

## Jarzynski Equality and the Landauer's bound: an experimental approach

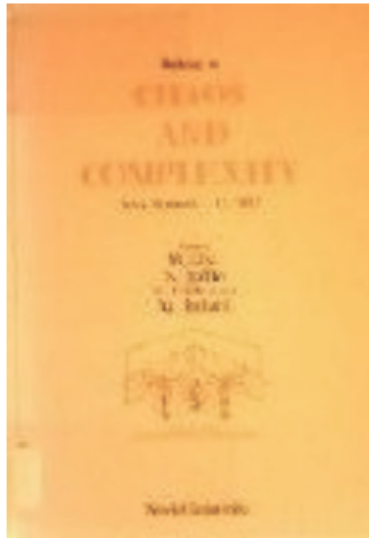
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Nature 483, 187-189 (2012)

2013 *EPL* **103** 60002 ; arXiv:1302.4417 ;  
Detailed Jarzynski Equality applied on a Logically Irreversible Procedure

## About Stefano



### **Workshop on Chaos and Complexity**

**1988, Villa Gualino**

**R. Livi; S. Ciliberto; S. Ruffo**

A cellular automaton model of a fluid experiment,  
F. Bagnoli, Francescato, S. Ciliberto, R.Livi, S.Ruffo

Phase transitions in convection experiments,  
F. Bagnoli, S. Ciliberto, R. Livi and S. Ruffo ,  
Les Houches (Marzo 1989). "Relaxation in complex systems" (Plenum 1990).

## Outline

- Landauer's principle
- How to realise it ?
- Experimental set-up
- Data analysis
- Comparison with numerical results
- Landauer's limit and the Jarzynski equality
- Conclusions

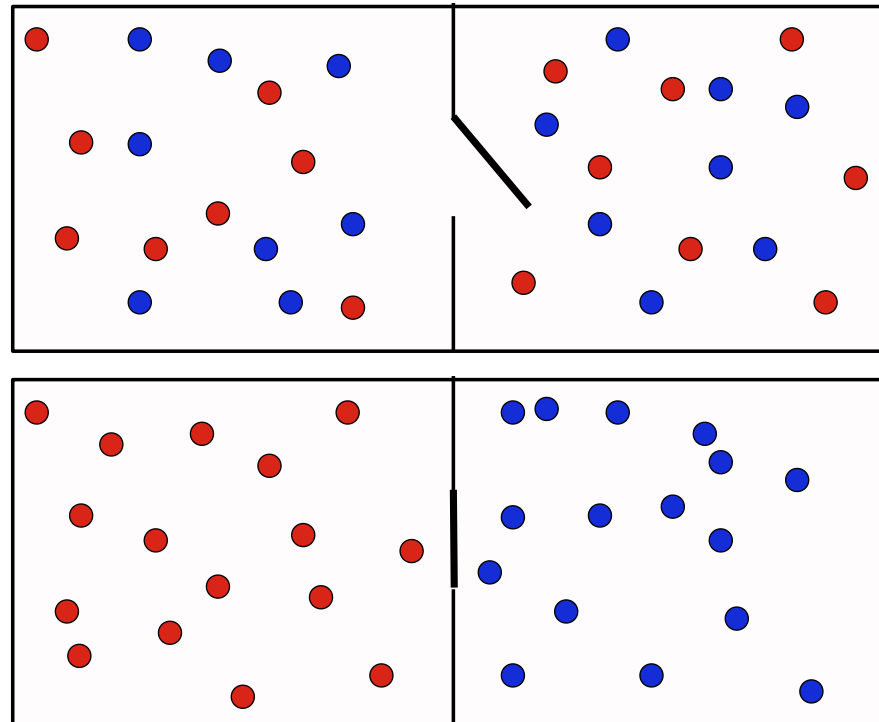
# Landauer's Principle and The Maxwell's Demon



A

B

- **slow molecules**
- **fast molecules**



## The Landauer's principle (I)

Any logically irreversible transformation of classical information is necessarily accompanied by the dissipation of at least  $k_B T \cdot \ln 2$  of heat per lost bit (about  $3 \cdot 10^{-21}$  Joules at room temperature)

Typical examples of logically irreversible transformations are Boolean functions such as AND, NAND, OR and NOR  
They map several input states onto the same output state

The **erasure of information**, the **RESET TO ONE operation**, is logically irreversible and leads to an entropy production of  $k_B \cdot \ln 2$  per erased bit

## Landauer's principle II

Landauer's principle is a central result which exorcises the Maxwell's demon

It has been criticised and never tested in a real experiment

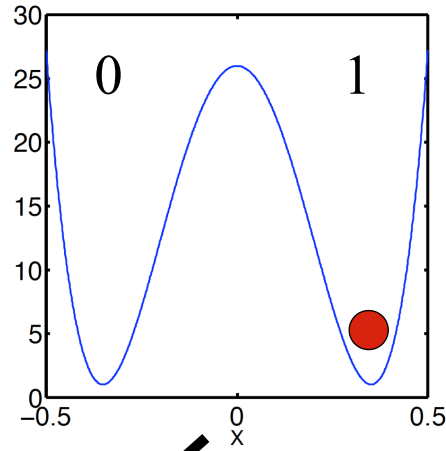
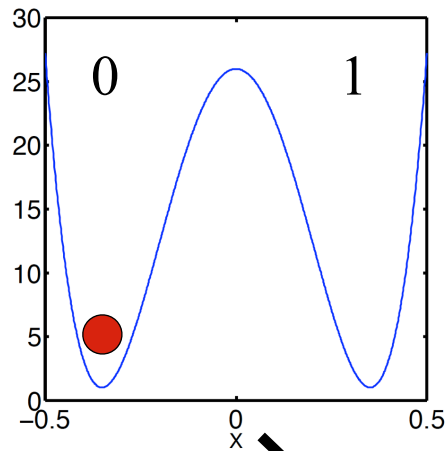
### Questions

- Can the Landauer's limit be reached in any experiment ?
- Does any experimentally feasible procedure allow us to reach the limit ?

Following Bennett we use in our experiment the RESET to ONE operation

Bennett, C. H. The thermodynamics of computation, a review. Int. J. Theor. Phys. 21, 905-940 (1982).

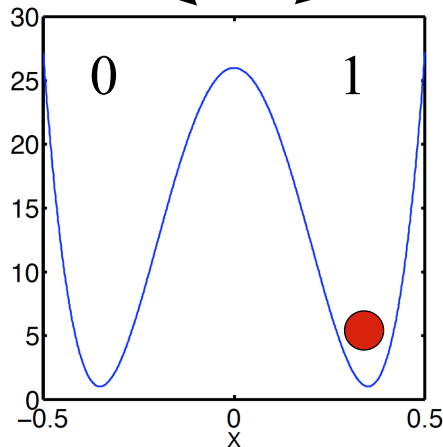
# The Bennett's erasure procedure



Initial state is 0 or 1 with equal probability 1/2

$$S_i = k_B \ln 2$$

Procedure



Final state is 1 with probability 1

$$S_f = 0$$

Thus  $\Delta S_{\min} = -k_B \ln 2$

Quasi Static :  $-T\Delta S=Q$

Energy variation :  $\Delta U=0$

First principle :  $\Delta U=-Q+W$



In average :  $\langle W \rangle = \langle Q \rangle = -T \Delta S \geq k_B T \ln(2)$

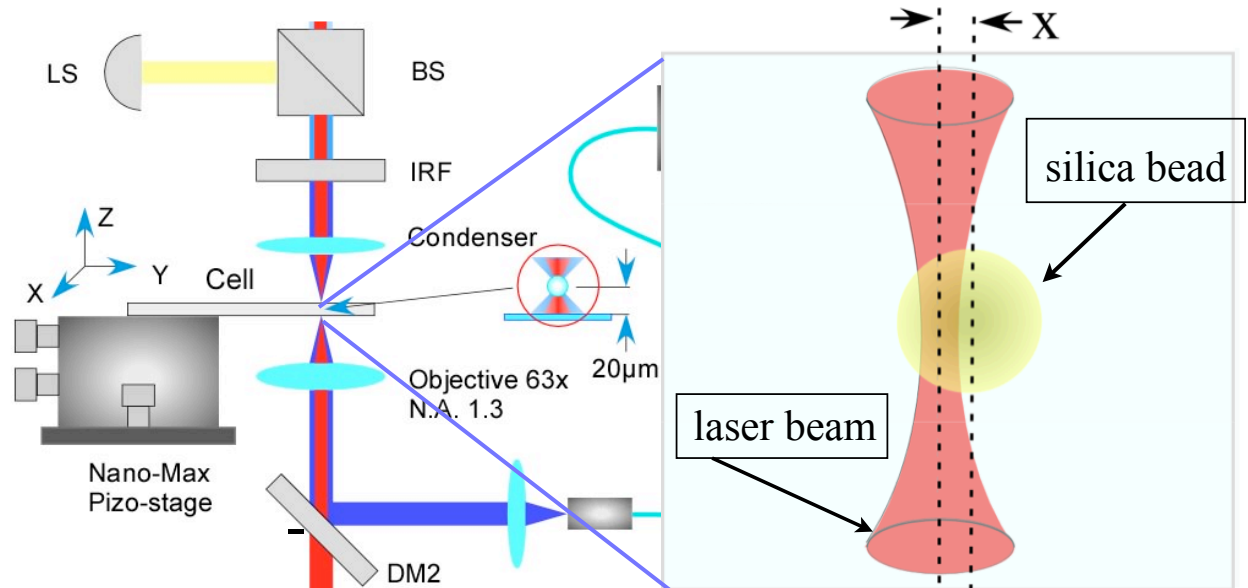
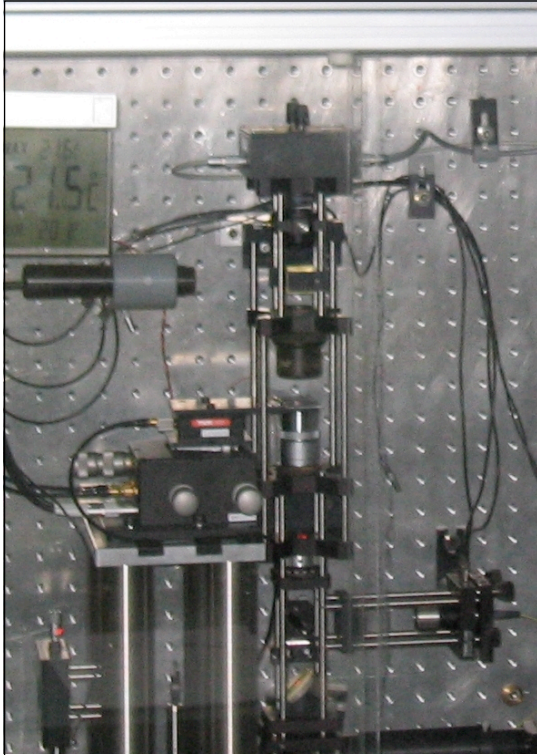
Numerical result :

*Memory Erasure in Small Systems,*

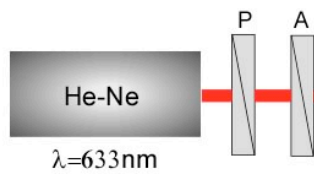
R. Dillenschneider and E. Lutz, Phys. Rev. Lett. 102, 210601 (2009)



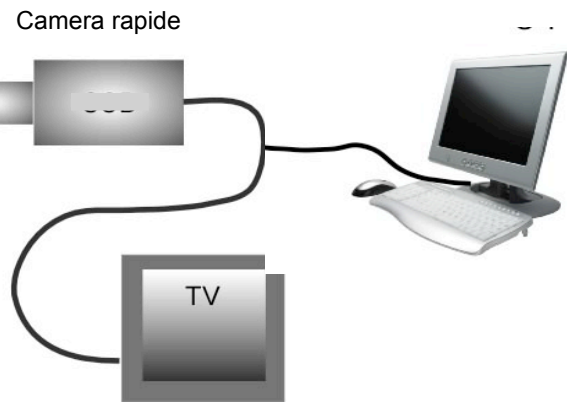
# Experimental set-up Optical trap

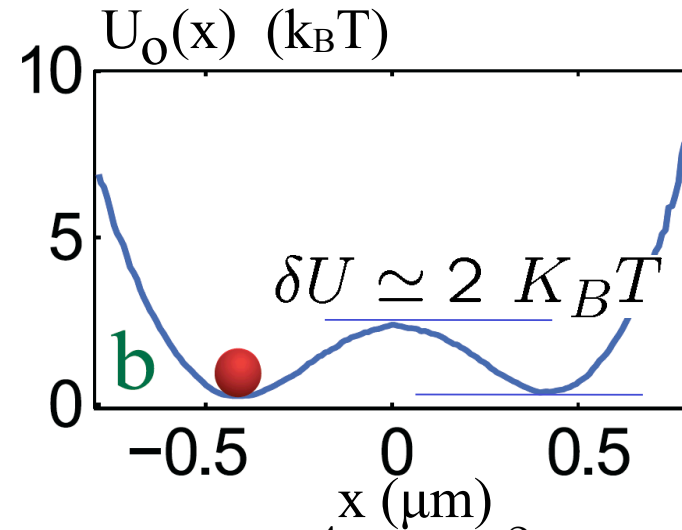
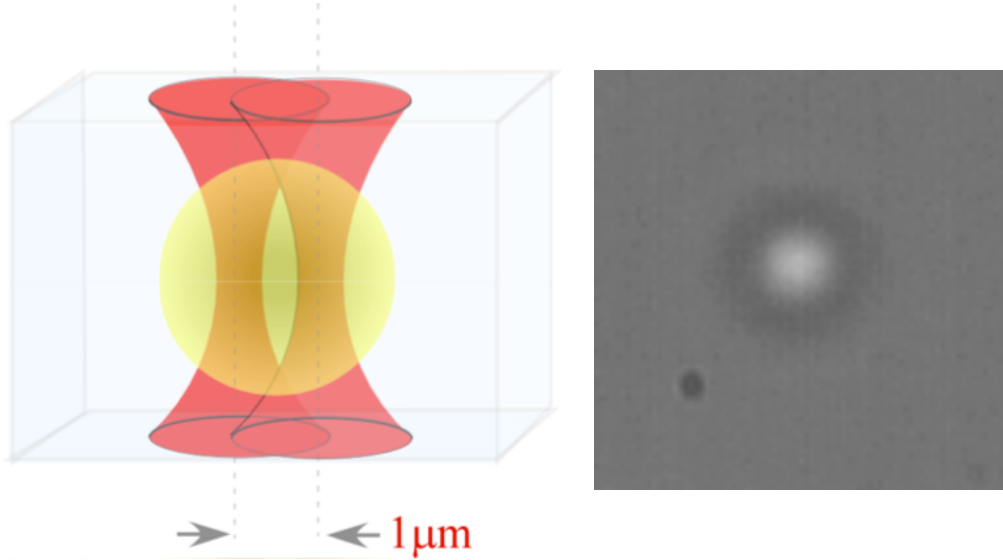


$$U(x) = \frac{k}{2} x^2$$



- LS white light source
- DM dichroic mirror
- M mirror
- IRF infrared filter
- IF interference filter
- P polarizer
- A analyzer
- QD quadrant photo diode





$$U_o(x) = a x^4 - b x^2 + d x$$

The Kramers time

$$\tau_K = \tau_o \exp\left[\frac{\delta U}{k_B T}\right]$$

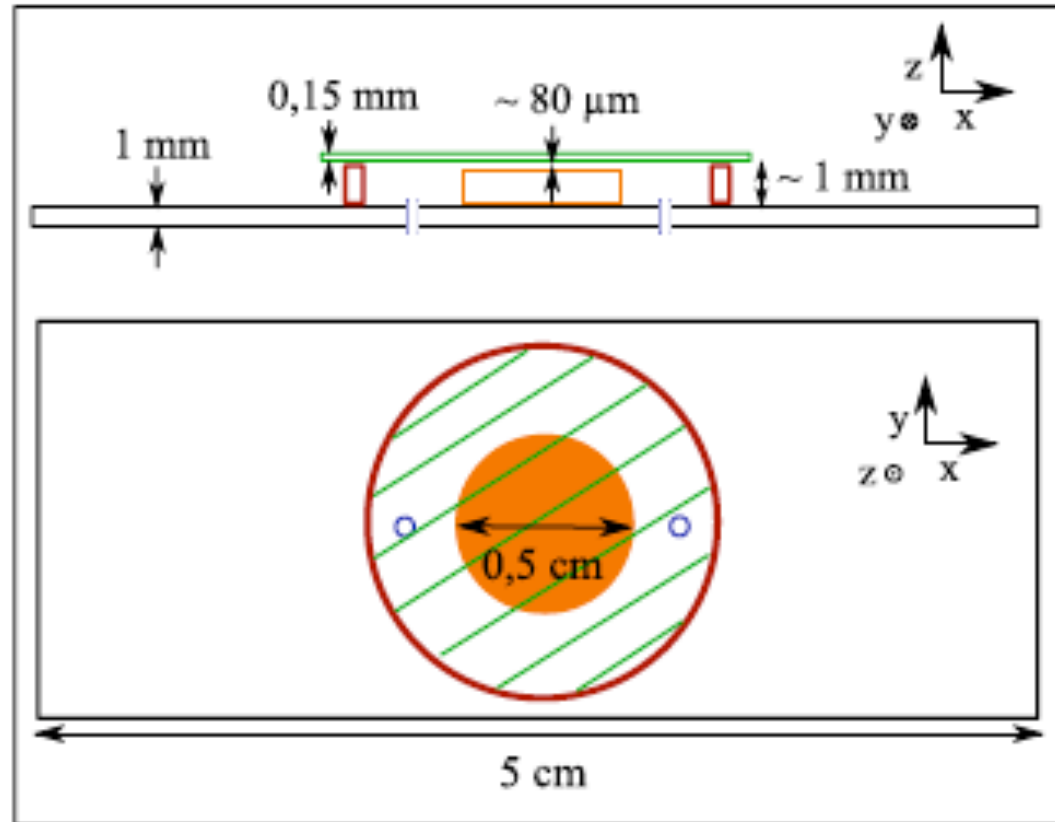
with  $\tau_o = 1\ \text{s}$

Potential measured using detailed balance

with  $\Delta U_{i,j} = U(x_i) - U(x_j)$

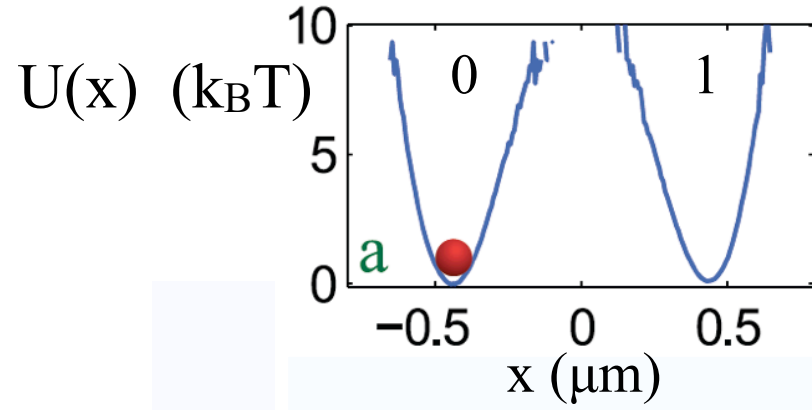
$$\frac{\omega_{i \rightarrow j}}{\omega_{j \rightarrow i}} = e^{-\frac{\Delta U_{ij}}{k_B T}}$$

## The cell for the bead



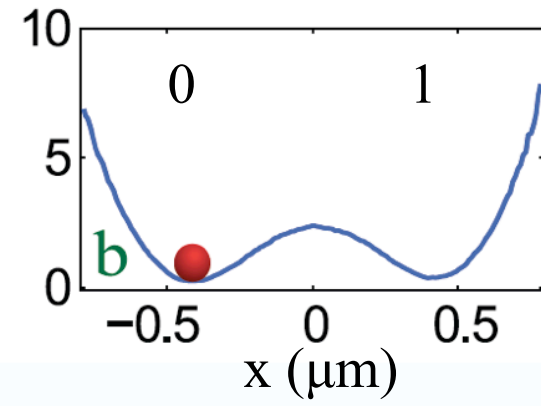
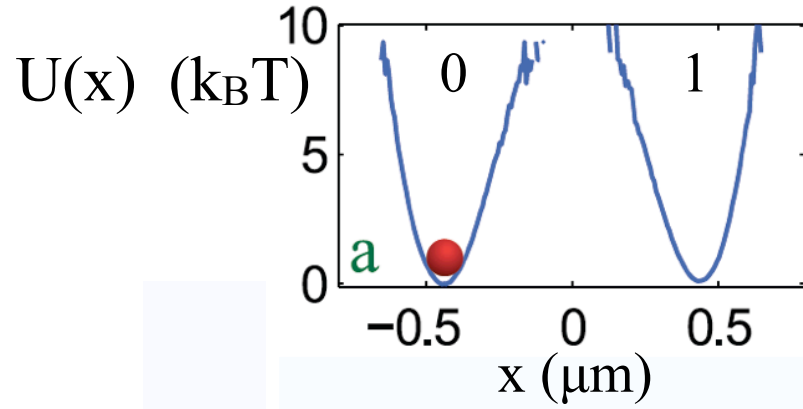
# The Erasure Procedure

**Initial state**



# The Erasure Procedure

**Initial state**

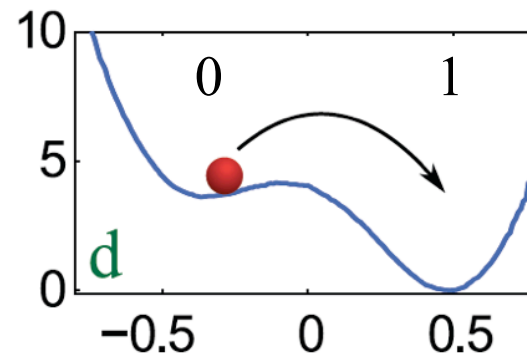
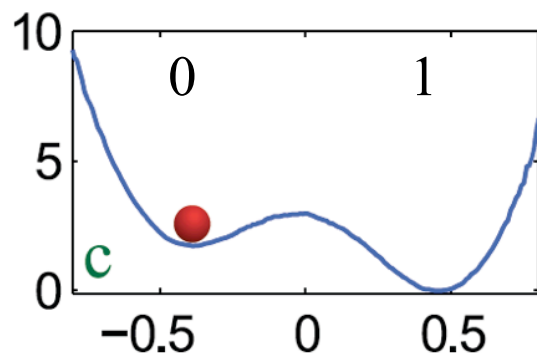
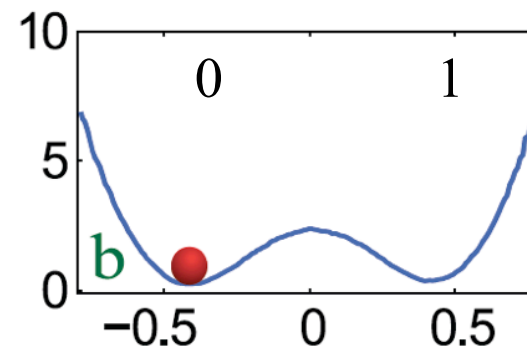
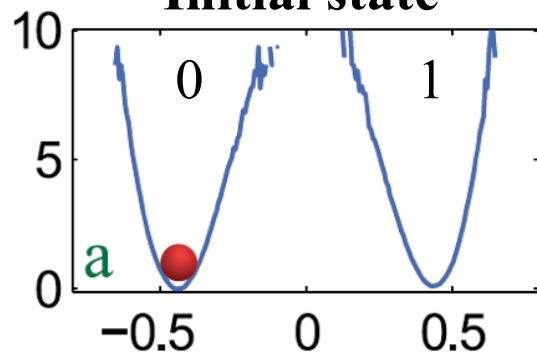


reduction  
of the barrier

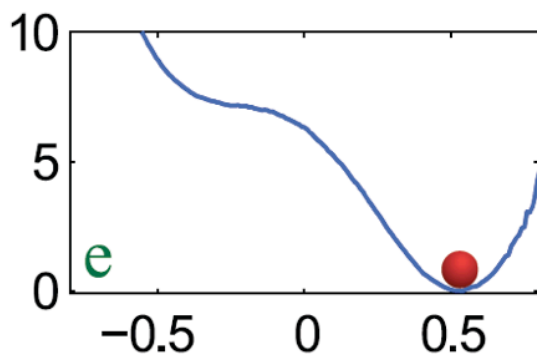
# The Erasure Procedure

Initial state

$U(x)$  (k<sub>B</sub>T)



Progressive  
tilt of the  
potential

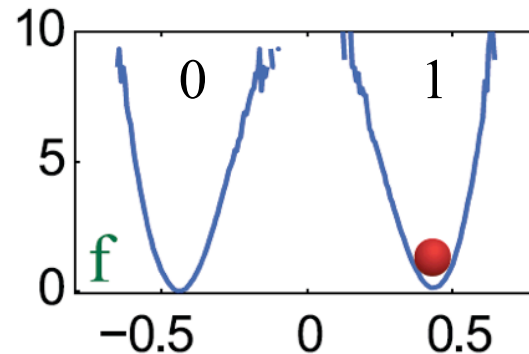
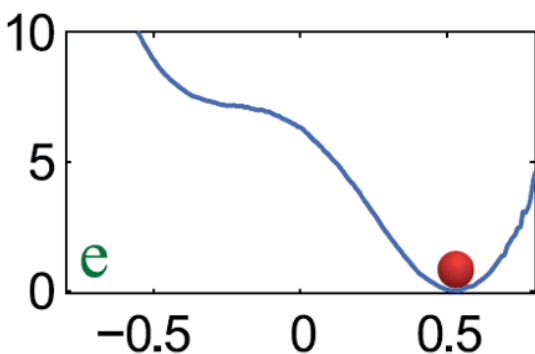
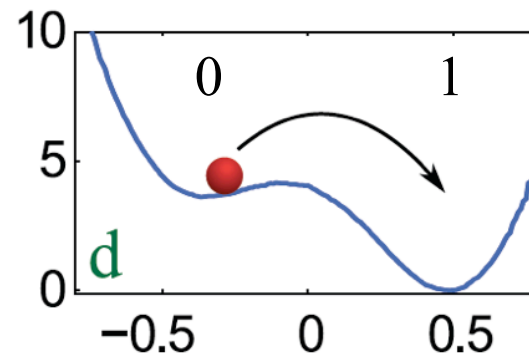
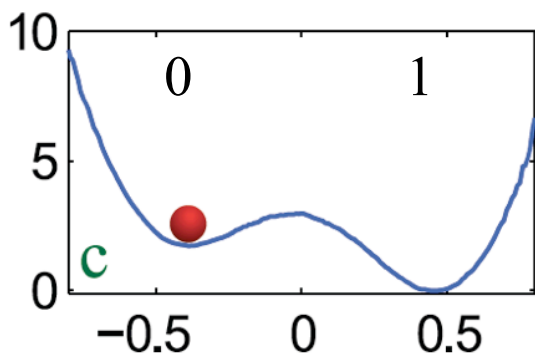
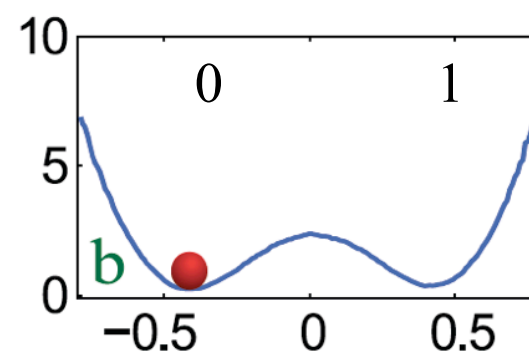
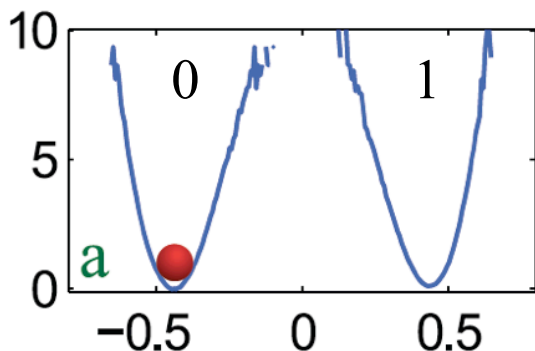


$x$  (μm)

# The Erasure Procedure

**Initial state**

$U(x)$  (k<sub>B</sub>T)

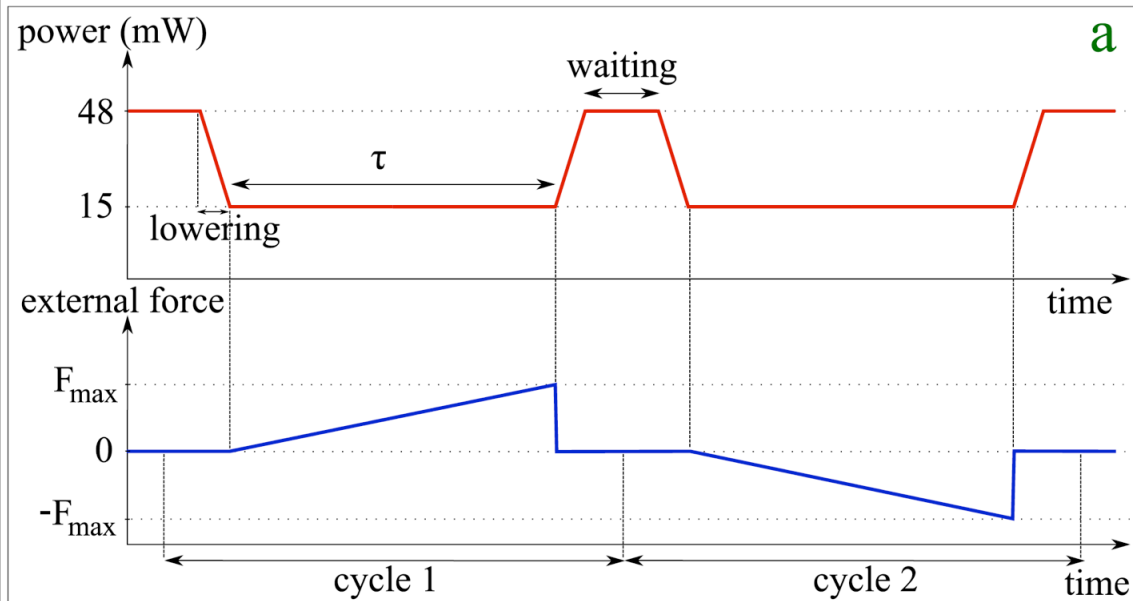


Increasing of  
the barrier

**Final state**

$x$  ( $\mu\text{m}$ )

$x$  ( $\mu\text{m}$ )



The laser intensity controls the barrier height

The potential tilt is produced by a linearly increasing external force  $f$ , applied on the time  $\tau$ .

$$\tau_{\text{cycle}} = \tau + 2 \text{ s}$$

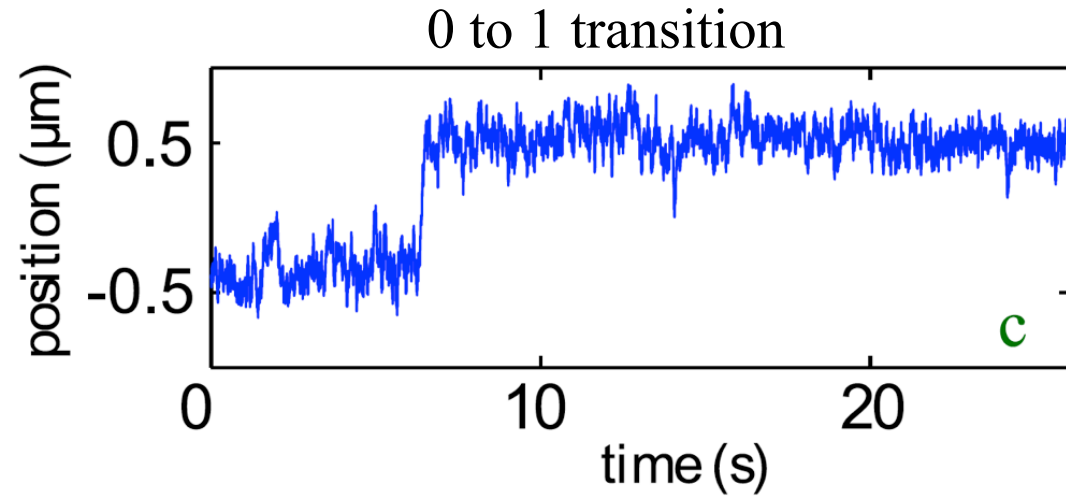
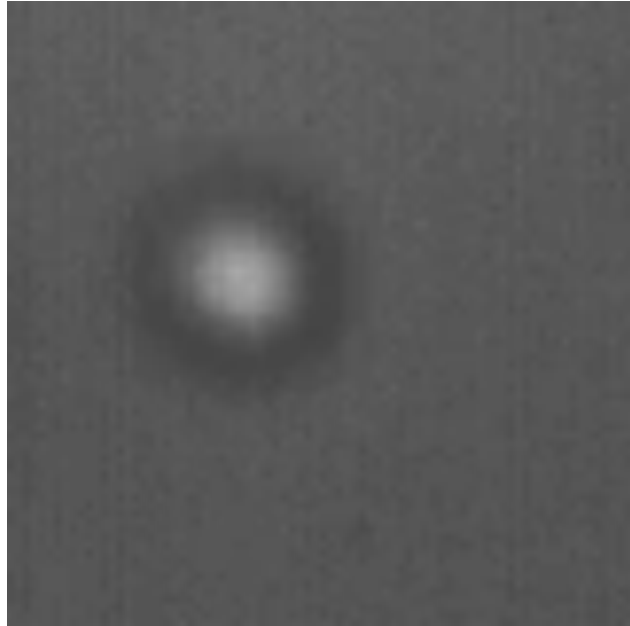
The force  $f$  is created by displacing the cell with respect to the laser, thus

$$f = -\nu v \quad \text{with} \quad \nu = 6\pi R\mu$$

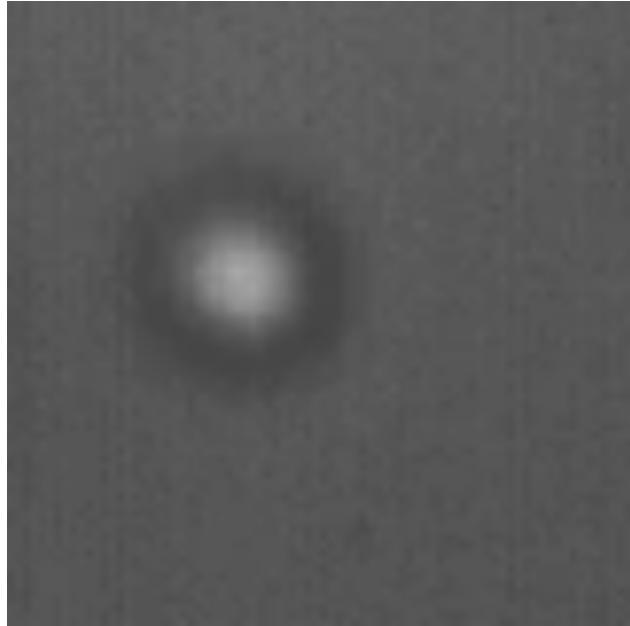
Two control parameters:  $\tau$  the time of application of  $f$   
 $F_{\max}$  the maximum applied force



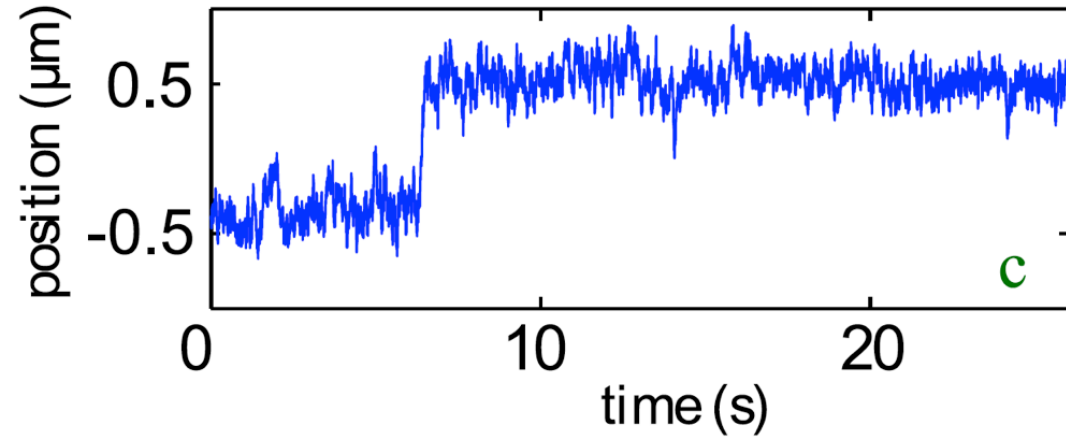
## Bead trajectories



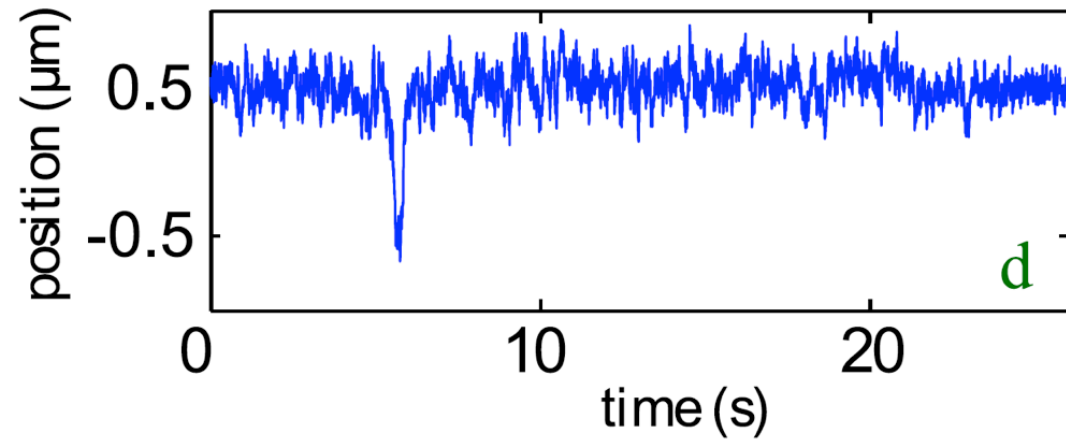
## Bead trajectories



0 to 1 transition



1 to 1 transition



## The work on the erasure cycle

$$\nu \dot{x} = -\frac{\partial U_o(x, t)}{\partial x} + f(t) + \eta$$

multiplying by  $\dot{x}$  and integrating for a time  $\tau$  we get :

$$\Delta U_\tau = W_\tau - Q_\tau \quad \text{Stochastic thermodynamics}$$

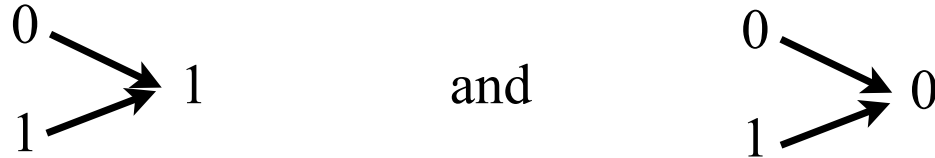
$$\Delta U_\tau = -\int_0^\tau \frac{\partial U_o}{\partial x} \dot{x} dt \quad W_\tau = \int_0^\tau f \dot{x} dt$$

$$Q_\tau = \int_0^\tau \nu \dot{x}^2 dt - \int_0^\tau \eta \dot{x} dt$$

Sekimoto K, Progress of Theoretical Phys. supplement (130), 17 (1998).

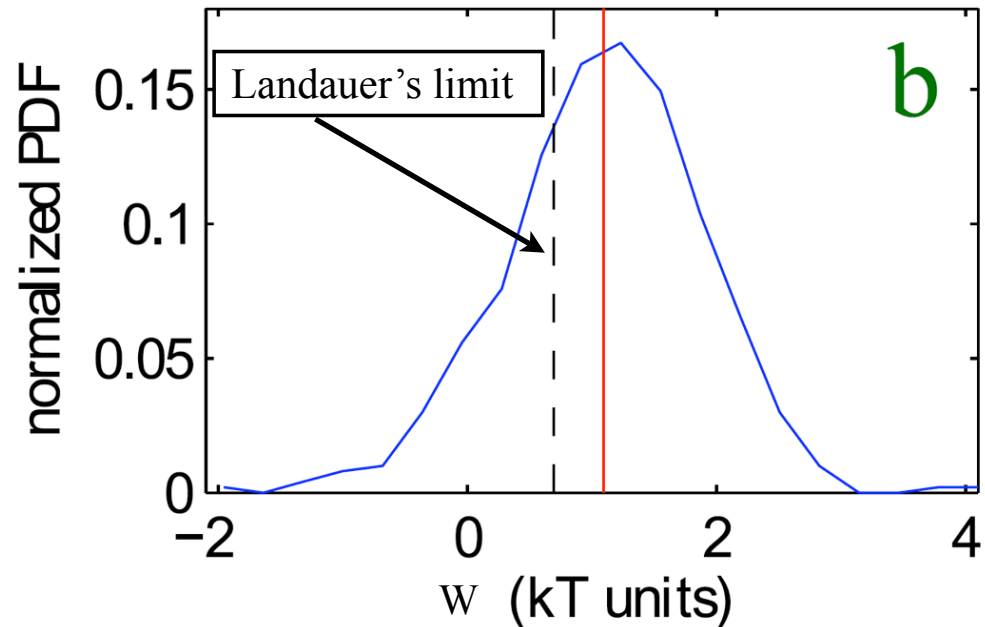
# The work on the erasure cycle

The two erasure cycles have been considered



$$W_F = - \int_0^{\tau_{cycle}} \nu v(t) \dot{x} dt = \int_0^{\tau_{cycle}} F_{max} \frac{t}{\tau} \dot{x} dt$$

~~$$\Delta U_{\tau} = - \int_0^{\tau_{cycle}} \frac{\partial U_0}{\partial x} \dot{x} dt$$~~

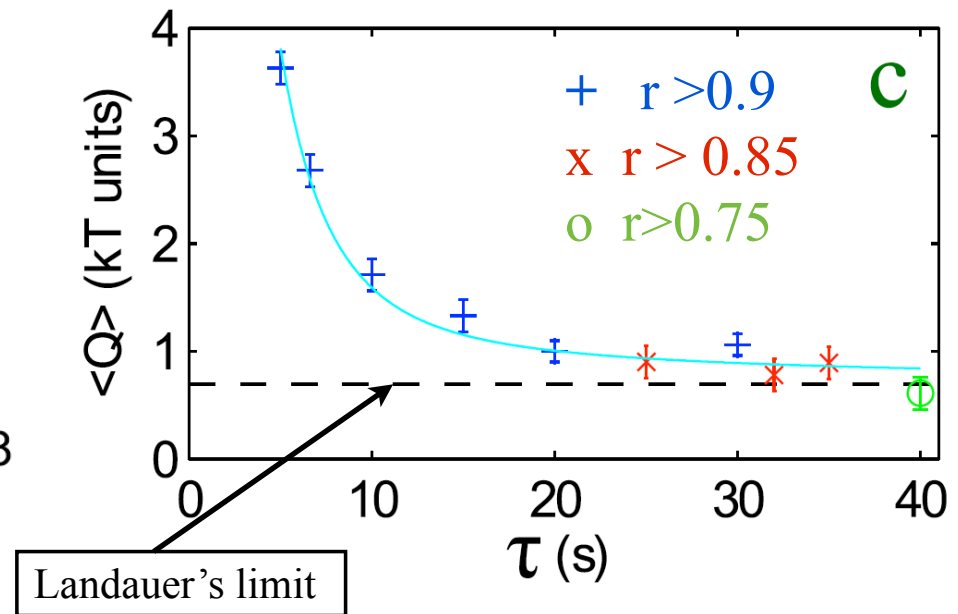
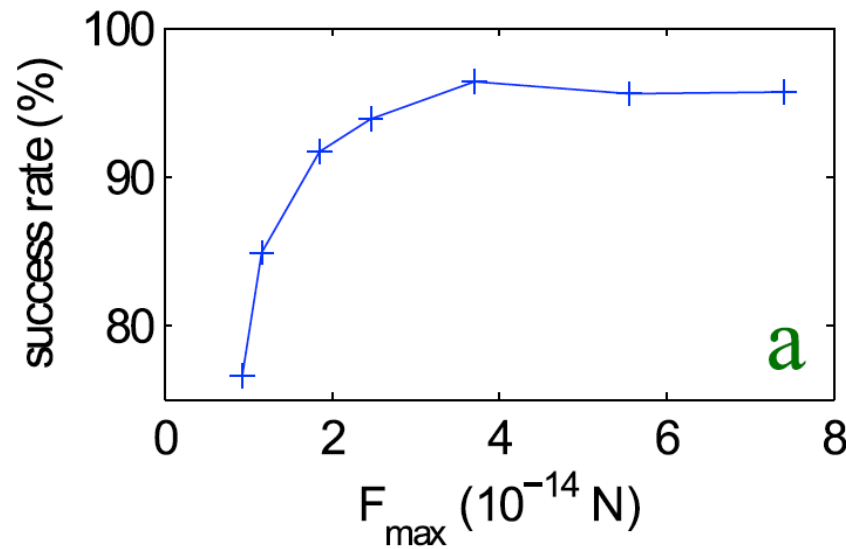


## Results of the erasure procedure

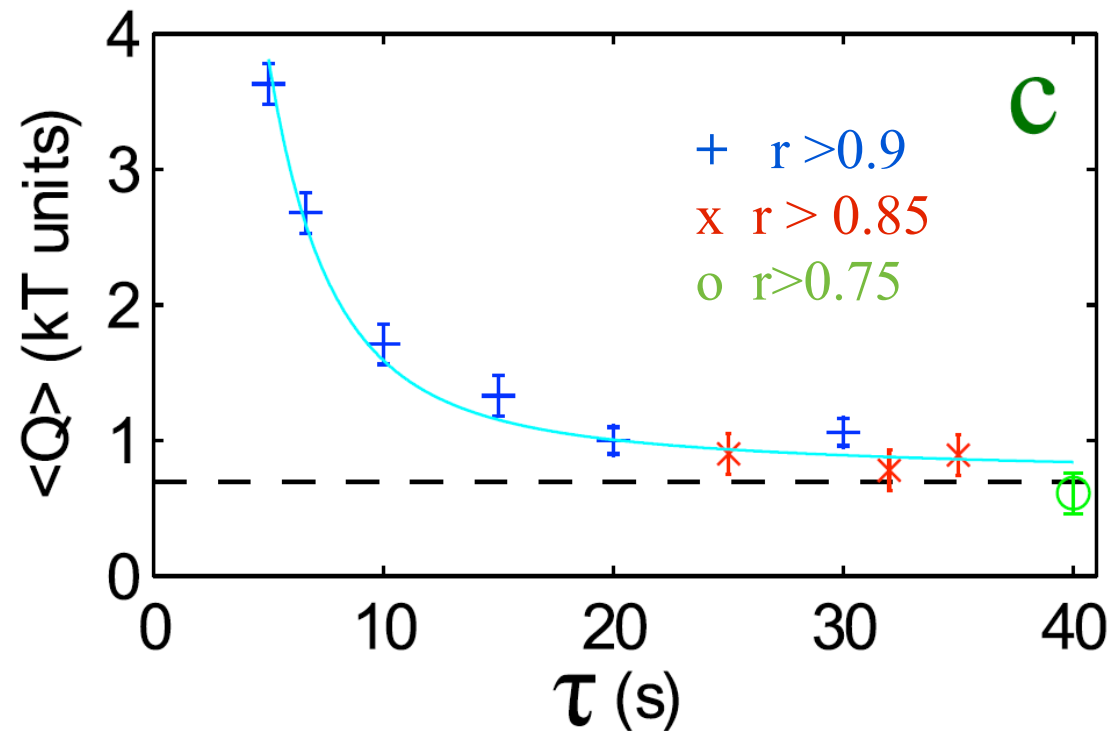
$$\text{Success rate } r = \frac{\text{number of successful cycles}}{\text{Total number of cycle}}$$

Qualitative observations :

- At constant  $\tau$  :  $W$  and  $r$  increase with  $F_{\max}$
- At constant  $F_{\max}$  :  $W$  decreases and  $r$  increases for increasing  $\tau$



## Landauer's limit



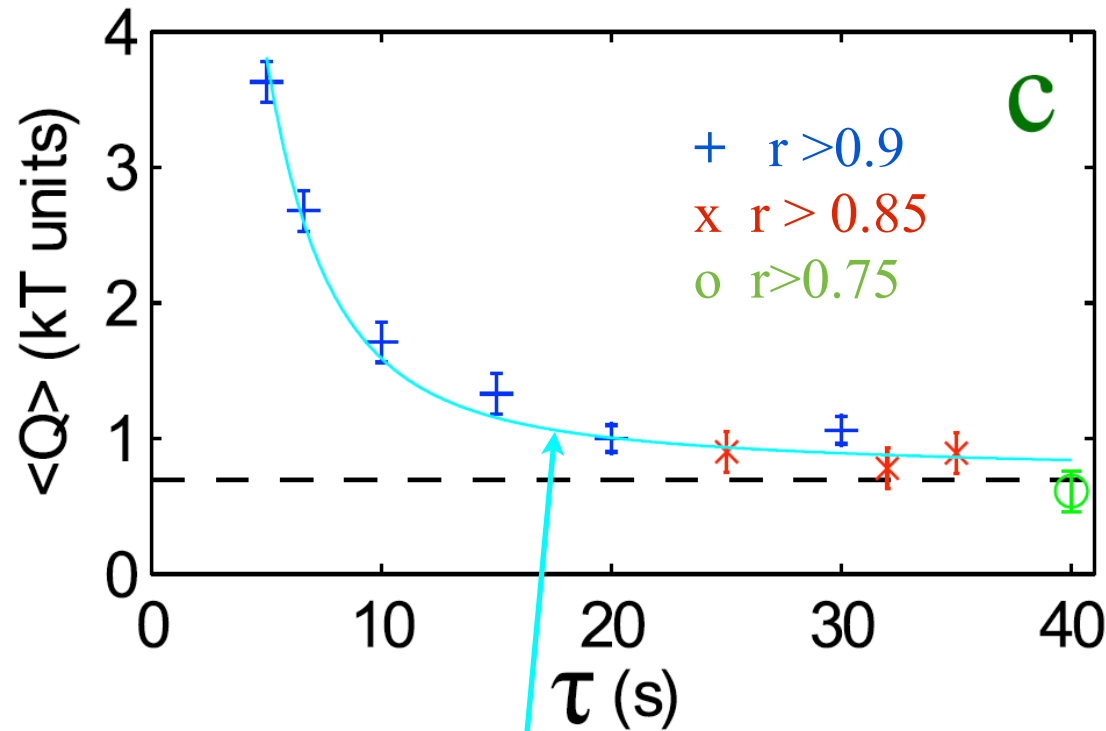
Landauer's limit as a function of  $r$

$$\langle Q \rangle_{\text{Landauer}}^r = kT [\ln 2 + r \ln r + (1 - r) \ln(1 - r)]$$

At  $r=0.5$        $\langle Q \rangle_{\text{Landauer}}^r = 0$

Indeed the Erasure Procedure left the initial state unchanged

# Landauer's limit



Asymptotic behaviour

$$\tau \rightarrow \infty$$

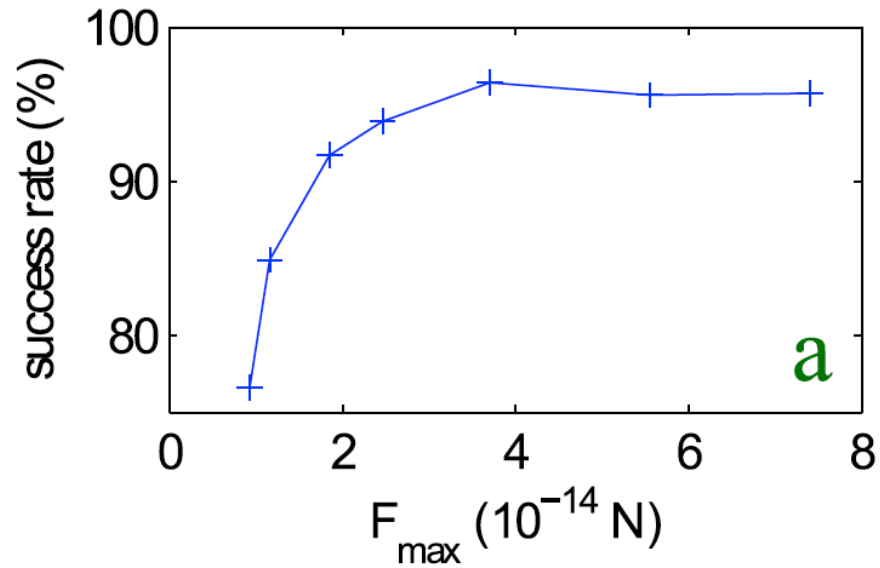
Sekimoto -Sasa J. Phys.  
Soc. Jpn. 66, 3326 (1997).

$$\langle W \rangle \simeq \Delta F + B/\tau$$

As  $\langle \Delta U \rangle = 0$  then  $\Delta F = -T\Delta S$  and  $\langle Q \rangle = \langle W \rangle \simeq kT \ln 2 + B/\tau$

$$\langle Q \rangle = \langle Q \rangle_{\text{Landauer}} + B/\tau$$

## The success rate $r$



Why in the experiment  $r < 1$  ?

Is this result produced by 3D effects of the trap ?

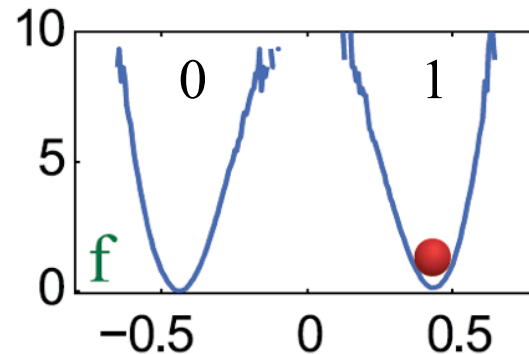
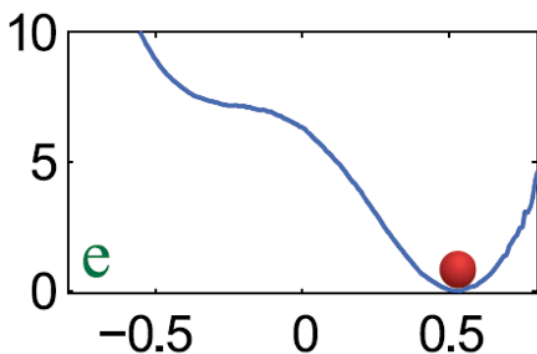
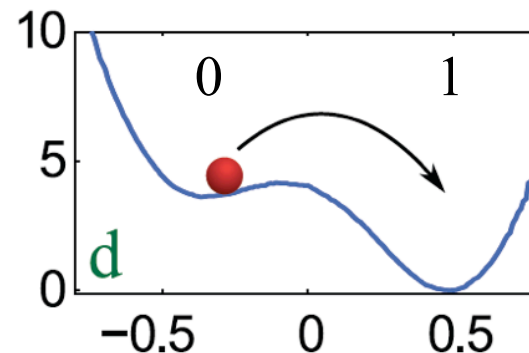
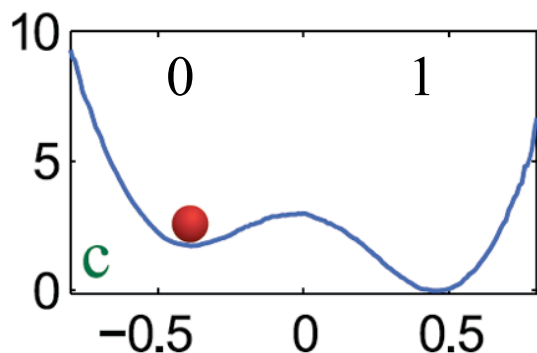
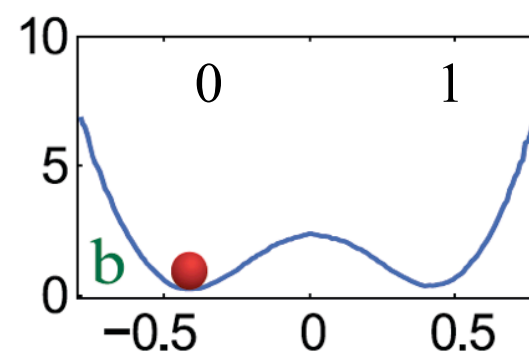
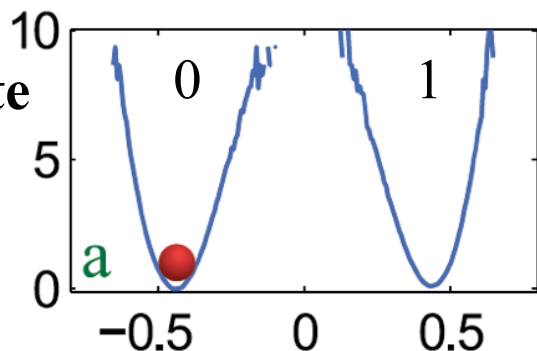
Is the finite height of the initial barrier responsible of  $r < 1$  ?



# The Erasure Procedure

**Initial state**

$U(x)$  (k<sub>B</sub>T)

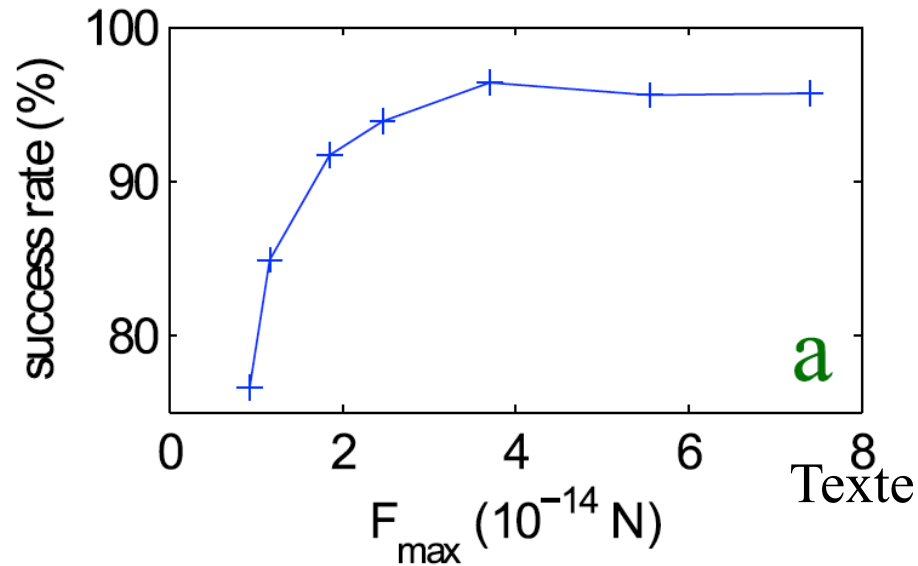


**Final state**

$x$  (μm)

$x$  (μm)

## The success rate $r$



Why in the experiment  $r < 1$  ?

Is this result produced by 3D effects of the trap ?

Is the finite height of the initial barrier responsible of  $r < 1$  ?

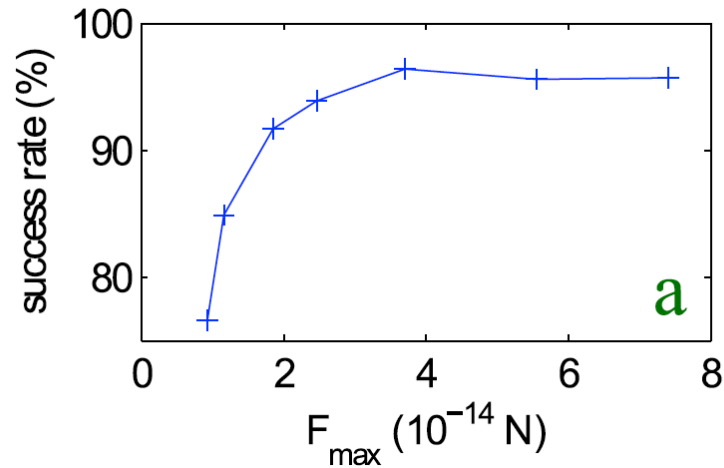
### Numerical test

$$\nu \dot{x} = - \frac{\partial U_o(x, t)}{\partial x} + \eta$$

We use all the experimental parameters and procedure

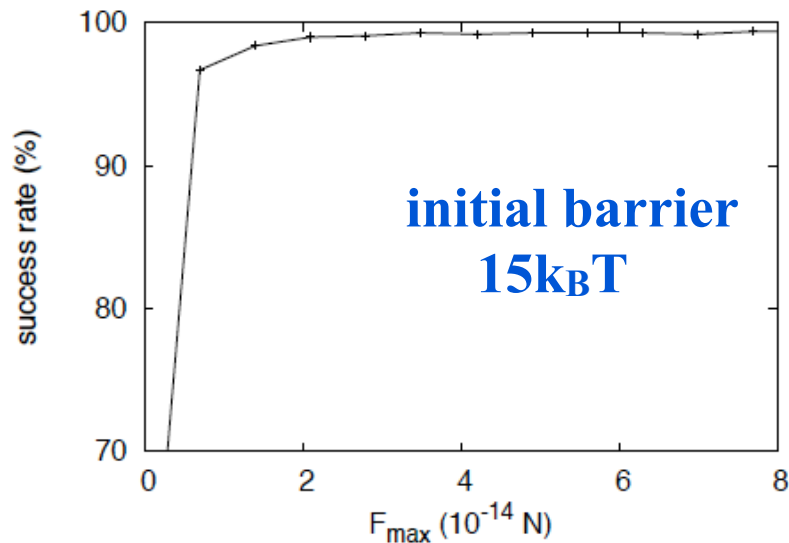
with two different initial barriers  $8k_B T$  and  $15k_B T$

## The success rate $r$

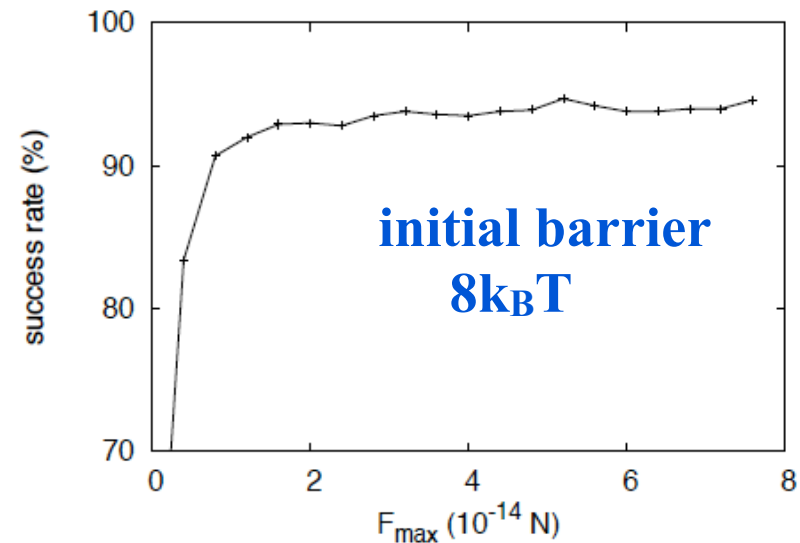


a

### Numerical test



initial barrier  
15k<sub>B</sub>T



initial barrier  
8k<sub>B</sub>T

Why in the experiment  $r < 1$  ?

Is this result produced by 3D effects of the trap ?

Is the finite height of the initial barrier responsible of  $r < 1$  ?

$$\nu \dot{x} = - \frac{\partial U_o(x, t)}{\partial x} + \eta$$

## Conclusions (partials)

- Our experimental results indicate that the thermodynamic limit to information erasure, the Landauer bound, can be approached in the quasistatic regime, but not exceeded.
- The asymptotic limit is reached in  $1/\tau$
- The fact that  $r < 1$  is due to the finite height of the initial barrier
- Thermal fluctuations play an important role to reach the limit

Question: Does Jarzynski equality compute the right  $\Delta F$ ?

## Landauer's limit and the Jarzynski equality

$$\langle \exp(-W_s) \rangle = \exp(-\Delta F)$$

with

$$W_s = - \int_0^{\tau_{cycle}} \dot{\lambda} \frac{\partial H(x, \lambda)}{\partial \lambda} dt$$

In our case this equality transforms

$$W_s = \int_0^{\tau} \dot{f} x dt = [f x]_0^{\tau} - \int_0^{\tau} f \dot{x} dt = -W_f$$

Since the height of the barrier is always finite there is  
 no change in the **equilibrium F**  
 of the system between **the beginning and the end of the procedure**.

$$\langle \exp(-W_s) \rangle = \frac{\rho_{eq}(\tau)}{\rho(\tau)} \exp(-\Delta F)$$

S. Vaikuntanathan and C. Jarzynski, Euro. Phys. Lett. 87, 60005 (2009).

Generalized Jarzynski

# Landauer's limit and the Jarzynski equality

We consider the erasure procedure  $\begin{matrix} 0 \\ 1 \end{matrix} \rightarrow 0$

If the final state is 0 then  $\rho = r \simeq 1, \rho_{eq} = 1/2, \Delta F = 0$

and the Generalized Jarzynski is :  $\langle \exp(-W_s) \rangle_{\rightarrow 0} = \frac{1/2}{r}$

from Jensen inequality  $\langle W_s \rangle_{\rightarrow 0} \geq (\ln 2 + \ln r)$

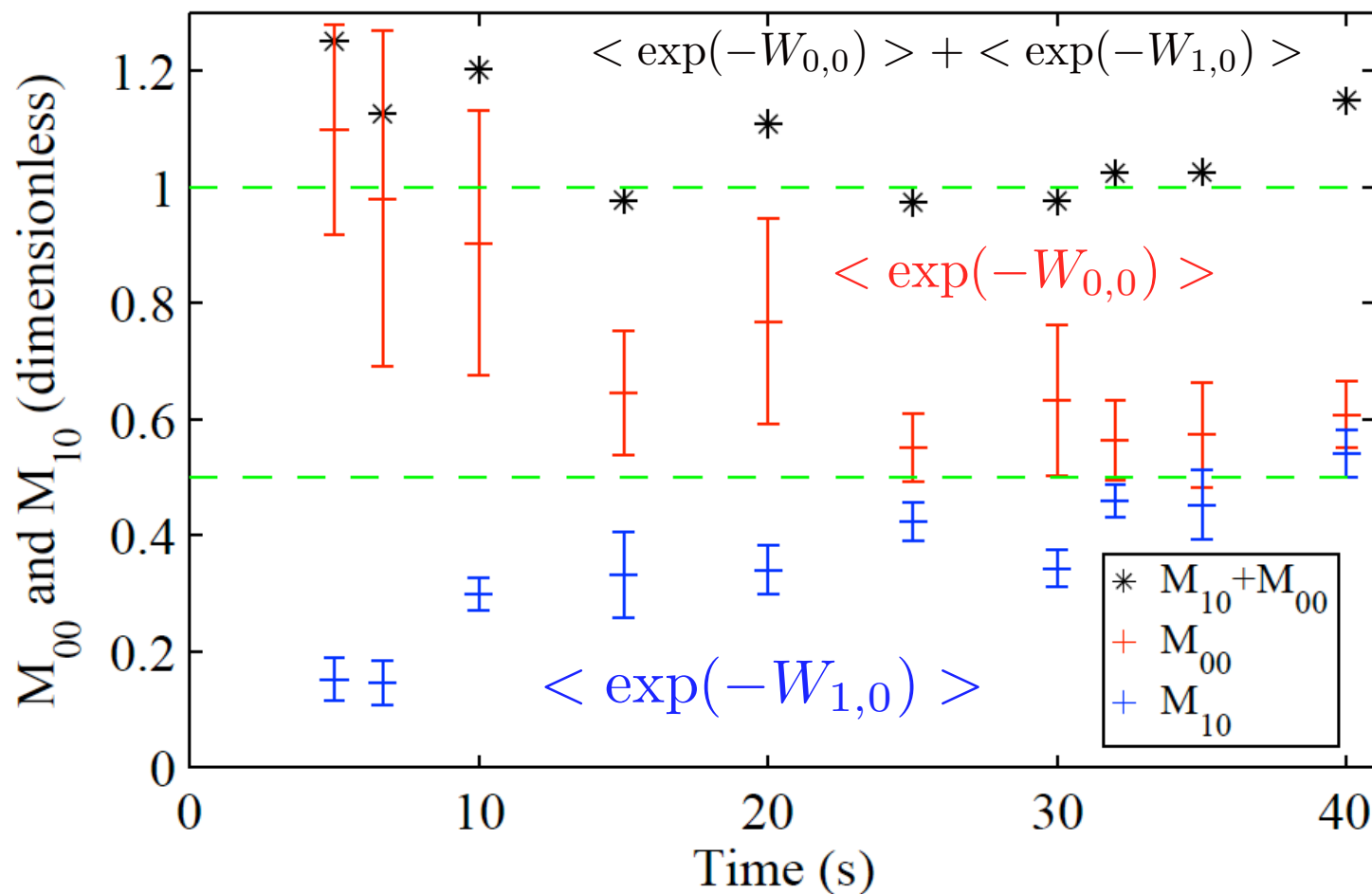
$$\frac{1}{2} \langle \exp(-W_{1,0}) \rangle + \frac{1}{2} \langle \exp(-W_{0,0}) \rangle = \frac{1}{2}$$

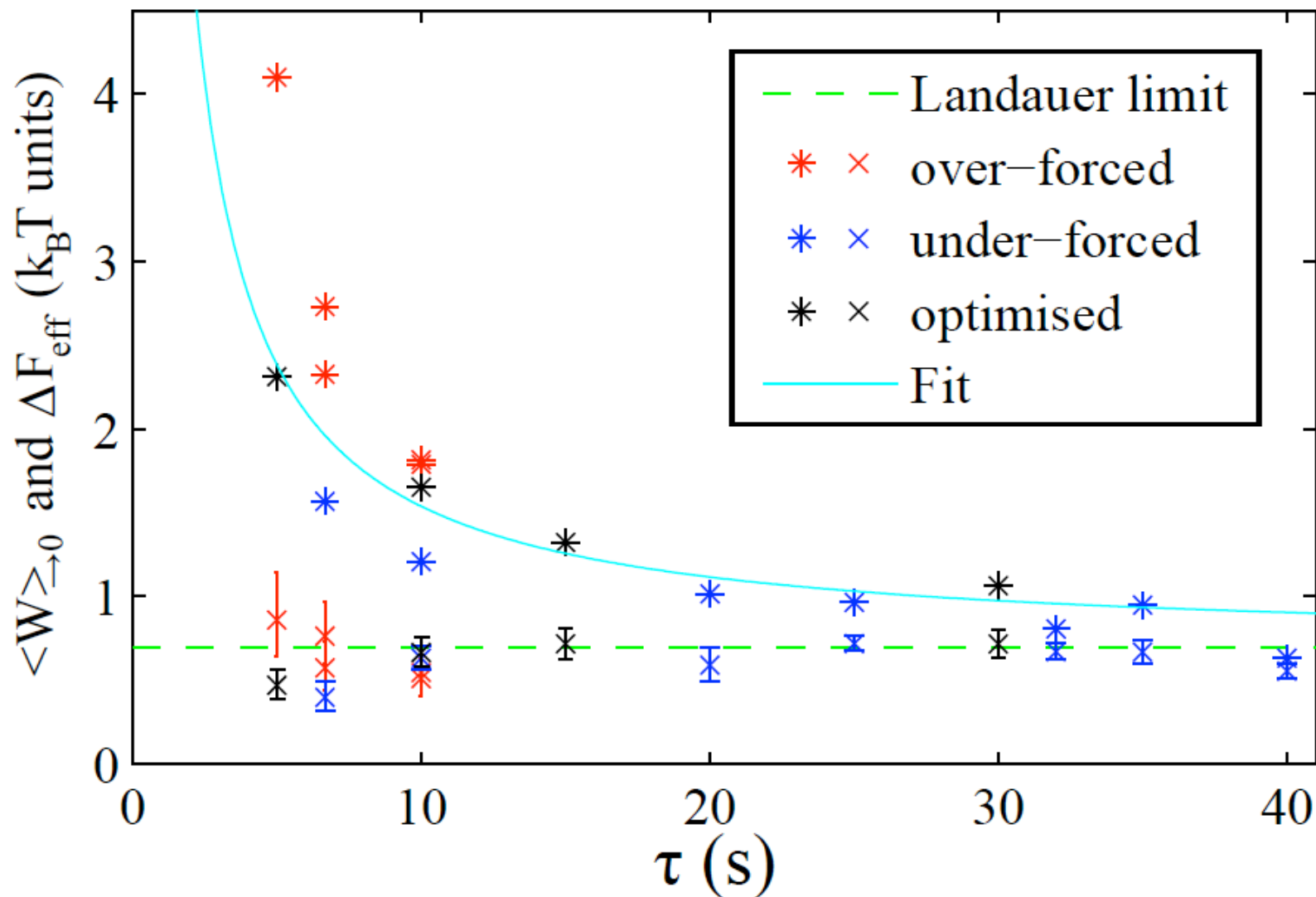
Work done if the particle makes the jump from 1 to 0

Work done when the particle starts in the final state

# Landauer's limit and the Jarzynski equality

$$-\ln \left( \frac{\langle \exp(-W_{0,0}) \rangle + \langle \exp(-W_{1,0}) \rangle}{2} \right) = \Delta F_{eff}$$

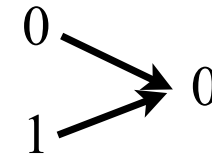






# Landauer's limit and the Jarzynski equality

We consider the erasure procedure



**If the final state is 0** then  $\rho = r \simeq 1$ ,  $\rho_{eq} = 1/2$ ,  $\Delta F = 0$

$$\langle \exp(-W_s) \rangle_{\rightarrow 0} = \frac{1/2}{r} \quad \text{and} \quad \langle W_s \rangle_{\rightarrow 0} \geq (\ln 2 + \ln r)$$

**If the final state is 1** then  $\rho = (1 - r) \simeq 0$ ,  $\rho_{eq} = 1/2$ ,  $\Delta F = 0$

$$\langle \exp(-W_s) \rangle_{\rightarrow 1} = \frac{1/2}{1 - r} \quad \text{and} \quad \langle W_s \rangle_{\rightarrow 1} \geq \ln 2 + \ln(1 - r)$$

**Total work**  $\langle W_s \rangle = r \langle W_s \rangle_{\rightarrow 0} + (1 - r) \langle W_s \rangle_{\rightarrow 1}$

using the inequalities

$$\langle W_s \rangle \geq \ln 2 + r \ln r + (1 - r) \ln(1 - r)$$

**The generalized Landauer's bound**

- Our experimental results indicate that the thermodynamic limit to information erasure, the Landauer bound, can be approached in the quasistatic regime, but not exceeded.
- The asymptotic limit is reached in  $1/\tau$
- The fact that  $r < 1$  is due to the finite height of the initial barrier
- Thermal fluctuations play an important role to reach the limit
- Jarzinsky equality computes the Landauer limit independently of the rapidity of the procedure

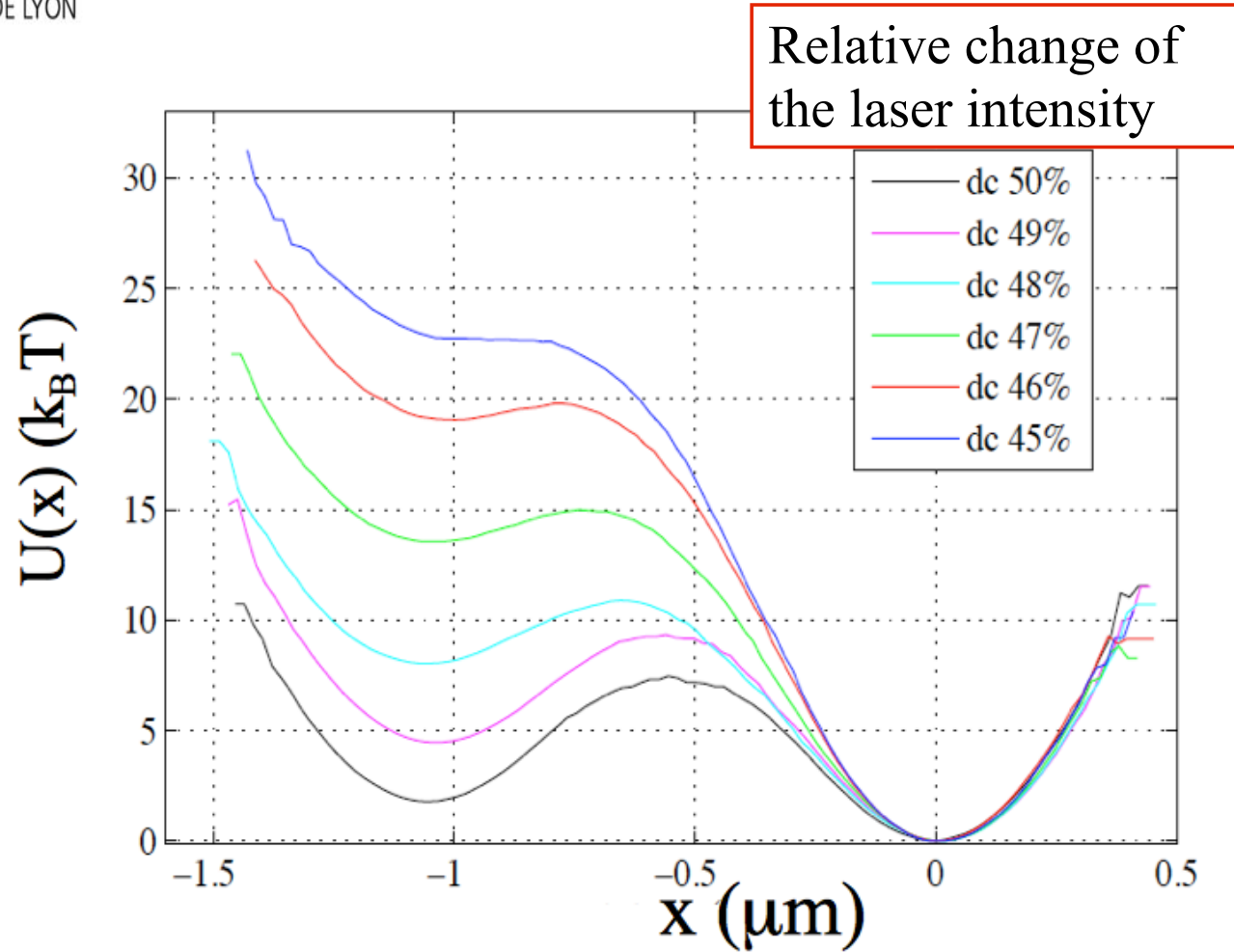
**Question :** Does any procedure allows us to reach the Landauer's limit ?

**Answer :** NO. The barrier reduction and tilt must be two separate process

See recent paper on optimisation :

E. Aurell, et al. J. Stat. Phys.147, 487-505 (2012).

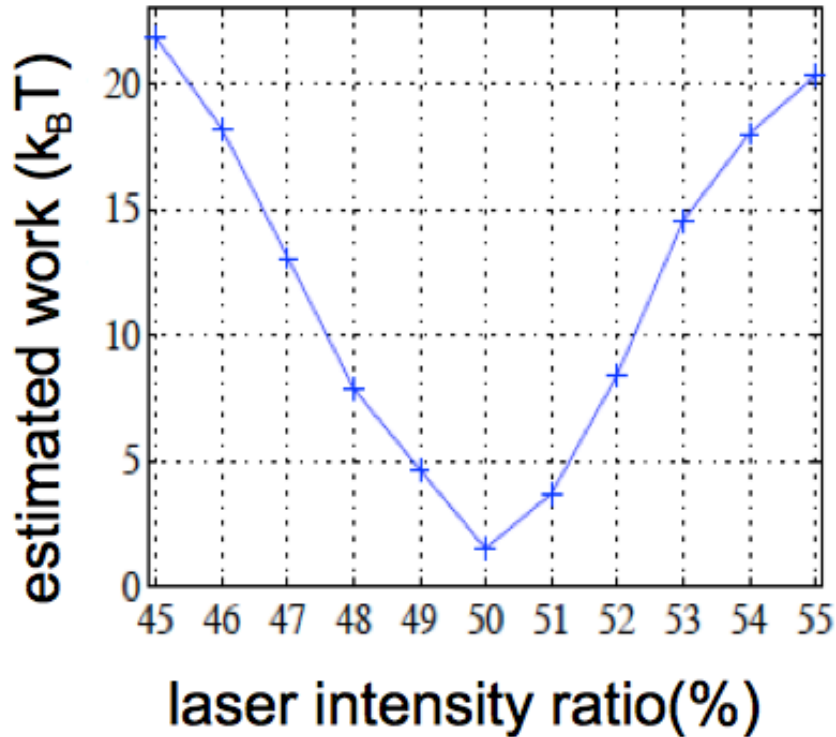
## Other procedure (I)



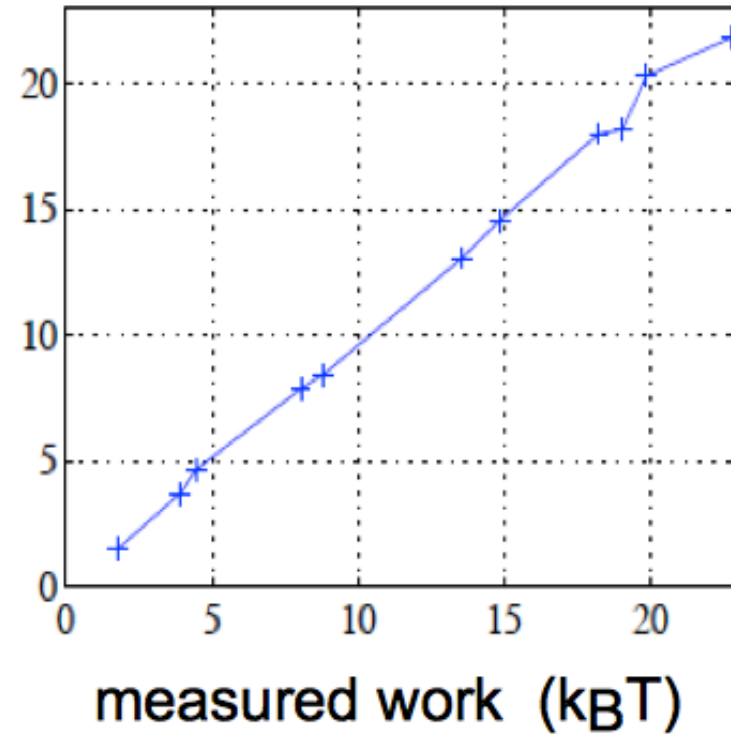
The ramping time of the laser intensity has been changed from 1s to 50s

## Other procedure (II)

Fixed intensity ratio



Ramp of intensity ratio



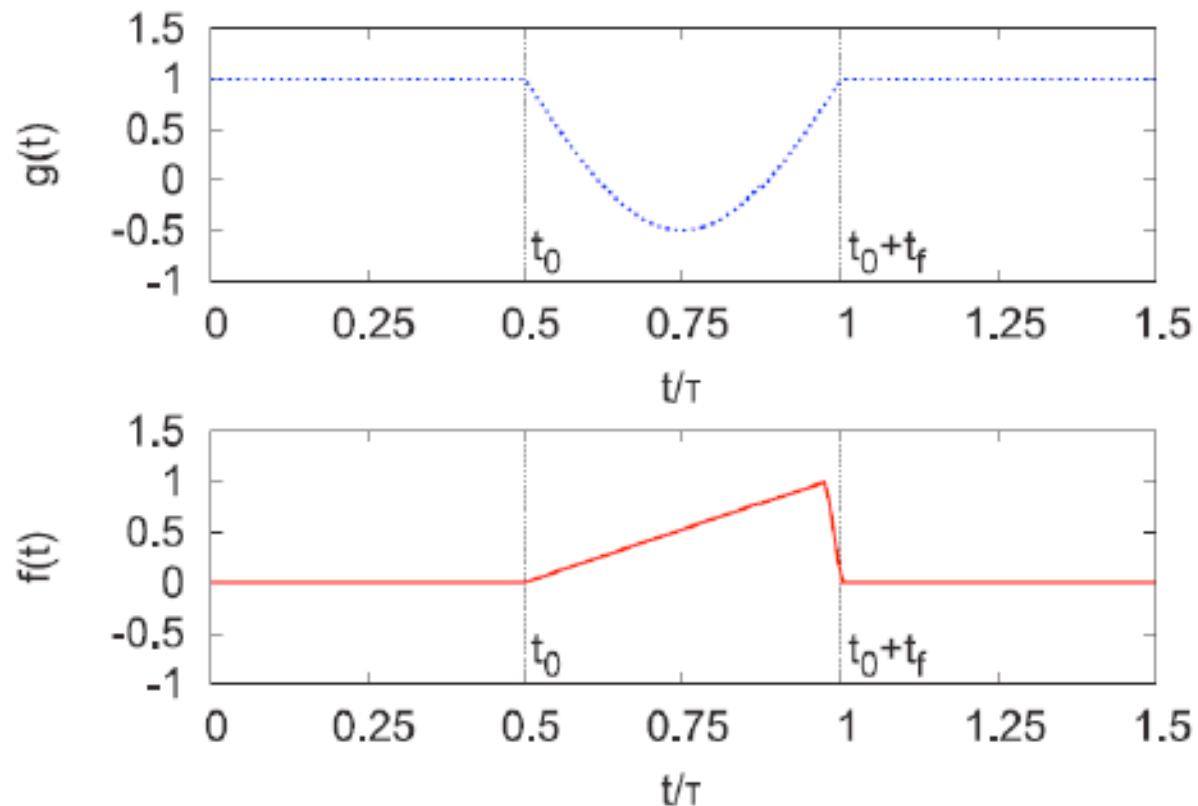
**The work is mainly due to the jump of the particle**  
**The Landauer limit can never be reached**

*Memory Erasure in Small Systems,*

R. Dillenschneider and E. Lutz, Phys. Rev. Lett. 102, 210601 (2009)

Potential : 
$$V(x, t) = -\frac{1}{2}g(t)x^2 + \frac{1}{4}x^4$$

External force : 
$$Af(t)$$



## Non-dimensional numbers and the success rate

$$\bar{\tau} = \frac{\tau}{\tau_k}$$

Possibility of jumping the barrier without force

$$\bar{F} = \frac{\delta x F_{max}}{\Delta U}$$

The maximum external work overcomes the barrier

$\tau_K = \tau_o \exp\left[\frac{\Delta U}{k_B T}\right]$  is the Kramers time with  $\tau_o \simeq 1s$

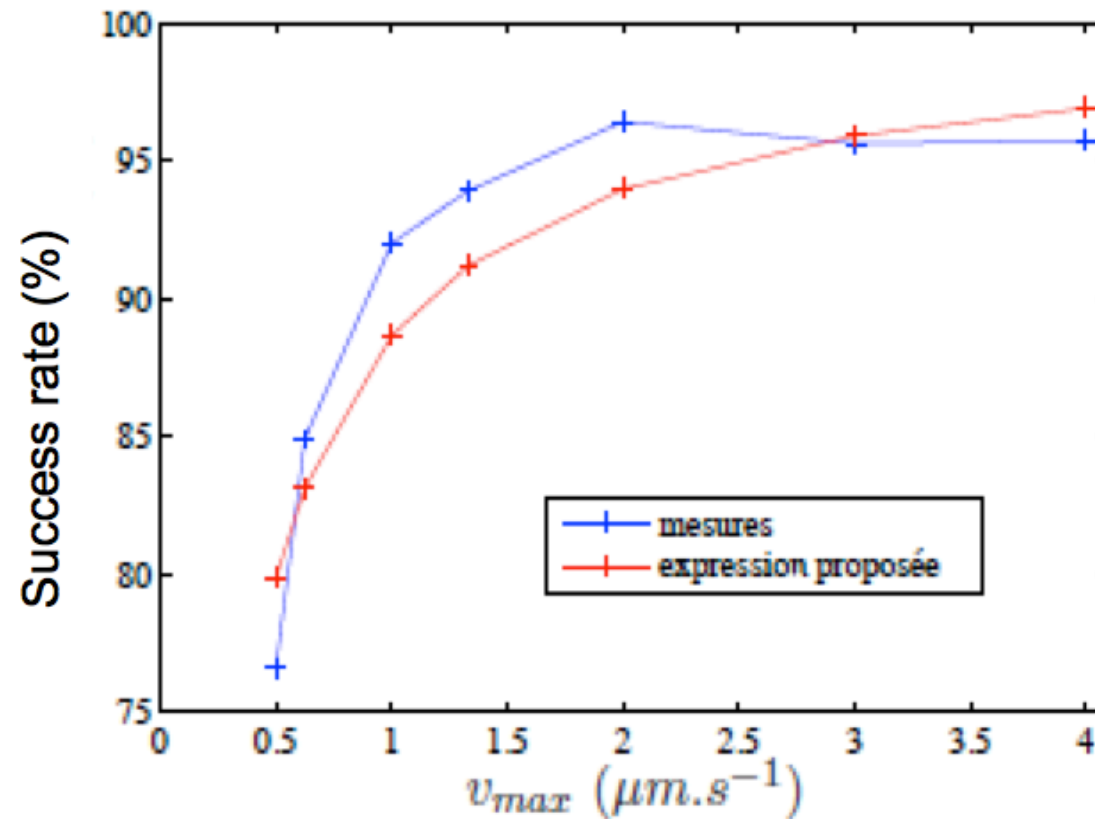
$\delta x$  is the distance of the potential minima

One can think that the success rate is :

$$r = \frac{1}{2} \left[ 1 + \exp\left(-\frac{1}{\bar{\tau} \bar{F}}\right) \right]$$

## Non-dimensional numbers and the success rate

**Experimentally**  $r = \frac{1}{2} \left[ 1 + \exp\left(-\frac{a}{\bar{\tau} \bar{F}^2}\right) \right]$



- Our experimental results indicate that the thermodynamic limit to information erasure, the Landauer bound, can be approached in the quasistatic regime, but not exceeded.
- The asymptotic limit is reached in  $1/\tau$  for  $\tau > 3 \tau_k$
- The fact that  $r < 1$  is due to the finite height of the initial barrier
- Thermal fluctuations play an important role to reach the limit

Question : Does any procedure allows us to reach the Landauer's limit ?

Answer : NO. The barrier reduction and tilt must be two separate process