



# Brane adjustments

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based on work with

Fredenhagen & Keller, [hep-th/0609034](https://arxiv.org/abs/hep-th/0609034)

Brunner & Baumgartl, [0704.2666](https://arxiv.org/abs/0704.2666) [hep-th]



# Moduli spaces

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Many phenomenologically interesting string backgrounds involve D-branes.

Stabilising their moduli then involves **two kinds of moduli**:

- **closed string moduli** (closed string background)
- **D-brane moduli** (position etc. of D-brane in given closed string background)



# Dependencies

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Obviously, these two moduli spaces are **not independent** of one another:

- The closed string background determines **what kinds of branes are allowed**, i.e. the D-brane moduli space.
- The D-branes **back-react** on the closed string background, and thereby may also modify the closed string moduli space.



# Tree level

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The **back-reaction** of the D-brane only arises at **higher order** in string perturbation theory (annulus), but the dependence of the **D-brane moduli space on the bulk moduli** is already visible at **tree level**.

This **second effect** is what we want to discuss in the following.



# Conformal field theory

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More precisely, we want to understand how a **brane adjusts** itself to **changes of the closed string background**.

This question can be analysed in conformal field theory by studying the **RG equations** for **combined bulk and boundary perturbations**.



# A simple example

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To illustrate the problem consider the closed string background that describes a free **boson** compactified on a **circle of radius  $R$** , for which all conformal D-branes are known.

For all values of  $R$  we have the **usual Dirichlet & Neumann branes**.

But the **remainder of the moduli space** of conformal D-branes **depends** in a very sensitive manner on the **value of  $R$** :



# The D-brane moduli space

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- if  $R = \frac{M}{N} R_{sd}$  then the additional part of the moduli space of conformal D-branes is

$$SU(2)/\mathbb{Z}_M \times \mathbb{Z}_N .$$

[Friedan]  
[MRG, Recknagel]

- if  $R$  is an irrational multiple of the self-dual radius, then the additional part of the moduli space is just the interval

$$(-1, 1) .$$

[Friedan], [Janik]



# Bulk modulus

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On the other hand, the radius  $R$  is a **closed string modulus**, so in this example the **moduli space of D-branes depends** strongly on where we are in the **closed string moduli space**!

So **what happens** to a brane associated to a generic element in  $SU(2)/\mathbb{Z}_M \times \mathbb{Z}_N$  (that exists when the radius is rational) if we **change the radius** of the circle?





# The WZW case

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For simplicity we consider in the following the theory at the self-dual radius ( $M=N=1$ ), where it is equivalent to the  $SU(2)$  WZW model at level  $k=1$ .

The moduli space of conformal branes is then simply  $SU(2)$ , where we write an arbitrary group element as

$$g = \begin{pmatrix} a & b^* \\ -b & a^* \end{pmatrix}$$

$$[|a|^2 + |b|^2 = 1]$$

$b=0$ : Dirichlet brane

$a=0$ : Neumann brane



# Conformal branes

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Here the brane corresponding to  $g$  is characterised by the **gluing condition**

$$\left( g J_m^a g^{-1} + \bar{J}_{-m}^a \right) \|g\rangle\rangle = 0 ,$$

where  $a=1,2,3$  labels a basis of  $\mathfrak{su}(2)$ .

The **exactly marginal bulk operator** that corresponds to changing the radius is then the operator of conformal dimension  $(1,1)$

$$\Phi = J^3 \bar{J}^3 .$$



# Exact marginality

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Exact marginality requires, in particular, that the perturbing field continues to have conformal dimension  $(1,1)$ , even after the perturbation.

For closed string correlators this requires (to first order in perturbation theory) that the 3-point self-coupling vanishes:

$$\Phi(x, \bar{x})\Phi(y, \bar{y}) = \dots + \frac{0}{|x - y|^2}\Phi(y, \bar{y}) + \dots .$$

Obviously, this is the case in the above example.



# Exact marginality on disc

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To check for exact marginality on the disc, we calculate the perturbed 1-point function on the upper half plane, i.e.

$$\langle \Phi(w) \rangle_\lambda = \langle \Phi(w) \rangle + \lambda \int_{\mathbb{H}^+} d^2z \langle \Phi(z) \Phi(w) \rangle + \dots$$

A necessary condition for exact marginality is then that

$$\langle \Phi(w) \rangle_\lambda = \frac{C}{|\text{Im}w|^2} .$$



# SU(2) level 1

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For the case of the D-brane described by the group element  $g$ , the **first order perturbation** equals (here  $\epsilon$  is a UV cutoff)

$$\lambda \operatorname{Tr} \left( [t^3, gt^3g^{-1}]^2 \right) \frac{\pi}{4|\operatorname{Im}w|^2} \left( \log \epsilon - \frac{1}{2} \log |\operatorname{Im}w|^2 + \mathcal{O}(\epsilon) \right)$$

if prefactor is  
non-zero

modifies functional  
dependence!



# Exact marginality

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The prefactor equals

$$\text{Tr}([t^3, g t^3 g^{-1}]^2) = -8|a|^2|b|^2 .$$

Thus the radius perturbation is **only exactly marginal** if  $a=0$  or  $b=0$ , i.e. if the brane is a standard **Neumann or Dirichlet** brane!

This ties in nicely with the fact that only the standard Neumann and Dirichlet branes **exist for all radii!**

[Fredenhagen, MRG, Keller]



# Response of the brane

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But what happens if we consider a generic brane for which **neither a nor b vanishes?**

In order to answer this question we need to study the **RG equations for combined bulk and boundary perturbations.**



# RG equations

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Consider the perturbation

$$S = S^* + \sum_i \tilde{\lambda}_i \int \phi_i(z) d^2z + \sum_j \tilde{\mu}_j \int \psi_j(x) dx$$

bulk  
perturbationboundary  
perturbation

To regularise introduce length scale  $l$ , define dimensionless coupling constants

$$\tilde{\lambda}_i = \lambda_i l^{h_{\phi_i} - 2}, \quad \tilde{\mu}_j = \mu_j l^{h_{\psi_j} - 1},$$

and introduce the UV cutoffs

$$|z_k^i - z_{k'}^{i'}| > l, \quad |x_k^j - x_{k'}^{j'}| > l, \quad \text{Im} z_k^i > \frac{l}{2}.$$





# RG equations

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Now we rescale  $l \mapsto (1 + \delta t)l$ , and ask how we have to adjust the coupling constants so as to leave the free energy unchanged.

[Cardy]

Explicit dependence:

$$\lambda_i \rightarrow (1 + (2 - h_{\phi_i})\delta t)\lambda_i, \quad \mu_j \rightarrow (1 + (1 - h_{\psi_j})\delta t)\mu_j.$$

Implicit dependence:

$$|z_k^i - z_{k'}^{i'}| > l \Rightarrow \delta\lambda_k = \pi C_{ijk} \lambda_i \lambda_j \delta t \quad C_{ijk}: \text{bulk OPE coefficient}$$

$$|x_k^j - x_{k'}^{j'}| > l \Rightarrow \delta\mu_k = D_{ijk} \mu_i \mu_j \delta t \quad D_{ijk}: \text{boundary OPE coefficient}$$

$$\text{Im} z > \frac{l}{2} \Rightarrow \delta\mu_k = \frac{\delta t}{2} B_{ik} \lambda_i \quad B_{ik}: \text{bulk-boundary OPE coefficient}$$



# RG equations

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Altogether we thus find the first order RG equations:

$$\dot{\lambda}_k = (2 - h_{\phi_k})\lambda_k + \pi C_{ijk} \lambda_i \lambda_j + \mathcal{O}(\lambda^3)$$

$$\dot{\mu}_k = (1 - h_{\psi_k})\mu_k + \frac{1}{2} B_{ik} \lambda_i + D_{ijk} \mu_i \mu_j + \mathcal{O}(\mu\lambda, \mu^3, \lambda^2)$$



bulk induced  
boundary flow

[Fredenhagen,  
MRG, Keller]



# Exact marginality on disc

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In general an exactly marginal bulk perturbation thus need not be exactly marginal on the disc any more.

In fact, the condition that exact marginality of  $\phi_i$  is preserved on the disc, is that the **bulk-boundary OPE coefficients vanish**

$$B_{ik} = 0$$

for all marginal or relevant boundary fields  $\psi_k$  (except the identity).



# WZW example

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In the case of the above  $\mathfrak{su}(2)$  example we find that the exactly marginal bulk perturbation by  $\Phi = J^3 \bar{J}^3$  has a **non-vanishing bulk-boundary OPE coefficient**

$$B_{\Phi c} = -2\sqrt{2} |a| |b|$$

with the **marginal boundary current** corresponding to

$$t^c = \frac{i}{\sqrt{2}} \begin{pmatrix} 0 & -e^{i\varphi} \\ e^{-i\varphi} & 0 \end{pmatrix}, \quad \text{where} \quad ab^* = |ab|e^{i\varphi}.$$



# Boundary flow

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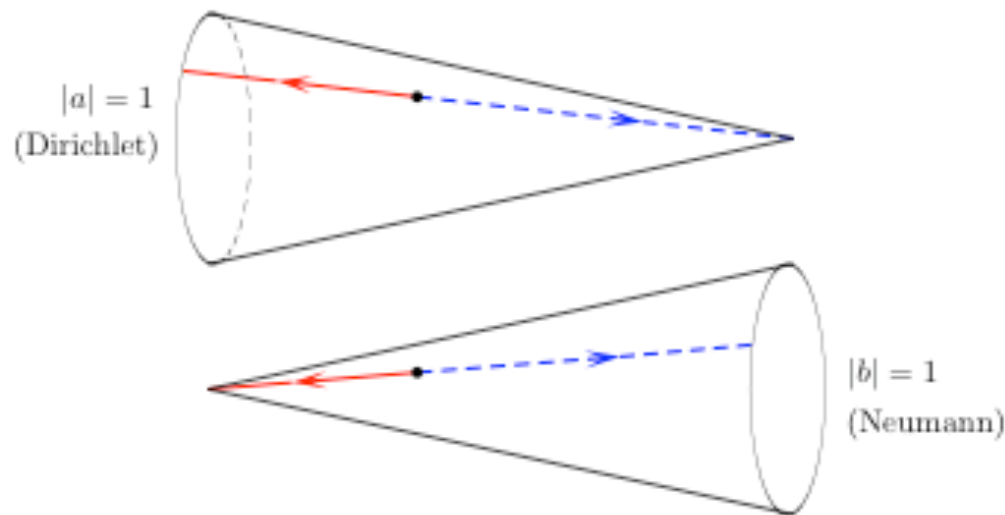
This boundary current modifies the boundary condition  $g$  by

$$\delta g = i t^c g = \frac{1}{\sqrt{2}} \begin{pmatrix} -a \frac{|b|}{|a|} & b^* \frac{|a|}{|b|} \\ -b \frac{|a|}{|b|} & -a^* \frac{|b|}{|a|} \end{pmatrix} .$$

This leaves the **phases of  $a$  and  $b$  unmodified**, but **decreases the modulus of  $a$ , while increasing that of  $b$ .**

# The flow on $SU(2)$

In fact, one can integrate the RG equations exactly in the boundary coupling (at first order in the bulk perturbation), and one finds that the RG flow is along a **geodesic** on  $SU(2)$ .



increase radius

decrease radius



# A supersymmetric example

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This analysis was performed for the **simplest bosonic example**, a free boson compactified on a circle.

Is it possible to do a similar analysis also for **more interesting/realistic** examples?

In the following I want to explain how this can be done by combining these **conformal field theory arguments** with **matrix factorisation techniques**.

[Baumgartl,  
Brunner, MRG]



# The quintic

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To illustrate this method we want to consider the **Fermat quintic**, i.e. the Calabi-Yau manifold described by the equation

$$W_0 = x_1^5 + x_2^5 + x_3^5 + x_4^5 + x_5^5 = 0$$

in complex projective space  $\mathbb{C}P^4$ .

At this point in the closed string moduli space, its conformal field theory description is known: it is the **Gepner model** corresponding to the tensor product of five N=2 models with k=3.





# D-branes in Gepner models

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For such a Gepner model two classes of branes are known: these are the **Recknagel-Schomerus** (RS) branes that are characterised by the property that they **preserve** the 5 N=2 **superconformal algebras** **separately**:

$$\begin{aligned} \left( L_n^{(i)} - \tilde{L}_{-n}^{(i)} \right) \|B\rangle\rangle &= 0 \\ \left( G_r^{\pm(i)} - \tilde{G}_{-r}^{\pm(i)} \right) \|B\rangle\rangle &= 0 \end{aligned}$$

[Here I have described B-type branes.]



# Permutation branes

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In addition there are the **permutation branes** that are characterised by

$$\begin{aligned} \left( L_n^{(i)} - \tilde{L}_{-n}^{(\pi(i))} \right) \|B\rangle\rangle &= 0 \\ \left( G_r^{\pm(i)} - \tilde{G}_{-r}^{\pm(\pi(i))} \right) \|B\rangle\rangle &= 0 , \end{aligned}$$

where  $\pi \in S_5$  is a permutation of the five N=2 algebras.

[Recknagel]  
cf. also [MRG, Schafer-Nameki]



# Rational constructions

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Unfortunately, these constructions only describe **very special** D-branes at **isolated points** in the closed string moduli space.

This is therefore not sufficient to study the questions about the moduli space we are interested in....

To make progress we use that the **topological aspects of B-type** D-branes can be described in a different manner.



# Matrix factorisations

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**Kontsevich** has suggested that the B-type D-branes of the Landau-Ginzburg model with **superpotential  $W$**  (that flows in the IR to the conformal field theory in question) can be characterised in terms of matrix factorisations of  $W$  as

$$E(x_i) \cdot J(x_i) = W(x_i) \cdot \mathbf{1}$$

Here  $E$  and  $J$  are polynomial  $(r \times r)$ -matrices in the variables  $x_i$ .



# Matrix factorisations

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Equivalently, we can describe this in terms of the  $(2r \times 2r)$  matrix

$$Q = \begin{pmatrix} 0 & J \\ E & 0 \end{pmatrix} ,$$

that satisfies then the condition

$$\{Q, Q\} = (2W) \cdot \mathbf{1} .$$



# Matrix factorisations

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Either condition can be understood from a physics point of view by analysing the **supersymmetry variation** of the Landau-Ginzburg model on a **world-sheet with boundary** (Warner problem).

[Brunner, et.al.]  
[Kapustin, Li]

The matrices describe (world-sheet) fermionic degrees of freedom at the boundary. They compensate the above variation terms.



# A single minimal model

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The simplest example is the one with superpotential  $W = x^d$ . It flows in the IR to a **single N=2 minimal model** at level  $k$  ( $d=k+2$ ).

The matrix factorisations of this superpotential are all equivalent to **direct sums of the fundamental factorisations** ( $m=1, \dots, d-1$ )

[Herbst et al]

$$E_m = x^m \quad J_m = x^{d-m} .$$

[The corresponding branes are the standard B-type branes of this minimal model.]



# Tensoring factorisations

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Matrix factorisations can be tensored. For example, for the superpotential  $W = x_1^{d_1} + x_2^{d_2}$  the simple factorisations of each monomial can be tensored to give a (tensor) factorisation of  $W$  given by

[Ashok et al]

$$E = \begin{pmatrix} x_1^{m_1} & x_2^{m_2} \\ x_2^{d_2 - m_2} & -x_1^{d_1 - m_1} \end{pmatrix} \quad J = \begin{pmatrix} x_1^{d_1 - m_1} & x_2^{m_2} \\ x_2^{d_2 - m_2} & -x_1^{m_1} \end{pmatrix}$$





# Tensor branes

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In particular, by tensoring five such one-dimensional factorisations together one describes precisely the RS (tensor) branes.

This identification can be checked by comparing the **topological open string spectrum** of these branes.

[Brunner, et.al.]  
[Kapustin, Li]

- In conformal field theory: consider the **chiral primaries** in open string spectrum.
- From matrix factorisation point of view: the topological spectrum is the **cohomology** of an operator that is associated to the factorisations.



# Permutation factorisations

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The factorisations that correspond to the permutation branes are also known.

[Brunner, MRG]  
[Enger, et. al.]

In particular, the 'transposition' branes involving two factors of the same central charge arise from writing

$$W = x_1^d + x_2^d = \prod_{l=1}^d (x_1 - \eta_l x_2) = E J ,$$

where the product runs over the  $d$ 'th roots of -1.

[Ashok et al]



# A family of factorisations

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Using matrix factorisation techniques we can now however also discuss whole families of branes (not just isolated points).



# Linear ansatz

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To see how this goes we make the ansatz

$$J_1 = x_1 - \eta x_2, \quad J_3 = ax_3 - bx_4, \quad J_5 = ax_5 - cx_4,$$

and look for common solutions of

$$J_1 = J_3 = J_5 = 0 \quad \text{and} \quad W_0 = 0,$$

where as before

$$W_0 = x_1^5 + x_2^5 + x_3^5 + x_4^5 + x_5^5.$$



# Linear ansatz

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To see how this goes we make the ansatz

$$J_1 = x_1 - \eta x_2, \quad J_3 = ax_3 - bx_4, \quad J_5 = ax_5 - cx_4.$$

If  $\eta$  is a fifth root of -1 we get from  $J_1 = 0$

$$x_1 = \eta x_2,$$

and hence  $W_0$  equals then

$$W_0 = x_3^5 + x_4^5 + x_5^5.$$



# Linear ansatz

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To see how this goes we make the ansatz

$$J_1 = x_1 - \eta x_2, \quad J_3 = ax_3 - bx_4, \quad J_5 = ax_5 - cx_4.$$

Next, if  $a$  is non-zero we get from  $J_3 = J_5 = 0$

$$x_3 = \frac{b}{a}x_4, \quad x_5 = \frac{c}{a}x_4,$$

and hence  $W_0 = 0$  becomes the equation

$$W_0 = \frac{x_4^5}{a^5} (b^5 + a^5 + c^5) = 0.$$



# Joint solution

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Thus we have a joint solution if

$$a^5 + b^5 + c^5 = 0 .$$

Then the Nullstellensatz implies that  $W_0$  can be written as

$$W_0 = J_1 \cdot E_1 + J_3 \cdot E_3 + J_5 \cdot E_5 ,$$

where all factors are polynomials.

[Brunner, et.al.]

[Brunner, MRG, Keller]

Hence we get a **corresponding matrix factorisation!**



# D-brane moduli space

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The moduli space of these branes is thus the complex curve

$$M = a^5 + b^5 + c^5 = 0$$

in complex projective space  $\mathbb{CP}^2$ .

Geometrically these branes are **D2-branes** wrapping the 2-cycle on  $W_0 = 0$  described by

$$(x_1, x_2, x_3, x_4, x_5) = (u, \eta u, av, bv, cv) ,$$

where  $(u, v) \in \mathbb{CP}^1$ .

cf [Ashok et al]





# Open string spectrum

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The matrix factorisation description now allows us to calculate the (topological) open string spectrum on each of these D2-branes.

At each point in the moduli space  $M=0$  there are two `fermions' that correspond to marginal boundary fields in conformal field theory.

[In fact, all marginal boundary fields are described in this manner.]



# Marginal directions

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In particular, we always have one exactly marginal boundary field  $\Psi_1 = \partial_b Q(a, b, c)$ , where  $Q(a, b, c)$  denotes the above factorisation.

This is the field that **moves one along the D-brane moduli space**.

In addition, there is a second marginal boundary field  $\Psi_2$  which is however only exactly marginal at special points.

[Its three-point function does not vanish, except at special points where different branches of the moduli space meet.]



# Bulk perturbations

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Now we have understood as much as we need about the **brane moduli space at the Gepner point** in order to come back to the problem we are interested in:

What happens to these branes as we **switch on a closed string modulus**?



# Complex structure deformation

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The **complex structure deformations** of our Gepner model can be easily described in the LG language: they correspond to adding to the superpotential homogenous polynomials.

In the following we shall consider one such class of deformations, namely those of the form

$$W = W_0 + \lambda\Phi, \quad \Phi = x_1^3 \cdot (t_3 x_3^2 + t_4 x_4^2 + t_5 x_5^2) .$$



# Geometry

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It is known that at a **generic point in the complex structure moduli space** of the quintic there are only **finitely many distinct lines**, and not any family of curves. Thus one should expect that these complex structure **deformations are typically obstructed**.

[Albano, Katz]

We therefore want to understand what happens to these branes as the bulk perturbation is switched on.



# Matrix factorisations obstruction

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From a matrix factorisation point of view, try to find

$$Q = Q(a, b, c) + \lambda Q^{(1)} + \lambda^2 Q^{(2)} + \dots$$

so that  $Q$  becomes a matrix factorisation of

$$W = W_0 + \lambda \Phi .$$

To first order in  $\lambda$  we find

$$\{Q, Q\} = 2W_0 + \lambda \left\{ Q(a, b, c), Q^{(1)} \right\} + \mathcal{O}(\lambda^2) .$$



# Matrix factorisation obstruction

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Thus a necessary condition is that we can write

$$2\Phi = \left\{ Q(a, b, c), Q^{(1)} \right\},$$

i.e. that  $\Phi$  is exact with respect to  $Q(a, b, c)$ .

[Hori, Walcher]

Explicitly one finds that this is only the case provided that

$$t_3 b^2 + t_4 a^2 + t_5 c^2 = 0.$$

In fact, this is also a sufficient condition.



# Discrete solutions

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On the other hand, Bezout's theorem implies that there are only **ten discrete solutions** of the joint equations (for nontrivial  $(t_3, t_4, t_5)$ )

$$a^5 + b^5 + c^5 = 0 = t_3 b^2 + t_4 a^2 + t_5 c^2.$$

If  $(a, b, c)$  is not one of these ten points, then the matrix factorisation is **obstructed** under the perturbation by  $\Phi$  !





# Bulk induced RG flow

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So what happens in **conformal field theory**?

We expect that the situation is similar to what happens for the free boson: the bulk perturbation will induce a **non-trivial RG flow on the boundary** that will drive the brane to one of the ten allowed brane embeddings!



# Bulk induced RG flow

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Actually, while we do not have an explicit conformal field theory realisation of this brane, we know enough to check this explicitly:

$$\dot{\lambda}_k = (2 - h_{\phi_k})\lambda_k + \pi C_{ijk} \lambda_i \lambda_j + \mathcal{O}(\lambda^3)$$

$$\dot{\mu}_k = (1 - h_{\psi_k})\mu_k + \frac{1}{2} B_{ik} \lambda_i + D_{ijk} \mu_i \mu_j + \mathcal{O}(\mu\lambda, \mu^3, \lambda^2)$$



bulk induced  
boundary flow



# Bulk induced RG flow

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Actually, while we do not have an explicit conformal field theory realisation of this brane, we know enough to check this explicitly:

The important terms in the RG analysis are the **bulk-boundary OPE coefficients** for all marginal (or relevant) boundary fields. In the current context where the bulk perturbation is topological, these are **topological quantities** that can be calculated in the matrix factorisation description.



# Bulk-boundary coefficients

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In our example one finds, using the [Kapustin-Li](#) formula,

$$B_{\Phi, \psi_1} = \frac{\eta^4}{25c^4} (t_3 b^2 + t_4 a^2 + t_5 c^2)$$

$$B_{\Phi, \psi_2} = 0 .$$

[Here we have worked in a chart of the brane moduli space where  $ac \neq 0$ , and we have rescaled the moduli variables so that  $a = 1$  .]



# Boundary RG flow

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The bulk perturbation therefore only switches on the boundary moduli field  $\psi_1$ , and as in the bosonic WZW case, we can interpret the RG flow as a **flow in the original moduli space**.

In fact, the relevant RG equation is simply

$$\dot{b} = \lambda \frac{\eta^4}{25c^4} \left( t_3 b^2 + t_4 a^2 + t_5 c^2 \right) .$$

↑  
correct  
fixed points

[Baumgartl,  
Brunner, MRG]



# Gradient flow

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This RG flow is actually a gradient flow

$$\dot{b} = \partial_b \mathcal{W}(a, b, c) , \quad \text{cf. [Friedan, Konechny]}$$

where  $\mathcal{W}(a, b, c)$  is globally defined (but multi-valued) on the whole D-brane moduli space.

[Explicitly,  $\mathcal{W}(a, b, c)$  is the integral of a holomorphic 1-form on the brane moduli space.]

cf. [Aganagic, Vafa]



# Effective superpotential

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The potential  $\mathcal{W}(a, b, c)$  has also got a nice interpretation:

It is precisely the term of the (exact) **effective superpotential** that is linear in the bulk coupling constant.

[Baumgartl,  
Brunner, MRG]

[This follows from the fact that  $\mathcal{W}(a, b, c)$  is just the generating function of the amplitudes involving one bulk insertion as well as arbitrary many boundary insertions.]



# Conclusions

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- Branes **adjust via boundary RG flow** to changes in bulk moduli space.
- RG flow is **gradient flow of effective superpotential**.
- Using matrix factorisation techniques this can be **very explicitly calculated**.





# Future directions

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➤ Use this approach to **calculate effective superpotential exactly**. Check mirror symmetry.

➤ Study **backreaction** of branes onto closed string background.

[MRG, Keller, in progress]

➤ Study brane adjustment under **relevant bulk perturbations**.

[For A-type brane of a single minimal N=2 model this could be done quite explicitly.]

[MRG, Lawrence]