\frac{\bar{\alpha}}{\xi}\right)^k \right]_+$$

A second possible way out: taking the inverse Mellin transform of each  $\log^k N$  term at the relevant (leading, next-to-leading...) logarithmic level, the perturbative series converges. For example, to leading log accuracy one has

$$\frac{1}{2\pi i} \int_{\bar{N}-i\infty}^{\bar{N}+i\infty} dN \, z^{-N} \, \log^k \frac{1}{N} = k \, \left[ \frac{\log^{k-1}(1-z)}{1-z} \right]_+ + \text{NLL}$$

The series now converges to

$$\Sigma_{\rm LLx}(z,\alpha_{\rm s}(Q^2)) = \bar{\alpha} \left[ \frac{1}{1-z} \tilde{\Sigma}'(\bar{\alpha}\log(1-z),\alpha_{\rm s}(Q^2)) \right]_+$$

but only for  $z < z_L = 1 - e^{-\frac{1}{\alpha}}$  again because of the Landau pole at  $z = z_L$ .

#### The minimal prescription

An idea of S. Catani, M. Mangano, P. Nason and L. Trentadue<sup>\*</sup>: the minimal prescription. A very simple recipe: just take

$$\sigma(\tau, Q^2) = \frac{1}{2\pi i} \int_{N_{\rm MP} - i\infty}^{N_{\rm MP} + i\infty} dN \, \tau^{-N} \, \mathcal{L}(N, Q^2) \, C^{\rm res}(N, \alpha_{\rm s}(Q^2))$$

with  $0 < N_{\rm MP} < N_L$ .

This is **not** a true inverse Mellin: the integrand is not analytical in any right half-plane, because of the branch cut due to the Landau pole.

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*[NPB 478(1996)273, hep-ph/9604351]
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Nonetheless, the MP has a number of good properties:

- $\bullet$  it is well defined for all values of  $\tau$
- it is an asymptotic sum of the original, divergent perturbative expansion
- the difference between the original series, truncated at the best-approximation term, and the minimal prescription, is suppressed more strongly than any power of  $\Lambda^2/Q^2$ .

A closer look at the minimal prescription:

$$\sigma(\tau, Q^2) = \frac{1}{2\pi i} \int_{N_{\rm MP}-i\infty}^{N_{\rm MP}+i\infty} dN \, \tau^{-N} \, C^{\rm res}(N, \alpha_{\rm s}(Q^2)) \, \int_0^1 dy \, y^{N-1} \, \mathcal{L}(y, Q^2)$$
$$= \int_0^1 \frac{dy}{y} \, \mathcal{L}(y, Q^2) \, C^{\rm res}\left(\frac{\tau}{y}, \alpha_{\rm s}(Q^2)\right)$$

Looks like a convolution, but the integration region  $0 \leq y \leq \tau$  cannot be excluded: indeed

$$C^{\rm res}(z, \alpha_{\rm s}(Q^2)) = \frac{1}{2\pi i} \int_{N_{\rm MP} - i\infty}^{N_{\rm MP} + i\infty} dN \, z^{-N} \, C^{\rm res}(N, \alpha_{\rm s}(Q^2))$$

does not vanish for z > 1 because of the Landau cut.

This is reflected in a difficulty in the numerical implementation of the minimal prescription formula:  $\hat{\sigma}(\tau/y, \alpha_s)$  oscillates in the region  $y \sim \tau$ , where the luminosity is smooth, and large cancellations take place.



Figure 3: The partonic cross-section  $C^{\text{res}}(z, \alpha_{\text{s}}(Q^2))$  computed using the minimal prescription at  $\sqrt{Q^2} = 8$  GeV and  $\sqrt{Q^2} = 100$  GeV (Drell-Yan NLL).

[M. Bonvini, S. Forte, GR, NPB874 (2011) 93]

One might avoid the problem by simply going back to the original formulation of the MP,

$$\sigma(x,Q^2) = \frac{1}{2\pi i} \int_{N_{\rm MP}-i\infty}^{N_{\rm MP}+i\infty} dN \, x^{-N} \, \mathcal{L}(N,Q^2) \, \hat{\sigma}(N,\alpha_{\rm S}(Q^2))$$

but  $\mathcal{L}(N, Q^2)$  is typically not available.

Different techniques have been developed to overcome this problem.

[CMNT, NPB 478(1996)273, hep-ph/9604351] [M. Bonvini, S. Forte, GR, NPB874 (2011) 93]

#### The Borel prescription

Is it possible to sum the divergent series

$$\Sigma(z,\alpha_{\rm s}(Q^2)) = \frac{1}{2\pi i} \left[ \frac{1}{\log\frac{1}{z}} \oint \frac{d\xi}{\xi} \frac{\log^{\xi}\frac{1}{z}}{\Gamma(\xi)} \sum_{k=1}^{\infty} k! h_k \left(\frac{\bar{\alpha}}{\xi}\right)^k \right]_+$$

using the Borel technique?

S. Forte, J. Rojo, M. Ubiali, GR, PLB635(2006)313, hep-ph/0601048
R. Abbate, S. Forte, GR, PLB657(2007)55, arXiv:0707.2452

Consider a generic power series, not necessarily convergent in the Cauchy sense:

$$f(\bar{\alpha}) = \sum_{k=1}^{\infty} f_k \,\bar{\alpha}^k$$

and define its Borel transform

$$\hat{f}(w) = \sum_{k=1}^{\infty} f_k \, \frac{w^{k-1}}{(k-1)!}$$

Because of the factor (k-1)!, the Borel transformed series  $\hat{f}(w)$  has much better convergence properties. An inverse transformation exists, since

$$\int_{0}^{+\infty} dw \, e^{-\frac{w}{\bar{\alpha}}} \, w^{k-1} = (k-1)! \, \bar{\alpha}^k \to f_B(\bar{\alpha}) = \int_{0}^{+\infty} dw \, e^{-\frac{w}{\bar{\alpha}}} \, \hat{f}(w)$$

#### Various cases:

1. The original series is convergent in the usual sense. Then  $f_B(\bar{\alpha}) = f(\bar{\alpha})$ , but the Borel sum may enlarge the convergence region. Example:

$$f(\bar{\alpha}) = \sum_{k=1}^{\infty} \bar{\alpha}^k = \frac{\bar{\alpha}}{1 - \bar{\alpha}}, \qquad |\bar{\alpha}| < 1$$
$$f_B(\bar{\alpha}) = \int_0^{+\infty} dw \, e^{-\frac{w}{\bar{\alpha}}} \, e^w = \frac{\bar{\alpha}}{1 - \bar{\alpha}} \qquad \text{Re } \bar{\alpha} < 1$$

2. The original series is divergent, but the Borel sum exists:

$$f(\bar{\alpha}) = \sum_{k=1}^{\infty} (-1)^{k-1} (k-1)! \,\bar{\alpha}^k \qquad \hat{f}(w) = \frac{1}{1+w}$$
$$f_B(\bar{\alpha}) = \int_0^{+\infty} dw \, e^{-\frac{w}{\bar{\alpha}}} \, \frac{1}{1+w} < \infty \qquad \text{Re } \bar{\alpha} > 0$$

3. The original series is divergent, its Borel transform exists, but it has a singularity in the range of the inversion integral:

$$f(\bar{\alpha}) = \sum_{k=1}^{\infty} (k-1)! \,\bar{\alpha}^k \qquad \hat{f}(w) = \frac{1}{1-w}$$
$$f_B(\bar{\alpha}) = \int_0^{+\infty} dw \, e^{-\frac{w}{\bar{\alpha}}} \,\frac{1}{1-w}$$

This is the case e.g. of renormalons.

Back to

$$C^{\rm res}(N,\alpha_{\rm s}(Q^2)) = 1 + \tilde{\Sigma}(L,\alpha_{\rm s}(Q^2))$$

We have

$$\Sigma(z,\alpha_{\rm S}(Q^2)) \equiv \frac{1}{2\pi i} \int_{\bar{N}-i\infty}^{\bar{N}+i\infty} dN \, z^{-N} \tilde{\Sigma}(L,\alpha_{\rm S}(Q^2)) = \left[\frac{R(z)}{\log \frac{1}{z}}\right]_{+}$$
$$R(z) = \frac{1}{2\pi i} \oint \frac{d\xi}{\xi} \, \frac{\log^{\xi} \frac{1}{z}}{\Gamma(\xi)} \sum_{k=1}^{\infty} k! \, h_k \left(\frac{\bar{\alpha}}{\xi}\right)^k$$

The Borel transform of R(z) with respect to  $\bar{\alpha}$  is found replacing

$$\bar{\alpha}^k \to \frac{w^{k-1}}{(k-1)!}$$

and it is convergent:

$$\hat{R}(w,z) = \frac{1}{2\pi i} \oint \frac{d\xi}{\xi} \frac{\log^{\xi} \frac{1}{z}}{\Gamma(\xi)} \sum_{k=1}^{\infty} k h_k \frac{w^{k-1}}{\xi^k} = \frac{1}{2\pi i} \oint \frac{d\xi}{\xi} \frac{\log^{\xi} \frac{1}{z}}{\Gamma(\xi)} \frac{d}{dw} \tilde{\Sigma}\left(\frac{w}{\xi}, \alpha_{\rm s}(Q^2)\right)$$

The branch cut of  $\tilde{\Sigma}(L, \alpha_s(Q^2))$ ,  $-\infty < L \leq -1$ , is mapped onto the range  $-w \leq \xi \leq 0$  on the real axis of the complex  $\xi$  plane. Hence, the  $\xi$  integration path is any closed curve which encircles the cut. As a consequence, the inverse Borel transform of  $\hat{R}$  does not exist, because w integration is divergent at  $+\infty$ .

We introduce a cutoff:

$$R_{C}(z) = \int_{0}^{C} dw \, e^{-\frac{w}{\alpha}} \hat{R}(w, z)$$

$$= \frac{1}{2\pi i} \oint \frac{d\xi}{\xi} \frac{\log^{\xi} \frac{1}{z}}{\Gamma(\xi)} \int_{0}^{C} dw \, e^{-\frac{w}{\alpha}} \frac{d}{dw} \tilde{\Sigma}\left(\frac{w}{\xi}, \alpha_{\rm S}(Q^{2})\right)$$

$$\Sigma_{I}(z, \alpha_{\rm S}(Q^{2})) = \frac{1}{2\pi i} \oint \frac{d\xi}{\xi} \frac{1}{\Gamma(\xi)} \int_{0}^{C} dw \, e^{-\frac{w}{\alpha}} \frac{d}{dw} \tilde{\Sigma}\left(\frac{w}{\xi}, \alpha_{\rm S}(Q^{2})\right) \left[\log^{\xi-1} \frac{1}{z}\right]_{+}$$

#### **Remarks:**

- The original divergent series for  $\Sigma$  is asymptotic to the function  $\Sigma_I(z, \alpha_{\rm S}(Q^2))$
- For any finite-order truncation of the divergent series, the full and cutoff results differ by a twist- $(2 + \frac{2C}{a})$ .
- C can be chosen freely in the range  $C \ge a$ ; different choices differ by power suppressed terms. The minimal choice is C = a.

A somewhat simpler result is obtained if the Borel transform is performed through the replacement

$$\bar{\alpha}^k \to \frac{1}{\bar{\alpha}} \frac{w^k}{k!}$$

In this case one gets

$$R_{C}(z) = \frac{1}{2\pi i} \oint \frac{d\xi}{\xi} \frac{\log^{\xi} \frac{1}{z}}{\Gamma(\xi)} \int_{0}^{C} \frac{dw}{\bar{\alpha}} e^{-\frac{w}{\bar{\alpha}}} \tilde{\Sigma}\left(\frac{w}{\xi}, \alpha_{\rm S}(Q^{2})\right)$$
$$\Sigma_{I}(z, \alpha_{\rm S}(Q^{2})) = \frac{1}{2\pi i} \oint \frac{d\xi}{\xi} \frac{1}{\Gamma(\xi)} \int_{0}^{C} \frac{dw}{\bar{\alpha}} e^{-\frac{w}{\bar{\alpha}}} \tilde{\Sigma}\left(\frac{w}{\xi}, \alpha_{\rm S}(Q^{2})\right) \left[\log^{\xi-1} \frac{1}{z}\right]_{+}$$

which provides an equally good resummation prescription; the difference is in practice very small.

If we only wish to retain terms which do not vanish as  $z \to 1$  we may expand

$$\log \frac{1}{z} = 1 - z + O((1 - z)^2)$$

with the result

$$\Sigma_{II}(z,\alpha_{\rm s}(Q^2)) = \frac{1}{2\pi i} \oint \frac{d\xi}{\xi} \frac{1}{\Gamma(\xi)} \int_0^C \frac{dw}{\bar{\alpha}} e^{-\frac{w}{\bar{\alpha}}} \tilde{\Sigma}\left(\frac{w}{\xi},\alpha_{\rm s}(Q^2)\right) \left[(1-z)^{\xi-1}\right]_+$$

## The Borel prescription effectively replaces

$$h_k \to h_k \frac{\gamma(k+1, C/\bar{\alpha})}{\Gamma(k+1)}; \quad \gamma(k+1, c) = \int_0^c dt \, e^{-t} t^k$$

thereby damping high orders:



#### Subleading terms

Different prescriptions give different results for two reasons:

The different way they handle the high-order behaviour of the divergent series. This makes in practice a small difference unless τ is close to the Landau pole (very rare).
 Example: Borel prescription with C = 2, α<sub>s</sub> = 0.11, then c ≈ 15,

and the perturbative expansion is truncated around  $k \sim 15$ .

Prescriptions also differ in the subleading terms which are introduced when performing the resummation.
 Example: the minimal prescription just gives the exact Mellin inverse of any truncation of the series. Because this result depends on z through log <sup>1</sup>/<sub>z</sub>, in z space it generates a series of power suppressed terms.

We have now two versions of the Borel prescription:

$$\Sigma_{I}(z,\alpha_{\rm s}(Q^{2})) = \frac{1}{2\pi i} \oint \frac{d\xi}{\xi} \frac{1}{\Gamma(\xi)} \int_{0}^{C} \frac{dw}{\bar{\alpha}} e^{-\frac{w}{\bar{\alpha}}} \tilde{\Sigma}\left(\frac{w}{\xi},\alpha_{\rm s}(Q^{2})\right) \left[\log^{\xi-1}\frac{1}{z}\right]_{+}$$
$$\Sigma_{II}(z,\alpha_{\rm s}(Q^{2})) = \frac{1}{2\pi i} \oint \frac{d\xi}{\xi} \frac{1}{\Gamma(\xi)} \int_{0}^{C} \frac{dw}{\bar{\alpha}} e^{-\frac{w}{\bar{\alpha}}} \tilde{\Sigma}\left(\frac{w}{\xi},\alpha_{\rm s}(Q^{2})\right) \left[(1-z)^{\xi-1}\right]_{+}$$

They differ by non-logarithmically-enhanced terms (their Mellin transforms differ by terms suppressed by powers of  $\frac{1}{N}$ ).

Version I is closer to the minimal prescription: when applied to individual  $\log^k \frac{1}{N}$  terms, it gives back the exact Mellin transform (apart from the suppression factor).

Two opposite extreme choices in the treatment of subleading terms:

Version I: no 1/N power-suppressed terms, hence 1 - z power suppressed terms in z space appear;

Version II: the opposite.

However, with the Borel prescription the z dependence is under analytic control: it is entirely contained in the factor  $\log^{\xi-1} \frac{1}{z}$  and can be modified at will. We may therefore consider intermediate options. We already observed that at NLO and NNLO the inclusion of some subleading terms by replacing

$$\log(1-z) \to \log \frac{1-z}{\sqrt{z}}$$

provides better agreement with the full result

This can be understood: soft resummation arises from the kinematic fact that as  $z \to 1$  the dependence of partonic cross-sections on z is always in the combination  $Q^2(1-z)^2$ , which is the upper limit of the integral over the energy of radiated gluons for DY. However, the actual value is

$$k_{\rm max}^0 = \sqrt{\frac{Q^2(1-z)^2}{4z}}$$

It is easy to do so by the Borel prescription: we define

$$\Sigma_{III}(z,\alpha_{\rm s}(Q^2)) = \frac{1}{2\pi i} \oint \frac{d\xi}{\xi} \frac{1}{\Gamma(\xi)} \int_0^C \frac{dw}{\bar{\alpha}} e^{-\frac{w}{\bar{\alpha}}} \tilde{\Sigma}\left(\frac{w}{\xi},\alpha_{\rm s}(Q^2)\right) \left[(1-z)^{\xi-1}\right]_+ z^{-\frac{\xi}{2}}.$$

With this choice, the kinematic correction is automatically included to all orders.

In fact, III closer than I to the MP because

$$\log \frac{1}{z} = \frac{1-z}{\sqrt{z}} \left( 1 + O((1-z)^2) \right),$$

so that

$$\frac{\log^k \log \frac{1}{z}}{\log \frac{1}{z}} = \frac{\sqrt{z}}{1-z} \log^k \frac{1-z}{\sqrt{z}} \left(1 + O((1-z)^2)\right).$$

This shows that, up to terms suppressed by two powers of 1 - z, the MP effectively performs the kinematic subleading replacement (but at the same time introduces an overall factor  $\sqrt{z}$  which is absent in the true coefficients).



#### **Comments:**

- MP and BP-I (BP with  $\log 1/z$ ) essentially indistinguishable (for values of  $z \leq 0.9$ , where the MP starts oscillating)
- BP-II (BP with 1-z) rather different from them (and unconfortably large in the intermediate region).
- BP-III prescription (BP with (1 − z)/√z) as expected differs less from the MP in the wholw range. The difference between MP and BP-III sizable, but smaller than the size of resummation where resummation is relevant, and induces small corrections at small z. A reliable estimate of the ambiguity in the resummation.
- Interesting interplay between large-z and small-z behaviour.



#### **Summary and outlook**

- Resummation of threshold logarithms provides an improvement in the theoretical predictions even at relatively small values of *τ*. This statement can be made quantitative.
- There are ambiguities in the computation of observables from resummed quantities in QCD, to be ascribed to the presence of a Landau singularity in the running coupling.
- A prescription based on Borel sum and twist expansion can be given; it gives better control over subleading terms with respect to the minimal prescription.
- Work in progress: combined small-*x* and large-*x* resummation. Comparison with other resummation approaches.
- <sup>†</sup> M. Bonvini, S. Forte, T. Peraro, GR, in preparation







DY rapidity distribution. Collider: pp Subprocess: W+



DY rapidity distribution. Collider: pp Subprocess: Z+gamma

**Divergence of** R(z) and convergence of  $\hat{R}(w, z)$ 

Back to the generic resummed quantity:

$$\tilde{\Sigma}(\alpha_{\rm s}, L) = \sum_{k=1}^{\infty} h_k L^k; \qquad L = \bar{\alpha} \log \frac{1}{N}$$

To log accuracy,

$$\frac{1}{2\pi i} \int_{\overline{N}-i\infty}^{N+i\infty} dN \, z^{-N} \log^k \frac{1}{N} = \left[\frac{P_{k-1}(\ell)}{1-z}\right]_+$$

where  $P_{k-1}(\ell)$  is a polynomial of degree k-1 in  $\ell \equiv \log(1-z)$ . Thus

$$\Sigma(\alpha_{\rm S}(Q^2), z) = \left[\frac{R(z)}{1-z}\right]_{+}; \qquad R(z) = \sum_{k=1}^{\infty} h_k \,\bar{\alpha}^k \, P_{k-1}(\ell)$$

The explicit form of  $P_{k-1}(\ell)$  is

$$P_{k-1}(\ell) = \sum_{j=1}^{k} \binom{k}{j} \Delta^{(j)}(0) \,\ell^{k-j}; \qquad \Delta(\eta) = \frac{1}{\Gamma(\eta)}$$

Hence,

$$R_K(z) = \sum_{k=1}^K h_k \,\bar{\alpha}^k \, \sum_{j=1}^k \frac{\Delta^{(j)}(0)}{j!} \frac{k!}{(k-j)!} \,\ell^{k-j}$$

If the sum over j is truncated at j = J (corresponding to  $N^{J-1}L$ log(1-x) accuracy) we get a convergent result. This is because

$$\frac{k!}{(k-j)!}\,\ell^{k-j} = \frac{d^j\ell^k}{d\ell^j}$$

and therefore

$$R_{K}(z) = \sum_{j=1}^{J} \frac{\Delta^{(j)}(0)}{j!} \frac{d^{j}}{d\ell^{j}} \sum_{k=1}^{K} h_{k} \bar{\alpha}^{k} \ell^{k} \to \sum_{j=1}^{J} \frac{\Delta^{(j)}(0)}{j!} \frac{d^{j}}{d\ell^{j}} \tilde{\Sigma}(\bar{\alpha}\ell)$$

which is convergent for  $|\bar{\alpha}\ell| < 1$ , because of the Landau pole at  $\bar{\alpha}\ell = 1$ .

The full sum is however divergent. To see this, use the identity

$$\frac{1}{2\pi i} \oint \frac{d\xi}{\xi} e^{\xi} \xi^{-(k-j)} = \begin{cases} \frac{1}{(k-j)!} & k-j \ge 0\\ 0 & k-j < 0 \end{cases}$$

to get

$$R_{K}(z) = \sum_{k=1}^{K} h_{k} \bar{\alpha}^{k} \sum_{j=1}^{k} \frac{\Delta^{(j)}(0)}{j!} \frac{k!}{(k-j)!} \ell^{k-j}$$

$$= \frac{1}{2\pi i} \oint \frac{d\xi}{\xi} e^{\xi} \sum_{k=1}^{K} k! h_{k} \bar{\alpha}^{k} \sum_{j=1}^{\infty} \frac{\Delta^{(j)}(0)}{j!} \ell^{k-j} \xi^{-(k-j)}$$

$$= \frac{1}{2\pi i} \oint \frac{d\xi}{\xi} e^{\ell\xi} \Delta(\xi) \sum_{k=1}^{K} k! h_{k} \left(\frac{\bar{\alpha}}{\xi}\right)^{k}$$

Since  $\sum_k h_k L^k$  has convergence radius 1,  $\sum_k k! h_k L^k$  has convergence radius 0.



Terms in the expansion of R(z) in powers  $\bar{\alpha}^k \log^{k-j}(1-z)$ .

By a similar manipulation one can show that the Borel transform of R wrt to  $\bar{\alpha}$  is convergent. Indeed, replacing  $\bar{\alpha}^k \to w^{k-1}/(k-1)!$ we get

$$\hat{R}_{K}(w,z) = \frac{1}{2\pi i} \oint \frac{d\xi}{\xi} e^{\ell\xi} \Delta(\xi) \sum_{k=1}^{K} k h_{k} \frac{w^{k-1}}{\xi^{k}}$$

which is convergent as  $K \to \infty$ :

$$\hat{R}(w,z) = \frac{1}{2\pi i} \oint \frac{d\xi}{\xi} e^{\ell\xi} \Delta(\xi) \frac{d}{dw} \tilde{\Sigma}\left(\alpha_{\rm s}, \frac{w}{\xi}\right)$$

# So finally

$$\begin{split} \hat{R}(z,w) &= \frac{1}{2\pi i} \oint_{H} \frac{d\xi}{\xi} e^{\ell\xi} \Delta(\xi) \frac{d}{dw} \tilde{\Sigma}\left(\frac{w}{\xi}\right); \quad |\xi| > w \text{ on } H \\ R(z) &= \int_{0}^{C} dw \, e^{-\frac{w}{\bar{\alpha}}} \hat{R}(z,w) \\ &= \frac{1}{2\pi i} \oint_{H} \frac{d\xi}{\xi} e^{\ell\xi} \Delta(\xi) \left[ e^{-\frac{C}{\bar{\alpha}}} \tilde{\Sigma}\left(\frac{C}{\xi}\right) + \frac{1}{\bar{\alpha}} \int_{0}^{C} dw \, e^{-\frac{w}{\bar{\alpha}}} \tilde{\Sigma}\left(\alpha_{s}, \frac{w}{\xi}\right) \right] \end{split}$$

which is explicitly written in terms of the function  $\tilde{\Sigma}$ .

The resummed (Mellin-transformed) cross section  $\tilde{\Sigma}(\alpha_s, L)$  has a branch cut in the complex plane L in

$$-\infty < \operatorname{Re} L \le -1; \quad \operatorname{Im} L = 0$$

which is mapped into

$$-w \le \operatorname{Re} \xi \le 0;$$
 Im  $\xi = 0$ 

for  $\tilde{\Sigma}(\alpha_s, w/\xi)$ . The contour H must be chosen so that it encloses the cut, and therefore is pushed to large negative values of  $\operatorname{Re} \xi$  as  $w \to +\infty$ . In that region,  $\Delta(\xi)$  oscillates with factorially growing amplitude, and the w integral does not converge.