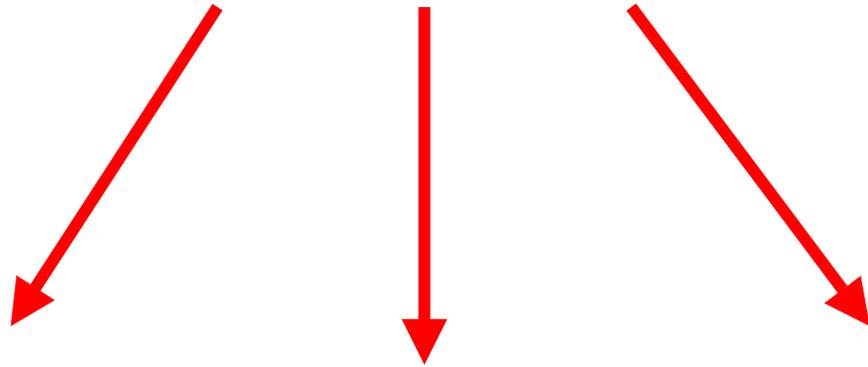




# Physics of Type II and Heterotic SM & GUT String Vacua



(a) Spectrum

(b) Couplings

(c) Moduli stabilisation

**Type II side [toroidal orientifolds]- summary (a)&(b) [(c)- no time]**

**new results on SU(5) GUT couplings w/ R. Richter hep-th/060601**

**Heterotic side [Realistic Calabi-Yau compactification] – NEW**

**(a) globally consistent MSSM construction Bouchard&Donagi hep-th/0512149**

**(b) Coupling calculations&implications w/Bouchard&Donagi hep-th/0602096**

# Type II Side

**I. Type IIA - based on toroidal orbifold constructions**

**w/ intersecting D6-branes;**

**wealth of 3-family supersymmetric Standard Models & SU(5) GUT Models;**

**non-Abelian symmetry, chiral matter, family replication & supersymmetry  
geometric origin!**

**Other Type II constructions w/ SM and GUT structure:**

**Type II rational conformal field theory constructions** c.f. Kiritsis's talk

**T.Dijkstra, L.Huiszoon & A.Schellekens, hep-th/0403196, 0411126**

**P. Anastasopoulos, T.Dijkstra, E. Kiritsis & A.Schellekens, hep-th/0605226**

**Local Type IIB SM construction at orbifold/orientifold singularity**

**H. Verlinde & M. Winholt, hep-th/0508089**

**[Generalised Magnetised Branes on Tori Antoniadis & Maillard '04-'05]**

## Gauge degrees of freedom: Dp-branes

extend in  $(p+1)$ -dimensions as boundaries of open strings

(i) non-Abelian gauge symmetry

N-coincident D-branes  $\longrightarrow$   $U(N)$

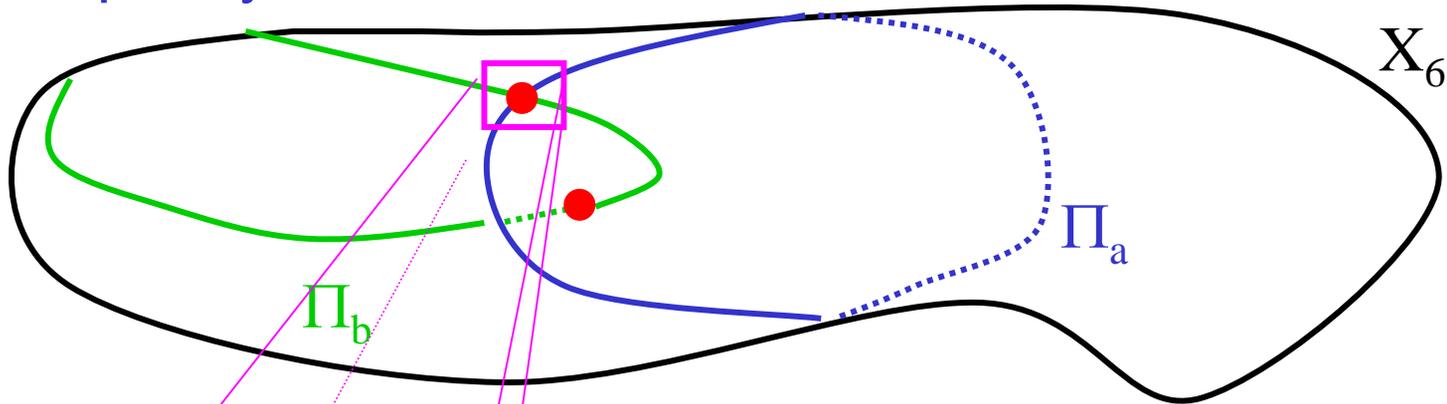
(ii) Appearance of chiral matter  $\longrightarrow$  turn to compactification

Specific constructions that produce realistic particle physics:

**INTERSECTING D6 branes**

# Intersecting D6-branes

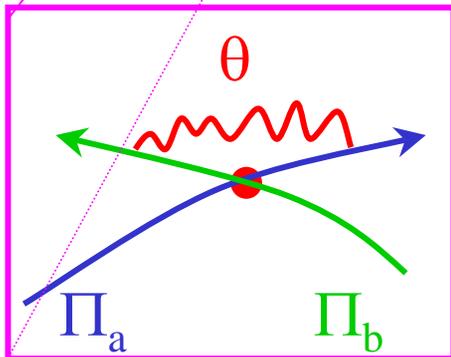
wrap 3-cycles  $\Pi$



In internal space intersect at points:

**Number of intersections  $[\Pi_a] \circ [\Pi_b]$  - topological number**

**Geometric origin of family replications!**



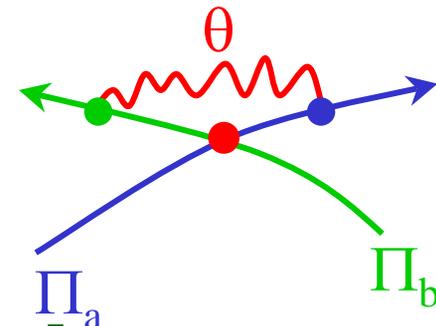
Berkooz, Douglas & Leigh '96

**At each intersection-massless 4d fermion  $\psi$**

**Geometric origin of chirality!**

# Engineering of Standard Model

$N_a$  - D6-branes wrapping  $\Pi_a$   
 $N_b$  - D6-branes wrapping  $\Pi_b$



$\Psi \sim ( N_a, \bar{N}_b )$  - bi-fund. ;  $[\Pi_a]^\circ[\Pi_b]$  - number of families

$$N_a = 3, \quad N_b = 2, \quad [\Pi_a]^\circ[\Pi_b] = 3$$

$\Psi \sim ( 3, 2 )$  - 3 copies of left-handed quarks

Blumenhagen, Görlich, Körs & Lüst '00-'01;

Aldazabal, Ibáñez, Rabadan & Uranga '00-'01

Global consistency conditions (D6-brane charge conserv. in internal space)

Antoniadis, Angelantonj, Dudas & Sagnotti '00

& supersymmetry conditions (constraining!)



Building Blocks of Supersymmetric Standard Model

w/ Shiu and Uranga '01

# Explicit Constructions

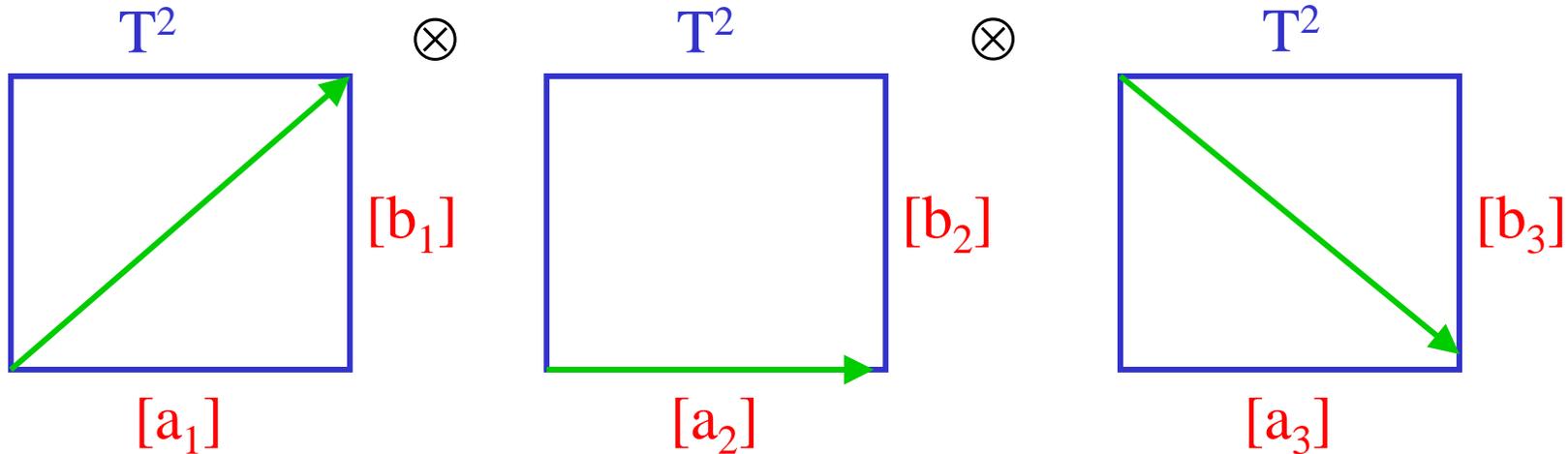
# Toroidal Orbifolds

(CFT techniques)

## & Intersecting D6-branes

$$T^6 / (Z_N \times Z_M)$$

$$T^6 =$$



$$(n_a^i, m_a^i) =$$

$$(1, 1)$$

$$(1, 0)$$

$$(1, -1)$$

$$[\Pi_a] =$$

$$[\Pi_a^1]$$

$$\otimes$$

$$[\Pi_a^b]$$

$$\otimes$$

$$[\Pi_a^c]$$

$$[\Pi_a^i] = n_a^i [a_i] + m_a^i [b_i]$$

homology class  
of 3-cycles

$$[N_a, n_a^i, m_a^i]$$

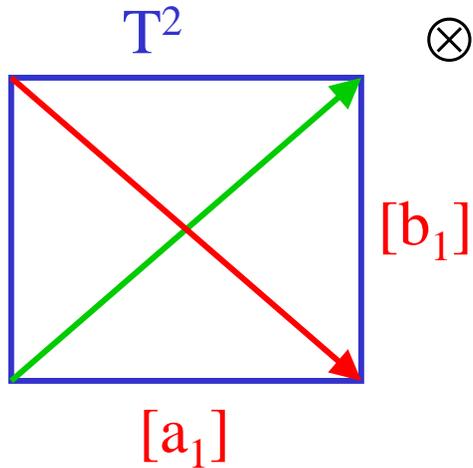
# Toroidal Orbifolds

(CFT techniques)

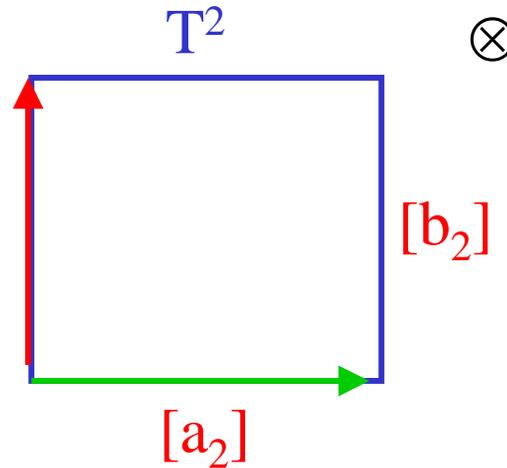
## & Intersecting D6-branes

$$T^6 / (Z_N \times Z_M)$$

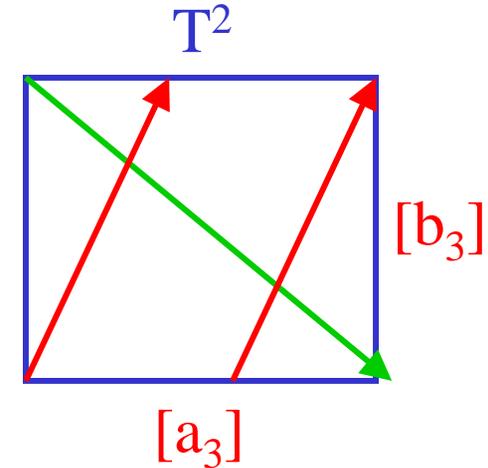
$T^6 =$



$\otimes$



$\otimes$



$$(n_a^i, m_a^i) =$$

$$(1, 1)$$

$$(1, 0)$$

$$(1, -1)$$

$$[\Pi_a] =$$

$$[\Pi_a^1]$$

$\otimes$

$$[\Pi_a^b]$$

$\otimes$

$$[\Pi_a^c]$$

$$[\Pi_a^i] = n_a^i [a_i] + m_a^i [b_i]$$

$$[N_a, n_a^i, m_a^i] \quad [N_b, n_b^i, m_b^i]$$

homology class  
of 3-cycles

**Intersection number:**  $I_{ab} = [\Pi_a] \circ [\Pi_b] = \prod_{i=1}^3 (n_a^i m_b^i - n_b^i m_a^i)$

# Global Consistency Conditions

Gimon&Polchinski'98,Sagnotti et al. '90-ies

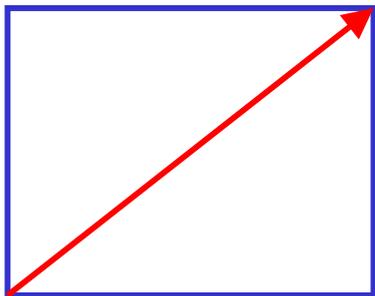
Blumenhagen, Görlich, Körs & Lüst '00;Aldazabal,Ibáñez,Rabadan&Uranga'00

## Cancellation of Ramond-Ramond (RR) Tadpoles

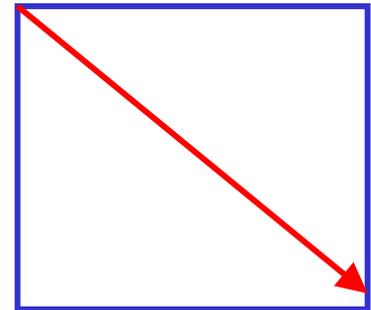
Gauss law for D6-charge conservation

$$N_a [\Pi_a] = 0$$

Not possible to satisfy of CY spaces ("total"tension = charge = 0)



..



# Global Consistency Conditions

Gimon&Polchinski'98, Sagnotti et al. '90-ies

Blumenhagen, Görlich, Körs & Lüst '00; Aldazabal, Ibanez, Rabadan & Uranga '00

## Cancellation of Ramond-Ramond (RR) Tadpoles

Gauss law for D6-charge conservation

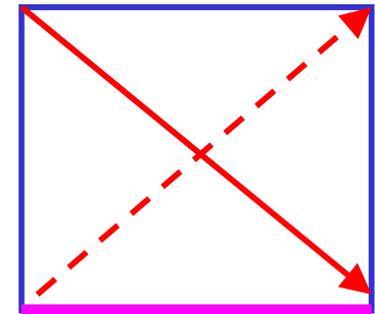
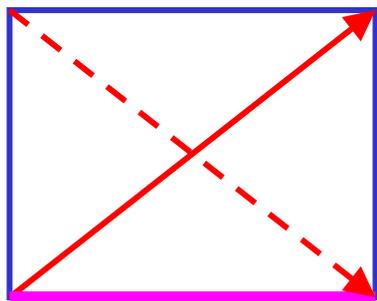
$$N_a \left( [\Pi_a] + [\Pi_{a'}] \right) = -4 [\Pi_{O6}] \quad *$$

\* Constraints on wrapping numbers

Not possible to satisfy of CY spaces ("total" tension = charge = 0)

Orientifold planes - fixed planes w/ negative D6-charge  $R : z_i \rightarrow \bar{z}_i$   
 (holomorphic  $Z_2$  involution w/ worldsheet parity projection)  $\Omega : \tau, \sigma \rightarrow (\tau, -\sigma)$

..



$a \quad (n_i, m_i) \longrightarrow a' \quad (n_i, -m_i)$  - orientifold image

## RR-tadpole cancellations

for toroidal orbifolds (example  $Z_2 \times Z_2$ )

w/Shiu&Uranga'01

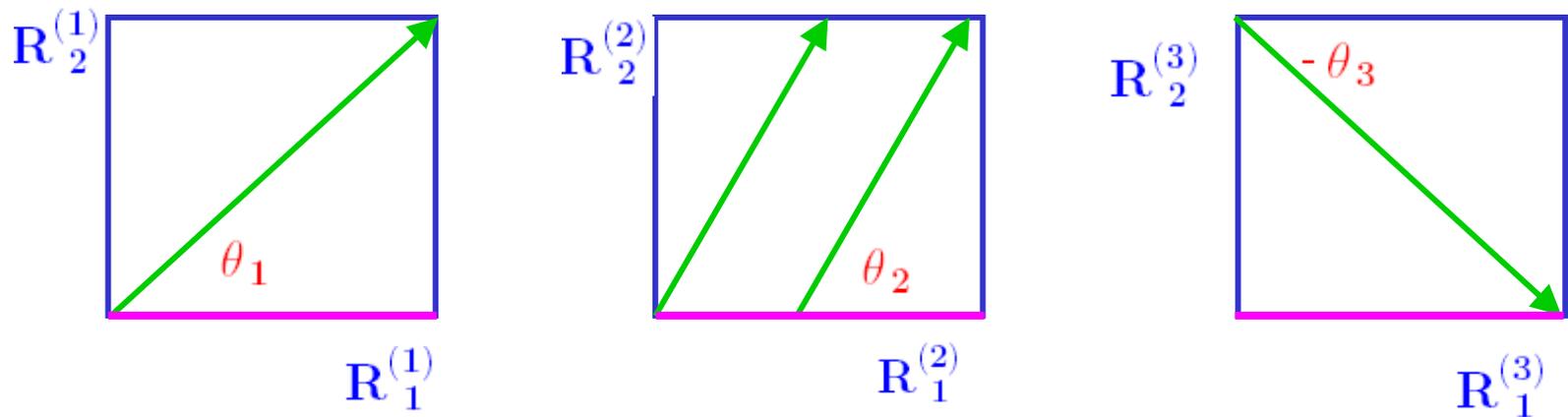
$$\sum_a N_a n_a^1 n_a^2 n_a^3 = 16$$

$$- \sum_a N_a n_a^1 m_a^2 m_a^3 = 16$$

$$- \sum_a N_a m_a^1 n_a^2 m_a^3 = 16$$

$$- \sum_a N_a m_a^1 m_a^2 n_a^3 = 16$$

# Supersymmetry (toroidal/orbifold example)



$$\theta_1 + \theta_2 + \theta_3 = 0$$

$$\arctan\left(\frac{m_1}{n_1}\chi_1\right) + \arctan\left(\frac{m_2}{n_2}\chi_2\right) + \arctan\left(\frac{m_3}{n_3}\chi_3\right) = 0$$

**Constraints on complex structure moduli-  $U_i$**   $\sim \chi_i = \frac{R_2^{(i)}}{R_1^{(i)}}$

$$\{\theta_i^a\} \neq 0 \quad U(N_a)$$

$$\{\theta_i^a\} = 0 \quad Sp(N_a)$$

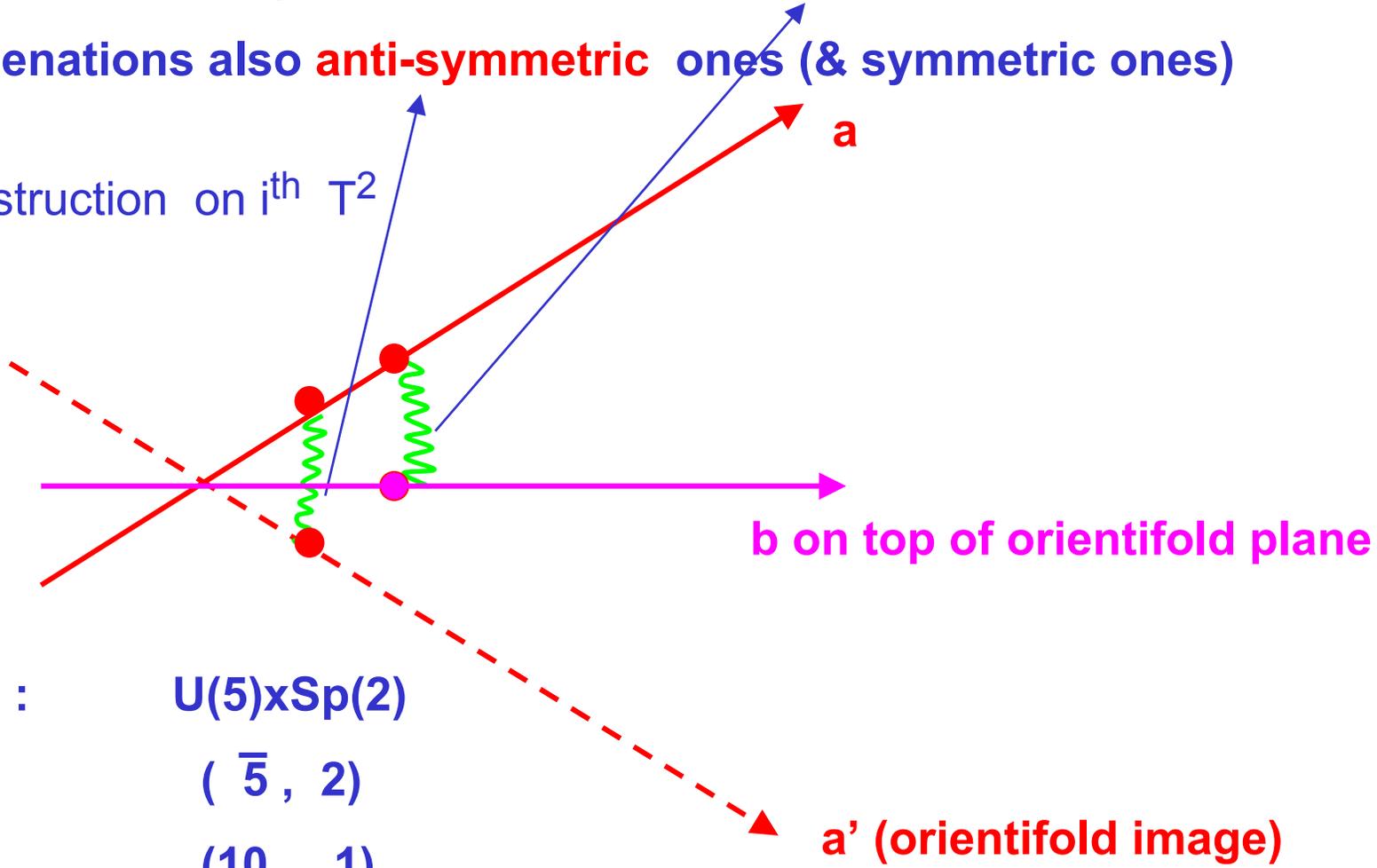
## Spectrum on toroidal orientifolds

Sector	Representation
$ab + ba$	$I_{ab} (\square_a, \bar{\square}_b)$ fermions
$ab' + b'a$	$I_{ab'} (\square_a, \square_b)$ fermions
$aa' + a'a$	$\frac{1}{2}(I_{aa'} - \frac{1}{2}I_{a,O}) \square\square$ fermions $\frac{1}{2}(I_{aa'} + \frac{1}{2}I_{a,O}) \begin{array}{ c } \hline \square \\ \hline \end{array}$ fermions

# New effects on particle spectrum due to Orientifold planes

Due to orientifold planes, in addition to **bi-fundamental** representations also **anti-symmetric** ones (& symmetric ones)

E.g. local construction on  $i^{\text{th}}$   $T^2$



$N_a=5, N_b=2 :$

$U(5) \times Sp(2)$

$(\bar{5}, 2)$

$(10, 1)$

# i) Supersymmetric Standard Model & SU(5) GUT Constructions

primarily on  $Z_2 \times Z_2$  orientifolds (CFT techniques)

## a) FIRST STANDARD MODEL (1)

branes wrap special cycles

w/G. Shiu & A. Uranga'01

## b) MORE STANDARD MODELS (4)

branes wrap more general cycles (better models)

w/I. Papadimitriou'03

## c) SYSTEMATIC CONSTRUCTION OF SU(5) GUT's (order 50)

(3-families on  $Z_2 \times Z_2$ -require 15-plets)

w/I. Papadimitriou & G. Shiu'03

## d) SYSTEMATIC SEARCH FOR STANDARD MODELS (11)

based on left-right symmetric models-2 models very close to minimal SM

No time!

w/T. Li & T. Liu'04

## e) NEW TECHNICAL DEVELOPMENTS-MORE MODELS (3)

Analysis of brane splittings/electroweak branes || w/ orientifold planes

w/P. Langacker, T. Li & T. Liu'04; Marchesano & Liu'04

## f) NEW TECHNICAL DEVELOPMENTS (rigid cycles) - MORE MODELS (5)

Branes on rigid cycles

w/R. Blumenhagen, F. Marchesano & G. Shiu'05

w/T. Liu, unpublished

## g) CONSTRUCTIONS OF FLIPPED SU(5) and GENERALIZED PS CONSTRUCTIONS (2)

C. Chen, G. Kaniotis, V. Mayes, D. Nanopoulos & J. Walker'05...

(e) Other orientifolds: ... $Z_4$  (1) Blumenhagen, Görlich & Ott'03;  $Z_6$  (1) Honecker & Ott '04

(f) Landscape analysis (one in  $10^9$ ) Blumenhagen, Gmeiner, Honecker & Lüst, hep-th/0510170

Pedagogical Review: w/R. Blumenhagen, P. Langacker & G. Shiu hep-th/0502005

# Three-family SM model w/ $SU(2)_L \times SU(2)_R$ directly ( $Z_2 \times Z_2$ orbifold)

$$\ell^i \equiv 2m^i$$

III	$[U(4)_C \times SU(2)_L \times SU(2)_R]_{observable} \times [U(2)^* \times Sp(8)]_{hidden}$								
stack	$N$	$(n^1, l^1) \times (n^2, l^2) \times (n^3, l^3)$	$n_{\square\square}$	$n_{\square}$	$b$	$c$	$d$	$d'$	2
$a$	8	$(1, 0) \times (1, 3) \times (1, -3)$	0	0	3	-3	0	0	0
$b$	2	$(0, 1) \times (1, 0) \times (0, -2)$	0	0	-	0	-6	6	0
$c$	2	$(0, 1) \times (0, -1) \times (2, 0)$	0	0	-	-	-6	6	0
$d$	4	$(2, -1) \times (1, 3) \times (1, 3)$	$\chi_1 = 24\chi_3 / (4 - 9\chi_3^2)$ $\chi_2 = \frac{1}{2}\chi_3, \beta_2^g = -5$						
2	8	$(1, 0) \times (0, -1) \times (0, 2)$							

non-zero  
Intersections  
w/hidden sector  
chiral exotics

wrapping nos. of SM

Cremades, Ibáñez & Marchesano '02

Embedding in  $Z_2 \times Z_2$  orbifold - allows for globally consistent construction

w/P.Langacker, T.Li & T.Liu, hep-th/0407178

\*"hidden sector" (unitary) branes - necessary for global consistency  
(charge conservation)

## Four-family Standard Model

Table 2: D6-brane configurations and intersection numbers for the four-family Standard-like model. In the table,  $\chi_i$  is the complex modulus for the  $i$ -th torus, and  $\beta_i^g$  is the beta function for the  $i$ -th  $Sp$  group from the  $i$ -th stack of branes.

$$\ell^i \equiv m^i$$

I	$[U(4)_C \times Sp(8)_L \times Sp(8)_R]_{observed} \times [U(4) \times Sp(8) \times Sp(8)]_{hidden}$									
stack	$N$	$(n^1, l^1) \times (n^2, l^2) \times (n^3, l^3)$	$n_{\square\square}$	$n_{\square}$	$b$	$c$	$d$	$d'$	1	2
$a$	8	$(1, 0) \times (1, 1) \times (1, -1)$	0	0	1	-1	0	0	0	0
$b$	8	$(0, 1) \times (1, 0) \times (0, -1)$	0	0	-	0	0	0	0	0
$c$	8	$(0, 1) \times (0, -1) \times (1, 0)$	0	0	-	-	0	0	0	0
$d$	8	$(0, 1) \times (1, -1) \times (1, -1)$	0	0	-	-	-	0	-1	1
1	8	$(1, 0) \times (1, 0) \times (1, 0)$	$\chi_2 = \chi_3 = 1$ $\beta_1^g = \beta_2^g = -4$							
2	8	$(1, 0) \times (0, -1) \times (0, 1)$								

no inter-  
section w/  
hidden  
sector

no chiral  
exotics!

$Sp(8)_L \times Sp(8)_R$  1-Higgs (8,8),



brane splitting ↓

$U(2)_L \times U(2)_R$

one-family confining hidden sector



brane splitting

16- Higgs (2,2), four-families

## II. Calculation of couplings (w/ intersecting branes)

### a) Yukawa couplings – fermion masses

Cremades, Marchesano & Ibáñez'03 (classical part)

w/I. Papadimitriou'03 (complete CFT calculation)

### b) Kähler potential (related to full Yukawa coupling calc.)

Mayr, Lüst, Richter, Stieberger'04

### c) Four-point and higher-point functions

Four-Fermi interactions:

FCNC:

Antonidakis et al.'01, Abel & Owen'03...

SU(5) GUT String Dimension Six operators in proton decay:

$10^*1010^*10$

Klebanov & Witten'03

$10^*1010^*10$  &  $\overline{5^*5} 10^*10$  w/R. Richter, hep-th/060601

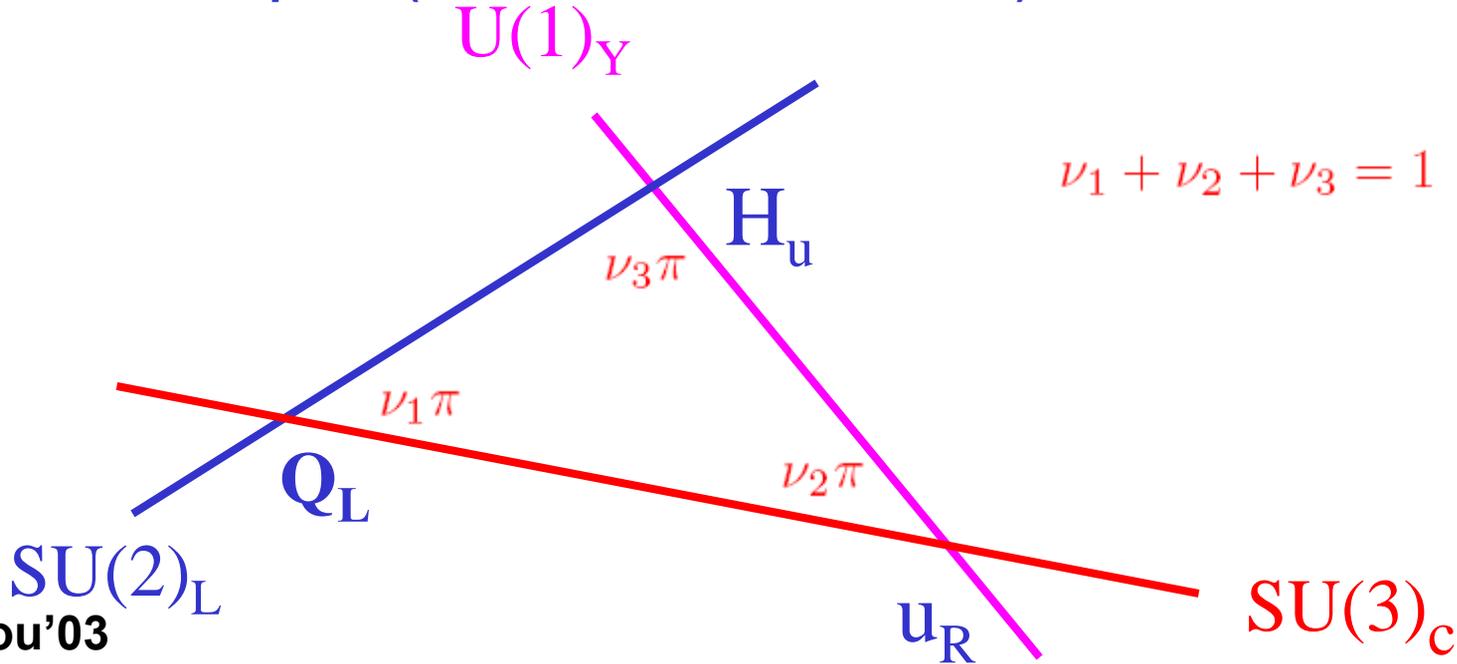
### d) Loop corrections: gauge couplings Kähler potential

Stieberger & Lüst'03

Abel & Goodsell'04, '05

# Yukawa Couplings

Intersections in internal space (schematic on  $i^{\text{th}}$ -two-torus)



w/Papadimitriou'03

(Conformal Field Theory Techniques)

$$Y = (2\pi)^{\frac{3}{2}} g_{st} \prod_{i=1}^3 \left[ \frac{\Gamma(1 - \nu_1^i) \Gamma(1 - \nu_2^i) \Gamma(1 - \nu_3^i)}{\Gamma(\nu_1^i) \Gamma(\nu_2^i) \Gamma(\nu_3^i)} \right]^{\frac{1}{4}} \sum_I \exp\left(-\frac{A_I^1 + A_I^2 + A_I^3}{2\pi\alpha'}\right)$$

quantum-Kähler potential

Classical-  $A_I^i$  -triangle areas on  $i^{\text{th}}$  two-torus lattice

Crémas Marchesano & Ibáñez '03 - detailed study

# Proton decay via four-Fermi interactions in SU(5) GUT's

w/ R. Richter, hep-th/060601

Local \* construction w/ 10 &  $\bar{5}$  on top of the same intersection

(maximized effect; applicable to other Calabi-Yau orientifold constructions)

Assume, dim. 5 operators suppressed;

Dim. 6 operators; comparison of **STRING** effects relative to **FIELD THEORY**

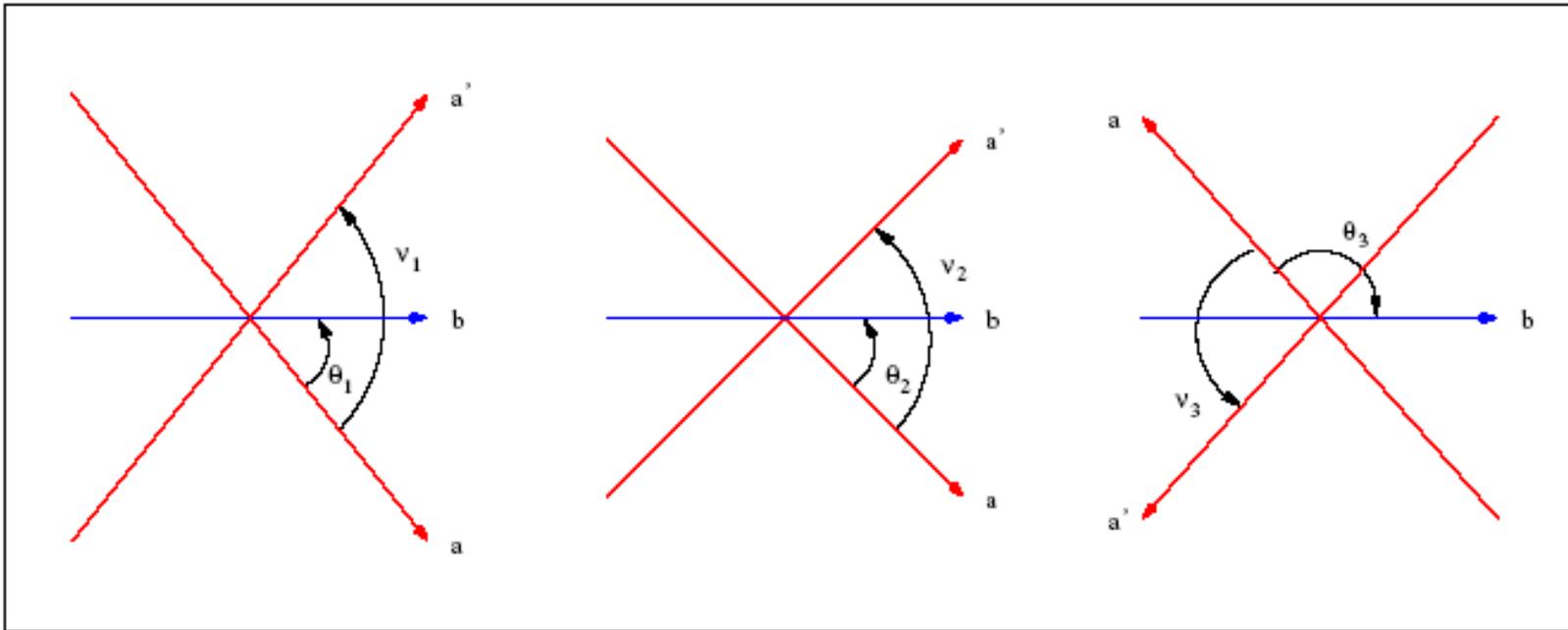


FIG. 1: Intersection angles for the case  $-\frac{1}{2} < \theta_1 < 0$ ,  $-\frac{1}{2} < \theta_2 < 0$ ,  $\frac{1}{2} < \theta_3 < 1$

**Subtle constr.  
of string vertex  
opers.(no time!)**

\* Leading contribution; subleading exp. suppressed by  $A/\alpha'$

$$\mathbf{A}_2 = \int \prod_{i=1}^4 dz_i \langle V_{-\frac{1}{2}}^{\bar{5}*}(z_1) V_{-\frac{1}{2}}^{\bar{5}}(z_2) V_{-\frac{1}{2}}^{10*}(z_3) V_{-\frac{1}{2}}^{10}(z_4) \rangle \quad \mathbf{5*510*10} \text{ String Amplitude}$$

$$= 2iC_A \underbrace{\bar{u}_1 \gamma^\mu u_2 \bar{u}_3 \gamma_\mu u_4}_{\text{spinors}} (2\pi)^4 \delta^{(4)} \left( \sum_{i=1}^4 k_i \right) \times \left( \underbrace{\text{Tr}(\tilde{\Lambda}_1 \Lambda_2 \tilde{\Lambda}_3 \Lambda_4)}_{\text{Chan-Paton}} K(\theta_1, \theta_2, \theta_3) + \text{Tr}(\tilde{\Lambda}_1 \Lambda_2 \Lambda_4 \tilde{\Lambda}_3) T(\theta_1, \theta_2, \theta_3) \right)$$

Where:  $K(\theta_1, \theta_2, \theta_3) = \int_0^1 dx U(x)$   $T(\theta_1, \theta_2, \theta_3) = \int_{-\infty}^0 dx U(x)$ .  $C_A = \frac{\pi}{2} g_s \alpha'$

Worldsheet coordinate

$$U(x) = x^{-\alpha' s - 1} (1-x)^{-\alpha' u - 1} [I(-\theta_1, 1 + \nu_1, x) I(-\theta_2, 1 + \nu_2, x) I(1 - \theta_3, 1 + \nu_3, x)]^{-\frac{1}{2}}$$

Mandelstam variables

$$I(x) = \frac{1}{2\pi} [B_1(\theta, \nu) \overline{G}_2(x) H_1(1-x) + B_2(\theta, \nu) G_1(x) \overline{H}_2(1-x)] ,$$

$$B_1(\theta, \nu) = \frac{\Gamma(\theta) \Gamma(1-\nu)}{\Gamma(1+\theta-\nu)} \quad B_2(\theta, \nu) = \frac{\Gamma(\nu) \Gamma(1-\theta)}{\Gamma(1+\nu-\theta)}$$

Gamma functions

$$G_1(x) = {}_2F_1[\theta, 1-\nu, 1; x] \quad G_2(x) = {}_2F_1[1-\theta, \nu, 1; x]$$

Hypergeometric functions

$$H_1(x) = {}_2F_1[\theta, 1-\nu, 1+\theta-\nu; x] \quad H_2(x) = {}_2F_1[1-\theta, \nu, 1-\theta+\nu; x] .$$

$$\mathbf{A}_1 = \int \prod_{i=1}^4 dz_i \langle V_{-\frac{1}{2}}^{10*} V_{-\frac{1}{2}}^{10} V_{-\frac{1}{2}}^{10*} V_{-\frac{1}{2}}^{10} \rangle$$

**10\*1010\*10 String Amplitude**

$$= iC'_A \text{Tr} \left( \tilde{\Lambda}_1 \Lambda_2 \tilde{\Lambda}_3 \Lambda_4 + \tilde{\Lambda}_1 \Lambda_4 \tilde{\Lambda}_3 \Lambda_2 \right) (2\pi)^4 \delta^{(4)} \left( \sum_{i=1}^4 k_i \right) \bar{u}_1 \gamma^\mu u_2 \bar{u}_3 \gamma_\mu u_4 M(\theta_1, \theta_2, \theta_3)$$

$$M(\theta_1, \theta_2, \theta_3) = \int_0^1 dx \frac{x^{-\alpha' s-1} (1-x)^{-\alpha' u-1}}{L^{\frac{1}{2}}(1+2\theta_1, x) L^{\frac{1}{2}}(1+2\theta_2, x) L^{\frac{1}{2}}(-1+2\theta_3, x)} \quad C'_A = \pi g_s \alpha'$$

$$L(x) = \frac{1}{\sin(\pi \theta)} {}_2F_1[\theta, 1-\theta, 1, x] {}_2F_1[\theta, 1-\theta, 1, 1-x]$$

# NUMERICAL ANALYSIS

(Field Theory effects. i.e. gauge boson& Higgs exchange subtracted)

$-\frac{1}{2} < \theta_1 < 0 \quad -\frac{1}{2} < \theta_2 < 0 \quad \frac{1}{2} < \theta_3 < 1$				$\frac{1}{2} < \theta_1 < 1 \quad \frac{1}{2} < \theta_2 < 1 \quad \frac{1}{2} < \theta_3 < 1$			
$\theta$	$K$	$T$	$M$	$\theta$	$K$	$T$	$M$
-.40	6.5	5.4	10.3	.505	1.5	1.5	2.5
-.42	5.7	5.1	9.4	.51	2.0	2.1	3.5
-.44	4.9	4.6	8.3	.52	2.9	2.9	4.9
-.46	4.0	4.0	6.9	.54	4.0	4.0	6.9
-.48	2.9	2.9	4.9	.56	4.9	4.6	8.3
-.49	2.0	2.1	3.5	.58	5.7	5.1	9.4
-.495	1.5	1.5	2.5	.60	6.5	5.4	10.3

TABLE I: Contribution to  $K$ ,  $T$  and  $M$  for different angles  $\theta$

$$\theta_1 = \theta_2 = \theta.$$

**Note:**  $K + T \approx 1.2 \times M$  (previous estimates  $K+T < M$ )

## Comparison with field theory for:

$$p \rightarrow \pi^0 e^+$$

### Field Theory:

$$\tau_p^{FT} = 1.6 \times 10^{36} \text{ years} \left( \frac{.04}{\alpha_{GUT}} \right)^2 \left( \frac{M_X}{2 \times 10^{16} \text{ GeV}} \right)^4$$

**String Theory:** Subtracting field theory contrib. from  $A_1$  &  $A_2$  string amplitudes & relating  $\alpha'$  &  $g_s$  to  $\alpha_{GUT}$  &  $M_{GUT}$  (maximized effect) \* :

$$\tau_p^{ST} \approx 1.6 \times 10^{36} \text{ years} \frac{54^2}{L^{4/3}(Q) g_s^{2/3} ((K+T)^2 + 4M^2)} \left( \frac{.04}{\alpha_{GUT}} \right)^{4/3} \left( \frac{M_{GUT}}{2 \times 10^{16} \text{ GeV}} \right)^4$$

w/  $M_{GUT} = 2 \times 10^{16} \text{ GeV}$ ,  $\alpha_{GUT} = 0.04$  & values of K,T,M (from Table):

$$\tau_p^{ST} = (0.5 - 2.1) \times 10^{36} \text{ years.}$$

Up to factor 3 shorter than FT, n.t.l. beyond sensitivity of future experiments

\* w/  $g_s \rightarrow 1$  & threshold corrections:  $\alpha' = \left( \frac{\alpha_{GUT} L(Q)}{(2\pi)^3 g_s M_{GUT}^3} \right)^{2/3}$   
[L(Q)-topological invariant of  $G_2$  spaces-Ray Singer index (2-8)]

# Features of explicit constructions on toroidal orbifolds

Typically:

- (a) more than one **Higgs doublet pairs**
- (b) **chiral exotics** (due to intersections of observable branes w/ "hidden" ones)
- (c) **couplings**: realistic fermion masses ?

string implications for **proton decay** ?

- (d) some combination of toroidal **moduli** fixed by supersymmetry,  
but open-sector **brane-splitting** and **brane-recombination** moduli NOT



Strong D-brane dynamics

& Supersgravity Fluxes

(typellB w/magnetized branes)

w/Langacker &Wang'03

Shiu&Marchesano'04; w/Liu,'04,w/Liu&Li'05...

Examples of 3-family SM w/ stabilized all torodial and some brane modul  
(phenomenology w/Langacker.Liu&Li (unpublished) )

**No time**

# Three-family SM model w/ $SU(2)_L \times SU(2)_R$ electro-weak sector ( $Z_2 \times Z_2$ orbifold)

III	$[U(4)_C \times SU(2)_L \times SU(2)_R]_{observable} \times [U(2)^* \times Sp(8)]_{hidden}$								
stack	$N$	$(n^1, l^1) \times (n^2, l^2) \times (n^3, l^3)$	$n_{\square\square}$	$n_{\square}$	$b$	$c$	$d$	$d'$	2
$a$	8	$(1, 0) \times (1, 3) \times (1, -3)$	0	0	3	-3	0	0	0
$b$	2	$(0, 1) \times (1, 0) \times (0, -2)$	0	0	-	0	-6	6	0
$c$	2	$(0, 1) \times (0, -1) \times (2, 0)$	0	0	-	-	-6	6	0
$d$	4	$(2, -1) \times (1, 3) \times (1, 3)$	$\chi_1 = 24\chi_3 / (4 - 9\chi_3^2)$						
2	8	$(1, 0) \times (0, -1) \times (0, 2)$							

Non-zero Intersections w/hidden sector-chiral exotics

wrapping nos. of SM  
 $Z_2 \times Z_2$  orientifold embedding

Cremades, Ibáñez & Marchesano'03

~~\*U(2)-D9-brane w/ negative D3-charge contribution~~

w/ Langacker, Li & Liu, hep-th/0407178

3-family SM Chiral Flux Vacuum:

$U(1) \times U(1)$  &  $nf=1$  flux units

Marchesano & Shiu, hep-th/0408058,0409132

[1= Higgs (2,2); Yukawa couplings give mass to 3<sup>rd</sup> family; chiral exotics]

## New Sets of Flux Models

Gauge symmetry:  $U(4)_C \times U(2)_L \times U(2)_R \times Sp(2N_1) \times Sp(2N_2) \dots$   
 or  $(SU(2)_L) (SU(2)_R)$       ``Hidden sector''

SM-sector contains branes whose charge cancels Flux contribution

New representative models (of order 20) of 3- and 4-family  
 Standard Models with up to 3-units of quantized flux.

Table 5: D-brane configurations and intersection numbers for  $Model - T_3 - 1$ .

Three -family SM with 3- units of flux (supersymmetric)

$Model - T_3 - 1$		$[U(4)_C \times U(2)_L \times U(2)_R]_{Observable}$							
j	$N$	$(n^1, m^1)(n^2, m^2)(n^3, m^3)$	$n_{\square\square}$	$n_{\square}$	$b$	$b'$	$c$	$c'$	Kähler moduli
$a$	8	$(1, 0)(1, 1)(1, -1)$	0	0	-3	1	12	-10	$\chi_3 = \chi_2 = 2\chi_1$
$b$	4	$(1, 1)(2, -1)(1, 0)$	-2	2	-	-	6	6	$\chi_3 = 2\sqrt{10}$
$c$	4	$(-2, -1)(4, 1)(3, 1)$	-6	-106	-	-	-	-	

Three -family SM with 2- units of flux

Table 6: D-brane configurations and intersection numbers for  $Model - T_2 - 1$ .

$Model - T_2 - 1$		$[U(4)_C \times U(2)_L \times U(2)_R]_{Observable} \times [Sp(12 - 4n_f)]_{Hidden}$							
j	$N$	$(n^1, m^1)(n^2, m^2)(n^3, m^3)$	$n_{\square\square}$	$n_{\square}$	$b$	$b'$	$c$	$c'$	
$a$	8	$(1, 0)(1, 1)(1, -1)$	0	0	-3	1	8	-8	
$b$	4	$(2, 1)(2, -1)(1, 0)$	0	0	-	-	0	4	
$c$	4	$(-2, -1)(3, 1)(3, 1)$	-44	-64	-	-	-	-	
$(D7)_2$	4	$(0, 1)(1, 0)(0, -1)$	$\chi_3 = \chi_2 = \chi_1 = \sqrt{21}$						

Three -family SM with 1- units of flux

Table 7: D-brane configurations and intersection numbers for  $Model - T_1 - 1$ .

$Model - T_1 - 1$		$[U(4)_C \times Sp(2)_L \times U(2)_R]_{Observable} \times [Sp(8)]_{Hidden}$							
j	$N$	$(n^1, m^1)(n^2, m^2)(n^3, m^3)$	$n_{\square\square}$	$n_{\square}$	$b$	$c$	$c'$		
$a$	8	$(1, 0)(3, 1)(3, -1)$	0	0	-3	3	0		
$b$	2	$(0, 1)(0, -1)(2, 0)$	0	0	-	16	-		
$c$	4	$(-2, -1)(4, 1)(3, 1)$	-6	-106	-	-	-		
$D3$	8	$(1, 0)(1, 0)(1, 0)$	$\chi_2 = \chi_3, \frac{12}{\chi_2^2} + \frac{14}{\chi_1 \chi_2}$						

## More three-family SM's with 1-unit of flux

Table 8: D-brane configurations and intersection numbers for  $Model - T_1 - 2$ .

$Model - T_1 - 2$		$[U(4)_C \times U(2)_L \times U(2)_R]_{Observable} \times [Sp(8) \times Sp(4)]_{Hidden}$						
j	N	$(n^1, m^1)(n^2, m^2)(n^3, m^3)$	$n_{\square}$	$n_{\square}$	b	b'	c	c'
a	8	(1,0)(1,1)(1,-1)	0	0	-3	1	4	-6
b	4	(2,1)(2,-1)(1,0)	0	0	-	-	0	0
c	4	(-2,-1)(2,1)(3,1)	-18	-78	-	-	-	-
D3	8	(1,0)(1,0)(1,0)	$\chi_3 = \chi_2 = \chi_1 = 4$					
(D7) <sub>2</sub>	8	(0,1)(1,0)(0,-1)						

Table 9: D-brane configurations and intersection numbers for  $Model - T_1 - 3$ .

$Model - T_1 - 3$		$[U(4)_C \times U(2)_L \times Sp(4)_R]_{Observable} \times [Sp(4)]_{Hidden}$						
j	N	$(n^1, m^1)(n^2, m^2)(n^3, m^3)$	$n_{\square}$	$n_{\square}$	b	b'	c	
a	8	(-1,-1)(2,1)(2,1)	-2	-30	3	-5	-4	
b	4	(1,0)(3,1)(1,-1)	4	-4	-	-	0	
c	4	(1,0)(0,1)(0,-1)	0	0	-	-	-	
D3	8	(1,0)(1,0)(1,0)	$3\chi_3 = \chi_2, \frac{12}{\chi_2^2} + \frac{8}{\chi_1\chi_2} = 1$					

# Phenomenology:

w/P Langacker, T.Li&T.Liu, unpublished

## Models descendants of left-right symmetric (Pati-Salam) Models

### (a) Yukawa Couplings:

-Pati-Salam model w/ minimal (MSSM) Higgs sector not viable;  
For the specific construction-mass only for the 3<sup>rd</sup> family.

-Models w/ non-minimal Higgs sector better. However, Yukawa couplings symmetric-a handful of models w/ masses and mixings for 2<sup>nd</sup> and 3<sup>rd</sup> family.

### (b) Exotics:

-models possess chiral exotics due to SM branes intersecting w/ ``hidden'' sector ones

-new chiral flux constructions w/ mainly right chiral exotics & Yukawa couplings to SM Higgs sector ( $M \sim \text{TeV}$ ) –but SM precision constraints

### (c) $U(1)_{B-L}$ breaking:

-VEV of right sneutrino-problematic because of R-parity breaking

- $U(1)_{B-L}$  breaking by exotic sneutrinos- but SM precision constraints

# Summary –Type II side

- a) Major progress (Type IIA): development of techniques for constructions on toroidal orbifolds w/intersecting D6-branes SPECTRUM & COUPLINGS-geometric; systematic searches
- b) FLUX COMPACTIFICATION w/ SM (Type IIB)  
Sizable number of semi-realistic models (on the order of 20 classes)
- c) Models not fully realistic:  
typically some exotic matter; couplings not fully realistic;  
only open sector & toroidal moduli stabilized  
(hierarchy for SUSY breaking fluxes ?)

“Shortcomings” possibly an artifact of toroidal orbifold constructions



Foresee progress: Construction on Calabi-Yau threefolds

# Heterotic Side

## I. Calabi-Yau compactifications- algebraic geometry

holomorphic slope-stable vector bundle constructions

Freedman, Morgan & Witten '97; Donagi '97

Classes of supersymmetric SM-like constructions

Donagi, Ovrut, Pantev & junior collaborators '01-05

New supersymmetric SM-like constructions w/  $U(n)$  bundles

Blumenhagen, Honecker, Weigand '05-'06  
(c.f. Blumenhagen's talk)

**New results:** the only globally consistent construction

w/just MSSM spectrum

Massless Spectrum

Bouchard & Donagi, hep-th/0512149

Tri-linear coupling calculation

w/Bouchard & Donagi, hep-th/0602096

## II. Orbifold/free-worldsheet fermionic constructions

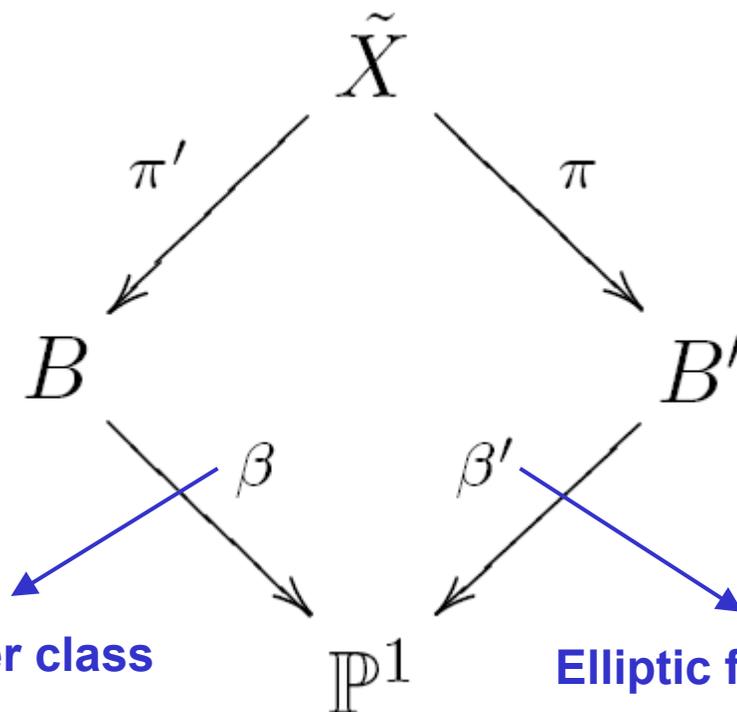
Examples of just MSSM (CFT techniques)

Cleaver, Faraggi & Nanopoulos '01, Buchmüller et al., hep-th/0512326  
(c.f. Buchmüller's talk)

**No Fluxes!**

## Summary of the construction:

Calabi-Yau threefold  $\tilde{X}$  : an elliptic fibration  $\pi'$  over rational elliptic surface  $B$  ( $dP_9$ )



Elliptic fibration w/  $f$  fiber class

Elliptic fibration w/  $f'$  fiber class

$\tilde{X}$  - fiber product  $B \times_{\mathbb{P}^1} B'$

Free  $\mathbb{Z}_2$  action:  $\mathbb{Z}_2$  involution  $\tau := \tau_B \times_{\mathbb{P}^1} \tau_{B'}$

**$Z_2$  invariant Vector Bundle  $\tilde{V} = \text{SU}(5)$  vector bundle of (visible)  $E_8$**

**with an action of the  $Z_2$  involution**

**Gauge structure  $\text{SU}(5)$   $\longrightarrow$   $\text{SU}(3)_c \times \text{SU}(2)_L \times \text{U}(1)_Y$**

**Implementing  $Z_2$  Wilson line**

**$\tilde{V}^*$  constructed as an extension:**

$$0 \longrightarrow V_2 \longrightarrow \tilde{V}^* \longrightarrow V_3 \longrightarrow 0$$

$\swarrow$   
rank 2
 $\swarrow$   
rank 3

$$V_i = \pi'^* W_i \otimes \pi^* L_i$$

**rank i bundles on B**

**line bundles on B'**

**(Fourier-Mukai transforms)**

**Donagi'97**

**Donagi, Ovrut, Pantev & Waldram'00**

**Spectral cover construction**

# (Standard Model) Constraints on

## Holomorphic vector bundle:

(a) slope-stable  $\longleftrightarrow$   $\exists^*$  solution (Donaldson,Uhlenbeck&Yau)

YES!

(b) SU(5) rather than U(5) bundle: first Chern class  $C_1(V)=0$

(c) 3-Chiral Families: third Chern class  $C_3(V)=-12$  (Euler Characteristic)

(d) Global consistency (Green Schwarz anomaly cancellation):

second Chern classes:  $C_2(T\tilde{X})-C_2(\tilde{V})=[W]$  -effective class

$[W]= 2 f \times \text{pt} + 6 \text{pt} \times f'$  - Yes! (M5-branes wrapping holomorphic 2-cycles)

[ or add hidden sector slope-stable bundle U:

$C_2(T\tilde{X})-C_2(\tilde{V})-C_2(U)=0$  (Have not done explicitly) ]

**Massless spectrum** (related to zero modes of Dirac operator on Calabi Yau threefolds) - **in terms of cohomology elements:**

$$Spec = \bigoplus_{q=1,3} H^q(X, \text{ad}V)$$

Long exact sequences in cohomology

Applied to specific bundle construction:



MSSM w/ no exotics &  $n=0,1,2$  massless Higgs pairs

[& a number of vector bundle and Calabi Yau moduli]

Multiplicity	Representation	Superfield
$1 = h^3(\tilde{X}, \mathcal{O}_{\tilde{X}})_+$	$(8, 1)_0 \oplus (1, 3)_0 \oplus (1, 1)_0$	$G, W^\pm, Z, \gamma$
$3 = h^1(\tilde{X}, \tilde{V}^*)_+$	$(\bar{3}, 1)_{-4/3} \oplus (1, 1)_2$	$u, e$
$3 = h^1(\tilde{X}, \tilde{V}^*)_-$	$(3, 2)_{1/3}$	$Q$
$0 = h^1(\tilde{X}, \tilde{V})_+$	$(3, 1)_{4/3} \oplus (1, 1)_{-2}$	exotic
$0 = h^1(\tilde{X}, \tilde{V})_-$	$(\bar{3}, 2)_{-1/3}$	exotic
$3 = h^1(\tilde{X}, \wedge^2 \tilde{V}^*)_+$	$(\bar{3}, 1)_{2/3}$	$d$
$3 + n = h^1(\tilde{X}, \wedge^2 \tilde{V}^*)_-$	$(1, \bar{2})_{-1}$	$L, \bar{H}$
$0 = h^1(\tilde{X}, \wedge^2 \tilde{V})_+$	$(3, 1)_{-2/3}$	exotic
$n = h^1(\tilde{X}, \wedge^2 \tilde{V})_-$	$(1, 2)_1$	$H$
$62 = h^1(\tilde{X}, \text{ad}\tilde{V})_+$	$(1, 1)_0$	$\phi, \nu$

**Table 2:** The particle spectrum of the low-energy  $SU(3)_C \times SU(2)_L \times U(1)_Y$  theory. Notice that all exotic particles come with 0 multiplicity, and that the spectrum include  $n$  copies of Higgs conjugate pairs, where  $n = 0, 1, 2$ .

**+ even ; - odd representation under  $Z_2$  action**

**Focus on loci in moduli space w/  $n=1$  and  $n=2$  massless Higgs pairs**

## Tri-linear superpotential couplings:

$$\lambda_{ijk} \sim \int_X \Omega \wedge \Phi_i \wedge \Phi_j \wedge \Phi_k .$$



**CY (3,0)-form**      **(0,1)-forms**

### Classical calculation (triple pairings of co-homology groups):

(d)  $H^1(\wedge^2 \tilde{V}^*)^{(3,3+n)} \times H^1(\wedge^2 \tilde{V}^*)^{(3,3+n)} \times H^1(\tilde{V}^*)^{(3,3)} \rightarrow H^3(\wedge^5 \tilde{V}^*)^{(1,0)} \simeq \mathbb{C},$

(u)  $H^1(\tilde{V}^*)_+^{(3,0)} \times H^1(\tilde{V}^*)_-^{(0,3)} \times H^1(\wedge^2 \tilde{V}^*)_-^{(0,n)} \rightarrow H^3(\mathcal{O})^{(1,0)} \simeq \mathbb{C},$

( $\mu$ )  $H^1(\text{ad} \tilde{V})_+^{(6,2,0)} \times H^1(\wedge^2 \tilde{V}^*)_-^{(0,3+n)} \times H^1(\wedge^2 \tilde{V}^*)_-^{(0,n)} \rightarrow H^3(\mathcal{O})^{(1,0)} \simeq \mathbb{C},$

(d) down-quark, charged lepton couplings, R-parity(Lepton, Baryon)-violating

(u) up-quark couplings

( $\mu$ ) coupling w/ vector bundle moduli:  $\mu$ -parameter & neutrino masses

### Calculation Involved:

(i) exact spectral sequences, filtration & explicit basis for cohomology elements

(ii) Detailed study of vector bundle moduli space; specifically at  $n=1,2$  loci

(d) Triple Pairing: Down-Sector and R-parity Violating Yukawa Couplings

**ZERO! – ranks of cohomology groups- incompatible**

$$\lambda_l^{ij} e_i L_j \bar{H} + \lambda_d^{ij} Q_i d_j \bar{H}$$

**Charged leptons & down quarks massless**

$$\alpha_1^{ijk} L_i L_j e_k + \alpha_2^{ijk} L_i Q_j d_k + \alpha_3^{ijk} u_i d_j d_k$$

**R-parity (Lepton & Baryon no.) violating  
terms ABSENT!**

## (u) Triple Pairing: Up-Sector Yukawa Couplings

Locus w/ n=1 massless Higgs pair:

$$\lambda_u^{ij} Q_i u_j H \quad \lambda_u = \begin{pmatrix} a & b & c \\ b & d & e \\ c & e & 0 \end{pmatrix}$$

**Symmetric rank 3 matrix** -(function of vector bundle moduli on n=1 locus)

Can obtain realistic mass hierarchy

(not quantitative- physical Yukawa couplings depend on Kähler pot.)

Locus w/ n= 2 massless Higgs pairs: two copies of the matrix above

## $(\mu)$ Triple Pairing: $\mu$ -terms and Neutrino Yukawa Couplings

**Locus w/ n=1 massless Higgs pair:**

**Moduli space transverse to n=1 locus 2-dimensional:  $\Phi_1$  and  $\Phi_2$**

**Non-zero triple pairing:  $\lambda_1 \phi_1 H \bar{H} + \lambda_2 \phi_2 L \bar{H}$ .**

**(a) Small deformation transverse to n=1 locus: e.g.  $\langle \Phi_1 \rangle \ll 1$   
 $\mu$ -parameter for the Higgs pair at EW scale ("fine tuning")**

**$\Phi_2$ - right-handed neutrino & L-lepton doublet  $\longrightarrow$  1 massive neutrino**

**(b) On n=1 locus, both terms generate masses for 2 neutrinos and  
no  $\mu$ -parameter**

**Locus w/ n=2 massless Higgs pair: Moduli space transverse to n=1 locus**

**6-dimensional:  $\Phi_{ij}$  (i=1,2,3: i=1,2)**

**Non-zero triple pairing:  $W = \sum_{i=1}^3 \sum_{j=1}^2 \lambda_{ij} \phi_{ij} L_i \bar{H}_j$**

**Can generate  $\mu$ -parameters and/or up to 3 neutrino masses !**

# Conclusions:

An Heterotic MSSM passed **crucial tests at the classical level of couplings:**

(a) **Up-quark sector:** rank 3 matrix –possible **realistic mass hierarchy**

(b) **Down-quark&charged lepton sector -massless**

(c) **R-parity (L&B) violating couplings –absent** (proton stable)

(d) **Vector bundle moduli** (transverse to  $n=1,2$  massless Higgs pair locus):

Can generate  **$\mu$ -parameters** (non-zero VEV's) and/or play a role of **right-handed neutrinos** with up to 3 **Dirac neutrino massive**

**Further tests at quantum (worldsheet instanton) level:**

work in progress w/Bouchard&Donagi

(a) Masses for down-quark&charged lepton sector

**Absence of R-parity** violating couplings may impose constraints on Vector bundle moduli space

(b) Membrane-instanons-stabilisation/de-stabilisation 11<sup>th</sup> dimension

work in progress w/Donagi,Li&Pantev

**Is this THE model?**

**One of a large number with (semi)-realistic features -  
tip of the iceberg**

**Expect many more constructions on Calabi Yau threefolds  
both on Heterotic & Type II side**

**(employing algebraic geometry & CFT techniques)**